Quantum Monte Carlo for the Configuration Interaction framework

Abhishek Mukherjee ECT*, Trento Jun 11, 2013

In collaboration with:

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- A. Roggero (Trento
- Y. Alhassid (Yale)







Main issues

• QMC *a la* fixed-node GFMC in CI/k-space QMC with non-local (χ EFT) forces

• Variational energies from CC wave functions Standard CC theory is non-variational

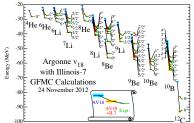
Momentum distribution in QMC
 Very difficult in standard r-space MC

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Monte Carlo with a modern interaction

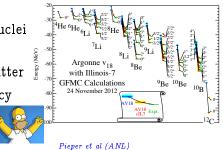
- GFMC is one of the most accurate methods for light nuclei
- AFDMC can potentially be pushed to larger systems/matter
- Great combination of accuracy and scaling



Pieper et al (ANL)

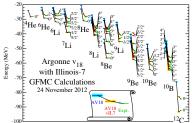
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Pieper et al (ANL)

Only works for interactions local in r-space Urbana-Argonne-Illinois models

Postmodern interactions

	2N Force	3N Force	4N Force
LΟ (Q/Λ _χ) ⁰	XH		
$^{\rm NLO}_{(Q/\Lambda_{\chi})^2}$	Xəki Xəki		
NNLO (Q/Λ _χ) ³		+ - -X X	
N ³ LO (Q/Λ _χ) ⁴	XMX MM	K₽₽X	†₩†

Machleidt & Entem, Phys. Rept. (2011)

- Effective
- Cutoff-dependent
- Non-local

Postmodern interactions

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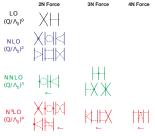
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Cannot apply r-space GFMC/AFDMC



Postmodern interactions



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Cannot apply r-space GFMC/AFDMC

The natural framework is CI/k-space

$$H = \sum_{i}^{\Omega} \epsilon_{ij} a_{i}^{\dagger} a_{j} + \sum_{ijkl}^{\Omega} \frac{V_{ijkl}}{a_{i}^{\dagger} a_{j}^{\dagger} a_{l} a_{k} + \dots$$

What else is out there?

- Direct diagonalization
 - When feasible most accurate
 - Bad scaling (current limit, dim. $\sim 10^{10}$)
 - Monte Carlo SM can push it further
- Monte Carlo with auxiliary fields (SMMC, Lattice EFT) Sign problem
 - Shifted contour
 - Complex Langevin
 - Lefschetz thimble? Cristoforetti, di Renzo & Scorzato, PRD (2012) Cristoforetti, di Renzo, AM & Scorzato, arxiv:1303:7204 (2013) AM, Cristoforetti & Scorzato, in preparation (2013)
- Localize the EFT + AFDMC

Gezerlis et al (2013)

Configuration interaction Monte Carlo

• Use the power method

$$|\Psi_{\text{Ground State}}
angle = \lim_{N
ightarrow \infty} \mathcal{P}^{N} |\Psi_{\text{Initial State}}
angle$$

- Occupation number basis : $|\mathbf{n}\rangle = |\dots 0101 \dots \rangle$
- Interpret \mathcal{P}_{mn} as transition probabilities

- Propagator
 - Simplest choice: $\mathcal{P} = (1 \Delta \tau H)$
 - In reality we use more efficient propagators : $e^{-\Delta \tau (H-E_T)}$

Configuration interaction Monte Carlo

$$\begin{split} \mathcal{P} &= 1 - \Delta \tau H \rightarrow |\Psi_{\tau + \Delta \tau}\rangle = \mathcal{P}|\Psi_{\tau}\rangle \\ \Psi_{\tau + \Delta \tau}(\mathbf{m}) &= \sum_{\mathbf{n}} \langle \mathbf{m} | \mathcal{P} | \mathbf{n} \rangle \Psi_{\tau}(\mathbf{n}) \\ &= \sum_{\mathbf{n}} \left(\frac{\langle \mathbf{m} | \mathcal{P} | \mathbf{n} \rangle}{\sum_{\mathbf{m}} \langle \mathbf{m} | \mathcal{P} | \mathbf{n} \rangle} \right) \left(\sum_{\mathbf{m}} \langle \mathbf{m} | \mathcal{P} | \mathbf{n} \rangle \right) \Psi_{\tau}(\mathbf{n}) \\ &= \sum_{\mathbf{n}} \underbrace{p(\mathbf{m}, \mathbf{n})}_{\mathbf{n}} \underbrace{\psi(\mathbf{n})}_{\mathbf{v}} \Psi_{\tau}(\mathbf{n}) \\ \end{split}$$
Transition probability Branching

MC sampling not possible if $p(\mathbf{m}, \mathbf{n}) < 0$ $\implies \langle \mathbf{m} | \mathbf{H} | \mathbf{n} \rangle > 0 \longrightarrow \text{sign problem}$ Exception: pure pairing H AM, Alhassid & Bertsch, PRC (2011)

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Configuration interaction Monte Carlo

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- There is a sign problem for the generic case
- We need to somehow construct non-negative propagators

Transition probability

Branching

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Hard problem: Propagator

How to choose a non-negative propagator?

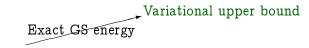
Borrow from lattice-GFMC (discrete, finite Hilbert space)

ten Haaf et al PRB (1995)

• Use importance sampling to circumvent the sign problem

$$\mathcal{P} \longrightarrow \mathcal{P}_{\texttt{new}}(\Phi_{\texttt{G}}) \geq 0$$

• But there is a price



- Better $\Phi_G \implies$ tighter bound
- $E_{\text{CIMC}} \leq \langle \Phi_{\text{G}} | H | \Phi_{\text{G}} \rangle$

AM & Alhassid, arxiv:1304.1645 (2013)

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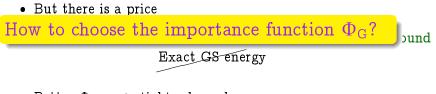
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Harder problem: wave function

The importance function should be:

- Accurate/Flexible: Should be able to include the major correlations in the system
- Calculable : Need a fast algorithm to calculate it on a computer (fast = at most polynomial in N and/or Ω)
- Plenty of experience in r-space (~ 50 years)
- Almost none is CI/k-space
- 'Fourier' transform of r-space wave functions (e.g. Jastrow-Slater) does not work

Harder problem: wave function

The importance function should be:

- Accurate/Flexible: Should be able to include the major correlations in the system
- Calculable : Need a fast algorithm to calculate it on a computer (fast = at most polynomial in N and/or O). Can we get known CI w.f.s to work with MC?
 - Plenty of experience in r-space (~ 50 years)
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- 'Fourier' transform of r-space wave functions (e.g. Jastrow-Slater) does not work

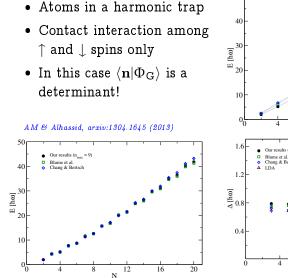
Antisymmetric geminal power

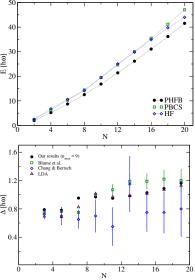
• For even N

$$\left| \Phi_{\text{AGP}}
ight
angle = \left(\varphi_{ij} \mathfrak{a}_{i}^{\dagger} \mathfrak{a}_{j}^{\dagger}
ight)^{\text{N}/2} \left| \mathfrak{0}
ight
angle$$

- For odd N, we put the additional particle in a general sp orbital
- Fast algorithms : $\langle {\bf n} | \Phi_G \rangle$ is a Pfaffian (~ N^3).
- Very flexible : can include different kinds of 2b correlations
- HFB, BCS, HF are special cases

Trapped unitary fermi gas





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Coupled Cluster wave functions

$$|\Phi_{
m CC}
angle=e^{\sf T}|\Phi_{0}
angle$$

$$T = \sum t^a_i a^\dagger_a a_i + \sum t^{ab}_{ij} a^\dagger_a a^\dagger_b a_i a_j + \dots$$

- Different truncations for T lead to different approximations CCD, CCSD, CCSDT ...
- Accurate: CCSD(T) is 'gold standard' in chemistry \checkmark
- Energies not variational in the standard approach \pmb{X}

Can we calculate $\langle \mathbf{n} | \Phi_{CC} \rangle$ quickly?

The magic formula

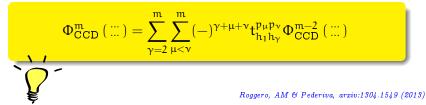
Start with Coupled Cluster Doubles (good for uniform systems):

$$\Phi_{\text{CCD}}^{\mathfrak{m}}\left(\begin{smallmatrix}p_{1}p_{2}\cdots p_{\mathfrak{m}}\\h_{1}h_{2}\cdots h_{\mathfrak{m}}\end{smallmatrix}\right)=\Phi_{\text{CCD}}(\mathbf{n})$$

for

$$|\mathbf{n}\rangle = a_{p_1}^\dagger \dots a_{p_m}^\dagger a_{h_1} \dots a_{h_m} |\Phi_{\text{HF}}\rangle$$

Recursive formula:



The magic formula

Start with Coupled Cluster Doubles (good for uniform systems):

$$\Phi_{\text{CCD}}^{\mathfrak{m}}\left(\begin{smallmatrix}p_{1}p_{2}\cdots p_{\mathfrak{m}}\\h_{1}h_{2}\cdots h_{\mathfrak{m}}\end{smallmatrix}\right)=\Phi_{\text{CCD}}(\mathbf{n})$$

for

$$|\mathbf{n}\rangle = a^{\dagger}_{p_1} \dots a^{\dagger}_{p_m} a_{h_1} \dots a_{h_m} |\Phi_{\text{HF}}\rangle$$

- Can be easily generalized to CCSD, CCSDT ...
- Scaling only with # ph
- No scaling with particle number or basis size!

Roggero, AM & Pederiva, arxiv:1304.1549 (2013)

Results for 3DEG

- The 3d electron gas is the 'longest range' Hamiltonian.
- Opposite limit of the contact interaction
- Good benchmark, many calculations available

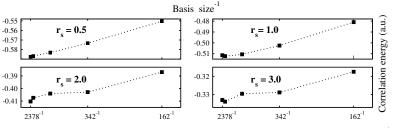
How to do CIMC?

- Lattice in momentum space
- Single particle basis = plane waves
- Include all sp states up to some k_{\max}

Roggero, AM & Pederiva, arxiv:1304.1549 (2013)

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Results for 3DEG

- The 3d electron gas is the 'longest range' Hamiltonian.
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- CCD + CIMC captures $\sim 95\%$ of the correlation energy
- No adjustable parameters in the wf
- Accuracy comparable to r-space MC
- Can improve systematically: CCDT, ...

Roggero, AM & Pederiva, arxiv:1304.1549 (2013)

What have we acheived, so far?

- Formulated 'Fixed-node' GFMC in CI/k-space ✓
- Shown how to use two well known classes of accurate wave functions as importance functions ✓
- Supervariational energies from CC wave functions Remember

$${
m E_{GS}} \le {
m E_{CIMC}} \le \langle \Phi_{
m G} | {
m H} | \Phi_{
m G}
angle$$

when $\Phi_{\rm G}\equiv\Phi_{\rm CC}$

$$E_{\text{GS}} \leq E_{\text{CIMC}} \leq \langle \Phi_{\text{CC}} | H | \Phi_{\text{CC}} \rangle \quad \checkmark \checkmark \checkmark \checkmark !!!$$

What have we acheived, so far?

- Formulated 'Fixed-node' GFMC in CI/k-space \checkmark
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$$E_{GS} \leq E_{CIMC} \leq \langle \Phi_G | H | \Phi_G \rangle$$

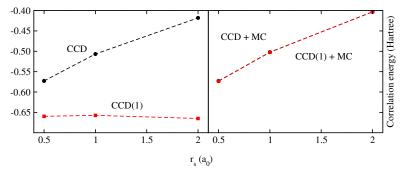
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$$\mathsf{E}_{\mathsf{GS}} \leq \mathsf{E}_{\mathsf{CIMC}} \leq \langle \Phi_{\mathsf{CC}} | \mathsf{H} | \Phi_{\mathsf{CC}} \rangle \quad \checkmark \checkmark \checkmark \checkmark !!!$$



But, we can do better ...





- CCD(1) = CCD wf with amplitudes taken from 2nd order perturbation theory
- Huge saving in computational time
- Can be very important for CCSD(T)

Fringe benefits: momentum distribution

- Very hard to calculate in r-space MC
- Howver, its diagonal in k-space 🗸
- We can even calculate pure estimators 🗸
 - Typically in DMC/GFMC one calculates $\langle \Phi_{\rm G} | {\cal O} | \Psi \rangle$
 - Not the same as $\langle \Psi | \mathcal{O} | \Psi \rangle$, if $[\mathcal{O}, H] \neq 0$
 - But in CIMC we can calculate $\langle \Psi | {\cal O} | \Psi \rangle$ using the Feynman-Hellmann theorem

$$\langle \Psi | n_k | \Psi \rangle = \left. \frac{\partial \langle H + \alpha n_k \rangle}{\partial \alpha} \right|_0 = \langle \Phi_G | n_k | \Psi \rangle - \text{const.} \times \text{cov}(E, n_k)$$

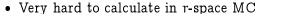
No need to calculate numerical derivatives! 🗸

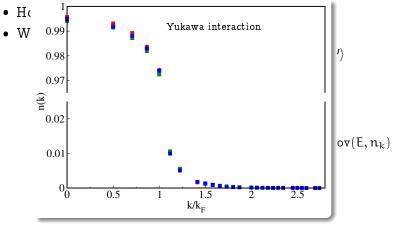
Gaudin & Pitarke, PRL (2007) Roggero, AM & Pederiva, in preparation

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Fringe benefits: momentum distribution





Roggero, AM & Pederiva, in preparation

Take home messages

• Can we do fixed-node GFMC in CI/k-space with non-local interactions?

Yes, we can!

- Can we get variational energies from CC wave functions? We can get supervariational energies
- We have pure estimators for the momentum distribution
- The time for benckmarking is over. Now, onward to nuclei!



Fixing the sign problem in Fock space

- Comes from the Hamiltonian (if $H_{mn} > 0$ for $m \neq n$)
- Carrying walker sign leads to a null state
- No concept of 'continuity' in discrete Fock space
- Node fixing not possible

Fixed 'sign' Hamiltonian

$$\begin{split} \left[\mathcal{H}_{\gamma}\right]_{\mathbf{mn}} = & \delta_{\mathbf{mn}} \{ \mathsf{H}_{\mathbf{nn}} + (1+\gamma) \sum_{s_{\mathbf{nn}'} > 0} \Phi_{\mathrm{G}}(\mathbf{n}') \mathsf{H}_{\mathbf{n'n}} \Phi_{\mathrm{G}}(\mathbf{n})^{-1} \} \\ & + (1-\delta_{\mathbf{mn}}) \{ \gamma \Theta(s_{\mathbf{mn}}) + \Theta(-s_{\mathbf{mn}}) \} \mathsf{H}_{\mathbf{mn}} \end{split}$$

where $s_{mn} = sign\{\Phi_G(m)H_{mn}\Phi_G(n)^{-1}\}$

Fixing the sign problem in Fock space

$$\left[\mathcal{P}_{\gamma}\right]_{\mathbf{mn}} = \Phi_{\mathbf{G}}(\mathbf{m}) \left\{ \delta_{\mathbf{mn}} - \Delta \tau \left(\left[\mathcal{H}_{\gamma}\right]_{\mathbf{mn}} - \mathsf{E}_{\mathsf{T}} \delta_{\mathbf{mn}} \right) \right\} \Phi_{\mathbf{G}}^{-1}(\mathbf{n})$$

- $\mathcal{H}_{\gamma=-1} = H$
- $\mathcal{H}_{0 \leq \gamma \leq 1}$ has no sign problem by construction
- GS energies of $\mathcal{H}_{0\leq\gamma\leq1}$ provide upper bounds for the GS energy of H
- So does any linear extrapolation to $\gamma = -1$

ten Haaf et al., PRB (1995); Sorella & Capriotti, PRB (2000); Beccaria, PRB (2001) AM & Alhassid, arxiv:1304.1645 (2013)

Our tightest upper bound for GS energy of H is :

 $E_{\text{CIMC}} = 2E_{\text{GS}}[\mathcal{H}_{\gamma=0}] - E_{\text{GS}}[\mathcal{H}_{\gamma=1}]$