

# The electrode system of the KATRIN main spectrometer



- Spectrometer-related background at KATRIN
  - Background reduction by electrostatic screening
- Wire electrode system
  - Design and optimization
  - Construction, assembly and installation
- Status and outlook

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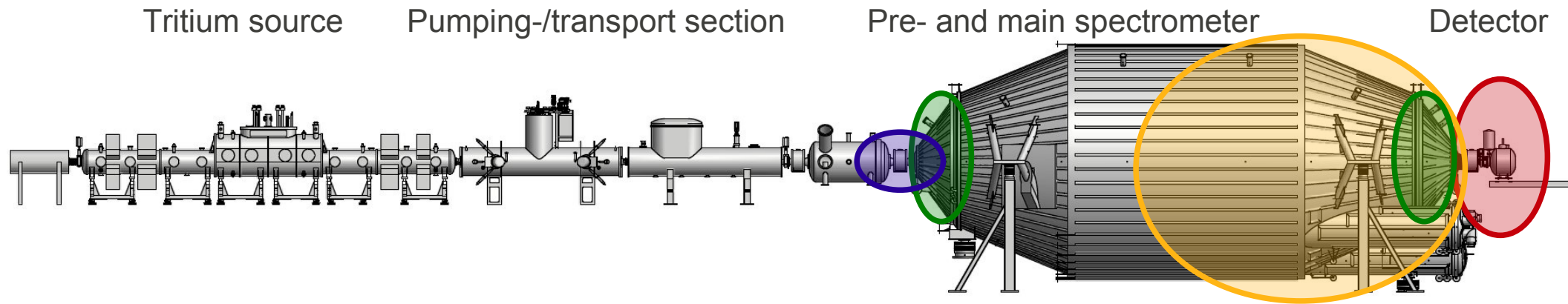


International School on Nuclear Physics

31<sup>st</sup> course: Neutrinos in Cosmology, in Astro-, Particle- and Nuclear Physics

Erice, Sicily, Sept. 16 – 24, 2009

# Background sources at KATRIN (I)



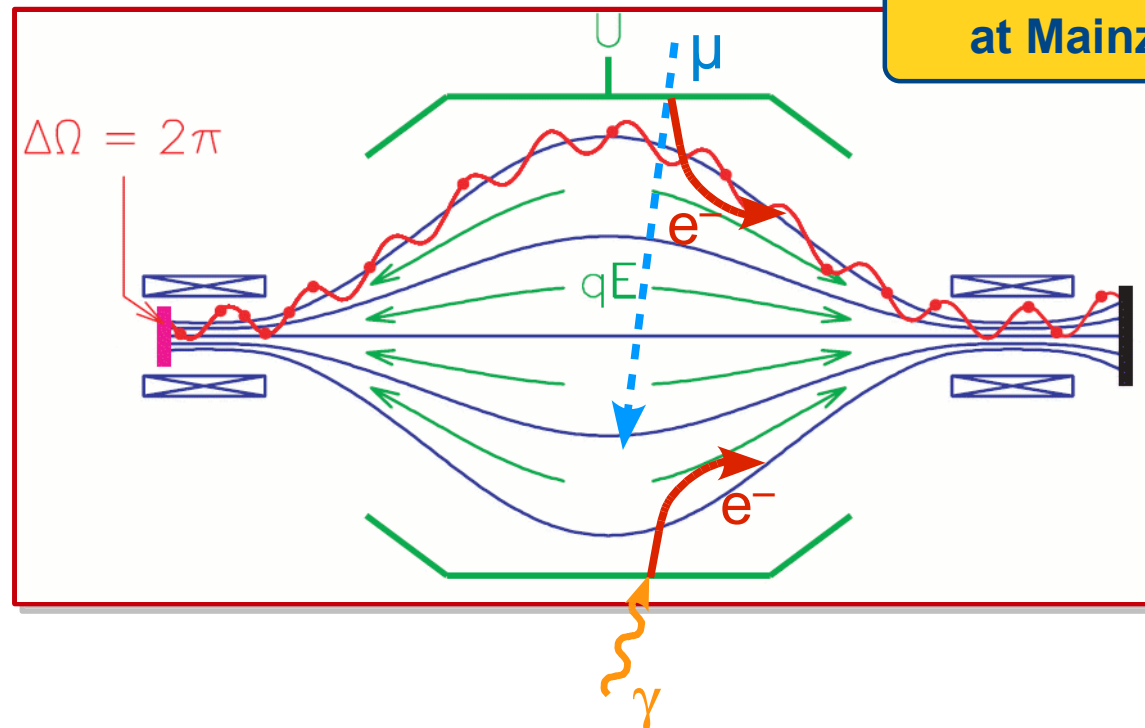
1. Radioactivity in the **detector system** (aim:  $< 1$  mHz in analyzed energy interval!)  
→ material selection, shielding (veto), post-acceleration
2.  $T_2$   $\beta$ -decay inside the main spectrometer  
→ reduce  $T_2$  partial pressure to  $< 10^{-20}$  mbar in the spectrometer section!
3. Trapped charged particles and ionization **inside of** or **between** the spectrometers  
→ long ionization time scale at UHV conditions ( $p < 10^{-11}$  mbar)  
→ carefully optimized electromagnetic design (see talk by *S. Mertens*)  
→ electric dipole field:  $\mathbf{E} \times \mathbf{B}$  drift  
→ further active measures, e.g. 'electron catcher' sweeping through the trap

## 4. Emission of **electrons from electrode surfaces** by

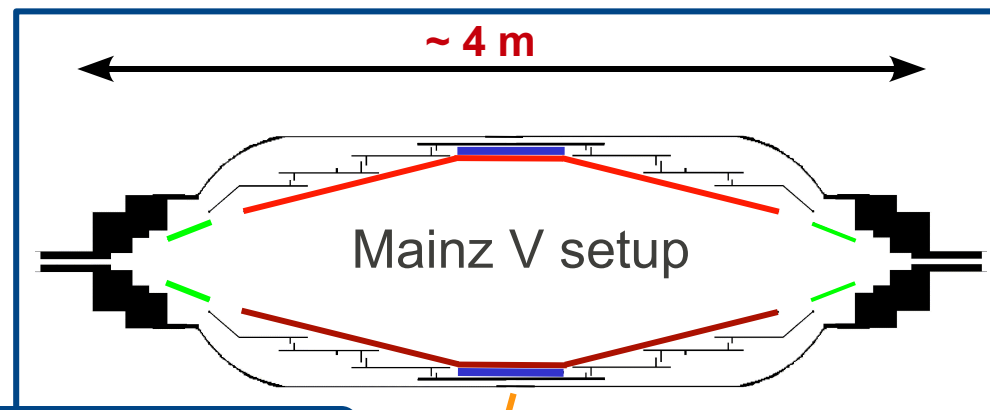
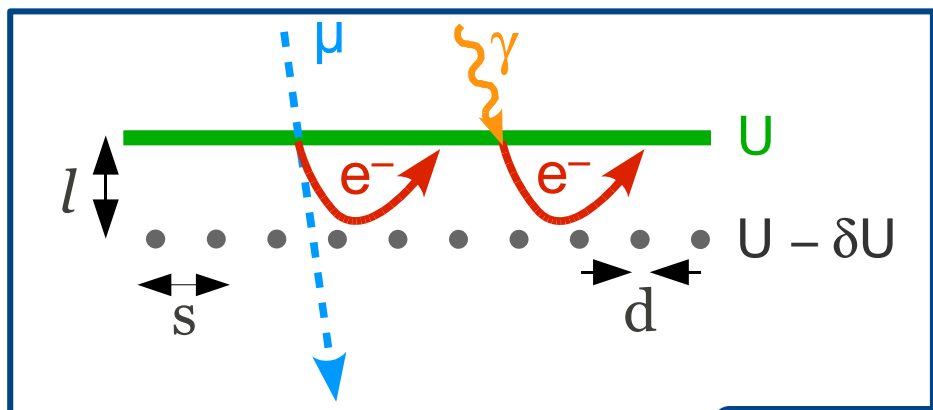
- cosmic muons,
- environmental radioactivity,
- radioactive impurities in material,
- field emission at sharp edges

→ background electrons in energy range of  $\beta$ -endpoint  
(~1 keV resolution of electron detector!)

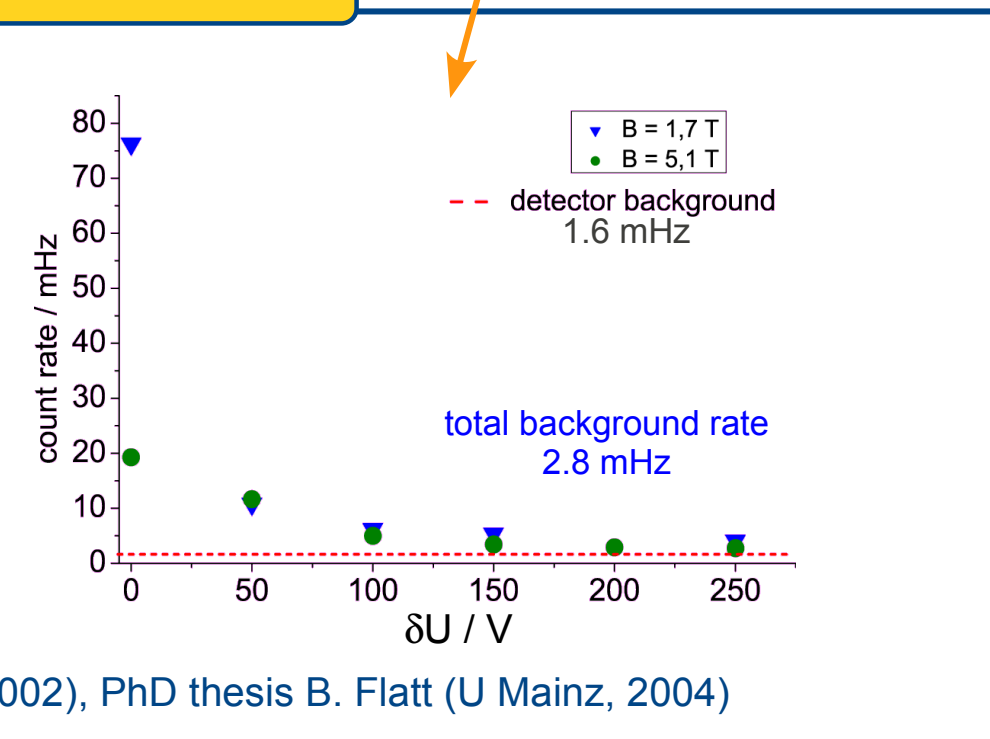
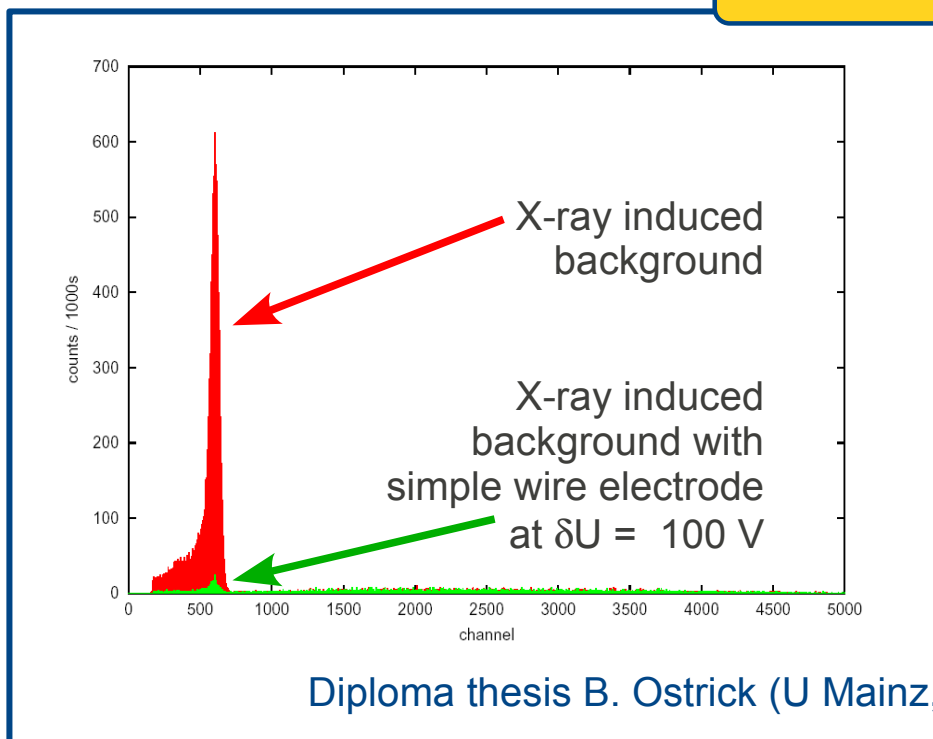
**Principal background in  
 $\nu$ -mass experiments  
at Mainz & Troitsk!**



# Principle of electrostatic screening (I)



**Tested very successfully  
at the Mainz MAC-E filter**

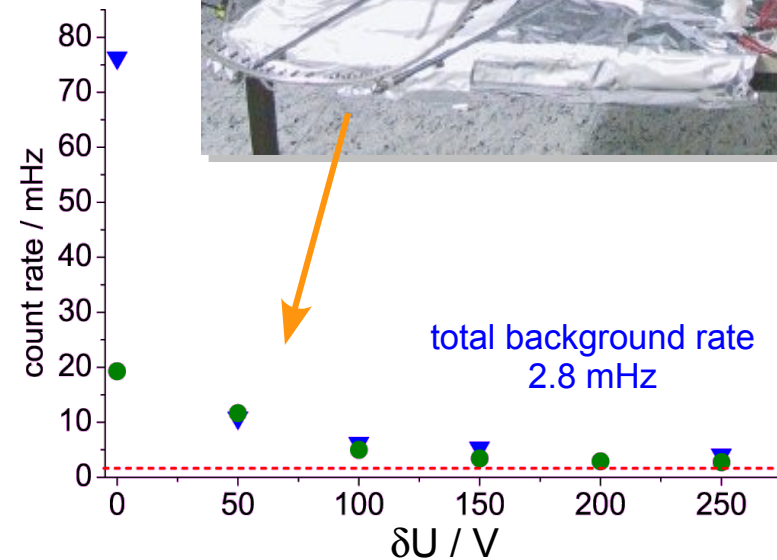
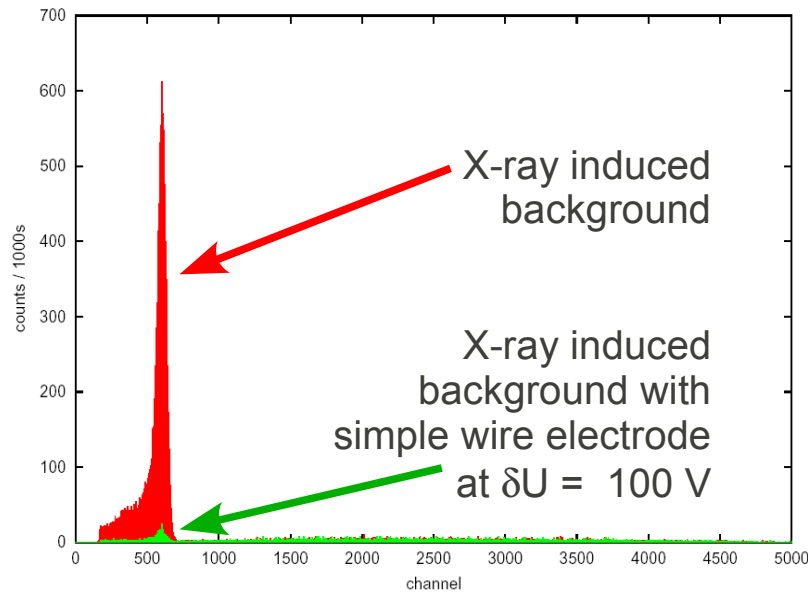


Diploma thesis B. Ostrick (U Mainz, 2002), PhD thesis B. Flatt (U Mainz, 2004)

# Principle of electrostatic screening (II)

- Single-layer wire electrode at Mainz MAC-E-Filter with  $\delta U \sim 200$  V: background suppression by factor  $>10$

But: How to improve and scale this 'table-top setup' to KATRIN dimensions?



Diploma thesis B. Ostrick (U Mainz, 2002), PhD thesis B. Flatt (U Mainz, 2004)

# Small background from a **large** spectrometer?

- KATRIN main spectrometer:  $\varnothing 10$  m, L 23.8 m  
(size determined by sensitivity aim on  $m(\nu_e)$ : 0.2 eV/c<sup>2</sup> !)  
electrode surface area:  $\sim 650$  m<sup>2</sup>  
→  $\approx 10^5$  muons / s + radioactive contamination
- magnetic screening (Lorentz force): suppression by factor  $10^5 - 10^6$ ,  
but: expected  $\beta$ -signal rate in analyzing interval  **$\mathcal{O}(10$  mHz)**

⇒ ***additional background shielding is vital!***

requirements for optimal background suppression:

- small wire diameter  $d$
- large spacing  $s$
- **'quasi-massless' wire electrode**  
with **'invisible' support structures**

⇒ ***new technical concept needed!***

# Concept for KATRIN: 2-layer modular wire electrode

## Two layers:

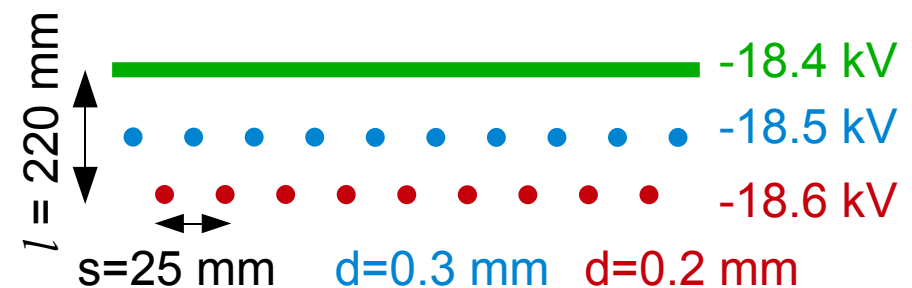
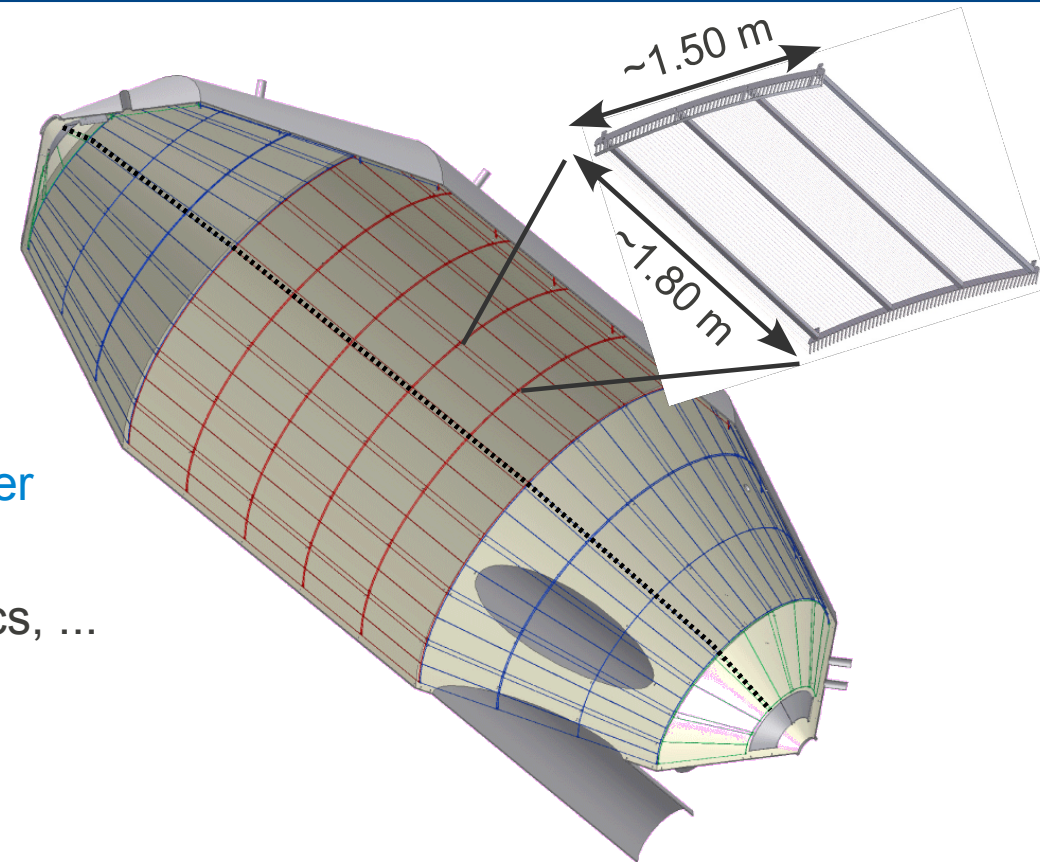
- to increase background shielding
- to increase electrical shielding
- to allow mechanical precision

## Modular layout to cover full main spectrometer ( $A = 650 \text{ m}^2$ , $V=1240 \text{ m}^3$ ):

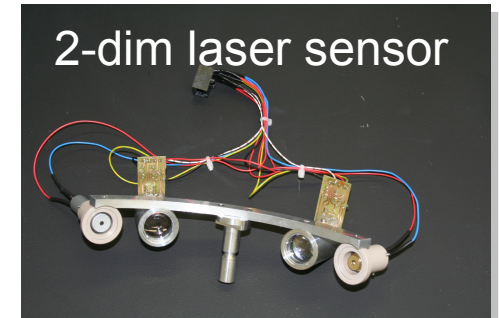
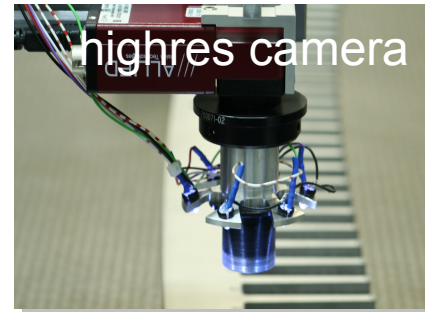
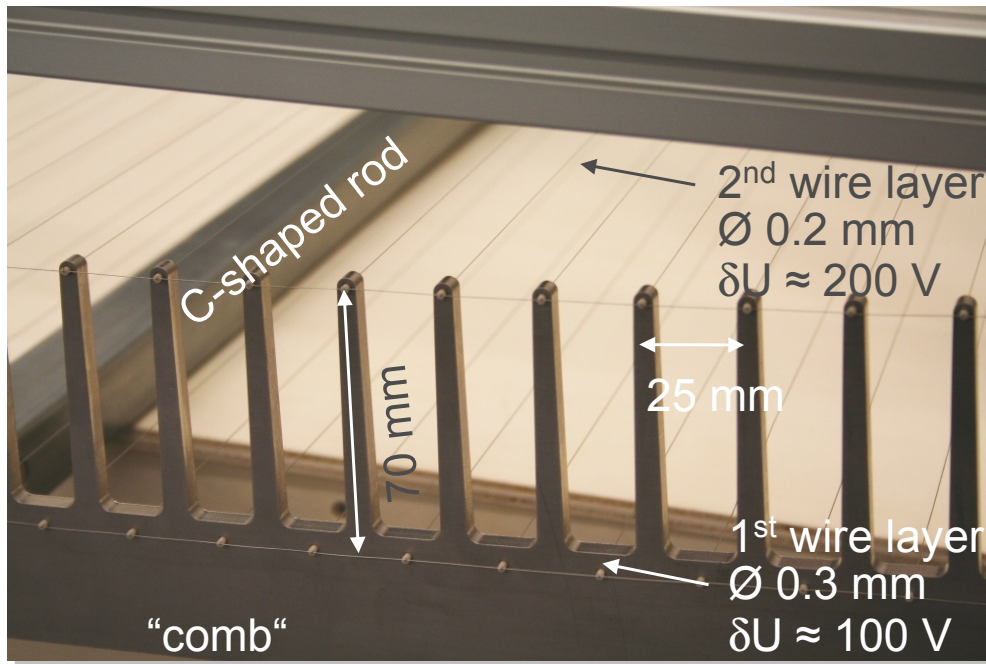
- 248 modules, 23120 wires, 46240 ceramics, ...
- two insulated dipole halves ( $\Delta U \approx 1 \text{ kV}$ )

## Technical requirements:

- modules have to withstand bake-out at  $350^\circ\text{C}$
- module design needs to be compatible with UHV requirements ( $10^{-11} \text{ mbar}$ )
- exact relative wire position ( $\Delta x = 200 \mu\text{m}$ )
- non-magnetic, non-radioactive, ...

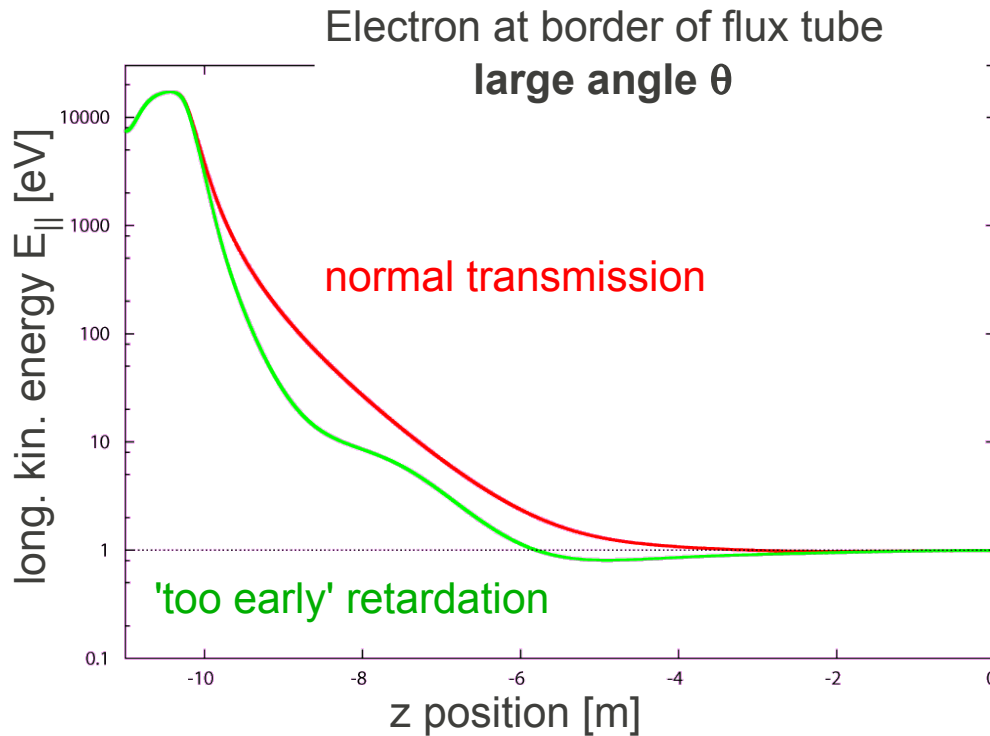


# Technical realization

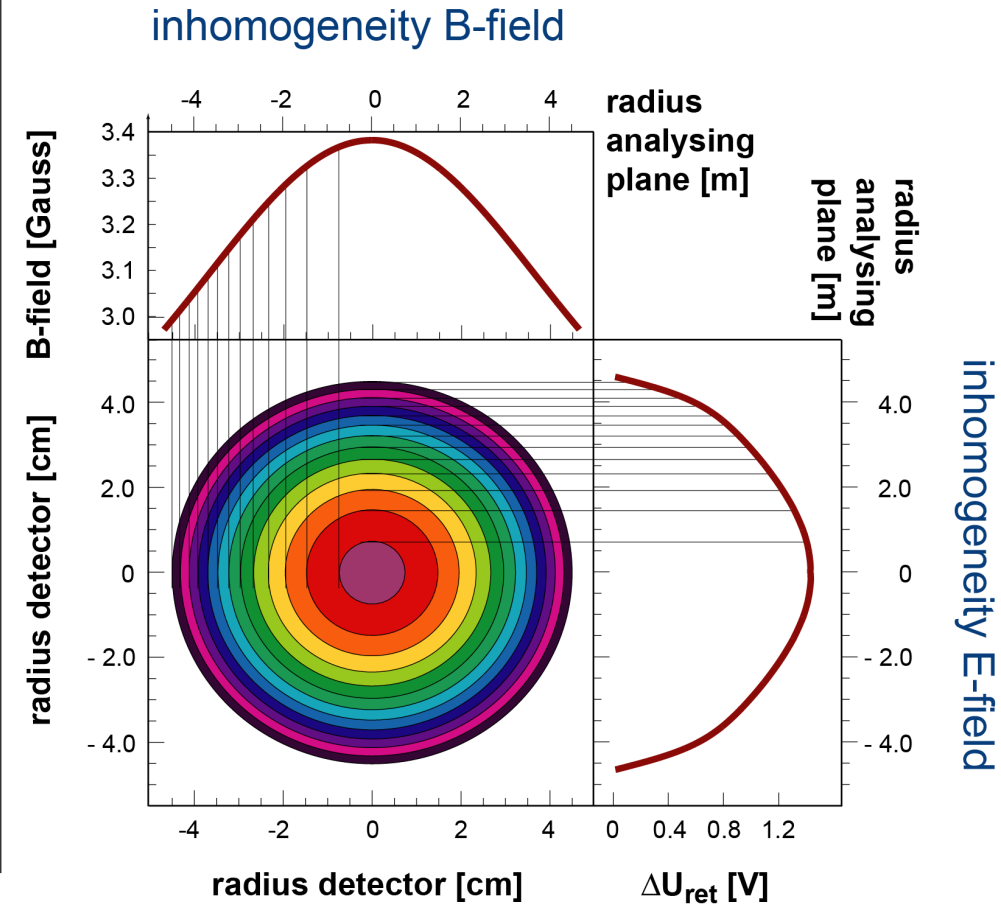




Problems with transmission:



(in)homogen. fields in analysing plane:



intolerable **broadening and smearing** of sharp transmission function!

- optimize design
- use highly segmented detector
- detailed pixel-by-pixel calibration measurements (see talk by *K. Hugenberg*)

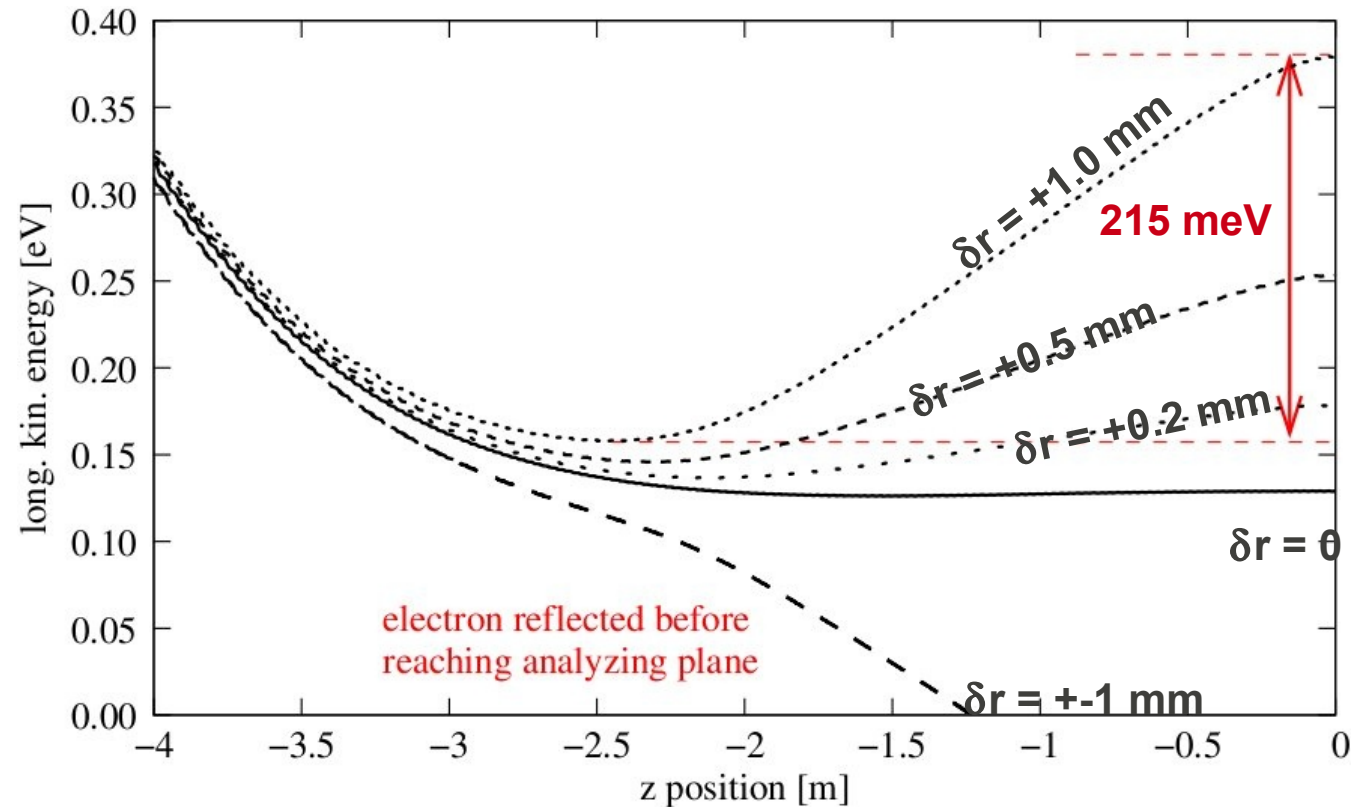
## What happens if ...

- ... wires sag due to their weight?  
→  $\delta r < 0.2$  mm acceptable over  $L = 1.80$  m!

since  $\left| \frac{\partial U_{eff}}{\partial l} \right| \propto \frac{1}{l^2}$

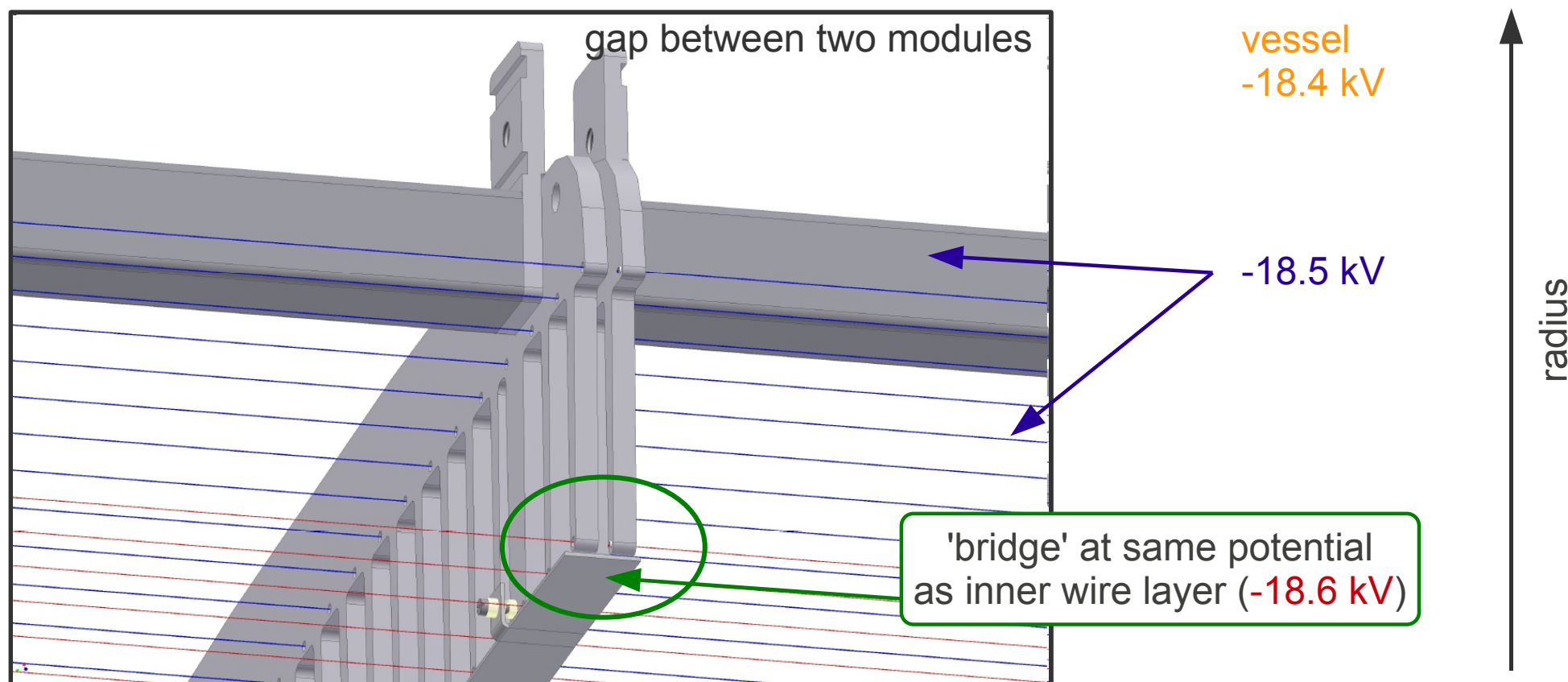
$l = 50$  mm  $\rightarrow$  70 mm

- ... wires are misaligned within a module?  
→ stringent QA required!
- ... mounting points of the modules are misaligned?  
→ compensation by mounting interface!
- ... the steel vessel deviates from the 'ideal shape'?  
→ two-layer system helps!  
→ mounting interface compensates differences!

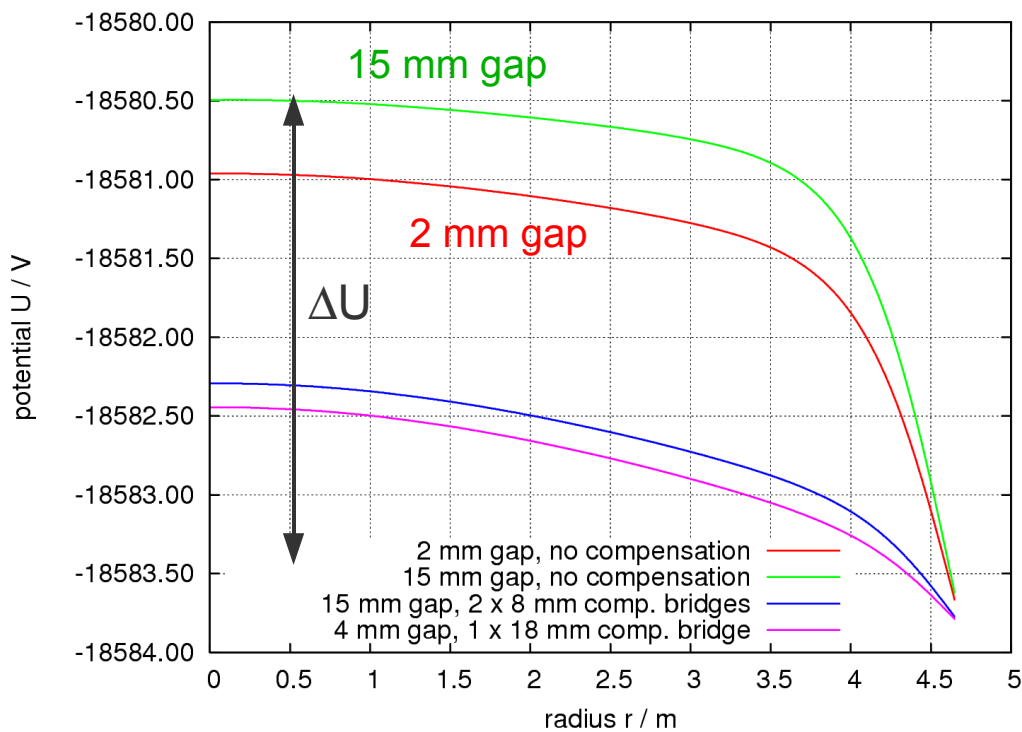


Various origins of distortions of the electric potential:

- **C-shaped rods** at  $-18.5$  kV (+100 V w.r.t. inner wire layer)  
→ *screened* by wires at  $-18.6$  kV, only little influence on interior of flux tube
- **Combs** at  $-18.5$  kV, tips *not fully screened* by wires!
- **Gaps between adjacent modules** in axial (z-) direction



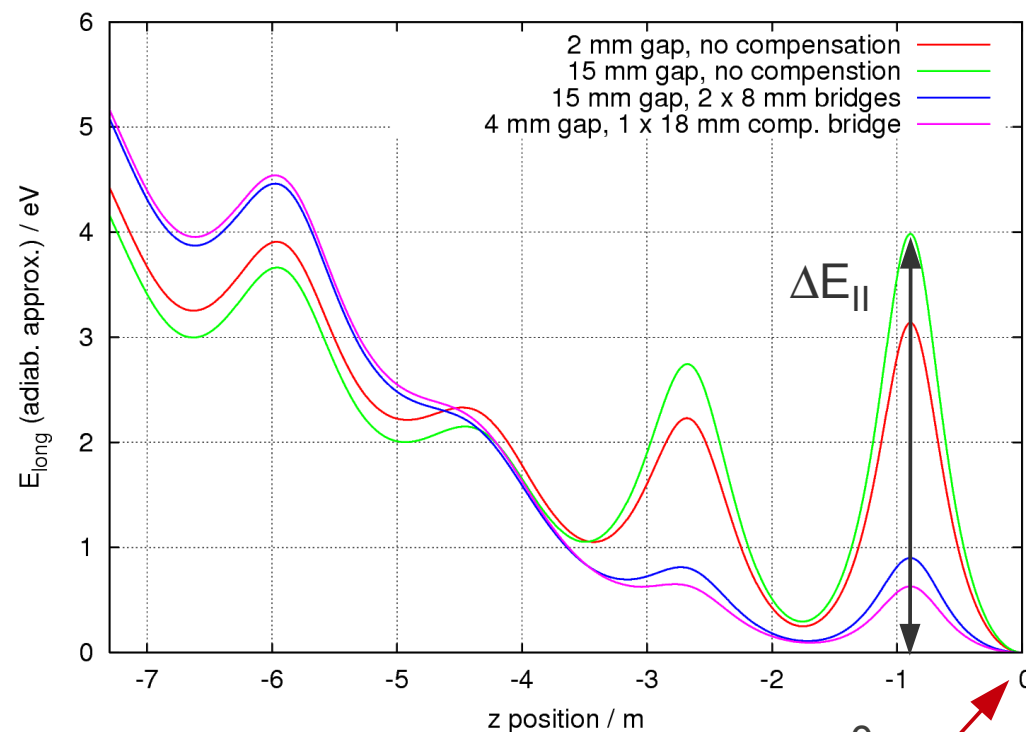
## Retardation potential



position along spectrometer radius ( $z = 0$ )

## Longitudinal kinet. energy $E_{||}$

- electron with small surplus  $\varepsilon = E_{\text{kin}} - qU_0$
- outermost field line guided onto detector



position along z-axis

$z = 0$ :  
analysing-plane

Gap not covered:

$$\Delta U > 3 \text{ V}$$

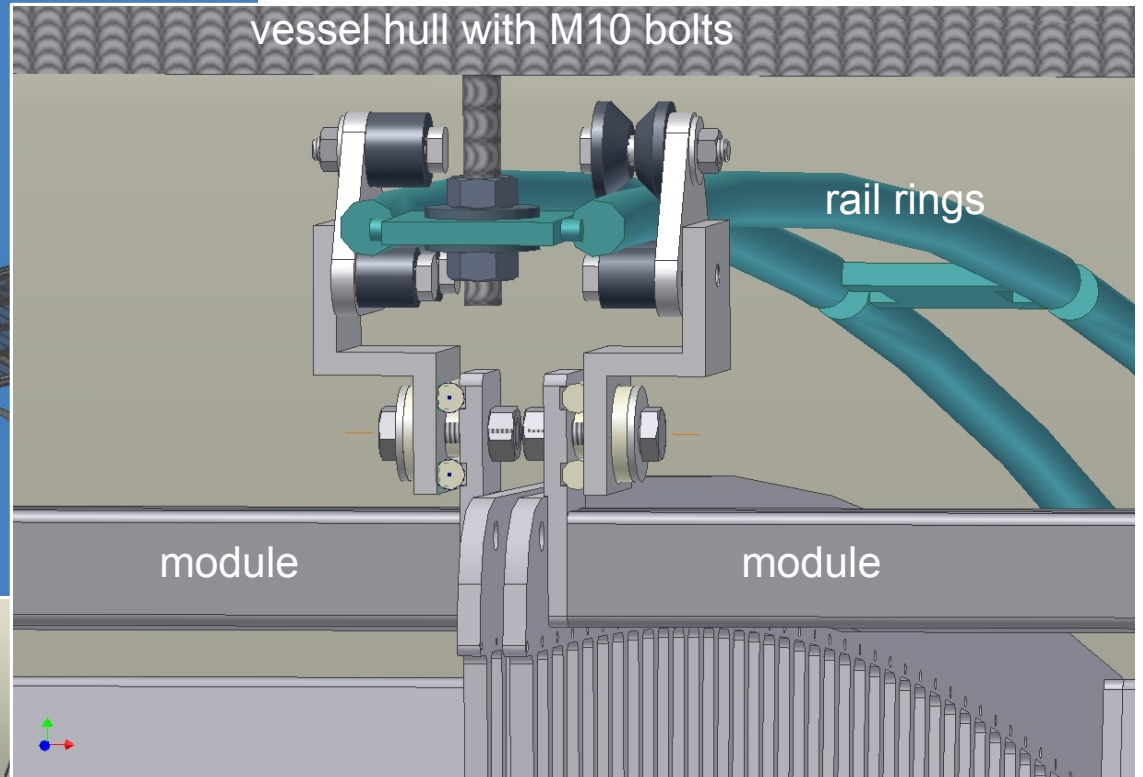
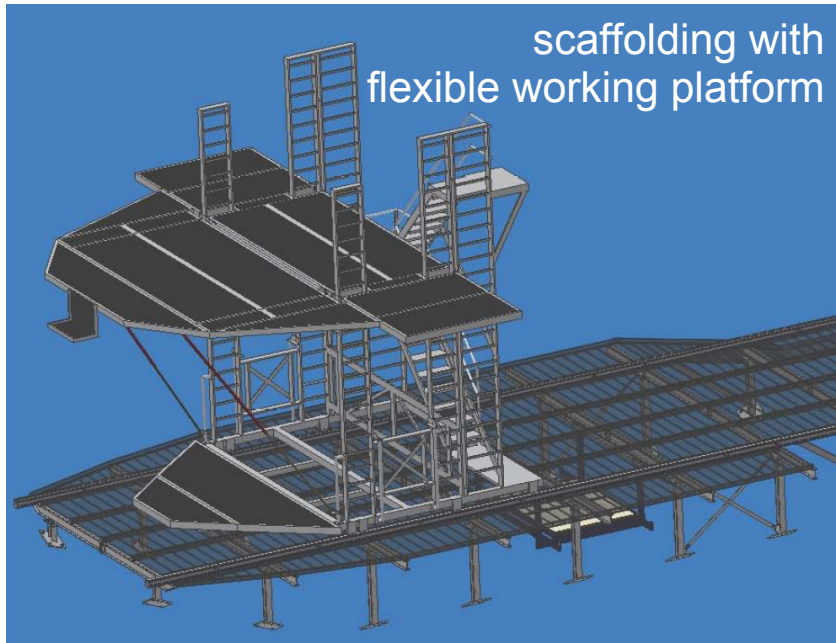
$$\Delta E_{||} \approx 4 \text{ eV}$$

Gap covered by 'bridge':

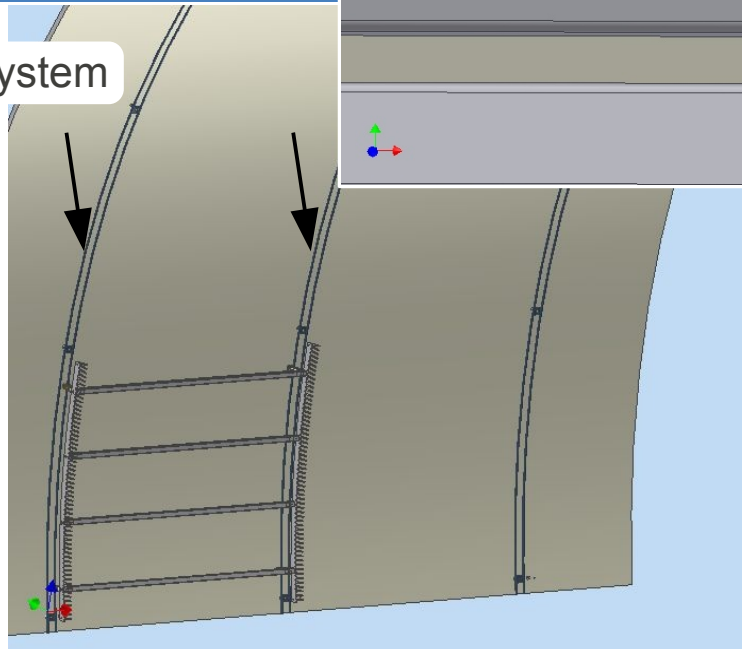
$$\Delta U \approx 1.3 \text{ V}$$

$$\Delta E_{||} < 1 \text{ eV}$$

# Installation and mounting of the modules

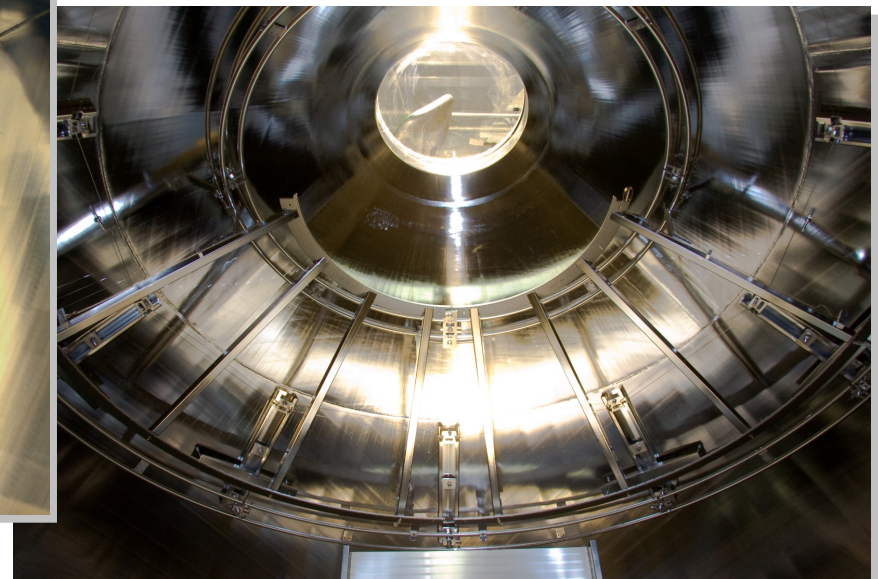


rail guiding system



Mounting and intervention system:  
FZ Karlsruhe

# Status: Installation of the modules has started ...



Photos: M. Prall

**Sensitivity on neutrino mass  $m(\nu_e)$ :**

$2 \text{ eV}/c^2$  (Mainz/Troitsk)  $\rightarrow$   **$0.2 \text{ eV}/c^2$  (KATRIN)**

$\rightarrow$  need background rate  $< 10 \text{ mHz}$

**Suppression of spectrometer-related background:**

- Electrostatic shielding by two-layer **wire electrode system**
- Detailed computer simulations: optimized design, mechanical tolerances
- Assembly of 248 modules (plus spares) in Münster: almost completed
- Installation of the wire electrode system has started, expected to be finished in spring 2010
- Followed by calibration measurements and commissioning of the main spectrometer

# Constraints on the parameters of a 2-layer wire electrode

Aim: **Background suppression** by factor  $\approx 100$ :

→ need *thin* wires: low geometrical coverage

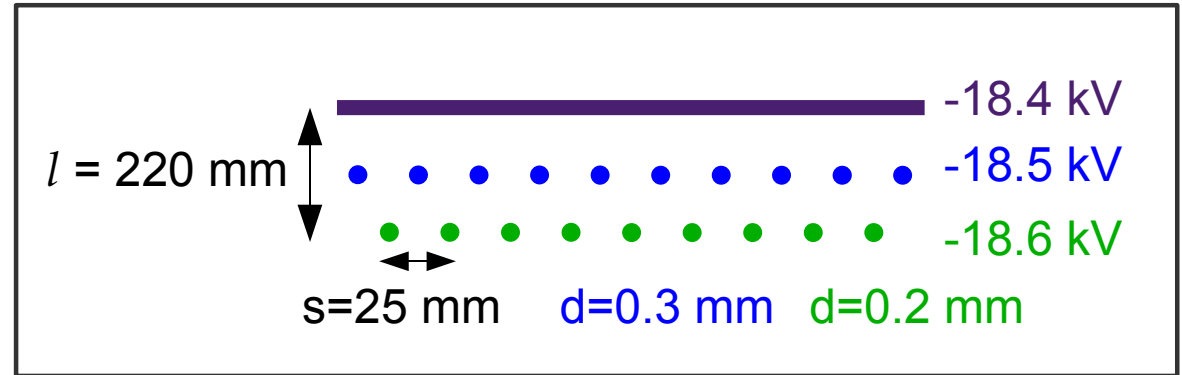
$$f_{gc} = \frac{d}{s} \approx 0.01$$

but: el. Field strength  $E_{draht} = \frac{\Delta U}{l} \frac{s}{\pi d}$

(dipole operation) → *thick* wires



Solution: **two-layer system:**



- additionally allows better electrostatic screening:

$$\Delta U_{eff} = \left(1 - \frac{1}{S}\right) \Delta U_{wire} + \frac{1}{S} \Delta U_{hull}$$

Fluctuation of analysing potential

Fluctuation of vessel potential

$$S = 1 + \frac{2\pi l}{s \ln\left(\frac{s}{\pi d}\right)}$$

- facilitates observation of mechanical tolerances

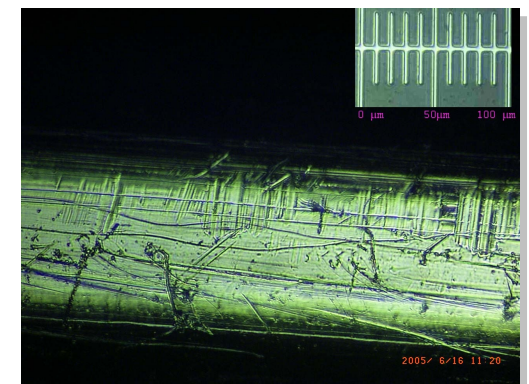


# Anforderungen an ein Drahtelektrodensystem für das KATRIN-Hauptspektrometer

- **Vakuumtauglichkeit:** Spektrometersektion  $p < 10^{-11}$  mbar
  - zugelassene Materialien: z.B. Edelstahl, Gold, Keramik (Frialit), *keine* Kleber
  - Reinigung aller Bauteile nach fester Prozedur, Ultraschallbad-Anlage
  - Fertigung und Handhabung im Reinraum



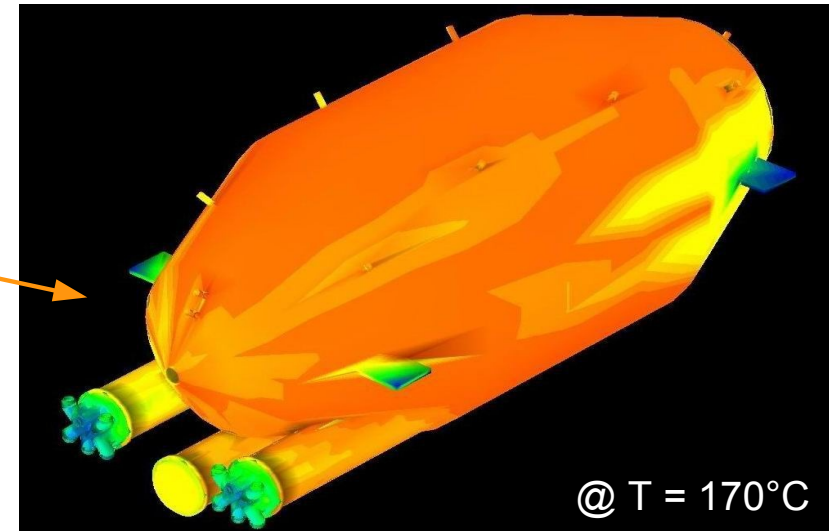
- Nur **nicht-magnetische** Materialien, geringe spezifische **Aktivität**
- **Hochspannungsfestigkeit**
  - Drähte 1. und 2. Lage elektrisch isoliert gg. Tank/Halterung
  - Entgraten von scharfen Kanten (Elektropolieren), Oberflächenqualität der Drähte →
  - (Vermeidung von Feldstärkeüberhöhung durch Mikrospitzen)
  - Dipol-Modus: hohe el. Feldstärke entlang Trennlinie



# Anforderungen an ein Drahtelektrodensystem für das KATRIN-Hauptspektrometer

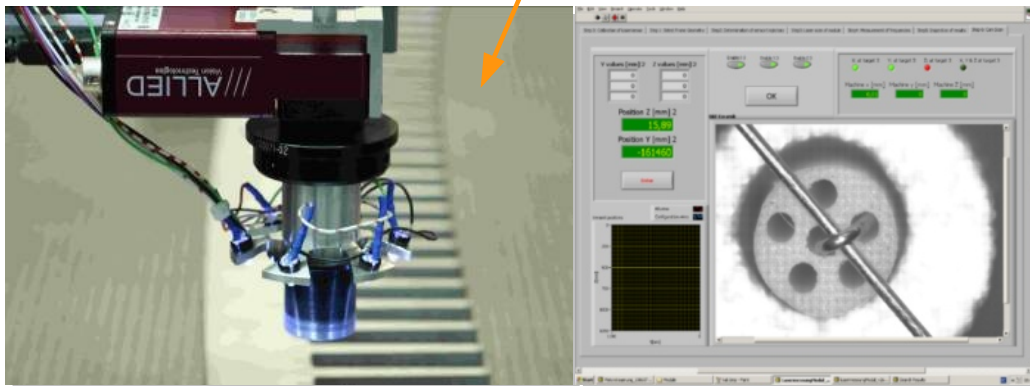
- **Belastbarkeit, Langzeitstabilität**

- Kein Draht darf reißen! (Gesamtmesszeit 5 Jahre)
- Hohe mechan. Stabilität der Haltestrukturen, aber möglichst wenig Material
- Ausheizen des ganzen Hauptspektrometers incl. Innenelektrode bis  $T = 350^{\circ}\text{C}$ 
  - Anpassung des therm. Ausdehnungskoeffizienten



- **Fertigungs- und Einbautoleranzen**

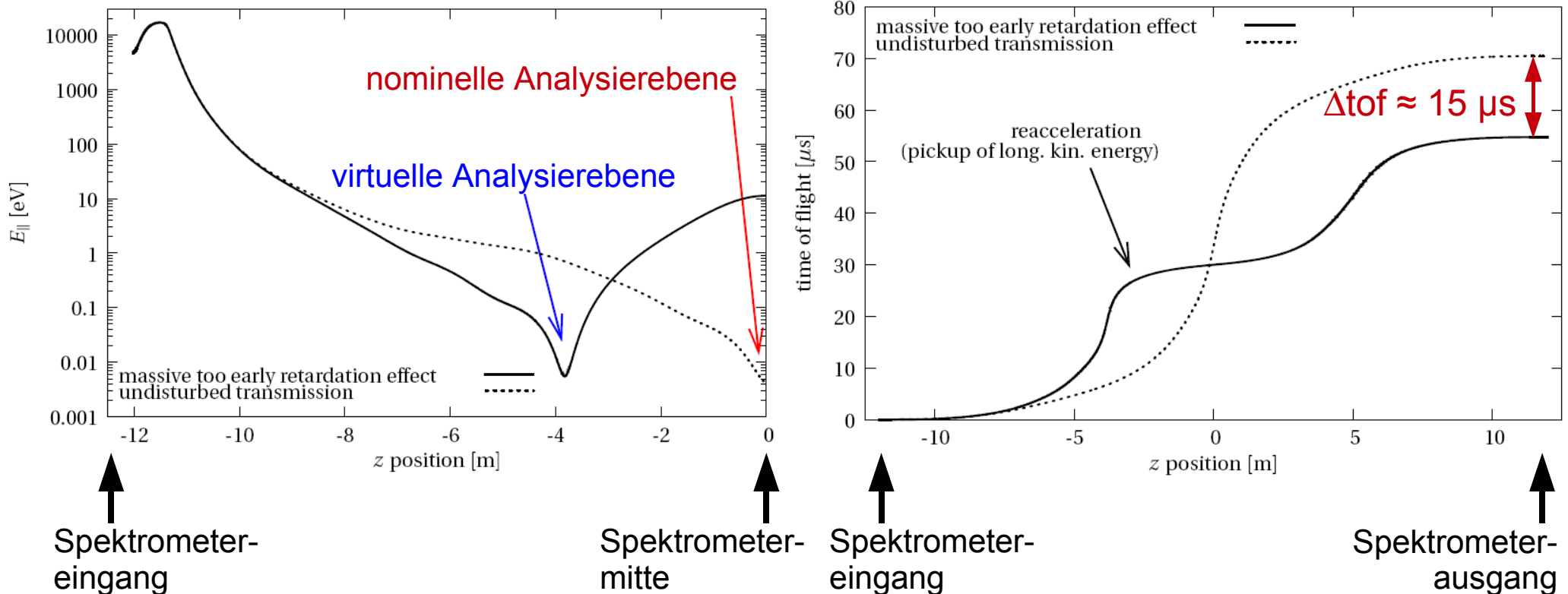
- Durchhängen durch ausreichende Drahtspannung vermeiden:  
 $\delta r < 200 \mu\text{m} \Rightarrow 10 \text{ N}$  (1. Lage)  
bzw.  $5 \text{ N}$  (2. Lage)
- strenge Qualitätssicherungsmaßnahmen, lückenlose Dokumentation (Datenbank)



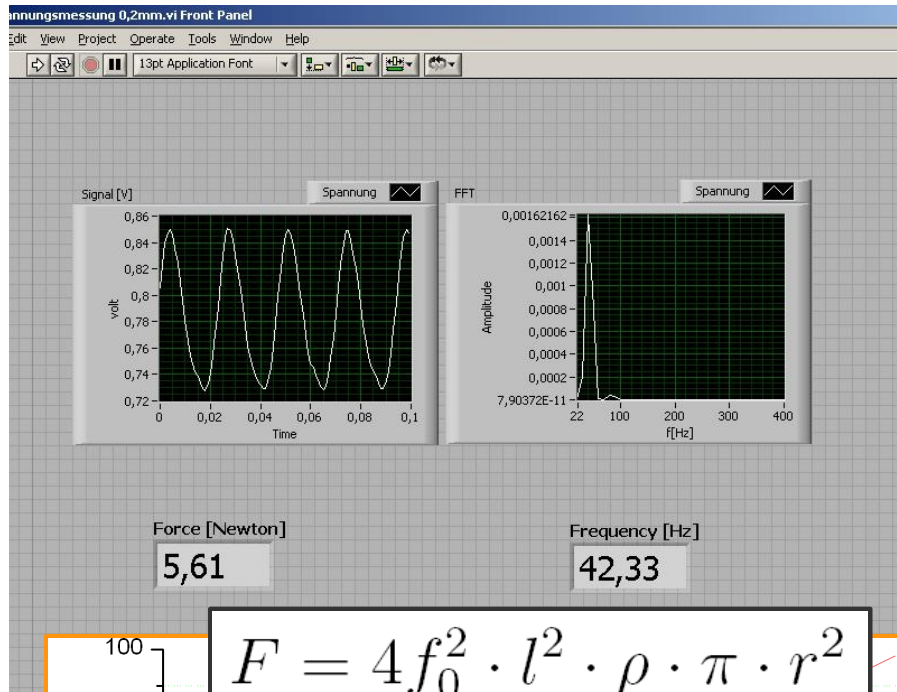
# Flugzeitmodus „MAC-E-ToF“

- Idee (Mainzer  $\nu$ -Massenexperiment: J. Bonn *et al.*, NIM A421 (1999) 256):  
 Hochpass-Filter wird zu Bandpass-Filter  
 auch (niederenergetische) Details im Energiespektrum werden sichtbar  
 $qU_{\text{filter}} \rightarrow E_{\text{low}}, \text{ToF} \rightarrow E_{\text{up}}$
- Zusätzlich: Diagnose von **Spektrometereigenschaften**

hier: Simulationen für das KATRIN-Hauptspektrometer



# Wire tension measurement



$$F = 4f_0^2 \cdot l^2 \cdot \rho \cdot \pi \cdot r^2$$

