The Spin Structure of the Nucleon: a phenomenological introduction

> Franco Bradamante Trieste University and INFN

INTERNATIONAL SCHOOL OF NUCLEAR PHYSICS

33rd Course: FROM QUARKS AND GLUONS TO HADRONS AND NUCLEI

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# the QCD structure Longitudinal Transverse

- the experiments
- results on transversity
   Sivers effects

F. Bradamante

outlook

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#### the nucleon is not a Dirac particle (point-like particle)

spin 
$$\frac{1}{2}$$
  $\mu = \frac{e\hbar}{2mc}$   $\mu_p = +2.79 \ \mu_N$  Frisch and Stern (1933)  
 $\mu_n = -1.91 \ \mu_N$  should be 0

 $\rightarrow$  per-se indication of internal structure

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## THE QUARK MODEL

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## **Major Breakthrough**



magnetic moments:

$$\mu_{p} = \frac{4}{3}\mu_{u} - \frac{1}{3}\mu_{d} \qquad \mu_{n} = \frac{4}{3}\mu_{d} - \frac{1}{3}\mu_{u}$$

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## **Major Breakthrough**

hadron spectoscopy $\Box$ the QUARK MODEL (1964) $|p\rangle = |uud\rangle$  $|n\rangle = |udd\rangle$ SU(6)

magnetic moments:

at

$$\mu_{p} = \frac{4}{3}\mu_{u} - \frac{1}{3}\mu_{d} \qquad \mu_{n} = \frac{4}{3}\mu_{d} - \frac{1}{3}\mu_{u}$$

assuming u and d Dirac particles with

$$m \cong \frac{1}{3}M_N$$

$$\mu_{u} = \frac{qn}{2m_{u}c} = 2\mu_{N} \qquad \mu_{d} = -\mu_{N} \qquad \qquad \frac{\mu_{p}}{\mu_{n}} = \mu_{N} \qquad \qquad \frac{\mu$$

#### similar agreement for all baryons

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## **The Constituent Quark Model**

in this model the spin of the nucleon is given by the spin of the quarks

probability of finding a quark in a given state of polarization

$$\vec{u} = \frac{5}{3} \qquad \vec{u} = \frac{1}{3} \qquad \Delta u = \vec{u} - \vec{u} = \frac{4}{3}$$
$$\vec{d} = \frac{1}{3} \qquad \vec{d} = \frac{2}{3} \qquad \Delta d = \vec{d} - \vec{d} = -\frac{1}{3}$$
$$\Delta \Sigma = \Delta u + \Delta d = 1$$



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## **The Constituent Quark Model**

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probability of finding a quark in a given state of polarization





the existence of quarks and their properties firmly established in DEEP INELASTIC SCATTERING

> SLAC *Friedmann and Kendell (1969)* Bjorken, Feynman

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→ SPIN CRISIS

r. Diauamanie

# HOW IS $\Delta \Sigma$ MEASURED?

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## **Deep Inelastic Scattering**



Inclusive DIS: only the incident and scattered leptons are measured Semi-Inclusive DIS: the incident and scattered leptons, and at least one final state hadron are measured

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> NB: COMPLEMENTARY APPROACH @ RHIC (will not mention)

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Inclusive DIS: unpolarised  

$$\frac{d\sigma}{dx dy} = \frac{e^4}{4\pi^2 Q^2} \cdot \left\{ \frac{y}{2} \cdot F_1 + \frac{1}{2xy} \cdot \left(1 - \frac{y}{2} - \frac{y^2}{4} \cdot \gamma^2 \right) \cdot F_2 \right\}$$

 $F_2(x) = 2x \cdot F_1(x)$  Callan-Gross

#### in the parton model

$$F_1(\mathbf{x}) = \frac{1}{2} \sum_q e_q^2 \cdot \mathbf{q}(\mathbf{x}) + \overline{\mathbf{q}}(\mathbf{x}) \\ q = u, d, s$$



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## **Parton Distribution Functions**

#### q(x): number density or unpolarised distribution



probability of finding a quark with a fraction x of the longitudinal momentum of the parent nucleon

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in a longitudinally polarised nucleon, probability of finding a quark with a momentum fraction x and spin parallel to that of the parent nucleon

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## **Structure Functions and Helicity PDFs**

Inclusive DIS: beam and target longitudinally polarized

$$\frac{d\Delta\sigma}{dx\,dy} = \lambda \cdot \frac{e^4}{4\pi^2 Q^2} \cdot \left[ \left( 1 - \frac{y}{2} - \frac{y^2}{4} \cdot \gamma^2 \right) \cdot g_1 - \frac{y}{2} \cdot \gamma^2 \cdot g_2 \right] \qquad d\sigma = d\overline{\sigma} \pm d\Delta\sigma$$
  
beam/target helicity



**g**<sub>1</sub> measured at SLAC, EMC, SMC, HERMES, COMPASS

**g**<sub>2</sub> suppressed by a factor γ<sup>2</sup>≈0.01 at 100 GeV (SMC, SLAC)

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**g**<sub>2</sub> suppressed by a factor  $\gamma^2 \approx 0.01$ at 100 GeV (SMC, SLAC)

in the parton model  $g_1(x) = \frac{1}{2} \sum_{q} e_q^2 \cdot \left[ Aq(x) + \Delta \overline{q}(x) \right]$ 

$$\Delta \Sigma = \Delta u + \Delta d + \Delta s$$

$$\Delta q = \int \Delta q \, \langle q \rangle = \int q^+ \, \langle q^- q^- \, \langle q^- \rangle + \overline{q}^+ \, \langle q^- \overline{q}^- \, \langle q^- \rangle dx$$

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$$\Delta \Sigma = \Delta u + \Delta d + \Delta s$$

$$\Delta q = \int \Delta q \langle \langle q \rangle = \int \langle q^+ \langle q^- \rangle - q^- \langle q^- \rangle + \overline{q}^+ \langle q^- \rangle - \overline{q}^- \langle q^- \rangle dx$$

#### in polarised inclusive DIS one measures

$$\mathbf{g}_{1} \mathbf{e}_{\mathbf{q}} = \frac{1}{2} \sum_{\mathbf{q}} \mathbf{e}_{\mathbf{q}}^{2} \cdot \Delta \mathbf{q} \mathbf{e}_{\mathbf{q}}$$

$$\Gamma_1 = \int_0^1 \mathbf{g}_1 \, \mathbf{k} \, \mathbf{d} \mathbf{x}$$

using complementary information from the WEAK DECAY CONSTANTS of the BARYONS

$$\Delta u - \Delta d = F + D = 1.257 \pm 0.003$$

 $\Delta u + \Delta d - 2 \Delta s = 3F - D = \sqrt{3} \cdot 0.34 \pm 0.02$ 

one can get  $\Delta u$ ,  $\Delta d$ ,  $\Delta s$  and then  $\Delta \Sigma$ 

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$$\Delta \Sigma = \Delta u + \Delta d + \Delta S$$
  
$$\Delta q = \int \Delta q \ll \bar{q} \sim \bar{q} \ll \bar{q} \sim \bar{q} \ll \bar{q} \sim \bar{q} \ll \bar{q} \sim \bar{q} \sim \bar{q} \ll \bar{q} \sim \bar{q}$$

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using complementary information from the WEAK DECAY CONSTANTS of the BARYONS

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 $\Delta u + \Delta d - 2 \Delta s = 3F - D = \sqrt{3} \cdot 0.34 \pm 0.02$ 

one can get  $\Delta \mathbf{U}$ ,  $\Delta \mathbf{d}$ ,  $\Delta \mathbf{S}$  and then  $\Delta \Sigma$ 

 $\Delta \mathbf{U}, \Delta \mathbf{d}, \Delta \mathbf{S}$  can also be measured in semi-inclusive DIS

 $\rightarrow$  E. Kabuss

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## **Experiments**

a worldwide effort since decades

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### a worldwide effort since decades

				PIN CRISIS	
	1970	1980	Ļ	1990	2000
SLAC					
	E80	E130	E	142/3 E154	/5
CERN					
		E	МС	SMC	COMPASS
DESY					
				HERMES	
JLab					
				C	LAS/HALL-A
RHIC					
					Phenix/Sta
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Iongitudinally polarised muon beam Iongitudinally or transversely polarised target calorimetry particle identification

COMPAS

Iuminosity: $\sim 5 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$ beam intensity: $2 \cdot 10^8 \mu^+$ /spill (4.8s/16.2s)beam momentum:160 GeV/c

LHC

## COMPASS



## **COMPASS** the target system

#### solid state target operated in frozen spin mode



during data taking with transverse polarisation, polarisation reversal in the cells after ~ 4-5 days



## **COMPASS** the target system

#### solid state target operated in frozen spin mode



## **HERMES**

27.5 GeV e+





TRIGGER HODOSCOPE H1

flipped at high frequency (60-90 s)

 $^{1}H \rightarrow \langle |P_{t}| \rangle \sim 85 \pm 3.8 \%$  $^{2}H \rightarrow \langle |P_{t}| \rangle \sim 84 \pm 3.5 \%$   $^{1}H \uparrow \langle |P_{t}| \rangle \sim 74 \pm 4.2 \%$ 



particle ID: lepton ID v hadron cor RICH:

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## **JLab experiments**



HallA: two HRS'

Hall B:CLAS

#### Hall C: HMS+SOS

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# THE QCD STRUCTURE LONGITUDINAL TRANSVERSE

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## **Parton Distribution Functions**

in the collinear case, three distribution functions are necessary to describe the structure of the nucleon at LO:
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#### q(x): number density or unpolarised distribution



probability of finding a quark with a fraction x of the longitudinal momentum of the parent nucleon

 $\Delta q(x) = q^{\exists} - q^{\exists}$ : longitudinal polarization or helicity distribution



in a longitudinally polarised nucleon, probability of finding a quark with a momentum fraction x and spin parallel to that of the parent nucleon

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#### $\Delta_T q(x) = q^{\uparrow\uparrow} - q^{\downarrow\uparrow}$ : transverse polarization or transversity distribution



in a transversely polarised nucleon, probability of finding a quark with a momentum fraction x and polarisation parallel to that of the parent nucleon

q quark or antiquark with a specific flavor [notation: Barone, Drago, Raftcliffe 2001]

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#### **ALL OF EQUAL IMPORTANCE !**

### HELICITY vs TRANSVERSITY

HELICITY and TRANSVERSITY are different have different properties are measured in different ways

thus

one has to deal differently the situations when the target spins are LONGITUDINAL  $\rightarrow E.$  Kabuss and TRANSVERSE

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Transversity can only be accessed in SIDIS from the azimuthal modulation of the final state hadrons with respect to the lepton plane





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VOLUME 41, NUMBER 25

#### PHYSICAL REVIEW LETTERS

**18 December 1978** 

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G. L. Kane J. Pumplin W. Repko

The quantum-chromodynamics prediction is that P = 0 in the scaling limit.

$$A_{N} = \frac{d\sigma^{\uparrow} - d\sigma^{\downarrow}}{d\sigma^{\uparrow} + d\sigma^{\downarrow}} \propto \frac{\mathbf{m}_{q}}{\sqrt{s}} \qquad \text{i.e.} \\ \mathbf{m}_{q} = 3 \text{MeV}, \ \sqrt{s} = 20 \text{ GeV} \quad \square \qquad A_{N} \approx 10^{-4}$$

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in hadronic reactions like  $p^{\uparrow} + p \rightarrow \pi + X$ with a transversely polarized proton, the spin asymmetry in leading twist perturbative QCD is expected to vanish

#### THE DATA STRONGLY CONTRADICT THIS!

LINE, IT September ZUIT

# Since many years intriguing evidence of large transverse spin effects at high energy

- hyperon polarization
- high p<sub>t</sub> effects in hadronic interactions
- asymmetries in hadron production





# Since many years intriguing evidence of large transverse spin effects at high energy

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Hope to find solutions at the quark level ( $\Delta_T q(x) \dots$ )

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# HOW to MEASURE TRANSVERSITY

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## **HOW to MEASURE TRANSVERSITY**

 $\Delta_{T}q(x)$  is chiral-odd

→ cannot be measured in inclusive DIS



## **HOW to MEASURE TRANSVERSITY**

 $\Delta_{T}q(x)$  is chiral-odd

→ cannot be measured in inclusive DIS

it can be measured in SIDIS:

the observable is the so- called "Collins asymmetry", the convolution of  $\Delta_T q(x)$  with another chiral-odd quantity, the "Collins" function, which describes a possible left-right asimmetry of the hadrons in the hadronization process of a transversely polarized quark

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in SIDIS off transversity polarised nucleons amplitude of the  $\sin \Phi_C$  modulation in the azimuthal distribution of the final state hadrons





#### today the most promising way to access transversity

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# The conjecture was right !!

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## The conjecture was right !!



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## The conjecture was right !!

#### "Collins FF"

 $\boldsymbol{A_{Coll}} \approx \frac{\sum_{q} e_{q}^{2} \boldsymbol{\Delta}_{T} \boldsymbol{q} \otimes \left(\boldsymbol{\Delta}_{T}^{0} \boldsymbol{D}_{q}^{h}\right)}{\sum_{q} e_{q}^{2} \boldsymbol{q} \otimes \boldsymbol{D}_{q}^{h}}$ 

gives a LR asymmetry in the hadronisation of transversely polarised quarks

- products of Collins FFs can be measured in  $e^+e^- \rightarrow \pi^+\pi^- X$
- first low statistics results from LEP data
- 2005 first data from BELLE





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OMP

x > 0.032 region - com

comparison with HERMES results



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x > 0.032 region - comparison w



nice agreement in spite of the different Q<sup>2</sup> values a very important result

# new developments

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in the collinear case, three distribution functions are necessary to describe the structure of the nucleon at LO



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taking into account the quark intrinsic transverse momentum  $k_T$ , at leading order 8 PDFs are needed for a full description of the nucleon structure *"TMDs"* 



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taking into account the quark intrinsic transverse momentum  $k_T$ ,at leading order 8 PDFs are neededfor a full description of the nucleon structure"TMDs"





- when taking into account the intrinsic transverse momentum of the quarks several azimuthal modulations are possible in the SIDIS cross-section
- the amplitudes of the modulations are convolutions of the different Transverse Momentum Dependent PDFs e FFs:

$$\begin{array}{ll} \sin 2\phi_h \\ \sin (\phi_h + \phi_S) & \rightarrow \text{Transversity PDF x Collins FF} \\ \sin (\phi_h - \phi_S) & \rightarrow \text{Sivers PDF} \\ \sin (3\phi_h - \phi_S) & \end{array}$$

all these amplitudes can be extracted from the SIDIS data

. . .

# the Sivers function

#### a long debate

....

- 1992 introduced by D. Sivers
- 1993 J. Collins demonstrate that it must vanish
- 2002 S. Brodsky et al.: it can be  $\neq$  0 because of FSI
- 2002 J. Collins: process dependent, change of sign SIDIS ↔ DY

# the Sivers function

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- 1992 introduced by D. Sivers
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- 2002 J. Collins: process dependent, change of sign SIDIS ↔ DY
- 2005 first measurements of the Sivers asymmetry in SIDIS

$$\boldsymbol{A_{Siv}} = \frac{\sum_{q} e_{q}^{2} \boldsymbol{f_{IT}}^{\perp q} \otimes \boldsymbol{D}_{1}^{q}}{\sum_{q} e_{q}^{2} \boldsymbol{f}_{1} \otimes \boldsymbol{D}_{1}^{q}} \qquad \qquad \frac{F_{UT}^{sin(\phi_{h} - \phi_{S})}}{F_{UU}}$$

strong signal seen by HERMES for  $\pi^+$  on protons no signal seen by COMPASS for  $h^+$  and  $h^-$  on deuterons

COMPASS 2010 proton data  $A^{P}_{Siv}$ 0.1positive hadrons preliminary negative hadrons 0.05 -0.05-0.1. . . . . 0.5 0.5  $10^{-2}$  $10^{-1}$  $p_T^h$  (GeV/c) х Ζ Fransversity 2011 again, nice agreement with the 2007 results, **better statistics** with  $\sigma_{syst} \sim 0.5 \sigma_{stat}$ in 2010

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**OMP**<sub>A</sub>

COMP ASS

#### results from 2010 data vs results from 2007data



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#### x > 0.032 region 2010 COMPASS data vs HERMES results



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#### JLab - neutron



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#### CONCLUSIONS on transverse spin and transverse momentum phenomena

- TRANSVERSITY is being measured
- NEW Properties of matter have been unveiled Collins effect Sivers effect
  OTHER correlations are still possible (Boer-Mulders)

 more precise measurements are needed to compare with calculations (pQCD and Lattice)

COMPASS JLab RHIC GSI

and in the long run

AN ELECTRON-NUCLEON COLLIDER

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#### COMPASS

 further results from 2010: SIDIS off transversely polarized p target (160 GeV) 2011: SIDIS off longitudinally polarized p target (160 GeV)

#### HERMES

further results on SIDIS and DVCS (28 GeV)

#### **JLab**

- SIDIS and DVCS (6 GeV)
- upgrade to 12 GeV
## FUTURE

## COMPASS II proposal

submitted to CERN in July 2010

approved for 3 years of running → E. Rocco DVCS & DVMP Transverse Imaging Beam Charge & Spin asymmetry GPD H (later GPD E)

## μp SIDIS

s(x), Kaon FF Boer Mulders PDFs and  $k_T$ 

 $\begin{array}{c} \textbf{Drell-Yan} \ \pi \ p\uparrow \\ \textbf{Sivers and Boer Mulders PDFs} \\ \textbf{Test of universality} \end{array}$ 

### **Primakoff** Chiral Perturbation Theory



## **SPARE SLIDES**

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## g<sub>1</sub> and F<sub>1</sub> in Quark Parton Model

$$s = 1$$
  
 $s = -1/2$   
 $s = -1/2$   
 $s = -1/2$ 

$$\sigma_{\frac{3}{2}} \sim e_{q}^{2} \cdot q^{-} \qquad \sigma_{\frac{1}{2}} \sim e_{q}^{2} \cdot q^{+}$$

$$2F_{1} \checkmark = \sigma_{\frac{1}{2}} + \sigma_{\frac{3}{2}} \sim \sum_{q} e_{q}^{2} \cdot q^{+} \checkmark + q^{-} \checkmark =$$

$$2g_{1} \checkmark = \sigma_{\frac{1}{2}} - \sigma_{\frac{3}{2}} \sim \sum_{q} e_{q}^{2} \cdot q^{+} \checkmark = q^{-} \checkmark =$$

q

$$\frac{\sigma_{\frac{1}{2}} - \sigma_{\frac{3}{2}}}{\sigma_{\frac{1}{2}} + \sigma_{\frac{3}{2}}} = \frac{g_1 \langle \!\!\! \langle \!\!\! \rangle }{F_1 \langle \!\!\! \langle \!\!\! \rangle } = A_1$$

#### definitions:

$$\Delta u = \int \mathbf{u}^{+} \mathbf{k} - \mathbf{u}^{-} \mathbf{k} + \overline{\mathbf{u}}^{+} \mathbf{k} - \overline{\mathbf{u}}^{-} \mathbf{k} - \overline{\mathbf{d}} \mathbf{k} = \int \Delta u \mathbf{k} d\mathbf{x}$$
$$\Delta d = \int \mathbf{u}^{+} \mathbf{k} - d^{-} \mathbf{k} + \overline{d}^{+} \mathbf{k} - \overline{d}^{-} \mathbf{k} - \overline{\mathbf{d}} \mathbf{k}$$
$$\Delta s = \int \mathbf{u}^{+} \mathbf{k} - s^{-} \mathbf{k} + \overline{s}^{+} \mathbf{k} - \overline{s}^{-} \mathbf{k} - \overline{\mathbf{d}} \mathbf{k}$$

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## Measurement of $g_1$ in inclusive DIS





$$A = D \cdot \langle A_1 + \eta A_2 \rangle \neq D \cdot A_1$$

D,  $\eta$  kinematical quantities

 $A_1$  and  $A_2$  are the asymmetries in  $\gamma^* p$  (n) scattering

$$\mathbf{g}_{1} = \frac{\mathbf{A}_{1} \mathbf{F}_{2} \mathbf{F}_{2}}{2\mathbf{x} \cdot \mathbf{F}_{1} \mathbf{F}_{2}} \mathbf{R} = \frac{\sigma_{L}}{\sigma_{T}}$$
$$\approx \mathbf{A}_{1} \mathbf{F}_{1} \mathbf{F}_{1} \mathbf{F}_{1}$$

$$\mathbf{A_{1}} = \frac{\sigma_{\frac{1}{2}} - \sigma_{\frac{3}{2}}}{\sigma_{\frac{1}{2}} + \sigma_{\frac{3}{2}}} \quad \mathbf{A_{2}} = \frac{2\sigma_{\mathrm{TL}}}{\sigma_{\frac{1}{2}} + \sigma_{\frac{3}{2}}}$$

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## TRANSVERSITY

 $\Delta_T \mathbf{q}(\mathbf{x}), h_1^q(\mathbf{x}), \delta \mathbf{q}(\mathbf{x}), \delta_T \mathbf{q}(\mathbf{x}),$ 

 $q=u_v, d_v, q_{sea}$ 

recently much interest !

properties:

- $\Delta_T q(x) \neq \Delta q(x)$
- probes the relativistic nature of quark dynamics
- no contribution from the gluons  $\rightarrow$  simple Q<sup>2</sup> evolution
- positivity (Soffer) bound
- first moments: tensor charge
- sum rule for transverse spin in Parton Model framework
- it is related to GPD's

 $2|\Delta_{\mathsf{T}}\mathbf{q}| \leq \mathbf{q} + \Delta \mathbf{q}$  $\Delta_{\mathsf{T}}\mathbf{q} \equiv \int d\mathbf{x} \Delta_{\mathsf{T}}\mathbf{q}(\mathbf{x})$ 

$$\frac{1}{2} = \frac{1}{2} \sum \Delta_{\mathsf{T}} \mathbf{q} + \mathbf{L}_{\mathsf{q}} + \mathbf{L}_{\mathsf{g}}$$
  
Bakker, Leader, Trueman, PRD 70 (04)

• is chiral-odd: decouples from inclusive DIS

## **Transversity and TMD PDFs**

# Three parton distributions describing quark's transverse momentum and/or transverse spin

Three transverse quantities:

1) Nucleon transverse spin

 $ec{S}_{ot}^{\,N}$ 

2) Quark transverse spin

 $\vec{S}_{\perp}^{q}$ 

3) Qaurk transverse

momentum

 $\vec{k}_{\perp}^{q}$  $\Rightarrow$  Three different correlations 1) Transversity

Correlation between  $\vec{s}_{\perp}^{q}$  and  $\vec{S}_{\perp}^{N}$ 

h<sub>1T</sub>

**2) Sivers function** 
$$f_{1T}^{\perp} = \bigcirc$$
 -

Correlation between  $\vec{S}_{\perp}^{N}$  and  $\vec{k}_{\perp}^{q}$ 

3) Boer-Mulders function

Correlation between  $\vec{s}_{\perp}^{q}$  and  $\vec{k}_{\perp}^{q}$ 

 $h_1 = (\bigcirc)$ 

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## **Relativistic Heavy Ion Collider**



RHIC accelerates heavy ions to 100 GeV/A and polarized protons to 250 GeV

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## TMD PDFs and SIDIS cross-section



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