

# Kinematic effects in off-forward hard reactions

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based on

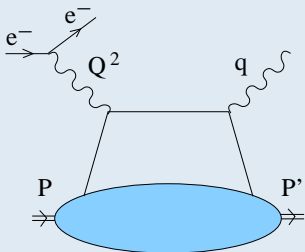
*V.M. Braun, A.N. Manashov, arXiv:1108.2394 [hep-ph]*

Erice, September 2011

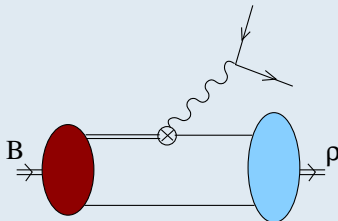


## Hard exclusive processes involve off-forward matrix elements

DVCS:  $\gamma^* P \rightarrow \gamma P'$



Form factors:  $\gamma^* \pi \rightarrow \gamma, B \rightarrow \rho l \bar{\nu}_l, \dots$



### Operator Product Expansion

$$J(x)J(0) \sim \sum_N C_N(x^2, \mu^2) \mathcal{O}_N(\mu^2)$$

involves

$$\langle P' | \mathcal{O}_N(\mu^2) | P \rangle \quad \langle \rho(p) | \mathcal{O}_N(\mu^2) | 0 \rangle$$

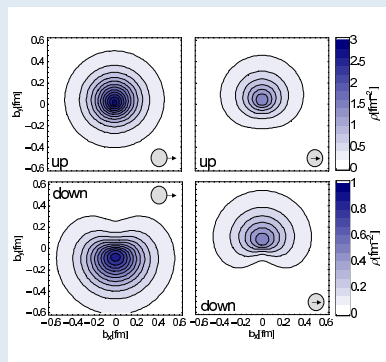
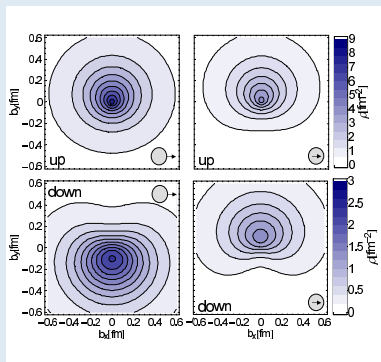
Kinematic variables: hadron mass  $m^2$  momentum transfer  $t = (P - P')^2$

**How to calculate effects  $\sim m^2/Q^2$  and  $t/Q^2$ ?**



# Nucleon Tomography

access to three-dimensional picture of the nucleon (M. Burkardt)



↪ first two moments of transverse spin parton density

computer simulations:

M. Gökeler *et al.*, Phys.Rev.Lett. 98 (2007) 222001

• change of attitude: finite  $t$  a “nuisance” → important tool



## Where is a problem?

- **Inclusive reactions**  $\leftrightarrow$  **forward matrix elements**

O. Nachtmann, Nucl. Phys. **B63** (1973) 237:

all target mass corrections are due to subtraction of traces in leading twist operators  $\mathcal{O}_{\mu\mu_1\dots\mu_N}$

- **Exclusive reactions**  $\leftrightarrow$  **off-forward matrix elements**

in addition, there are contributions of higher-twist operators that reduce to total derivatives of the operators of leading twist:

$$\partial^2 \mathcal{O}_{\mu\mu_1\dots\mu_N} \quad \text{and} \quad \partial^\mu \mathcal{O}_{\mu\mu_1\dots\mu_N}$$

S. Ferrara, A. F. Grillo, G. Parisi and R. Gatto, Phys. Lett. **B38**, 333 (1972):

matrix elements of  $\partial^\mu \mathcal{O}_{\mu\mu_1\dots\mu_N}$  over free quarks vanish



## The same problem in a different language

- Using EOM  $\partial^\mu \mathcal{O}_{\mu\mu_1\dots\mu_N}$  can be expressed in terms of quark-gluon operators:

$N = 1$ :

$$\partial^\mu O_{\mu\nu} = 2i\bar{q}gG_{\nu\mu}\gamma^\mu q, \quad O_{\mu\nu} = (1/2)[\bar{q}\gamma_\mu \overleftrightarrow{D}_\nu q + (\mu \leftrightarrow \nu)]$$

$N = 2$ :

$$\begin{aligned} \frac{4}{5}\partial^\mu \mathcal{O}_{\mu\alpha\beta} &= -12i\bar{q}\gamma^\rho \left\{ gG_{\rho\beta} \overrightarrow{D}_\alpha - \overleftarrow{D}_\alpha gG_{\rho\beta} + (\alpha \leftrightarrow \beta) \right\} q - 4\partial^\rho \bar{q}(\gamma_\beta g\tilde{G}_{\alpha\rho} + \gamma_\alpha g\tilde{G}_{\beta\rho})\gamma_5 q \\ &\quad - \frac{8}{3}\partial_\beta \bar{q}\gamma^\sigma \tilde{G}_{\sigma\alpha}\gamma_5 q - \frac{8}{3}\partial_\alpha \bar{q}\gamma^\sigma \tilde{G}_{\sigma\beta}\gamma_5 q + \frac{28}{3}g_{\alpha\beta}\partial_\rho \bar{q}\gamma^\sigma \tilde{G}_{\sigma\rho} q, \end{aligned}$$

where

$$O_{\mu\alpha\beta} = \text{Sym}_{\mu\alpha\beta} \left[ \frac{15}{2}\bar{q}\gamma_\mu \overleftrightarrow{D}_\alpha \overleftrightarrow{D}_\beta q - \frac{3}{2}\partial_\alpha \partial_\beta \bar{q}\gamma_\mu q \right] - \text{traces}$$

etc.

- How to distinguish between “kinematic” and genuine “dynamic” degrees of freedom?
- Are matrix elements of twist-four  $\bar{q}Gq$  operators  $\sim \Lambda_{QCD}^2$  or are they  $\sim t \gg \Lambda_{QCD}^2$ ?



Let  $G_{Nk}$  be the complete basis of twist-four operators

general structure of such relations:

$$(\partial\mathcal{O})_N = \sum_k a_k^{(N)} G_{Nk}$$

and simultaneously

$$T\{j(x)j(0)\}^{t=4} = \sum_{N,k} c_{N,k}(x) G_{Nk}$$

A separation of “kinematic” and “dynamic” contributions implies rewriting expansion of T-product in such a way that the particular combination appearing in  $(\partial\mathcal{O})_N$  is separated from the “remainder”.

The “kinematic” approximation would correspond to taking into account this term only, and neglecting contributions of “genuine” quark-gluon operators.

Guidung principle:

- **“Kinematic” and “Dynamic” contributions must have autonomous scale-dependence**



Let  $\mathcal{G}_{N,k}$  be the set of *multiplicatively renormalizable* twist-four operators

$$\mathcal{G}_{N,k} = \sum_{k'} \psi_{k,k'}^{(N)} G_{N,k'}$$

- One solution of the RG equations is known without calculation !

$$(\partial\mathcal{O})_N = \sum_k a_k^{(N)} G_{Nk}$$

assume  $(\partial\mathcal{O})_N$  corresponds to  $k=0$ , so  $\mathcal{G}_{N,k=0} \equiv (\partial\mathcal{O})_N$  and  $\psi_{k=0,k'}^{(N)} = a_{k'}$

Inverting the matrix of coefficients  $\psi_{k,k'}^{(N)}$

$$G_{N,k} = \phi_{k,0}^{(N)} (\partial\mathcal{O})_N + \sum_{k' \neq 0} \phi_{k,k'}^{(N)} \mathcal{G}_{N,k'}$$

leading to

$$T\{j(x)j(0)\}^{\text{tw-4}} = \sum_{N,k} c_{N,k}(x) \phi_{k,0}^{(N)} (\partial\mathcal{O})_N + \dots$$

the ellipses stand for the contributions of “genuine” twist-four operators

The problem is that finding  $\phi_{k,0}^{(N)}$  requires the knowledge of the full matrix  $\psi_{k,k'}^{(N)}$ , alias explicit solution of the twist-four RG equations.



## Solution:

Bukhvostov, Frolov, Lipatov, Kuraev, Nucl. Phys. **B258** (1985) 601

- **Four-particle twist-4 operators have autonomous scale-dependence**  
→ irrelevant

Braun, Manashov, Rohrwild, Nucl. Phys. **B807** (2009) 89; Nucl. Phys. **B826** (2010) 235.

- **RG equations for three-particle (non-quasipartonic) operators are hermitian w.r.t. a certain scalar product**

Hence different solutions are mutually orthogonal w.r.t. a certain weight function:

$$\sum_k \mu_k^{(N)} \psi_{l,k}^{(N)} \psi_{m,k}^{(N)} \sim \delta_{l,m}$$

so that

$$\phi_{k,0}^{(N)} = a_k^{(N)} \|a^{(N)}\|^{-2}, \quad \|a^{(N)}\|^2 = \sum_k \mu_k^{(N)} (a_k^{(N)})^2$$

and finally

$$T\{j(x)j(0)\}^{\text{tw}-4} = \sum_N \left( \sum_k \frac{c_{N,k}(x) a_k^{(N)}}{\|a^{(N)}\|^2} \right) (\partial\mathcal{O})_N + \text{dynamic higher twist}$$





## Results: Time-ordered product of electromagnetic currents

$$S_{\mu\alpha\nu\beta} = g_{\mu\alpha}g_{\nu\beta} + g_{\nu\alpha}g_{\mu\beta} - g_{\mu\nu}g_{\alpha\beta}$$

$$i T \left\{ j_{\mu}^{em}(x) j_{\nu}^{em}(0) \right\} = -\frac{1}{\pi^2 x^4} \left\{ x^{\alpha} \left[ S_{\mu\alpha\nu\beta} \mathbb{V}^{\beta} + i\epsilon_{\mu\nu\alpha\beta} \mathbb{A}^{\beta} \right] + x^2 \left[ (x_{\mu}\partial_{\nu} + x_{\nu}\partial_{\mu}) \mathbb{X} + (x_{\mu}\partial_{\nu} - x_{\nu}\partial_{\mu}) \mathbb{Y} \right] \right\}$$

twist expansion:

$$\mathbb{V}_{\beta} = \mathbb{V}_{\beta}^{t=2} + \mathbb{V}_{\beta}^{t=3} + \mathbb{V}_{\beta}^{t=4} + \dots$$

$$\mathbb{A}_{\beta} = \mathbb{A}_{\beta}^{t=2} + \mathbb{A}_{\beta}^{t=3} + \mathbb{A}_{\beta}^{t=4} + \dots$$

$$\mathbb{X} = \mathbb{X}^{t=4} + \dots$$

$$\mathbb{Y} = \mathbb{Y}^{t=4} + \dots$$



final answer:

$$\begin{aligned}
 \mathbb{V}_\mu^{t=4} &= \frac{1}{2} \sum_{N,\text{odd}} \varkappa_N \frac{1}{(N+2)^2} \int_0^1 du (u\bar{u})^{N+1} \left\{ x_\mu [(\partial\mathcal{O})_N^V(ux)]_{l.t.} \right. \\
 &\quad \left. + \frac{1}{2} N(N+3) \int_0^1 dv v^{N-1} x^2 \partial_\mu [(\partial\mathcal{O})_N^V(uvx)]_{l.t.} \right\} \\
 \mathbb{A}_\mu^{t=4} &= \frac{1}{4} x^2 \partial_\mu \sum_{N,\text{even}} \varkappa_N \frac{N(N+3)}{(N+2)^2} \int_0^1 du (u\bar{u})^{N+1} \int_0^1 dv v^{N-1} [(\partial\mathcal{O})_N^A(uvx)]_{l.t.}, \\
 \mathbb{X}^{t=4} &= \frac{1}{4} \sum_{N,\text{odd}} \varkappa_N \frac{N+1}{(N+2)^2} \int_0^1 du (u\bar{u})^N (u-\bar{u}) \int_0^1 dv v^{N-1} [(\partial\mathcal{O})_N^V(uvx)]_{l.t.}, \\
 \mathbb{Y}^{t=4} &= -\frac{1}{4} \sum_{N,\text{odd}} \varkappa_N \frac{N+1}{(N+2)^2} \int_0^1 du (u\bar{u})^N (u^2 + \bar{u}^2) \int_0^1 dv v^{N-1} [(\partial\mathcal{O})_N^V(uvx)]_{l.t.}.
 \end{aligned}$$

$$\varkappa_N = 2(2N+3)/(N+1)!$$

[...]\_{l.t.}: leading-twist projection



## Application to GPDs

$$P = (p + p')/2, \quad \Delta = p' - p$$

$$\langle p' | \mathcal{O}_N | p \rangle = \left[ \bar{u}(p') \not{p} u(p) \sum_{k=\text{even}}^N A_{N,k}(t) \Delta_+^k P_+^{N-k} + \frac{\bar{u}(p') u(p)}{m} \sum_{k=\text{even}}^{N+1} B_{N,k}(t) \Delta_+^k P_+^{N+1-k} \right]_{l.t.}$$

$A_{N,k}(t)$ ,  $B_{N,k}(t)$ : generalized form factors; conformal moments of GPDs

$$\langle P' | (\partial \mathcal{O})_N | P \rangle = i \left[ \bar{u}(p') \not{p} u(p) \sum_{k=\text{even}}^{N+1} \widehat{A}_{N,k}(t) \Delta_+^{k-1} P_+^{N-k} + \frac{\bar{u}(p') u(p)}{m} \sum_{k=\text{even}}^{N+2} \widehat{B}_{N,k}(t) \Delta_+^{k-1} P_+^{N+1-k} \right]_{l.t.}$$

$$\begin{aligned} \widehat{A}_{N,k}(t) &= t A_{N,k}(t) \frac{k(2N+3-k)}{2(N+1)^2} - \left( m^2 - \frac{t}{4} \right) A_{N,k-2} \frac{(N-k+2)(N-k+1)}{2(N+1)^2} \\ \widehat{B}_{N,k}(t) &= t B_{N,k}(t) \frac{k(2N+3-k)}{2(N+1)^2} - \left( m^2 - \frac{t}{4} \right) B_{N,k-2} \frac{(N-k+3)(N-k+2)}{2(N+1)^2} \\ &\quad - \frac{m^2}{(N+1)^2} (N-k+2) A_{N,k-2}(t) \end{aligned}$$



## Conformal symmetry and $SU(1, 1)$ scalar product

collinear conformal transformations  $SL(2, \mathbb{R}) \Leftrightarrow SU(1, 1)$

$$x_\mu = z n_\mu, \quad z \in \mathbb{R} \rightarrow z' = \frac{az + b}{cz + d}, \quad \Leftrightarrow \quad z \in \mathbb{C} \rightarrow z' = \frac{az + b}{\bar{b}z + \bar{a}}$$

representations are labeled by conformal spin

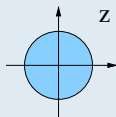
$$\varphi(z) \rightarrow T^j \varphi(z) = \frac{1}{(\bar{b}z + \bar{a})^{2j}} \varphi\left(\frac{az + b}{\bar{b}z + \bar{a}}\right)$$

This is a unitary transformation with respect to the following scalar product:

$$\langle \phi, \psi \rangle_j = \frac{2j-1}{\pi} \int_{|z|<1} d^2z (1 - |z|^2)^{2j-2} \bar{\phi}(z) \psi(z) \equiv \int_{|z|<1} \mathcal{D}_j z \bar{\phi}(z) \psi(z), \quad \|\phi\|^2 = \langle \phi, \phi \rangle$$

similar for several variables

$$\langle \phi, \psi \rangle_{j_1, j_2} = \int_{|z_1|<1} \mathcal{D}_{j_1} z_1 \int_{|z_2|<1} \mathcal{D}_{j_2} z_2 \bar{\phi}(z_1, z_2) \psi(z_1, z_2)$$



- different powers are orthogonal

$$\langle z^n, z^{n'} \rangle = \delta_{nn'} \|z^n\|^2, \quad \langle (z_1 - z_2)^n, (z_1 - z_2)^{n'} \rangle = \delta_{nn'} \|z_{12}^n\|^2$$

- reproducing operator ( $\delta$ -function on a disc)

$$\phi(z) = \int_{|w|<1} \mathcal{D}_j w \mathcal{K}_j(z, \bar{w}) \phi(w), \quad \mathcal{K}_j(z, \bar{w}) = \frac{1}{(1 - z\bar{w})^{2j}}$$

- Fourier

$$\rho_N \iint_{|z_i|<1} \mathcal{D}_1 z_1 \mathcal{D}_1 z_2 (\bar{z}_1 - \bar{z}_2)^N e^{ip_1 z_1 + ip_2 z_2} = i^N (p_1 + p_2)^N C_N^{3/2} \left( \frac{p_1 - p_2}{p_1 + p_2} \right)$$



- conformal operator

$$O_+(z_1, z_2) = \bar{\psi}_+(z_1 n) \psi_+(z_2 n)$$

$$\mathcal{O}_N = (-\partial_+)^N \bar{\psi}_+ C_N^{3/2} \left( \frac{\overrightarrow{D}_+ - \overleftarrow{D}_+}{\overrightarrow{D}_+ + \overleftarrow{D}_+} \right) \psi_+ = \rho_N \langle (z_1 - z_2)^N, O_+(z_1, z_2) \rangle$$

$$\partial_+^k \mathcal{O}_N = \rho_N \langle (S_{12}^+)^k (z_1 - z_2)^N, O_+(z_1, z_2) \rangle \equiv \rho_N \langle \Phi_{N,k}(z_1, z_2), O_+(z_1, z_2) \rangle$$

$$S_{12}^+ = z_1^2 \partial_{z_1} + z_2^2 \partial_{z_2} + 2z_1 + 2z_2$$

- The functions  $\Phi_{Nk}(z_1, z_2)$  form an orthogonal basis

$$\langle \Phi_{Nk}, \Phi_{N'k'} \rangle = \delta_{NN'} \delta_{kk'} \|\Phi_{Nk}\|^2$$

so that the light-ray operator can be expanded as

$$O_{++}(z_1, z_2) = \sum_{N=0}^{\infty} \sum_{k=0}^{\infty} \rho_N^{-1} \frac{1}{\|\Phi_{Nk}\|^2} \Phi_{Nk}(z_1, z_2) \partial_+^k \mathcal{O}_N$$



## Conformal basis for twist-four non-quasipartonic operators

Braun, Manashov, Rohrwild, Nucl. Phys. **B826** (2010) 235.

$$Q_1(z_1, z_2, z_3) = \bar{\psi}_+(z_1) f_{+-}(z_2) \psi_+(z_3),$$

$$Q_2(z_1, z_2, z_3) = \bar{\psi}_+(z_1) f_{++}(z_2) \psi_-(z_3),$$

$$Q_3(z_1, z_2, z_3) = \frac{1}{2} [D_{-+} \bar{\psi}_+](z_1) f_{++}(z_2) \psi_+(z_3),$$

$$T^{j=1} \otimes T^{j=1} \otimes T^{j=1}$$

$$T^{j=1} \otimes T^{j=3/2} \otimes T^{j=1/2}$$

$$T^{j=3/2} \otimes T^{j=3/2} \otimes T^{j=1}$$

and

$$\bar{Q}_1(z_1, z_2, z_3) = \bar{\psi}_+(z_1) \bar{f}_{+-}(z_2) \psi_+(z_3),$$

$$\bar{Q}_2(z_1, z_2, z_3) = \bar{\psi}_-(z_1) \bar{f}_{++}(z_2) \psi_+(z_3),$$

$$\bar{Q}_3(z_1, z_2, z_3) = \frac{1}{2} \bar{\psi}_+(z_1) \bar{f}_{++}(z_2) [D_{+-} \psi_+](z_3),$$

$$T^{j=1} \otimes T^{j=1} \otimes T^{j=1}$$

$$T^{j=1/2} \otimes T^{j=3/2} \otimes T^{j=1}$$

$$T^{j=1} \otimes T^{j=3/2} \otimes T^{j=3/2}.$$

C.f. in usual notation

$$\bar{q}_L(z_1) [F_{+\mu}(z_2) + i\tilde{F}_{+\mu}(z_2)] \gamma^\mu q_L(z_3) = Q_2(z_1, z_2, z_3) - Q_1(z_1, z_2, z_3)$$

$$\bar{q}_L(z_1) [F_{+\mu}(z_2) - i\tilde{F}_{+\mu}(z_2)] \gamma^\mu q_L(z_3) = \bar{Q}_2(z_1, z_2, z_3) - \bar{Q}_1(z_1, z_2, z_3)$$

$$\vec{Q}(z_1, z_2, z_3) = \begin{pmatrix} Q_1(z_1, z_2, z_3) \\ Q_2(z_1, z_2, z_3) \\ Q_3(z_1, z_2, z_3) \end{pmatrix}$$



## Kinematic projection operators

$$2(\partial\mathcal{O})_N = \frac{ig\rho_N}{(N+1)^2} \left[ \langle\langle \vec{\Psi}_N, \vec{Q} \rangle\rangle - \langle\langle \vec{\Psi}_N, \vec{Q} \rangle\rangle \right] + \dots$$

$$\langle\langle \vec{\Phi}, \vec{\Psi} \rangle\rangle = 2\langle\Phi_1, \Psi_1\rangle_{111} + \langle\Phi_2, \Psi_2\rangle_{1\frac{3}{2}\frac{1}{2}} + \frac{1}{2}\langle\Phi_3, \Psi_3\rangle_{\frac{3}{2}\frac{3}{2}1}$$

$$ig\vec{Q}(z_1, z_2, z_3) = \sum_{N=0}^{\infty} \sum_{k=0}^{\infty} \frac{p_{Nk}(N+1)^2}{\rho_N \|\Psi_N\|^2} \vec{\Psi}_{Nk}(z_1, z_2, z_3) \partial_+^k (\partial\mathcal{O})_N + \dots$$

$$ig\vec{Q}(z_1, z_2, z_3) = - \sum_{N=0}^{\infty} \sum_{k=0}^{\infty} \frac{p_{Nk}(N+1)^2}{\rho_N \|\Psi_N\|^2} \vec{\Psi}_{Nk}(z_3, z_2, z_1) \partial_+^k (\partial\mathcal{O})_N + \dots$$

- All entries known explicitly
- The ellipses stand for “dynamic” operators





## Outlook

- Done:

**A theoretical framework for the calculation of finite  $t$  and target mass corrections in hard off-forward processes**

- To do:

- Factorization of kinematic contributions to DVCS to twist-4 accuracy
- Concrete predictions and applications to data analysis in DVCS,  $\gamma^* \rightarrow \eta\gamma$
- Flavor-singlet contributions, gluon transversity
- An alternative derivation

