

# The T2K and KamLAND-Zen Physics Programs

## Outline:

- Introduction
- T2K
  - > Overview
  - > Oscillation Results
  - > Neutrino Cross Sections
  - > Future Sensitivity
- KamLAND-Zen
  - > Overview
  - > Results
  - > Outlook
- Summary



# A 40<sup>th</sup> Anniversary



Erice, 1973

# Three-Flavor Picture

- The MNSP picture:

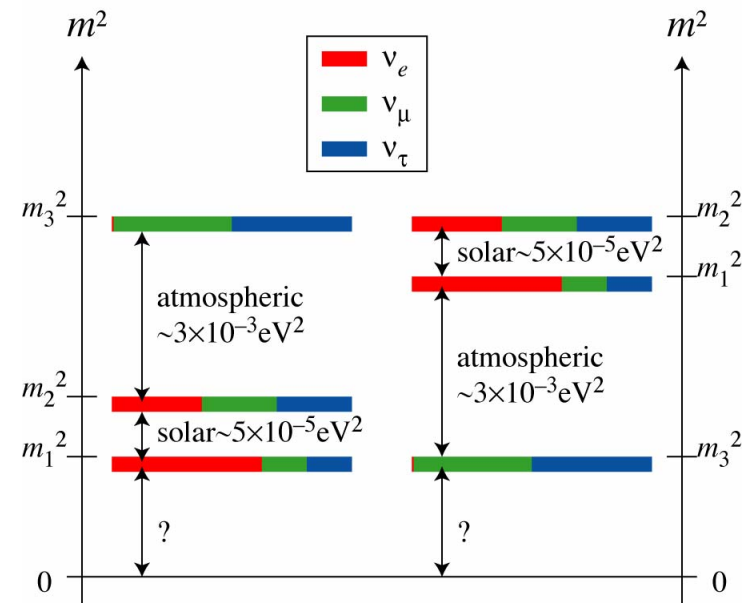
$$U = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} e^{i\alpha_1/2} & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

- Oscillation/mixing measurements have told us:

- "Solar"  $\theta_{12}, \Delta m_{21}^2$ 
  - > Includes sign of  $\Delta m_{21}^2$
  - > Solar experiments, KamLAND
- "Atmospheric"  $\theta_{23}, \Delta m_{23}^2$ 
  - > Atmospheric, accelerator expts
  - > No sign information for  $\Delta m_{23}^2$
  - >  $\theta_{23}$  octant unknown, near maximal
- $\theta_{13}$  measurements
  - > Reactor, accelerator expts

- We don't know:

- $\delta_{CP}$  - CP violation in neutrinos
- absolute mass scale or hierarchy
- nature of neutrino



# Common theme?

What do T2K and KamLAND-Zen have in common?

- One collaborator - BEB
- Japan
  - > KamLAND-Zen and Super-K (T2K far detector) are the Kamioka mine
- Motivated by a larger question:  
Why does the universe contain matter but little antimatter?

## Leptogenesis

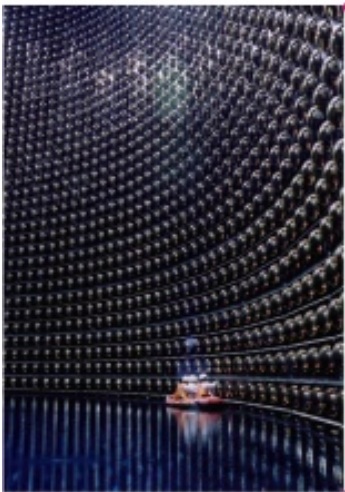
(Conventional) leptogenesis requires:

- CP violation in the neutrino sector:
  - > Measuring CP violation is a major goal of the neutrino oscillation program
- Majorana neutrinos
- Seesaw mechanism
- > Decays of right-handed neutrinos produce L violation, which the B-L conserving sphaleron process in the SM converts to a baryon number asymmetry

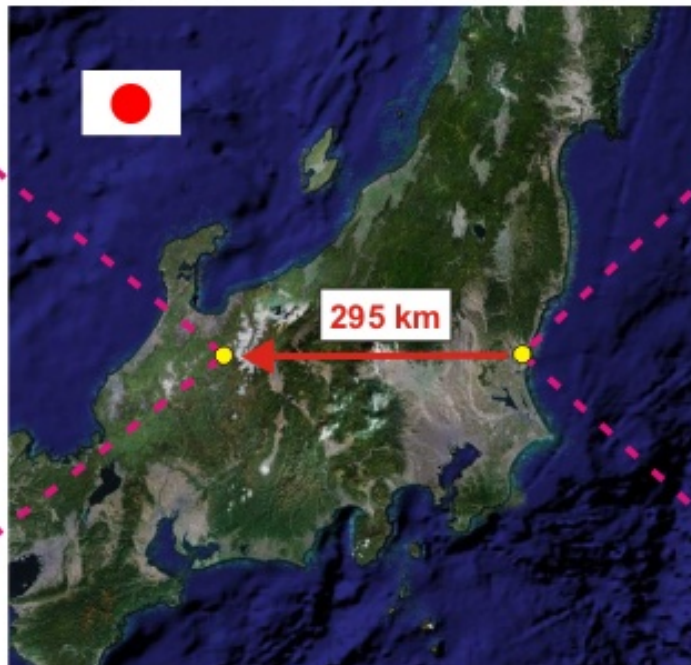
# The T2K Experiment



Super Kamiokande  
50,000 tons of water  
10,000 phototubes



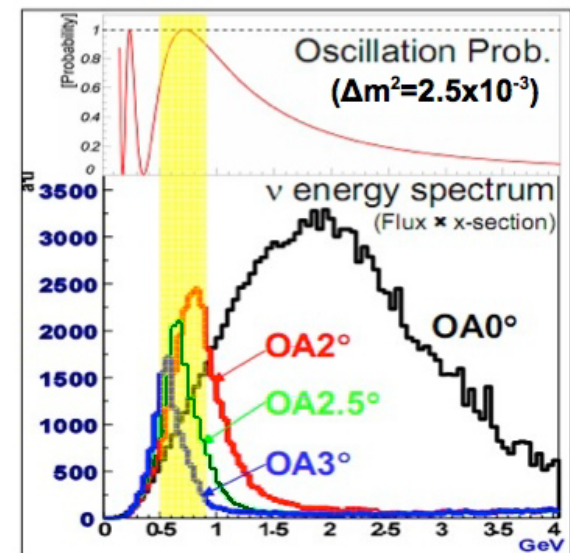
Neutrino beam directed across Japan



Tokai accelerator complex and location of near detector (ND280)



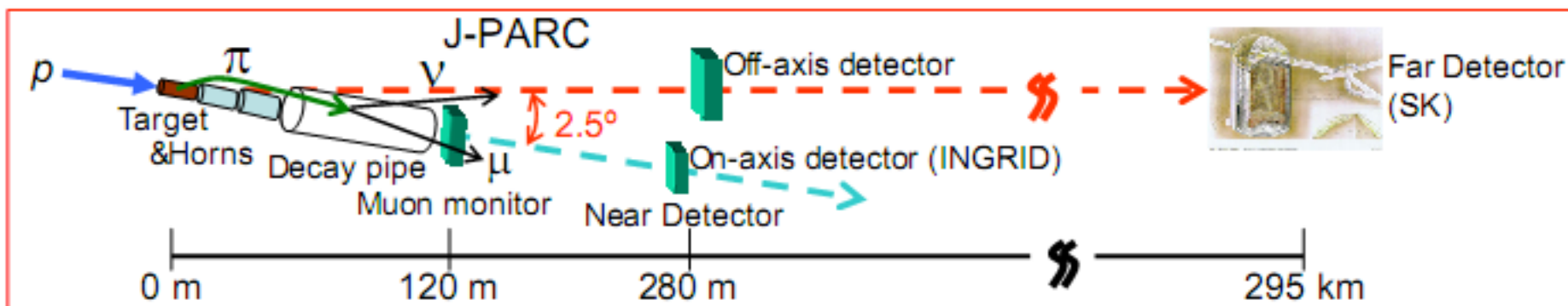
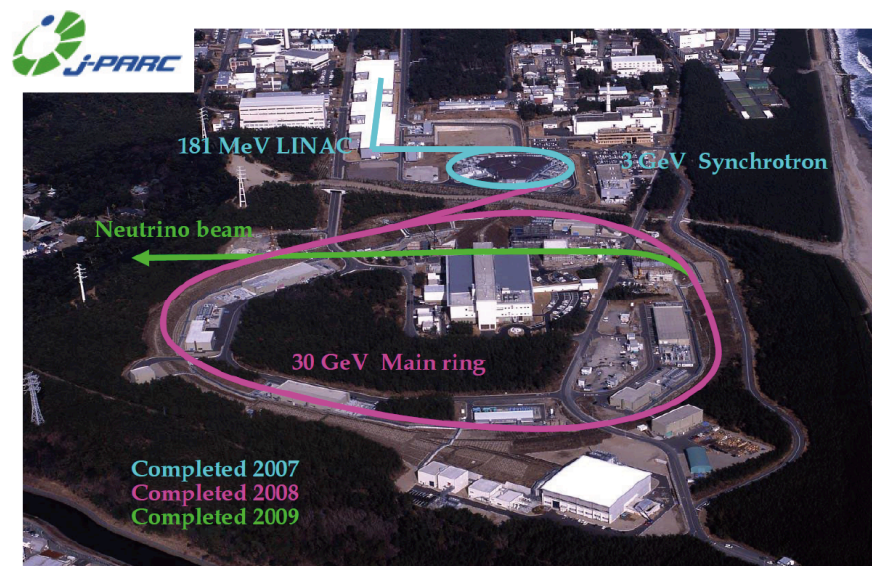
- 295 km baseline
- 'Quasimonochromatic' beam
  - > first use of the off-axis technique
- Beam peak energy tuned to  $\sim 600$  MeV, to give L/E at
  - > first maximum in  $\nu_\mu$  oscillation probability
  - > first maximum in  $\nu_e$  appearance probability



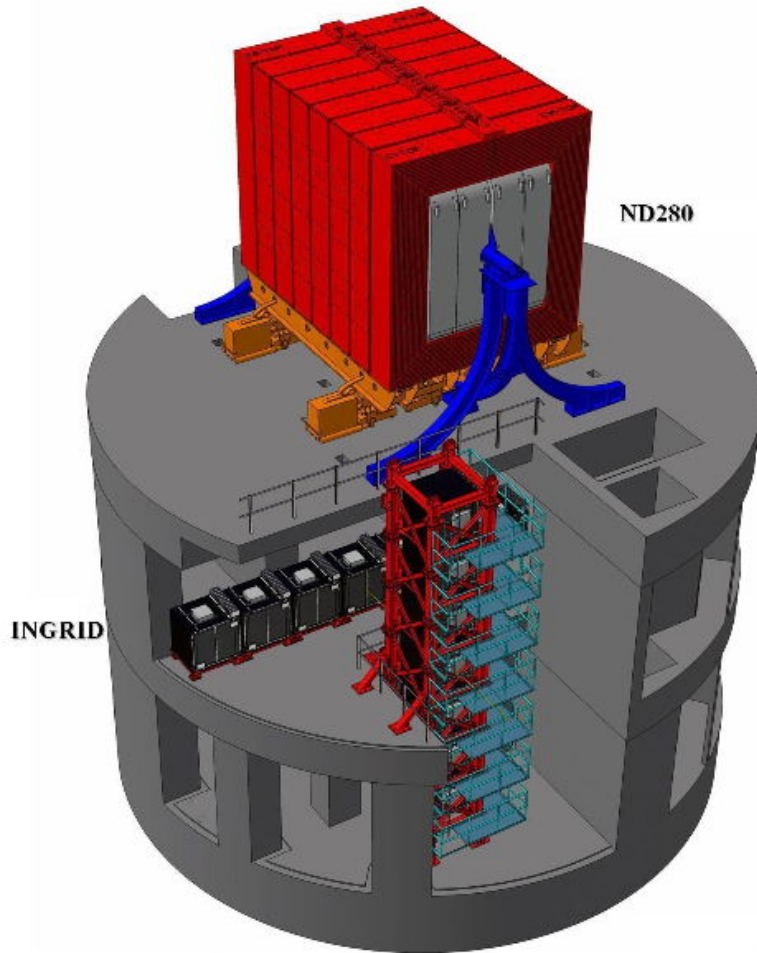
# The T2K Experiment



- Beam produced at J-PARC
- Conventional beam, 31 GeV protons on graphite target
- Muon neutrino beam is produced by decays of pions in flight:  
$$\pi^+ \rightarrow \mu^+ + \nu_\mu$$
- 96 m decay pipe; length chosen to suppress muon decays
- Decay kinematics give a correlation between neutrino energy, direction
- Off-axis angle: peaked beam, suppression of feed-down backgrounds



# T2K Near Detectors



- On-axis and off-axis detectors in a cylindrical 'pit' 280 m from the target
- INGRID - on axis  
→ measures beam profile, position, and stability
- ND280 off-axis detector inside former UA1/NOMAD magnet



# ND280 Off-Axis Detectors



## SMRD (Side Muon Range Detector)

Scintillator interleaved in magnet yoke  
Active veto, cosmic trigger

## Magnet

UA1 magnet, B=0.2T nominal

## Tracker

### FGDs (Fine-Grained Detectors)

1cm square scintillator bars

Target for tracker

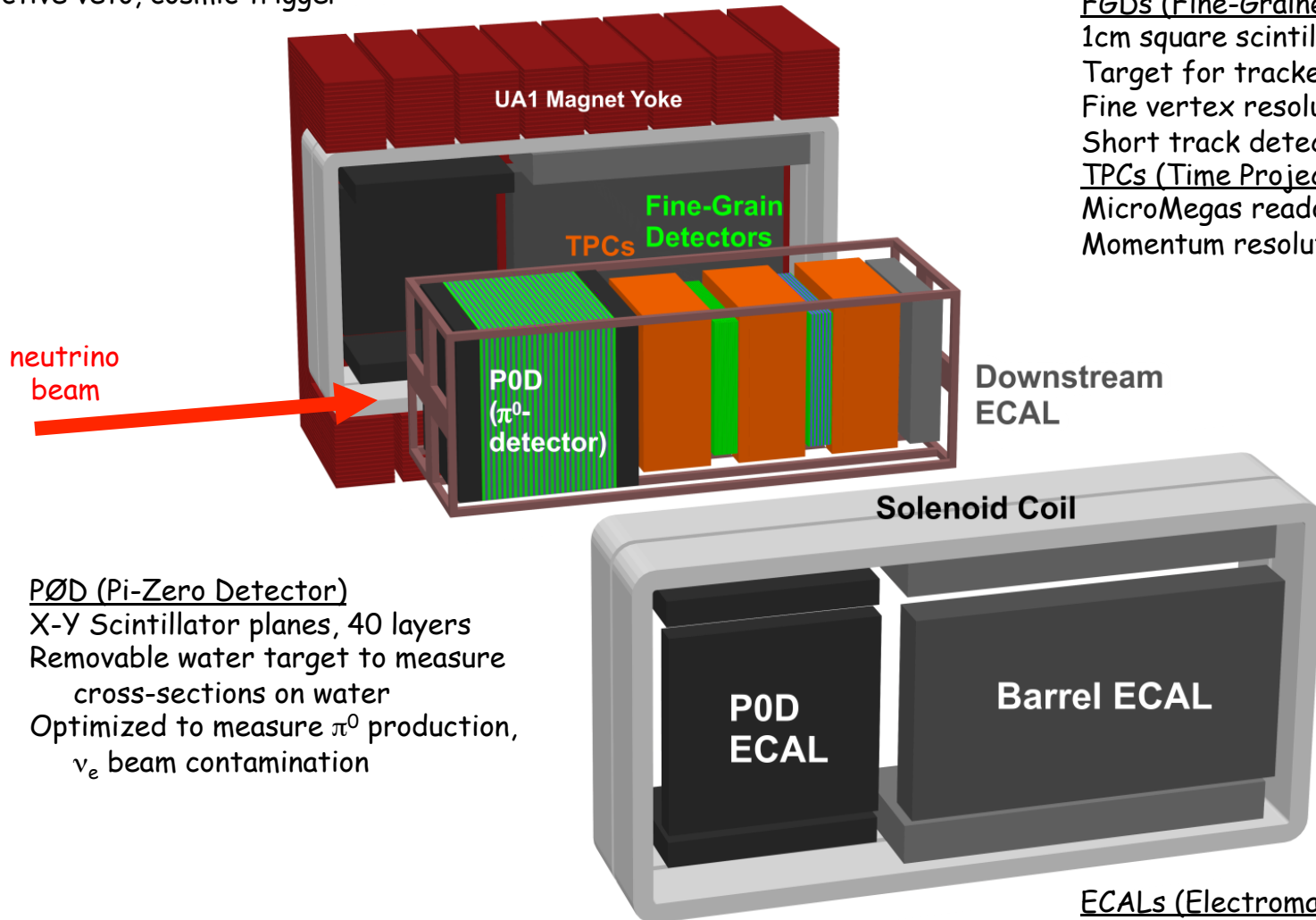
Fine vertex resolution

Short track detection: recoil protons

### TPCs (Time Projection Chambers)

MicroMegas readout (7mmx10mm pads)

Momentum resolution <10% @ 1GeV



## P0D (Pi-Zero Detector)

X-Y Scintillator planes, 40 layers

Removable water target to measure cross-sections on water

Optimized to measure  $\pi^0$  production,  $\nu_e$  beam contamination

## ECALs (Electromagnetic Calorimeter)

Scintillator + lead

Measure photons, electrons from tracker, P0D

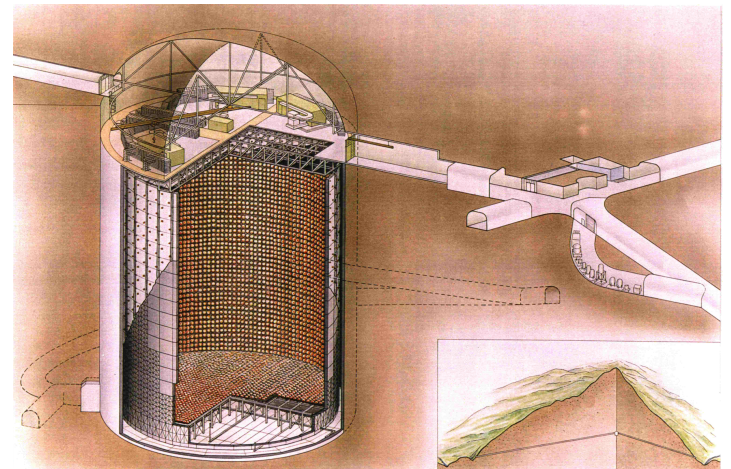
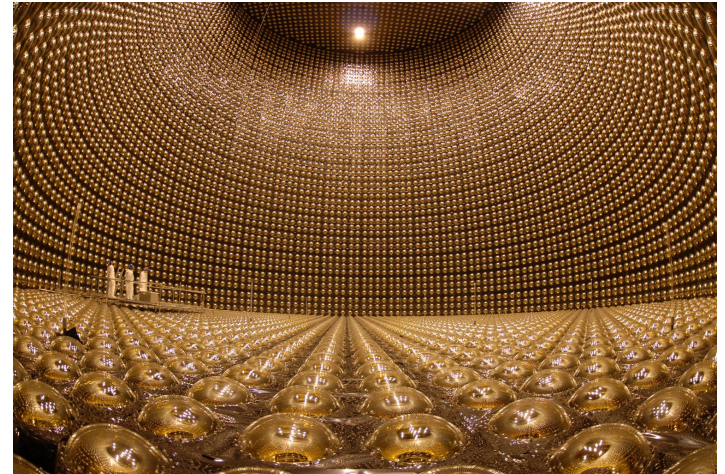


# Far Detector: Super-K



50 kiloton water Cherenkov detector

- Fiducial volume 22.5 kt
- Overburden 2700 m water equivalent
- Inner detector
  - > 11,129 20" PMTs
  - > 40% photocathode coverage
- 1885 8" OD PMTs
- Dead-time free DAQ system (2008)
  - > Time and charge of all PMT hits recorded and processed offline
- Good performance for sub-GeV  $\nu$  detection
  - > Energy reconstruction:  $\Delta E/E \sim 10\%$  (for CCQE 2-body kinematics)
  - > Good  $e / \mu$  separation



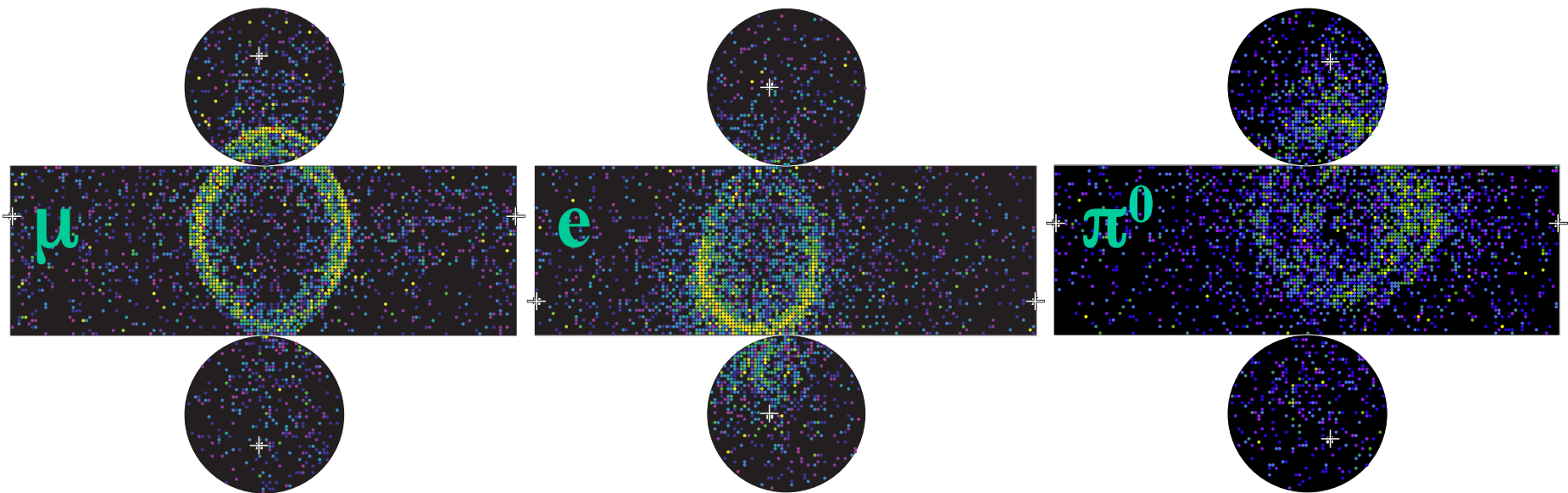
# Events in Super-K



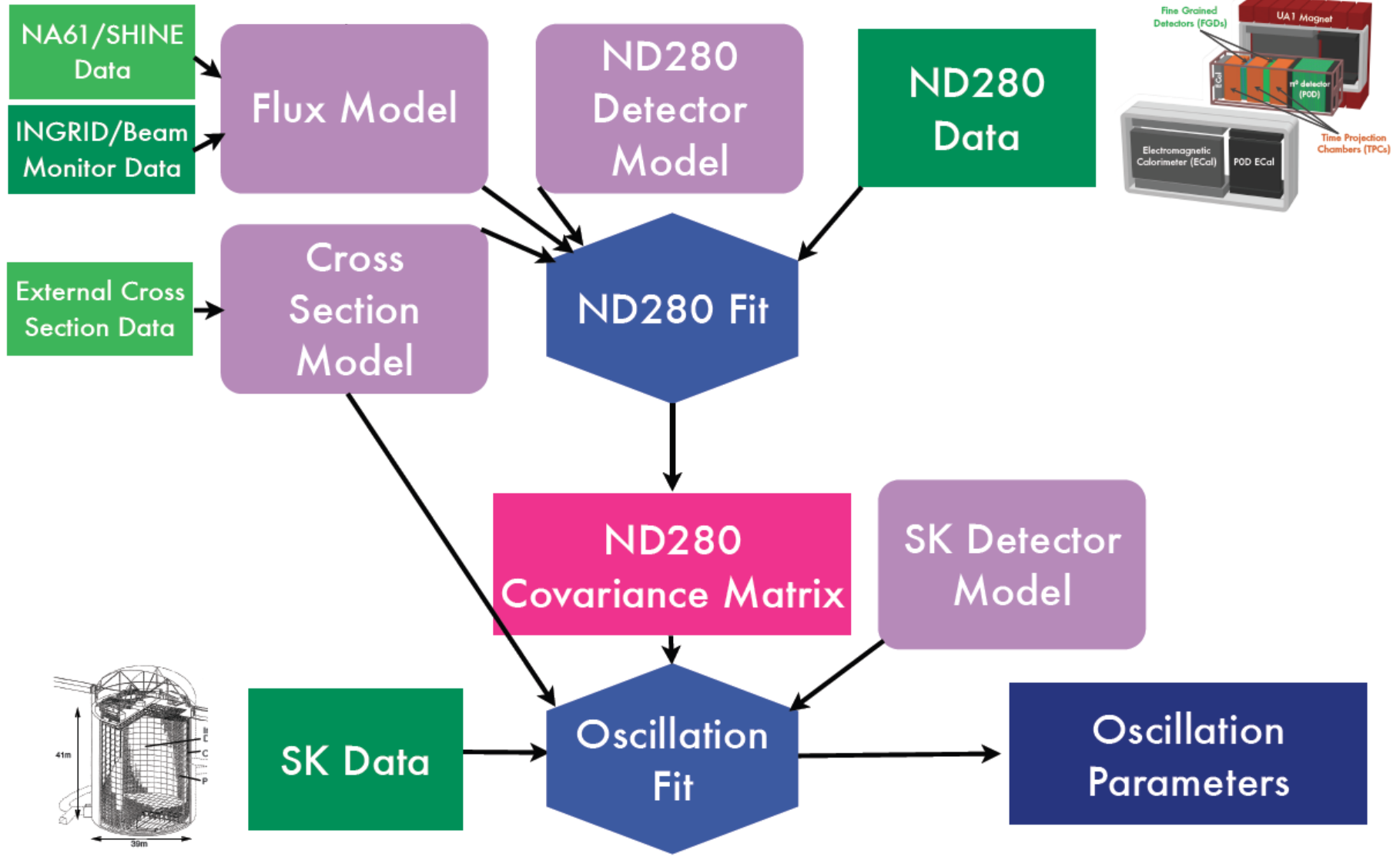
Examples of fully-contained events in Super-K (MC)

Muon vs. electron discrimination based on ring shape:  
electron rings are 'fuzzier' due to electron scattering

$\pi^0$  event: two rings, can mimic electron if rings overlap or if one ring is not reconstructed



# Oscillation Analysis Structure



# Cross-Section Model



Analyses done with the NEUT and GENIE generators

## Charged-Current Quasielastic (CCQE)

- Llewellyn-Smith base model
- Smith-Moniz fermi gas model for nucleus
- Spectral function implemented for comparison to RFG

## Single Pion Production (CC/NC $1\pi$ )

- Rein-Seghal resonance model

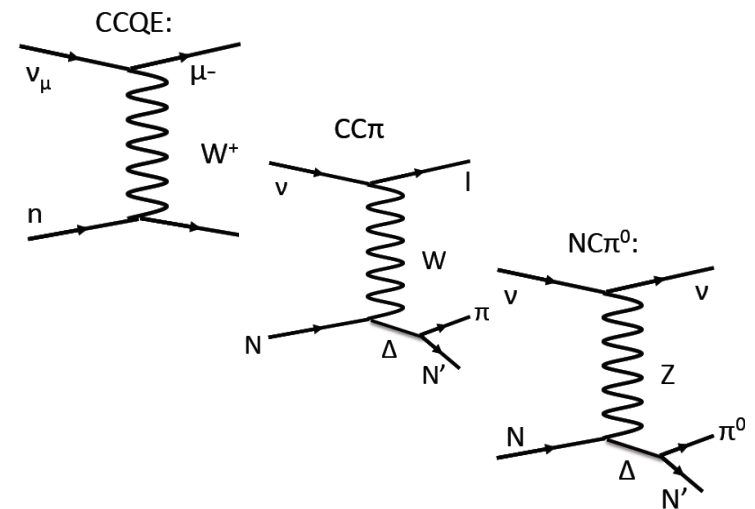
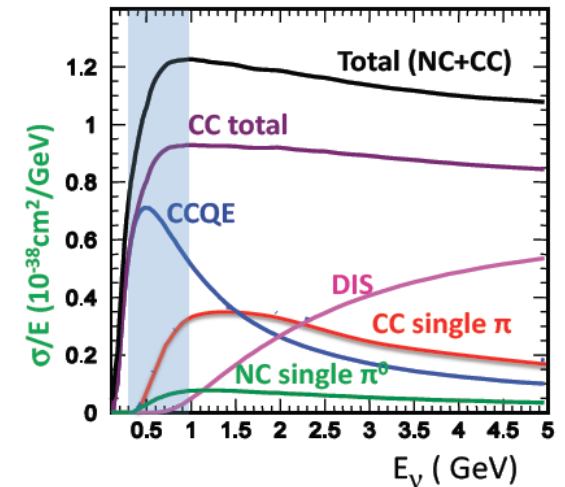
## Deep Inelastic Scattering (DIS) and Charged Current multi-pion

- GRV98 PDF
- Bodek-Yang correction

## Final State Interactions (FSI)

- Cascade model - track secondary particles until they leave the nucleus
- Separate models used for low (<500 MeV) and high momentum

Note: in analyses, MC "true" event classification done after FSI



# Systematic Variations

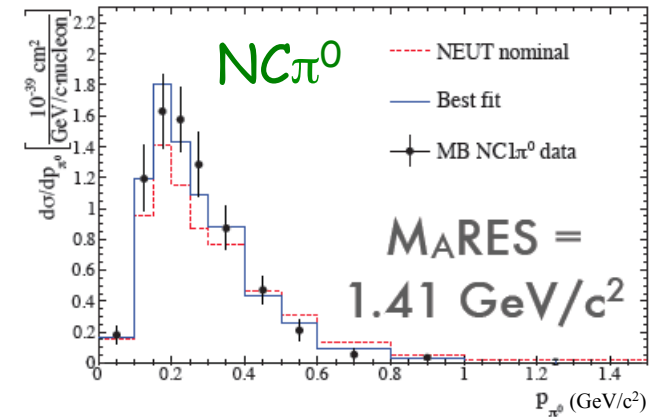
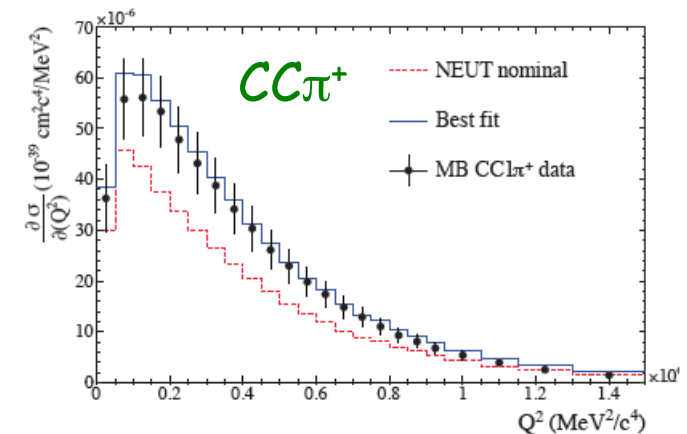


Generator parameters are varied within conservative prior uncertainties constrained by fits to external data

**Example:** we use MiniBooNE  $1\pi$  data (CC and NC) and fit to NEUT predictions to generate input value

-> Add *ad hoc* parameters to improve the fit  
- but break internal theoretical purity

## MiniBooNE data



Parameter	Type	Interaction Type
$M_{A}QE$	axial mass	CCQE
$M_{a}res$	axial mass	CC/NC 1p
CCQE (3 $E_n$ bins)	normalization	CCQE
CC1p (2 $E_n$ bins)	normalization	CC1p
NCp $^0$	normalization	NC1p
$p_F$	Fermi momentum	CCQE-RFG
$E_b$	binding energy	CCQE-RFG
spectral function	model comparison	CCQE-SF

# ND280 Data Inputs



Charged-current (CC) sample:

- Select the highest-momentum negative track starting in FGD1
- TPC particle ID consistent with a muon

Charged-current sample separated into three subsamples by **event topology**:

CC0 $\pi$

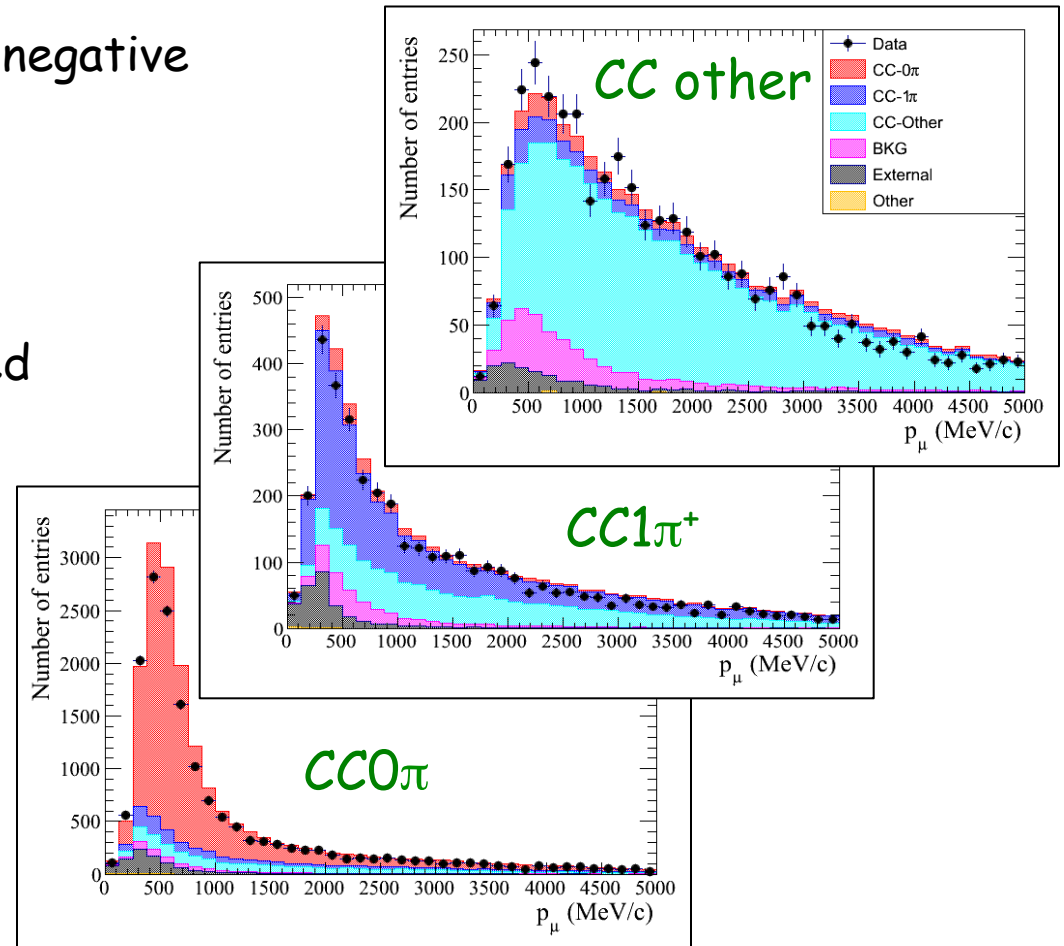
no pions in the final state

CC1 $\pi^+$

exactly 1 $\pi^+$  in the final state

CC other

any other number of pions, or any tagged photons



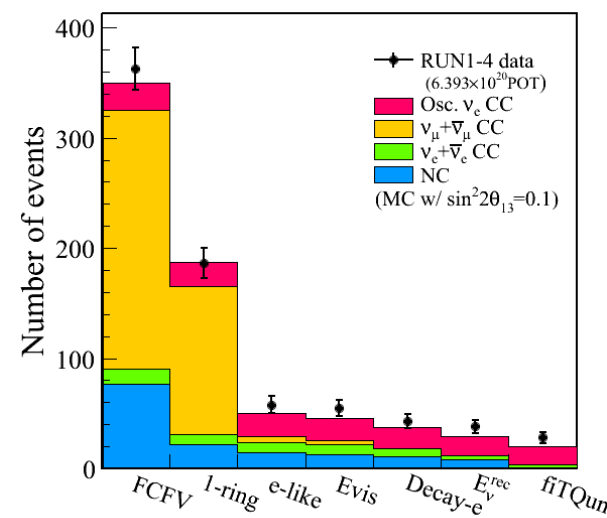
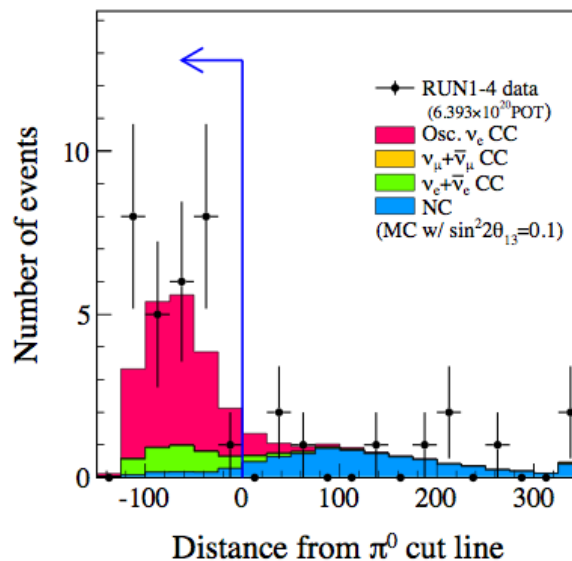
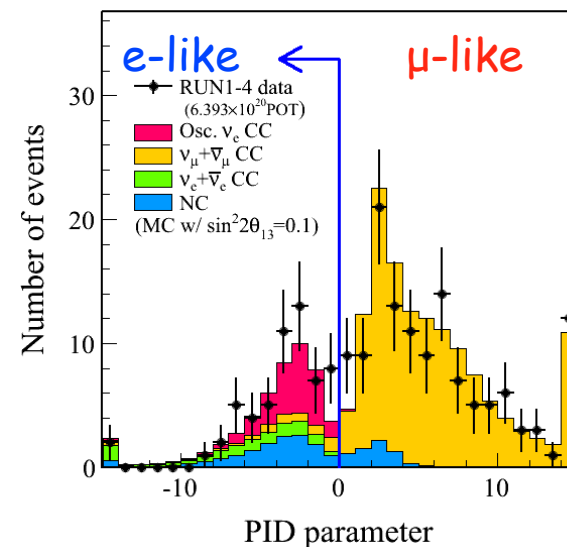
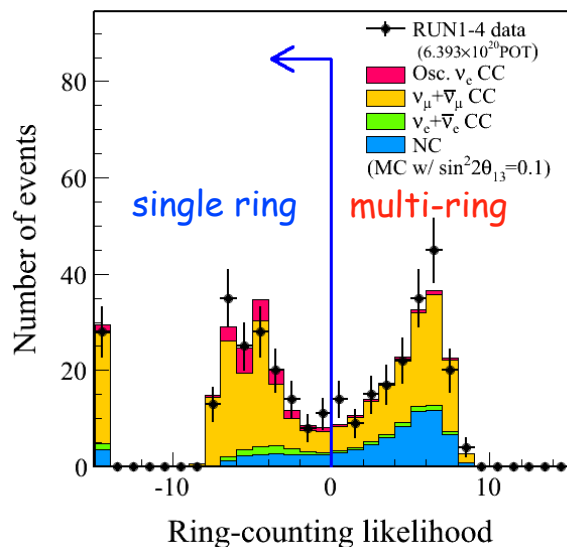
-> Samples constrain CCQE and CC $\pi^+$  cross-section model parameters

# Far Detector $\nu_e$ Event Selection



## $\nu_e$ Selection Cuts

- # veto hits < 16
- Fid. Vol. = 200 cm
- # of rings = 1
- Ring is e-like
- $E_{\text{visible}} > 100$  MeV
- no Michel electrons
- fiTQun  $\pi^0$  cut
- $0 < E_\nu < 1250$  MeV



# $\nu_e$ Appearance Analysis



- Dataset:  $6.39 \times 10^{20}$  protons on target (p.o.t) through April 12, 2013

- Expected background:  $4.64 \pm 0.53$  events

- Signal level:  $20.4 \pm 1.8$  events

$$\sin^2 2\theta_{13} = 0.1$$

$$\sin^2 2\theta_{23} = 1.0$$

$$|\Delta m^2_{32}| = 2.4 \times 10^{-3} \text{ eV}^2$$

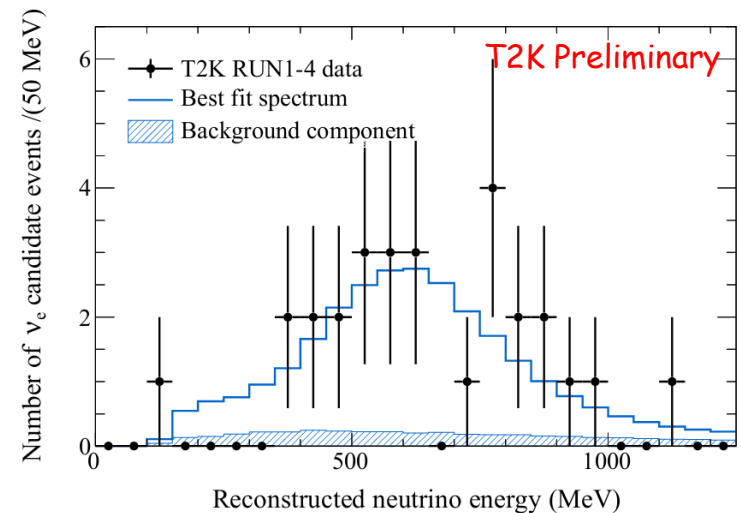
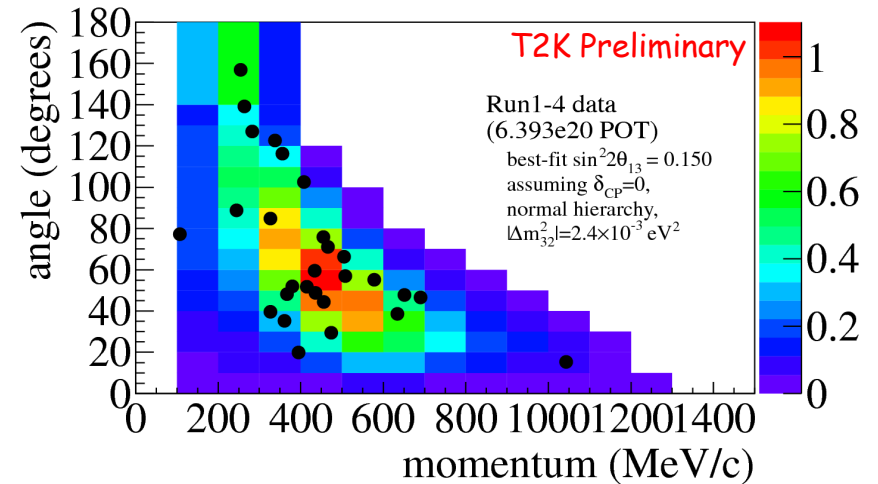
$$\delta_{CP} = 0$$

- $5.5\sigma$  sensitivity to exclude  $\theta_{13} = 0$

- Oscillation parameters extracted with two different methods

-> electron p- $\theta$  distribution

-> reconstructed  $E_\nu$  distribution



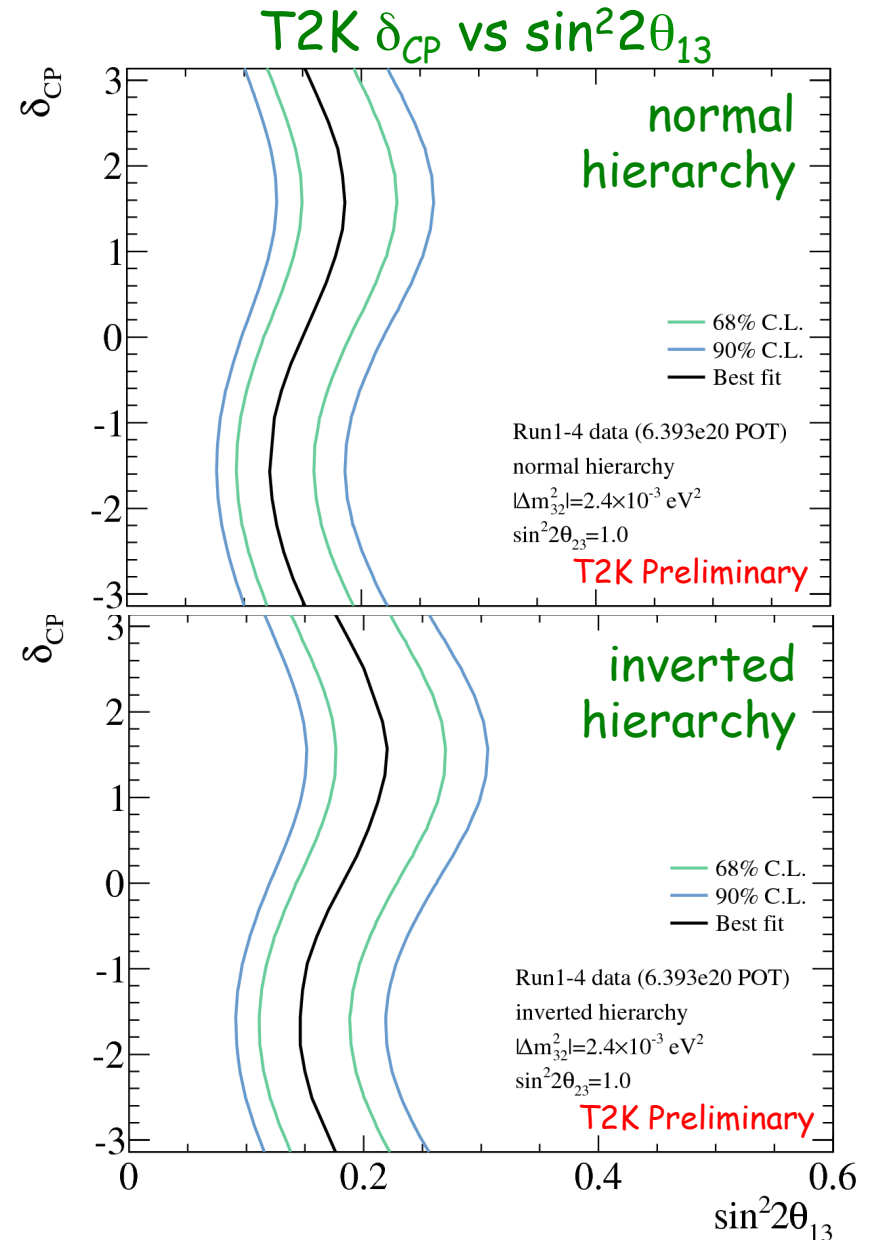


# $\nu_e$ Appearance Results



- Observed 28 events  
(vs.  $4.64 \pm 0.53$  background)
- Comparing best fit vs. null hypothesis:  
**7.5 $\sigma$  significance for non-zero  $\theta_{13}$**   
(normal hierarchy,  $\sin^2 2\theta_{23} = 1.0$ ,  $\delta_{CP} = 0$ )
- First observation ( $>5\sigma$ ) of a neutrino appearance channel

Note: contours are 1D contours at fixed values of  $\delta_{CP}$ , not 2D contours

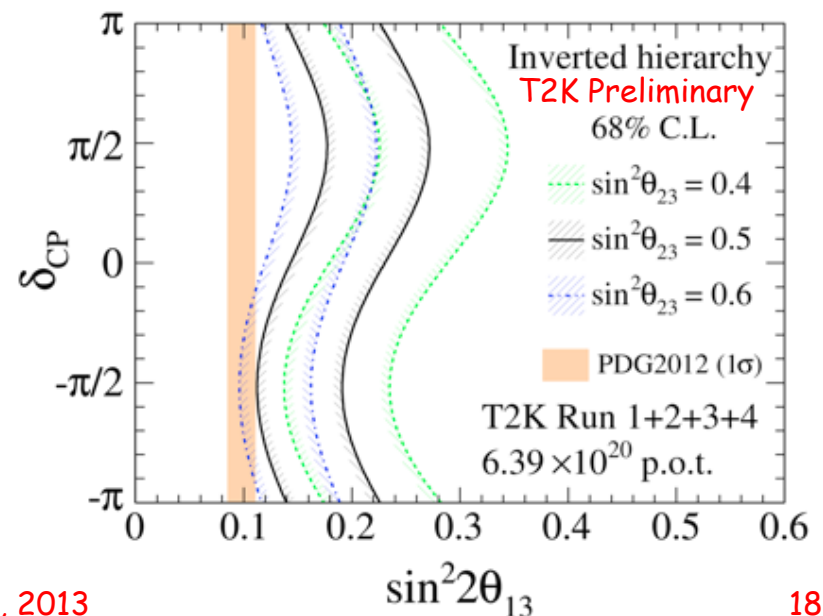
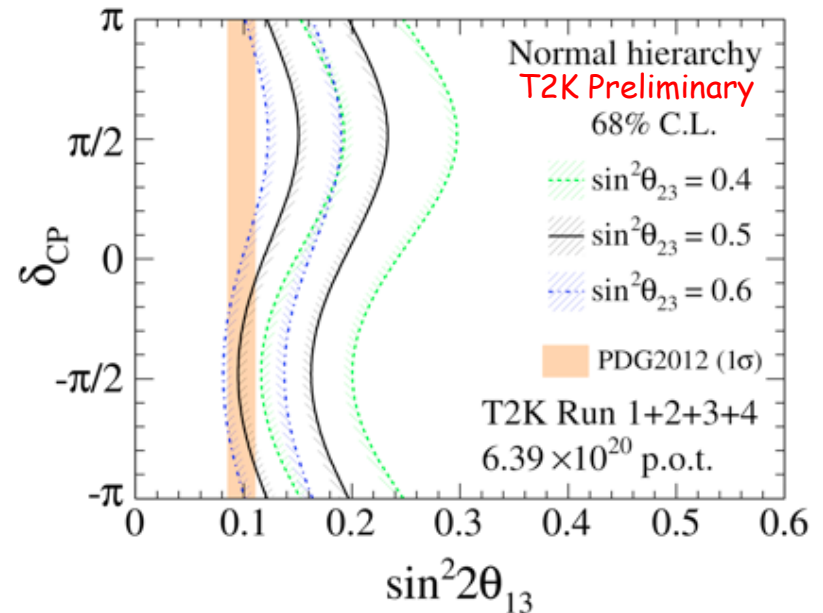


# Effects of $\theta_{23}$ Uncertainty



- $\nu_e$  appearance probability also depends on the value of  $\theta_{23}$
- Fixing  $\theta_{23}$  to values at the edges of the current allowed region shifts the contours  
→ currently less than  $1\sigma$  effect
- Future improved  $\theta_{23}$  measurements will be important for parameter extraction in long-baseline experiments
- T2K is working on a combined analysis of appearance and disappearance modes

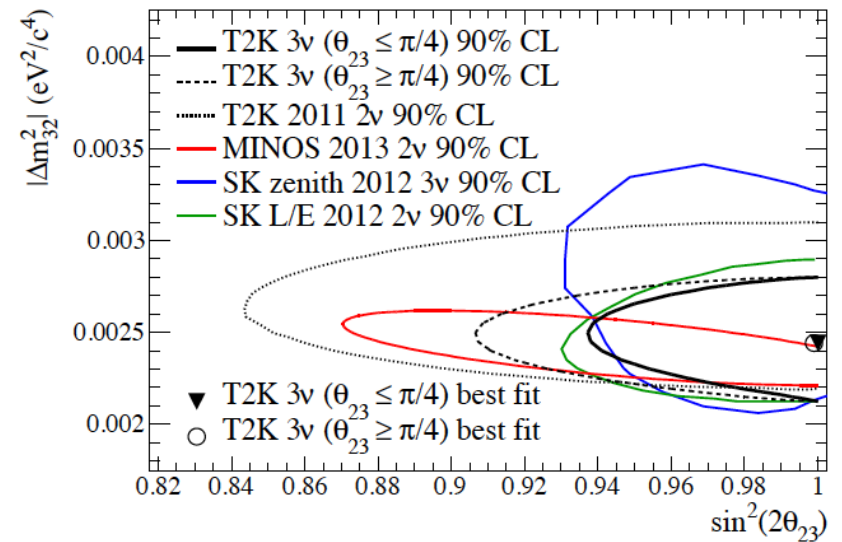
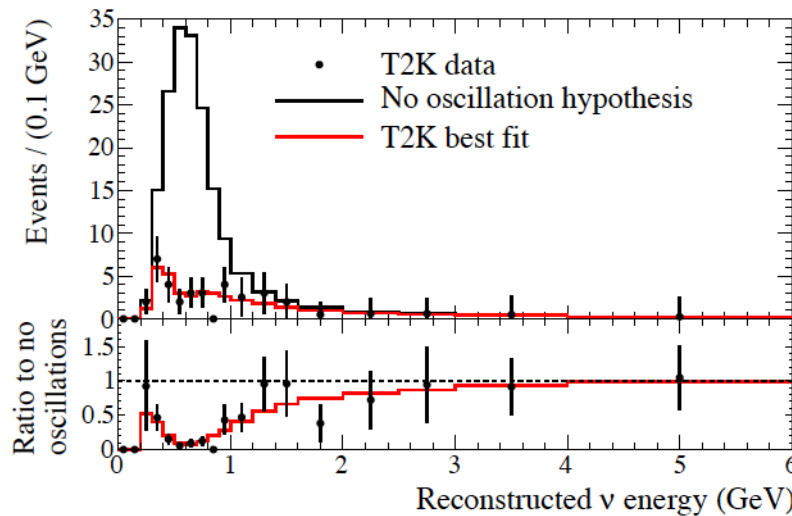
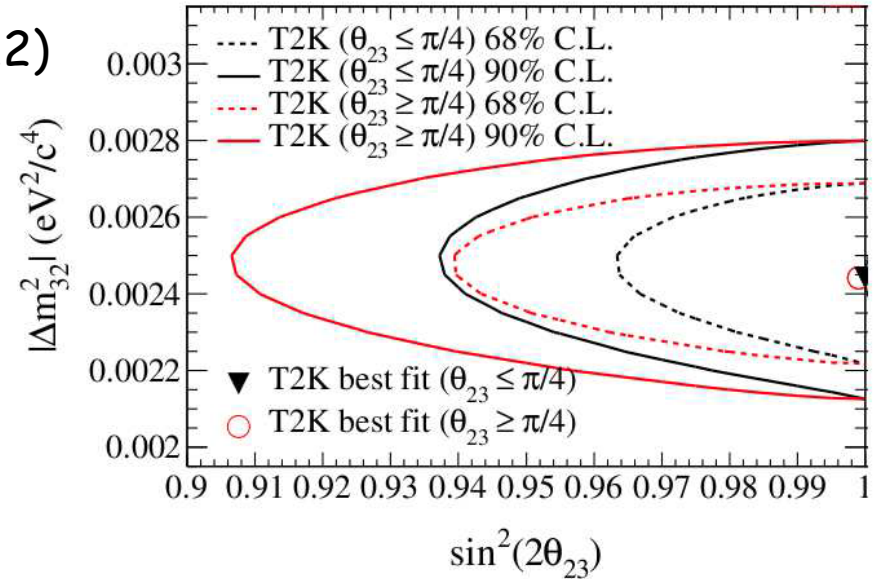
Note: contours are 1D contours at fixed values of  $\delta_{CP}$ , not 2D contours



# $\nu_\mu$ Disappearance Results



- Dataset:  $3.01 \times 10^{20}$  p.o.t (-> summer 2012)
- Updated result now shows contours for both octants of  $\theta_{23}$   
-> difference due to effects of  $\theta_{13}$  (fixed to  $\sin^2 2\theta_{13} = 0.098$ )
- Best-fit point:  $\sin^2 2\theta_{23} = 1.00$   
(same for both)  $\Delta m_{32}^2 = 2.44 \times 10^{-3} \text{ eV}^2$
- Future results likely to be reported vs.  $\sin^2 \theta_{23}$  instead of  $\sin^2 2\theta_{23}$



# Cross Section Measurements



T2K has an ambitious program to measure a range of neutrino interaction cross sections

- > multiple targets (C, H<sub>2</sub>O, lead, iron)
- > reconstruction of pions,  $\pi^0$ s, nucleons

## First measurements:

-> CC inclusive on carbon

Phys. Rev. D 87, 092003 (2013), arXiv:1302.4908

-> CCQE vs.  $E_\nu$  on carbon

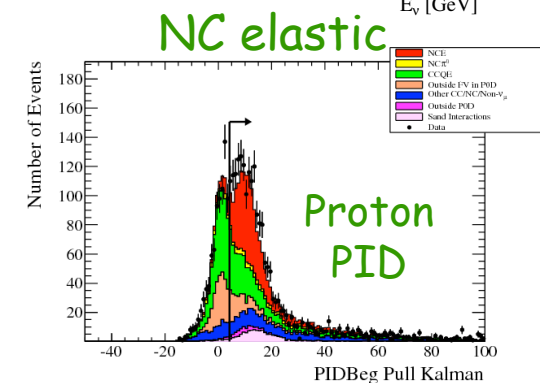
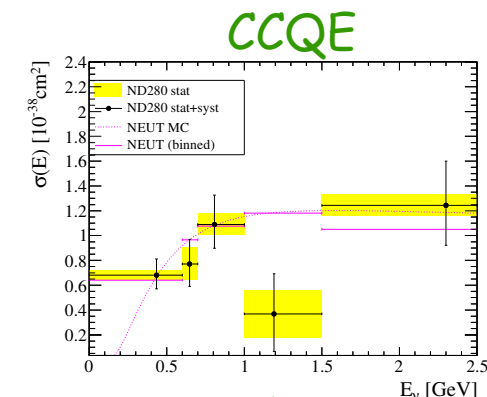
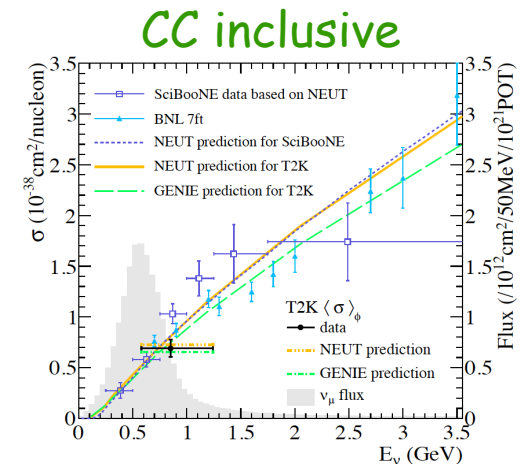
Preliminary result: David Hadley at NuFact 2013

-> NC elastic

Preliminary result: Dan Ruterbories at NuFact 2013

## Upcoming measurements:

- > Differential cross-sections with more data
- > CC1 $\pi^+$
- > Event selections sensitive to multinucleon effects
- > Coherent pion production
- > CC measurements on iron with INGRID
- > Antineutrinos cross sections, etc...



# T2K Future



## Initial T2K goals

- > Observe  $\nu_e$  appearance - **DONE**
- > Constrain oscillation parameters  $\theta_{13}$ ,  $\delta_{CP}$
- > Measure  $\theta_{23}$  oscillation precisely

## Updated goals

- > Precisely measure:
  - $\theta_{23}$
  - $\Delta m^2_{32}$
- > Constrain as well as possible:
  - $\delta_{CP}$
  - $\theta_{23}$  octant
  - Mass hierarchy?

## What future running configuration will optimize T2K's sensitivity?

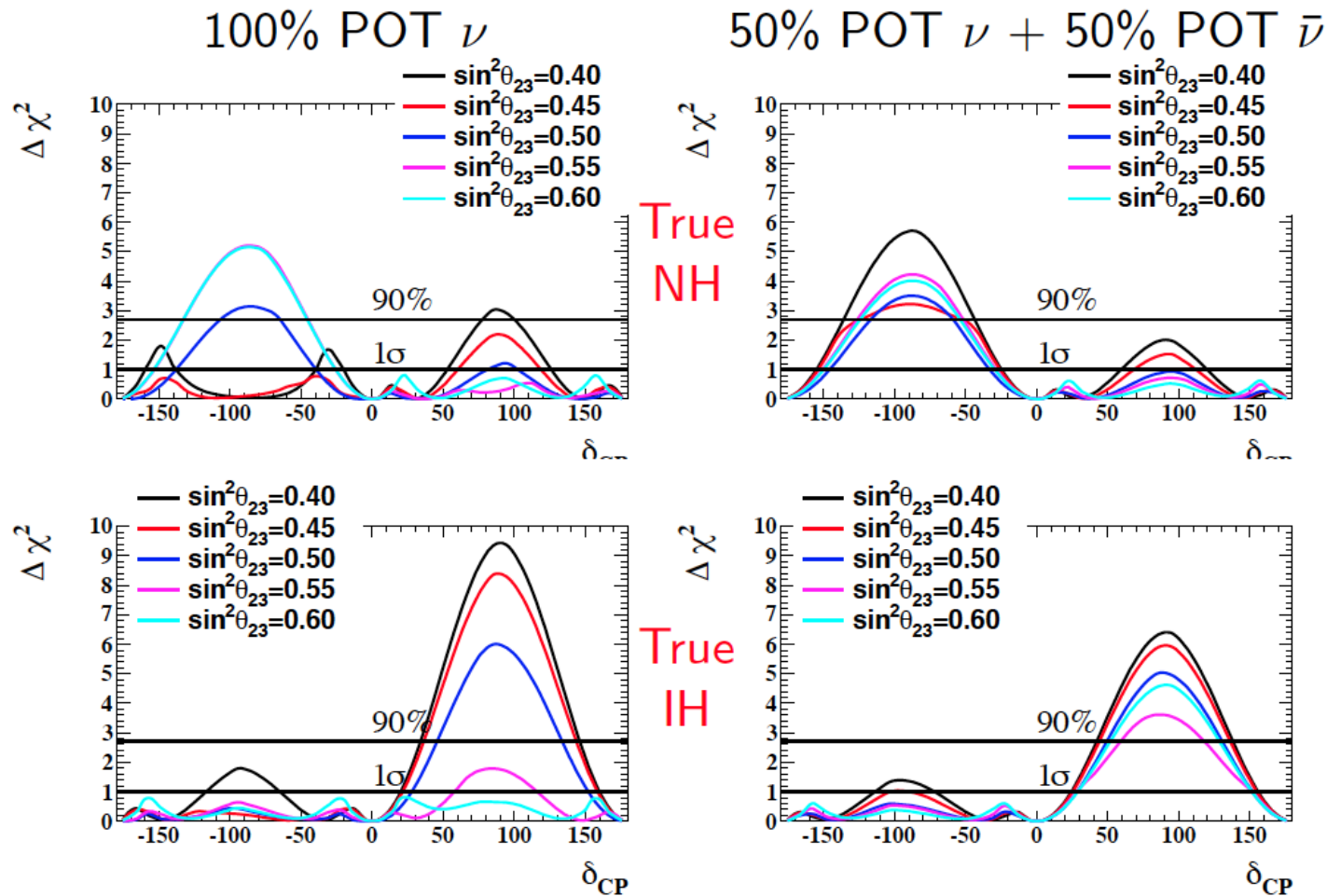
- > Charge to the Future Sensitivity Task Force
- > Detailed studies over the last year

Decision will be made based on these studies,  
plus input on accelerator status and prospects

# T2K Sensitivity for $\delta_{CP} \neq 0$



- $7.8 \times 10^{21}$  protons on target, no systematic errors

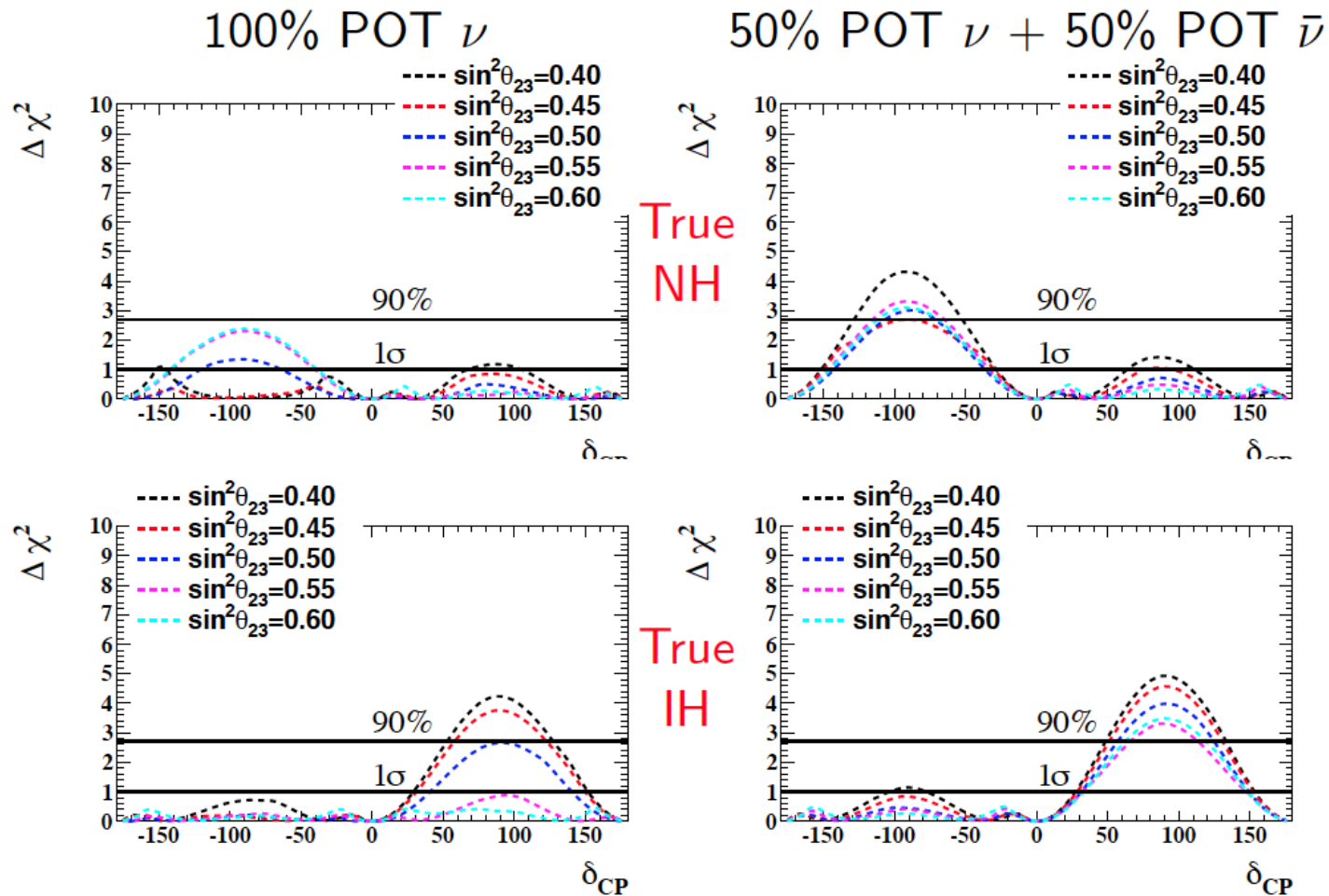


-> Assumes true  $\sin^2 2\theta_{13} = 0.1$ ,  $\Delta m^2_{32} = 2.4 \times 10^{-3} \text{ eV}^2$ ,  
 $\theta_{13}$  constrained by projected reactor sensitivity:  $\delta(\sin^2 2\theta_{13}) = 0.005$

# T2K Sensitivity for $\delta_{CP} \neq 0$



- $7.8 \times 10^{21}$  protons on target, current systematic errors

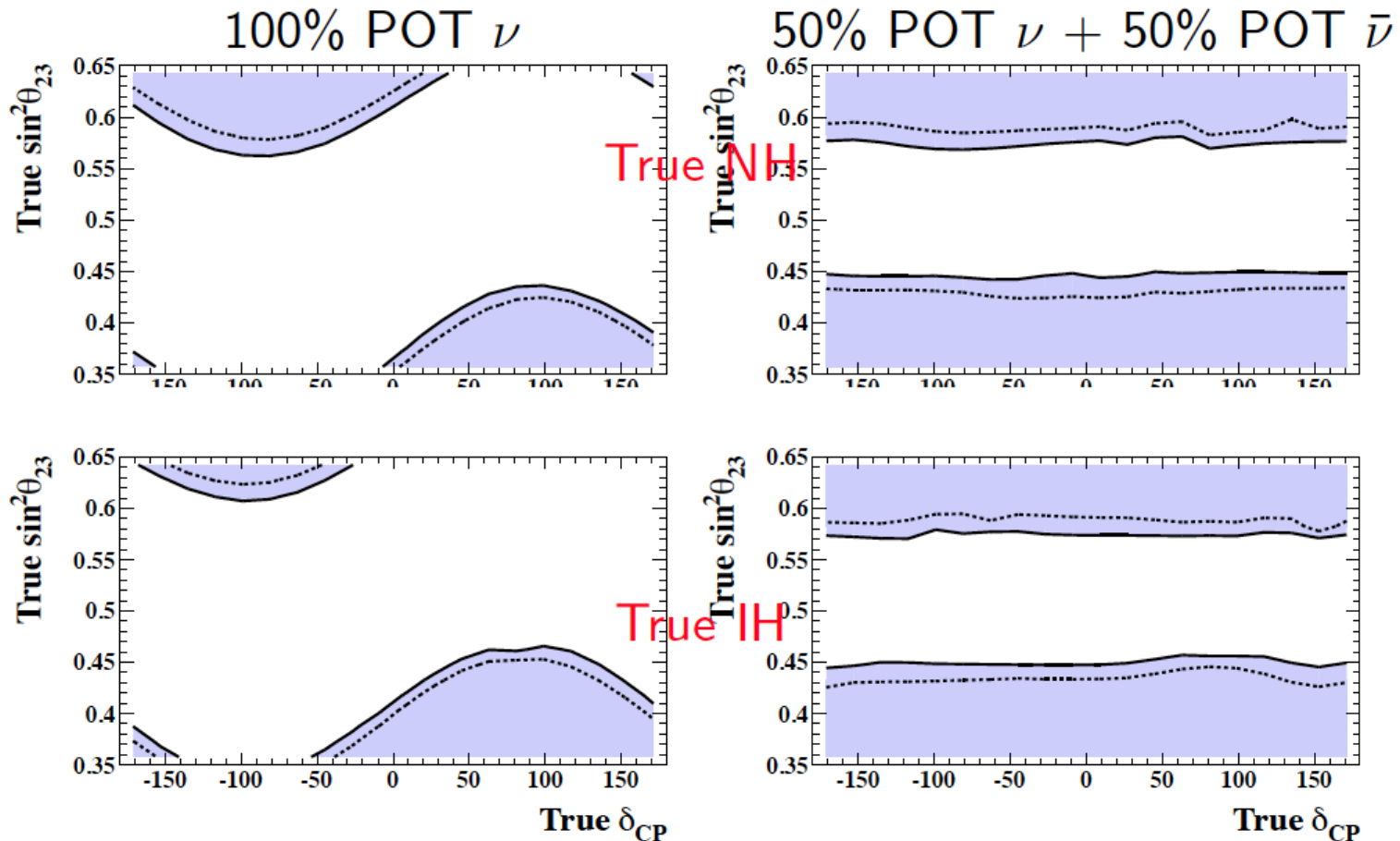


-> Assumes true  $\sin^2 2\theta_{13} = 0.1$ ,  $\Delta m^2_{32} = 2.4 \times 10^{-3} \text{ eV}^2$ ,  
 $\theta_{13}$  constrained by projected reactor sensitivity:  $\delta(\sin^2 2\theta_{13}) = 0.005$

# T2K Sensitivity for $\theta_{23}$ Octant



- Solid: no systematics, Dashed: current systematics



-> Assumes true  $\sin^2 2\theta_{13} = 0.1$ ,  $\Delta m^2_{32} = 2.4 \times 10^{-3} \text{ eV}^2$ ,  
 $\theta_{13}$  constrained by projected reactor sensitivity:  $\delta(\sin^2 2\theta_{13}) = 0.005$

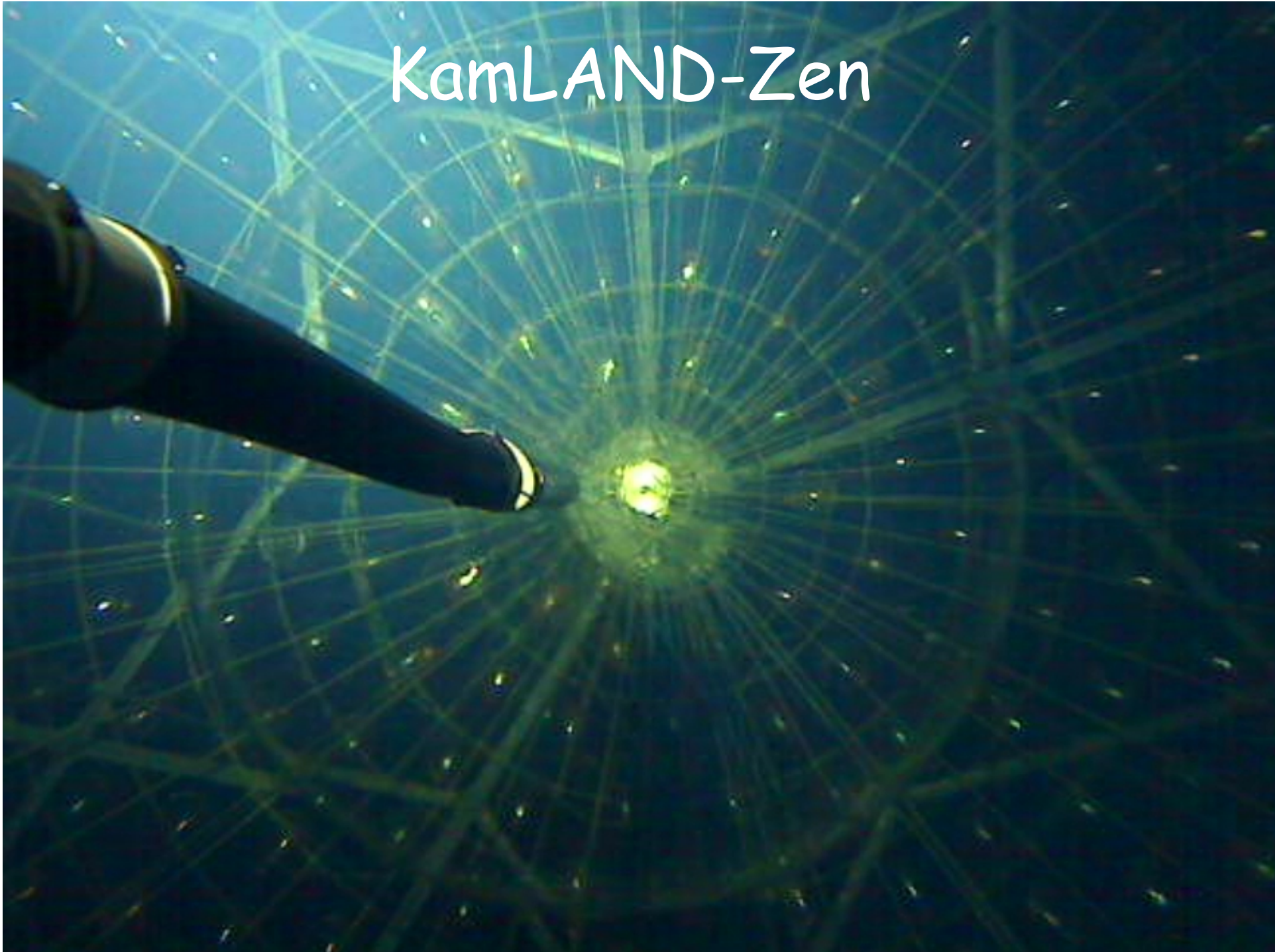


# Conclusions - T2K



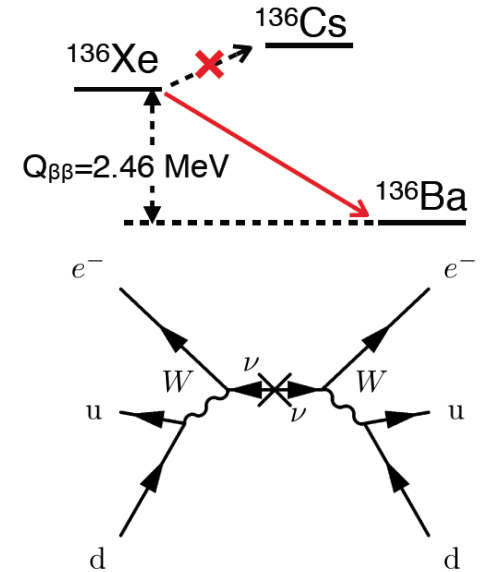
- $7.5\sigma$  discovery of  $\nu_e$  appearance in a  $\nu_\mu$  beam
- Improved  $\nu_\mu$  disappearance results
- Continued improvement in oscillation analyses to come!
  - > More statistics, combined analysis
  - > Analysis improvements, e.g. neutrino interaction modeling
- Broad program of neutrino cross-section measurement
- Collaboration is assessing future run plans to optimize sensitivity
  - > At full statistics, T2K may have sensitivity to constrain  $\delta_{CP}$  and the  $\theta_{23}$  octant

# KamLAND-Zen



# Neutrinoless Double Beta Decay

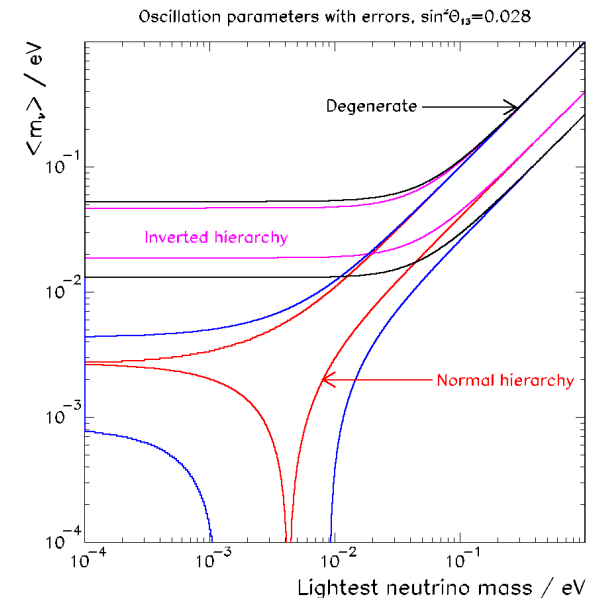
- Double beta decay in even-even nuclei when ordinary  $\beta$ -decay is energetically forbidden
- If neutrinos are **Majorana**, **neutrinoless double-beta decay** can proceed by a loop diagram with **no neutrinos in the final state**
- This process is sensitive to a  $m_{\beta\beta}$ , a weighted sum over all three masses, all mixing angles,  $\delta_{CP}$ , plus Majorana phases (weighted by  $U_{e1}, U_{e2}, U_{e3}$ :  $m_1, m_2$  dominate)  
 -> **Allowed regions depend on hierarchy**



$$\langle m_{\beta\beta} \rangle = \sum_{i=1}^3 |U_{ei}|^2 m_i \epsilon_i$$

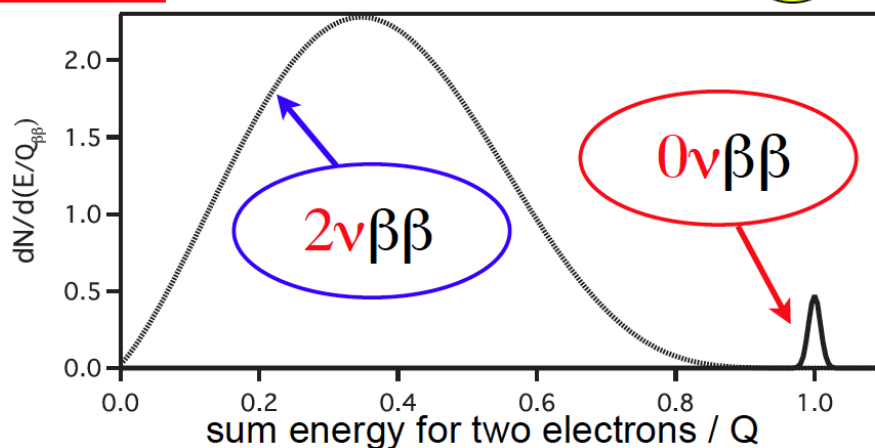
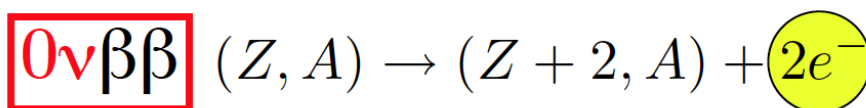
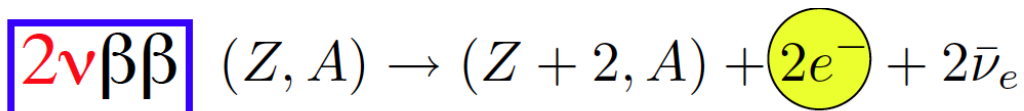
- Rate also depends on phase space and nuclear matrix elements

$$\frac{1}{T_{1/2}^{0\nu}} = G^{0\nu} |M^{0\nu}| |\langle m_{\beta\beta} \rangle|^2$$



# Detecting $0\nu\beta\beta$

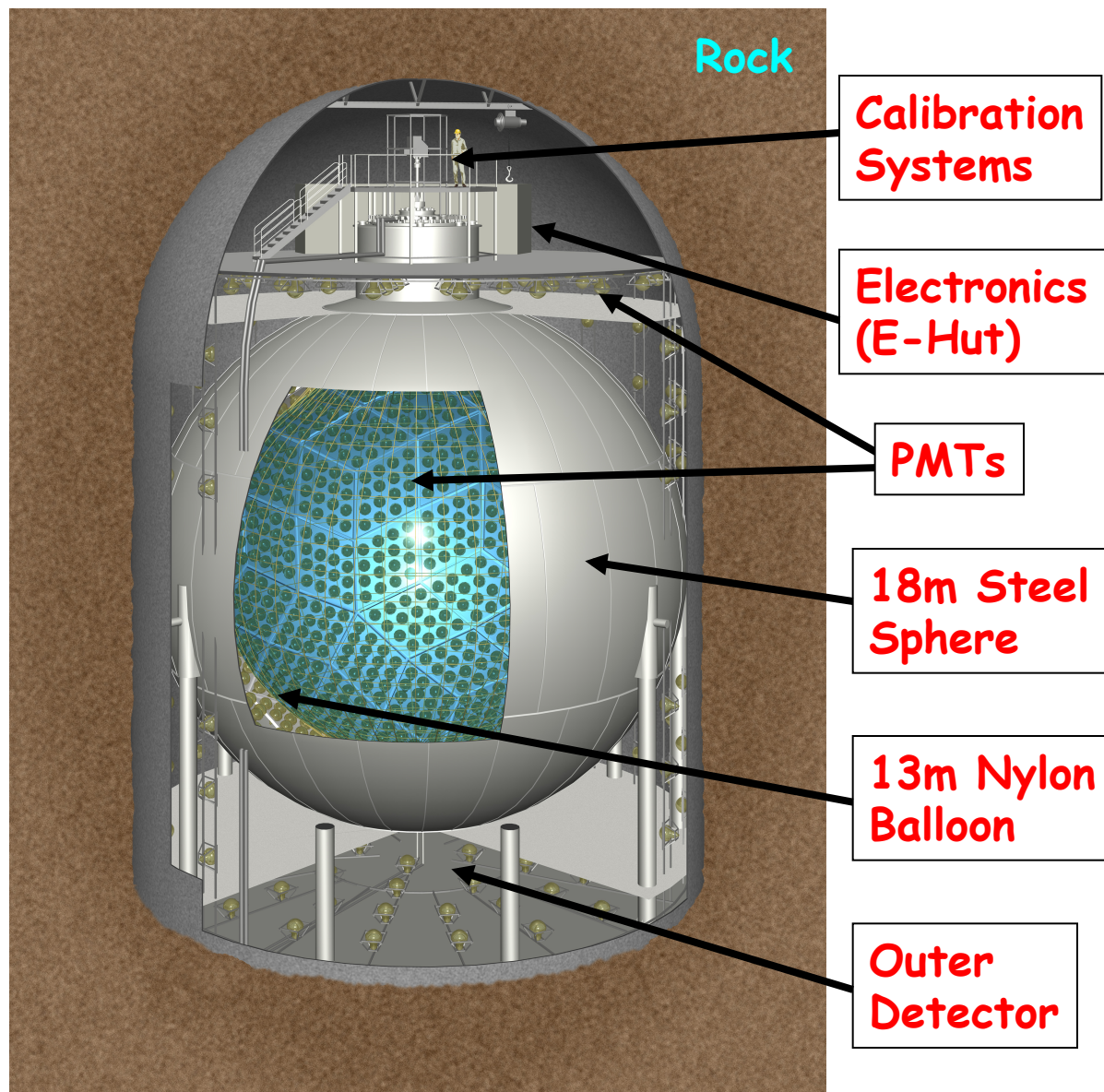
- KamLAND-Zen is sensitive to the total energy of the two  $\beta$ 's



- $0\nu\beta\beta$  experimental goals:
  - > Low background under  $0\nu\beta\beta$  peak
  - > Good energy resolution
  - >  $2\nu\beta\beta$  can be a background!

# KamLAND Detector

- 1 kton liquid scintillator
- Mineral oil buffer outside 120- $\mu$ m nylon balloon
- 1879 PMTs
  - 1325 17" - fast
  - 554 20" - efficient
- Water Čerenkov Outer Detector
- Event position from light arrival times  
 $\sim 12$  cm resolution
- Event energy from total light yield  
 $\sim 6.2\%/\sqrt{E(\text{MeV})}$  resolution



# KamLAND-Zen

**Basic idea:** Deploy a mini-balloon full of Xe-loaded scintillator into the middle of KamLAND

## Running detector

- > relatively low cost, quick start
- > detector well understood
- > experience with balloons, LS purification
- > ongoing antineutrino program outside Xe mini-balloon

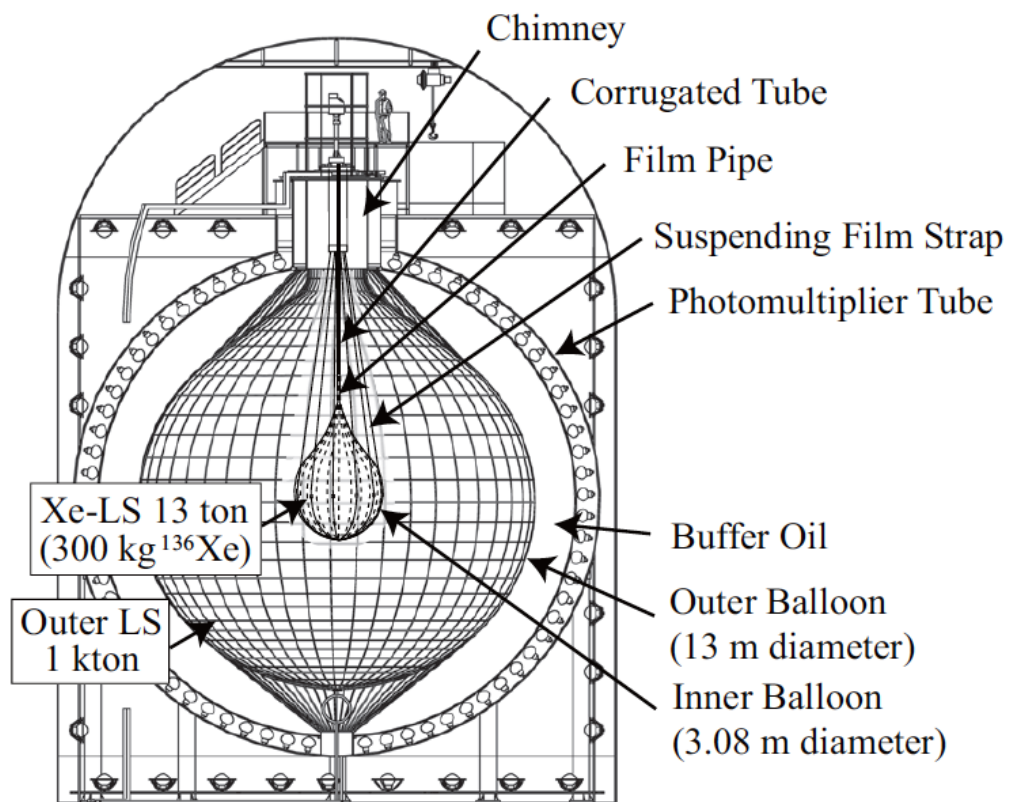
## Large and clean

- > negligible external backgrounds
- > no escaping/invisible  $\beta/\gamma$  energy

## Highly scalable

- > 100s of kg of  $^{136}\text{Xe}$  in first phase
- > up to several tons with larger mini-balloon

**Disadvantage:** energy resolution (4.0% at 2.458 MeV)





## Xe-Loaded LS

### Technical challenges: Xe-loaded liquid scintillator (LS)

- Match light yield to existing KamLAND LS  
-> Achieved: matched to within 3%
- Similar overall density to existing KamLAND LS,  
for mini-balloon integrity  
-> Tuned to 0.10% higher density
- Xe loading:  $(2.52 \pm 0.07)$  % by weight
- Composition:
  - 82% decane
  - 18% pseudocumene
  - 2.7 g/L PPO
  - $(2.52 \pm 0.07)$  % Xe
- Xe is  $(90.93 \pm 0.05)\%$   $^{136}\text{Xe}$ ,  $(8.89 \pm 0.01)\%$   $^{134}\text{Xe}$
- 129 kg  $^{136}\text{Xe}$  in the fiducial volume

# Mini-Balloon

## Technical challenges: Mini-Balloon

- Very thin: 25  $\mu\text{m}$  nylon
- Welded seams (!)
- Must be Xe barrier
- High transparency
- Low contaminations of U, Th, K

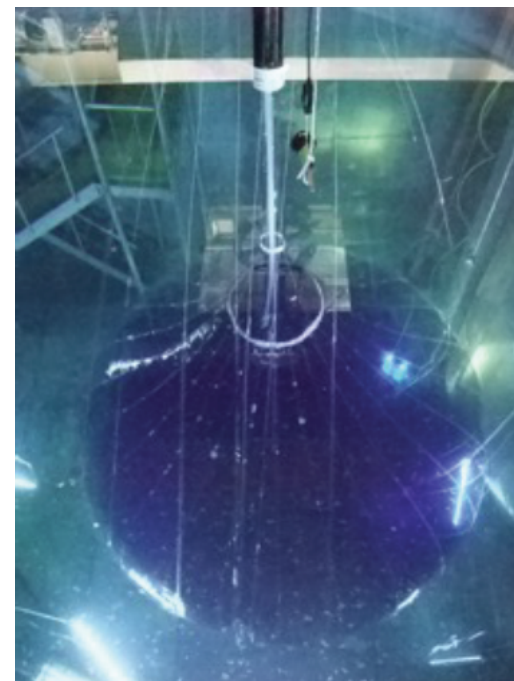
Tests in water to establish procedures for deployment, inflation, LS replacement



80  $\mu\text{m}$  polyethylene test balloon



25  $\mu\text{m}$  Nylon 6 balloon





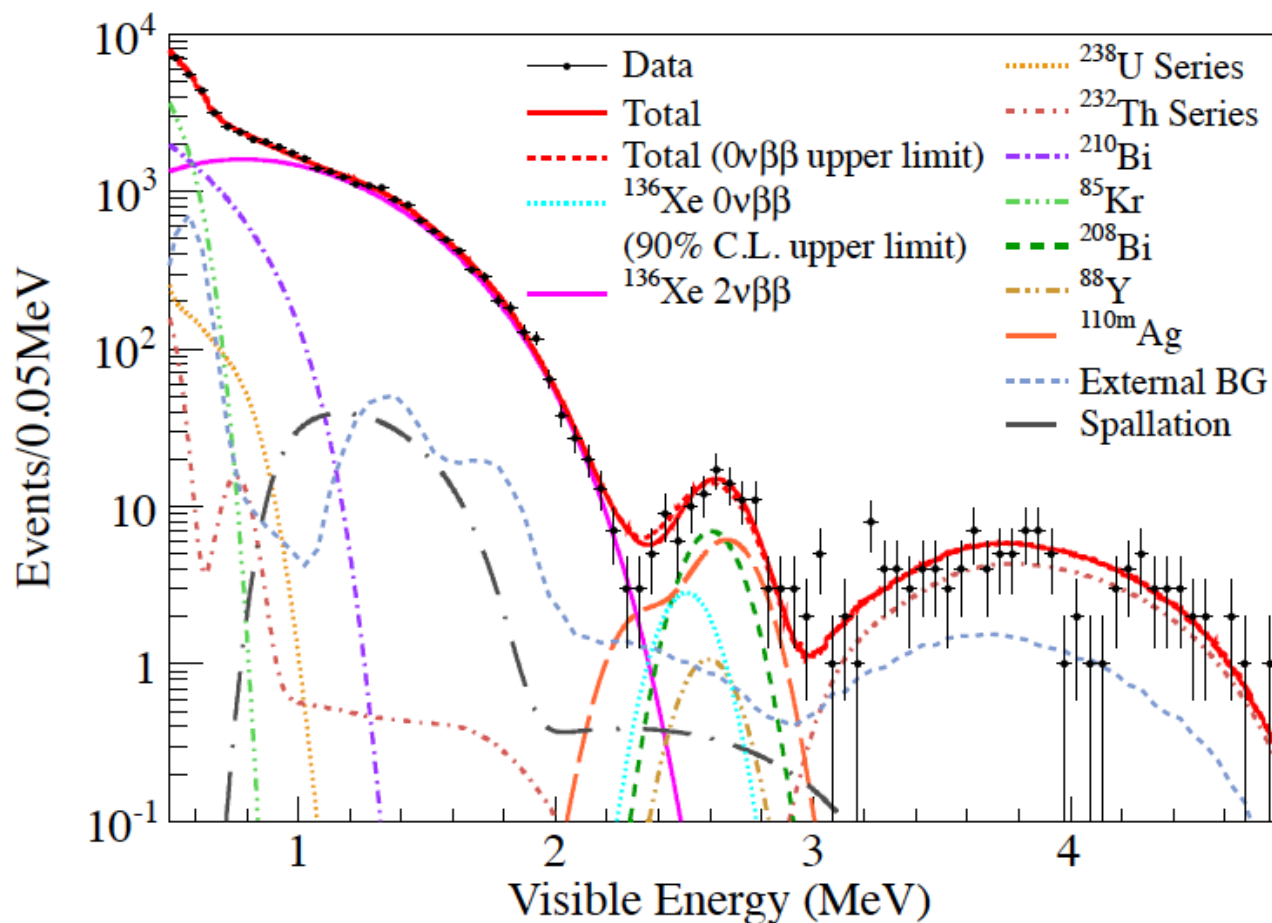


# First Results

77.6 days of data, 129 kg  $^{136}\text{Xe}$  in fiducial volume (1.2 m radius)

-> Clear  $2\nu\beta\beta$  signal

-> Very interesting peak just above 2.458 MeV...





# $^{136}\text{Xe}$ $2\nu\beta\beta$ Half Life

First measured by EXO-200 (2011)

$$T_{1/2}^{2\nu} = 2.11 \pm 0.04 \text{ (stat)} \pm 0.21 \text{ (syst)} \times 20^{21} \text{ yr}$$

PRL 107, 212501 (2011)

-> 5x larger than 2002 DAMA limit

KamLAND-Zen (2012)

$$T_{1/2}^{2\nu} = 2.38 \pm 0.02 \text{ (stat)} \pm 0.14 \text{ (syst)} \times 20^{21} \text{ yr}$$

Phys.Rev.C 85, 045504 (2012)

-> Consistent with EXO-200 result

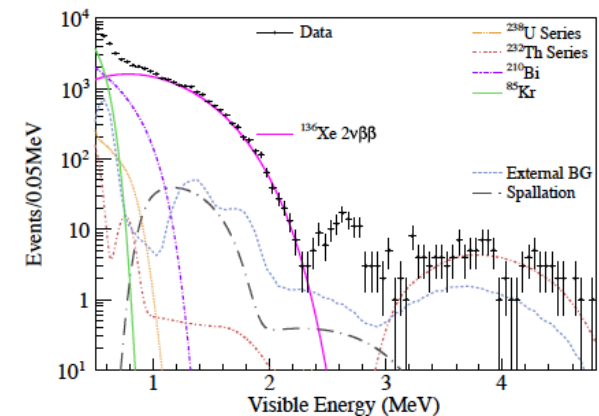
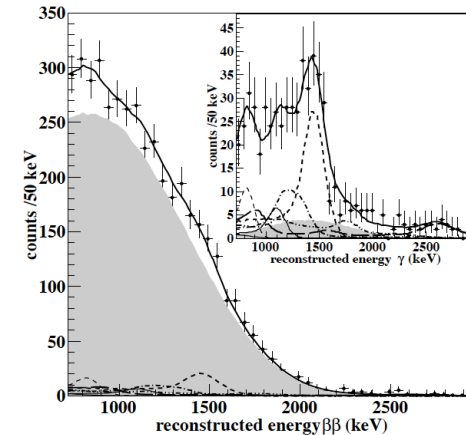
Current results:

$$\text{KamLAND: } T_{1/2}^{2\nu} = 2.30 \pm 0.02 \text{ (stat)} \pm 0.12 \text{ (syst)} \times 20^{21} \text{ yr}$$

Phys.Rev.C 86, 021601 (2012)

$$\text{EXO-200: } T_{1/2}^{2\nu} = 2.172 \pm 0.017 \text{ (stat)} \pm 0.060 \text{ (syst)} \times 20^{21} \text{ yr}$$

arXiv:1306.6106 (June 25, 2013)



# Background Identification

Peak at 2.6 MeV is too high to be  $0\nu\beta\beta$

-> Inconsistent at  $>8\sigma$

## 2.6 MeV background properties

- uniformly distributed in the Xe-LS  
-> not seen in LS outside the mini-balloon
- no correlation with muon events
- long-lived background: stable on  $\sim 30$  day timescale

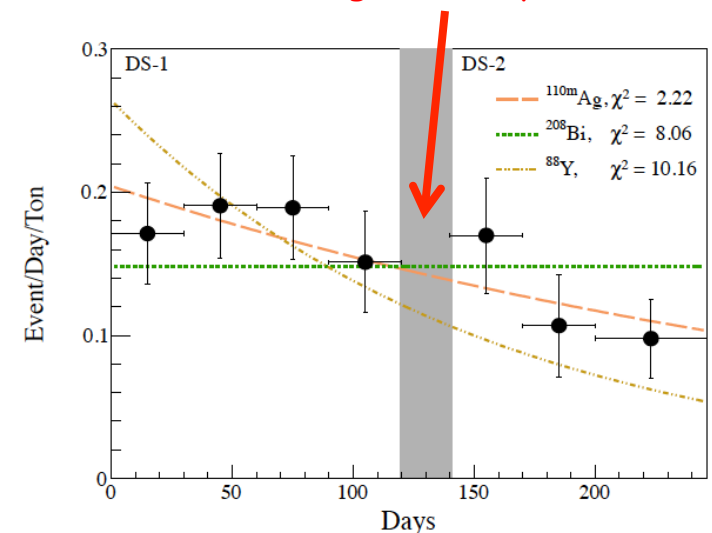
Search of all decays in the **ENSDF** database identified 4 candidates with peak in the  $0\nu\beta\beta$  region,  $T_{1/2} > 30$  days

- $^{110m}\text{Ag}$   $T_{1/2} = 250$  days
- $^{208}\text{Bi}$   $T_{1/2} = 3.68 \times 10^5$  years
- $^{88}\text{Y}$   $T_{1/2} = 107$  days
- $^{60}\text{Co}$   $T_{1/2} = 5.27$  years

-> Fits to peak shape prefer  $^{110m}\text{Ag}$

->  $^{110m}\text{Ag}$  also most consistent with decay rate

Filtration campaign:  
remove background if particulate





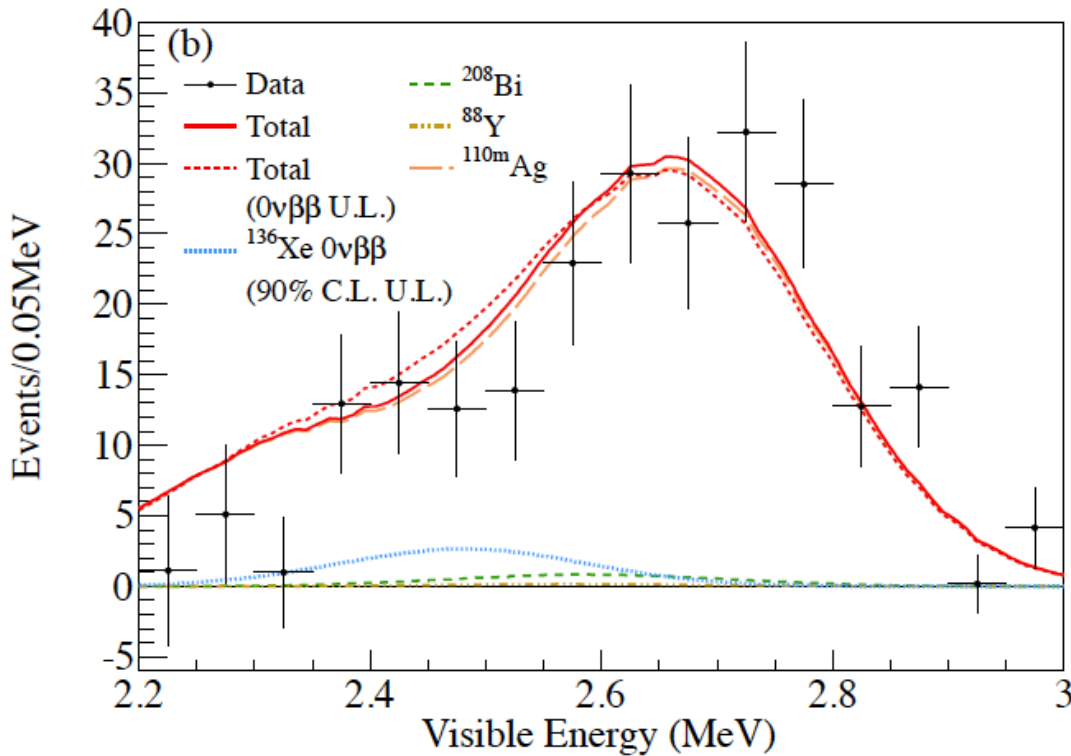
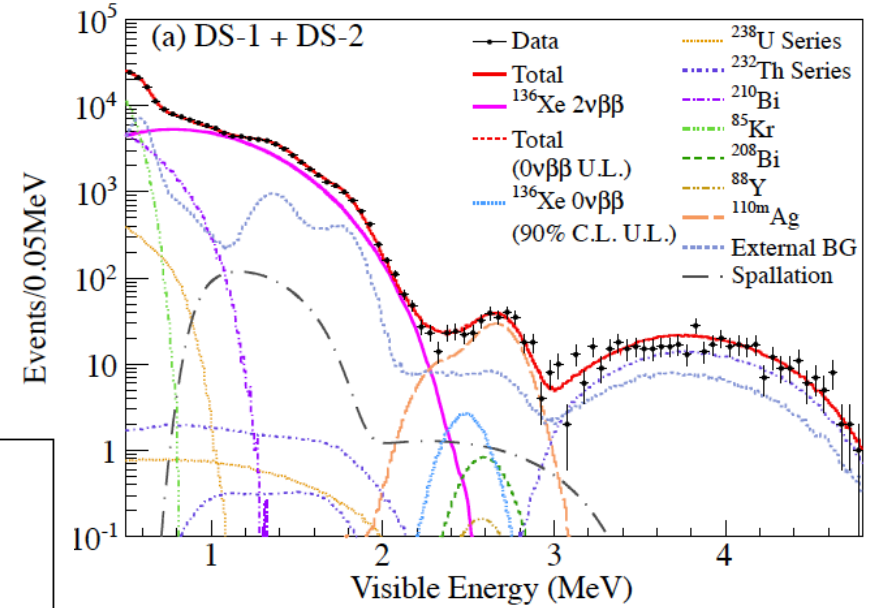
# $^{136}\text{Xe}$ $0\nu\beta\beta$ Results

Full Phase I data: 213.4 days

90% CL:  $T_{1/2} (^{136}\text{Xe } 0\nu\beta\beta) > 1.9 \times 10^{25}$  yr

PRL 110, 062502 (2013)

Note: Sensitivity:  $1.0 \times 10^{25}$  yr





## Comparison with $^{76}\text{Ge}$ claim

Comparisons between isotopes are complicated by nuclear matrix element (NME) uncertainties

Plot  $T_{1/2}(^{76}\text{Ge})$  vs.  $T_{1/2}(^{136}\text{Xe})$ :

NME models are diagonal lines, marked by  $\langle m_{\beta\beta} \rangle$  in eV

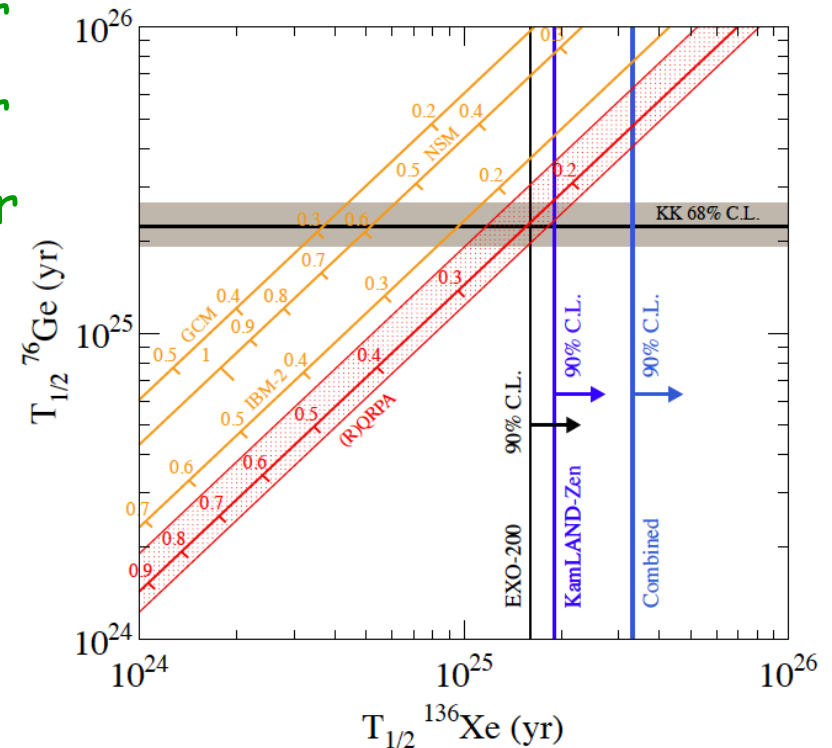
KamLAND-Zen:  $T_{1/2}(^{136}\text{Xe}) > 1.9 \times 10^{25}$  yr

EXO-200:  $T_{1/2}(^{136}\text{Xe}) > 1.6 \times 10^{25}$  yr

Combined:  $T_{1/2}(^{136}\text{Xe}) > 3.4 \times 10^{25}$  yr

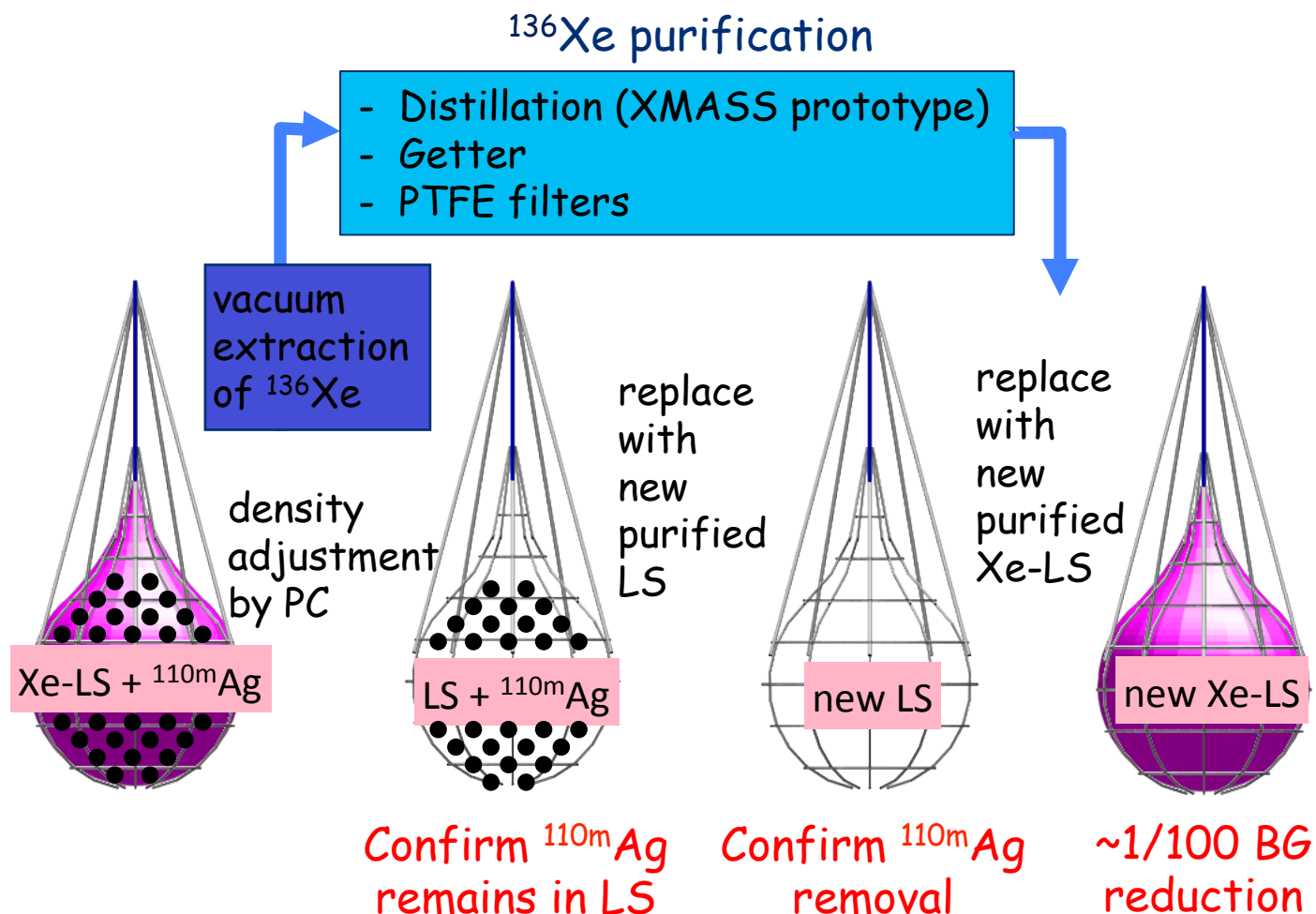
(Sensitivity:  $1.6 \times 10^{25}$  yr)

-> Incompatible with KK 2006 claim at 97.5% CL

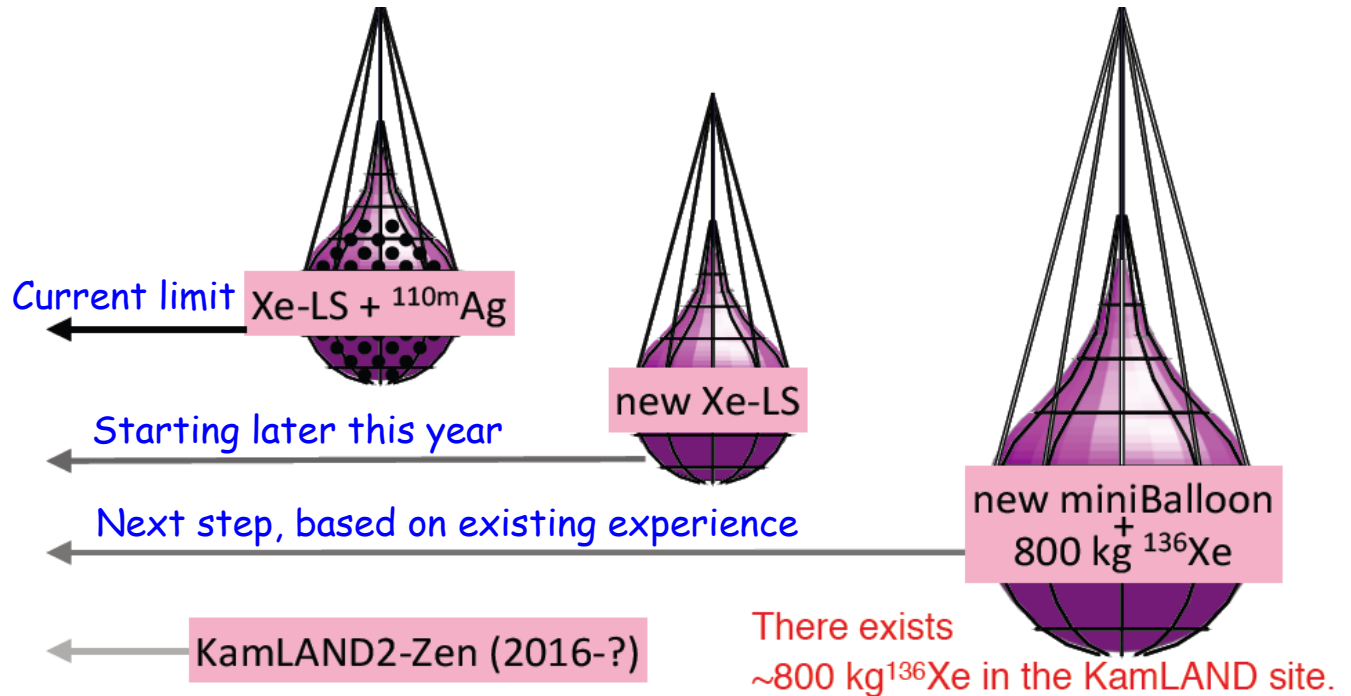
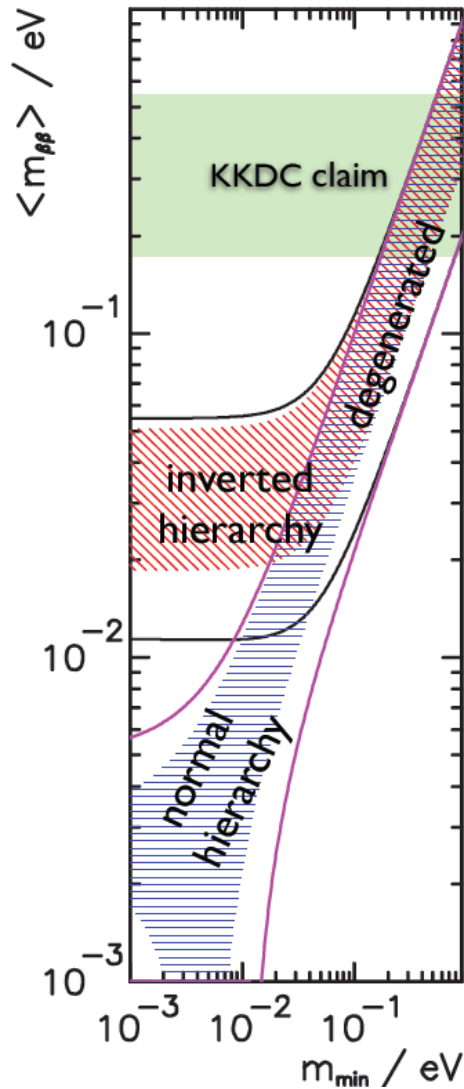


# Near Future: Background Reduction

Next step for KamLAND-Zen: removing the  $^{110m}\text{Ag}$



# Future KamLAND-Zen Upgrades



KamLAND2-Zen (2016-?)

### Ongoing R & D

- Light collector
- LS replacement
- $\gamma/\beta$  discrimination
- **Open KamLAND**
- New photo sensor
- 
-



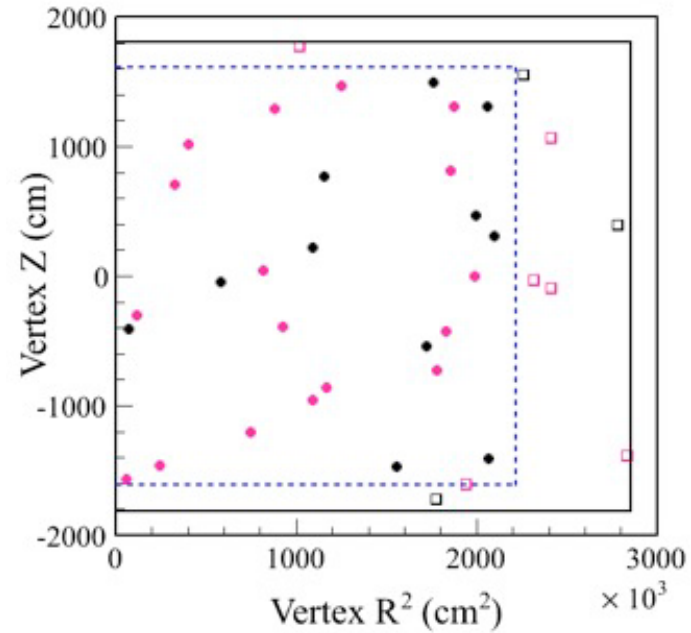
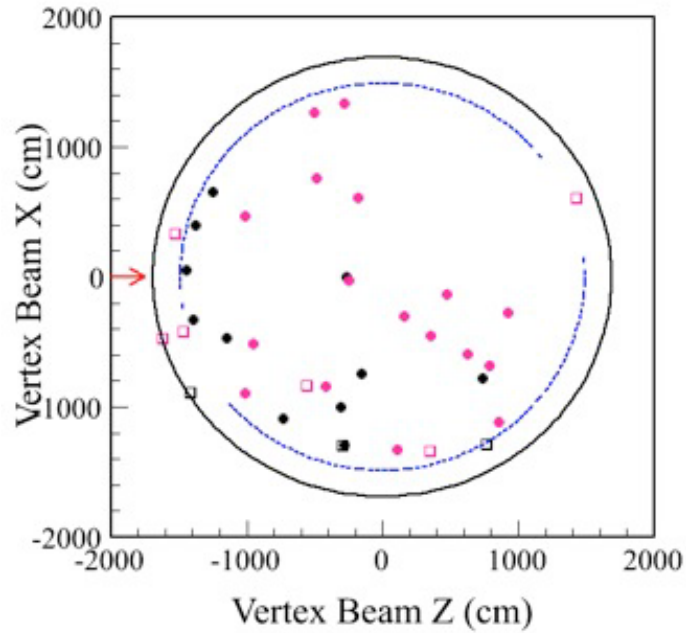
# Conclusions - KamLAND-Zen

- Discovery of the Majorana nature of the neutrino via neutrinoless double beta decay helps address several critical questions:
  - > absolute neutrino mass
  - > neutrino mass mechanism
  - > matter dominance of the Universe
- KamLAND-Zen measurements to date
  - >  $T_{1/2} (^{136}\text{Xe } 0\nu 2\beta) > 1.9 \times 10^{25} \text{ yr}$
  - >  $m_{\beta\beta} < (0.16-0.33) \text{ eV}$
- Combined analysis of KamLAND-Zen and EXO-200 excludes the Klapdor-Kleingrothaus 2006 claim at 97.5% CL
- We expect a sensitivity of  $\sim 80 \text{ meV}$  with one year of running after the current purification
- Future phases of KamLAND-Zen and KamLAND2-Zen will allow us to push the limit well into the inverted hierarchy region



# Backup Slides

# $\nu_e$ Vertex Distributions at SK



-> With increased statistics,  
the p-values for the test distributions have increased

	RUN1+2+3	RUN4	RUN1+2+3+4
$D_{wall}$	34.4%	54.7%	20.9%
$From_{wall} beam_{  }$	6.04%	85.6%	8.93%
$R^2 + Z$	32.4%	98.1%	64.5%



# $^{110m}\text{Ag}$ background source?

## Fallout:

- > Already observed Cesium likely from Fukushima-I
- >  $^{110m}\text{Ag}$  is a component of reactor fallout
- >  $^{110m}\text{Ag}$  found in assayed of soil at Tohoku, where the mini-balloon was produced

## Spallation:

- > Estimated spallation production of many isotopes on  $^{136}\text{Xe}$
- > Large uncertainties due to limited data
- > Spallation production **underground** should be negligible based on GEANT4 simulation
- > Spallation production above ground before the  $^{136}\text{Xe}$  was brought into the mine is a possible source of  $^{110m}\text{Ag}$ ,  $^{88}\text{Y}$

