

QUANTUM MECHANICS OF LEPTOGENESIS

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DESY

based on

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Outline

- Introduction
- Non-equilibrium dynamics
 - Boltzmann equations
 - Kadanoff-Baym
- Solution to the Kadanoff-Baym
- Thermal leptogenesis
 - Boltzmann equations
 - Kadanoff-Baym
- Conclusions

Matter-antimatter asymmetry

$$\text{BBN :} \quad \frac{n_B}{n_\gamma} = (5.1 - 6.5) \times 10^{-10}$$

$$\text{WMAP:} \quad \frac{n_B}{n_\gamma} = (6.19 \pm 0.15) \times 10^{-10}$$

Sakharov's conditions

- Baryon number violation
- C, CP violation
- Departure from thermal equilibrium

Asymmetry in the SM

The Sakharov's conditions are satisfied in the SM

- Baryon number violation via the triangle anomaly
- CP violation with the Kobayashi-Maskawa mechanism
- Out-of-equilibrium in the electro-weak phase transition

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Not enough asymmetry is generated!

Extension to the SM is required

See-saw mechanism and Thermal Leptogenesis

Insertion of right-handed neutrinos ν_R

$$\mathcal{L} = \mathcal{L}_{SM} + i\bar{\nu}_R \not{\partial} \nu_R - \bar{l}_L \tilde{\Phi} \lambda \nu_R - \frac{1}{2} \bar{\nu}_R^c M \nu_R + h.c.$$

- **see-saw mechanism** explains small neutrino masses
- mass term of $N \approx \nu_R + \nu_R^c$ violates **lepton number**
- **mass and flavour eigenstates** are not identical
- **complex phases** appear
- out-of-equilibrium decay of N **generally violates CP** and generates the asymmetry

See-saw mechanism and Thermal Leptogenesis

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⇒ the study of the non-equilibrium dynamics of the Majorana particle is fundamental to understand leptogenesis

Non-Equilibrium Dynamics of Quantum Systems

Methods

- Boltzmann equations (BE)
- (full) quantum Boltzmann equations (QBE)
- kinetic equations for *matrices of density*
- Kadanoff-Baym equations (KBE)

Quantum Boltzmann Equations

$$\dot{n}(t) + 3H(t)n(t) + \Gamma(t)(n(t) - n_{eq}) = 0$$

- describe time evolution of **classical particle numbers**
- **cross sections** are imported from **quantum field theory**
- BE are known to work well in some examples, e.g.
 - photon decoupling
 - big bang nucleosynthesis
- but note that
 - BE cannot describe **coherent oscillations**
 - BE assume particles **move freely between scatterings**
 - BE are **Markovian**, they are local in time
 - classical **particle number** is not well defined in interacting quantum field theory

Full Quantum Boltzmann Equations

First order solution to a gradient expansion of the Kadanoff-Baym equations

- assumes close to equilibrium condition
- slow variation with respect to the center of mass coordinate
- many quantum-interferences are lost
- ill-defined quantities such particle densities are used

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A full quantum treatment needs to be performed

Is a Quantum Treatment possible?

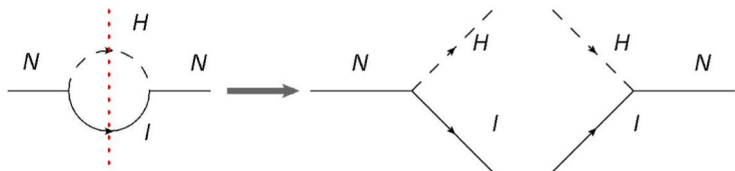
- spacial homogeneity
- weak coupling \Rightarrow perturbative
- background plasma is in equilibrium
- backreaction can be neglected

'Weak coupling to a thermal bath'

Boltzmann vs Kadanoff-Baym Equations

- **initial value problem** for density matrix $\rho(t)$...
- ...or for correlation functions $\langle \dots \rangle = \text{tr}(\rho \dots)$
- KBE contain **full quantum mechanics**

particle numbers \Leftrightarrow correlation functions
 collision term \Leftrightarrow self energies



Π , Π encode information about all decay and scattering processes

KBE Formalism

Kadanoff-Baym Equations

$$(\partial_1^2 + m^2)\Delta^-(x_1, x_2) = - \int d^3\mathbf{x}' \int_{t_2}^{t_1} dt' \Pi^-(x_1, x') \Delta^-(x', x_2)$$

$$(\partial_1^2 + m^2)\Delta^+(x_1, x_2) = - \int d^3\mathbf{x}' \int_{t_i}^{t_1} dt' \Pi^-(x_1, x') \Delta^+(x', x_2) \\ + \int d^3\mathbf{x}' \int_{t_i}^{t_2} dt' \Pi^+(x_1, x') \Delta^-(x', x_2)$$

$$-(i\partial_1 - m)S^-(x_1, x_2) = - \int d^3\mathbf{x}' \int_{t_2}^{t_1} dt' \Pi^-(x_1, x') S^-(x', x_2)$$

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Weak Coupling to a thermal Bath

- consider fields that are **weakly coupled** to a **large** bath in **equilibrium**
- assume interaction mainly with bath fields \mathcal{X}
 - then self energies are computed with **equilibrium propagators**
 - in practice realised by using couplings that are **linear in the field of interest**, e.g. $g\phi\mathcal{O}[\mathcal{X}]$, $g\Psi\mathcal{O}[\mathcal{X}]$, at leading order in g

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For such systems

- spectral propagators Δ^- , S^- , G^- are **time translation invariant**
- KBE are equivalent to a stochastic **Langevin equation**
- KBE **can be solved analytically** up to a **memory integral**

Kadanoff-Baym Equations

$$(\partial_{t_1}^2 + \omega_{\mathbf{q}}^2)\Delta_{\mathbf{q}}^-(t_1 - t_2) = - \int_{t_2}^{t_1} dt' \Pi_{\mathbf{q}}^-(t_1 - t') \Delta_{\mathbf{q}}^-(t' - t_2)$$

$$(\partial_{t_1}^2 + \omega_{\mathbf{q}}^2)\Delta_{\mathbf{q}}^+(t_1, t_2) = - \int_{t_i}^{t_1} dt' \Pi_{\mathbf{q}}^-(t_1 - t') \Delta_{\mathbf{q}}^+(t', t_2) \\ + \int_{t_i}^{t_2} dt' \Pi_{\mathbf{q}}^+(t_1 - t') \Delta_{\mathbf{q}}^-(t' - t_2)$$

$$(i\gamma_0 \partial_{t_1} - \mathbf{q}\gamma - m)S_{\mathbf{q}}^-(t_1 - t_2) = - \int_{t_1}^{t_2} dt' \Pi_{\mathbf{q}}^-(t_1 - t') S_{\mathbf{q}}^-(t' - t_2)$$

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Solutions

Properties of the Solutions

- retarded self-energy $\Pi^R = \Pi^R|_{T=0} + \delta\Pi^R(T)$ is the decisive quantity
- $\text{Re}\Pi^R$ gives **thermal mass**
- $\text{Im}\Pi^R$ **decay width** Γ to resonance

Three regimes

- 1 $|\text{Re}\Pi|, |\text{Im}\Pi| \ll \omega_{\mathbf{q}}^2$
- 2 $|\text{Re}\Pi| \approx \omega_{\mathbf{q}}^2, |\text{Im}\Pi| \ll \omega_{\mathbf{q}}^2$
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 single resonance kinematically behaves like **quasiparticle**
 but total energy receives **vacuum contribution**
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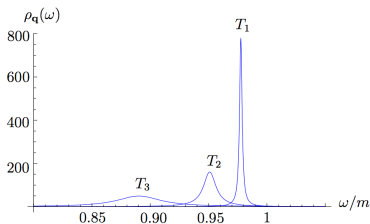
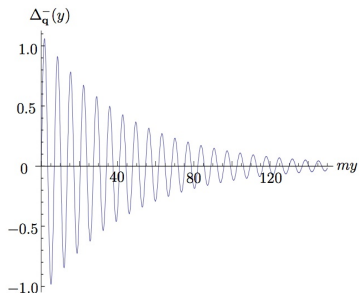
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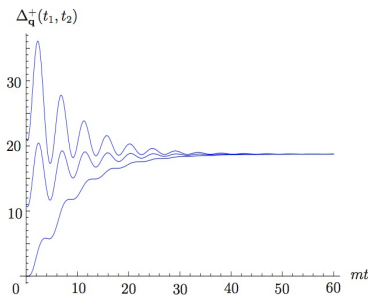
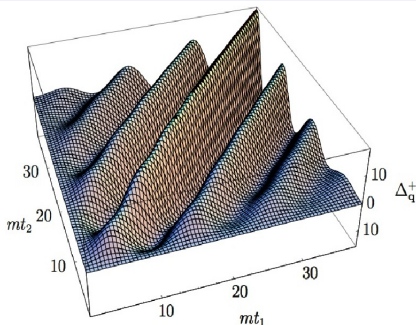
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 but total energy receives **vacuum contribution**
- $|\text{Re}\Pi|, |\text{Im}\Pi| \approx \omega_{\mathbf{q}}^2$
 particle interpretation and **Boltzmann equations break down**,
 at large T possibly even in a weakly coupled theory

The Spectral Function



- Damped oscillatory behaviour
- Breit-Wigner breaks down at high temperatures

The Statistical Propagator



- depends on **two time arguments**
- **equilibrates independent of initial conditions** after characteristic time $\tau \sim 1/\Gamma$
- **oscillates** with plasma frequency

Application to Leptogenesis

Boltzmann approach for asymmetry

The coupled differential equations in a **static universe** are given by

$$\frac{\partial f_N}{\partial t} = \mathcal{C}[f_N] \quad \text{for Majorana}$$

$$\frac{\partial f_{l-\bar{l}}}{\partial t} = \mathcal{C}[f_{l-\bar{l}}, f_N] \quad \text{for lepton asymmetry}$$

$\mathcal{C}[f]$: collision term

Inserting the solution for the Majoranas to the asymmetry equation, and **without wash-out terms**

$$f_{l-\bar{l}} = -\epsilon_{CP} \frac{1}{k} \int_{\mathbf{q}, \mathbf{p}} (2\pi)^4 \delta^4(k + q - p) p \cdot k \\ \times f_{l\phi} f_N^{eq} \frac{1}{\Gamma} (1 - e^{-\Gamma t})$$

KBE approach for the Asymmetry

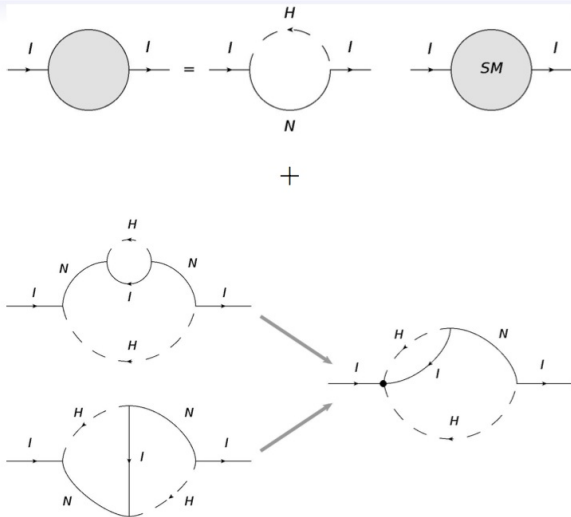
How to calculate the asymmetry without reference to particle number or distribution function?

- define *lepton number matrix*

$$L_{kij}(t_1, t_2) = -\text{tr}[\gamma^0 S_{kij}^+(t_1, t_2)].$$

- $L_{kij}(t, t)$ gives leptonic charge in flavour i at time t
- CP-violation comes from interference between LO and NLO terms (tree level and 1-loop level)
- Considering an stationary universe and neglecting wash-out terms

Lepton Self-Energy



Solution of the Asymmetry

$$\begin{aligned}
 L_{\mathbf{k}ij}(t, t) = & -\epsilon_{ij} \frac{16\pi}{|\mathbf{k}|} \int_{\mathbf{q}, \mathbf{q}'} \frac{\mathbf{k} \cdot \mathbf{k}'}{|\mathbf{k}'| \omega} f_{l\phi}(k, q) f_N^{eq}(\omega) f_{l\phi}(k', q') \\
 & \times \frac{\frac{1}{4}\Gamma}{((\omega - |\mathbf{k}| - |\mathbf{q}|)^2 + \frac{\Gamma^2}{4})(\omega - |\mathbf{k}'| - |\mathbf{q}'|)^2 + \frac{\Gamma^2}{4}} \\
 & \times \left(\cos[(|\mathbf{k}| + |\mathbf{q}| - |\mathbf{k}'| - |\mathbf{q}'|)t] + e^{-\Gamma t} \right. \\
 & \left. - (\cos[(\omega - |\mathbf{k}| - |\mathbf{q}|)t] + \cos[(\omega - |\mathbf{k}'| - |\mathbf{q}'|)t]) e^{-\frac{\Gamma t}{2}} \right),
 \end{aligned}$$

Solution of the Asymmetry

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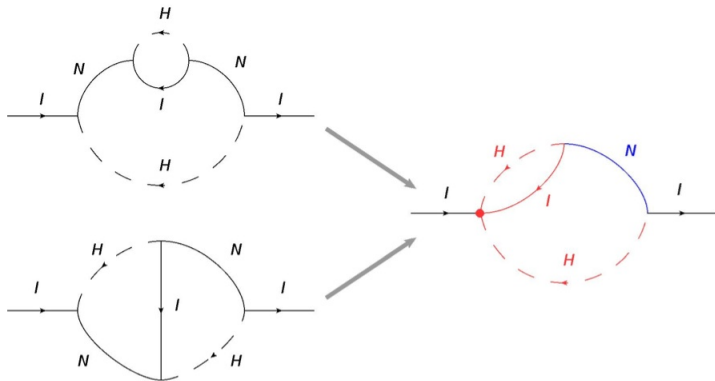
$$\begin{aligned}
 f_{Li}(t, k) &= -\epsilon_{ij} \frac{16\pi}{|\mathbf{k}|} \int_{\mathbf{q}, \mathbf{p}, \mathbf{q}', \mathbf{k}'} \mathbf{k} \cdot \mathbf{k}' f_{l\phi}(k, q) f_N^{eq}(\omega) \\
 &\times \frac{1}{\Gamma} (2\pi)^4 \delta^4(k + q - p) (2\pi)^4 \delta^4(k' + q' - p) \\
 &\times \left(1 - e^{-\Gamma t} \right)
 \end{aligned}$$

On-Shell Approximation (unjustified!)

$$\begin{aligned}
 L_{\mathbf{k}ij}^{\text{OS}}(t, t) &= -\epsilon_{ij} \frac{16\pi}{k} \int_{\mathbf{q}, \mathbf{q}', \mathbf{p}, \mathbf{k}'} k \cdot \mathbf{k}' f_{l\phi}(k, q) f_N^{\text{eq}}(\omega) f_{l\phi}(\mathbf{k}', q') \\
 &\times \frac{1}{\Gamma} (2\pi)^4 \delta^4(k + q - p) (2\pi)^4 \delta^4(k' + q' - p) \\
 &\times \left(1 - e^{-\frac{\Gamma t}{2}}\right)^2
 \end{aligned}$$

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Inclusion of SM widths



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$$\begin{aligned} \tilde{L}_{\mathbf{k}ij}(t, t) &= -\epsilon_{ij} \frac{16\pi}{|\mathbf{k}|} \int_{\mathbf{q}, \mathbf{q}'} \frac{\mathbf{k} \cdot \mathbf{k}'}{|\mathbf{k}'| \omega} f_{l\phi}(k, q) f_N^{eq}(\omega) f_{l\phi}(k', q') \\ &\times \frac{1}{\Gamma} \frac{\frac{1}{4} \Gamma_{l\phi} \Gamma'_{l\phi}}{((\omega - k - q)^2 + \frac{1}{4} \Gamma_{l\phi}^2) ((\omega - k' - q')^2 + \frac{1}{4} \Gamma'_{l\phi}{}^2)} \\ &\left(1 - e^{-\Gamma t}\right) \end{aligned}$$

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BUT: This is not yet a consistent treatment of gauge interactions!!!

Conclusions

- We computed the generated lepton asymmetry for hierarchical heavy neutrino masses and a constant (or very slowly changing) temperature without semi-classical approximations.
- Quantum and non-Markovian effects can be crucial for leptogenesis.
- We find significant deviations from Boltzmann equations due to off-shell effects, memory effects and temperature dependent corrections.
- The consistent inclusion of all SM corrections remains an issue.