

# In-Medium SRG with Chiral NN+3N Interactions

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- Similarity Renormalization Group in Nuclear Physics
- In-Medium SRG for Closed Shell-Nuclei
- Multi-Reference In-Medium SRG
- Open-Shell Nuclei
- IM-SRG + Shell Model
- Outlook

# Similarity Renormalization Group in Nuclear Physics

## Review:

S. Bogner, R. Furnstahl, and A. Schwenk, Prog. Part. Nucl. Phys. **65** (2010), 94

E. Anderson, S. Bogner, R. Furnstahl, and R. Perry, Phys. Rev. **C82** (2011), 054001

E. Jurgenson, P. Navratil, and R. Furnstahl, Phys. Rev. **C83** (2011), 034301

R. Roth, S. Reinhardt, and HH, Phys. Rev. **C77** (2008), 064003

HH and R. Roth, Phys. Rev. **C75** (2007), 051001

## Basic Concept

**continuous unitary transformation** of the Hamiltonian to band-diagonal form w.r.t. a given “uncorrelated” many-body basis

- evolved Hamiltonian

$$H(s) = U(s)HU^\dagger(s) \equiv T + V(s)$$

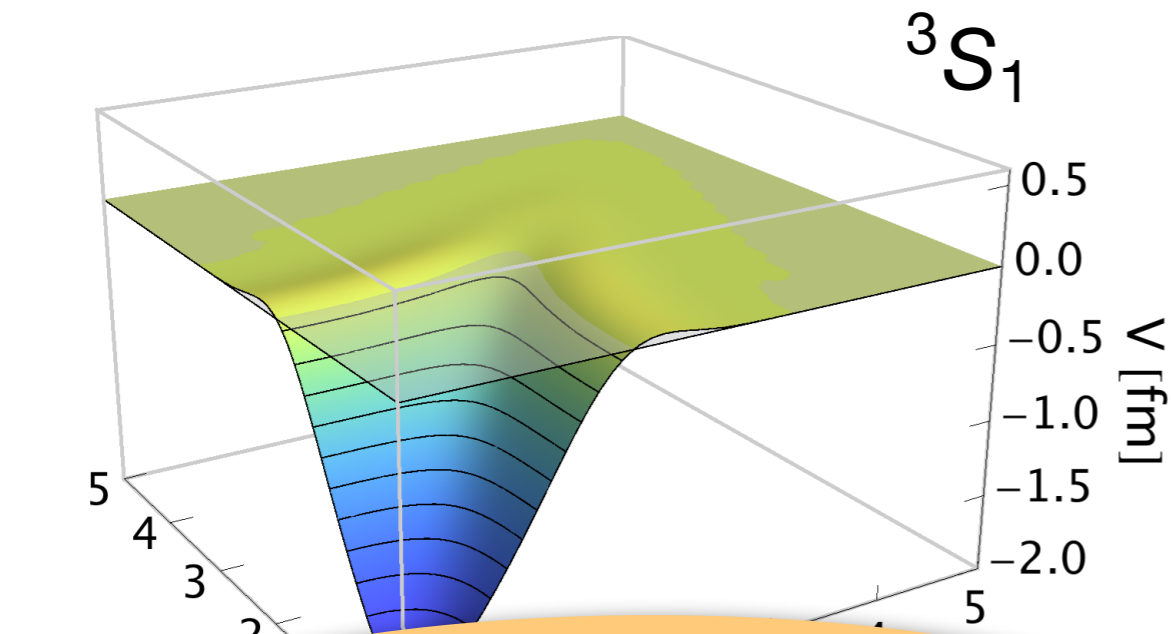
- flow equation:

$$\frac{d}{ds}H(s) = [\eta(s), H(s)], \quad \eta(s) = \frac{dU(s)}{ds}U^\dagger(s) = -\eta^\dagger(s)$$

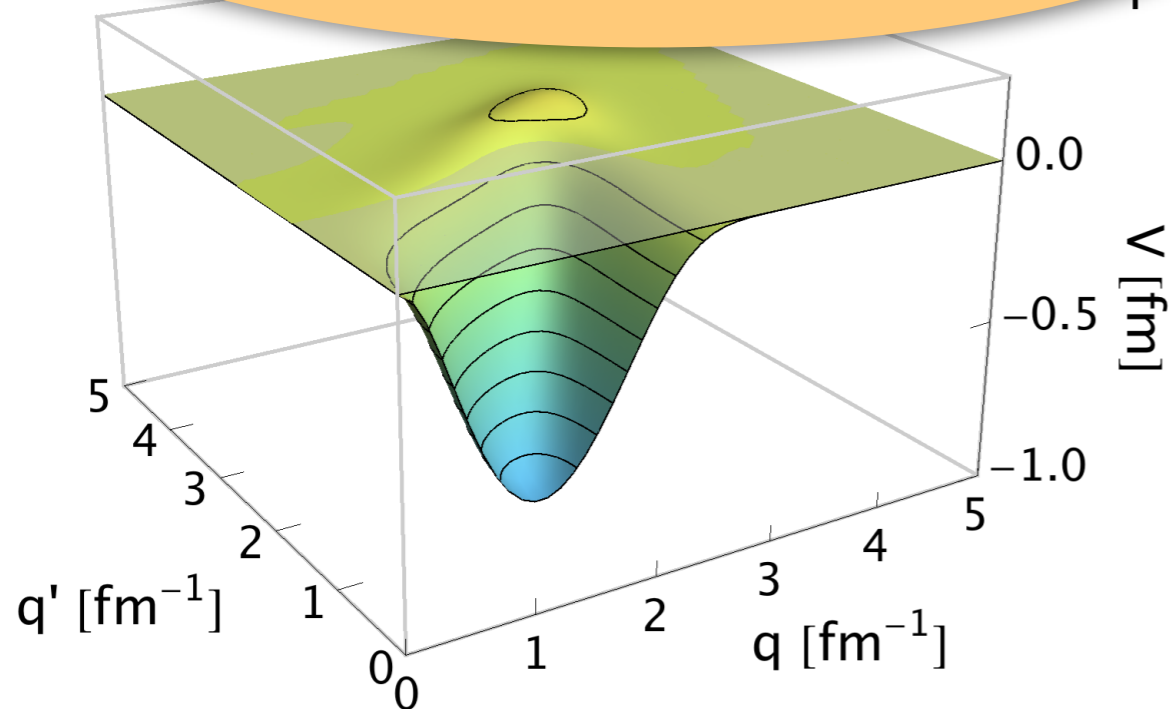
- choose  $\eta(s)$  to achieve desired behavior, e.g. decoupling of momentum or energy scales
- **consistently evolve observables** of interest

# SRG in Two-Body Space

momentum space matrix elements



lowering resolution scale  $\lambda$   
 $\Leftrightarrow$  decoupling of low and high momenta

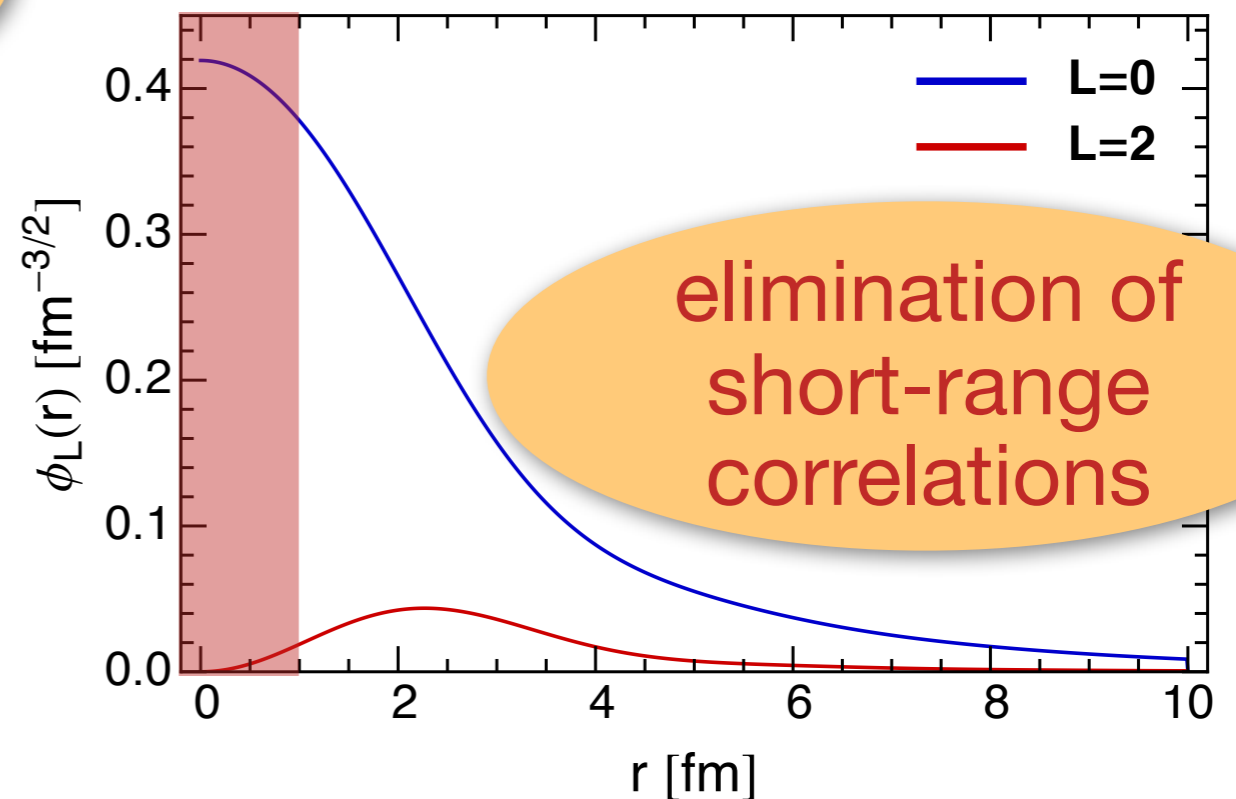


$$\lambda = 1.8 \text{ fm}^{-1}$$

$$\eta(\lambda) = 2\mu [T_{\text{rel}}, H(\lambda)]$$

$$\lambda = s^{-1/4}$$

deuteron wave function



elimination of short-range correlations

- SRG is a **unitary transformation** in **A-body space**
- up to **A-body interactions** are **induced** during the flow:

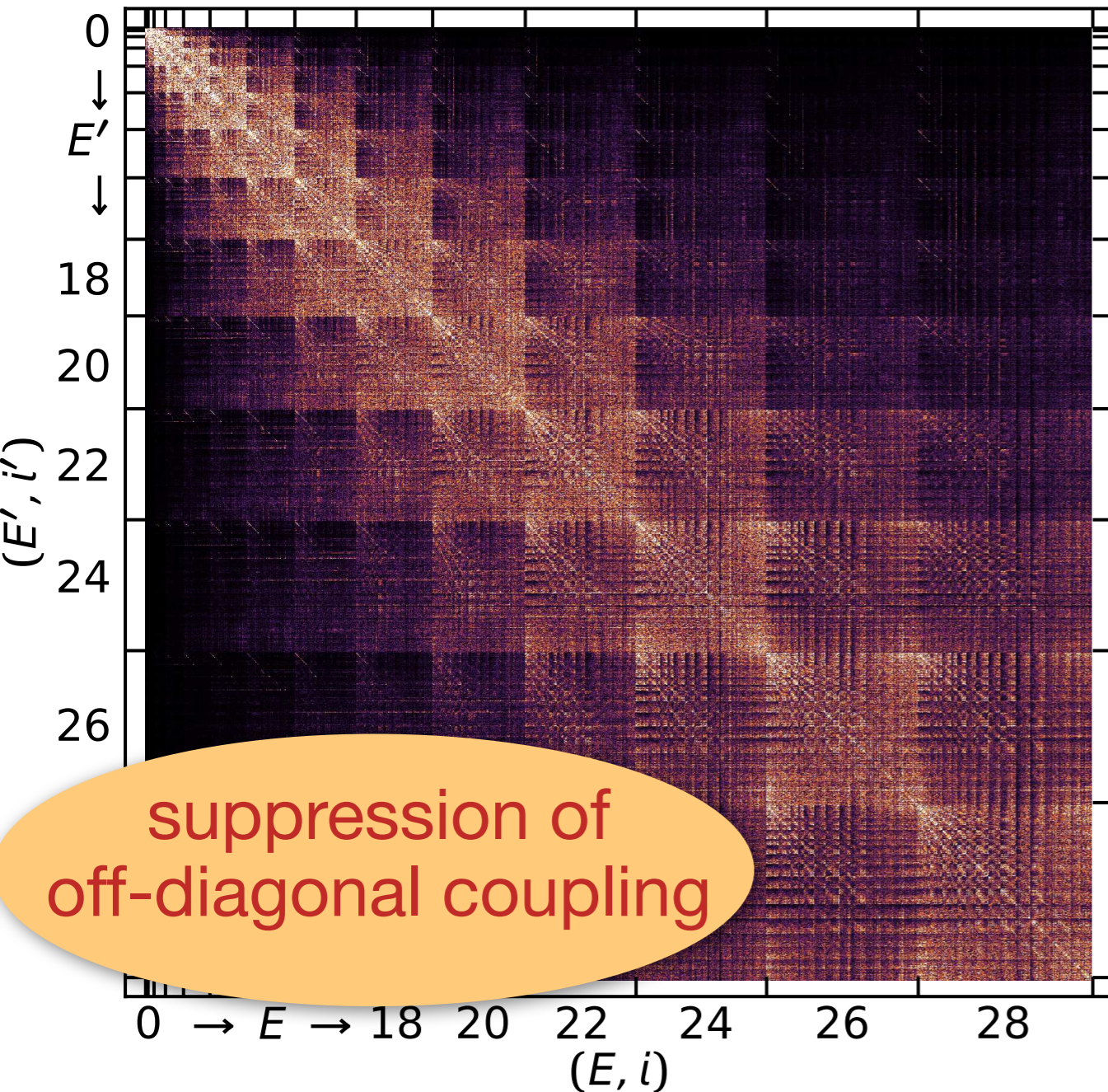
$$\frac{dH}{d\lambda} = \left[ \left[ \sum a^\dagger a, \underbrace{\sum a^\dagger a^\dagger aa}_{2\text{-body}} \right], \underbrace{\sum a^\dagger a^\dagger aa}_{2\text{-body}} \right] + \dots = \sum \underbrace{a^\dagger a^\dagger a^\dagger aaa}_{3\text{-body}} + \dots$$

- state-of-the-art: evolve in three-body space, truncate induced four- and higher many-body forces  
(Jurgenson, Furnstahl, Navratil, PRL 103, 082501; Hebeler, PRC 85, 021002; Wendt, arXiv:1304.1431 [nucl-th] )
- use  **$\lambda$ -dependence** of eigenvalues as a **diagnostic** for size of omitted induced interactions

# SRG in Three-Body Space

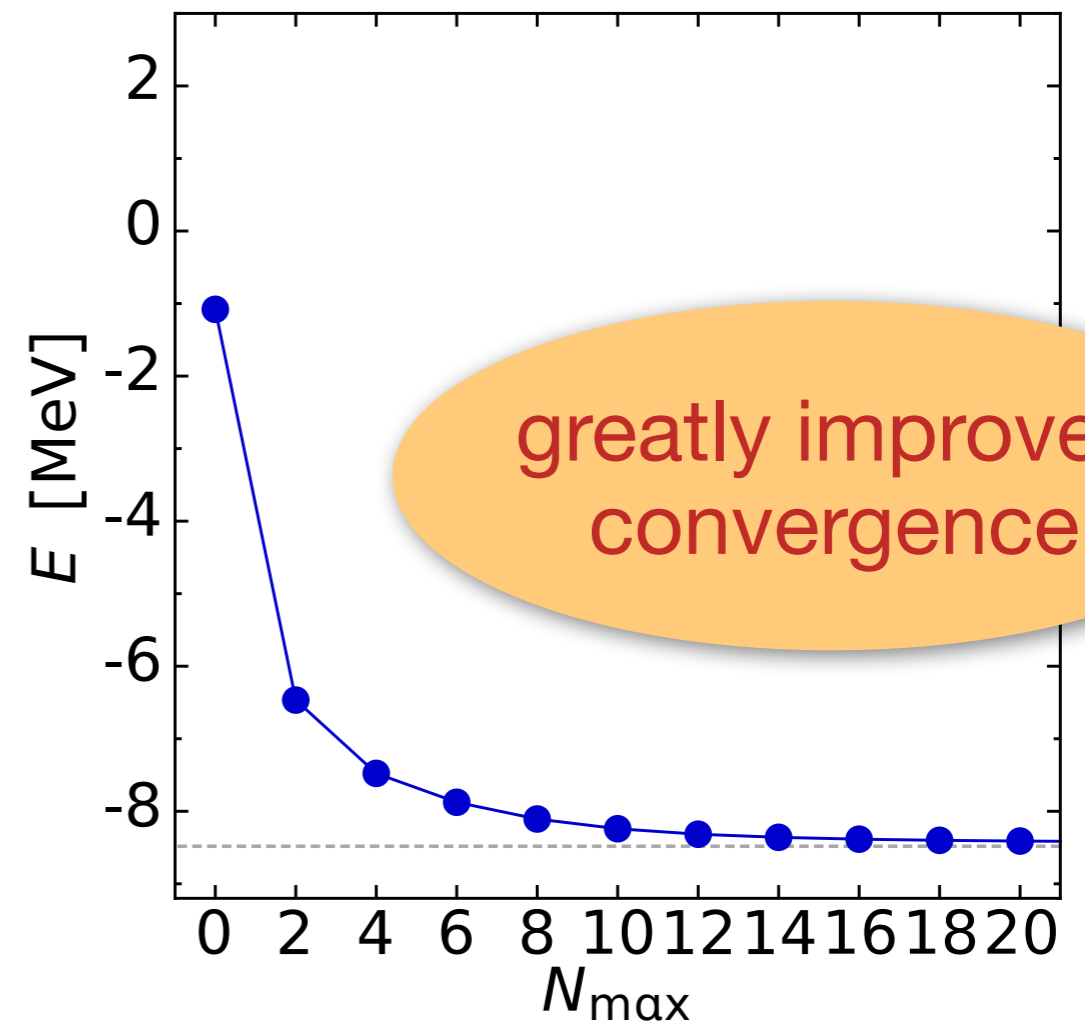
## 3B Jacobi-HO Matrix Elements

$$J^\pi = \frac{1}{2}^+, T = \frac{1}{2}, \hbar\Omega = 28 \text{ MeV}$$



$$\lambda = 1.33 \text{ fm}^{-1}$$

## $^3\text{H}$ ground-state (NCSM)



[figures by R. Roth, A. Calci, J. Langhammer]

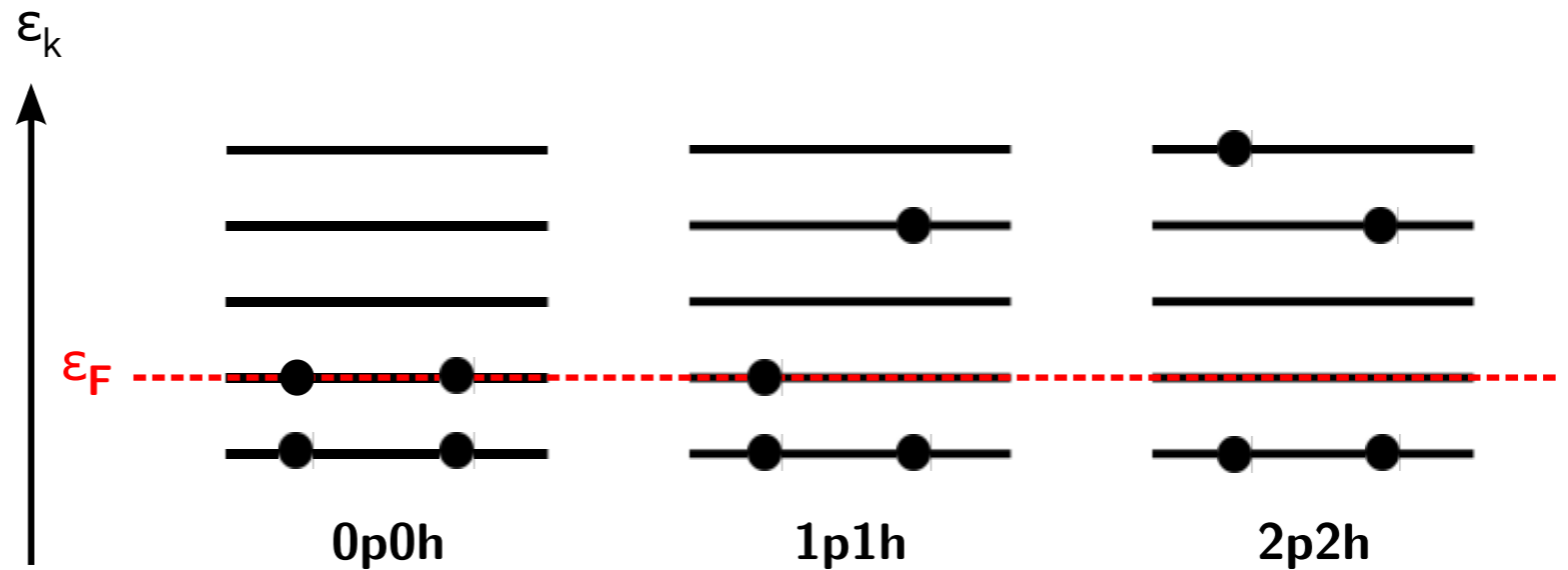
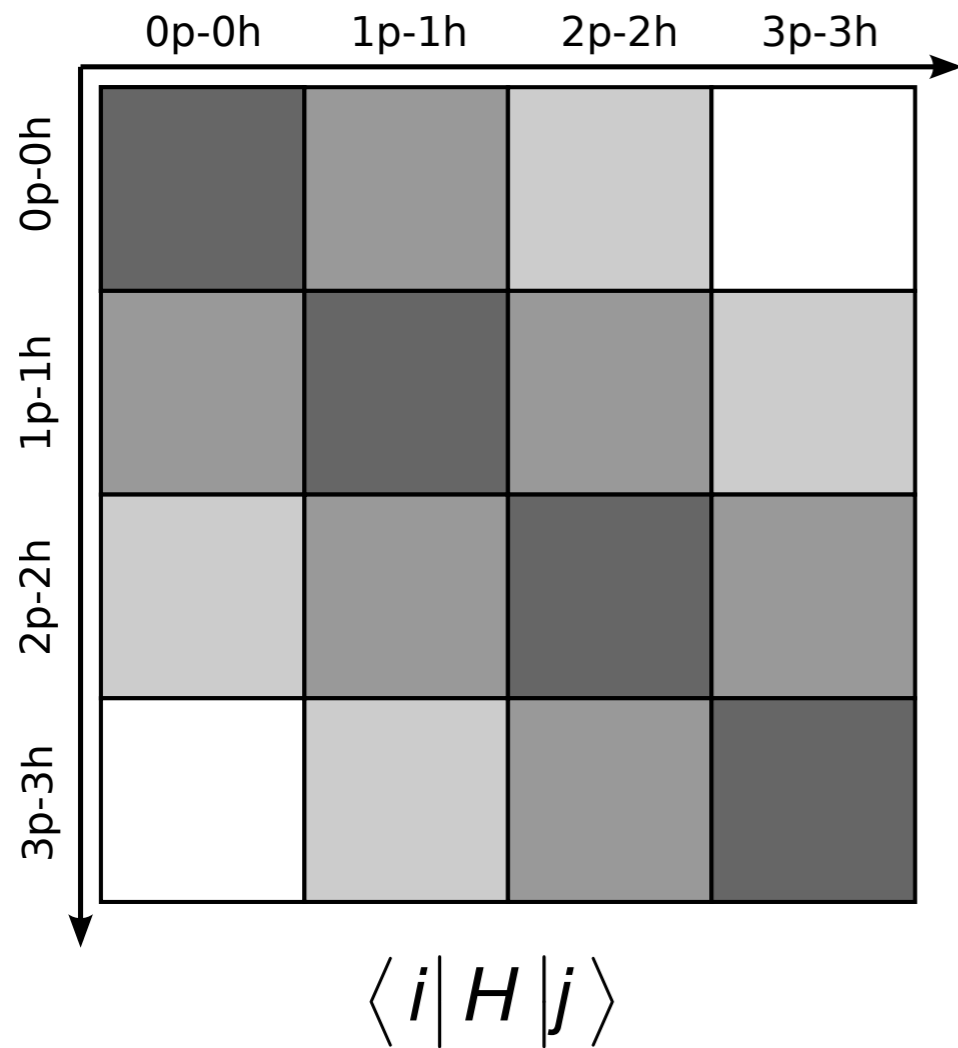
# In-Medium SRG for Closed-Shell Nuclei

HH, S. K. Bogner, S. Binder, A. Calci, J. Langhammer, R. Roth, and A. Schwenk,  
Phys. Rev. C **87**, 034307 (2013)

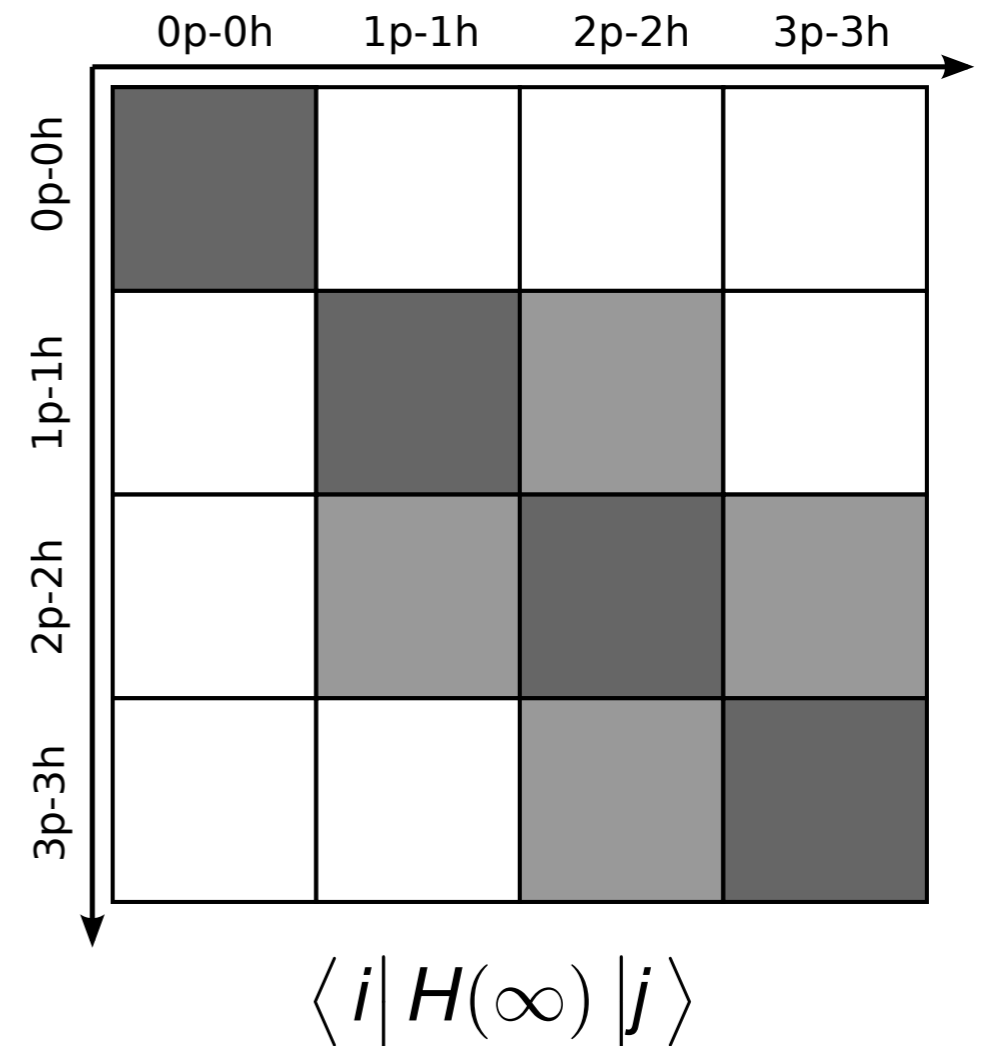
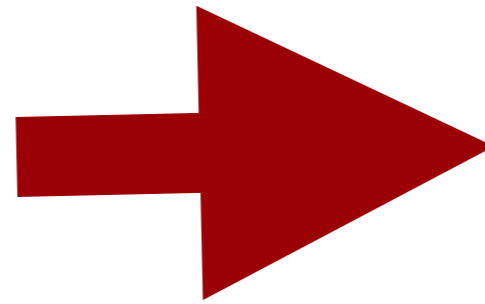
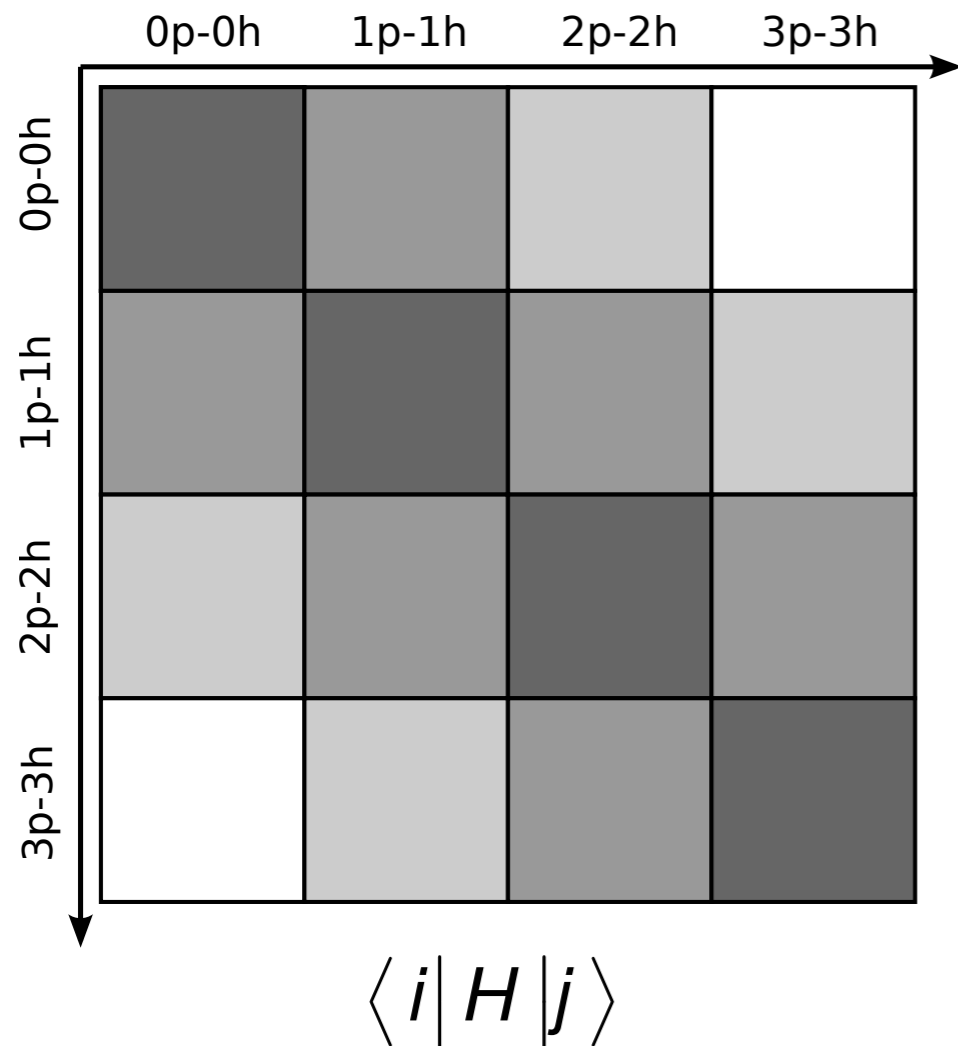
K. Tsukiyama, S. K. Bogner, and A. Schwenk, Phys. Rev. Lett. **106**, 222502 (2011)



# Decoupling in A-Body Space



# Decoupling in A-Body Space



**aim:** decouple reference state  
(0p-0h) from excitations

# Normal Ordering

- **second quantization:**  $A_{I_1 \dots I_N}^{k_1 \dots k_N} = a_{k_1}^\dagger \dots a_{k_N}^\dagger a_{I_N} \dots a_{I_1}$

- particle- and hole density matrices:

$$\lambda_I^k = \langle \Phi | A_I^k | \Phi \rangle \longrightarrow n_k \delta_I^k, \quad n_k \in \{0, 1\}$$

$$\xi_I^k = \lambda_I^k - \delta_I^k \longrightarrow -\bar{n}_k \delta_I^k \equiv -(1 - n_k) \delta_I^k$$

- define **normal-ordered operators** recursively:

$$A_{I_1 \dots I_N}^{k_1 \dots k_N} = : A_{I_1 \dots I_N}^{k_1 \dots k_N} : + \lambda_{I_1}^{k_1} : A_{I_2 \dots I_N}^{k_2 \dots k_N} : + \text{singles} \\ + \left( \lambda_{I_1}^{k_1} \lambda_{I_2}^{k_2} - \lambda_{I_2}^{k_1} \lambda_{I_1}^{k_2} \right) : A_{I_3 \dots I_N}^{k_3 \dots k_N} : + \text{doubles} + \dots$$

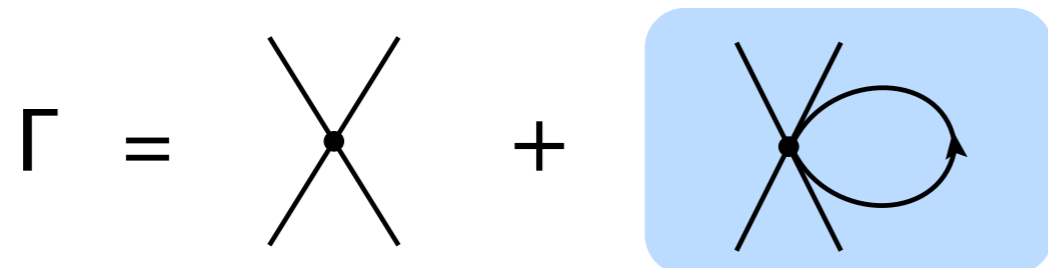
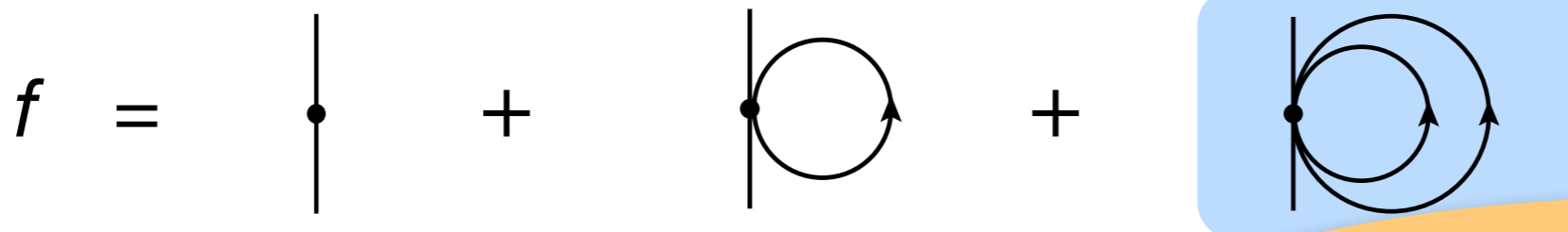
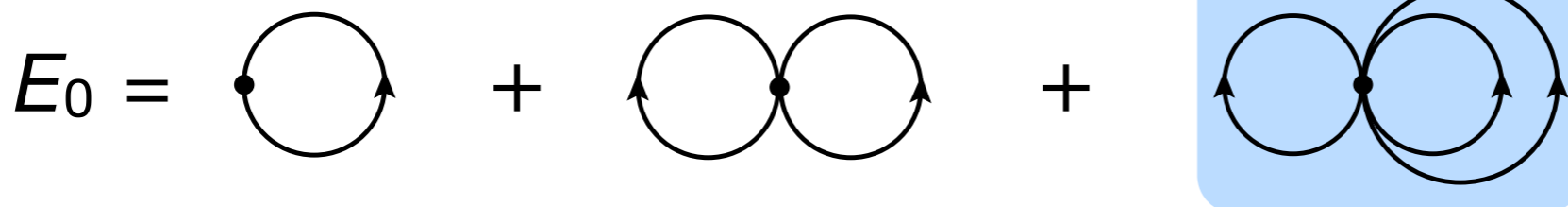
- **algebra is simplified** significantly because

$$\langle \Phi | : A_{I_1 \dots I_N}^{k_1 \dots k_N} : | \Phi \rangle = 0$$

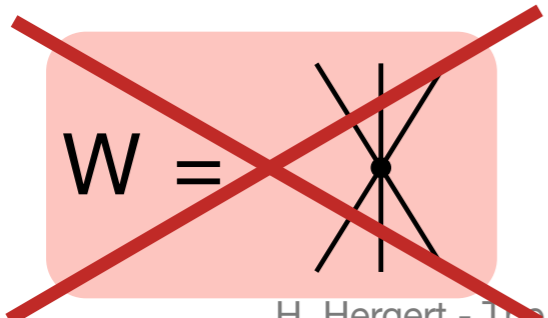
- **Wick's theorem** gives simplified expansions (**fewer terms!**) for products of normal-ordered operators

## Normal-Ordered Hamiltonian

$$H = E_0 + \sum_{kl} f_l^k : A_l^k : + \frac{1}{4} \sum_{klmn} \Gamma_{mn}^{kl} : A_{mn}^{kl} : + \frac{1}{36} \sum_{ijklmn} W_{lmn}^{ijk} : A_{lmn}^{ijk} :$$

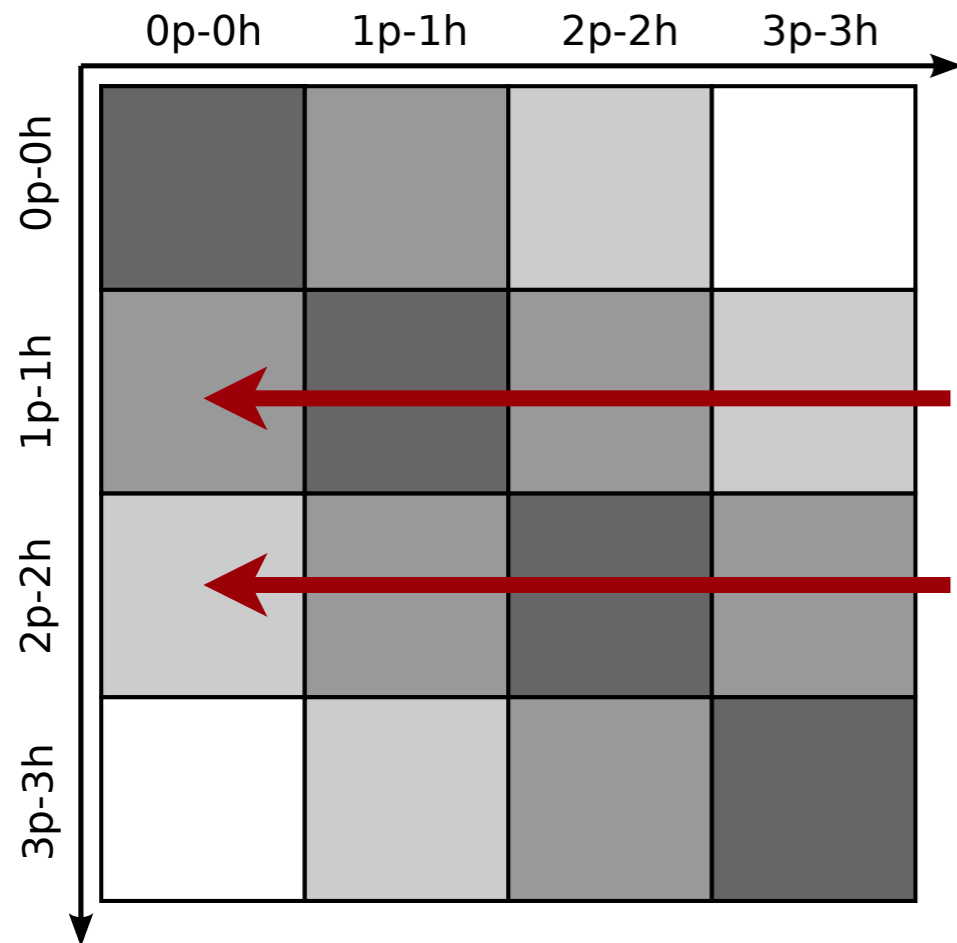


two-body formalism with in-medium contributions from three-body interactions



Normal ordering w.r.t. Hartree-Fock solution for **complete** NN(+3N) Hamiltonian!

# Choice of Generator



$$\langle \begin{smallmatrix} p \\ h \end{smallmatrix} | H | \Psi \rangle = \sum_{kl} f_l^k \langle \Psi | : A_p^h :: A_l^k : | \Psi \rangle = -n_h \bar{n}_p f_h^p$$

$$\langle \begin{smallmatrix} pp' \\ hh' \end{smallmatrix} | H | \Psi \rangle = \sum_{klmn} \Gamma_{mn}^{kl} \langle \Psi | : A_{pp'}^{hh'} :: A_{mn}^{kl} : | \Psi \rangle \sim \Gamma_{hh'}^{pp'}$$

## Off-Diagonal Hamiltonian

$$H^{od} \equiv f^{od} + \Gamma^{od}, \quad f^{od} \equiv \sum_{ph} f_h^p : A_h^p : + \text{H.c.}, \quad \Gamma^{od} \equiv \sum_{pp'hh'} \Gamma_{hh'}^{pp'} : A_{hh'}^{pp'} : + \text{H.c.}$$

# Choice of Generator

- Wegner

$$\eta' = [H^d, H^{od}]$$

- White (J. Chem. Phys. 117, 7472)

$$\eta'' = \sum_{ph} \frac{f_h^p}{E_p - E_h} : A_h^p : + \sum_{pp'hh'} \frac{\Gamma_{hh'}^{pp'}}{E_{pp'} - E_{hh'}} : A_{hh'}^{pp'} : + \text{H.c.}$$

$$E_p - E_h, E_{pp'} - E_{hh'} : \quad \text{approx. 1p1h, 2p2h excitation energies}$$

- off-diagonal matrix elements are suppressed like  $e^{-\Delta E^2 s}$  (Wegner) or  $e^{-s}$  (White)
- g.s. energies ( $s \rightarrow \infty$ ) for **both generators agree** within a few keV

# In-Medium SRG Flow Equations

## 0-body Flow

$$\frac{dE}{ds} = \sum_{ab} (n_a - n_b) \left( \eta_b^a f_a^b - f_b^a \eta_a^b \right) + \frac{1}{4} \sum_{abcd} \left( \eta_{cd}^{ab} \Gamma_{ab}^{cd} - \Gamma_{cd}^{ab} \eta_{ab}^{cd} \right) n_a n_b \bar{n}_c \bar{n}_d$$

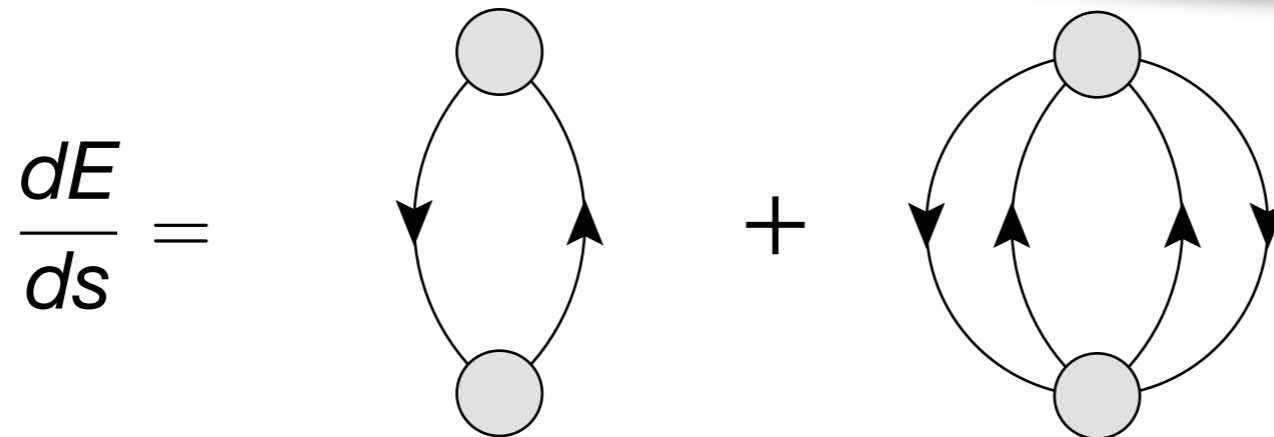
## 1-body Flow

$$\begin{aligned} \frac{d}{ds} f_2^1 &= \sum_a \left( \eta_a^1 f_2^a - f_a^1 \eta_2^a \right) + \sum_{ab} \left( \eta_b^a \Gamma_{a2}^{b1} - f_b^a \eta_{a2}^{b1} \right) (n_a - n_b) \\ &+ \frac{1}{2} \sum_{abcdef} \left( \eta_{bc}^{1a} \Gamma_{2a}^{bc} - \Gamma_{bc}^{1a} \eta_{2a}^{bc} \right) (n_a \bar{n}_b \bar{n}_c + \bar{n}_a n_b n_c) \end{aligned}$$

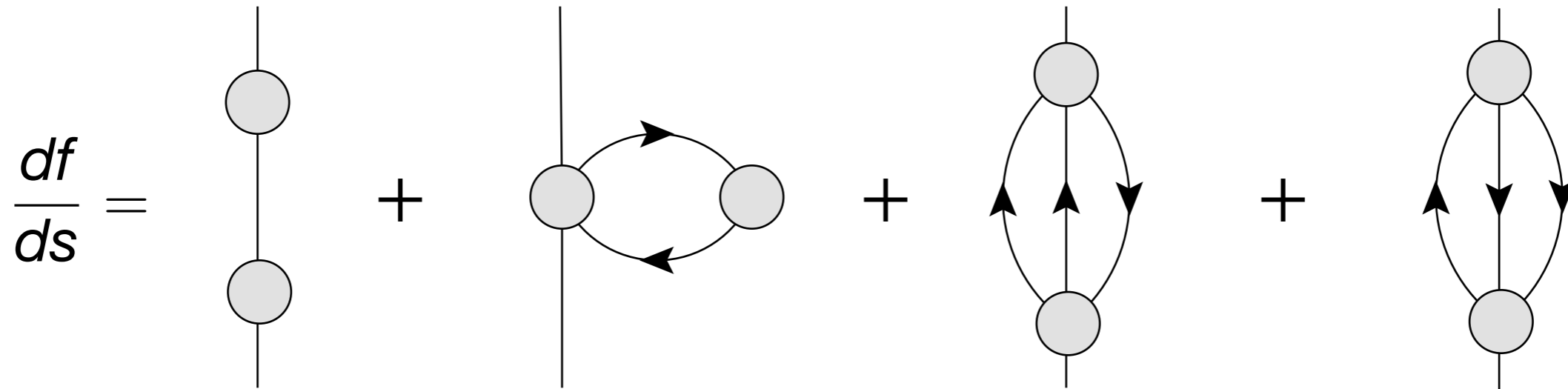
# In-Medium SRG Flow Equations

## 0-body Flow

~ 2nd order MBPT for  $H(s)$



## 1-body Flow



(White generator, Hugenholtz diagrams)



# In-Medium SRG Flow Equations

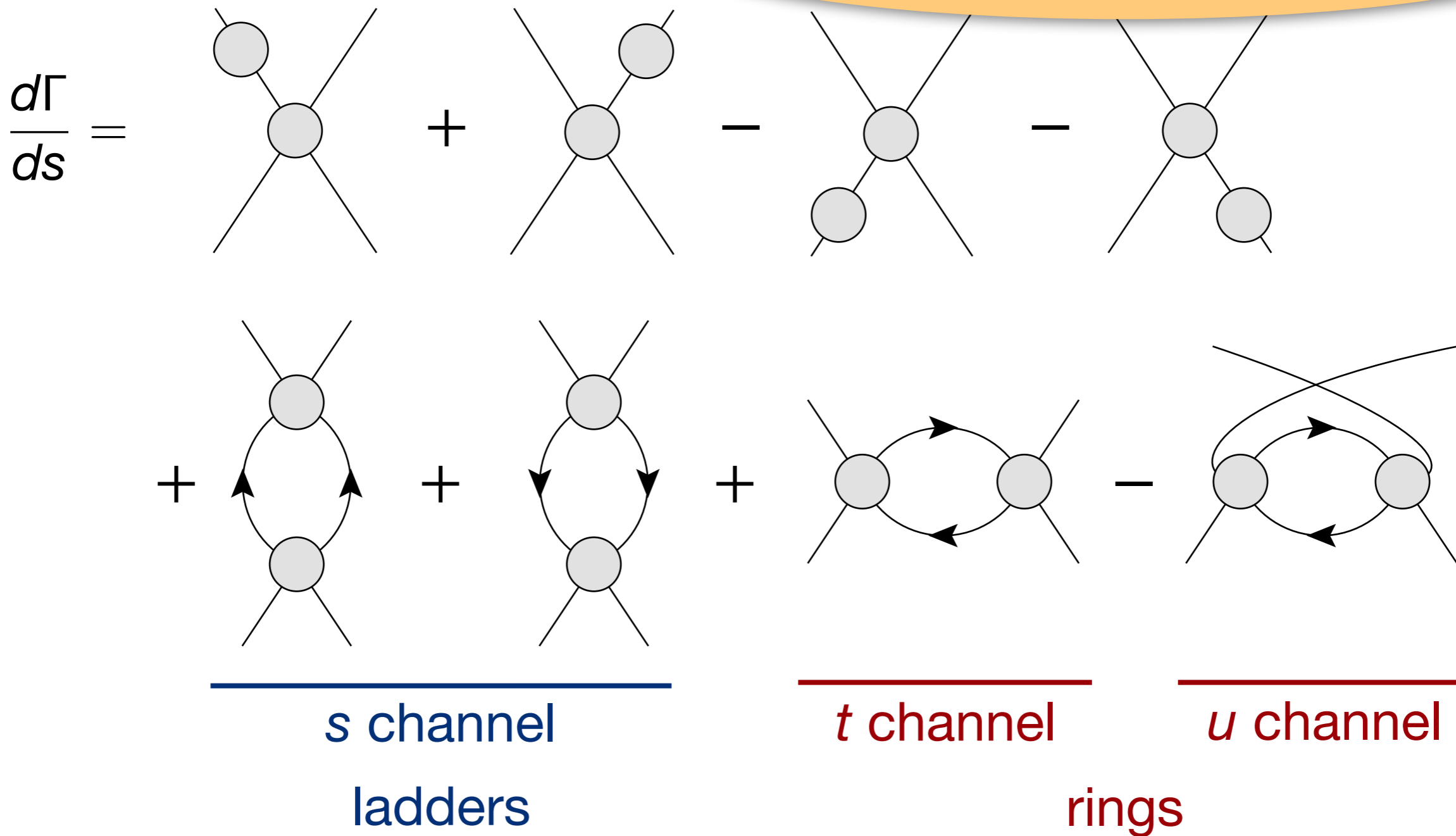
## 2-body Flow

$$\begin{aligned} \frac{d}{ds} \Gamma_{34}^{12} = & \sum_a \left( \eta_a^1 \Gamma_{34}^{a2} + \eta_a^2 \Gamma_{34}^{1a} - \eta_3^a \Gamma_{a4}^{12} - \eta_4^a \Gamma_{3a}^{12} - f_a^1 \eta_{34}^{a2} - f_a^2 \eta_{34}^{1a} + f_3^a \eta_{a4}^{12} + f_4^a \eta_{3a}^{12} \right) \\ & + \frac{1}{2} \sum_{ab} \left( \eta_{ab}^{12} \Gamma_{34}^{ab} - \Gamma_{ab}^{12} \eta_{34}^{ab} \right) (1 - n_a - n_b) \\ & + \sum_{ab} (n_a - n_b) \left( \left( \eta_{3b}^{1a} \Gamma_{4a}^{2b} - \Gamma_{3b}^{1a} \eta_{4a}^{2b} \right) - \left( \eta_{3b}^{2a} \Gamma_{4a}^{1b} - \Gamma_{3b}^{2a} \eta_{4a}^{1b} \right) \right) \end{aligned}$$

# In-Medium SRG Flow Equations

## 2-body Flow

only linked diagrams contribute,  
IM-SRG **size-extensive**

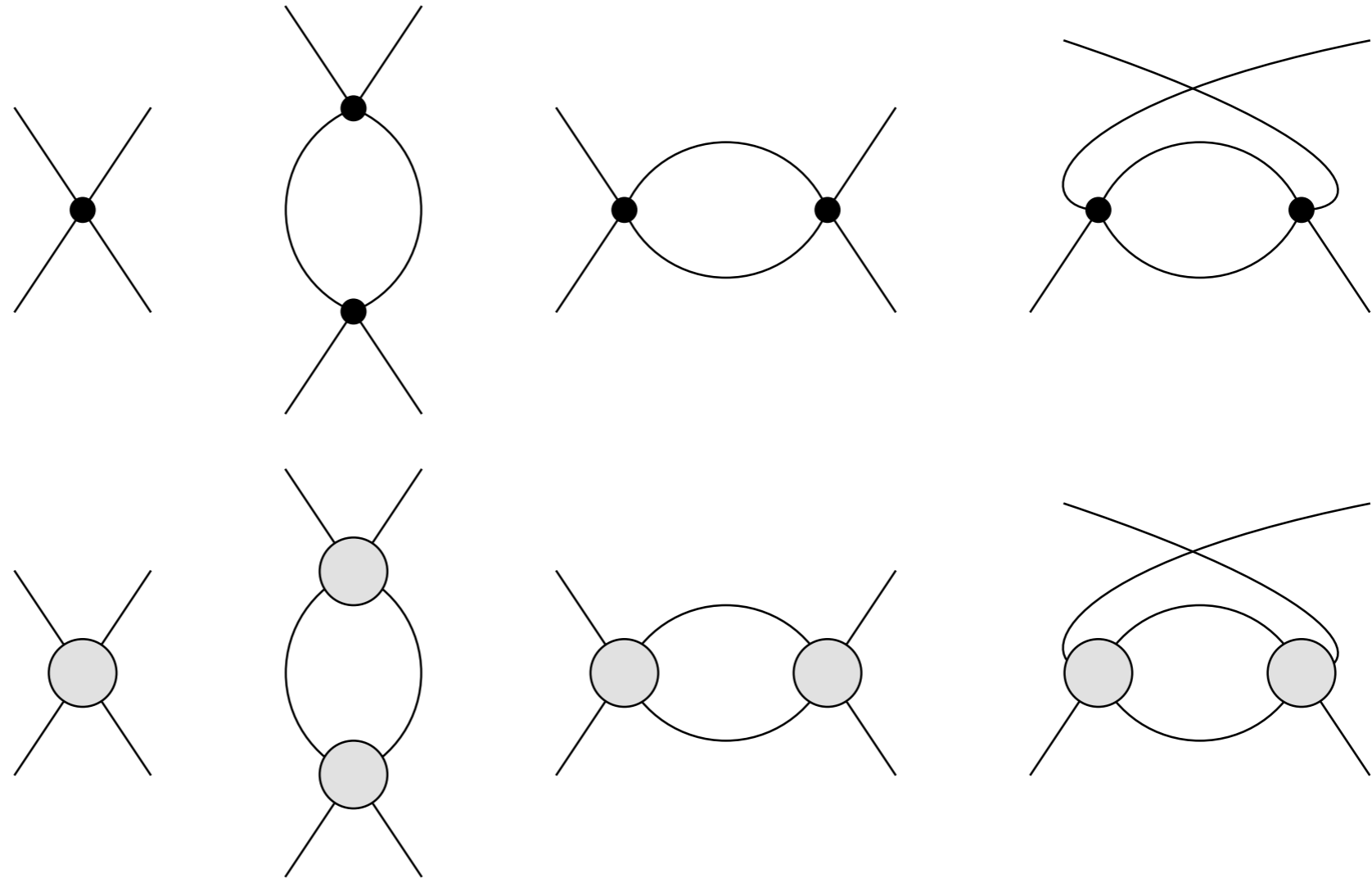


# In-Medium SRG Flow: Diagrams

$\Gamma(\delta s) \sim$



$\Gamma(2\delta s) \sim$

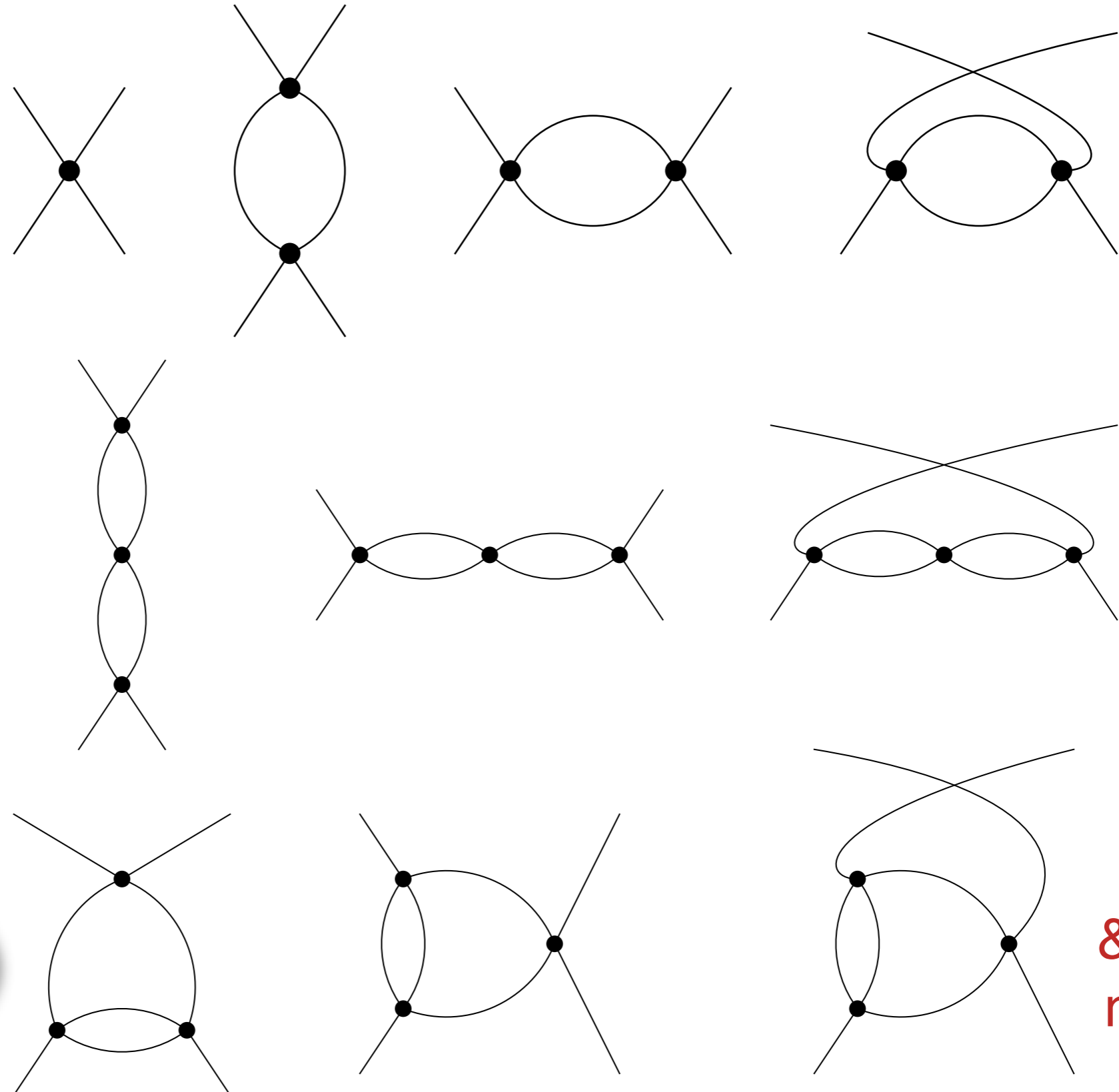


# In-Medium SRG Flow: Diagrams

$\Gamma(\delta s) \sim$



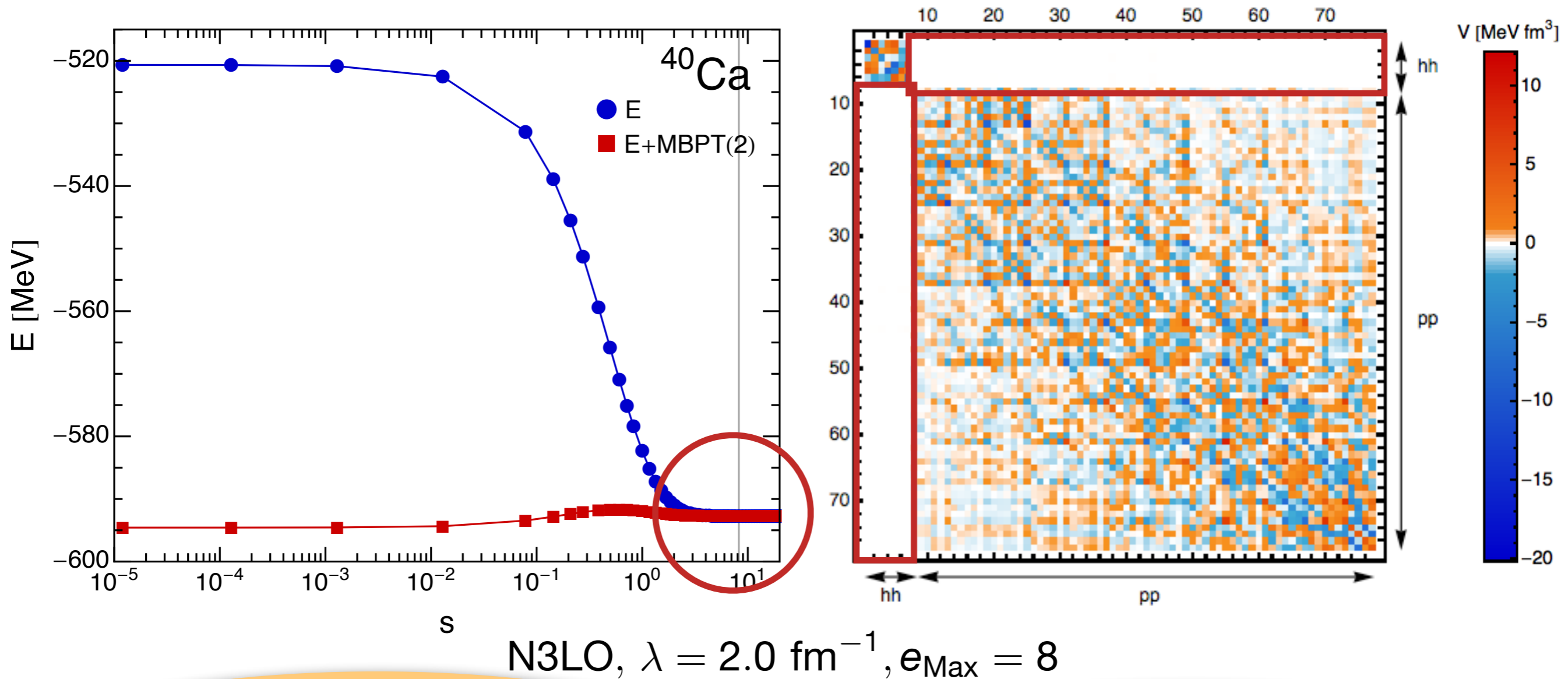
$\Gamma(2\delta s) \sim$



non-perturbative resummation

& many more...

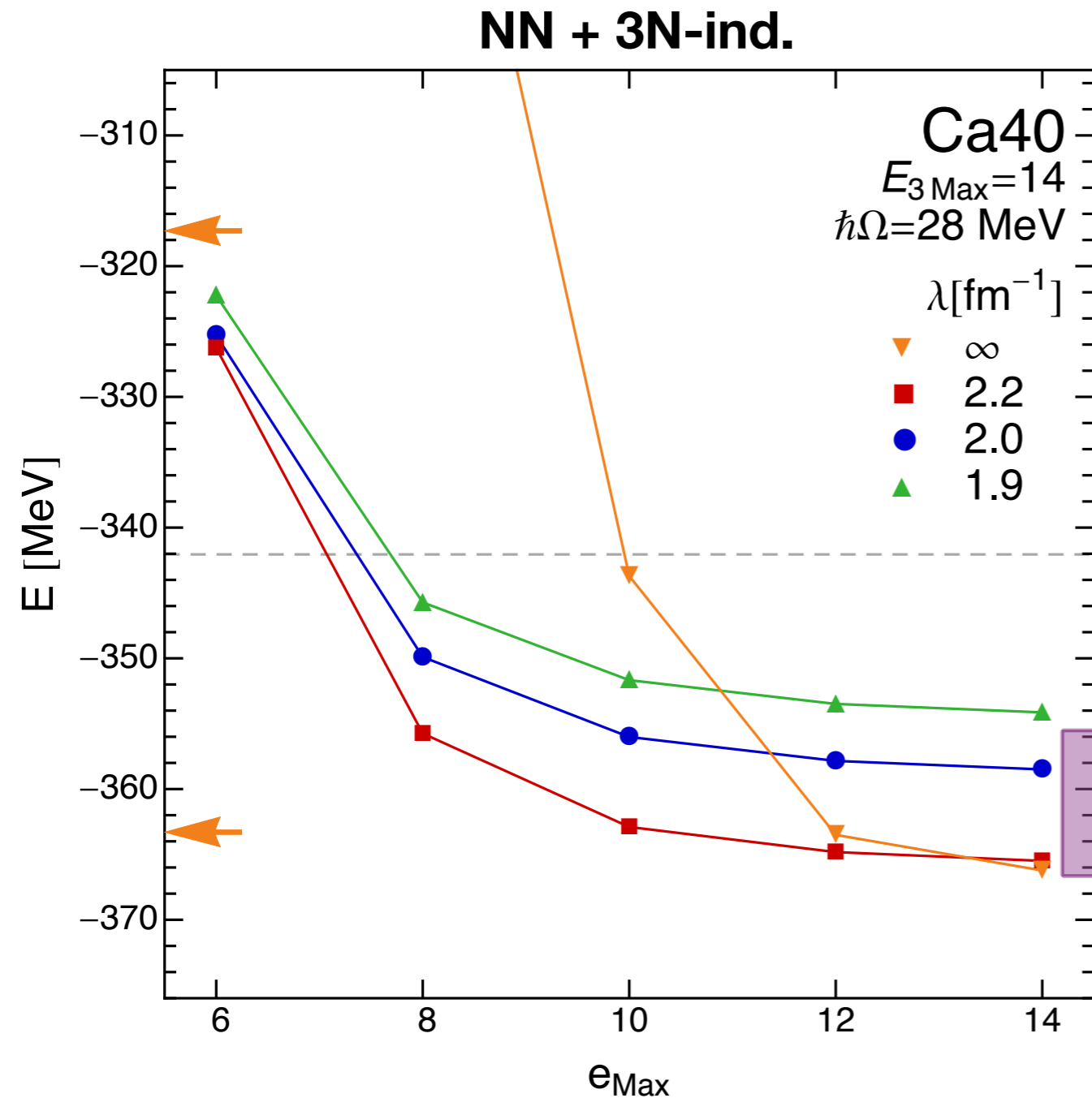
# Decoupling



non-perturbative  
resummation of MBPT series  
(correlations)

off-diagonal couplings  
are rapidly driven to zero

# Results: Closed-Shell Nuclei



← CCSD/ $\Lambda$ -CCSD(T),  $\lambda = \infty$ , G. Hagen et al., PRL 109, 032502 (2012)

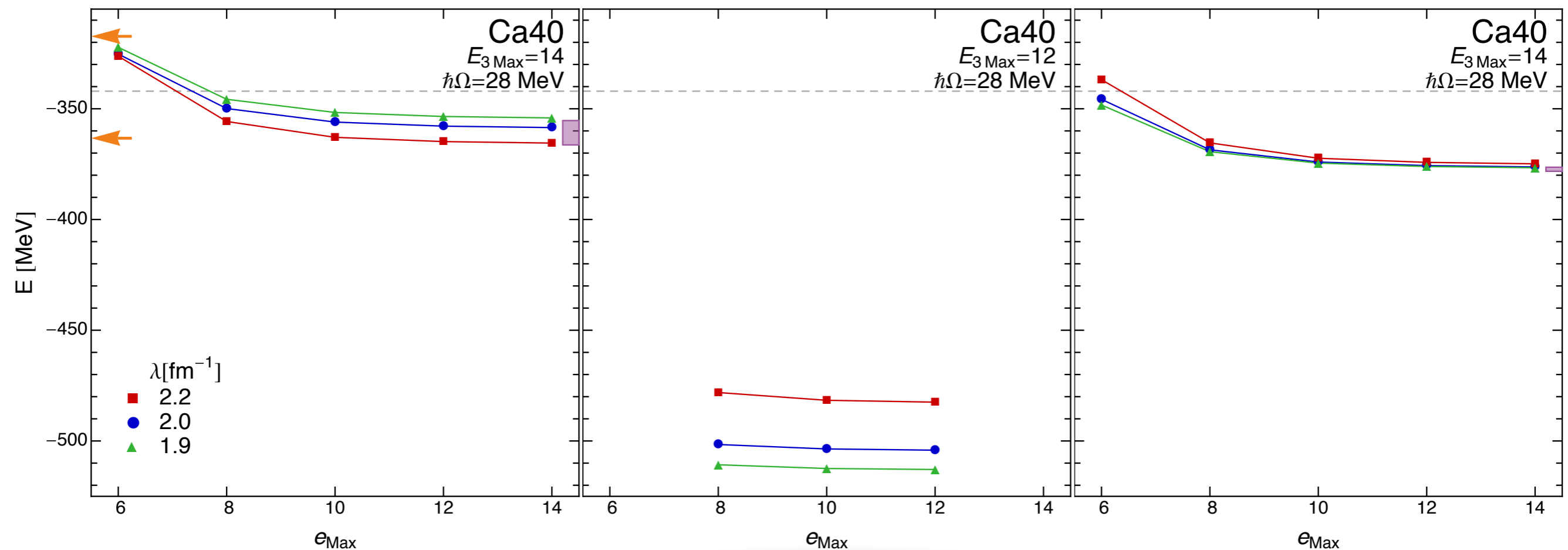
■  $\Lambda$ -CCSD(T),  $\lambda = 1.9 - 2.2$  fm<sup>-1</sup>, S.Binder et al., arXiv:1211.4748 [nucl-th] & PRL 109, 052501 (2012)

# Results: Closed-Shell Nuclei

NN + 3N-ind.

NN + 3N-full (500)

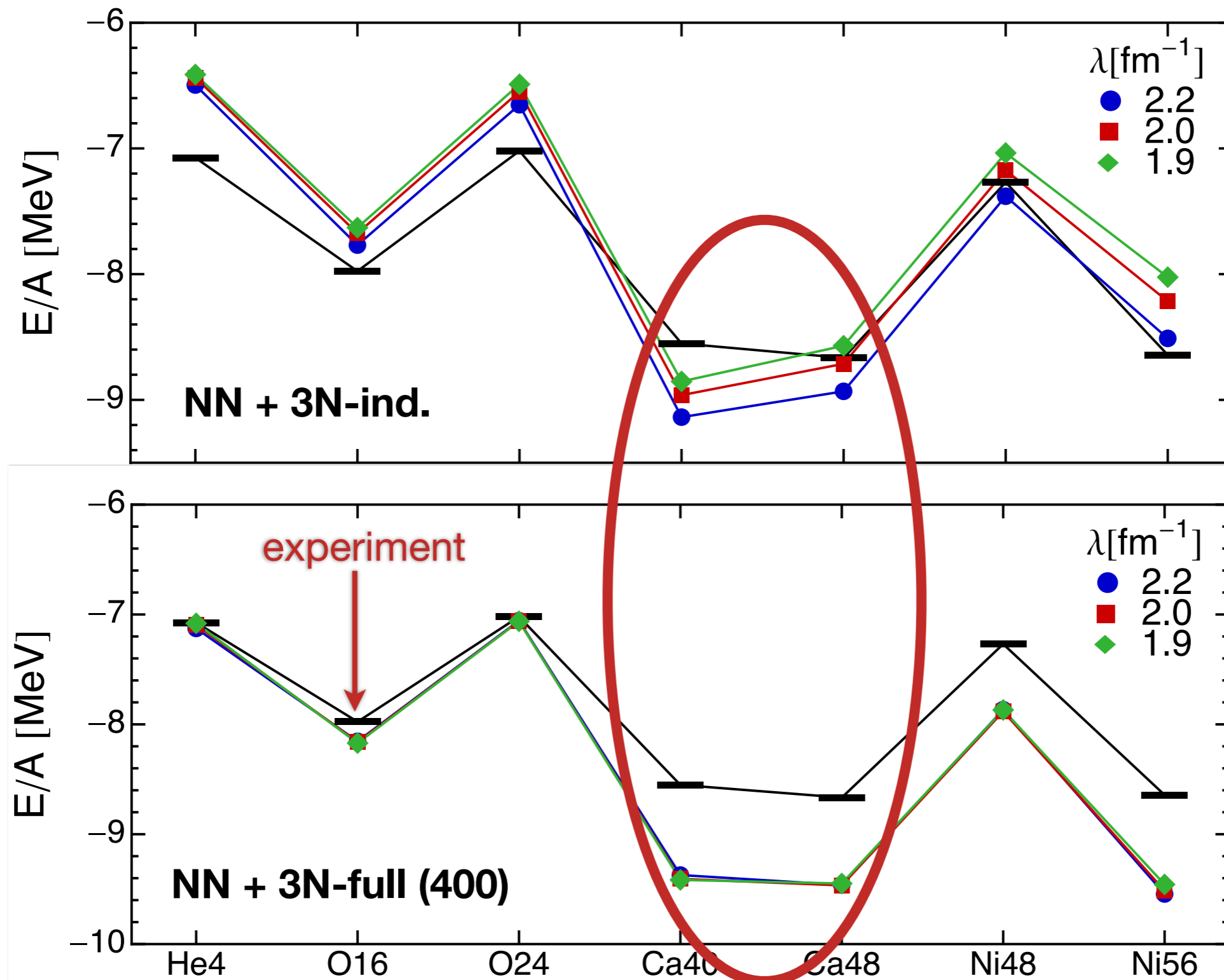
NN + 3N-full (400)



validate chiral  
Hamiltonians

- ← CCSD/ $\Lambda$ -CCSD(T),  $\lambda = \infty$ , G. Hagen et al., PRL 109, 032502 (2012)
- $\Lambda$ -CCSD(T),  $\lambda = 1.9 - 2.2 \text{ fm}^{-1}$ , S. Binder et al., PRL 109, 052501 (2012) & PRC 87, 021303 (2013)

# Results: Closed-Shell Nuclei



HH et al., Phys. Rev. C **87**, 034307 (2013), arXiv: 1212.1190 [nucl-th]



# Multi-Reference In-Medium SRG

HH, S. Binder, A. Calci, J. Langhammer, and R. Roth, Phys. Rev. Lett **110**, 242501 (2013)

# Generalized Normal Ordering

- generalized normal ordering & Wick theorem for arbitrary reference state (Kutzelnigg & Mukherjee)

- **ref. state correlations** are encoded in **irreducible n-body density matrices**:

$$\rho_{mn}^{kl} = \lambda_{mn}^{kl} + \lambda_m^k \lambda_n^l - \lambda_n^k \lambda_m^l$$

$$\rho_{lmn}^{ijk} = \lambda_{lmn}^{ijk} + \lambda_l^i \lambda_{mn}^{jk} + \lambda_l^i \lambda_m^j \lambda_n^k + \text{permutations}$$

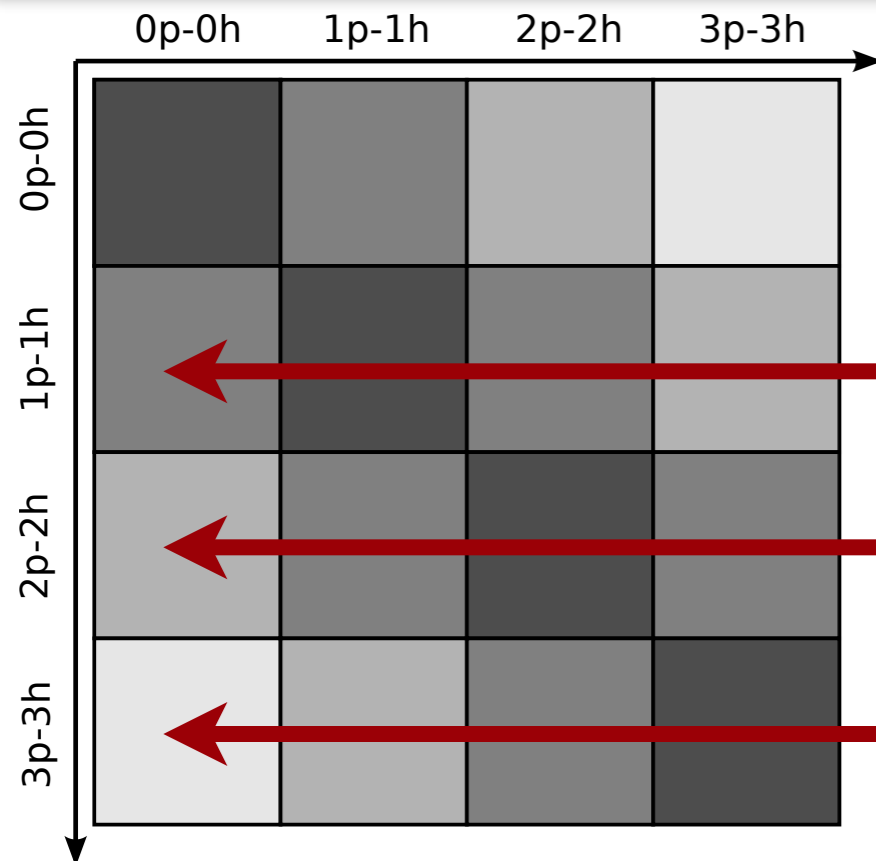
- additional terms in normal-ordered operators:

$$A_{l_1 \dots l_N}^{k_1 \dots k_N} = : A_{l_1 \dots l_N}^{k_1 \dots k_N} : + \lambda_{l_1}^{k_1} : A_{l_2 \dots l_N}^{k_2 \dots k_N} : + \text{singles} \\ + \left( \lambda_{l_1}^{k_1} \lambda_{l_2}^{k_2} - \lambda_{l_2}^{k_1} \lambda_{l_1}^{k_2} + \lambda_{l_1 l_2}^{k_1 k_2} \right) : A_{l_3 \dots l_N}^{k_3 \dots k_N} : + \text{doubles} + \dots$$

- additional contractions, e.g.,

$$: A_{cd}^{ab} :: A_{mn}^{kl} : = \lambda_{mn}^{ab} : A_{cd}^{kl} : \\ : A_{def}^{abc} :: A_{nop}^{klm} : = -\lambda_{dop}^{abm} : A_{efn}^{ckl} :$$

# Decoupling Revisited



$$\langle \begin{matrix} p \\ h \end{matrix} | H | \Psi \rangle \sim f_h^p, \sum_{kl} f_l^k \lambda_{pl}^{hk}, \sum_{klmn} \Gamma_{mn}^{kl} \lambda_{pmn}^{hkl}, \dots$$

$$\langle \begin{matrix} pp' \\ hh' \end{matrix} | H | \Psi \rangle \sim \Gamma_{hh'}^{pp'}, \sum_{km} \Gamma_{hm}^{pk} \lambda_{p'm}^{h'k}, \sum_{kl} f_l^k \lambda_{pp'l}^{hh'k}, \sum_{klmn} \Gamma_{mn}^{kl} \lambda_{pp'mn}^{hh'kl}, \dots$$

$$\langle \begin{matrix} pp'p'' \\ hh'hh' \end{matrix} | H | \Psi \rangle \sim \dots$$

- truncation in irreducible density matrices
- number of **correlated vs. total** pairs, triples, ... (**caveat** for highly collective reference states)
- perturbative analysis (e.g. for shell-model like states)
- **verify for chosen multi-reference state when possible**

# Multi-Reference Flow Equations

## 0-body flow:

$$\begin{aligned} \frac{dE}{ds} = & \sum_{ab} (n_a - n_b) \left( \eta_b^a f_a^b - f_b^a \eta_a^b \right) + \frac{1}{4} \sum_{abcd} \left( \eta_{cd}^{ab} \Gamma_{ab}^{cd} - \Gamma_{cd}^{ab} \eta_{ab}^{cd} \right) n_a n_b \bar{n}_c \bar{n}_d \\ & + \frac{1}{4} \sum_{abcd} \left( \frac{d}{ds} \Gamma_{cd}^{ab} \right) \lambda_{cd}^{ab} + \frac{1}{4} \sum_{abcdklm} \left( \eta_{cd}^{ab} \Gamma_{am}^{kl} - \Gamma_{cd}^{ab} \eta_{am}^{kl} \right) \lambda_{cdm}^{bkl} \end{aligned}$$

## 1-body flow:

$$\begin{aligned} \frac{d}{ds} f_2^1 = & \sum_a \left( \eta_a^1 f_2^a - f_a^1 \eta_2^a \right) + \sum_{ab} \left( \eta_b^a \Gamma_{a2}^{b1} - f_b^a \eta_{a2}^{b1} \right) (n_a - n_b) \\ & + \frac{1}{2} \sum_{abcdef} \left( \eta_{bc}^{1a} \Gamma_{2a}^{bc} - \Gamma_{bc}^{1a} \eta_{2a}^{bc} \right) (n_a \bar{n}_b \bar{n}_c + \bar{n}_a n_b n_c) \\ & + \frac{1}{4} \sum_{abcde} \left( \eta_{bc}^{1a} \Gamma_{2a}^{de} - \Gamma_{bc}^{1a} \eta_{2a}^{de} \right) \lambda_{bc}^{de} + \sum_{abcde} \left( \eta_{bc}^{1a} \Gamma_{2d}^{be} - \Gamma_{bc}^{1a} \eta_{2d}^{be} \right) \lambda_{cd}^{ae} \\ & - \frac{1}{2} \sum_{abcde} \left( \eta_{2b}^{1a} \Gamma_{ae}^{cd} - \Gamma_{2b}^{1a} \eta_{ae}^{cd} \right) \lambda_{be}^{cd} + \frac{1}{2} \sum_{abcde} \left( \eta_{2b}^{1a} \Gamma_{de}^{bc} - \Gamma_{2b}^{1a} \eta_{de}^{bc} \right) \lambda_{de}^{ac} \end{aligned}$$

# Multi-Reference Flow Equations

## 2-body flow:

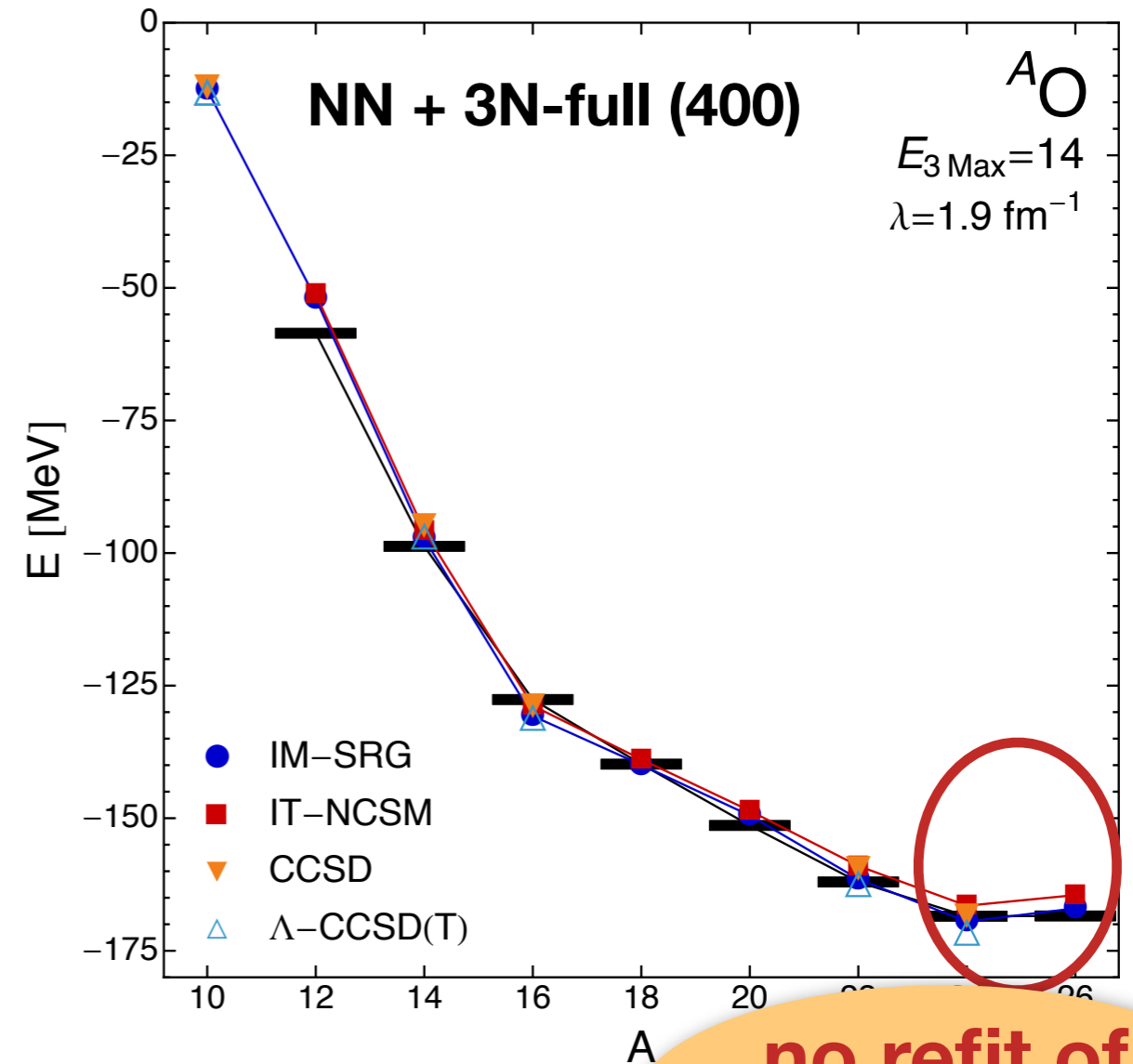
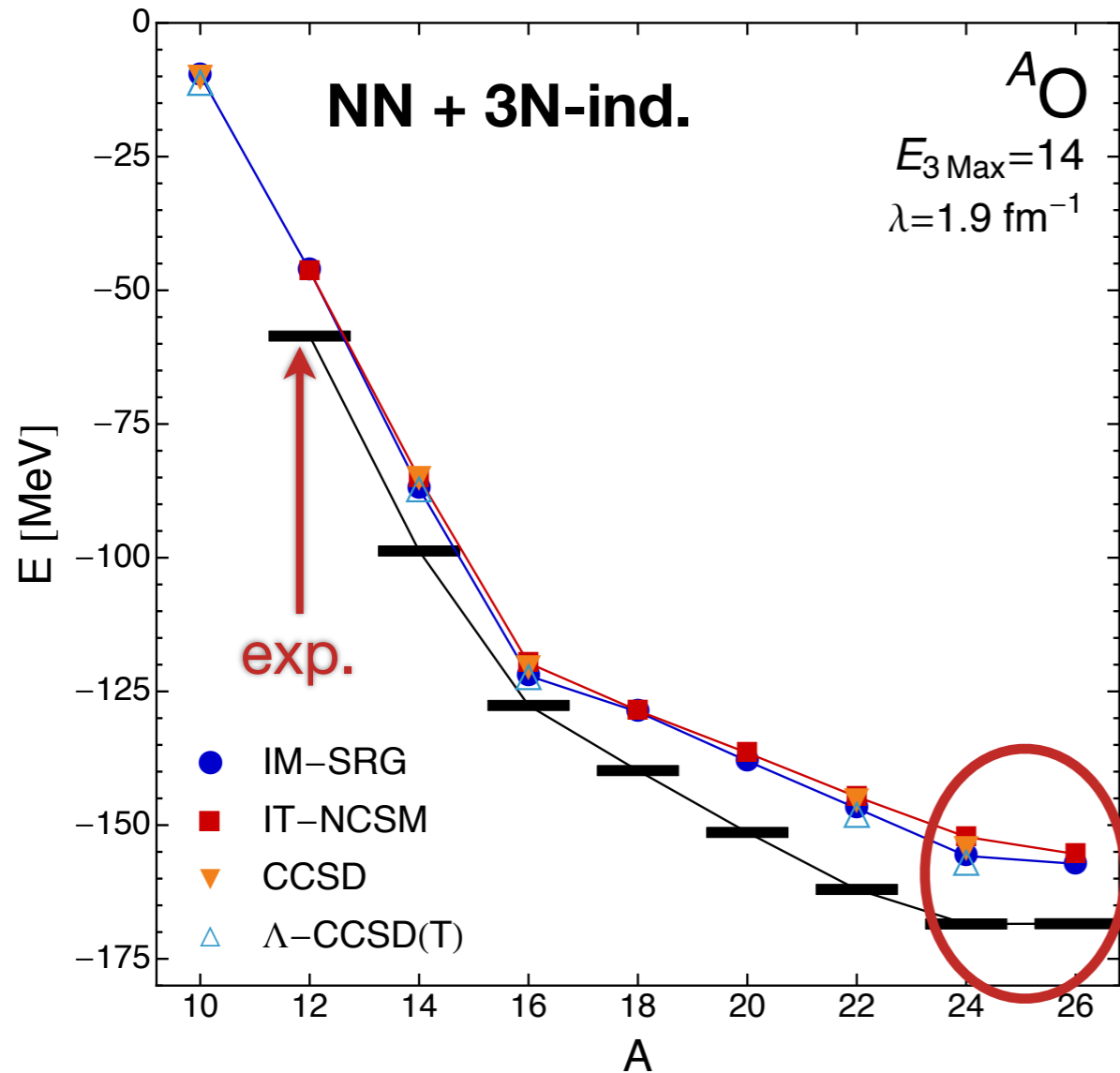
$$\begin{aligned} \frac{d}{ds} \Gamma_{34}^{12} = & \sum_a \left( \eta_a^1 \Gamma_{34}^{a2} + \eta_a^2 \Gamma_{34}^{1a} - \eta_3^a \Gamma_{a4}^{12} - \eta_4^a \Gamma_{3a}^{12} - f_a^1 \eta_{34}^{a2} - f_a^2 \eta_{34}^{1a} + f_3^a \eta_{a4}^{12} + f_4^a \eta_{3a}^{12} \right) \\ & + \frac{1}{2} \sum_{ab} \left( \eta_{ab}^{12} \Gamma_{34}^{ab} - \Gamma_{ab}^{12} \eta_{34}^{ab} \right) (1 - n_a - n_b) \\ & + \sum_{ab} (n_a - n_b) \left( \left( \eta_{3b}^{1a} \Gamma_{4a}^{2b} - \Gamma_{3b}^{1a} \eta_{4a}^{2b} \right) - \left( \eta_{3b}^{2a} \Gamma_{4a}^{1b} - \Gamma_{3b}^{2a} \eta_{4a}^{1b} \right) \right) \end{aligned}$$

2-body flow  
unchanged

# Open-Shell Nuclei

HH, S. Binder, A. Calci, J. Langhammer, and R. Roth, Phys. Rev. Lett **110**, 242501 (2013)

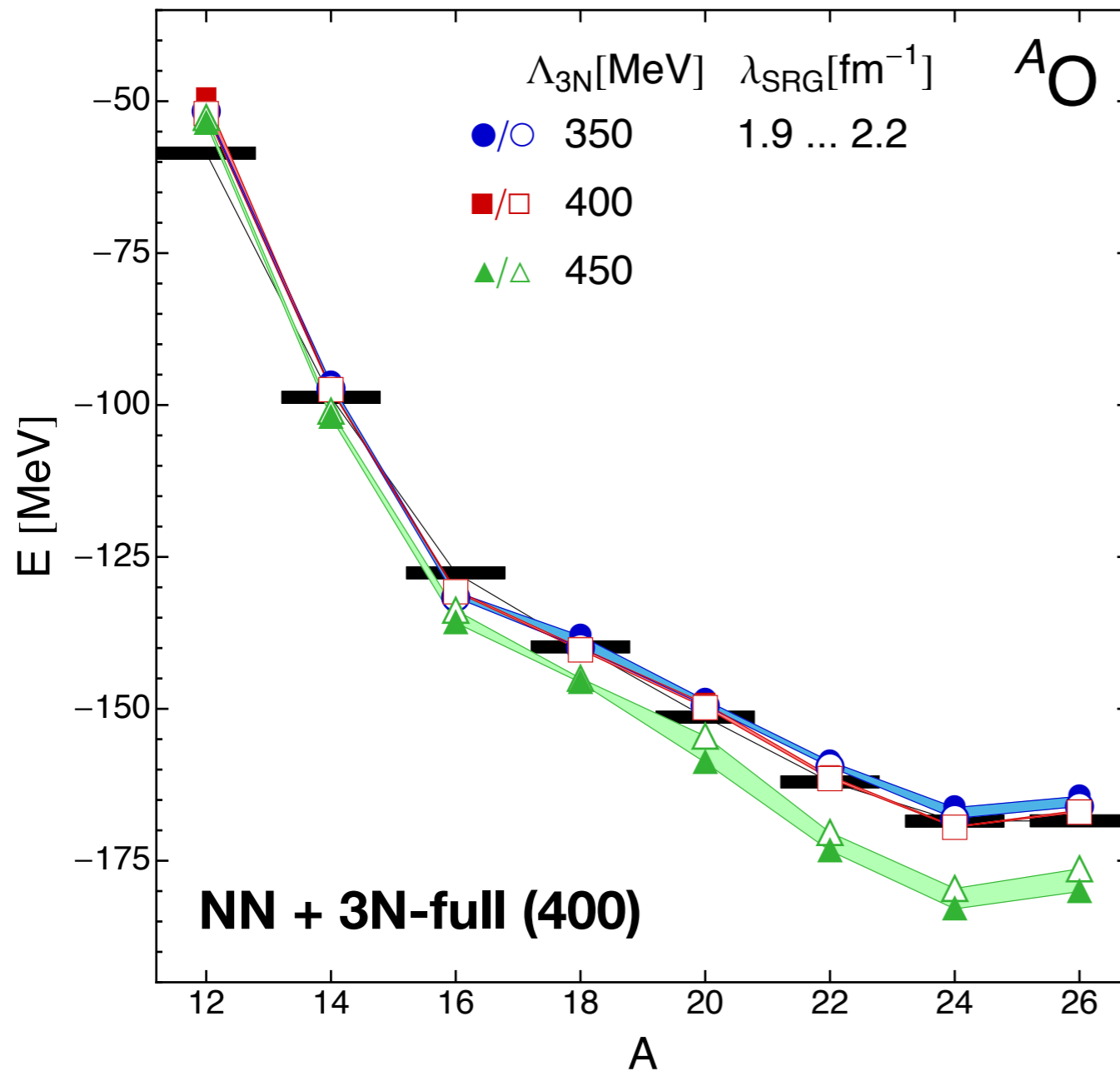
# Results: Oxygen Chain



no refit of  
3N interaction

- ref. state: number-projected Hartree-Fock-Bogoliubov
- results (mostly) insensitive to choice of generator for same  $H^{od}$
- **consistency between different many-body methods**

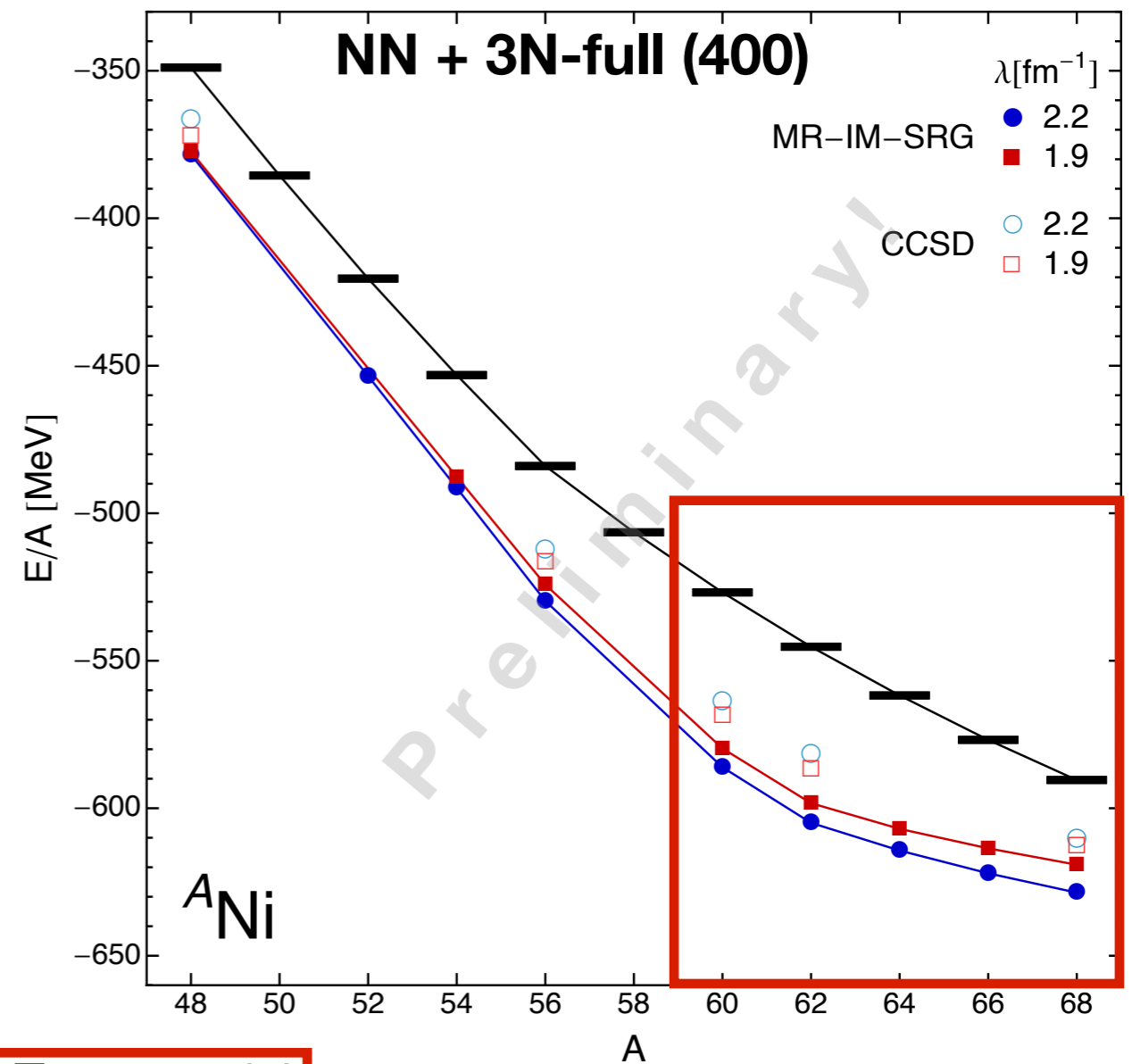
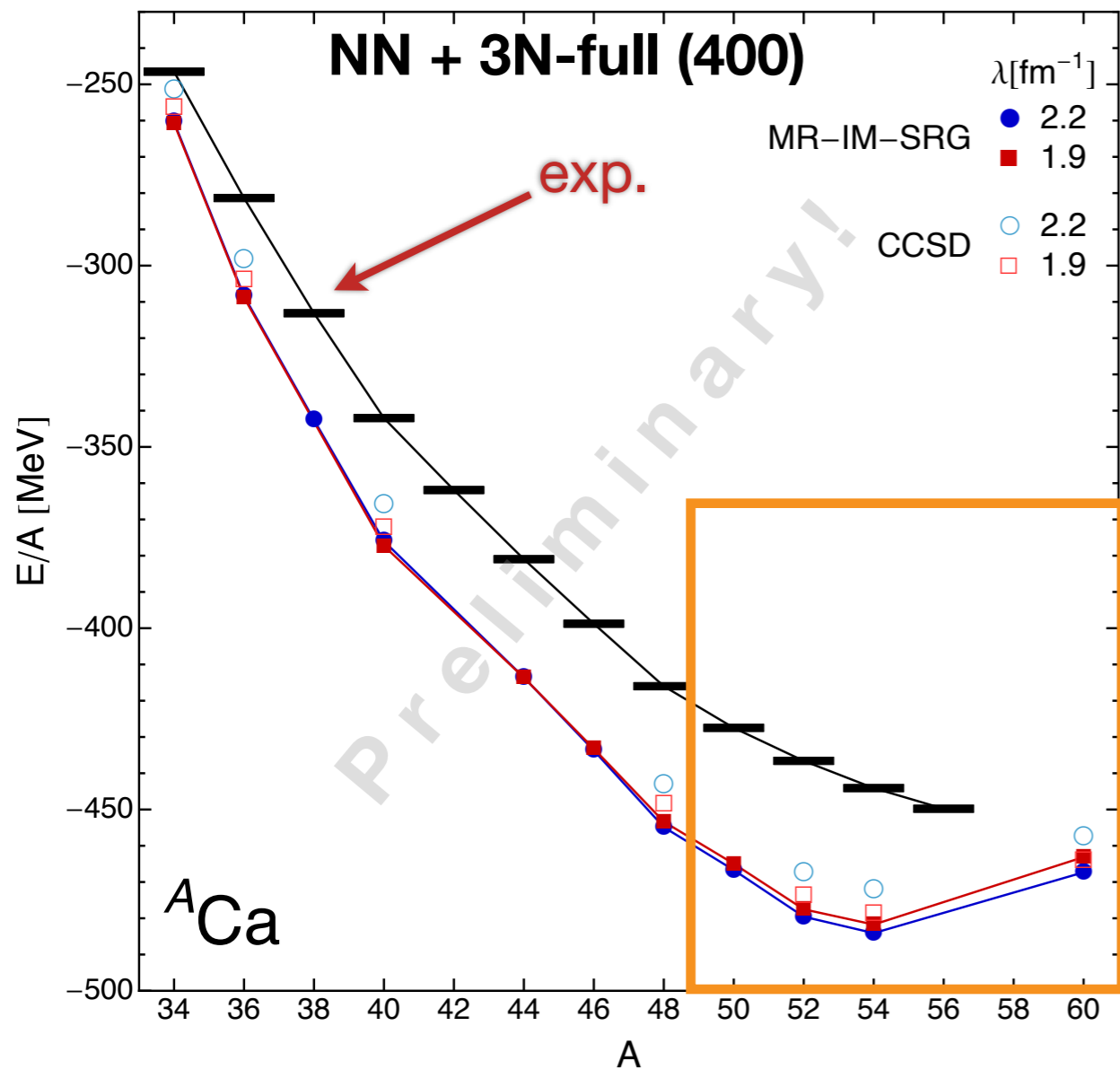
# Variation of Scales



- variation of **initial 3N cutoff only**, NN cutoff unchanged
- diagnostics for chiral interactions
- **dripline at A=24 is robust under variations**



# Calcium and Nickel Isotopes



$e_{\text{Max}} = 14$ ,  $E_{3\text{Max}} = 14$

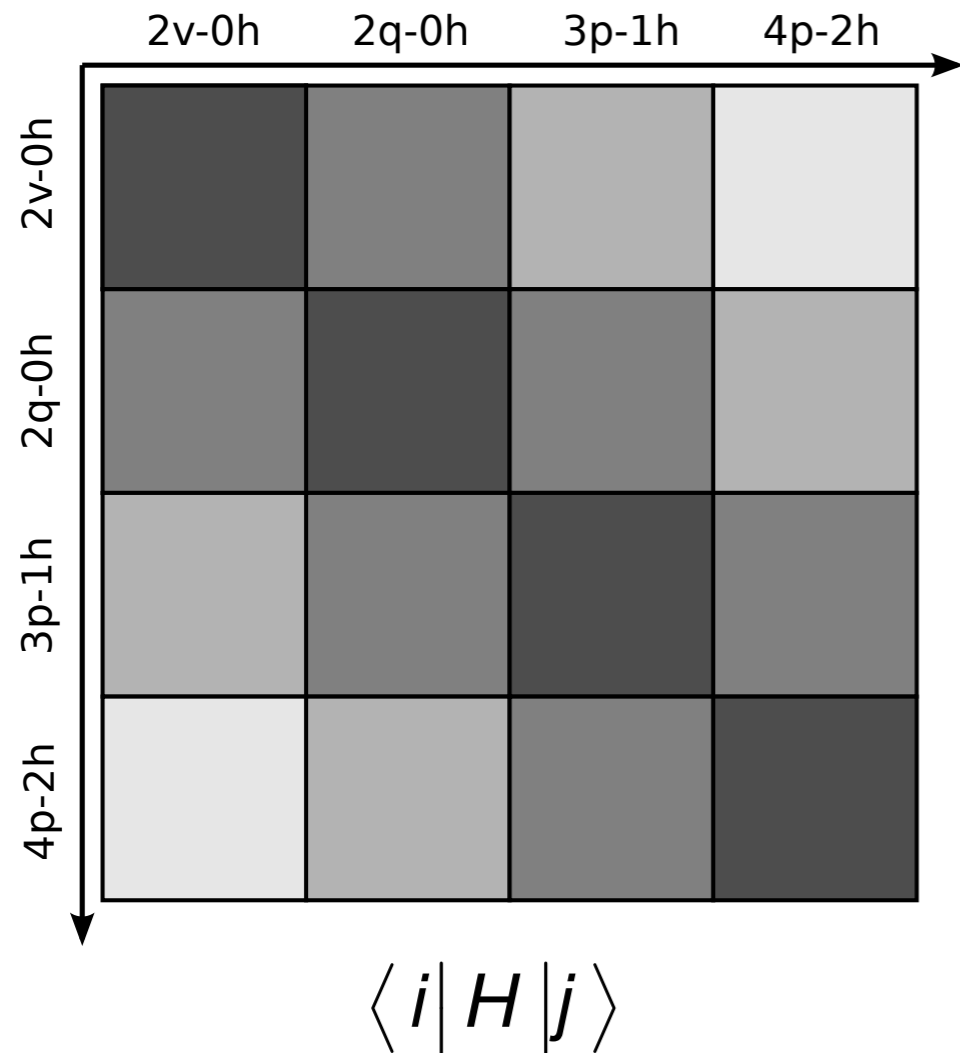
$E_{3\text{Max}} = 14$  insufficient for neutron-rich pf-shell nuclei,  
extension to  $\sim 20$  coming soon !

# IM-SRG + Shell Model

S. K. Bogner, HH, J. D. Holt, A. Schwenk, in preparation

K. Tsukiyama, S. K. Bogner, and A. Schwenk, Phys. Rev. C **85**, 061304(R) (2012)

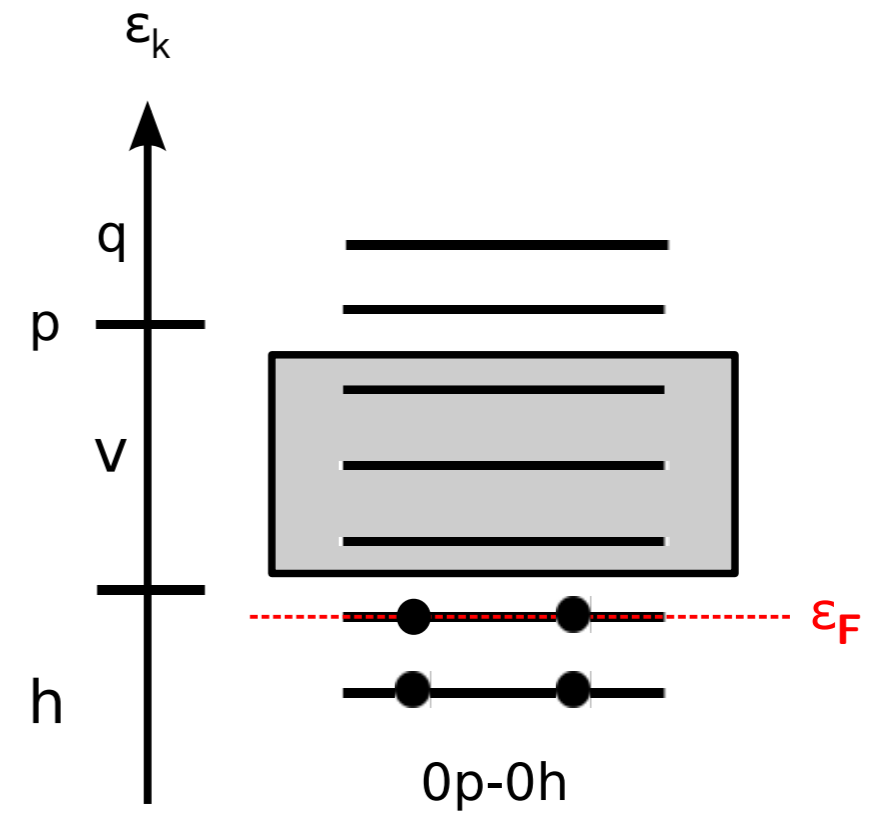
# Valence Space Decoupling



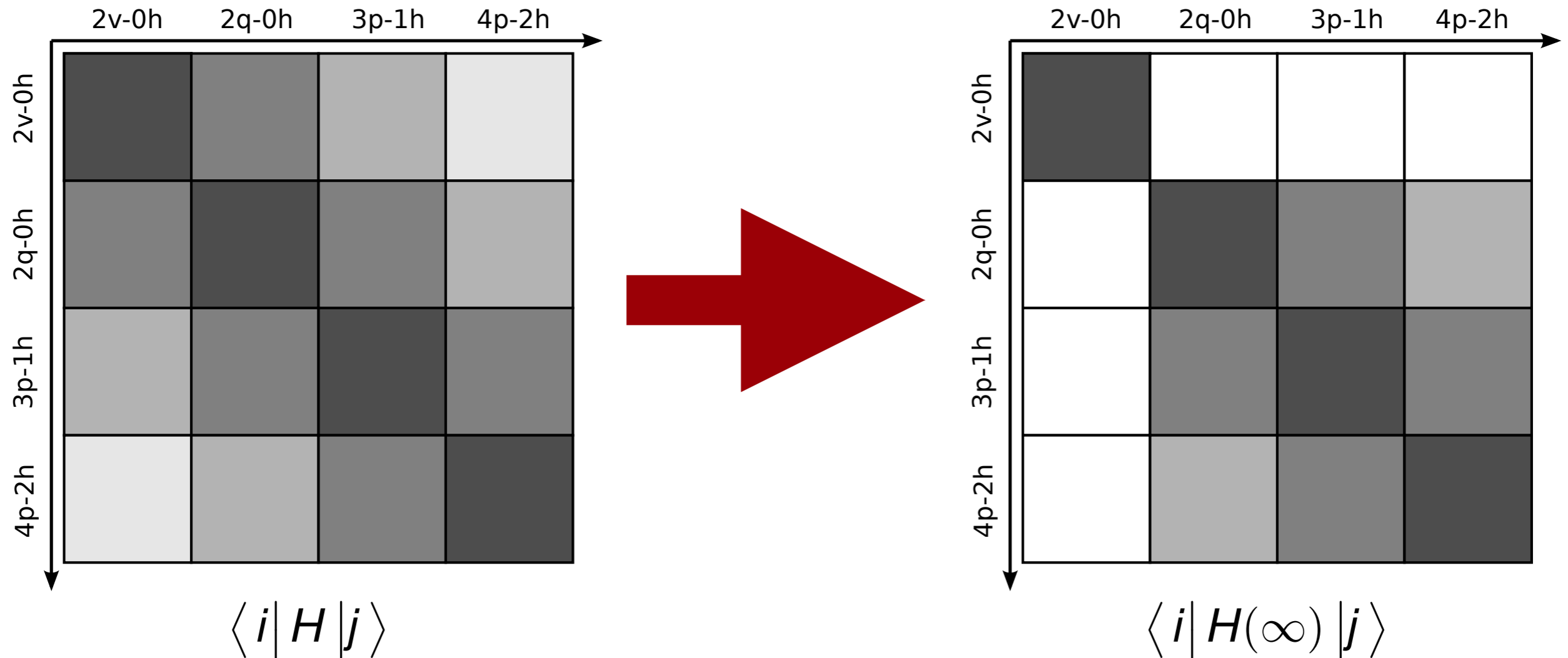
non-valence  
particle states

valence  
particle states

hole states  
(core)



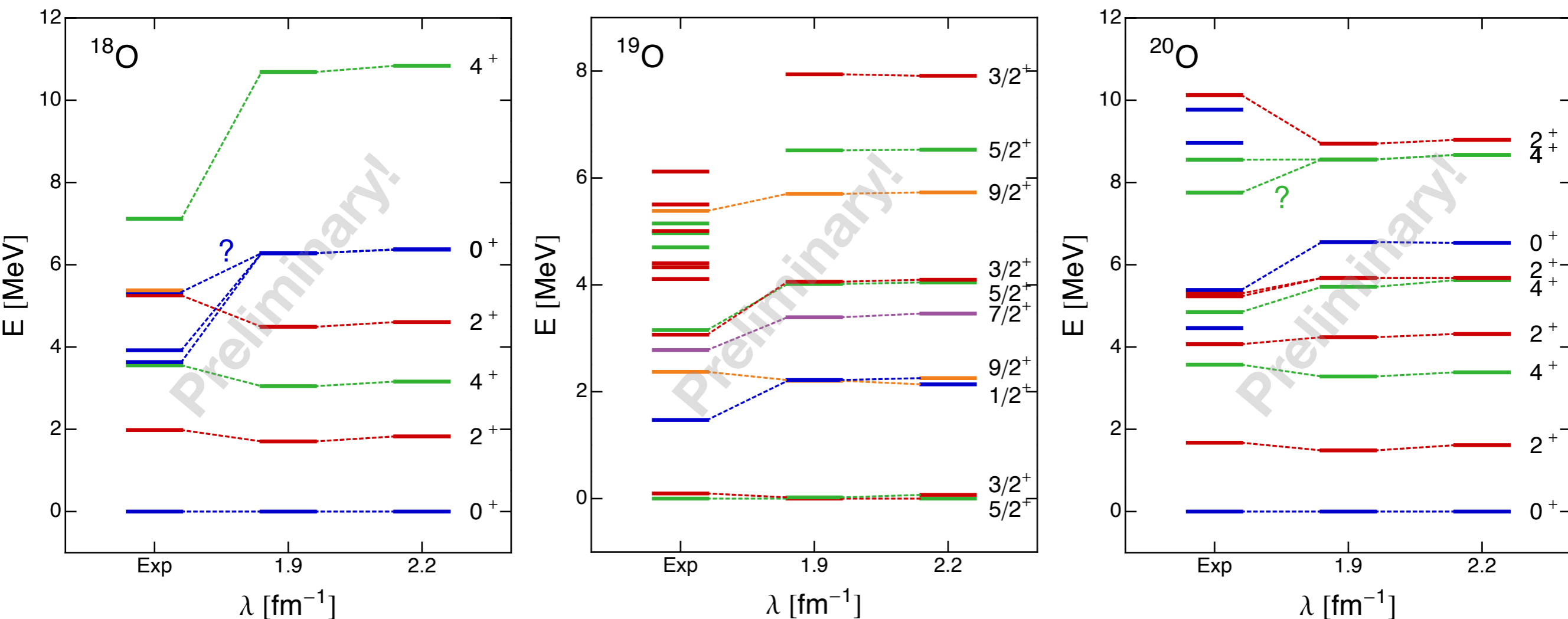
# Valence Space Decoupling



- use White-type generator with off-diagonal Hamiltonian

$$\left\{ H^{od} \right\} = \left\{ f_{h'}^h, f_{p'}^p, f_h^p, f_v^q, \Gamma_{hh'}^{pp'}, \Gamma_{hv}^{pp'}, \Gamma_{vv'}^{pq} \right\} \& \text{H.c.}$$

# Oxygen Spectra



NN+3N-full (400),  $e_{\text{Max}} = 10$ ,  $E_{3\text{Max}} = 14$ ,  $\hbar\Omega = 24$  MeV

- ✓ good description of low-lying states
- ✓ easy approach to **spectra, odd nuclei, intrinsic deformation**
- ➔ **but:** numerical effort determined by shell-model calculation

# Conclusions

- powerful and **flexible** new *Ab-initio* method:
- ground-state properties of closed- and open-shell nuclei
- derivation of microscopic **shell-model interactions**
- ✓ first systematic studies of closed- and open-shell nuclei based on chiral NN + 3N Hamiltonians completed (H. H. et al. PRC **87**, 034307, and PRL **110**, 242501)
- ➔ efficient **evolution of observables / effective shell-model operators** ?
- ➔ **EoM formalism** for excited states, odd nuclei
- ➔ deformation, continuum effects, etc. ...

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