

# Effective Field Theory and Electroweak Processes in Nuclei

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Erice, September 18, 2009

## Introduction

Low-energy electroweak processes relevant to the present talk

- $pp$ -fusion:  $p + p \rightarrow d + e^+ + \bar{\nu}_e$
- $\nu$ - $d$  reactions:  $\nu_e + d \rightarrow e^- + p + p$ ,  $\nu + d \rightarrow \nu + n + p$
- $\mu$ - $d$  capture:  $\mu^- + d \rightarrow \nu_e + n + n$
- ${}^3\text{He} + p \rightarrow {}^4\text{He} + \nu_e + e$  (Hep),  ${}^3\text{He} + n \rightarrow {}^4\text{He} + \gamma$  (Hen)
- radiative pion capture:  $\pi^- + d \rightarrow \gamma + nn$

Important for one or more of the following reasons:

(1) Astrophysical processes ( $pp$ -fusion and Hep in solar burning,  $\nu d$  reactions in supernova explosion, etc.)

(2) Role in detecting astrophysical neutrinos ( $\nu d$  reactions in the SNO experiments)

(3) Provide information relevant to (1) and (2).

## Let's concentrate on $pp$ -fusion

- $S$  factor: For the incident CM energy  $E$

$$\sigma(E) = \frac{S(E)}{E} \exp\{-2\pi\eta(E)\}, \quad \eta(E) \equiv \frac{Z_1 Z_2 e^2}{\hbar v}$$

$$v = 2E/\mu, \quad \mu = mA_1 A_2 / (A_1 + A_2), \quad (m; \text{atomic mass unit})$$

$$S_{pp}(0) = 6\pi^2 m_p \alpha \ln 2 \frac{1}{\gamma^3} (g_A/g_V)^2 \frac{f_{pp}^R}{(ft)_{0+ \rightarrow 0+}} |\mathcal{M}|^2$$

$\mathcal{M}$  = nuclear transition matrix element;

$$\gamma = (2\mu E_f)^{1/2} = 0.2316 \text{ fm}^{-1}$$

$f_{pp}^R$  = phase space factor with radiative corrections

**The solar model and stellar evolution theory require  
1% precision in  $S_{pp}$ .**

- Current status [Adelberger et al., RMP70 (1998)]:  
~6 % uncertainty in  $S_{pp}$
- How to go beyond this level ?
- Solar Fusion Workshop at INT (Jan 2009)  
— report to appear in RMV
- All the (seemingly unrelated) processes listed above are inter-related in this context.

## The latest lattice QCD calculation of the nucleon weak form factors

Yamazaki et al. (RBC-UKQCD Collaborations), Phys. Rev. D, 79 (2009) 114505.

$$g_A/g_V = 1.19(6)_{\text{statistical}}(4)_{\text{systematic}}$$

↔ 7% smaller than the experimental value  $g_A/g_V = 1,2695(29)$   
[PDG08]

- Probably there will be a while before the two-body process can be calculated with required precision by lattice QCD.

## Standard nuclear physics approach (SNPA)

The phenomenological potential picture — highly successful.  
 $A$ -nucleon system described by a Hamiltonian

$$H^{\text{phen}} = \sum_{i=1}^A t_i + \sum_{i<j}^A V_{ij}^{\text{phen}} + \sum_{i<j<k}^A V_{ijk}^{\text{phen}},$$

Short-distance behavior in  $V_{ij}^{\text{phen}}$  — model-dependent

↔ Assume a functional form and adjust parameters to reproduce the two-nucleon data.

- *High-precision phenomenological* N-N potential ( $\chi^2 \sim 1$ ):  
AV18, Nijm, CD-Bonn

Nuclear wave function  $|\Psi^{\text{phen}}\rangle$ :

$$H^{\text{phen}}|\Psi^{\text{phen}}\rangle = E|\Psi^{\text{phen}}\rangle .$$

Finding useful truncation schemes for  $|\Psi^{\text{phen}}\rangle$

- An important branch of nuclear physics (shell model, RPA)
- Example: calculation of  $\beta\beta$ -decay in heavy nuclei

For lightest nuclei — **exact solutions** available !!



## Nuclear Responses to External Electroweak Probes

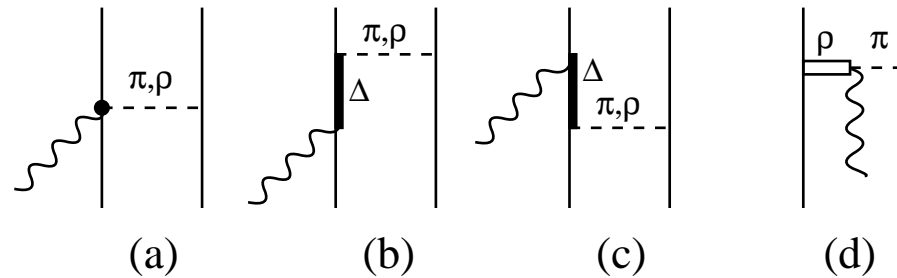
The transition operators  $\mathcal{T}$

$$\mathcal{T} = \mathcal{T}^{(1)} + \mathcal{T}^{(2)} + \dots = \sum_{i=1}^A \mathcal{O}_i + \sum_{i<j}^A \mathcal{O}_{ij} + \dots$$

$\mathcal{T}^{(1)}$  – Dominant one-body term (impulse approximation (IA) term)

$\mathcal{T}^{(2)}$  – Exchange-current (EXC) term involving two nucleons.

$\mathcal{T}^{(2)}$  derived from one-boson exchange diagrams – consistent with the nuclear Hamiltonian and satisfy the low-energy theorems



$\implies$  Standard Nuclear Physics Approach (SNPA)

- Cornerstone of nuclear physics;

## pp-fusion rate in SNPA

The latest calculation in SNPA — Schiavilla et al. (1998).

Similarity of the Gamow-Teller (GT) matrix elements for  $pp$ -fusion and tritium  $\beta$ -decay

- **The same applies to  $\nu$ - $d$ ,  $\mu$ - $d$  and Hep.**

Fine-tune  $\mathcal{O}_{ij}$  to reproduce  $\Gamma_{\beta}^t$ .

For each of the two-body GT transition operators (with different  $r$ -dependences)

- Transition density for  $pp$ -fusion  $\propto$  that for tritium  $\beta$ -decay

- A single multiplicative constant can match them all.  
⇒ Adjust  $N\Delta$ -axial coupling constant

Nuclear matrix element for  $S_{pp}(0)$  calculable with  $\sim 0.3$  % precision

↔ The range of variation for the five high-precision phenomenological potentials.

## Effective field theory (EFT)

Low energy-momentum phenomena characterized by a scale  $Q$

— Cut-off scale  $\Lambda_{\text{cut}} \gg Q$

- Retain only low energy-momentum degrees of freedom (effective fields  $\phi_{\text{eff}}$ ).

⇒ Effective Lagrangian  $\mathcal{L}_{\text{eff}}$ , which consists of monomials of  $\phi_{\text{eff}}$  and its derivatives consistent with the symmetries.

A term involving  $n$  derivatives  $\sim (Q/\Lambda_{\text{cut}})^n$

⇒ perturbative series in  $Q/\Lambda_{\text{cut}}$ .

The coefficient of each term – low-energy constant (LEC)

- LECs subsume high energy dynamics

If the LEC's up to a specified order  $n$  are known

↔  $\mathcal{L}_{\text{eff}}$  serves as a complete (and hence model-independent) Lagrangian.

The results obtained have accuracy of order  $(Q/\Lambda_{\text{cut}})^{n+1}$ .

In our case,  $\mathcal{L}_{\text{QCD}} \rightarrow \mathcal{L}_{\chi\text{PT}}$  [Chiral Perturbation Theory ( $\chi\text{PT}$ )]

In  $\mathcal{L}_{\chi\text{PT}}$ , nucleons and pions are the effective degrees of freedom

—  $\Lambda_{\text{cut}} \sim 1 \text{ GeV}$ .

A system involving a nucleon  $\leftrightarrow$  heavy-baryon chiral perturbation theory ( $\text{HB}\chi\text{PT}$ ) [Jenkins and Manohar (1991)]

$\text{HB}\chi\text{PT}$  cannot be applied in a straightforward manner to nuclei.

$\Leftrightarrow$  The existence of very low-lying excited states in nuclei

*Nuclear  $\chi\text{PT}$  à la Weinberg (1990)*

- Classify Feynman diagrams into two groups.

Irreducible diagram – Every intermediate state has at least one meson in flight

Reducible diagrams – Diagrams that are not irreducible

- Apply the chiral counting rules only to irreducible diagrams.
- Treat irreducible diagrams (up to a specified chiral order) as an effective potential (to be denoted by  $V_{ij}^{\text{EFT}}$ ) acting on nuclear wave functions.

- Incorporate reducible diagrams by solving the Schrödinger equation

$$H^{\text{EFT}}|\Psi^{\text{EFT}}\rangle = E|\Psi^{\text{EFT}}\rangle,$$

$$H^{\text{EFT}} = \sum_i^A t_i + \sum_{i<j}^A V_{ij}^{\text{EFT}} + \sum_{i<j<k}^A V_{ijk}^{\text{EFT}}$$



## Application of nuclear $\chi$ PT to a process involving external current(s)

$$\mathcal{T}^{\text{EFT}} = \sum_i^A \mathcal{O}_i^{\text{EFT}} + \sum_{i<j}^A \mathcal{O}_{ij}^{\text{EFT}} + \dots$$

$\mathcal{T}^{\text{EFT}}$  — all irreducible diagrams (up to a given order  $\nu$ ) involving the relevant external current(s).

Transition matrix in nuclear EFT

$$\mathcal{M}_{fi}^{\text{EFT}} = \langle \Psi_f^{\text{EFT}} | \mathcal{T}^{\text{EFT}} | \Psi_i^{\text{EFT}} \rangle = \langle \Psi_f^{\text{EFT}} | \sum_i^A \mathcal{O}_i^{\text{EFT}} + \sum_{i<j}^A \mathcal{O}_{ij}^{\text{EFT}} + \dots | \Psi_i^{\text{EFT}} \rangle$$

## Hybrid EFT (EFT\*) — Park et al., PRC, 67 (2003) 055206

It is a non-trivial task to fully carry out this program:

- (i) Difficulties in getting  $\psi^{\text{EFT}}$
- (ii) Unknown LECs in EFT-based transition operators

Hybrid EFT called EFT\*:  $\psi^{\text{EFT}} \Rightarrow \psi^{\text{phen}}$

$$\mathcal{M}_{fi}^{\text{EFT}^*} = \langle \psi_f^{\text{phen}} | \mathcal{T}^{\text{EFT}} | \psi_i^{\text{EFT}} \rangle = \langle \psi_f^{\text{EFT}} | \sum_i^A \mathcal{O}_i^{\text{EFT}} + \sum_{i<j}^A \mathcal{O}_{ij}^{\text{EFT}} + \dots | \psi_i^{\text{phen}} \rangle$$

EFT\* applicable to complex nuclei ( $A=3,4 \dots$ ) with essentially the same accuracy and ease as to the  $A=2$  system.

$\Rightarrow$  Determine LEC(s) using observables pertaining to complex nuclei.

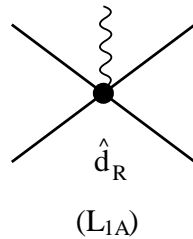
Mismatch between  $\psi^{\text{EFT}}$  and  $\psi^{\text{phen}}$  only affects short-distance behavior.

- The use of  $V^{\text{phenom}}$  introduces high momentum components above  $\Lambda_{\text{QCD}}$ .
- Momentum cutoff  $\Lambda_{\text{NN}}$  to eliminate high-momentum components

$\Lambda_{\text{NN}}$ -independence  $\leftrightarrow$  A measure of model independence of an EFT\* calculation

Park *et al.* (2003) – EFT\* calculation of the GT transitions in the  $A=2, 3$  and 4 systems

- $pp$ -fusion, tritium  $\beta$ -decay,  $\nu$ - $d$  reactions,  $\mu$ - $d$  capture, and Hep are all controlled by the common LEC,  $\hat{d}^R$



- $\hat{d}^R$  – strength of contact-type four-nucleon coupling to the axial current
- $\hat{d}_R$  can be determined from  $\Gamma_{\beta}^t$ .

EFT\* calculation of  $pp$ -fusion up to NNLO

$$\Rightarrow S(0) = 3.94 \times (1 \pm 0.004) \times 10^{-24} \text{ MeV b}$$

- The errors dominated by the experimental uncertainties in  $\Gamma_{\beta}^t$
- Variation in  $S(0)$  for  $\Lambda_{\text{NN}} = 500 \text{ MeV} \sim 800 \text{ MeV}$  less important

## MuSun Experiment

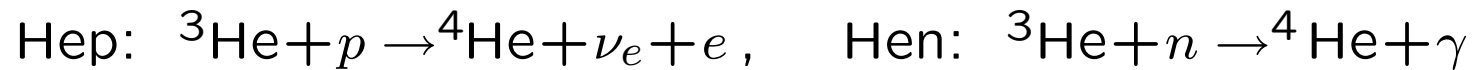
- At present,  $\hat{d}^R$  is fixed from  $\Gamma_{\beta}^t$
- Desirable to fix  $\hat{d}^R$  from an  $A=2$  system.

The **MuSun** experiment at PSI – Andreev et al. (MuSun Collaboration),

URL <http://www.npl.uiuc.edu/exp/musun> (2008)

- Determination of the  $\mu d$  capture rate with  $\sim 1\%$  accuracy.  
 $\Rightarrow$  Determination of  $\hat{d}^R$  within  $A=2$  system
- Calculation of  $\mu-d$ ; EFT\* – Ando et al., (2001); Pionless EFT – Chen et al., (2005)

**Hep and Hen** [Lazauskas, Song and Park, arXiv: 0905.3119, nucl-th]



Hep produces solar neutrinos of the highest maximum energy ( $E_\nu^{\text{max}} = 18.8 \text{ MeV}$ )

Calculation of  $\sigma(\text{hep})$  extremely difficult !!

- Leading-order 1B term  $\approx 0$
- Destructive interference between suppressed 1B term and 2B term

- $S_{\text{Hep}}(0)_{\text{Salpeter}} = 630 \times 10^{-20} \text{ keV-b} \Rightarrow$

$$S_{\text{Hep}}(0)_{\text{SNPA}} = 9.6 \times 10^{-20} \text{ keV-b},$$

$$S_{\text{Hep}}(0)_{\text{EFT}^*} = (8.6 \pm 1.3) \times 10^{-20} \text{ keV-b}$$



## Testing the reliability of EFT\* $\leftrightarrow$ Use the Hen reaction

- Hen is very similar to Hep except: EM interaction  $\rightarrow$  Weak interaction
- $\sigma_{\text{Hen}}^{\text{exp}} = (54 \pm 6) \mu\text{b}$
- Two LECs can be determined from  $\mu(^3\text{H})$  and  $\mu(^3\text{He})$
- N<sup>3</sup>LO calculation gives  $\sigma_{\text{Hen}} = (38 \sim 58) \mu\text{b}$ ,
- High stability against changes:  $\Lambda_{NN} = 500 \sim 900 \text{ MeV}$

## Points to be considered for completeness

### (i) Neutron-neutron scattering length $a_{nn}$

- Experimental errors in  $a_{nn}$  neglected in the construction of the potential models.
- The resulting error on  $S_{pp}$  estimated to be 0.5%.

Concentrate on  $\pi^-d \rightarrow \gamma nn$ .

The LAMPF experiment [Howell et al., 1998]:

$$a_{nn} = -18.63 \pm 0.10(\text{stat}) \pm 0.44(\text{syst}) \pm 0.30(\text{theor}) \text{ fm}$$

- The theory essentially based on SNPA  $\leftrightarrow$  Uncertainties in short-distance physics

$\implies$  Use of EFT [see, e.g., Gardestig, J. Phys. G, 36 (2009) 053001]

- Currently accepted accuracy in  $\hat{d}_R \longrightarrow \Delta a_{nn}(\text{theor}) = 0.05 \text{ fm}$
- Combination of this consideration with MuSun – important

## (ii) Radiative corrections

Towner [Phys. Rev. C, 58 (1998) 1288]

Kurylov et al. [Phys. Rev. C, 67 (2003) 035502]

Fukugita and Kubota [Phys. Rev. D, 72 (2005) 071301] .

- $G_F$  from  $\mu$ -decay
- “effective”  $g_A$  obtained from neutron  $\beta$ -decay

$\implies$  Radiative corrections specific to  $pp$ -fusion  
 $\sim 3\text{-}4\%$  effects (with  $0.1\%$  uncertainty)

## Pionless EFT

Bedaque, Hammer and van Kolck (1998); Chen, Rupak and Savage (1999)

- Low energy processes with  $p \ll m_\pi$
- Pions “integrated out” from  $\mathcal{L}_{\text{eff}}$
- $\Lambda_{\text{cut}} \approx m_\pi$  — systematic expansion in powers of  $p/m_\pi$
- N-N interactions and electroweak currents described by point-like contact terms
- Up to NNLO,  $pp$  fusion and other GT transitions involve only one LEC,  $L_{1,A}$ , analogous to  $\hat{d}^R$
- KSW scheme [Kaplan, Savage and Wise (1996)] – does not involve the explicit calculation of wave functions.

- At present, no direct determination of  $L_{1,A}$ .  
(relies on the theoretical values calculated in SNPA or EFT\*)
- $S(0)$  calculated in pionless EFT  
Up to second order: Kong and Ravndal (2001); Ando et al. (2008)  
Up to fifth order: Butler and Chen (2001)
- MuSun important in this connection also

## $\nu$ - $d$ Reactions

- Precision calculation of  $\nu$ - $d$  reactions (both CC and NC) – similar to  $pp$ -fusion
- SNPA calculation – Nakamura *et al.* (2002) (use of  $\Gamma_{\beta}^t$ ) – Reliable at 1 % level
- EFT\* calculation – Ando *et al.* (2003) (agrees with SNPA calculation)
- Pionless EFT – Butler, Chen and Kong (2001)  
Consistent with those of SNPA after one LEC is adjusted

## Conclusions

- $S_{pp}(0)$  can be calculated with  $\sim 1\%$  precision.
- The results are (in all likelihood) already available from the combination SNPA and EFT\*
- MuSun experiment is very important in this context
- Full EFT calculations that use  $\psi^{\text{EFT}}$  instead of  $\psi^{\text{phen}}$  are eagerly awaited.

For the construction of EFT-based nuclear interactions, see *e.g.*, [Epelbaum, Prog. Part. Nucl. Phys. **57**, 654 (2006)].