

From Few-Nucleon Forces to Many-Nucleon Structure
ECT*/HIC for FAIR Workshop

Monte Carlo shell model towards ab initio nuclear structure

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Collaborators

- U of Tokyo
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- JAEA
 - Yutaka Utsuno
- Iowa State U
 - James P. Vary
 - Pieter Maris

Outline

- Motivation
- Monte Carlo Shell Model (MCSM)
- Benchmark in the p-shell nuclei
- Density plots from MCSM wave functions
- Summary & perspective

Ab initio approaches

- Major challenge of nuclear physics
 - Understand the nuclear structure from *ab-initio* calculations in non-relativistic quantum many-body system w/ realistic nuclear forces (potentials)
 - *ab-initio* approaches: GFMC, NCSM ($A \sim 12-14$), CC (sub-shell closure +/- 1,2), Green's Function theory, IM-SRG, Lattice EFT, ...
- ➔ demand for extensive computational resources
- ✓ *ab-initio*(-like) SM approaches (which attempt to go) beyond standard methods
 - IT-NCSM, IT-CI: R. Roth (TU Darmstadt), P. Navratil (TRIUMF), ...
 - SA-NCSM: T. Dytrych, J.P. Draayer (Louisiana State U), ...
 - No-Core Monte Carlo Shell Model (MCSM) <- this talk

Shell model (Configuration Interaction, CI)

- Eigenvalue problem of large sparse Hamiltonian matrix

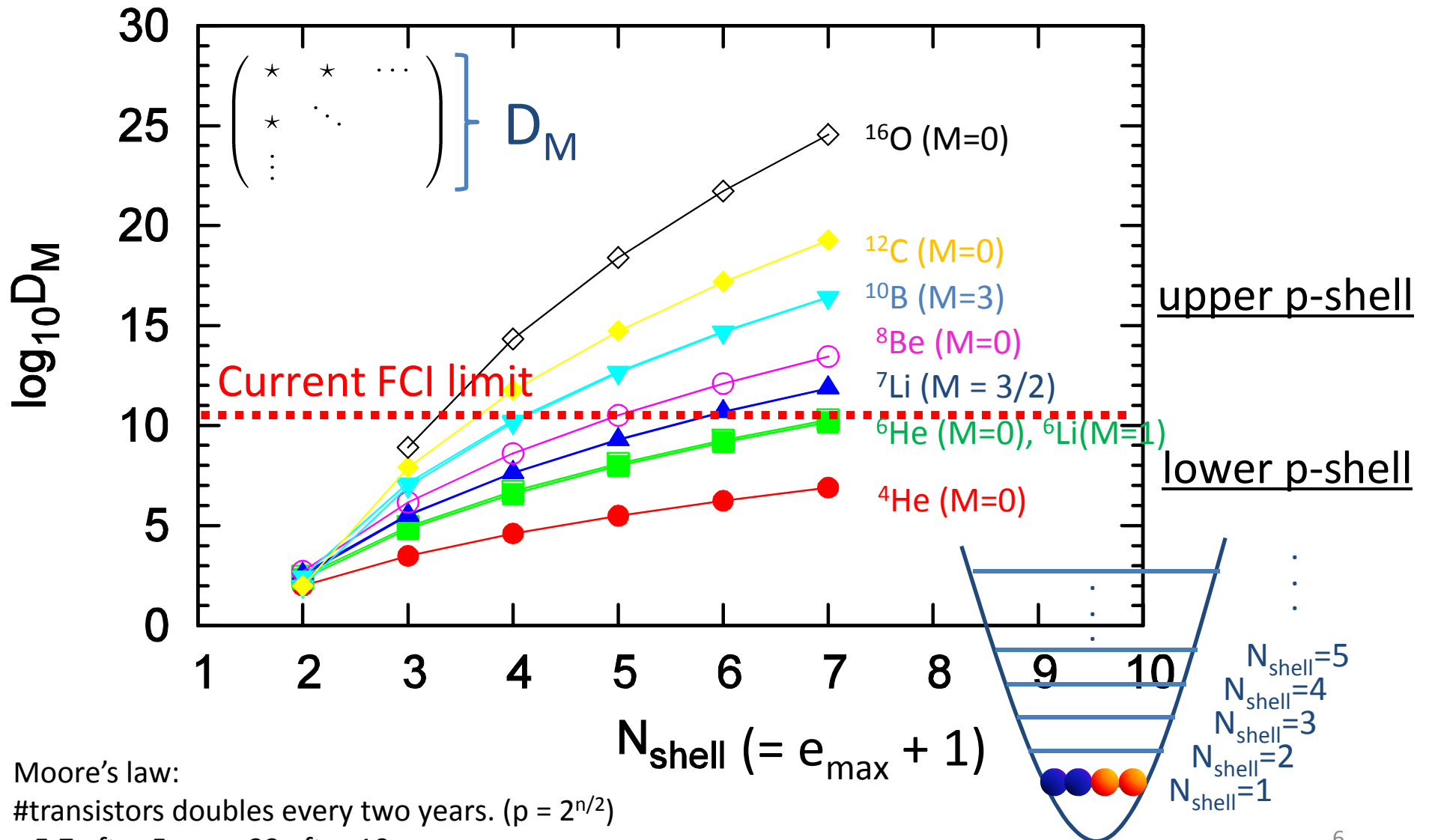
$$H|\Psi\rangle = E|\Psi\rangle$$

$$\underbrace{\begin{pmatrix} H_{11} & H_{12} & H_{13} & H_{14} & H_{15} & \cdots \\ H_{21} & H_{22} & H_{23} & H_{24} & & \\ H_{31} & H_{32} & H_{33} & & & \\ H_{41} & H_{33} & & \ddots & & \\ H_{51} & & & & & \\ \vdots & & & & & \end{pmatrix}}_{\text{Large sparse matrix (in M-scheme)}} \begin{pmatrix} \Psi_1 \\ \Psi_2 \\ \Psi_3 \\ \Psi_4 \\ \Psi_5 \\ \vdots \end{pmatrix} = \begin{pmatrix} E_1 & & & & & 0 \\ & E_2 & & & & \\ & & E_3 & & & \\ & & & \ddots & & \\ & & & & & \\ 0 & & & & & \end{pmatrix} \begin{pmatrix} \Psi_1 \\ \Psi_2 \\ \Psi_3 \\ \Psi_4 \\ \Psi_5 \\ \vdots \end{pmatrix}$$

$\sim \mathcal{O}(10^{10})$ # non-zero MEs $\sim \mathcal{O}(10^{13-14})$

$$\left\{ \begin{array}{l} |\Psi_1\rangle = a_\alpha^\dagger a_\beta^\dagger a_\gamma^\dagger \cdots |-\rangle \\ |\Psi_2\rangle = a_{\alpha'}^\dagger a_{\beta'}^\dagger a_{\gamma'}^\dagger \cdots |-\rangle \\ |\Psi_3\rangle = \cdots \\ \vdots \end{array} \right.$$

M-scheme dimension in N_{shell} truncation



Moore's law:
 #transistors doubles every two years. ($p = 2^{n/2}$)
 x 5.7 after 5 yrs, x 32 after 10 yrs

Monte Carlo shell model (MCSM)

Review: T. Otsuka, M. Honma, T. Mizusaki, N. Shimizu, Y. Utsuno, Prog. Part. Nucl. Phys. 47, 319 (2001)

- Importance truncation

Standard shell model

$$H = \begin{pmatrix} * & * & * & * & * & \dots \\ * & * & * & * & & \\ * & * & * & & & \\ * & * & & \ddots & & \\ * & & & & \ddots & \\ \vdots & & & & & \ddots \end{pmatrix}$$

Diagonalization

$$\begin{pmatrix} E_0 & & & & & 0 \\ & E_1 & & & & \\ & & E_2 & & & \\ & & & \ddots & & \\ & & & & \ddots & \\ 0 & & & & & \ddots \end{pmatrix}$$

All Slater determinants

$$D_M \sim O(10^{10})$$

Monte Carlo shell model

$$H \sim \begin{pmatrix} * & * & \dots \\ * & \ddots & \\ \vdots & & \ddots \end{pmatrix}$$

Diagonalization

$$\begin{pmatrix} E'_0 & & 0 \\ & E'_1 & \\ 0 & & \ddots \end{pmatrix}$$

Important bases stochastically selected

$$D_{MCSM} \sim O(100)$$

$$|\Psi(J, M, \pi)\rangle = \sum_{i=1}^{N_{basis}} f_i |\Phi_i(J, M, \pi)\rangle$$

Diagonalization

$$|\Phi(J, M, \pi)\rangle = \sum_{K=-J}^J g_K P_{MK}^J P^\pi |\phi\rangle$$

$$|\phi\rangle = \prod_i^A a_i^\dagger |-\rangle$$

Energy variation

$$a_i^\dagger = \sum_{\alpha}^{N_{sps}} c_{\alpha}^\dagger D_{\alpha i}$$

Stochastic sampling of basis functions

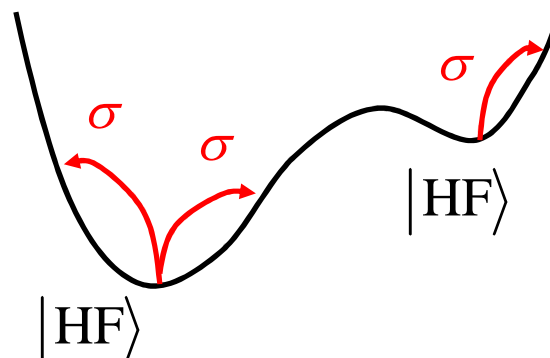
- Deformed Slater determinant basis

$$|\phi\rangle = \prod_i^A a_i^\dagger |-\rangle \quad a_i^\dagger = \sum_\alpha^{N_{sps}} c_\alpha^\dagger D_{\alpha i} \quad (c_\alpha^\dagger \dots \text{HO basis})$$

- Stochastic sampling of deformed SDs

$$|\phi(\sigma)\rangle = e^{-h(\sigma)} |\phi\rangle$$

$$h(\sigma) = h_{HF} + \sum_i^{N_{AF}} s_i V_i \sigma_i O_i$$



c.f.) Imaginary-time evolution & Hubbard-Stratonovich transf.

$$|\phi(\sigma)\rangle = \prod_{N_\tau} e^{-\Delta\beta h(\sigma)} |\phi\rangle \quad e^{-\beta H} = \int_{-\infty}^{+\infty} \prod_i d\sigma_i \sqrt{\frac{\beta|V_i|}{2\pi}} e^{-\frac{\beta}{2}|V_i|\sigma_i^2} e^{-\beta h(\vec{\sigma})}$$

$$h(\sigma) = \sum_i^{N_{AF}} (\epsilon_i + s_i V_i \sigma_i) O_i \quad H = \sum_i \epsilon_i O_i + \frac{1}{2} \sum_i V_i O_i^2$$

Rough image of search steps

- Basis search

- HF solution is taken as the 1st basis
- Fix the n-1 basis states already taken
- Requirement for the new basis: adopt the basis which makes the energy (of a many-body state) as low as possible by a stochastic sampling

Hamiltonian kernel

$$H(\Phi, \Phi') =$$

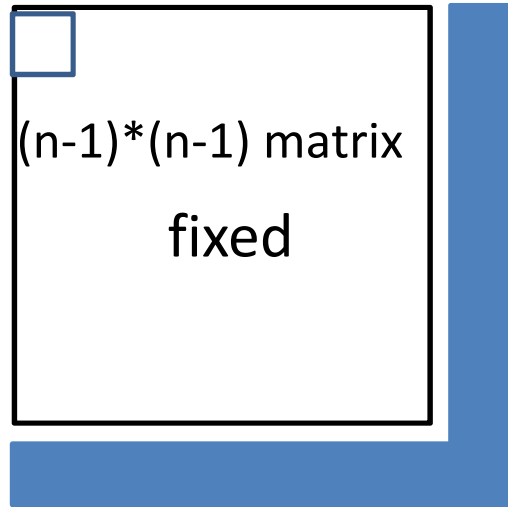
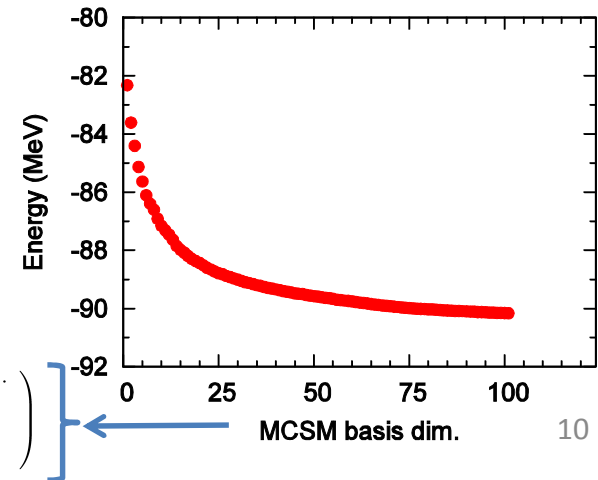
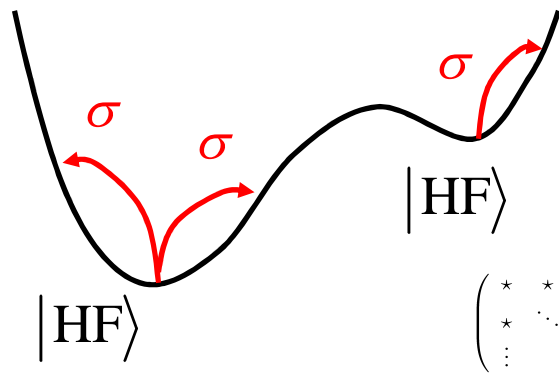
(n-1)*(n-1) matrix

fixed

n-th
(to be optimized)

$$|\phi(\vec{\sigma})\rangle = \prod_n e^{-\Delta\beta h(\vec{\sigma}_n)} |\phi\rangle$$

$$h(\vec{\sigma}_n) = h_{HF} + \sum_{\alpha} \sigma_{\alpha n} O_{\alpha}$$



Feasibility study of MCSM for no-core calculations

PHYSICAL REVIEW C 86, 014302 (2012)

No-core Monte Carlo shell-model calculation for ^{10}Be and ^{12}Be low-lying spectra

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Recent developments in the MCSM

- Energy minimization by the CG method
 - N. Shimizu, Y. Utsuno, T. Mizusaki, M. Honma, Y. Tsunoda & T. Otsuka, Phys. Rev. C85, 054301 (2012) ~ 30% reduction of # basis
- Efficient computation of TBMEs
 - Y. Utsuno, N. Shimizu, T. Otsuka & T. Abe, Compt. Phys. Comm. 184, 102 (2013) ~ 80% of the peak performance
- Energy variance extrapolation (~ 10-20% in the old MCSM)
 - N. Shimizu, Y. Utsuno, T. Mizusaki, T. Otsuka, T. Abe & M. Honma, Phys. Rev. C82, 061305 (2010) Evaluation of exact eigenvalue w/ error estimate
- Summary of recent MCSM developments
 - N. Shimizu, T. Abe, Y. Tsunoda, Y. Utsuno, T. Yoshida, T. Mizusaki, M. Honma, T. Otsuka, Prog. Theor. Exp. Phys. 01A205 (2012)

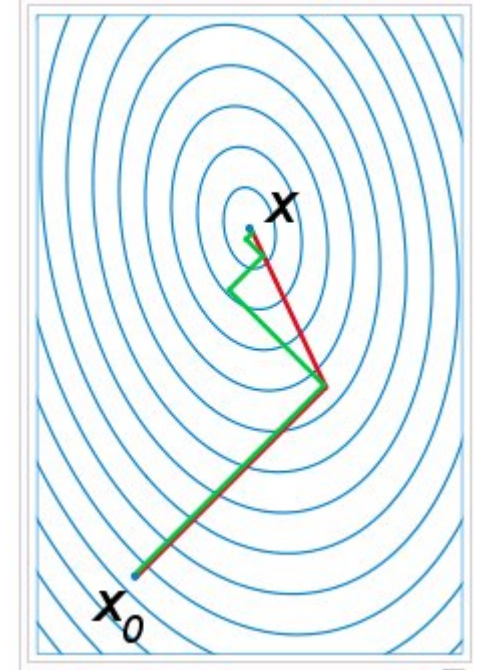
Energy minimization by Conjugate Gradient method

N. Shimizu, Y. Utsuno, T. Mizusaki, M. Honma, Y. Tsunoda, T. Otsuka, Phys. Rev. C85, 054301 (2012)

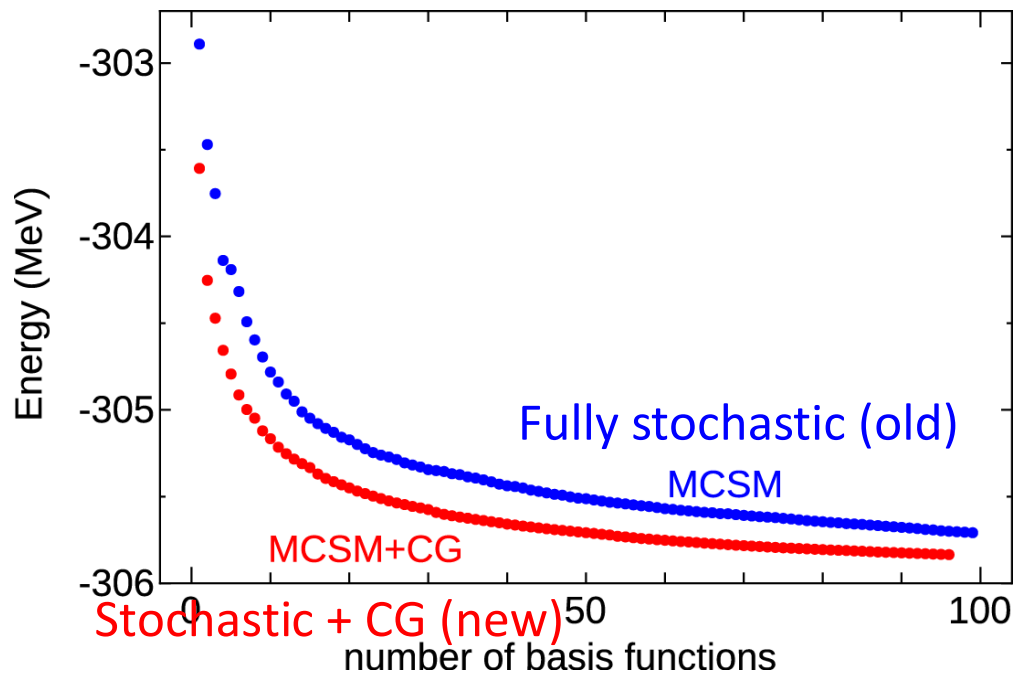
$$|\Psi(D)\rangle = \sum_{n=1}^{N_B} f_n \sum_{K=-J}^J g_K P_{MK}^{J,\Pi} |\phi(D^{(n)})\rangle$$

$$|\phi(D^{(n)})\rangle = \prod_{\alpha=1}^{N_p} \left(\sum_{i=1}^{N_{sp}} c_i^\dagger D_{i\alpha}^{(n)} \right) |-\rangle$$

$$E(D) = \langle \Psi(D) | H | \Psi(D) \rangle$$



Minimize $E(D)$ as a function of D by CG method



Reduction of the # of basis states roughly 30%

Few Determinant Approximation

M. Honma, B.A. Brown, T. Mizusaki, and T. Otsuka
Nucl. Phys. A 704, 134c (2002)

Hybrid Multi-Determinant

G. Puddu, Acta Phys. Polon. B42, 1287 (2011)

VAMPIR

K.W. Schmid, F. Glummer, M. Kyotoku, and A. Faessler
Nucl. Phys. A 452, 493 (1986)

Efficient computation of the TBMEs

Y. Utsuno, N. Shimizu, T. Otsuka, and T. Abe, Compt. Phys. Comm. 184, 102 (2013)

- hot spot: Computation of the TBMEs (w/o projections, for simplicity)

$$\frac{\langle \Phi' | V | \Phi \rangle}{\langle \Phi' | \Phi \rangle} = \frac{1}{2} \sum_{ijkl} \bar{v}_{ijkl} \rho_{ki} \rho_{lj} \quad \text{c.f.) Indirect-index method (list-vector method)}$$

- Utilization of the symmetry

$$j_z(i) + j_z(j) = j_z(k) + j_z(l) \rightarrow j_z(i) - j_z(k) = -(j_z(j) - j_z(l)) \equiv \Delta m$$

$$\sum_{ijkl} \bar{v}_{ijkl} \rho_{ki} \rho_{lj} = \sum_{\Delta m} \left[\sum_{a \in J_z(a) = -\Delta m} \tilde{\rho}_a \left(\sum_{b \in J_z(b) = \Delta m} \tilde{v}_{ab} \tilde{\rho}_b \right) \right]$$

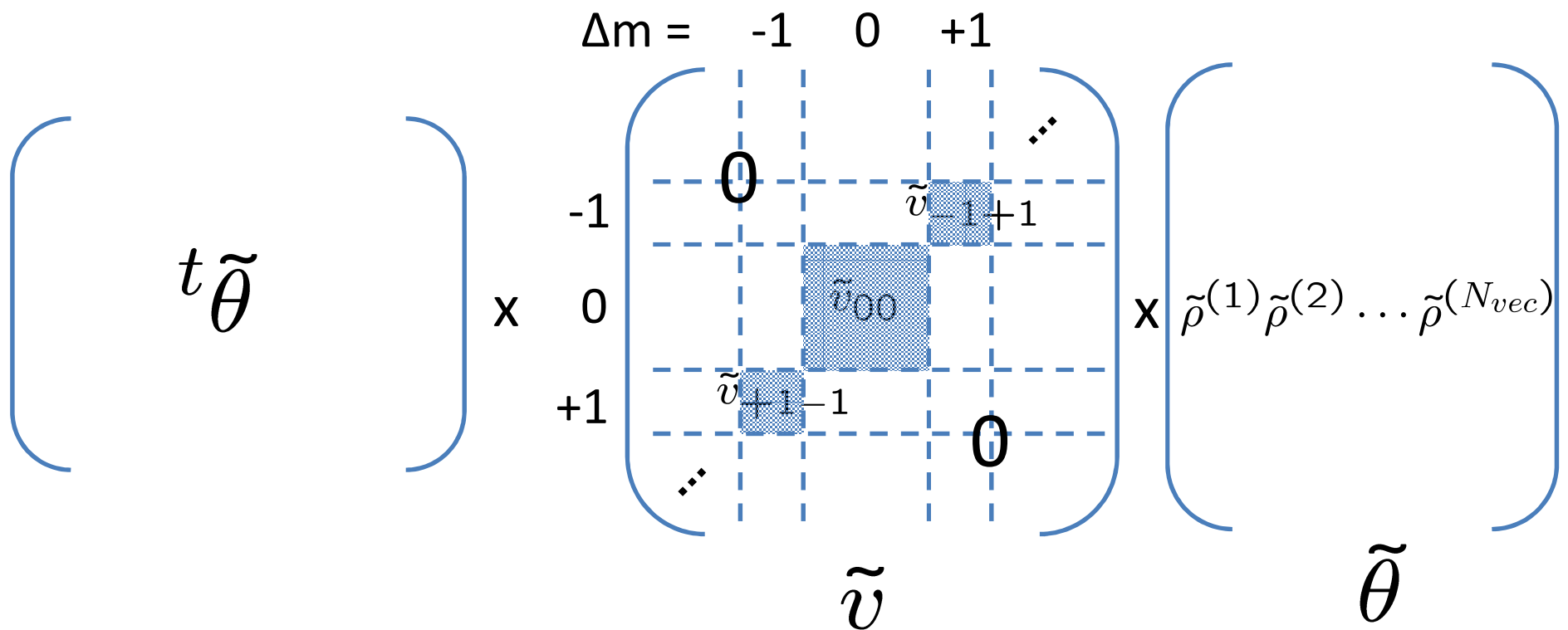
$$\begin{array}{ccc} \bar{v}_{ijkl} \rightarrow \tilde{v}_{ab} & \rho_{ki} \rightarrow \tilde{\rho}_a & \rho_{lj} \rightarrow \tilde{\rho}_b \\ \text{sparse} & \text{dense} & \end{array}$$

Schematic illustration of the computation of TBMEs

Y. Utsuno, N. Shimizu, T. Otsuka, and T. Abe, Compt. Phys. Comm. 184, 102 (2013)

- Matrix-matrix method

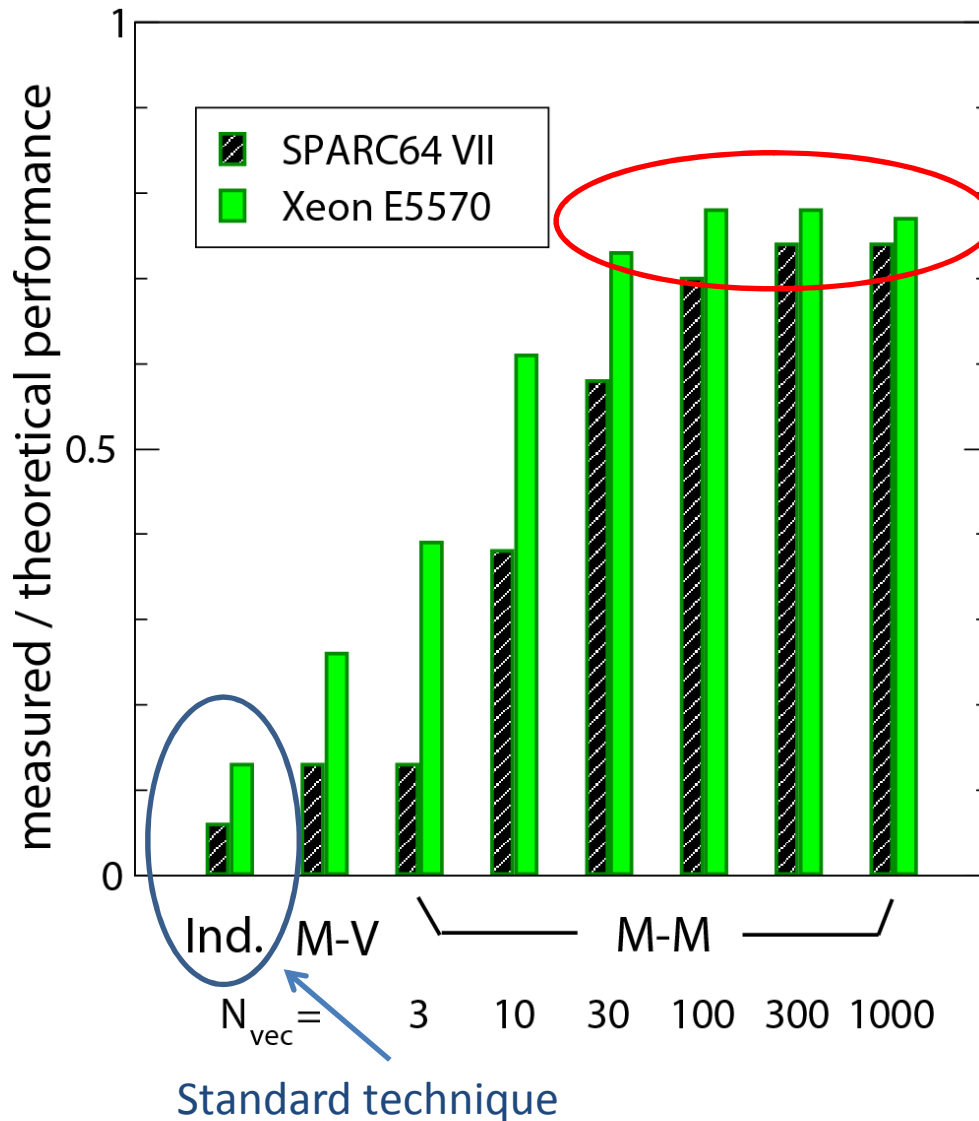
$$\sum_{ijkl} \bar{v}_{ijkl} \rho_{ki} \rho_{lj} = \sum_{\Delta m} \left[\sum_{a \in J_z(a) = -\Delta m} \tilde{\rho}_a \left(\sum_{b \in J_z(b) = \Delta m} \tilde{v}_{ab} \tilde{\rho}_b \right) \right]$$



→ BLAS Level 3

Tuning of the density matrix product

Y. Utsuno, N. Shimizu, T. Otsuka, and T. Abe, *Compt. Phys. Comm.* 184, 102 (2013)



Nshell = 5

The performance reaches 80% of the theoretical peak at hot spot.

SPARC64 requires large N_{bunch} in comparison to Xeon

Matrix product e.g.
 $(390 \times 390) \times (390 \times 2N_{bunch})$

N_{bunch} controllable tuning parameter
 chunk size

Extrapolations in the MCSM

- Two steps of the extrapolation

1. Extrapolation of our MCSM (approx.) results to the FCI (exact) results in fixed model space

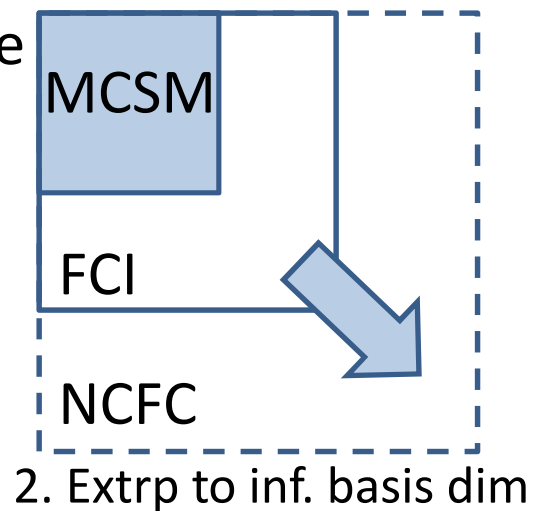
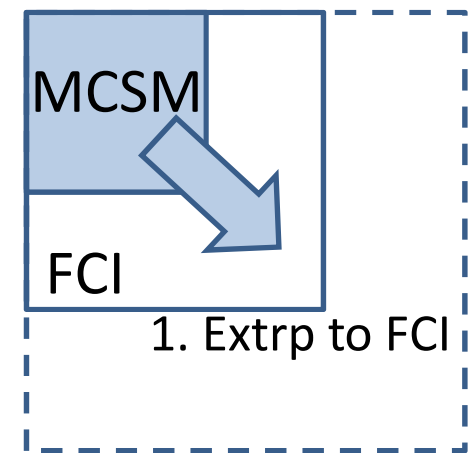
Energy-variance extrapolation

N. Shimizu, Y. Utsuno, T. Mizusaki, T. Otsuka, T. Abe, & M. Honma, Phys. Rev. C82, 061305(R) (2010)

2. Extrapolation into the infinite model space

- Exponential fit w.r.t. N_{\max} in the NCFC
- UV/IR cutoff in the NCSM

Not applied in the MCSM, so far...



Energy-variance extrapolation

- Originally proposed in condensed matter physics
 - Path Integral Renormalization Group method
M. Imada & T. Kashima, J. Phys. Soc. Jpn 69, 2723 (2000)
- Imported to nuclear physics
 - Lanczos diagonalization with particle-hole truncation
T. Mizusaki & M. Imada Phys. Rev. C65 064319 (2002)
T. Mizusaki & M. Imada Phys. Rev. C68 041301 (2003)
 - single deformed Slater determinant
T. Mizusaki, Phys. Rev. C70 044316 (2004)



Apply to the MCSM (multi deformed SDs)

N. Shimizu, Y. Utsuno, T. Mizusaki, T. Otsuka, T. Abe & M. Honma, Phys. Rev. C82, 061305 (2010)

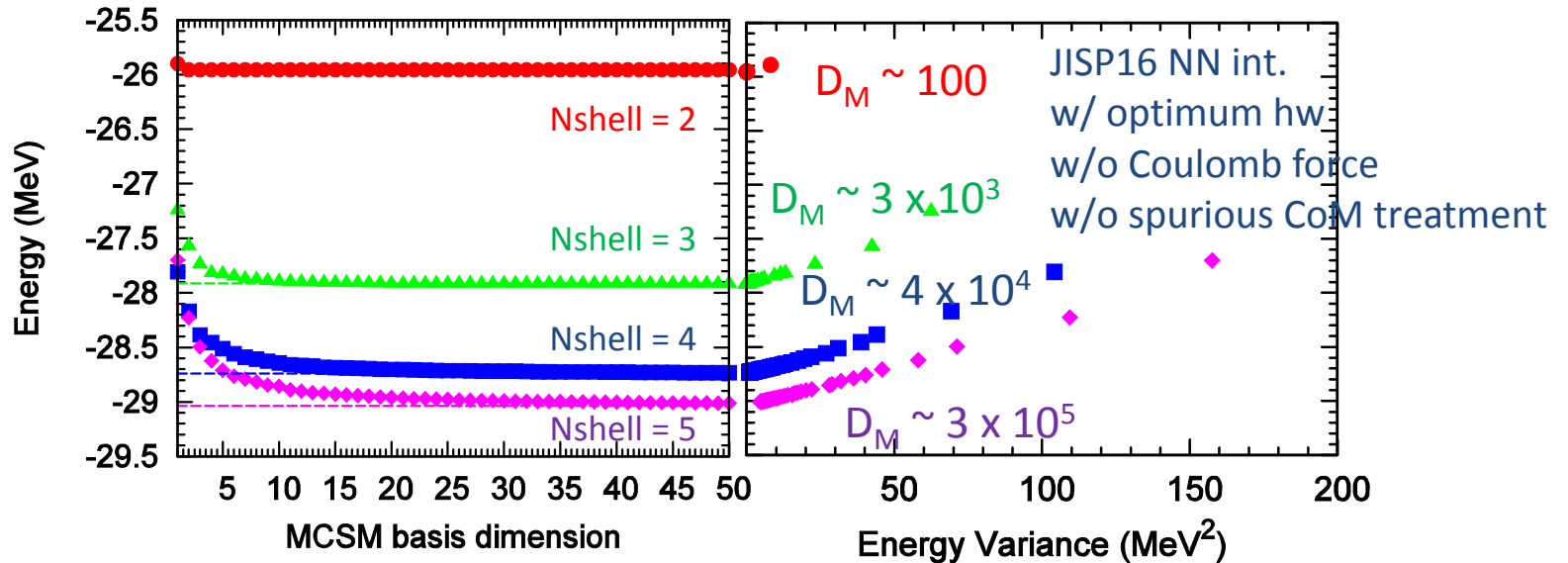
Numerical effort

$$\begin{aligned}
 \frac{\langle \Phi' | \hat{V}^2 | \Phi \rangle}{\langle \Phi' | \Phi \rangle} &= \sum_{ijkl\alpha\beta\gamma\delta} \bar{v}_{ijkl} \bar{v}_{\alpha\beta\gamma\delta} \left[\frac{1}{4} (1 - \rho)_{k\alpha} (1 - \rho)_{l\beta} \rho_{\gamma i} \rho_{\delta j} \right. \\
 &\quad \left. + \rho_{\gamma\alpha} (1 - \rho)_{l\beta} \rho_{ki} \rho_{\delta j} + \frac{1}{4} \rho_{ki} \rho_{lj} \rho_{\gamma\alpha} \rho_{\delta\beta} \right] \\
 &= \frac{1}{4} \sum_{ij\alpha\beta} \left(\sum_{kl} \bar{v}_{ijkl} (1 - \rho)_{k\alpha} (1 - \rho)_{l\beta} \right) \left(\sum_{\gamma\delta} \bar{v}_{\alpha\beta\gamma\delta} \rho_{\gamma i} \rho_{\delta j} \right) \\
 &\quad + \text{Tr}(\Gamma(1 - \rho)\Gamma\rho) + \frac{1}{4} [\text{Tr}(\rho\Gamma)]^2 \\
 &\sim O(N_{\text{sps}}^8) \qquad \qquad \qquad \text{6-folded loop} \\
 &\qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \sim O(N_{\text{sps}}^6)
 \end{aligned}$$

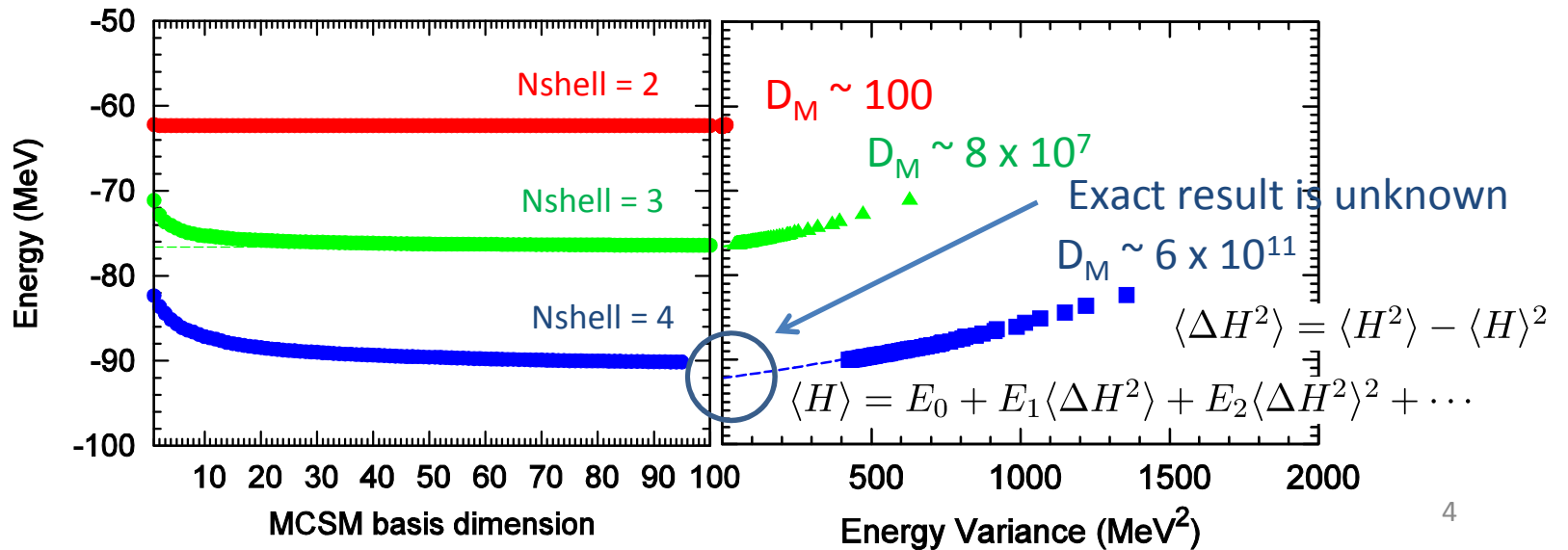
$$\rho_{\beta\alpha} = \frac{\langle \Phi' | c_{\alpha}^{\dagger} c_{\beta} | \Phi \rangle}{\langle \Phi' | \Phi \rangle} \quad \Gamma_{ik} = \sum_{jl} \bar{v}_{ijkl} \rho_{lj} \quad \frac{\langle \Phi' | V | \Phi \rangle}{\langle \Phi' | \Phi \rangle} = \frac{1}{2} \sum_{\alpha\beta\gamma\delta} \bar{v}_{\alpha\beta\gamma\delta} \rho_{\gamma\alpha} \rho_{\delta\beta}$$

Energies wrt # of basis & energy variance

${}^4\text{He}(0^+;gs)$

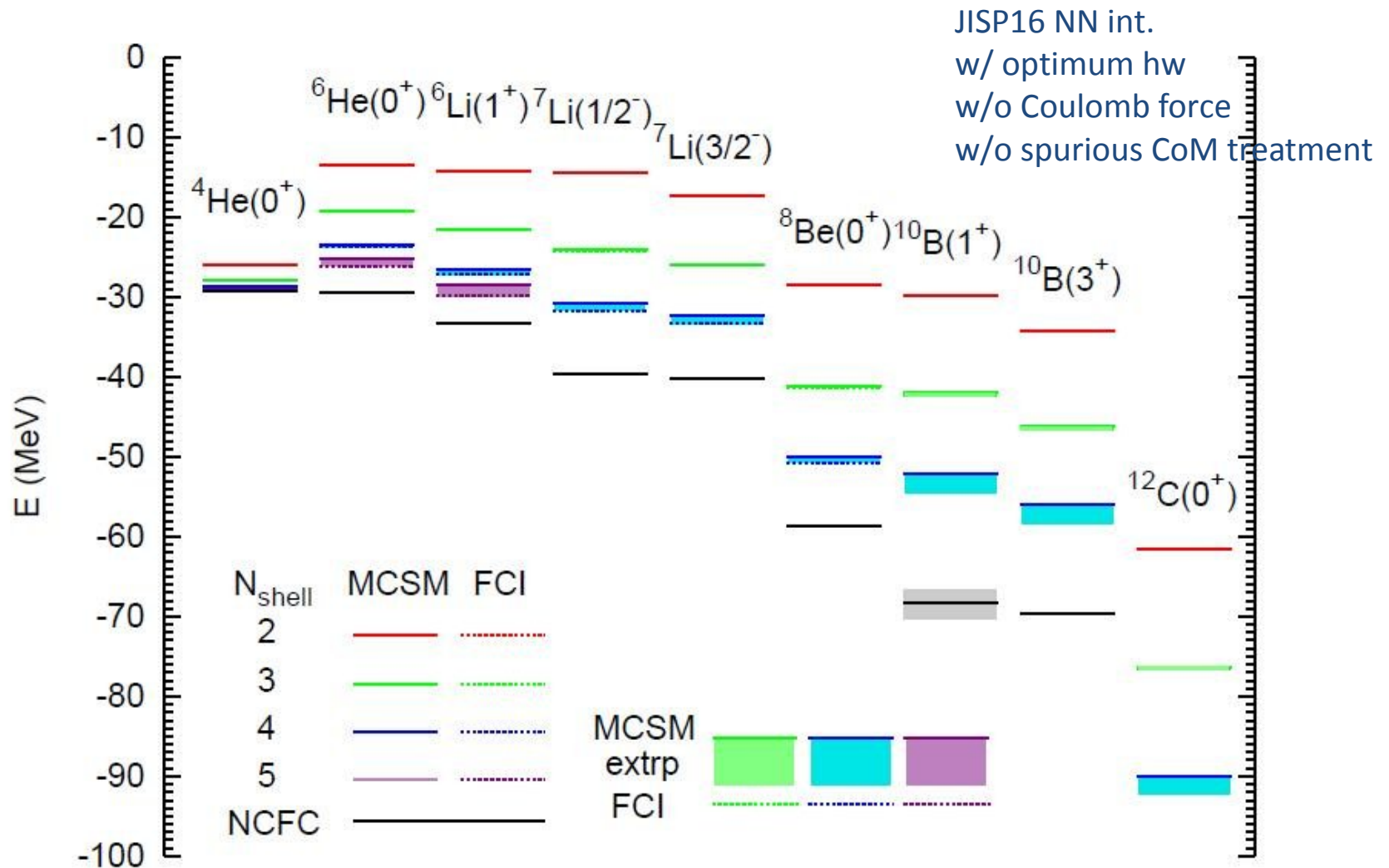


${}^{12}\text{C}(0^+;gs)$



Energies of the Light Nuclei

T. Abe, P. Maris, T. Otsuka, N. Shimizu, Y. Utsuno, J. P. Vary, Phys Rev C86, 054301 (2012)



MCSM results w/ E-var extrp are consistent w/ FCI results



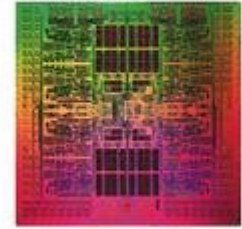
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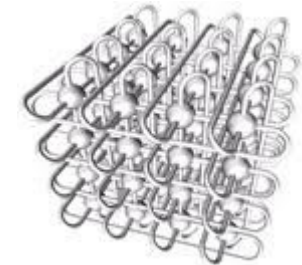
	NAME	SPECS	SITE	COUNTRY	CORES	R _{MAX} PFLDP/S	POWER MW
1	TITAN	Cray XK7, Operon 6274 16C 2.2 GHz + Nvidia Kepler GPU, Custom interconnect	DOE/OS/ORNL	USA	560,640	17.6	8.3
2	SEQUOIA	IBM BlueGene/Q, Power BQC 16C 1.60 GHz, Custom interconnect	DOE/NNSA/LLNL	USA	1,572,864	16.3	7.9
3	K COMPUTER	Fujitsu SPARC64 VIIIfx 2.0GHz, Custom interconnect	RIKEN AICS	Japan	705,024	10.5	12.7
4	MIRA	IBM BlueGene/Q, Power BQC 16C 1.60 GHz, Custom interconnect	DOE/OS/ANL	USA	786,432	8.16	3.95
5	JUQUEEN	IBM BlueGene/Q, Power BQC 16C 1.60 GHz, Custom interconnect	Forschungszentrum Jülich	Germany	393,216	4.14	1.97

128 GFLOPS/CPU
(8 cores/CPU)

PERFORMANCE DEVELOPMENT



Tofu inter-connection
6D Mesh/Torus



K computer, Japan

京 HPCI Strategic Program Field 5
"The origin of matter and the universe"

- Lattice QCD
- Nucleus
- Supernova Explosion
- Early Star Formation



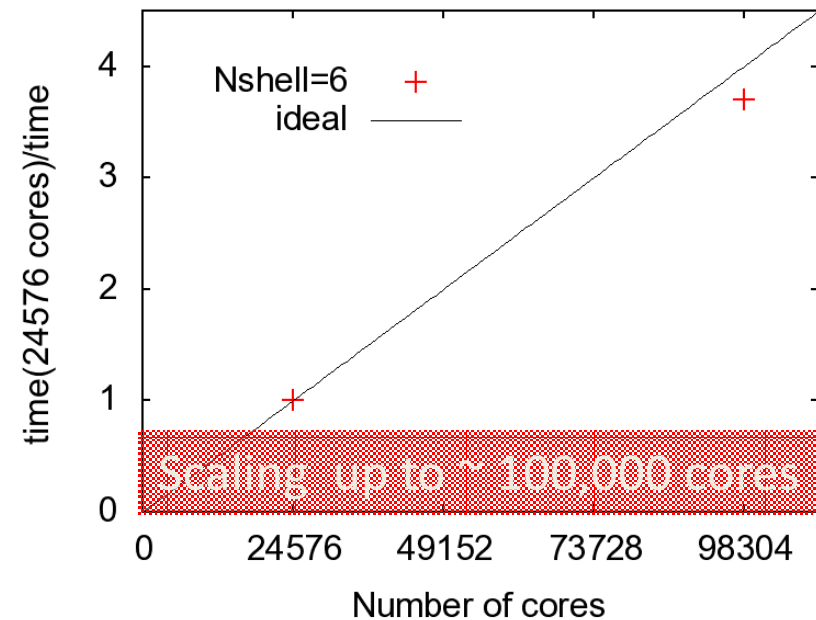
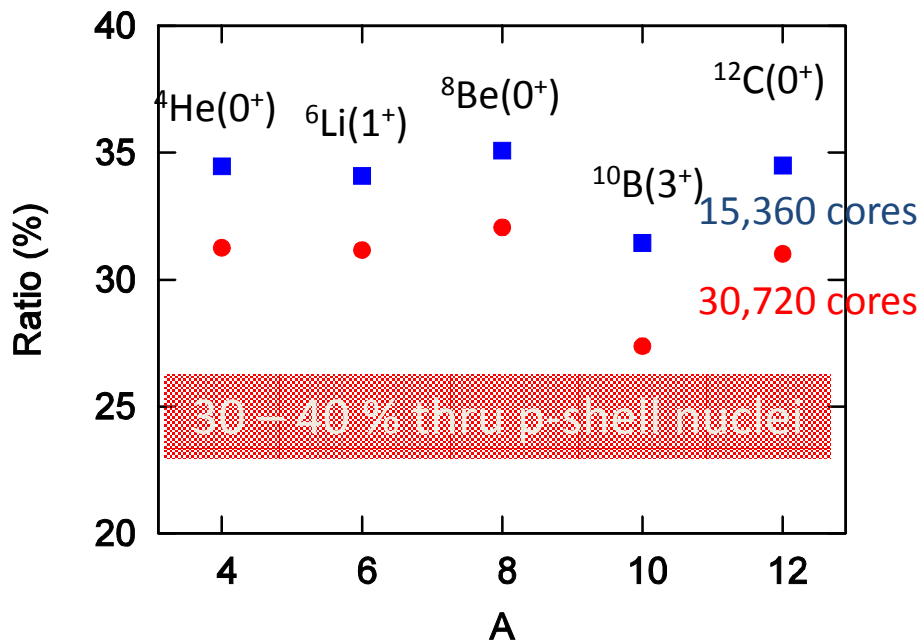
Peak performance & speed-up @ K computer

Peak performance

- Optimization of 15th basis dim. of the w.f. in $N_{\text{shell}}=5$ w/ 100 CG iterations (MPI/OpenMP, 8 threads)

Speed-up (strong scaling)

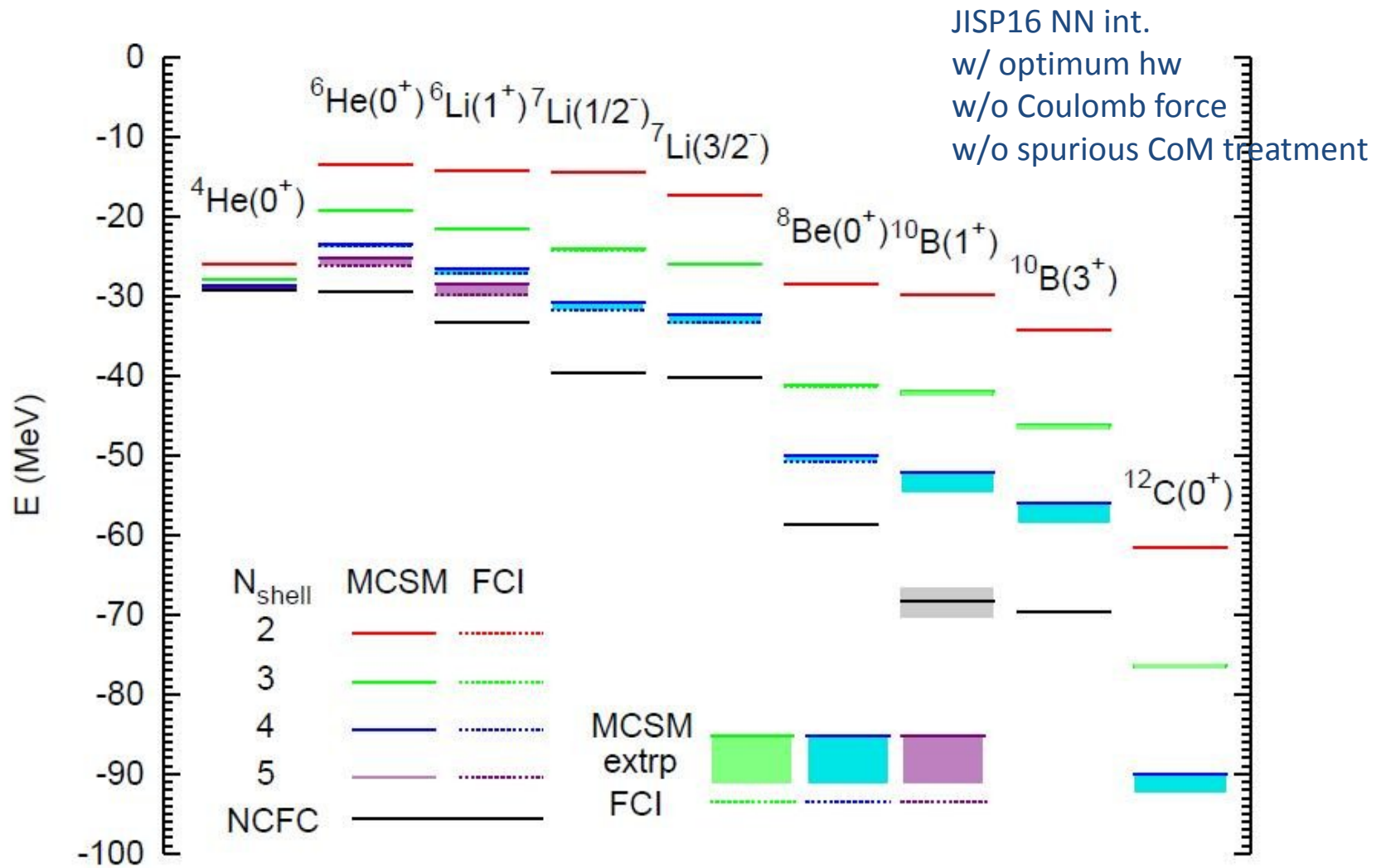
- Optimization of 48th basis dim. of the 4He (0+) w.f. in $N_{\text{shell}}=6$ w/ 100 CG iterations



Note: it is a tentative result by early access to the K computer @ AICS, RIKEN.

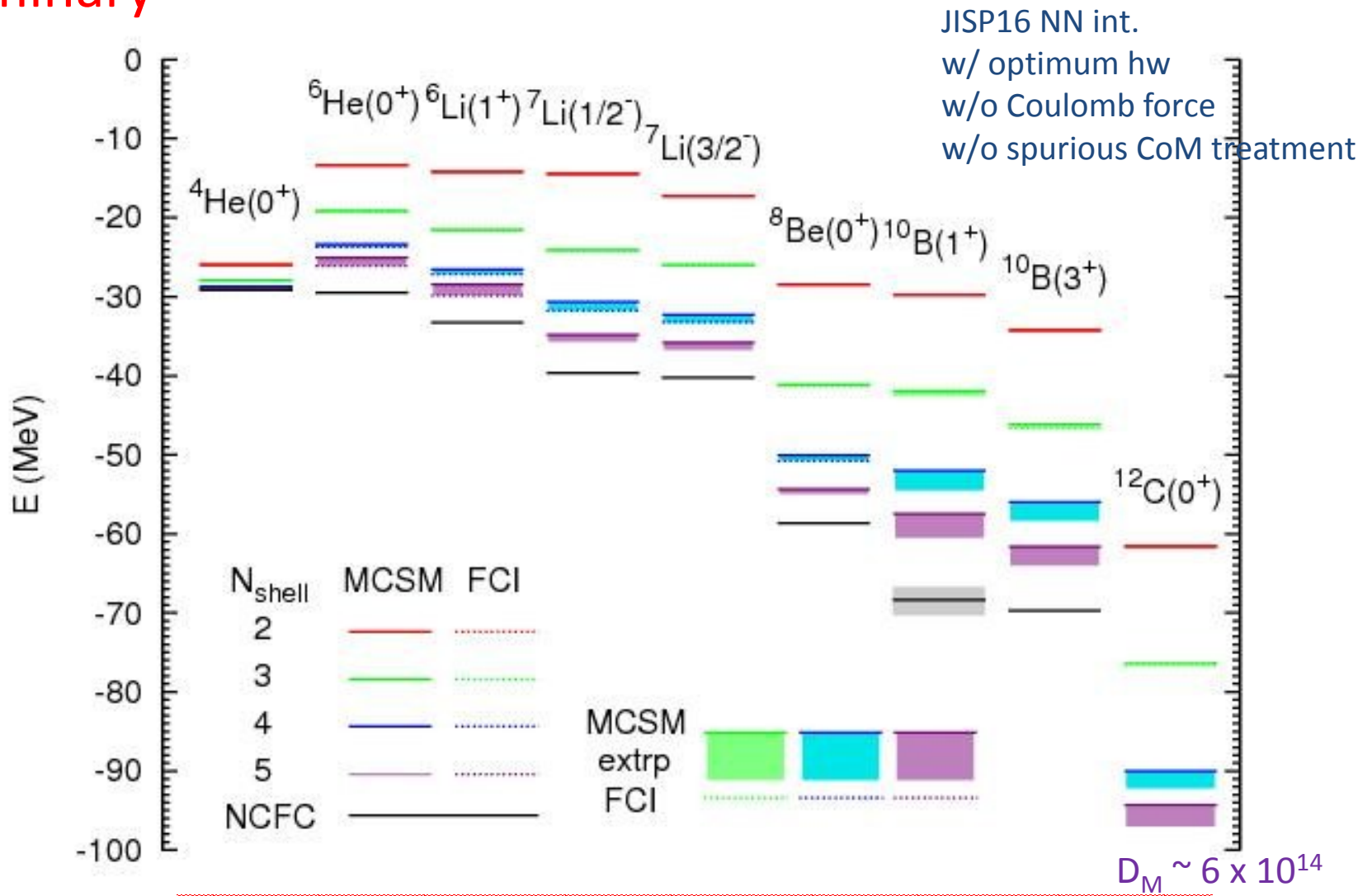
Energies of the Light Nuclei

T. Abe, P. Maris, T. Otsuka, N. Shimizu, Y. Utsuno, J. P. Vary, Phys Rev C86, 054301 (2012)



Energies of the Light Nuclei

Preliminary



Some MCSM results are not reachable in the current FCI

Density Plots from ab initio calc.

- Green's function Monte Carlo (GFMC)

- “Intrinsic” density is constructed by aligning the moment of inertia among samples

R. B. Wiringa, S. C. Pieper, J. Carlson, & V. R. Pandharipande, *Phys. Rev. C*62, 014001 (2000)

- No-core full configuration (NCFC)

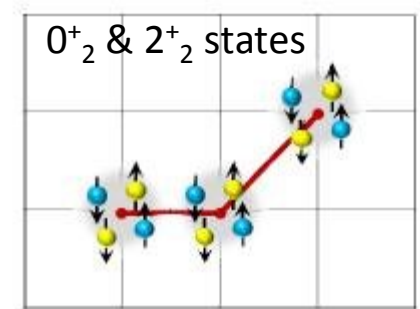
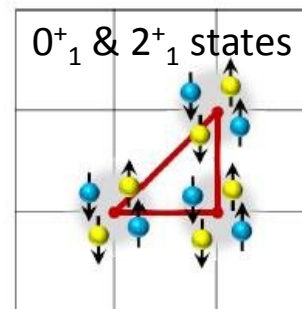
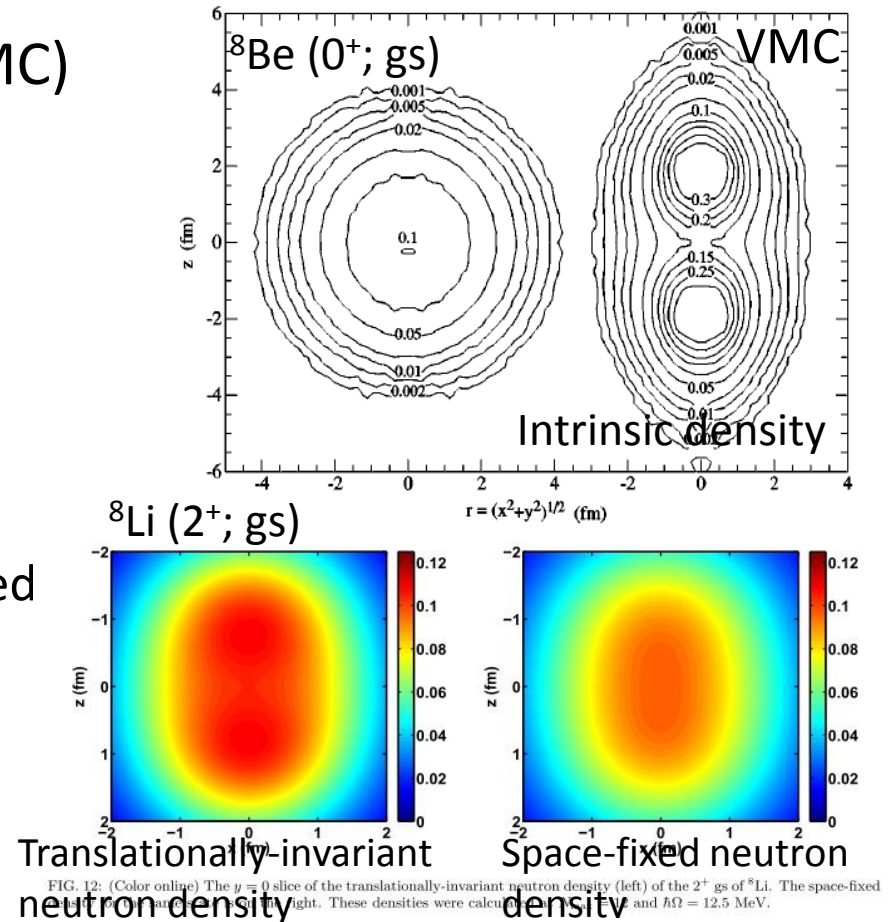
- Translationally-invariant density is obtained by deconvoluting the intrinsic & CM w.f.

C. Cockrell J. P. Vary & P. Maris, *Phys. Rev. C*86, 034325 (2012)

- Lattice EFT

- Triangle structure in carbon-12

E. Epelbaum, H. Krebs, T. A. Lahde, D. Lee, & U.-G. Meissner, *Phys. Rev. Lett.* 109, 252501 (2012)

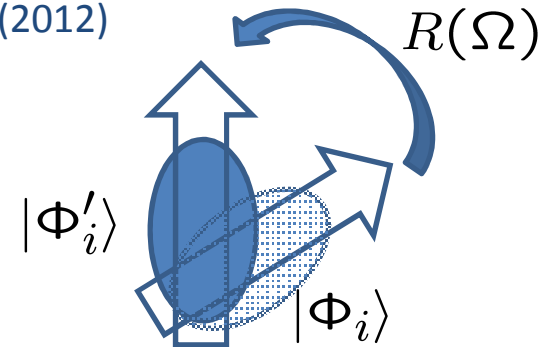


How to construct an “intrinsic” density from MCSM w.f.

N. Shimizu, T. Abe, Y. Tsunoda, Y. Utsuno, T. Yoshida, T. Mizusaki, M. Honma, T. Otsuka,
 Progress in Theoretical and Experimental Physics, 01A205 (2012)

- MCSM wave function

$$|\Psi\rangle = \sum_{i=1}^{N_{basis}} c_i P^J P^\pi |\Phi_i\rangle$$



- Wave function w/o the projections

$$\sum_{i=1}^{N_{basis}} c_i |\Phi_i\rangle = c_1 \text{ [diagram]} + c_2 \text{ [diagram]} + \dots + c_{N_{basis}} \text{ [diagram]}$$

Rotation by diagonalizing Q-moment
 ($Q_{zz} > Q_{yy} > Q_{xx}$)

- Wave function w/o the projection w/ the alignment of Q-moment

$$\sum_{i=1}^{N_{basis}} c_i |\Phi'_i\rangle = c_1 \text{ [diagram]} + c_2 \text{ [diagram]} + \dots + c_{N_{basis}} \text{ [diagram]}$$

Density plots in MCSM

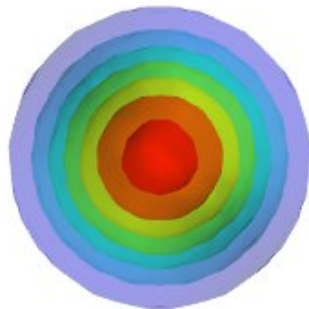
$$|\Phi\rangle = \sum_{i=1}^{N_{basis}} c_i |\Phi_i\rangle = c_1 \text{img}_1 + c_2 \text{img}_2 + c_3 \text{img}_3 + c_4 \text{img}_4 + \dots$$

Angular-momentum projection

$$|\Psi\rangle = \sum_{i=1}^{N_{basis}} c_i P^J P^\pi |\Phi_i\rangle$$

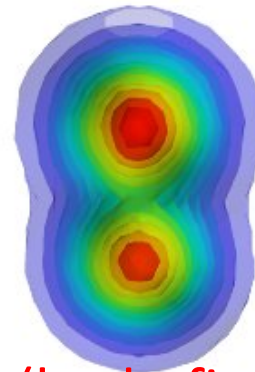
Rotation of each basis
by diagonalizing Q-moment

$$|\Phi'\rangle = \sum_{i=1}^{N_{basis}} c_i R(\Omega_i) |\Phi_i\rangle$$



$^8\text{Be } 0^+$ ground state

Laboratory frame



“Intrinsic” (body-fixed) frame

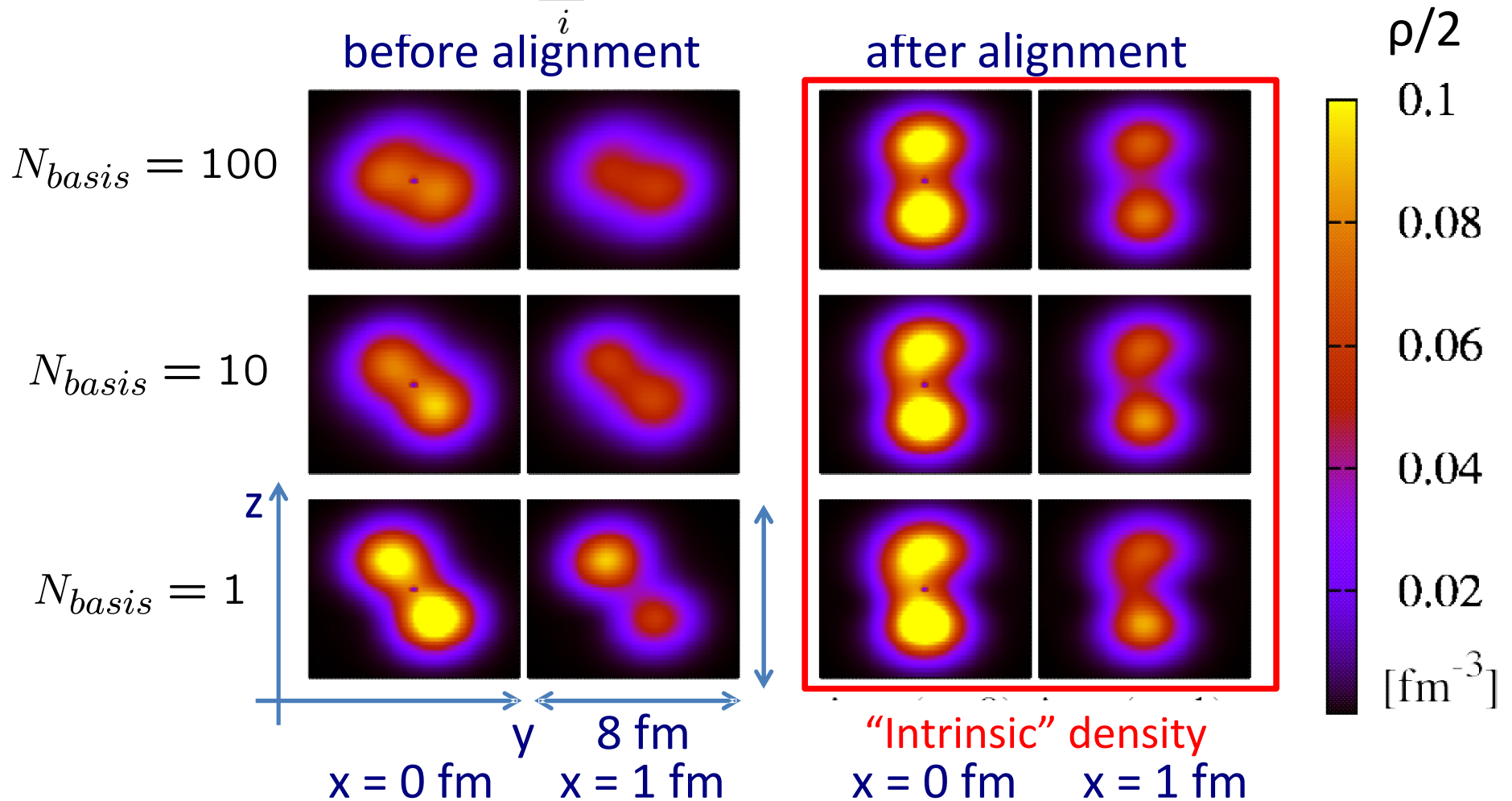
Densities in lab. & body-fixed frames can be constructed by MCSM

Density plots of ${}^8\text{Be}$ 0^+ ground state from MCSM w.f.

N. Shimizu, T. Abe, Y. Tsunoda, Y. Utsuno, T. Yoshida, T. Mizusaki, M. Honma, T. Otsuka, Progress in Theoretical and Experimental Physics, 01A205 (2012)

- Test calculation of the density by using the MCSM w.f. in $N_{\text{shell}} = 4$

$$\rho(\vec{r}) = \langle \Phi(\{\vec{r}_i'\}) | \sum_i \delta(\vec{r} - \vec{r}_i') | \Phi(\{\vec{r}_i'\}) \rangle$$



Summary

- MCSM can be applied to no-core calculations of the p-shell nuclei.
 - Benchmarks for the p-shell nuclei have been performed and gave good agreements w/ FCI results.
 - Density profiles from MCSM many-body w.f. are preliminarily investigated and the cluster-like distributions are reproduced.

Perspective

- MCSM algorithm/computation
 - Extension to larger model spaces ($N_{\text{shell}} = 6, 7, \dots$), extrapolation to infinite model space, & comparison with another truncations
 - Inclusion of the 3-body force (thru. effective 2-body force)
 - GPGPU
- Physics
 - Cluster(-like) states (He & Be isotopes, ^{12}C Hoyle state, ...)
 - sd-shell nuclei