

# Effects of singlet neutrinos on lepton universality tests

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# Neutrino oscillations

- Best fit (nu-fit.org)

solar $\nu_e \rightarrow \nu_{\text{others}}$ :	$\theta_{12} \simeq 34^\circ$	$\Delta m_{12}^2 \simeq 7.5 \times 10^{-5} \text{eV}^2$
atmospheric $\nu_\mu \rightarrow \nu_\tau$ :	$\theta_{23} \simeq 41^\circ$	$ \Delta m_{23}^2  \simeq 2.4 \times 10^{-3} \text{eV}^2$
reactor $\bar{\nu}_e \rightarrow \bar{\nu}_{\text{others}}$ :	$\theta_{13} \simeq 8.7^\circ$	
accelerator $\nu_\mu \rightarrow \nu_{\text{others}}$		

- Oscillations  $\Rightarrow$  **Non-diagonal** charged currents

$$\mathcal{L}_{\text{int}} = -\frac{g}{\sqrt{2}} \mathbf{U}_{\nu}^{ji} \bar{\ell}_j \gamma^\mu P_L \nu_i W_\mu^- + \text{h.c.}$$

- Impact on low-energy observables, e.g. lepton flavour violation, **deviation from lepton universality**



# Lepton flavour universality

- Lepton flavour universality (LFU): **independence** of gauge boson couplings from lepton flavours
- Searches for LFU violation among **most precise tests** of SM

$$\frac{\mathcal{B}(Z^0 \rightarrow \mu^+ \mu^-)}{\mathcal{B}(Z^0 \rightarrow e^+ e^-)} = 1.0009 \pm 0.0028$$

[Schael et al., 2006]

$$\frac{\mathcal{B}(Z^0 \rightarrow \tau^+ \tau^-)}{\mathcal{B}(Z^0 \rightarrow e^+ e^-)} = 1.0019 \pm 0.0032$$

- Deviations from LFU  $\Rightarrow$  **Evidence of New Physics**



# Lepton universality tests

- Couplings to different bosons can be tested:  $\gamma, Z^0, W^\pm$   
→ Focus on  $W^\pm$  couplings
- Many observables can be used
  - Gauge boson decays (e.g.  $W \rightarrow \ell \bar{\nu}$ )
  - Leptonic and semileptonic meson decays (e.g.  $K \rightarrow \ell \bar{\nu}, \bar{B} \rightarrow D \ell^- \bar{\nu}$ )
  - Lepton decays (e.g.  $\ell \rightarrow \ell' \nu \bar{\nu}, \tau \rightarrow K \nu$ )
- Consider light meson decays: pions and kaons  
SM decay width is chirally suppressed → sensitive to New Physics  
Decay width plagued by QCD uncertainties ⇒ **Ratios**

$$R_P = \frac{\Gamma(P^+ \rightarrow e^+ \nu)}{\Gamma(P^+ \rightarrow \mu^+ \nu)}, \quad P = K, \pi$$



# $R_K$ and $R_\pi$

- Well measured by the NA62 collaboration [Lazzeroni et al., 2013]:

$$R_K^{\text{exp}} = (2.488 \pm 0.010) \times 10^{-5}$$

Current experimental error:  $\frac{\delta R_K}{R_K} \simeq 0.4\%$

Expected sensitivity:  $\frac{\delta R_K}{R_K} \simeq 0.1\%$

- SM prediction is very precise [Finkemeier, 1996, Cirigliano and Rosell, 2007]:

$$R_K^{\text{SM}} = (2.477 \pm 0.001) \times 10^{-5}$$

- New Physics:  $R_K = R_K^{\text{SM}} (1 + \Delta r_K)$

$$\Delta r_K = (4 \pm 4) \times 10^{-3}$$

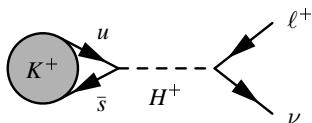
- Similar prospects for  $R_\pi$



# Deviations from the SM

- Origin of LFU violation in  $R_K$ :

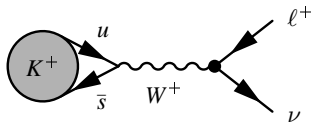
- New Lorentz structure in the four-fermion interaction



New fields, new couplings

e.g. 2 Higgs doublet models [Hou, 1993],  
Supersymmetry [Masiero et al., 2006,  
Fonseca et al., 2012]

- Corrections to the SM  $W\ell\nu$  vertex



New states, Higher-order effects

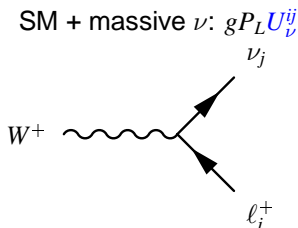
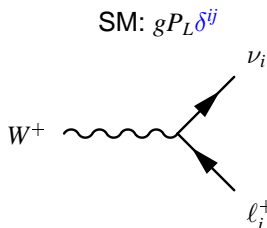
e.g. Additional neutrinos: low-scale  
seesaw, inverse seesaw



# Modified $W\ell\nu$ vertex

- Naturally arises when leptonic mixing is added to the SM

$$\mathcal{L}_{\text{int}} = -\frac{g}{\sqrt{2}} \mathbf{U}_\nu^{ji} \bar{\ell}_j \gamma^\mu P_L \nu_i W_\mu^- + \text{h.c.}$$



$$i = e, \mu, \tau; \quad j = 1, \dots, n_\nu$$

- If  $n_\nu > 3$  (e.g. fermionic singlets)  $\rightarrow U_\nu \neq U_{\text{PMNS}}$   
 $\rightarrow 3 \times 3$  submatrix  $\tilde{U}_{\text{PMNS}}$  is **not unitary**
- Tree-level corrections** to  $R_K$



# Deviation from universality

- Summing over all kinematically accessible neutrinos (from 1 to  $N_{\max}^{(e)}$ ,  $N_{\max}^{(\mu)}$  the heaviest kinematically allowed neutrino) :

$$R_K = \frac{\sum_{i=1}^{N_{\max}^{(e)}} |U_{\nu}^{1i}|^2 G^{i1}}{\sum_{k=1}^{N_{\max}^{(\mu)}} |U_{\nu}^{2k}|^2 G^{k2}} \quad \text{with}$$

$$G^{ij} = \left[ m_K^2 (m_{\nu_i}^2 + m_{l_j}^2) - (m_{\nu_i}^2 - m_{l_j}^2)^2 \right] \left[ (m_K^2 - m_{l_j}^2 - m_{\nu_i}^2)^2 - 4m_{l_j}^2 m_{\nu_i}^2 \right]^{1/2}$$

- In SM + 3 massive  $\nu$ , recover  $R_K^{SM} = \frac{m_e^2}{m_{\mu}^2} \frac{(m_K^2 - m_e^2)^2}{(m_K^2 - m_{\mu}^2)^2}$

- $m_{\nu} \ll m_{\ell} \Rightarrow G^{i1} \simeq G^{j1}$

- $U_{\nu} = U_{\text{PMNS}} \Rightarrow \sum_{i=1}^{n_{\nu}} |U_{\nu}^{1i}|^2 = (U_{\nu} U_{\nu}^{\dagger})_{11} = 1$

- Mass regimes and LFU:

- (A) sterile neutrinos are lighter than  $m_K$ , with  $m_{\nu}^{\text{active}} \ll m_{\nu_s} \lesssim m_K$   
 $\rightarrow \tilde{U}_{\text{PMNS}}$  non-unitary + Phase space effect
- (B) sterile neutrinos are heavier than the kaon,  $m_{\nu_s} > m_K$   
 $\rightarrow \tilde{U}_{\text{PMNS}}$  non-unitary [Shrock, 1980, 1981]





# The inverse seesaw mechanism

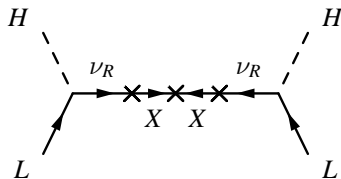
- Inverse seesaw  $\Rightarrow$  Consider fermionic gauge singlets  $\nu_{Ri}$  ( $L = +1$ ) and  $X_i$  ( $L = +1$ ) [Mohapatra and Valle, 1986]

$$\mathcal{L}_{inverse} = Y_{\nu}^{ij} \bar{L}_i \tilde{H} \nu_{Rj} - M_R^{ij} \bar{\nu}_{Ri} X_j - \frac{1}{2} \mu_X^{ij} \bar{X}_i^C X_j + \text{h.c.}$$

with  $m_D = Y_{\nu} v, M^{\nu} = \begin{pmatrix} 0 & m_D & 0 \\ m_D^T & 0 & M_R \\ 0 & M_R^T & \mu_X \end{pmatrix}$

$$m_{\nu} \approx \frac{m_D^2 \mu_X}{m_D^2 + M_R^2}$$

$$m_{1,2} \approx \mp \sqrt{m_D^2 + M_R^2} + \frac{M_R^2 \mu_X}{2(m_D^2 + M_R^2)}$$



2 scales:  $\mu_X$  and  $M_R$



# The inverse seesaw mechanism

- Inverse seesaw:  $Y_\nu \sim \mathcal{O}(1)$  and  $M_R \sim 1 \text{ TeV}$   
⇒ testable at the LHC and low energy experiments
- Could provide a sterile neutrino at the eV scale (accelerator and short baseline anomalies)
- LHC/ILC signatures [Bhupal Dev et al., 2012, Bandyopadhyay et al., 2013, Mondal et al., 2012, Das and Okada, 2012]
- Low energy:
  - deviations from lepton universality [Abada et al., 2013]
  - charged lepton flavour violation [Bernab u et al., 1987, Deppisch et al., 2006]
  - neutrinoless double beta decay [Awasthi et al., 2013]



# Constraints on the inverse seesaw

- Depend on the mass regime and the Yukawa couplings
  - Direct searches of sterile neutrinos (e.g. monochromatic lines in  $\pi \rightarrow \mu\nu$ ) [Atre et al., 2009, Kusenko, 2009]
  - Non-unitarity constraints [Antusch et al., 2009]
  - Lepton flavour violation (e.g.  $\mu \rightarrow e\gamma$ ): [Deppisch and Valle, 2005]
  - $B$  Physics (e.g.  $B \rightarrow \ell\nu$ )

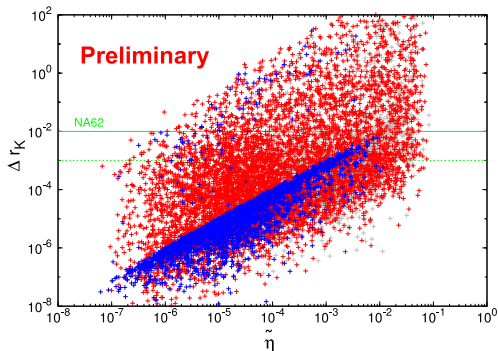


# Constraints on the inverse seesaw

- Depend on the mass regime and the Yukawa couplings
  - LHC Higgs searches (e.g. invisible decays)  
[Bhupal Dev et al., 2012, Cely et al., 2013]
  - Electroweak precision data [del Aguila et al., 2008, Atre et al., 2009]
  - Cosmological observations (e.g. LSS, Lyman- $\alpha$ , CMB, BBN, X-ray) [Smirnov and Zukanovich Funchal, 2006, Kusenko, 2009]  
→ can be evaded with non-standard cosmology (e.g. low reheating temperature [Gelmini et al., 2008])



# $R_K$ in the inverse seesaw



$$M_R \in [0.1 \text{ MeV}, 10^6 \text{ GeV}]$$

$$\mu_X \in [0.01 \text{ eV}, 1 \text{ MeV}]$$

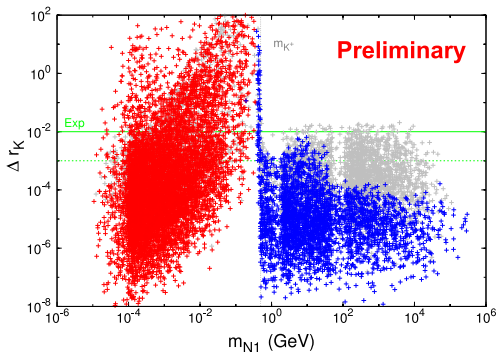
$$\tilde{\eta} = 1 - |\text{Det}(\tilde{U}_{\text{PMNS}})|$$

- Blue=Comply with all constraints, Gray=Excluded by  $\mu \rightarrow e\gamma$ , Red=Comply with all but cosmological bounds
- Large LFU violation  $\Delta r_K > 1$  can be reached
- Possibly large  $Y_\nu \Rightarrow \mathcal{B}(\mu \rightarrow e\gamma)$  is within MEG reach



# $R_K$ in the inverse seesaw

## ● Scenario (A) vs (B)



$$M_R \in [0.1 \text{ MeV}, 10^6 \text{ GeV}]$$

$$\mu_X \in [0.01 \text{ eV}, 1 \text{ MeV}]$$

- In both scenarios: Non-unitarity effects
- Scenario (A): Extra phase-space effects
- Large deviations in scenario (B): specific to the inverse seesaw



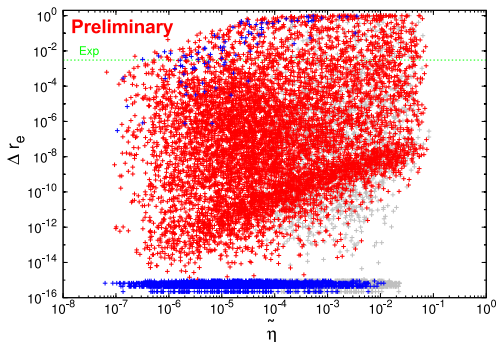
# $R_e$ in the inverse seesaw

$$R_e = \frac{\Gamma(\pi^+ \rightarrow e^+\nu)}{\Gamma(K^+ \rightarrow e^+\nu)}, \quad \Delta r_e = \frac{R_e|_{exp}}{R_e|_{SM}} - 1$$

- Current experimental limit:

$$\Delta r_e = -0.003 \pm 0.006 \text{ [Beringer et al., 2012]}$$

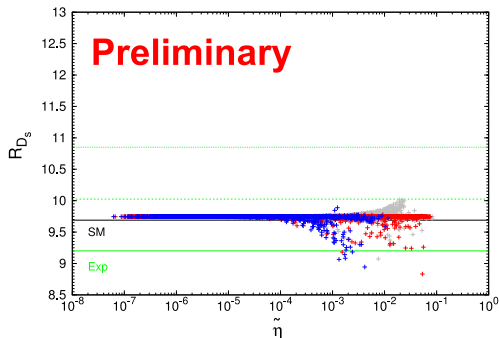
- Can be measured **within 0.5%** by NA62



# $R_{D_s}$ in the inverse seesaw

$$R_{D_s}|_{exp} = \frac{\Gamma(D_s^+ \rightarrow \tau^+ \nu)}{\Gamma(D_s^+ \rightarrow \mu^+ \nu)} \simeq 9.2$$

- Roughly  $1\sigma$  away from the SM prediction  
 $R_{D_s}|_{SM} \simeq 10.1$  [Beringer et al., 2012, Charles et al., 2011]
- Sterile neutrinos can reduce the tension

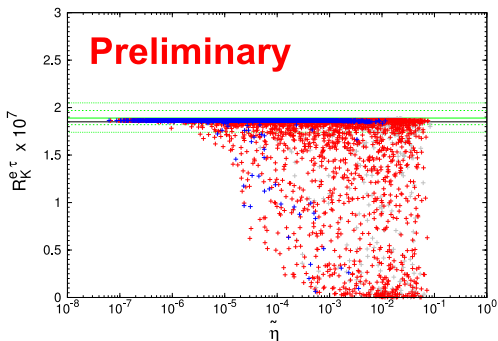




# $R_K^{e\tau}$ in the inverse seesaw

$$R_K^{e\tau}|_{exp} = \frac{\Gamma(\tau \rightarrow K\nu)}{\Gamma(K \rightarrow e\nu)} \simeq (1.886 \pm 0.078) \times 10^7$$

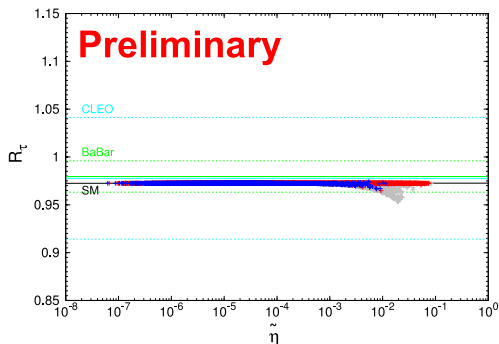
- **Within  $1\sigma$**  of the SM prediction  
 $R_K^{e\tau}|_{SM} \simeq 1.853 \times 10^7$  [Beringer et al., 2012]
- Potentially large deviations



# 3-body lepton decays in the inverse seesaw

$$R_\tau = \frac{\Gamma(\tau^- \rightarrow \mu^- \nu \nu)}{\Gamma(\tau^- \rightarrow e^- \nu \nu)} = 0.9764 \pm 0.0030$$

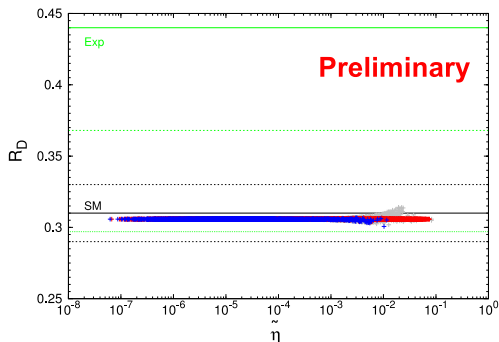
- within  $2\sigma$  of the SM prediction  $R_\tau|_{SM} \simeq 0.9726$  [Beringer et al., 2012]
- Any sizeable deviation forbidden by  $\mu \rightarrow e\gamma$



# Semileptonic meson decays

$$R(D) = \frac{\mathcal{B}(\bar{B} \rightarrow D\tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B} \rightarrow D\ell^- \bar{\nu}_\ell)} = 0.440 \pm 0.072$$

- **1.7 $\sigma$  away** from the SM prediction  $R(D)|_{SM} = 0.31 \pm 0.02$   
[Lees et al., 2012, Becirevic et al., 2012]
- Depend on hadronic matrix elements



# Conclusion

- Source: **modified  $W\ell\nu$  vertex** from extra sterile neutrinos
- Mechanism: **phase space effect**  
**non-unitarity** of  $\tilde{U}_{\text{PMNS}}$
- Large LFU violation in the inverse seesaw  
 $\Rightarrow$  **Constraint on the parameter space** from  $R_K, R_\pi, R_e, R_K^{\ell\tau}$
- May **reduce the tension** for  $R_{D_s}$
- Minor effects on three-body decays



