

Workshop Summary

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INTERNATIONAL SCHOOL OF NUCLEAR PHYSICS

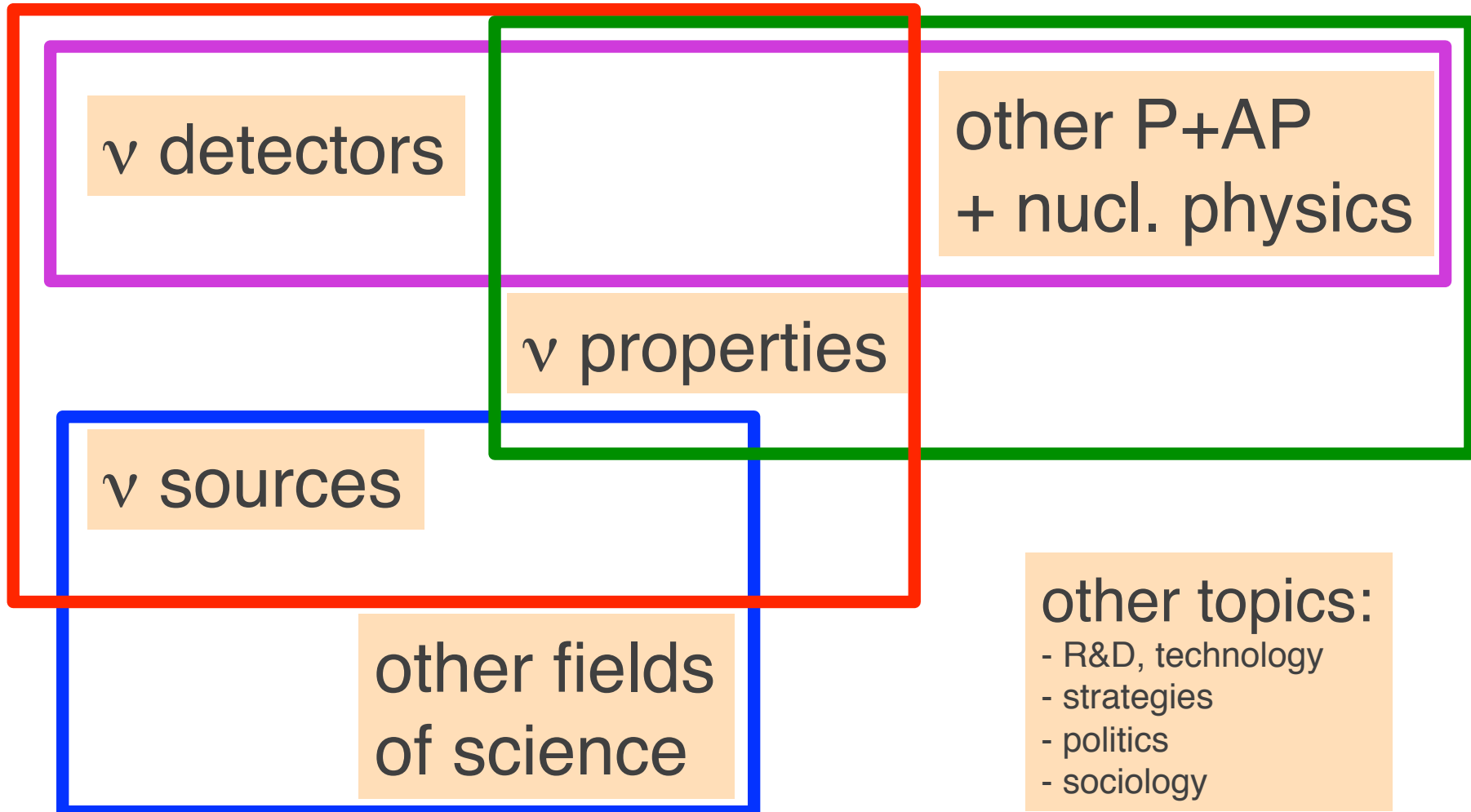
35th Course

Neutrino Physics: Present and Future

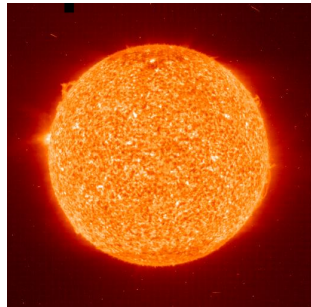
Erice-Sicily: September 16-24, 2013

Contents

We had $O(60)$ interesting talks which covered many aspects...
number of slides 25-65(!) $\rightarrow O(2000)$ slides ... many other things

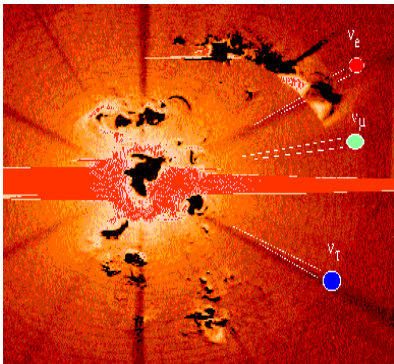


Sources & other Fields of Science



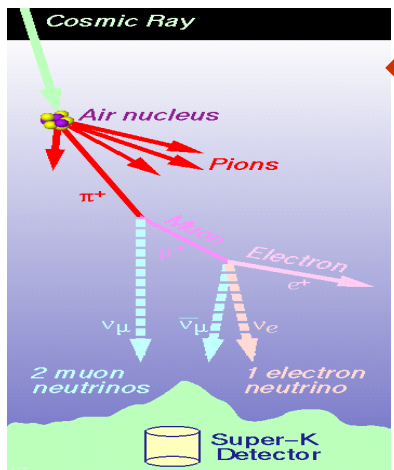
← Sun

Astronomy: →
Supernovae
GRBs
UHE ν 's



← Cosmology

Reactors →

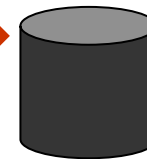


← Atmosphere

Accelerators →



β -Sources →

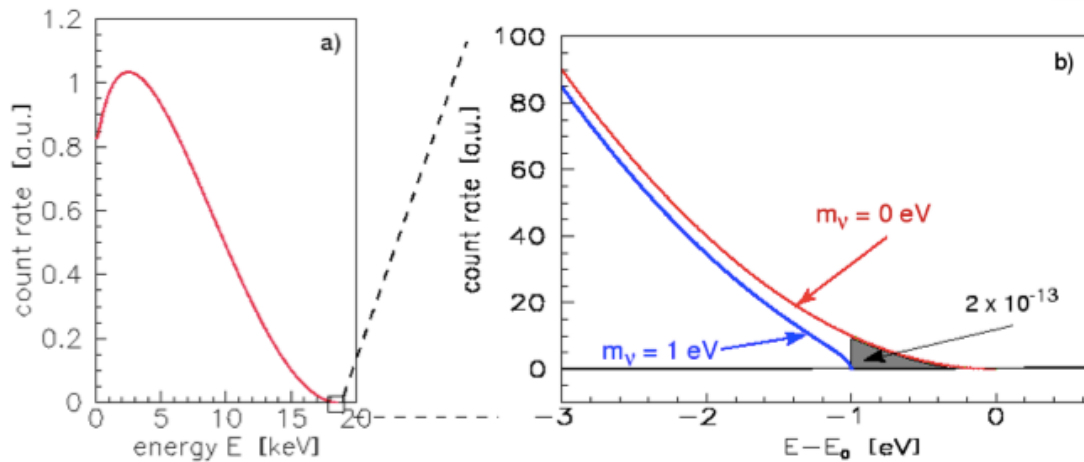


← Earth

Four Methods of ν -Parameter Determination

- **kinematical**
- **lepton number violation**
 \leftrightarrow Majorana nature
- **astrophysics & cosmology**
- **oscillations**

Kinematical Mass Determination



decays where:

- mass effect is enhanced
- clean kinematics

$$E^2 = p^2 + m^2; \quad \sum p_i^\mu = \sum p_f^\mu$$

Endpoint of decays:



Bounds:

“Elektron-Neutrino”: $m < 2.2$ eV (Mainz, Troitsk)

“Muon-Neutrino”: $m < 170$ keV

“Tau-Neutrino”: $m < 15.5$ MeV

Sensitivity \Leftrightarrow **degenerate ν -spectrum**

\Rightarrow **Oscillations:** $\Delta m_{ij}^2 \ll m_i^2 \Rightarrow \sum m_i^2 |U_{ei}|^2 < (2.2 \text{ eV})^2$

robust towards
new physics!

Weinheimer

Including electronic excited final states of excitation energy V_j with probability W_j

$$W_j = |\langle \Psi_0 | \Psi_{f,j} \rangle|^2$$

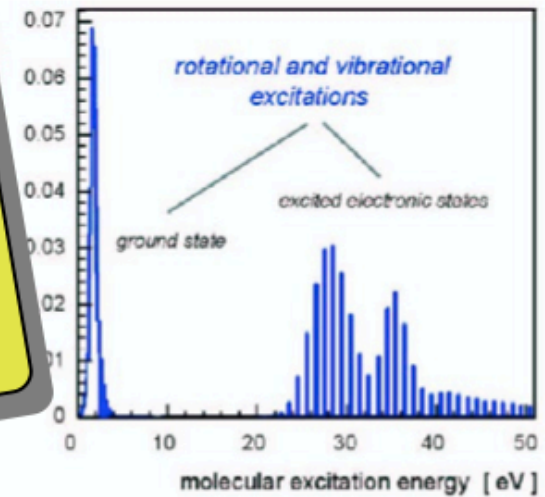
⇒ **electronic final states are important**

Using $\varepsilon_j = E_0 - V_j - E$

$$\frac{d^2 N}{dt dE} = A \cdot F(E, Z + 1) \cdot p \cdot (E + m) \cdot \sum_j W_j \cdot \varepsilon_j \cdot \sqrt{\varepsilon_j^2 - m^2(\nu_c)} \cdot \Theta(\varepsilon_j - m(\nu_c))$$

Final states of
A. Saenz et al. P
N. Doss et al., P

The electron spectrum coming out of a β -source is even more complicated due to inelastic scattering, backscattering. ...



Including neutrino mixing

$$\frac{d^2 N}{dt dE} = A \cdot F(E, Z) \cdot \sum_j W_j \cdot \varepsilon_j \cdot \left(\sum_i |U_{ci}|^2 \cdot \sqrt{\varepsilon_j^2 - m^2(\nu_i)} \cdot \Theta(\varepsilon_j - m(\nu_i)) \right)$$

⇒ "Electron neutrino mass"

$$m^2(\nu_c) := \sum_i |U_{ci}|^2 \cdot m^2(\nu_i)$$

⇒ **the different $m(\nu_i)$ are not important at present precision**

Mainz:

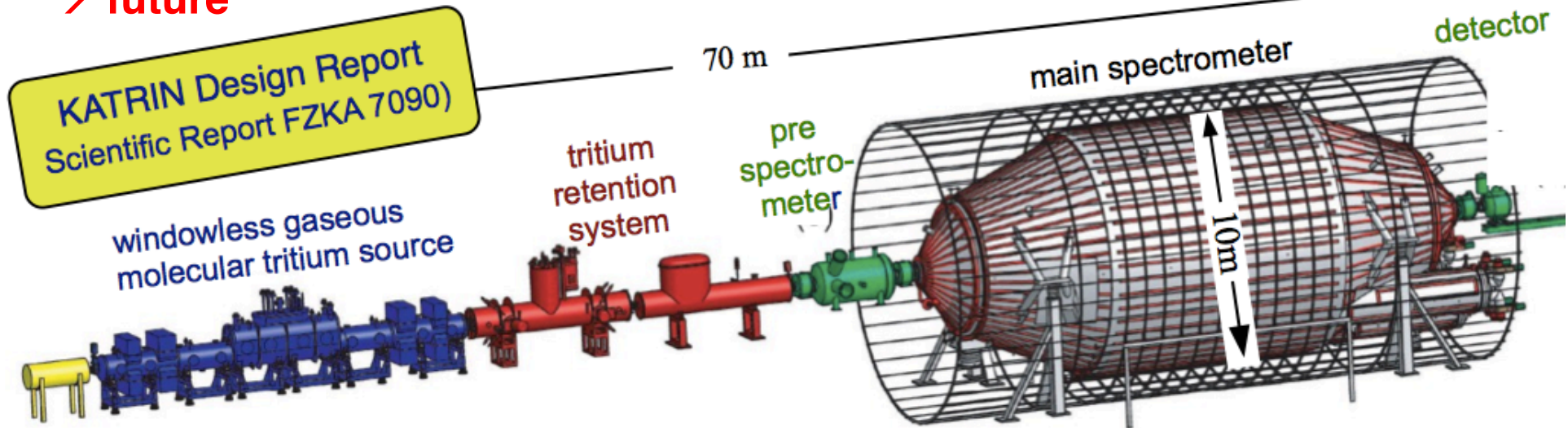
$$m(\nu) < 2.3 \text{ eV (95\% C.L.)}$$

now:

Troitsk:

$$m_{\beta} < 2.05 \text{ eV, 95\% CL}$$

→ future



Weinheimer

Wandkowsky, Fischer

on-going work...

...challenging, but good progress →

potential improvements:

TOF, ... ↔ ...**technological limits**

sensitivity:

$$m_{\nu} < 0.2 \text{ eV (90\%CL)}$$

discovery potential:

$$m_{\nu} = 0.3 \text{ eV (3}\sigma)$$

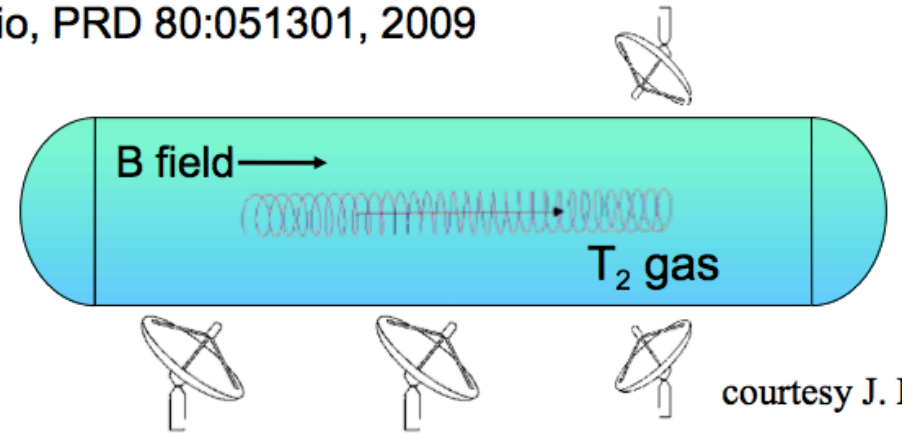
$$m_{\nu} = 0.35 \text{ eV (5}\sigma)$$

Other ideas:

PROJECT-8

General idea:

cyclotron radiation detection



Cryobolometers: β -decay in absorber

MIBETA

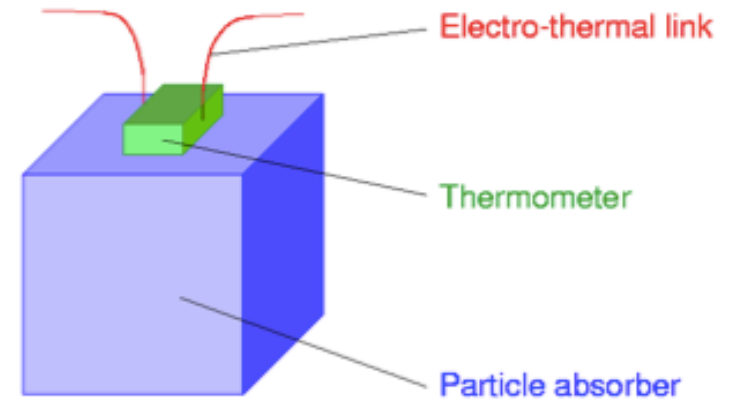
MANU

MARE-1

MARE-2

^{187}Re β -decay

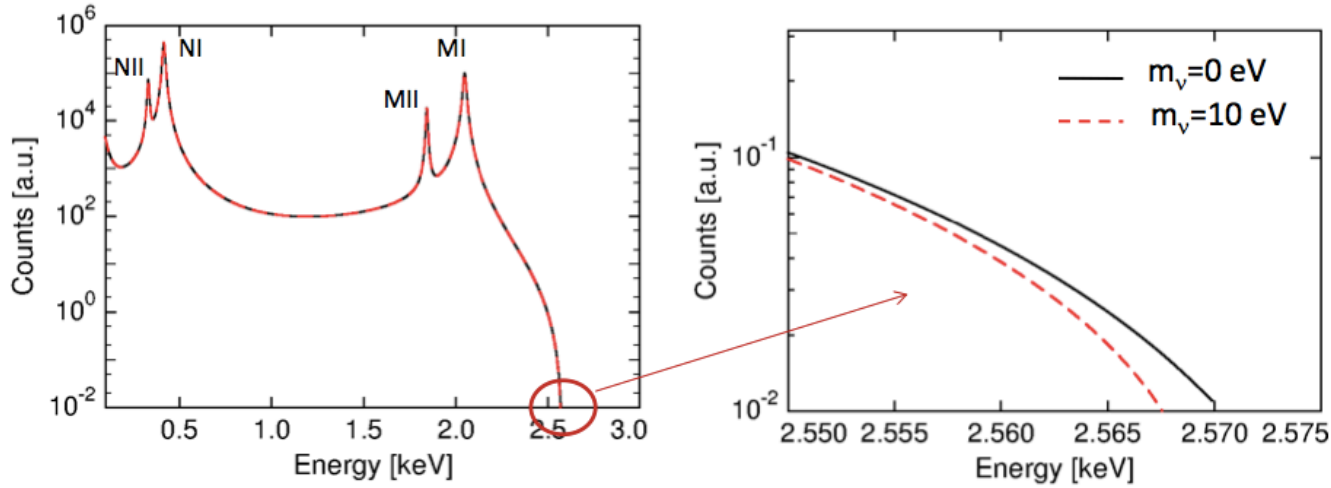
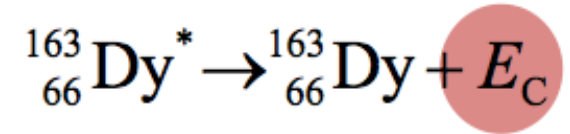
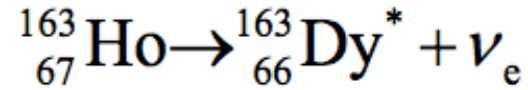
advantages & challenges



$$\Delta T = \Delta E / C$$

Debye:
 $C \sim T^3$

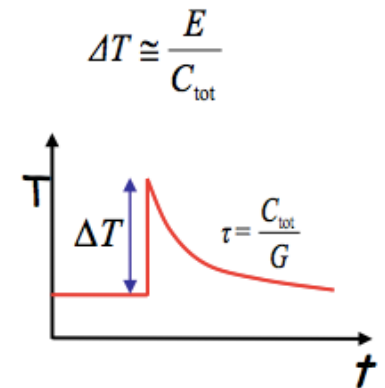
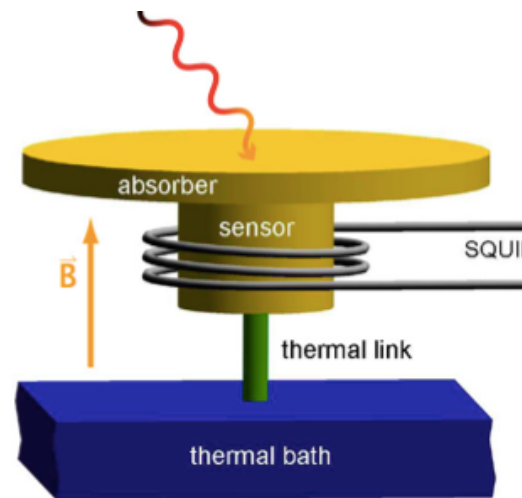
ECHO: Gestaldo ; Chung-On Ranitzsch



Low temperature Metallic Magnetic Calorimeters (MMC)

competitive $\rightarrow \geq 10^5$ detectors
feasible, promising, ...challenging

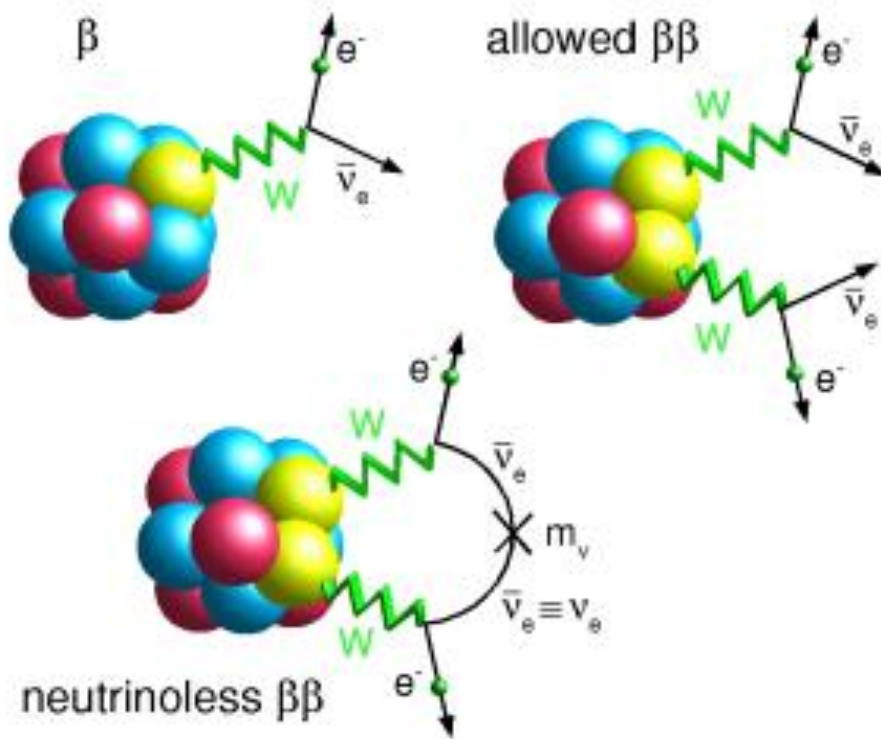
\leftrightarrow backgrounds



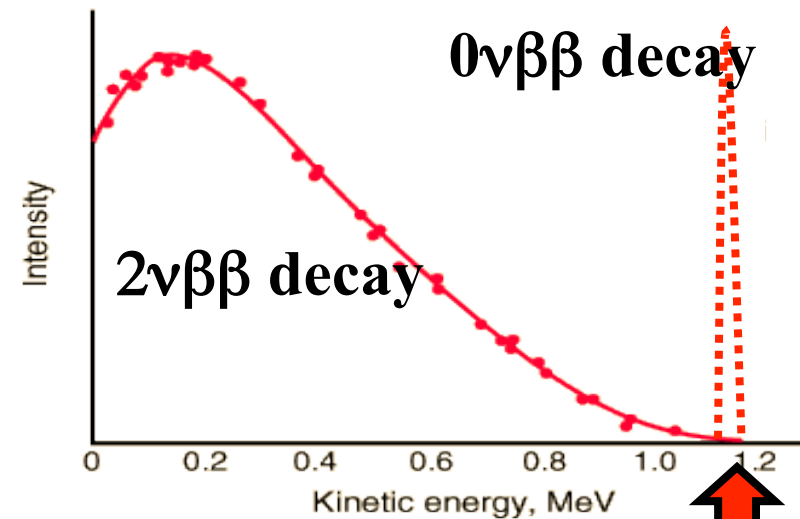
Four Methods of Mass Determination

- **kinematical**
- **lepton number violation**
 ↔ Majorana nature
- **astrophysics & cosmology**
- **oscillations**

$0\nu\beta\beta$ Decay Kinematics



$2\nu\beta\beta$ decay of ^{76}Ge observed:
 $\tau = 1.5 \times 10^{21}$ y



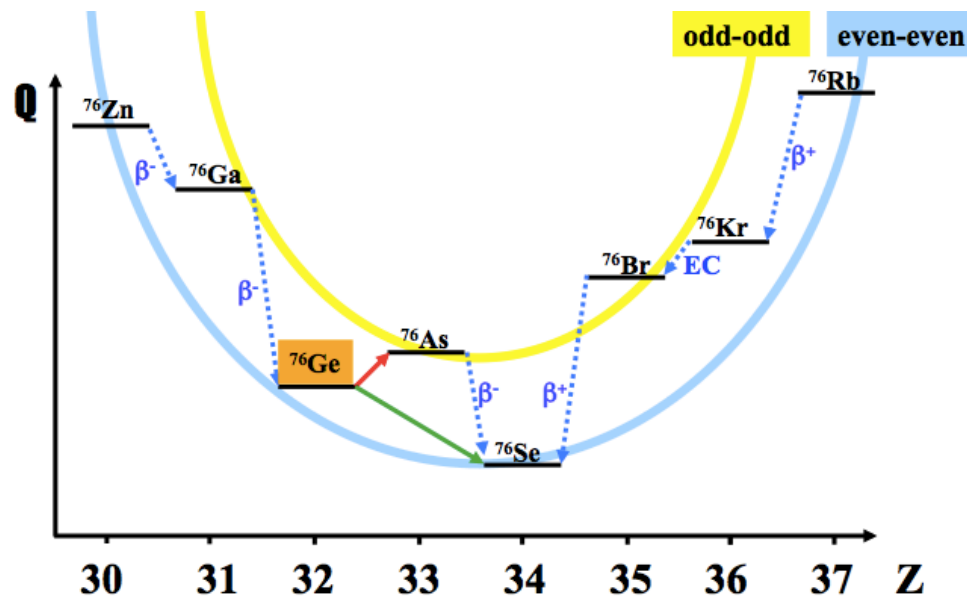
Majorana $\nu \rightarrow 0\nu\beta\beta$ decay

warning:

other lepton number violating processes...

- signal at known Q-value
- backgrounds
- nuclear physics...
→ use different nuclei

$0\nu\beta\beta$ Decay: Isotopes and Experiments



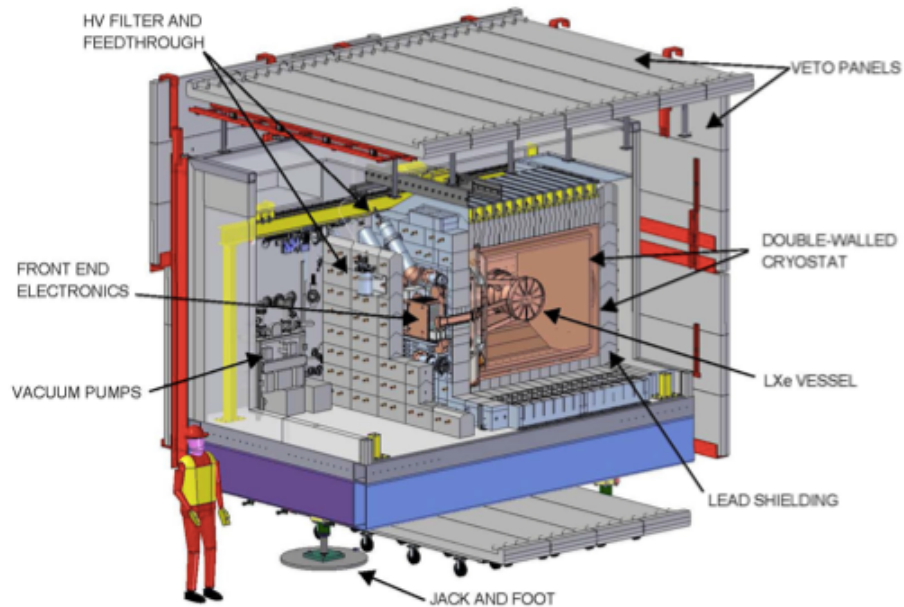
$Q_{\beta\beta}$
 natural abundance
 detector technology
 NMEs ...
 backgrounds
 → we need 2 isotopes

$\beta\beta$ -decay	$G^{0\nu}$ [10^{-14} y^{-1}]	Q [keV]	nat. abund. [%]	experiments
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	6.3	4273.7	0.187	CANDLES
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	0.63	2039.1	7.8	GERDA, Majorana
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	2.7	2995.5	9.2	SuperNEMO, Lucifer
$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$	4.4	3035.0	9.6	MOON, AMoRe
$^{116}\text{Cd} \rightarrow ^{116}\text{Sn}$	4.6	2809	7.6	Cobra
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	4.1	2530.3	34.5	CUORE
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	4.3	2461.9	8.9	EXO, KamLAND-Zen, NEXT, XMASS
$^{150}\text{Nd} \rightarrow ^{150}\text{Sm}$	19.2	3367.3	5.6	SNO+, DCBA/MTD

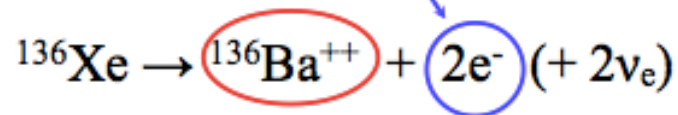
$0\nu\beta\beta$ Experimental Results: EXO

Piepke

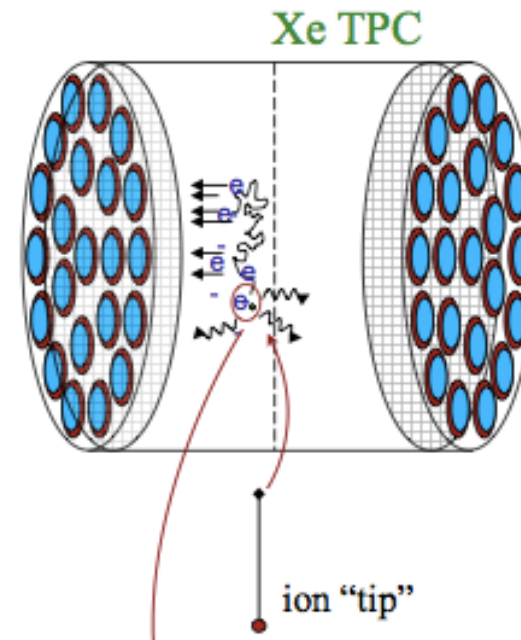
- located @ WIPP, 1585 mwe
- Xe TPC
- use radio-pure materials
- calibration sources
- data taking since May 2011



detect the 2 electrons
(ionization + scintillation in xenon detector)



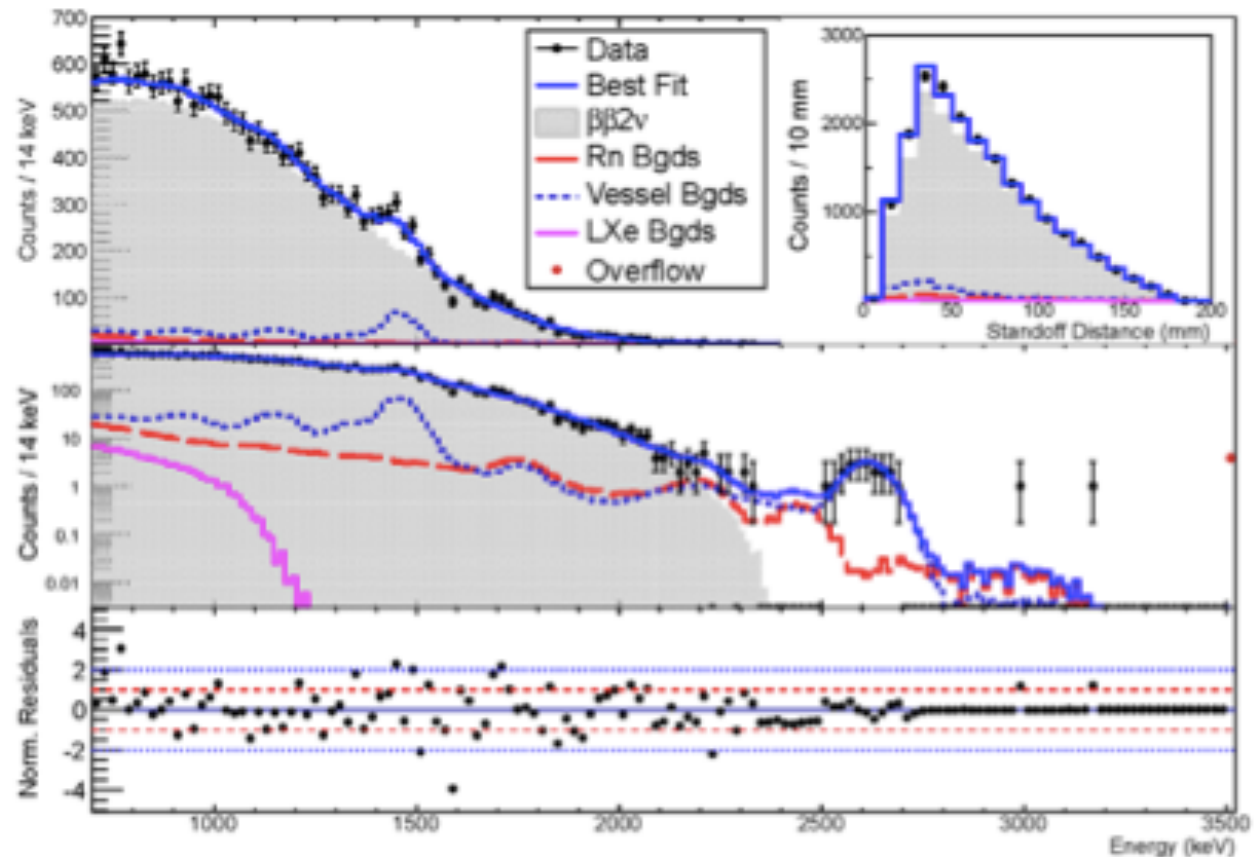
positively identify daughter via



$$\chi^2 / \text{ndf} = 82.5 / 74.5$$

SS event set dominated by point-like β -events. Perform coupled MS and SS data fit to obtain:

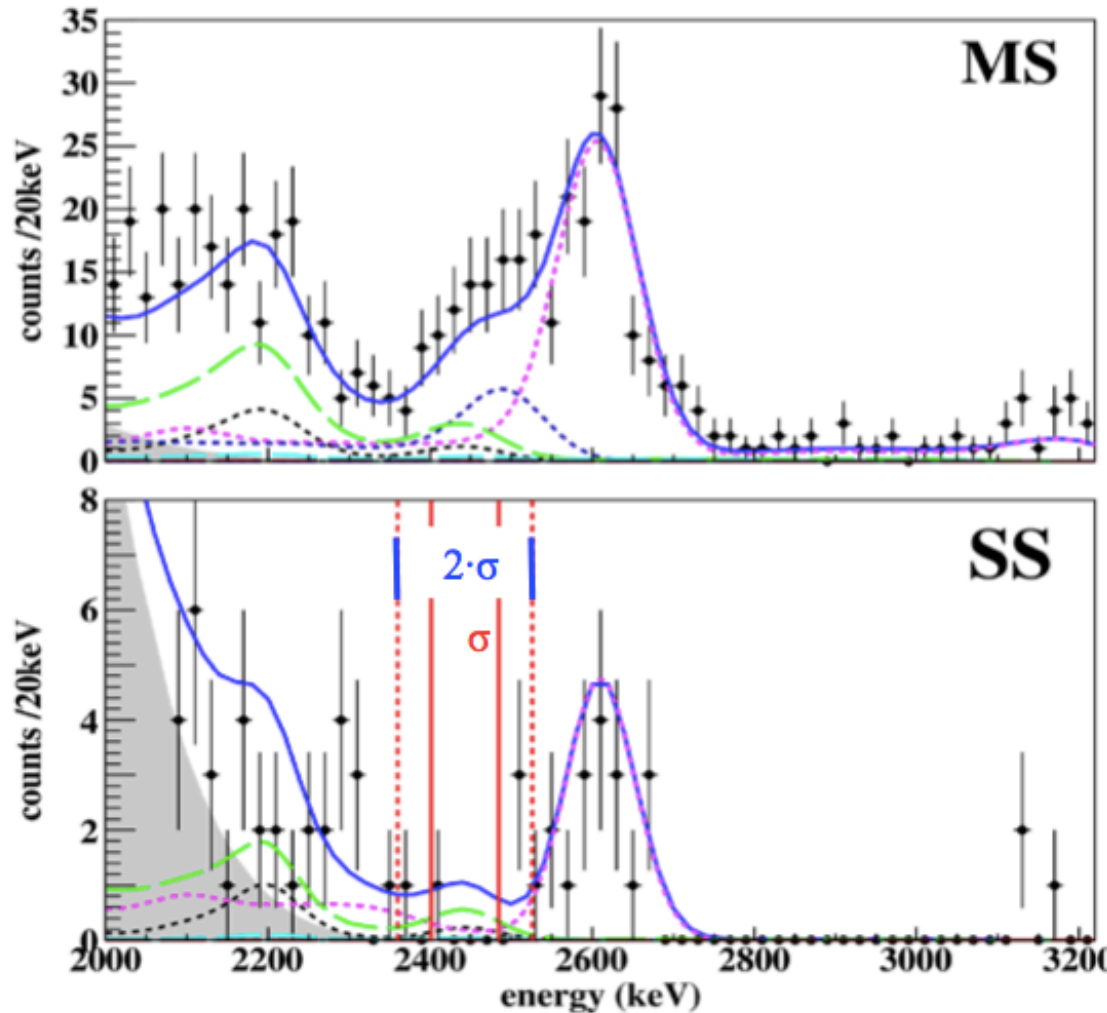
The longest and most precisely measured $2\nu\beta\beta$ -decay half life.



$$T_{1/2}^{2\nu\beta\beta} = \left(2.171 \pm 0.017^{\text{stat}} \pm 0.060^{\text{syst}} \right) \cdot 10^{21} \text{ yr}$$

Smallest and best known $2\nu\beta\beta$ -matrix element: $0.0217 \pm 0.0003 \text{ MeV}^{-1}$.

Low background data: around $Q_{\beta\beta}$



No peak observed at $Q_{\beta\beta}$.

- $\beta\beta 2\nu$
- $\beta\beta 0\nu$ (90% CL Limit)
- ^{40}K LXe Vessel
- ^{54}Mn LXe Vessel
- ^{60}Co LXe Vessel
- ^{65}Zn LXe Vessel
- ^{232}Th LXe Vessel
- ^{238}U LXe Vessel
- ^{135}Xe Active LXe
- ^{222}Rn Active LXe
- ^{222}Rn Inactive LXe
- ^{214}Bi Cathode Surface
- ^{222}Rn Air Gap
- Data
- Total

Use background model to construct a limit for peak at $Q_{\beta\beta}$ via a likelihood ratio hypothesis test.

↔ very good understanding of small (!) backgrounds

Profile likelihood analysis takes into account the peak shape of $0\nu\beta\beta$ signal. More sensitive than window analysis.

We get at 90% CL: $T_{1/2}^{0\nu\beta\beta} > 1.6 \cdot 10^{25} \text{ yr}$

The longest ^{136}Xe $0\nu\beta\beta$ -decay limit comes from the KamLAND-Zen experiment: $> 1.9 \cdot 10^{25} \text{ yr}$.

EXO-200 result translates into a Majorana ν mass limit range:

$\langle m \rangle_{\beta\beta} < 140 - 380 \text{ meV}$ [Auger et al., PRL 109 (2012) 032505]

Outlook:

- Continue data taking
- Demonstrate the technology for a next generation experiment. → nEXO

Run 1 (5/2011-7/2011, 31.36 d, 63 kg (of 110 kg active) Xe, *charge read-out only*): first observation of $2\nu\beta\beta$ -decay of ^{136}Xe

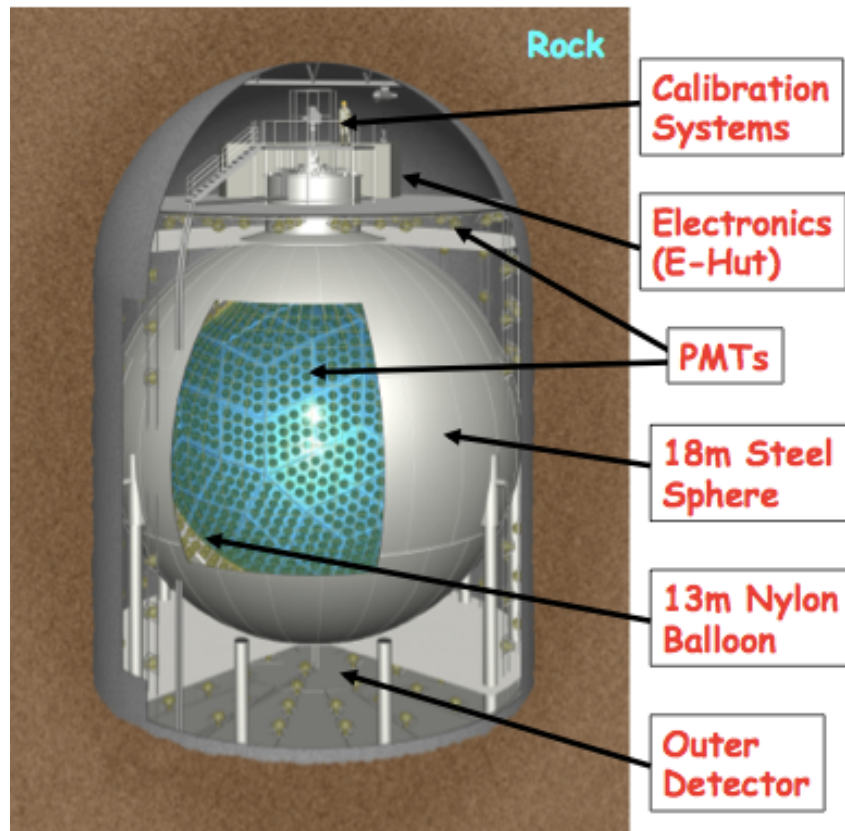
Run 2a (9/2011-4/2012, 120.69 d, 82.1 and 98.5 kg Xe): most accurate measurement of any $2\nu\beta\beta$ -decay rate, one of most stringent limits on $0\nu\beta\beta$ -decay (32.6 kg·yr) and Majorana neutrino mass, challenge of ^{76}Ge evidence.

Run 2 (9/2011-6/2013, 439.6 d, 97.7 kg Xe): 3.6 times exposure compared to 2012 data set. $0\nu\beta\beta$ -analysis not finalized yet.

Run 3 (6/2013...): taking data

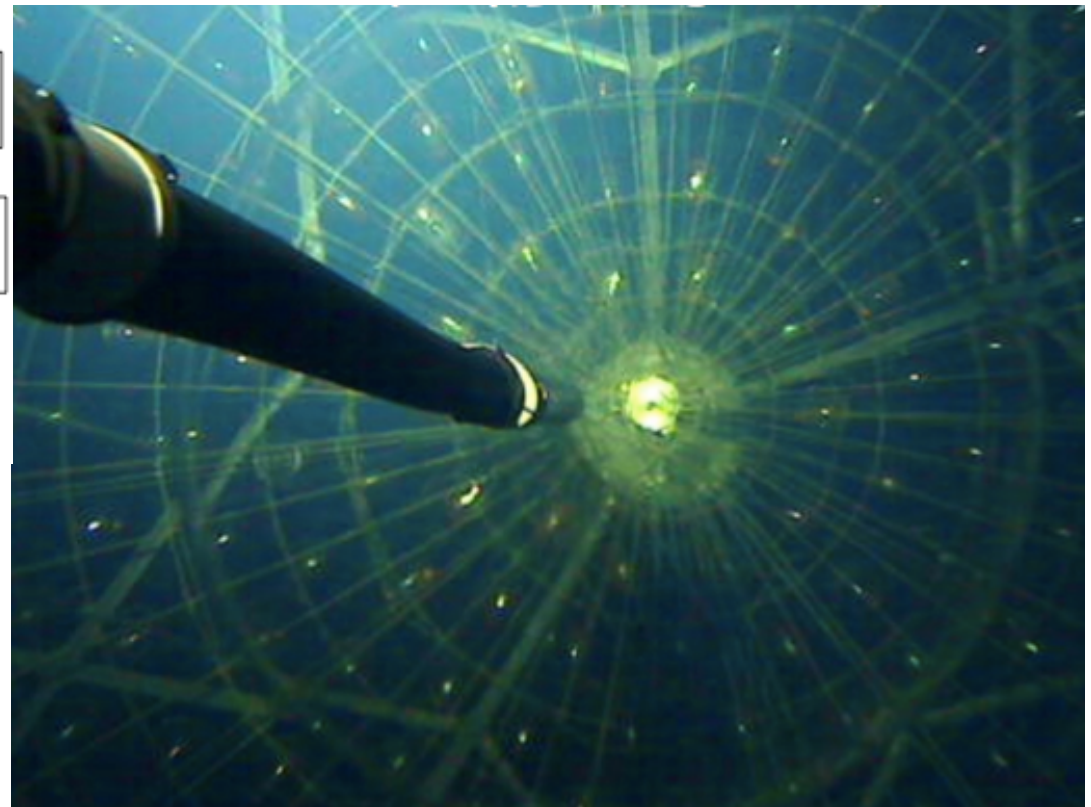
stay tuned...

$0\nu\beta\beta$ Experimental Results: KamLAND-Zen



Berger

Xe loaded liquid scintillator



^{136}Xe $2\nu\beta\beta$ Half Life

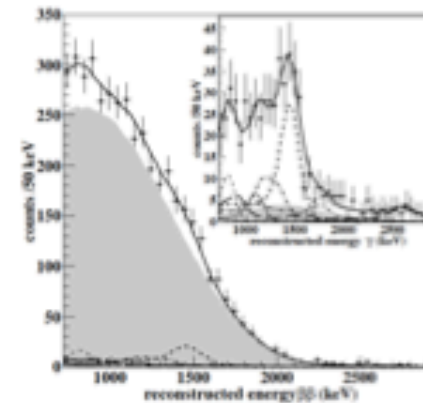


First measured by EXO-200 (2011)

$$T_{1/2}^{2\nu} = 2.11 \pm 0.04 \text{ (stat)} \pm 0.21 \text{ (syst)} \times 20^{21} \text{ yr}$$

PRL 107, 212501 (2011)

-> 5x larger than 2002 DAMA limit

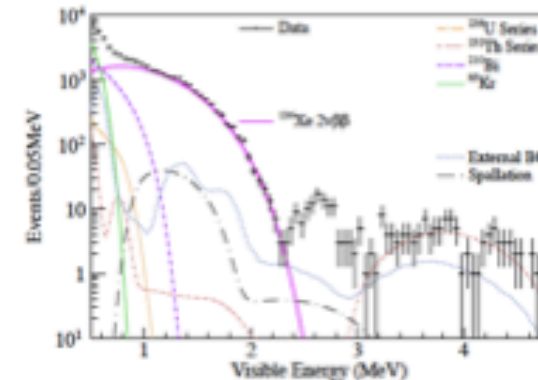


KamLAND-Zen (2012)

$$T_{1/2}^{2\nu} = 2.38 \pm 0.02 \text{ (stat)} \pm 0.14 \text{ (syst)} \times 20^{21} \text{ yr}$$

Phys.Rev.C 85, 045504 (2012)

-> Consistent with EXO-200 result



Current results:

$$\text{KamLAND: } T_{1/2}^{2\nu} = 2.30 \pm 0.02 \text{ (stat)} \pm 0.12 \text{ (syst)} \times 20^{21} \text{ yr}$$

Phys.Rev.C 86, 021601 (2012)

$$\text{EXO-200: } T_{1/2}^{2\nu} = 2.172 \pm 0.017 \text{ (stat)} \pm 0.060 \text{ (syst)} \times 20^{21} \text{ yr}$$

arXiv:1306.6106 (June 25, 2013)

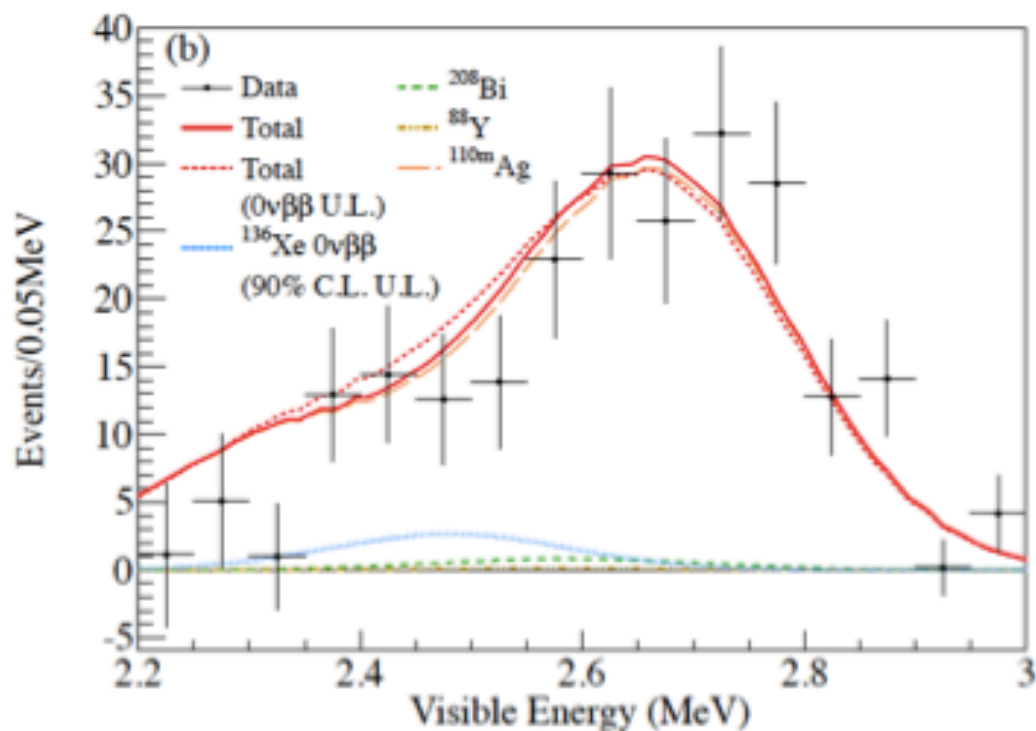
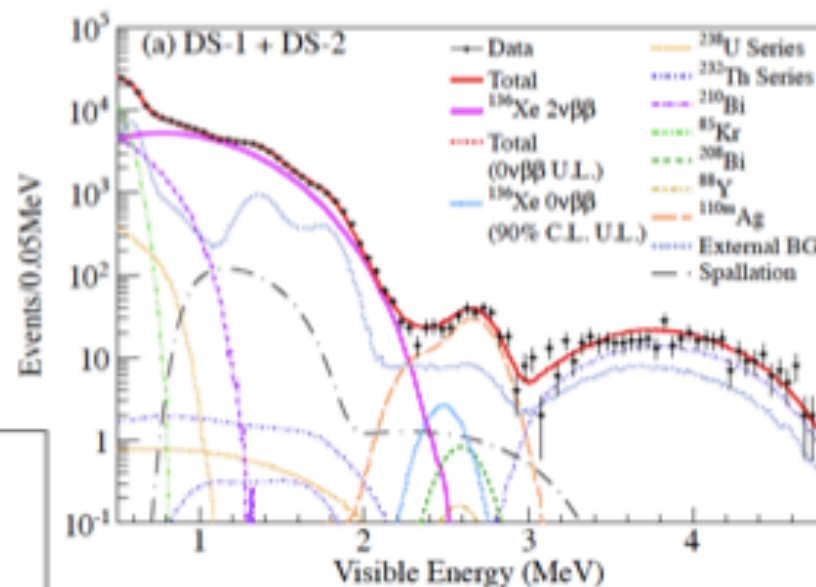


^{136}Xe $0\nu\beta\beta$ Results

Full Phase I data: 213.4 days

90% CL: $T_{1/2} (^{136}\text{Xe } 0\nu\beta\beta) > 1.9 \times 10^{25}$ yr
PRL 110, 062502 (2013)

Note: Sensitivity: 1.0×10^{25} yr



Comparison with ^{76}Ge claim



Comparisons between isotopes are complicated by nuclear matrix element (NME) uncertainties

Plot $T_{1/2}(^{76}\text{Ge})$ vs. $T_{1/2}(^{136}\text{Xe})$:

NME models are diagonal lines, marked by $\langle m_{\beta\beta} \rangle$ in eV

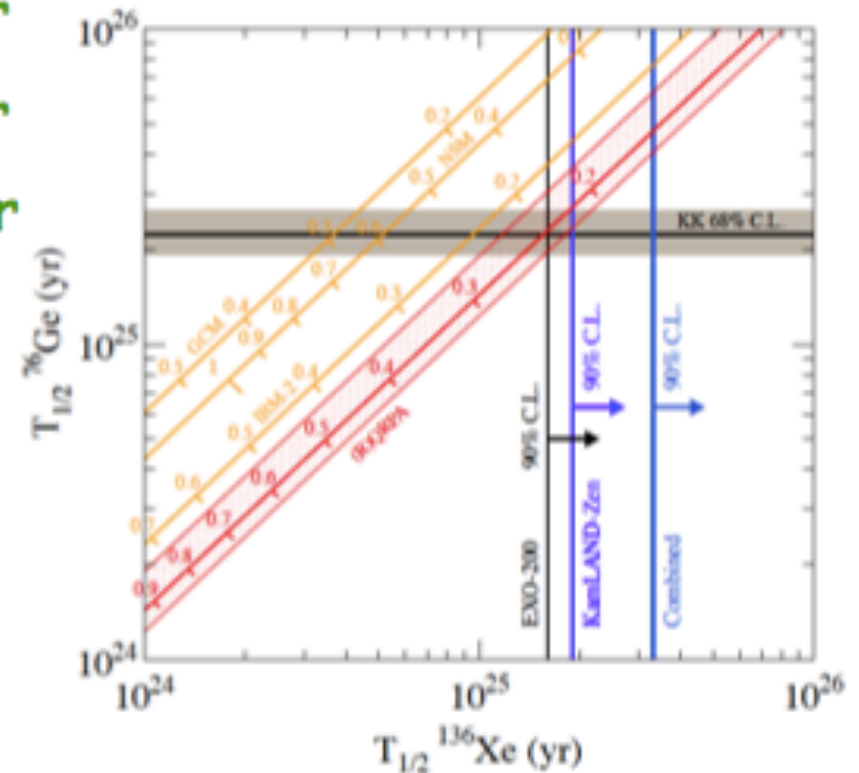
KamLAND-Zen: $T_{1/2}(^{136}\text{Xe}) > 1.9 \times 10^{25}$ yr

EXO-200: $T_{1/2}(^{136}\text{Xe}) > 1.6 \times 10^{25}$ yr

Combined: $T_{1/2}(^{136}\text{Xe}) > 3.4 \times 10^{25}$ yr

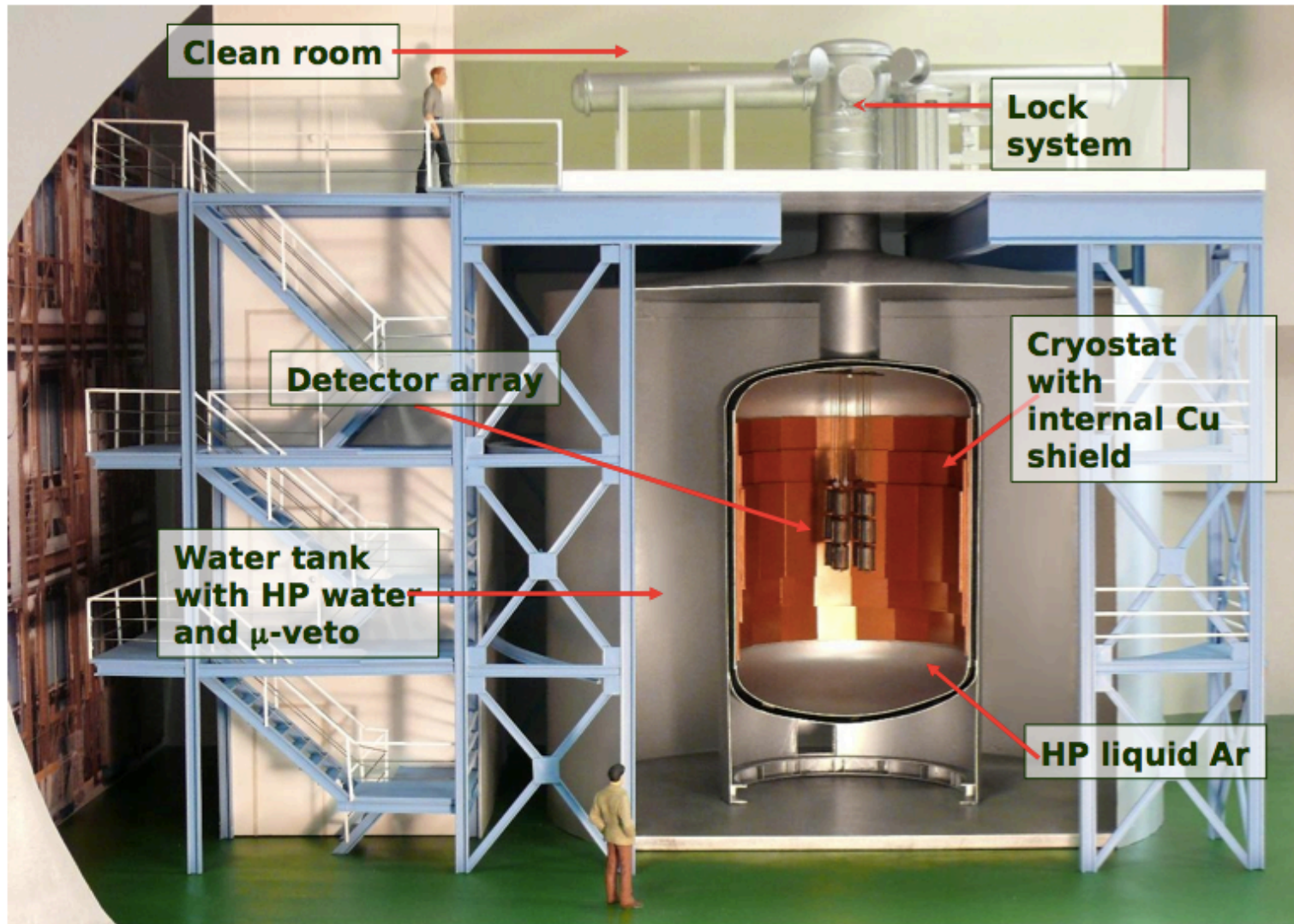
(Sensitivity: 1.6×10^{25} yr)

-> Incompatible with KK 2006 claim at 97.5% CL

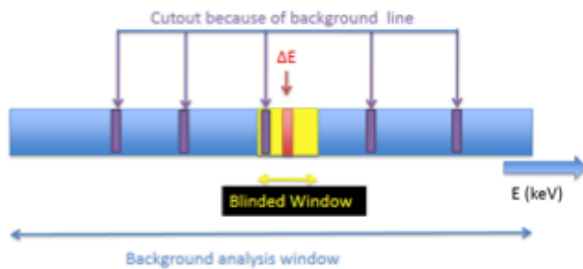


$0\nu\beta\beta$ Experimental Results: GERDA

Grabmayr

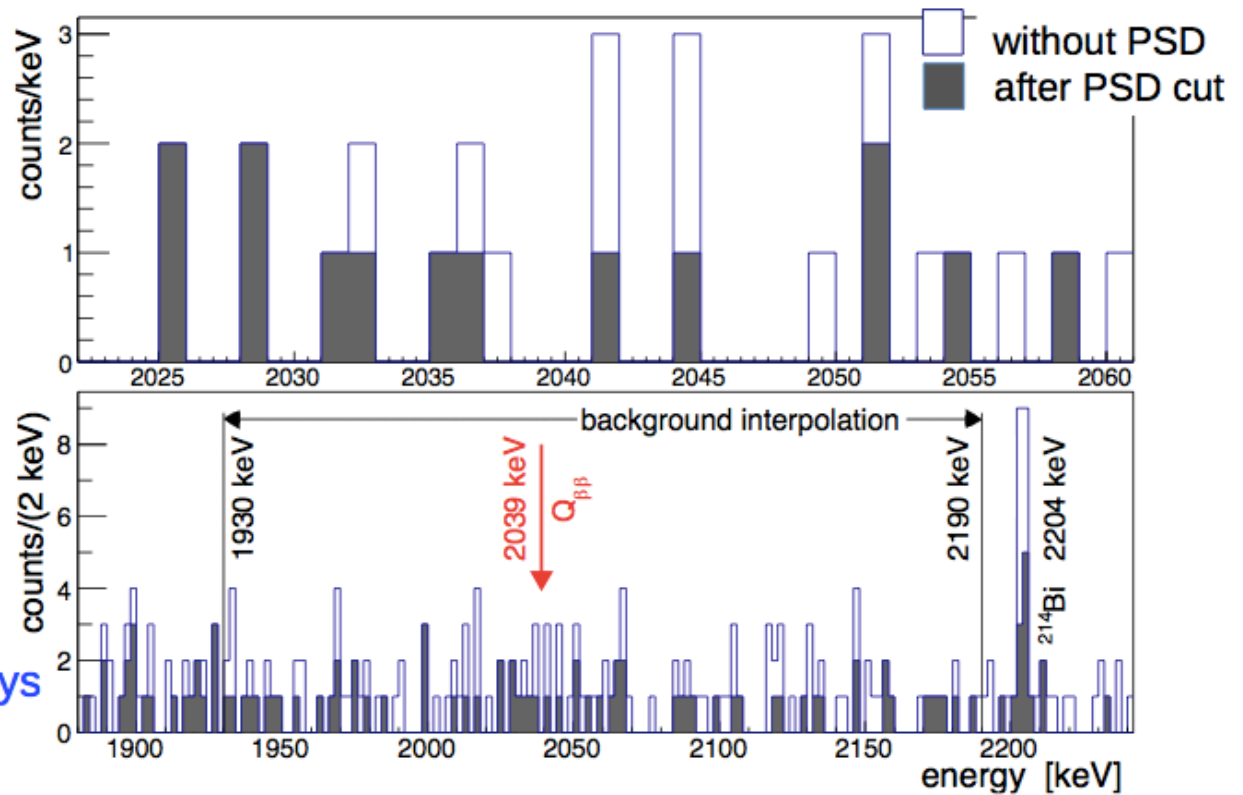


unblinding



calibration & stability
 data sets defined
 background model
 PSD parameters fixed
 analysis methods defined

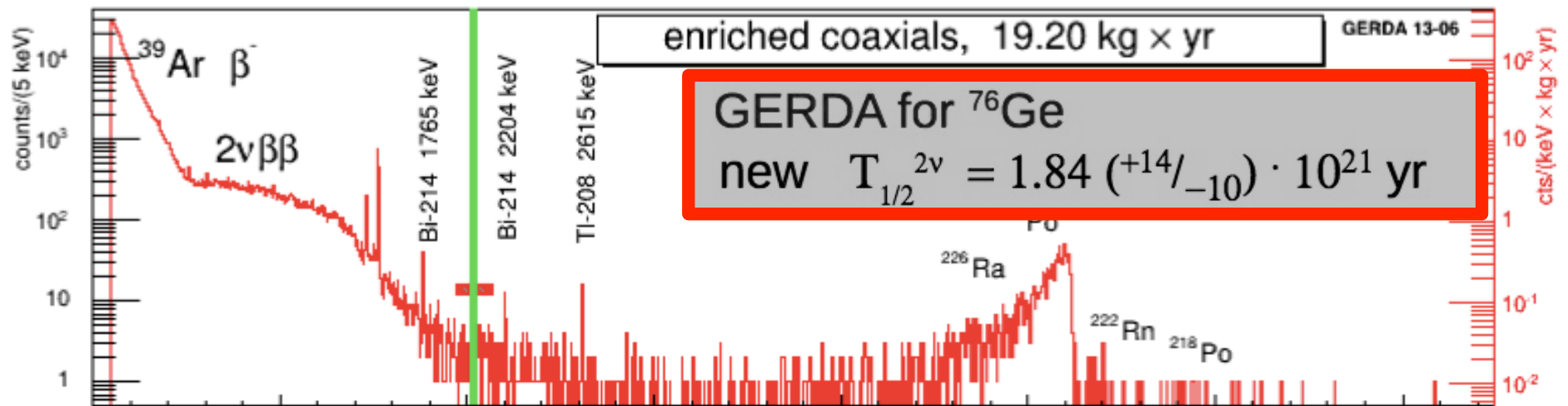
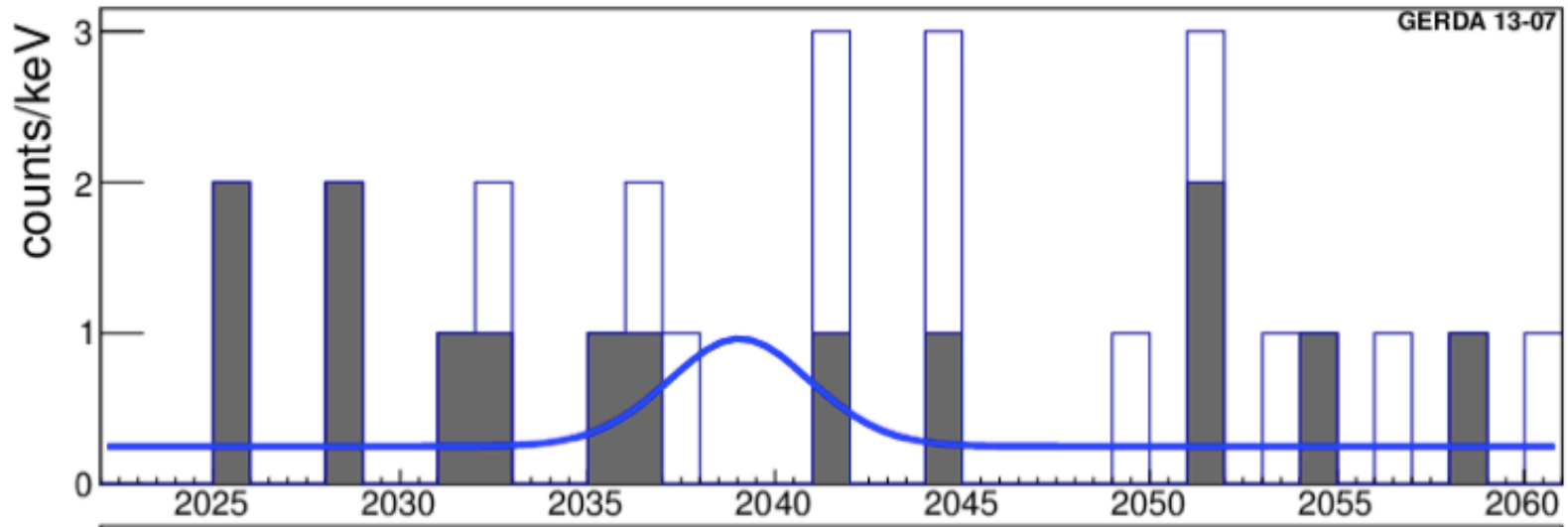
whole collaboration during 4 days
 unblinding of final ± 5 keV



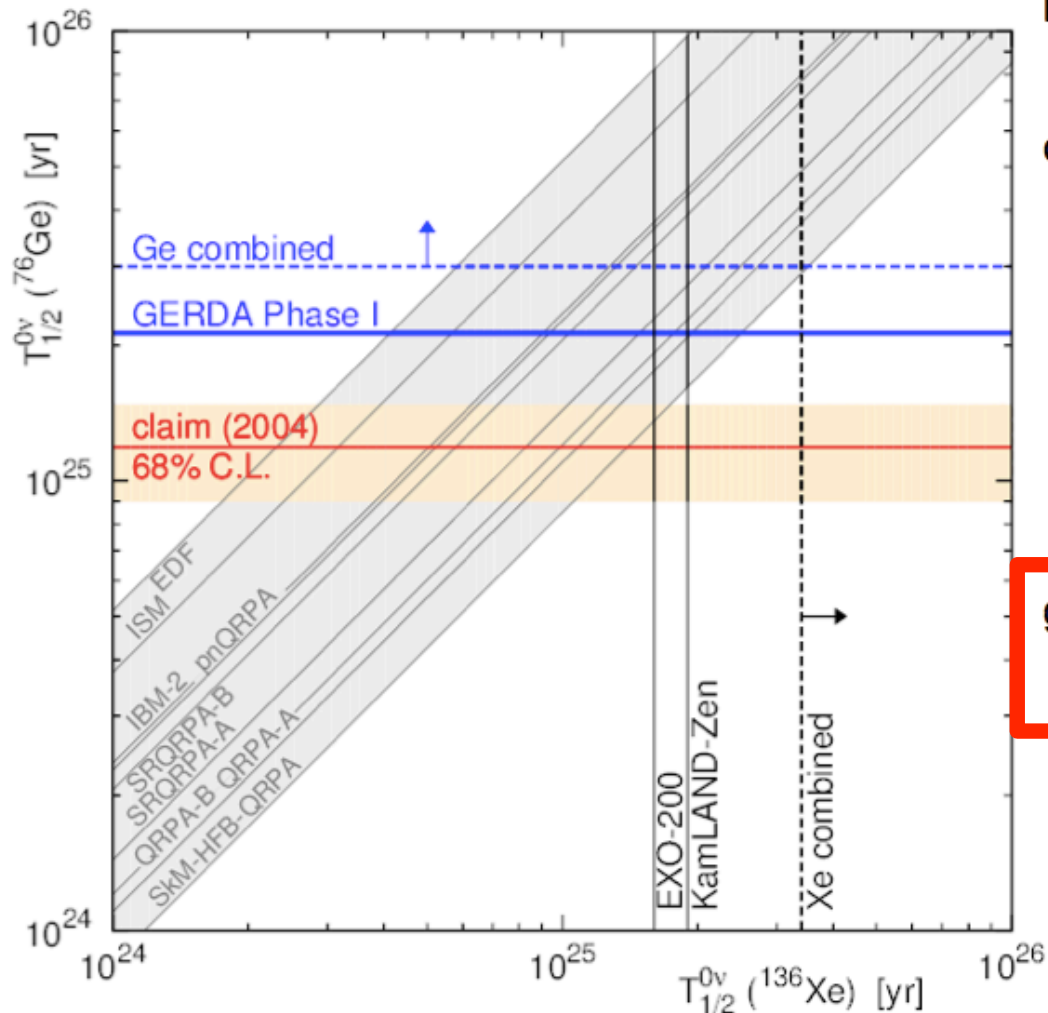
evt cnt in ± 5 keV	golden	silver	BEGe	total
expt. w/o PSD	3.3	0.8	1.0	5.1
obs. w/o PSD	5	1	1	7
expt. w/ PSD	2.0	0.4	0.1	2.5
obs w/ PSD	2	1	0	3

no peak in spectrum at $Q_{\beta\beta}$,
 event count consistent with bkg,
 → GERDA sets a limit

frequentist: profile likelihood fit → best fit $N^{0\nu}=0$, $T_{1/2}^{0\nu} > 2.1 \cdot 10^{25}$ yr (90% C.L.)



Comparison



include HdM & IGEX

model free: no NME needed

compare to Xe:

NME needed, which ?

smallest NME ratio $^{136}\text{Xe}/^{76}\text{Ge} \sim 0.4$

⇒ weakest exclusion

gives total Bayes factor $H1/H0 = 0.0022$

→ claim of ^{76}Ge signal is strongly disfavored

A. Wegmann

Future: Transition to phase II:

- inspection & re-filling of WT
- add BEGe's → $\sim x2$ of ^{76}Ge
- light instrumentation
- → more mass, less BI, ...

Gerda: tests Ge claim with Ge

NME's: Relating Lifetimes & Neutrino Masses

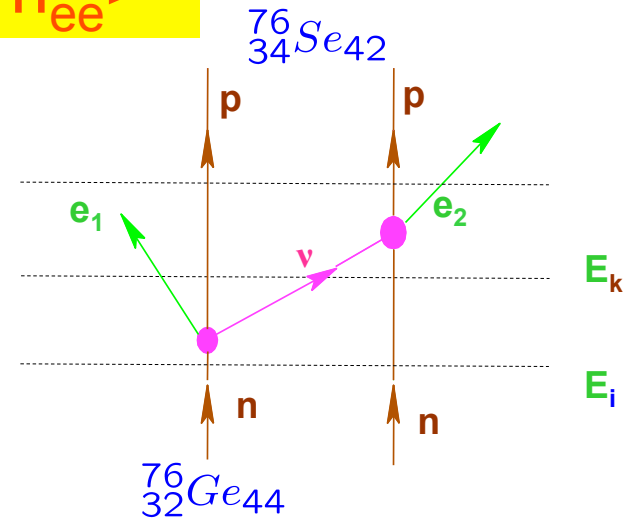
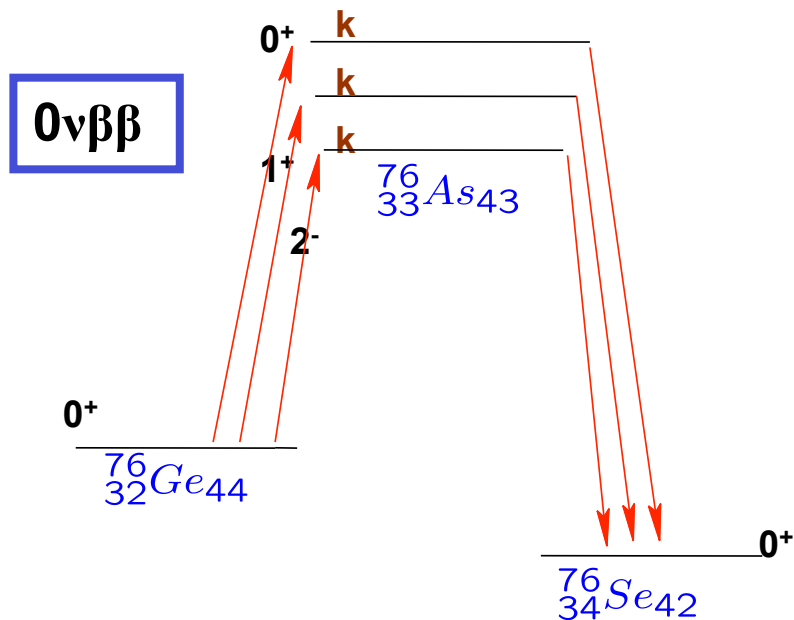
Simkovic
Suhonen
Fang

rate of $0\nu\beta\beta$ $1/\tau = G(Q,Z) |M_{\text{nucl}}|^2 \langle m_{ee} \rangle^2$

phase space nuclear matrix elements effective Majorana neutrino mass

nuclear matrix elements:

→ virtual excitations of intermediate states



in recent years:

good progress in TH errors

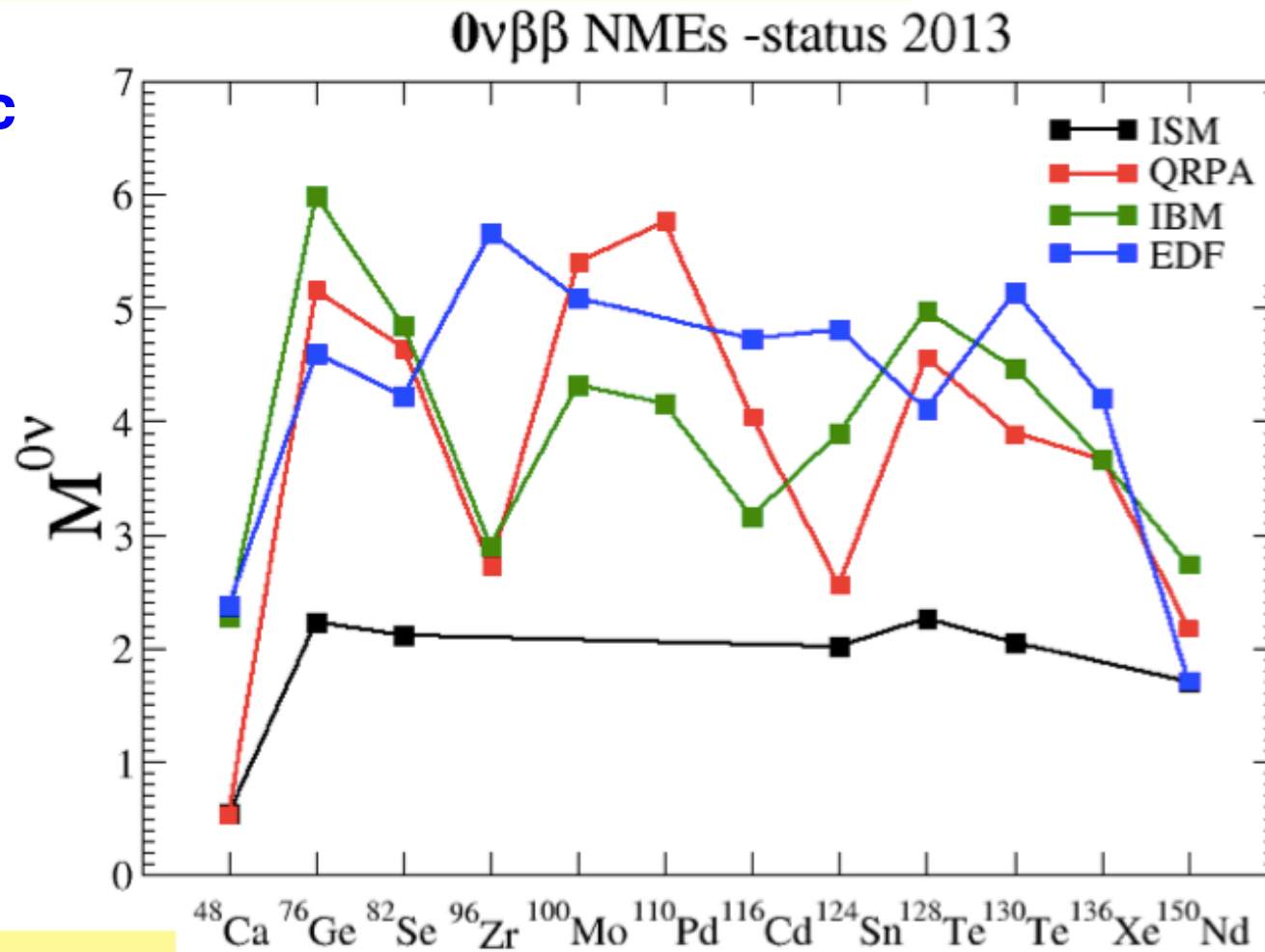
- reduced uncertainties
- which NME is correct?
- what is a 1σ theory error?

The $0\nu\beta\beta$ -decay NMEs (Status:2013)

Nobody is perfect:

$g_A=1.25(7)$, CCm or UCOM s.r.c., $r_0=1.20$ fm

Simkovic



Differences:

- i) mean field;
- ii) residual int.;
- iii) size of the m.s.
- iv) many-body appr.

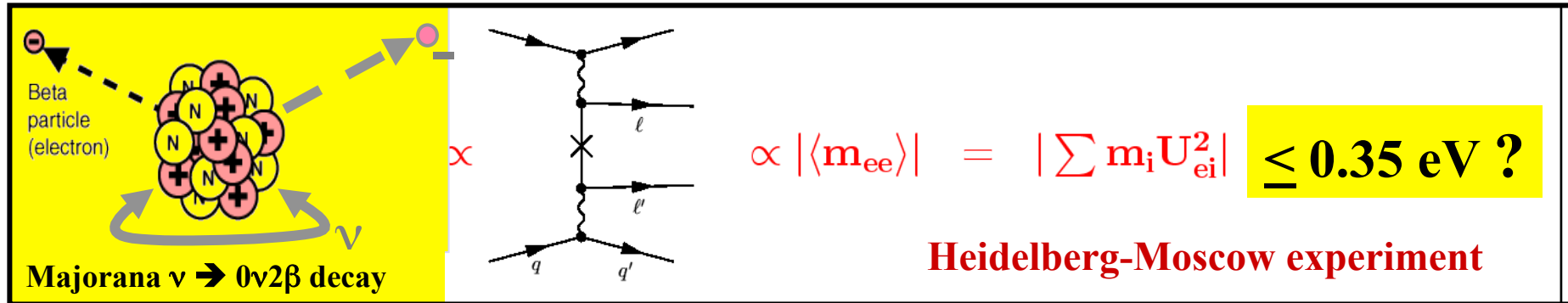
LSSM (small m.s., negative parity states)

PHFB (GT force neglected)

IBM (Hamiltonian truncated)

(R)QRPA (g.s. correlations not accurate enough)

m_{ee} : The Effective Neutrino Mass



The diagram on the left shows a nucleus with neutrons (N) and protons (p) undergoing a $0\nu 2\beta$ decay. A beta particle (electron) is emitted, and a Majorana neutrino $\bar{\nu}$ is exchanged between the two nucleons. The Feynman diagram on the right shows a quark q and antiquark q' line with a lepton ℓ and antilepton ℓ' line, connected by a Majorana neutrino exchange (indicated by a cross on the internal line).

$\propto |\langle m_{ee} \rangle| = |\sum m_i U_{ei}^2| \leq 0.35 \text{ eV ?}$

Heidelberg-Moscow experiment

$$m_{ee} = |m_{ee}^{(1)}| + |m_{ee}^{(2)}| \cdot e^{i\Phi_2} + |m_{ee}^{(3)}| \cdot e^{i\Phi_3}$$

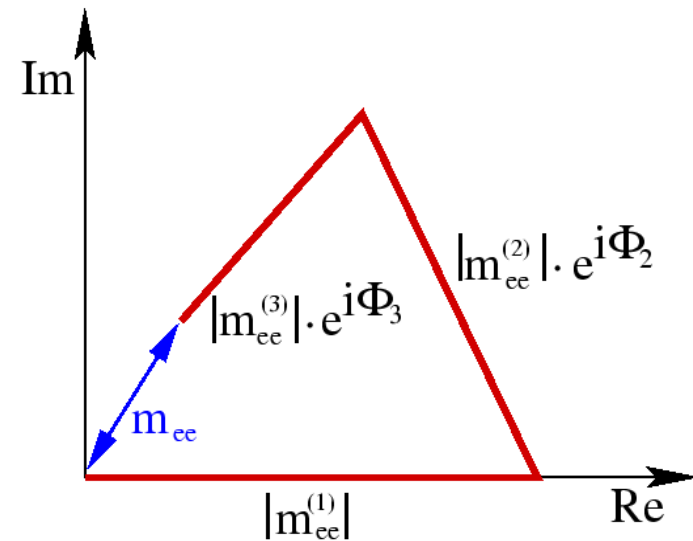
$$|m_{ee}^{(1)}| = |U_{e1}|^2 m_1$$

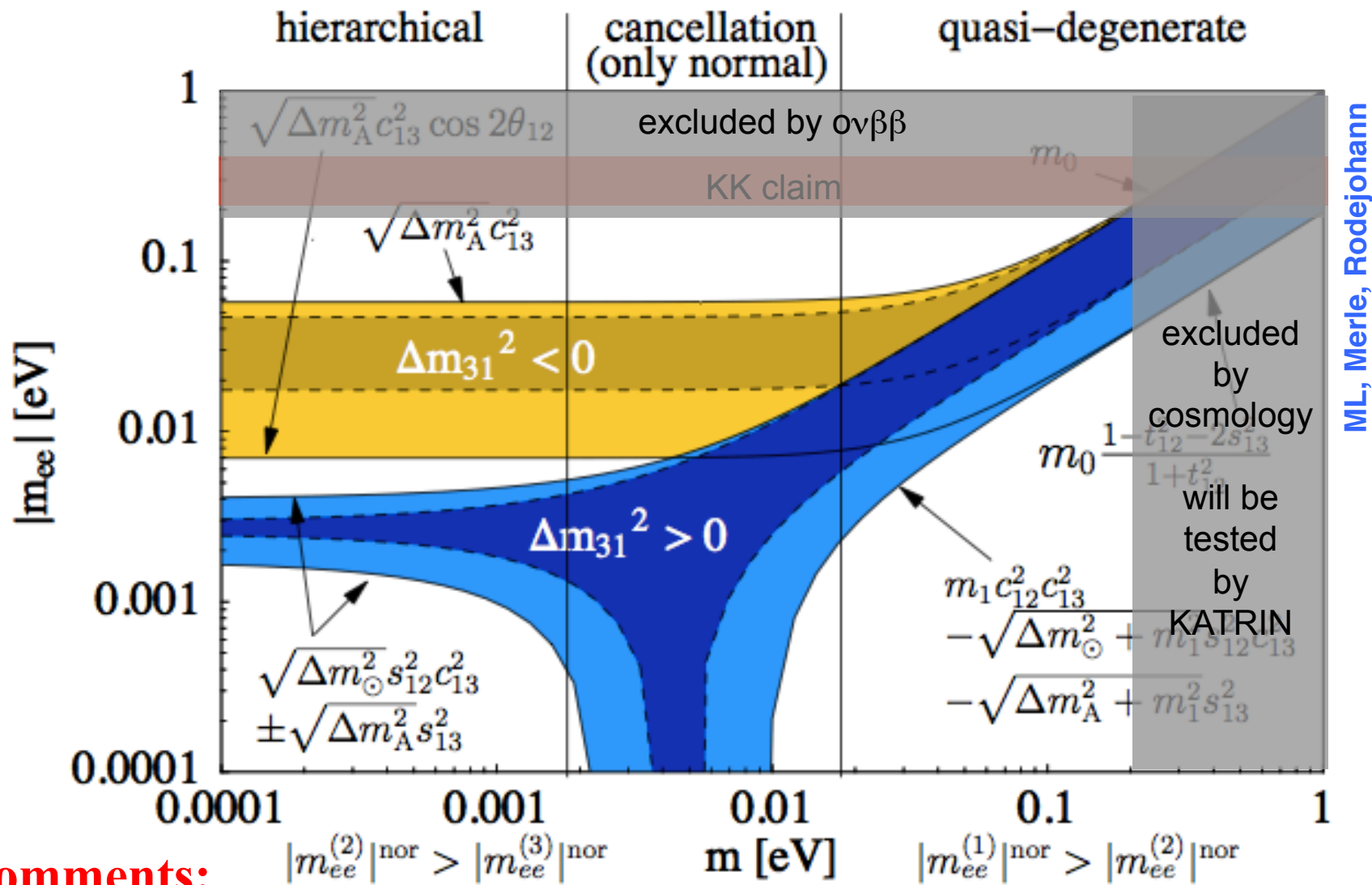
$$|m_{ee}^{(2)}| = |U_{e2}|^2 \sqrt{m_1^2 + \Delta m_{21}^2}$$

$$|m_{ee}^{(3)}| = |U_{e3}|^2 \sqrt{m_1^2 + \Delta m_{31}^2}$$

solar $\Rightarrow |U_{e1}|^2, |U_{e2}|^2, \Delta m_{21}^2$
 atmosph. $\Rightarrow |\Delta m_{31}^2|$
 CHOOZ $\Rightarrow |U_{e3}|^2 < 0.05$

\rightarrow free parameters: $m_1, \text{sign}(\Delta m_{31}^2), \text{CP-phases } \Phi_2, \Phi_3$



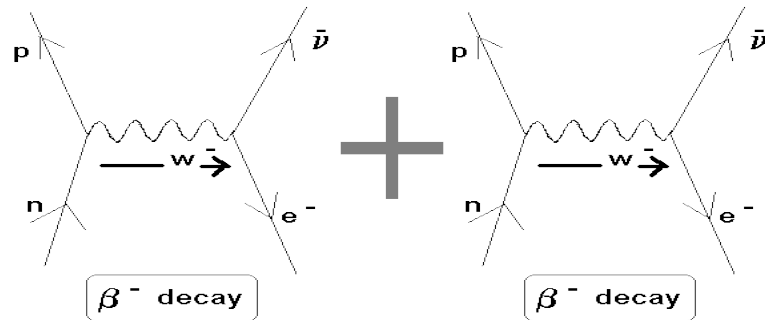


Comments:

- cosmology: further improvements \leftrightarrow systematical errors
- NMEs \rightarrow unavoidable **theory** error in m_{ee}
- assumptions: no *other* $\Delta L=2$ physics, no sterile neutrinos, ...

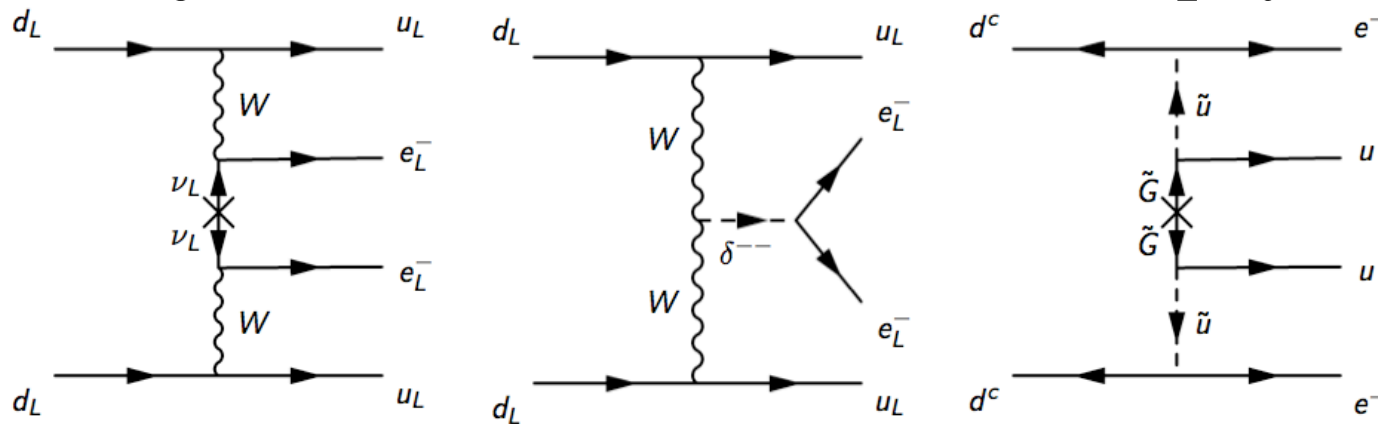
Double Beta Decay Processes

Standard Model:



→ 2 electrons + 2 neutrinos

Majorana ν -masses or other $\Delta L=2$ physics: → 2 electrons



Simkovic
Krivoruchenko

+interferences

Majorana
neutrino masses
↔ Dirac?

SM + Higgs triplet SUSY

important connections to LHC and LFV ...

Two non-interfering mechanisms of the $0\nu\beta\beta$ -decay (light LH and heavy RH neutrino exchange)

Simkovic

$$T_{1/2}^{0\nu}(^{76}\text{Ge}) \geq 1.9 \times 10^{25} \text{y}, \quad T_{1/2}^{0\nu}(^{76}\text{Ge}) = 2.23_{-0.31}^{+0.44} \times 10^{25} \text{y}$$

$$5.8 \times 10^{23} \text{y} \leq T_{1/2}^{0\nu}(^{100}\text{Mo}) \leq 5.8 \times 10^{24} \text{y}, \quad 3.0 \times 10^{24} \text{y} \leq T_{1/2}^{0\nu}(^{130}\text{Te}) \leq 3.0 \times 10^{25} \text{y}$$

Half-life:

$$\frac{1}{T_{1/2,i}^{0\nu} G_i^{0\nu}(E, Z)} \cong |\eta_\nu|^2 |M'_{i,\nu}|^2 + |\eta_R|^2 |M'_{i,N}|^2$$

$$\eta_\nu = \frac{m_{\beta\beta}}{m_e}$$

Set of equations:

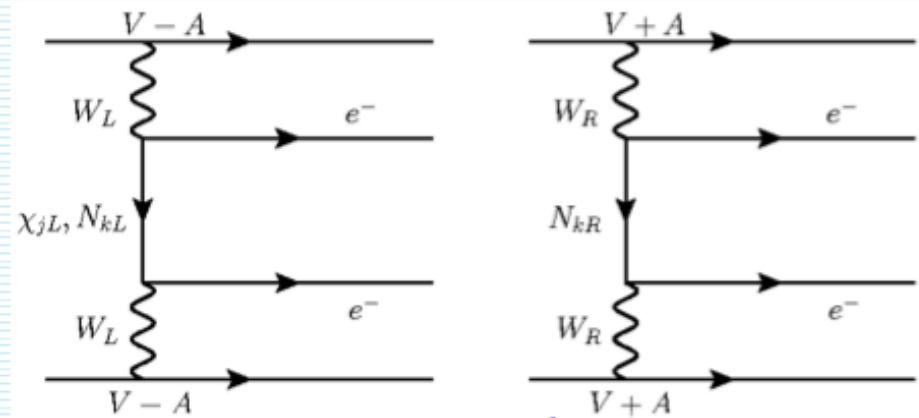
$$\frac{1}{T_1 G_1} = |\eta_\nu|^2 |M'_{1,\nu}|^2 + |\eta_R|^2 |M'_{1,N}|^2$$

$$\frac{1}{T_2 G_2} = |\eta_\nu|^2 |M'_{2,\nu}|^2 + |\eta_R|^2 |M'_{2,N}|^2$$

Solutions:

$$|\eta_\nu|^2 = \frac{|M'_{2,N}|^2/T_1 G_1 - |M'_{1,N}|^2/T_2 G_2}{|M'_{1,\nu}|^2 |M'_{2,N}|^2 - |M'_{1,N}|^2 |M'_{2,\nu}|^2}$$

$$|\eta_R|^2 = \frac{|M'_{1,\nu}|^2/T_2 G_2 - |M'_{2,\nu}|^2/T_1 G_1}{|M'_{1,\nu}|^2 |M'_{2,N}|^2 - |M'_{1,N}|^2 |M'_{2,\nu}|^2}$$



$$\eta_N^R = \left(\frac{M_W}{M_{WR}} \right)^4 \sum_k^{\text{heavy}} V_{ek}^2 \frac{m_p}{M_k}$$

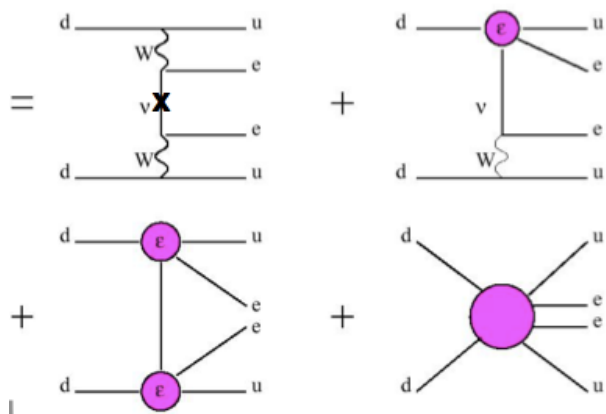
A. Faessler, A. Meroni, S.T. Petcov, F. Š., J.D. Vergados,
Phys. Rev. D 83, 113003 (2011); JHEP 1302, 025 (2013)

Interferences in $0\nu\beta\beta$ Decays

Usually

$$\left(T_{1/2}^{0\nu}\right)^{-1} = \left(\frac{|m_{0\nu\beta\beta}|}{m_e}\right)^2 |\mathcal{M}^{0\nu}|^2 G^{0\nu}.$$

with interferences



$$\begin{aligned} \left(T_{1/2}^{0\nu}\right)^{-1} &= |m_{0\nu\beta\beta}\mathcal{M}^{0\nu} + \epsilon m_e \mathcal{M}^\epsilon|^2 \frac{G^{\text{int}}}{m_e^2} \\ &= |(m_{0\nu\beta\beta} + \epsilon m_e \mathcal{M}^\epsilon (\mathcal{M}^{0\nu})^{-1}) \mathcal{M}^{0\nu}|^2 \frac{G^{\text{int}}}{m_e^2} \\ &= |m_{0\nu\beta\beta}^{\text{int}}|^2 |\mathcal{M}^{0\nu}|^2 \frac{G^{\text{int}}}{m_e^2}, \end{aligned}$$

G^{int}

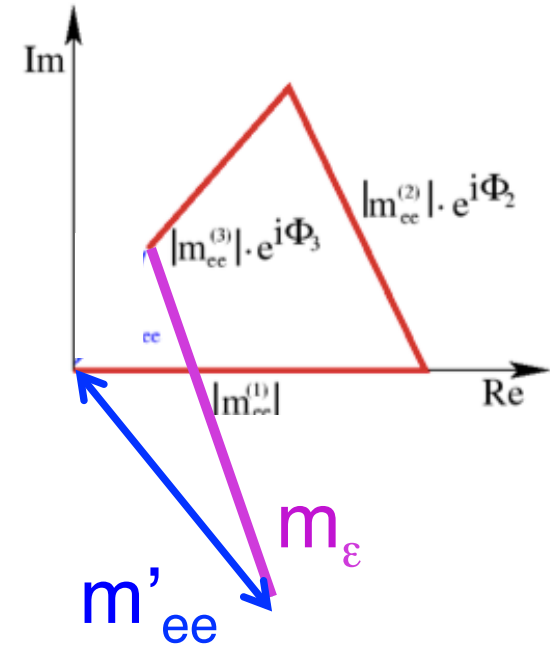
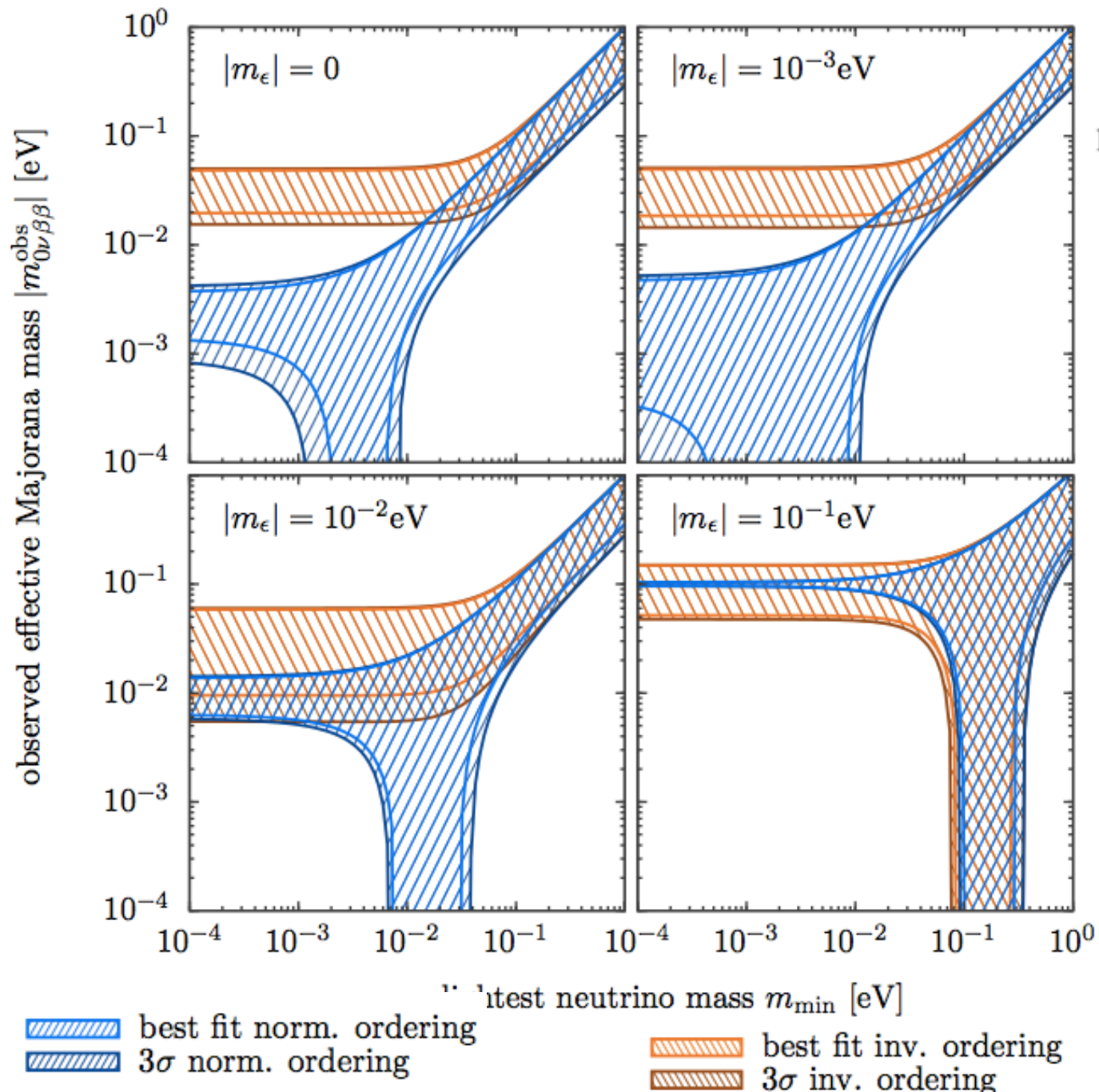
= overall phase space factor

$\epsilon m_e \mathcal{M}^\epsilon$

↔ determined by parameters of new physics

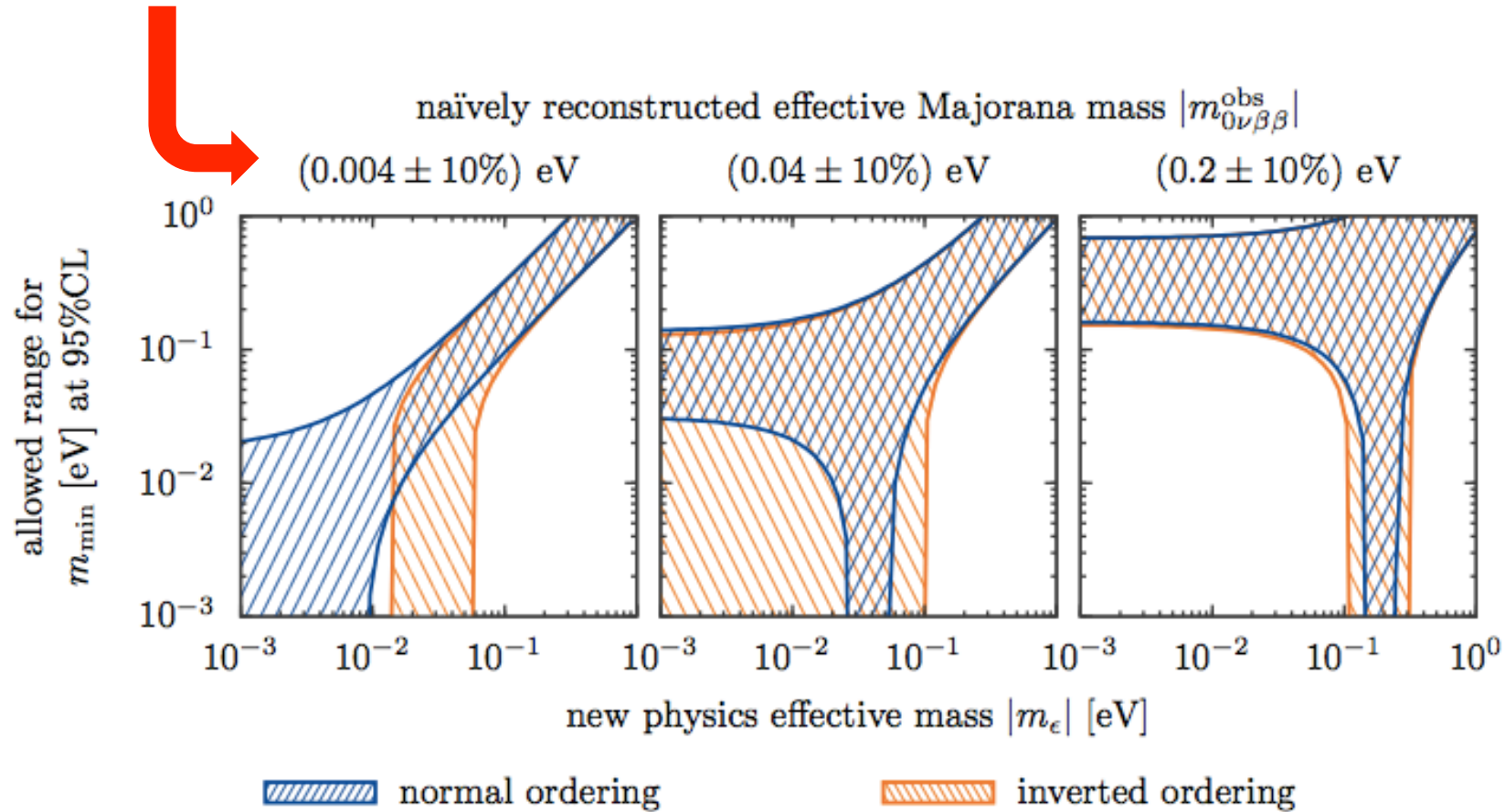
$$m_{0\nu\beta\beta}^{\text{int}} \equiv m_{0\nu\beta\beta} + \epsilon m_e \mathcal{M}^\epsilon (\mathcal{M}^{0\nu})^{-1} \equiv m_{0\nu\beta\beta} + m_\epsilon.$$

Dürr, ML, Neuenfeld

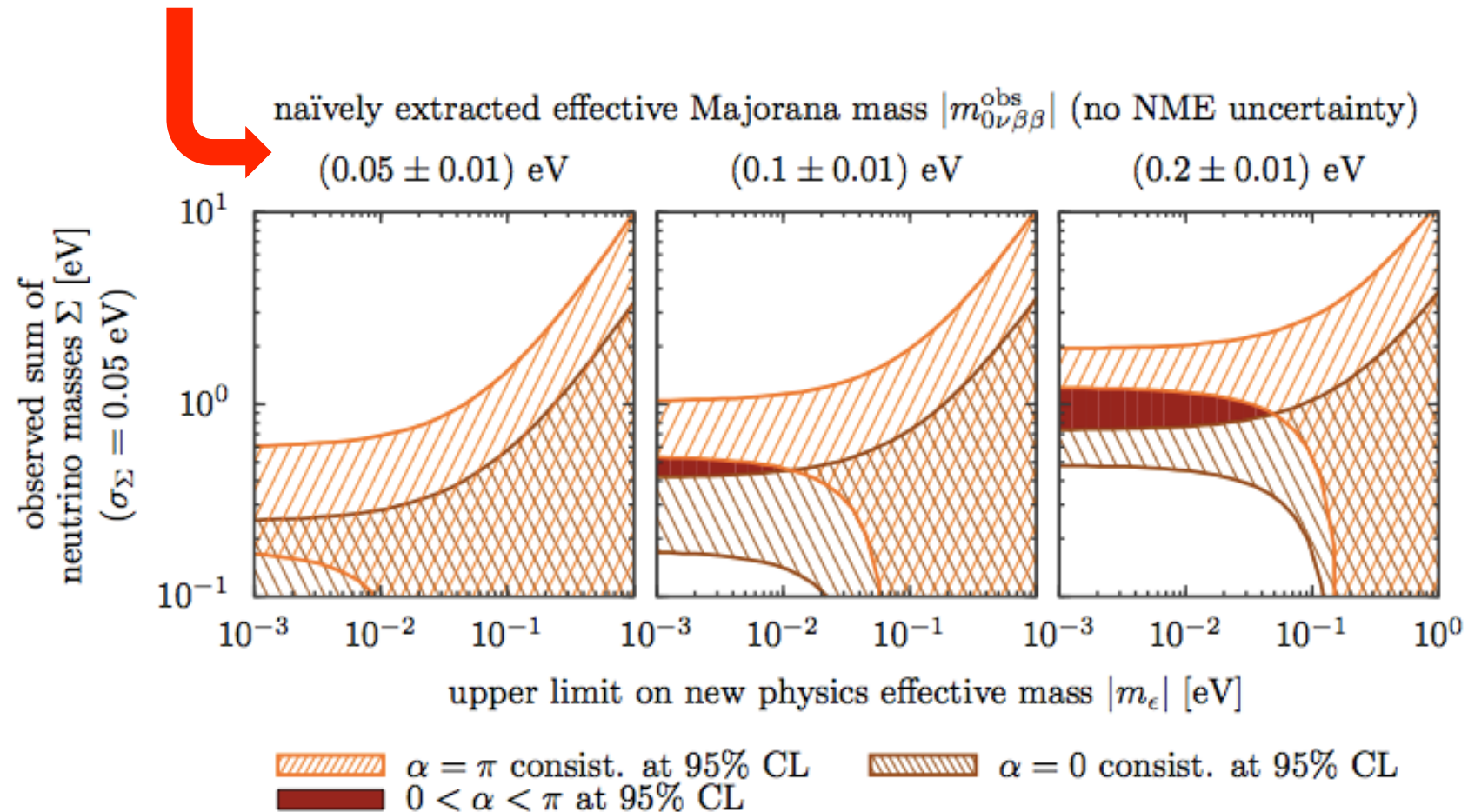


- growing m_ϵ
 fixed $0\nu\beta\beta \rightarrow$ shifts
- masses
 - mixings
 - CP phases
 - interferences

The lightest neutrino mass m_{\min} as function of ε (95%CL) for different assumed observed values



Regions where CP violation can be established as function of upper limits for new physics (95%CL) for different assumed observed values



→ No limits without upper bounds on ϵ !

$0\nu\beta\beta$ Experiments: Future

R&D and preparations for ton-scale projects:

- nEXO (A. Piepke)
- Ge-1t

new ideas:

COBRA (K. Zuber)

palladium isotopes (R. Lehnert)

...

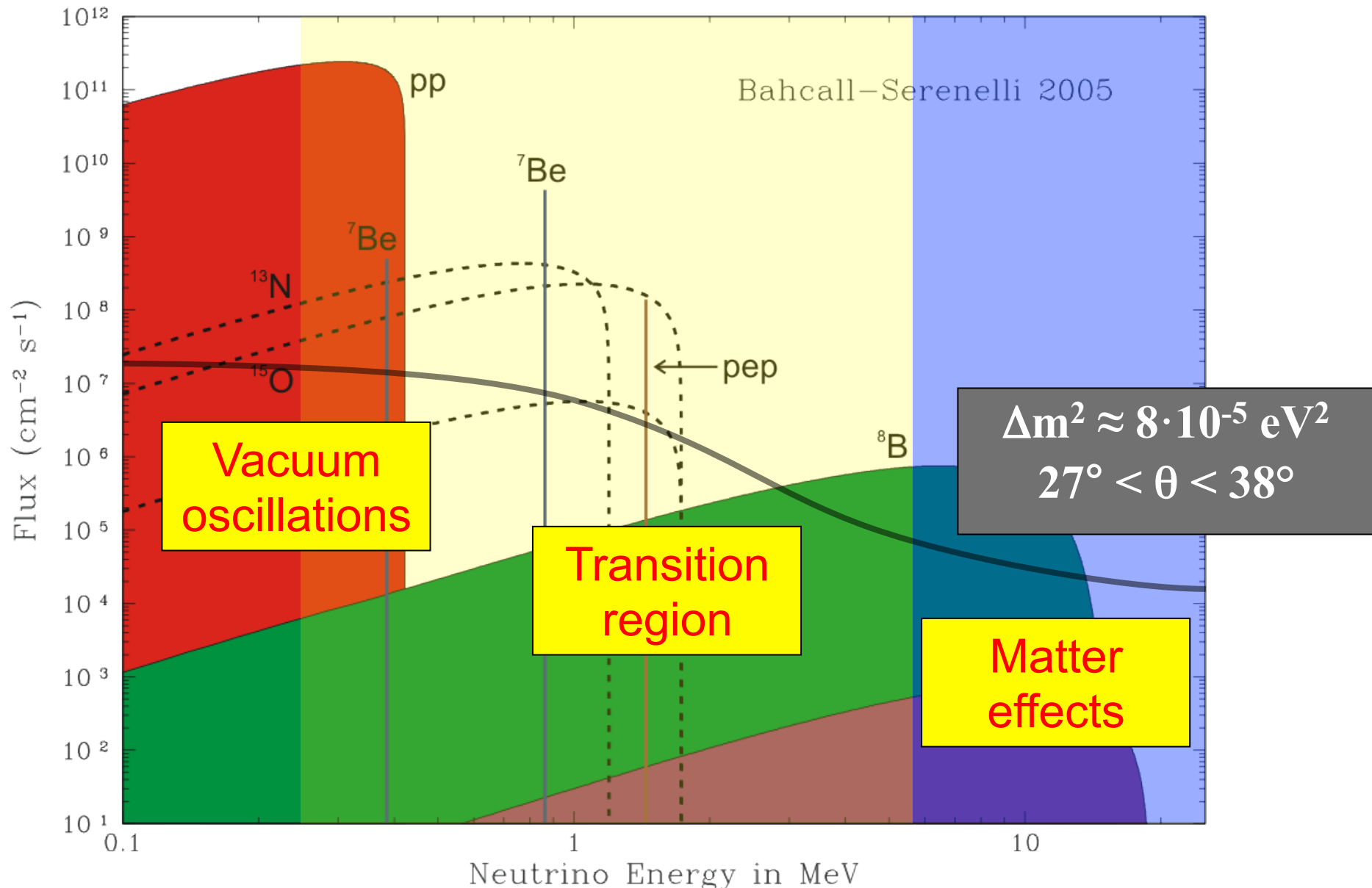
→ exciting $0\nu\beta\beta$ times:

- running 100kg-scale experiments with results
- R&D and preparations for next generation(s) on-going

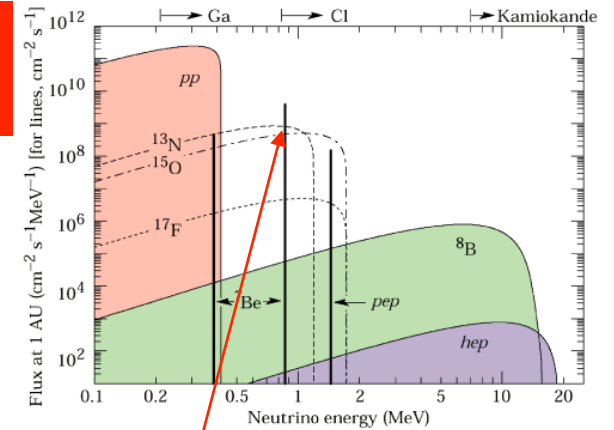
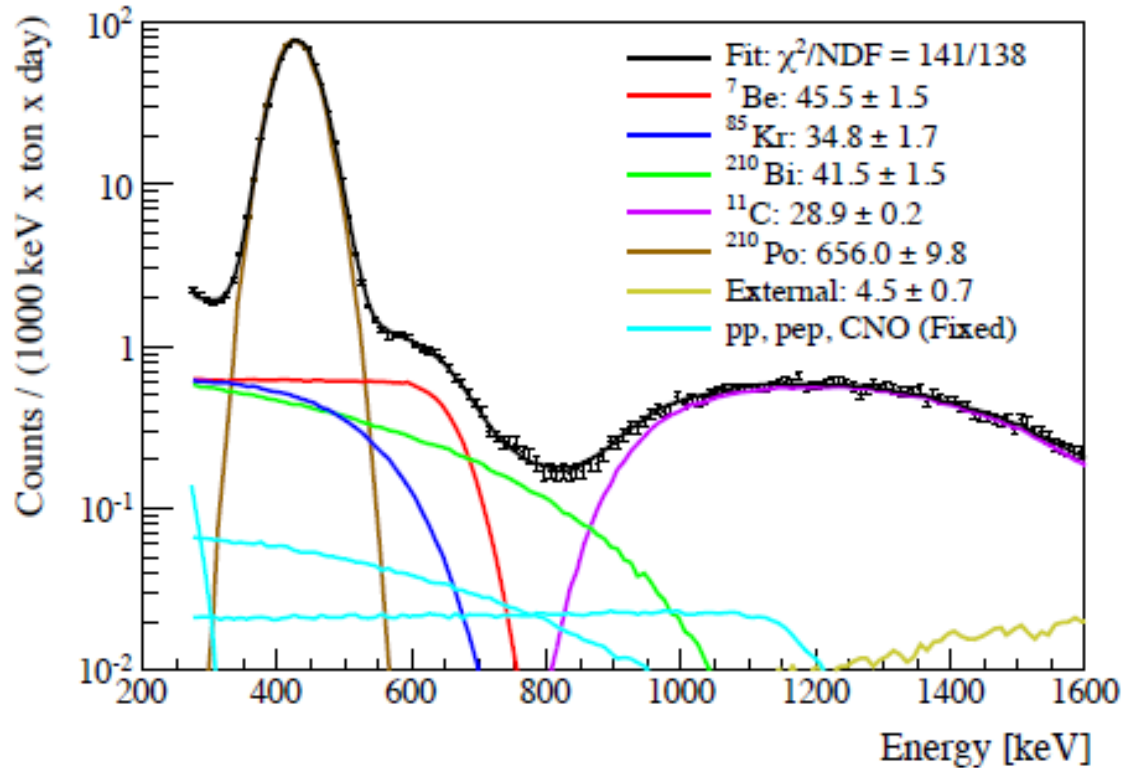
Four Methods of Mass Determination

- **kinematical**
- **lepton number violation**
 \leftrightarrow **Majorana nature**
- **cosmology & astrophysics**
- **oscillations**

Solar Neutrino Spectroscopy



^7Be (0.862 MeV) solar flux from Borexino



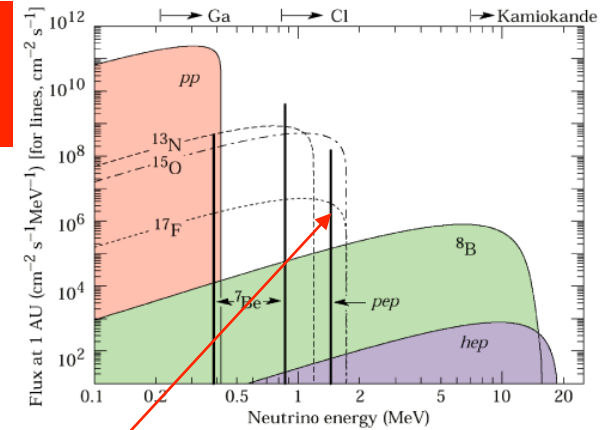
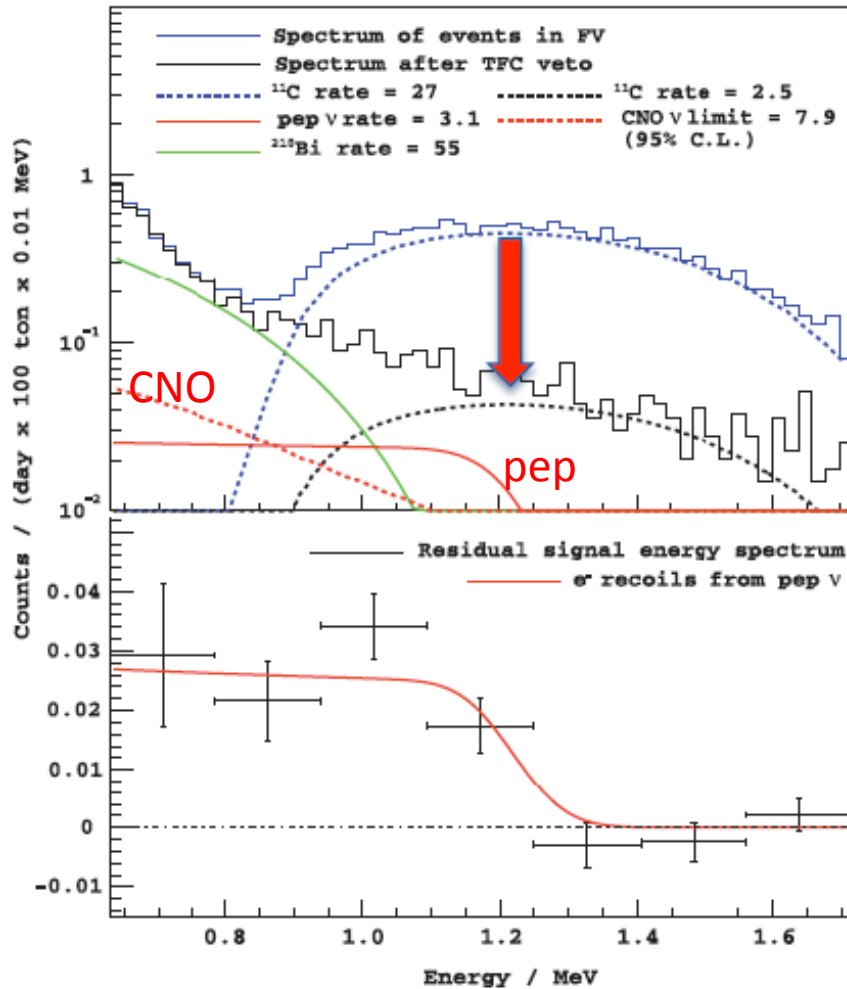
$$R_{7\text{Be}} = 46 \pm 1.5 \text{ (stat)}_{-1.6}^{+1.5} \text{ (syst)} \text{ cpd} / 100\text{t}$$

$$R_{\text{no oscillation}} = 74 \pm 5.2 \text{ cpd} / 100\text{t}$$

- ν_e flux reduction 0.62 ± 0.05
- ν_e survival probability 0.51 ± 0.07 @0.862MeV

G. Bellini et al., Borexino Collaboration +C Pena Garay, Phys. Lett. B707 (2012) 22.
 G. Bellini et al., Borexino Collaboration, Phys. Rev. Lett. 107 (2011) 141362.

pep (1.44 MeV) solar flux measurement and CNO limits in Borexino



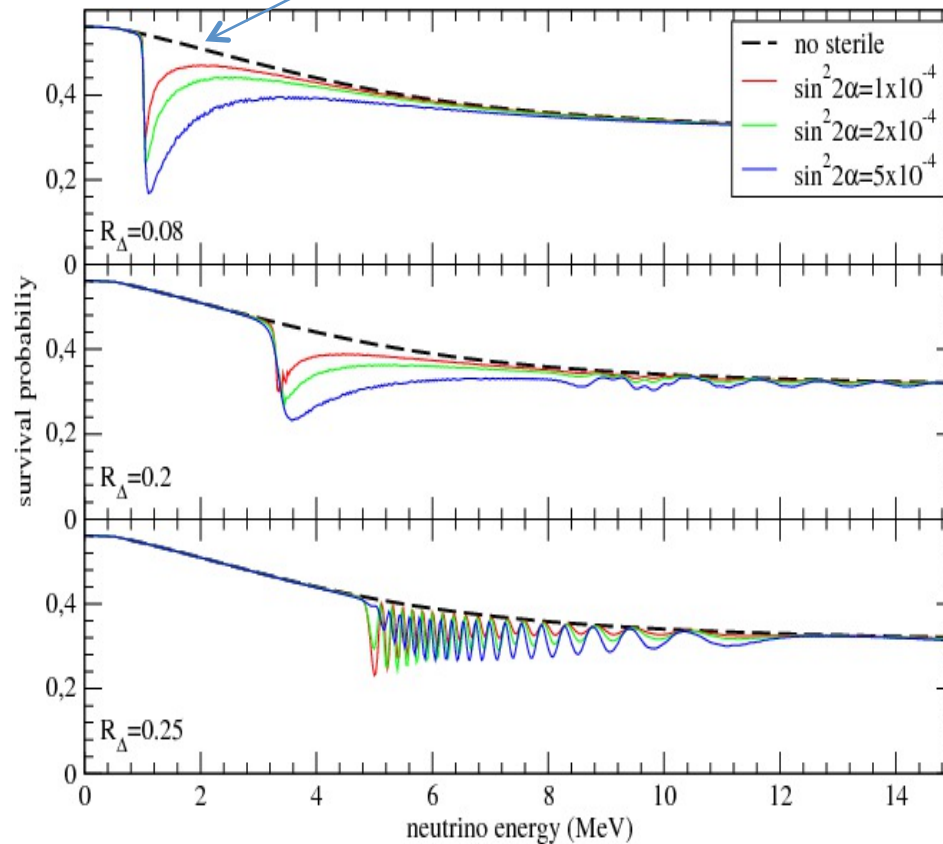
ν	Interaction Rate (cpd/100t)	DATA/SSM (high metallicity)
	Counts/(days 100 t)	ratio
pep	3.1 ± 0.6 (stat) ± 0.3 (sys)	1.1 ± 0.2
CNO	< 7.9	< 1.5

G. Bellini et al., Borexino Coll., Phys. Rev. Lett. 108 (2012) 051302

Best limit on CNO- not yet enough to select solar models....

Solar ^8B : the Up-turn???

LMA survival probability



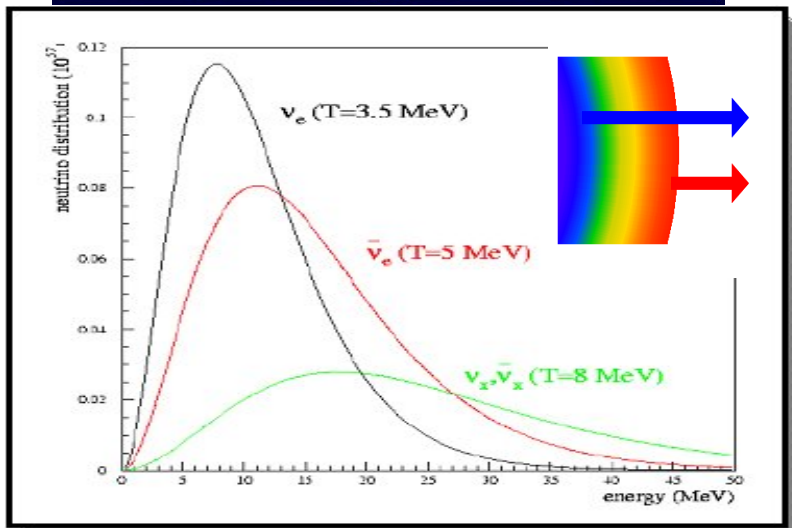
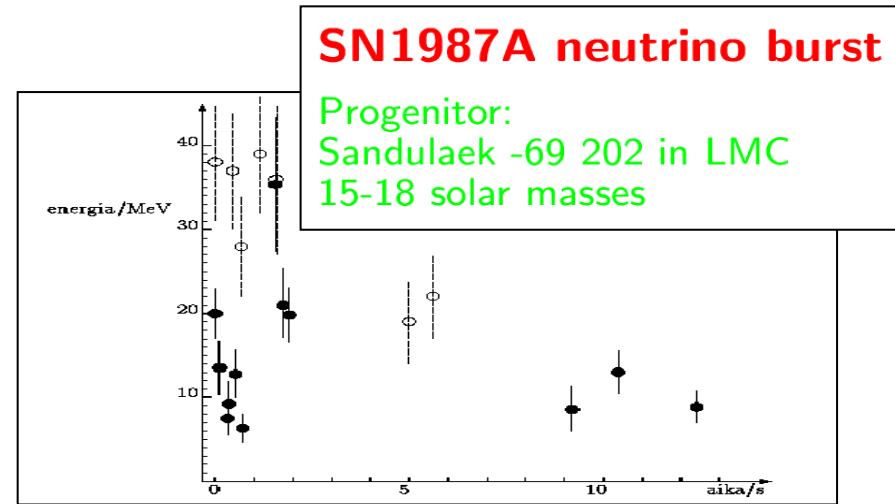
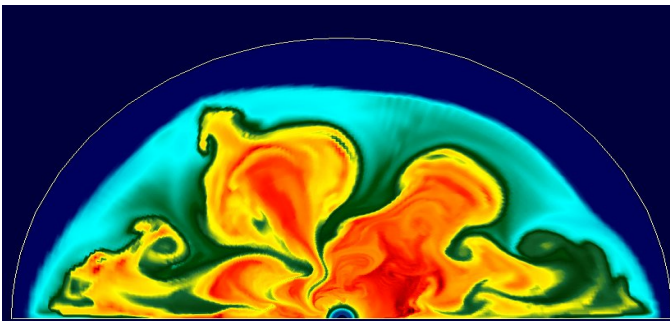
- lower the threshold as much as possible
- Hints for new physics??
- Background issues
- Statistics
- SuperKamiokande can see the effect

important \leftrightarrow
new physics
e.g. pseudo-Dirac ν 's

arxiv 1012.5627v2 (2011)

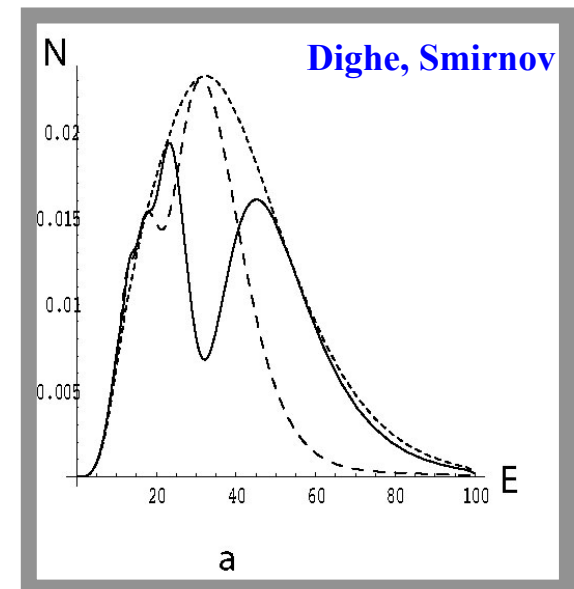
Supernova Neutrinos

- Collaps of a typical star $\rightarrow \sim 10^{57}$ ν 's
- $\sim 99\%$ of the energy in ν 's
- ν 's essential for explosion
- **do simulations explode?**
(1d \rightarrow 2d \rightarrow 3d \rightarrow convection...)



MSW: SN & Earth

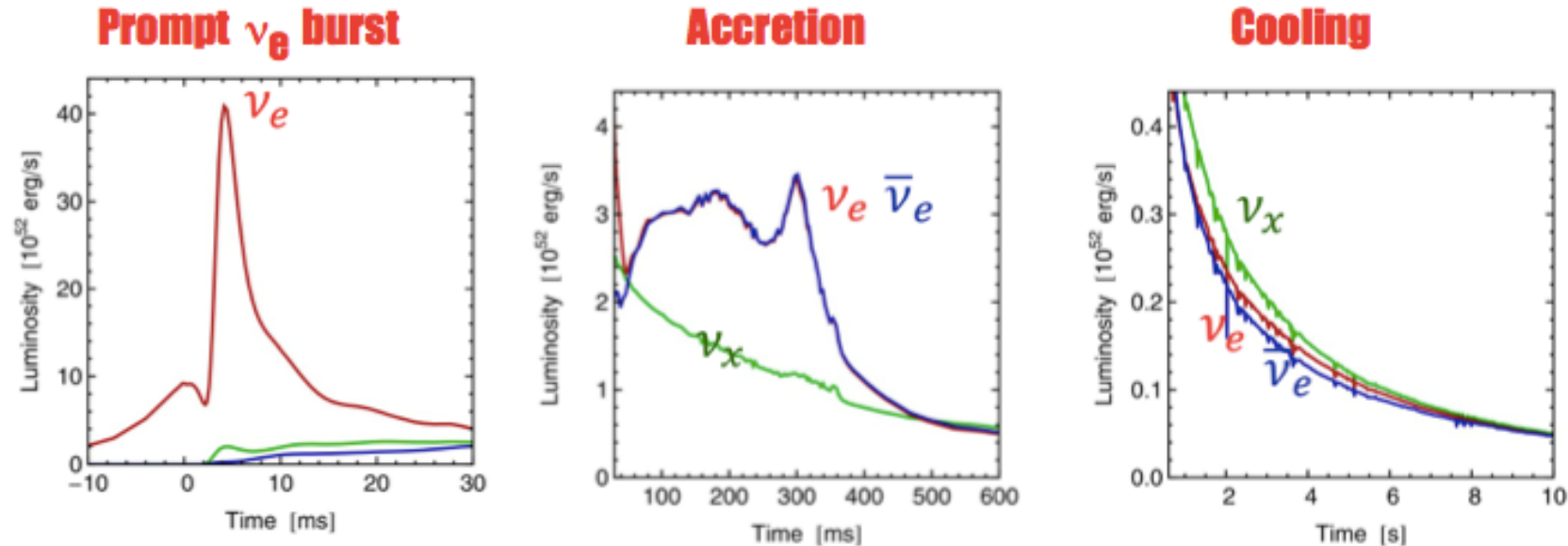
sensitive to $\text{sgn}(\Delta m^2)$



Understanding Supernovae

neutrino spectrum:

e.g. Fisher et al.



many other details: important & complex

- neutrino spectrum
- light emission
- processes
- ejected material
- gravitational waves

Langanke, Suzuki:

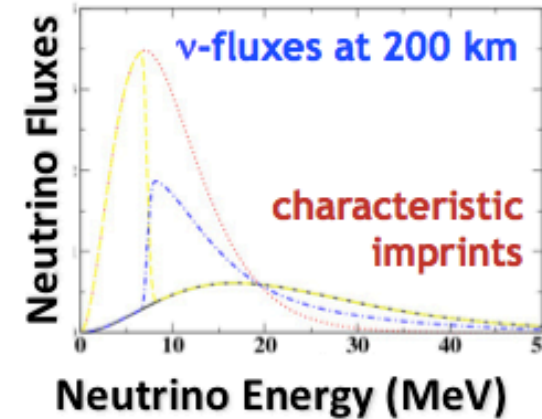
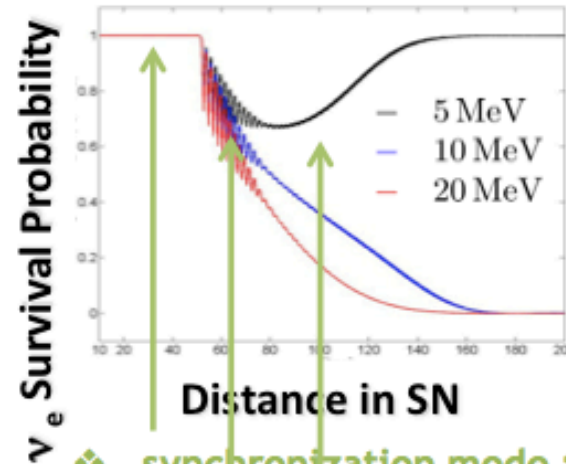
improved ν -nucleus x-sections

impact on dynamics and nucleosynthesis

Wu: impact of sterile ν 's

Volpe

Pantaleone, PLB 287 (1992), Samuel, PRD 48 (1993), Sigl and Raffelt, NPB 406 (1993),



- ❖ **synchronization mode** : no flavor conversion occurs
- ❖ **bipolar regime** : occurrence of an instability in flavour space

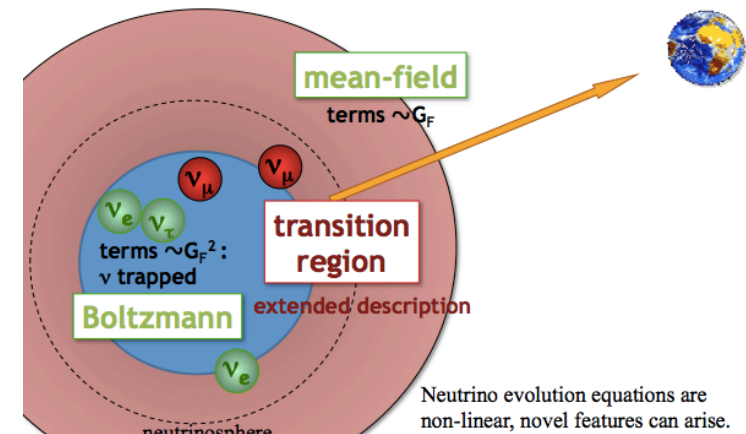
Duan, Fuller, Qian PRD 74 (2006) 76 (2007), Hannestad, et al. PRD 74 (2006), Galais, Kneller, Volpe JPG 39 (2012)

- ❖ **spectral split** : full or no conversion depending on energy

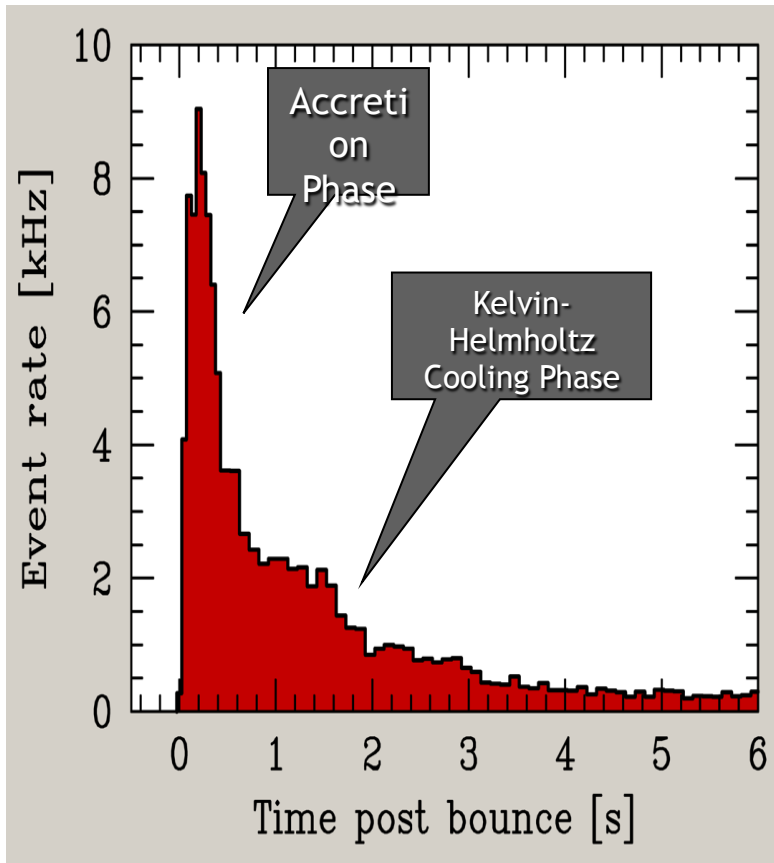
Duan, Fuller, Qian, PRD 76 (2007); Meng and Qian, PRD (2011); Raffelt and Smirnov PRD 76, PRL (2007); Pehlivan et al, PRD 84 (2011); Galais and Volpe, PRD 84 (2011)

Collective flavor conversion modes appear

- Neutrino evolution equations are non-linear, novel features can arise.
- applied Born-Bogoliubov-Green-Kirkwood-Yvon (BBGKY) hierarchy
- beyond mean field → extended eqs.



Simulated Supernova Signals



Simulation for Super-Kamiokande
SN@10kpc

Totani, Sato, Dalhed, Wilson

Detector	Type	Mass (kt)	Location	Events	Live period
Baksan	C_nH_{2n}	0.33	Caucasus	50	1980-present
LVD	C_nH_{2n}	1	Italy	300	1992-present
Super-Kamiokande	H_2O	32	Japan	7,000	1996-present
KamLAND	C_nH_{2n}	1	Japan	300	2002-present
MiniBooNE*	C_nH_{2n}	0.7	USA	200	2002-present
Borexino	C_nH_{2n}	0.3	Italy	100	2005-present
IceCube	Long string	0.6/PMT	South Pole	N/A	2007-present
Icarus	Ar	0.6	Italy	60	Near future
HALO	Pb	0.08	Canada	30	Near future
SNO+	C_nH_{2n}	0.8	Canada	300	Near future
MicroBooNE*	Ar	0.17	USA	17	Near future
NO ν A*	C_nH_{2n}	15	USA	4,000	Near future
LBNE liquid argon	Ar	34	USA	3,000	Future
LBNE water Cherenkov	H_2O	200	USA	44,000	Proposed
MEMPHYS	H_2O	440	Europe	88,000	Future
Hyper-Kamiokande	H_2O	540	Japan	110,000	Future
LENA	C_nH_{2n}	50	Europe	15,000	Future
GLACIER	Ar	100	Europe	9,000	Future

compilation by Scholberg

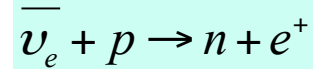
von Krosigk: detection with SNO+

Problem: Rate – at most a few galactic SN per century

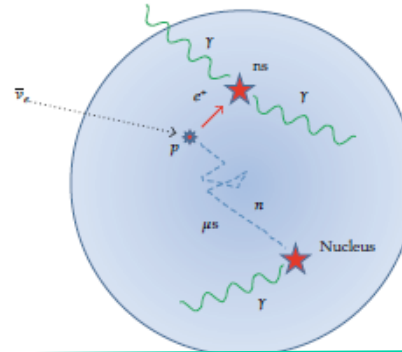
SUPERNOVA neutrinos: several channels in present and future detectors

Testera

Inverse beta decay

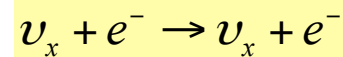


- “prompt signal”
- e+: energy loss + annihilation
(2 γ 511 KeV each)
- “delayed signal”
- n capture after thermalization (2.2 γ in H)



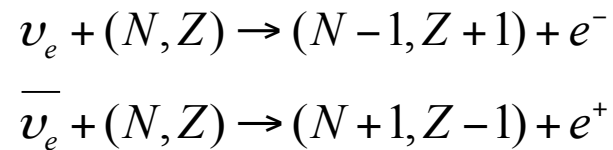
1.8 MeV threshold, high cross section
Clean signature if n can be detected
(Liquid scint., Gd in water at Superk)
Largest cross section
E > 1.8 MeV

Elastic scatt.



All flavour, directionality, no energy threshold

CC reactions on nuclei



E threshold,
signature (daughter in excited states)

vp elastic scattering



Low recoil energy
Ok for scintillators (many free protons)
Sensitive to ν_x

ν Nucleus elastic scattering

Low recoil energy
Possible in cryogenic noble liquid scintillators

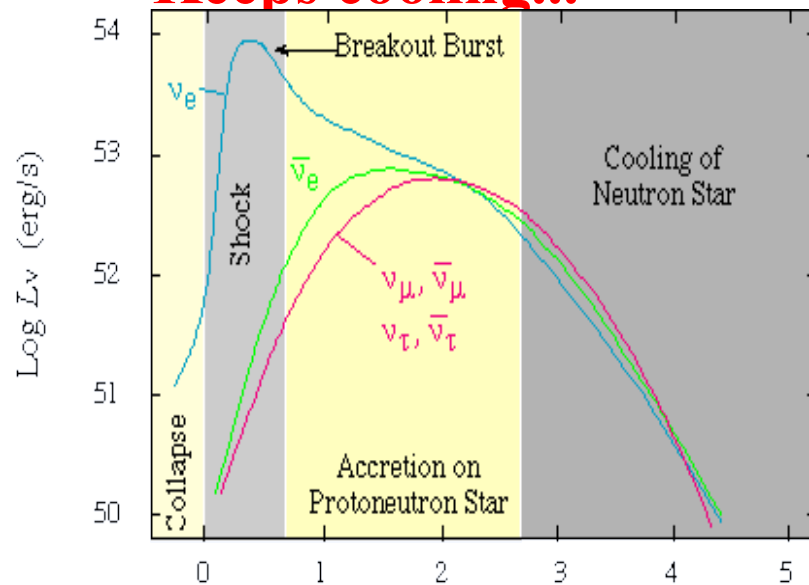
2 possibilities:

Supernova

neutron star or

black hole

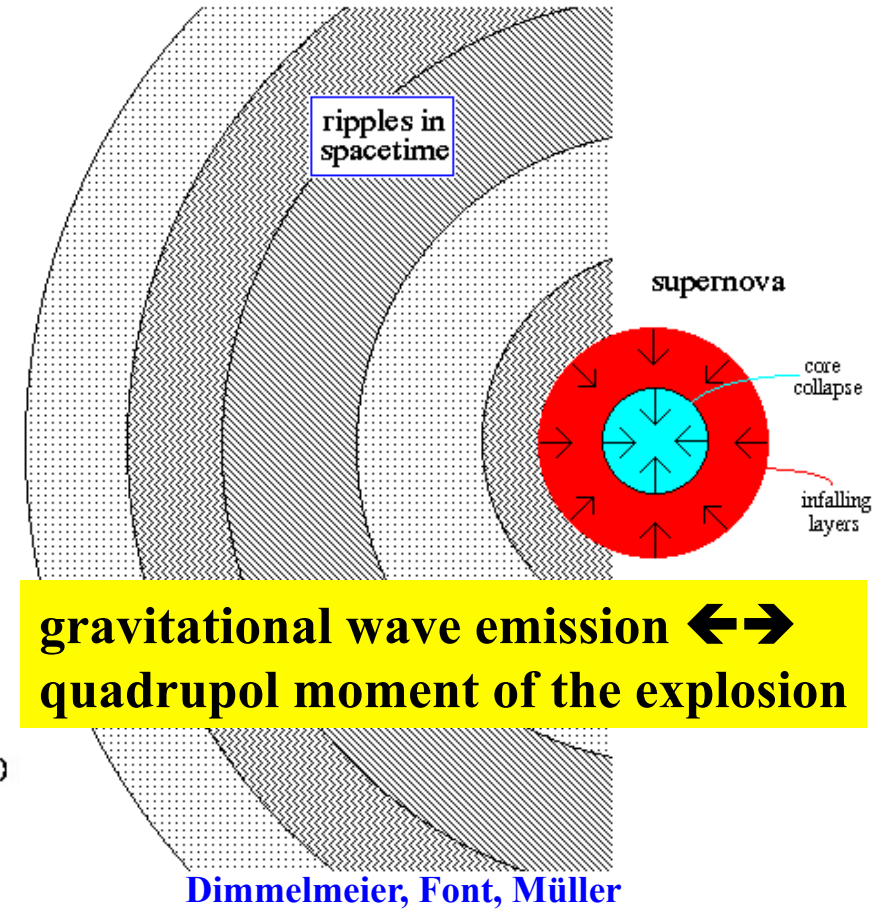
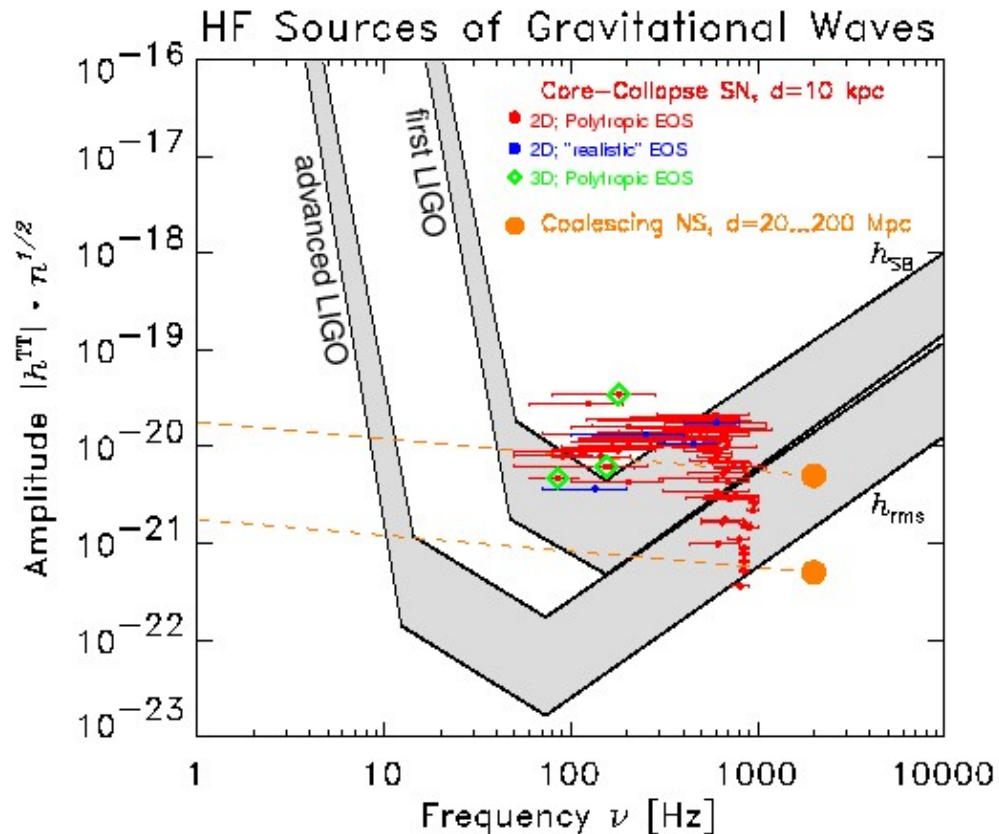
Keeps cooling...



abrupt end of ν -emission

- impressive signal of a black hole in neutrino light
- neutrino masses \leftrightarrow edge of ν -signal

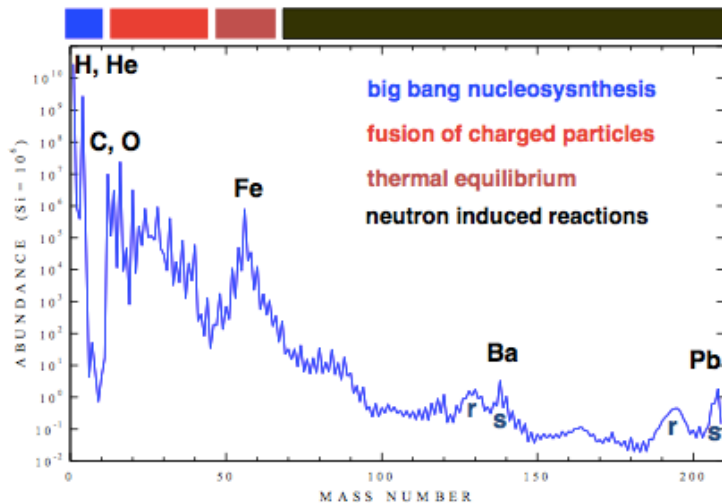
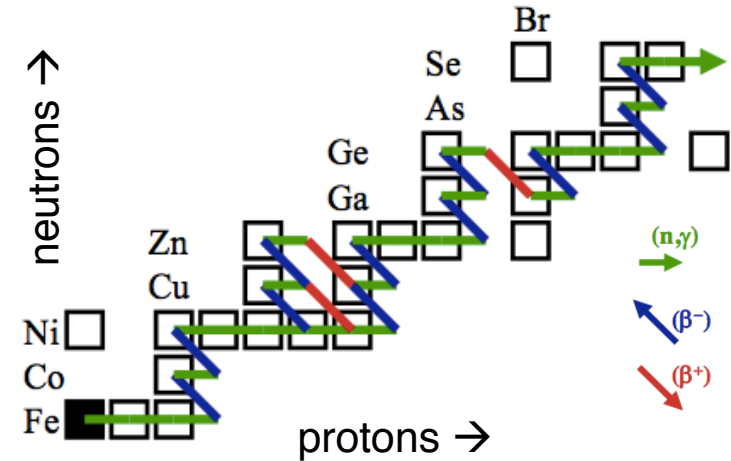
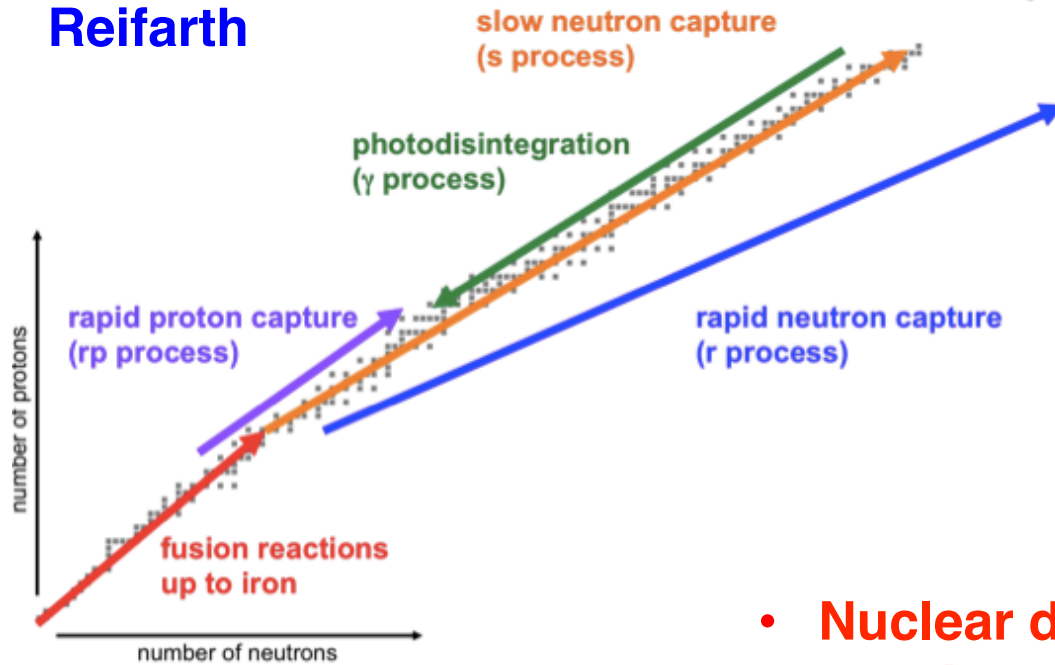
Supernovae & Gravitational Waves



- ➔ additional information about galactic SN
- ➔ **global fits:** optical + neutrinos + gravitational waves
- ➔ neutrino properties + SN explosion dynamics
- ➔ SN1987A: strongest constraints on large extra dimensions

Element Synthesis

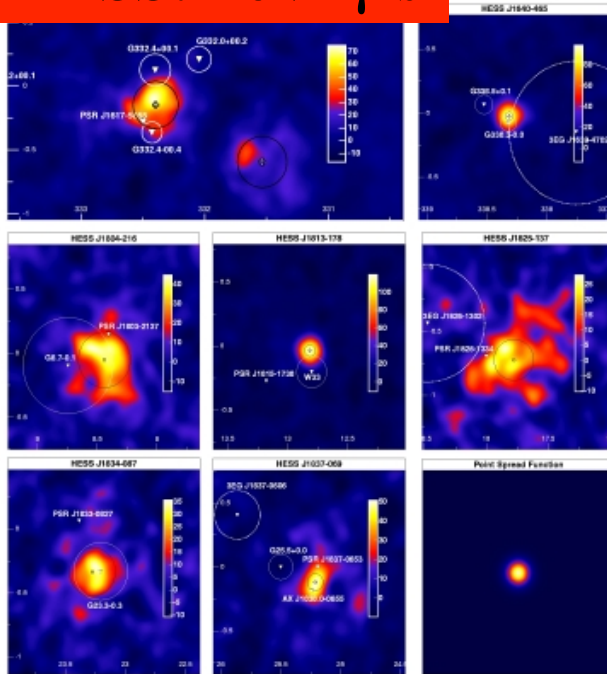
Reifarth



- Nuclear data on radioactive isotopes are very important for modern astrophysics
- Direct investigations are very difficult
- Indirect methods for neutron-induced reactions cover the entire range from s- via to r-process
- Neutrinos usually play a minor role, but can be a very important observable for stellar evolution

Neutrinos & TeV γ 's

HESS: TeV γ 's

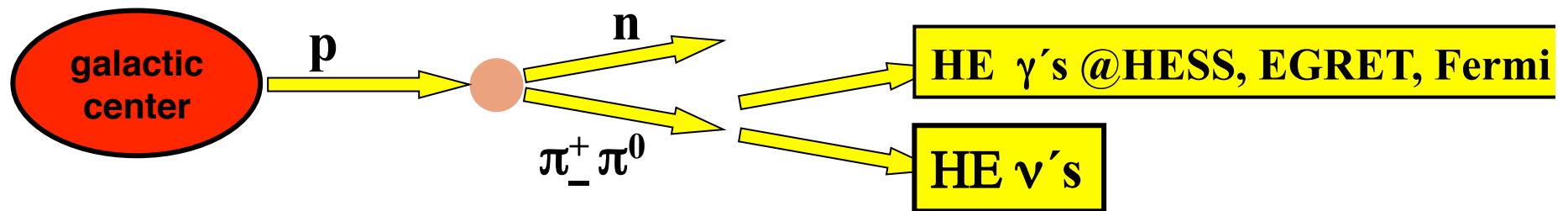


HESS and EGRET:

- TeV γ 's from galactic center and galactic plane
- various sources observed
- some are at the position of known SN remnants
- others do not correlate to anything known?

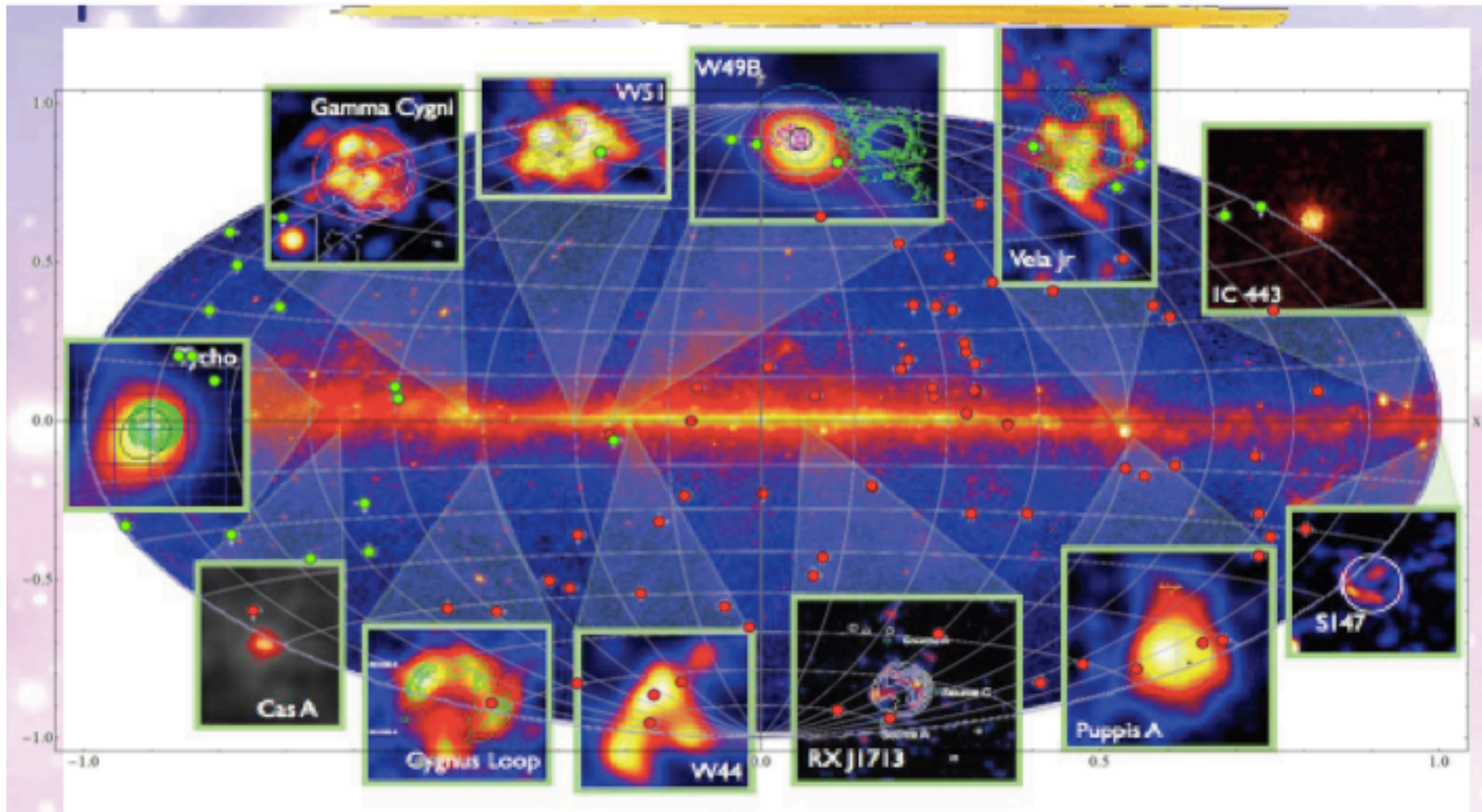
Plausible explanation:

- SN shock front acceleration
- γ 's from π^0 decay
 - ν flux from GC
 - ν signal @ km³ detectors



Fargion

Fermi gamma sources and UHECR



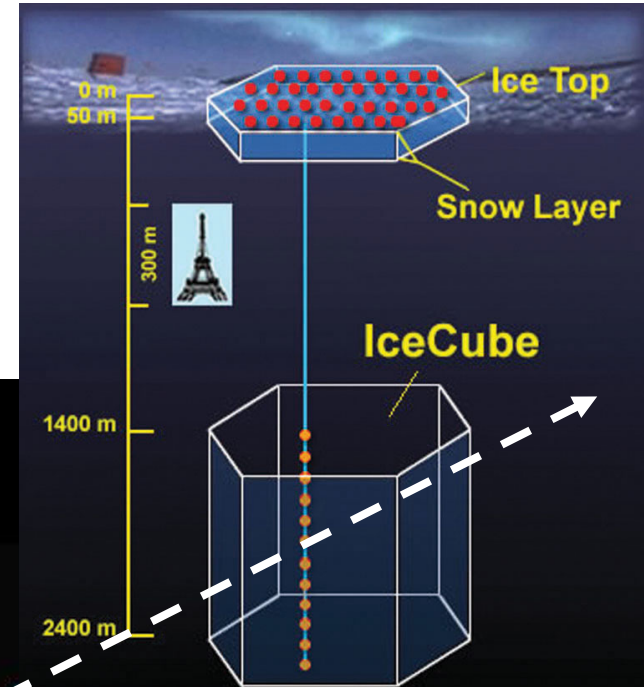
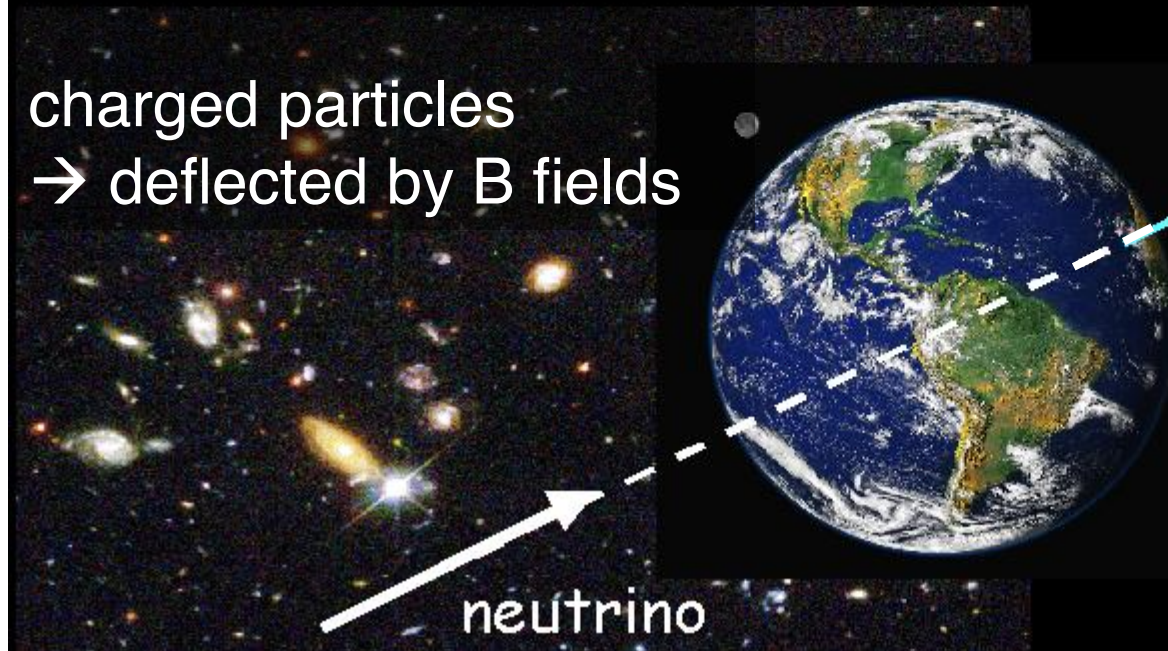
Neutrino Telescopes

ν astronomy \leftrightarrow cosmic neutrino sources

- AGN's
- black holes
- GZK cutoff
- ...

HE γ 's \rightarrow scatter of CMB

charged particles
 \rightarrow deflected by B fields



**Baikal, Amanda
IceCube, Antares,
DeepCore, ..., Auger**

Paggi

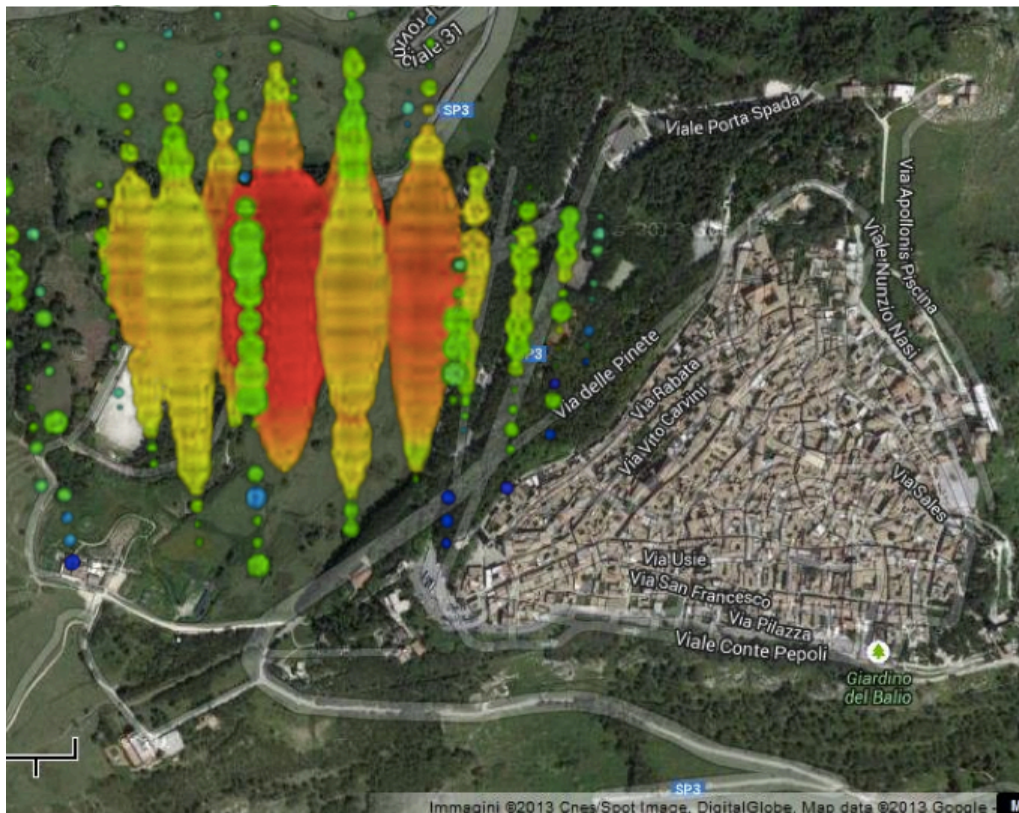
Ishihara: IceCube → events up to PeV observed

Run118545-Event6373366

NPE 6.9928×10^4

GMT time: 2011/8/8 12:23:18

1.1PeV = 1100000000 MeV



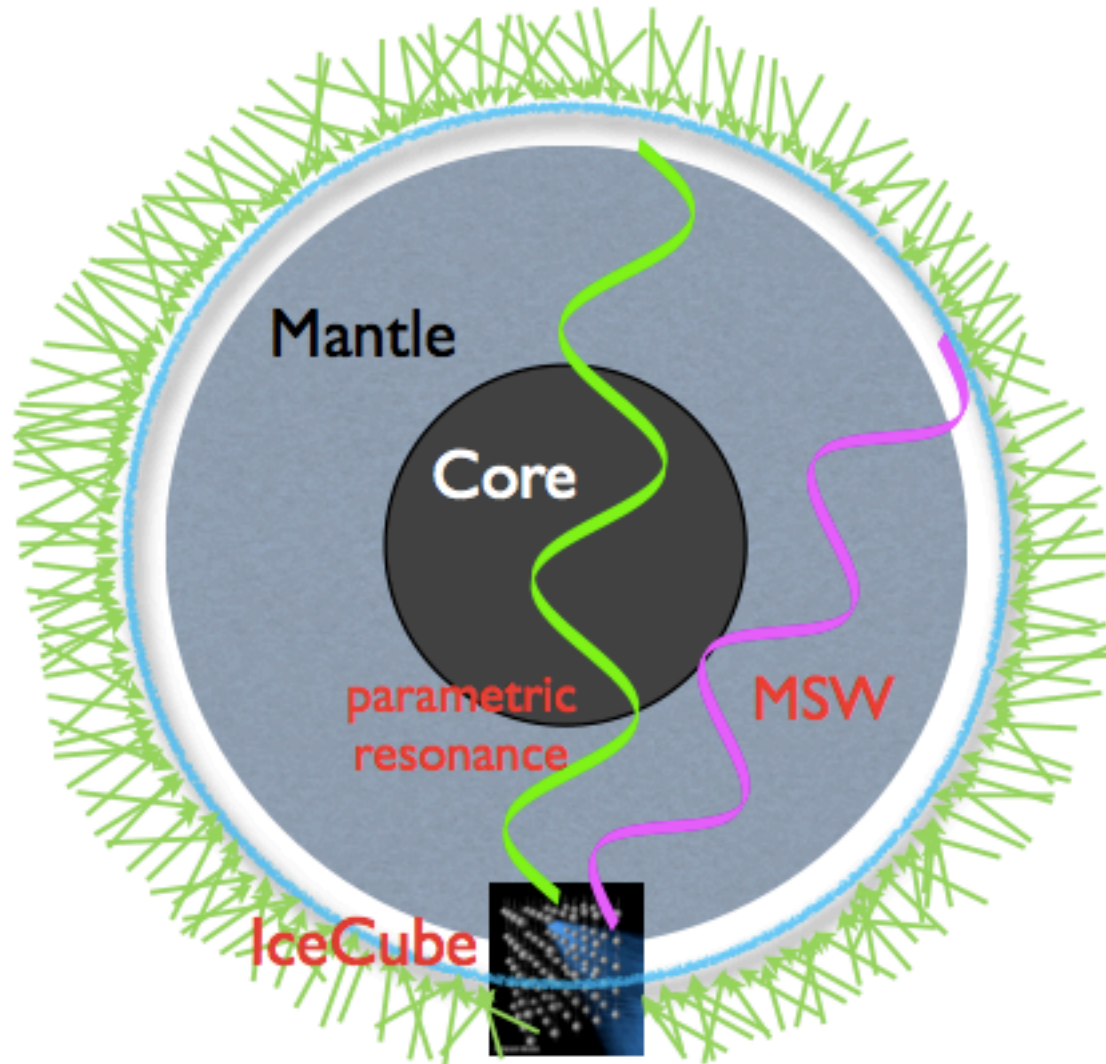
events in 2 years data:

- consistent with flavour ratio 1:1:1
- more statistics
- rate vs. WB bound?
- start of ν -astronomy

atmospheric n background...

Atmospheric data and new physics:

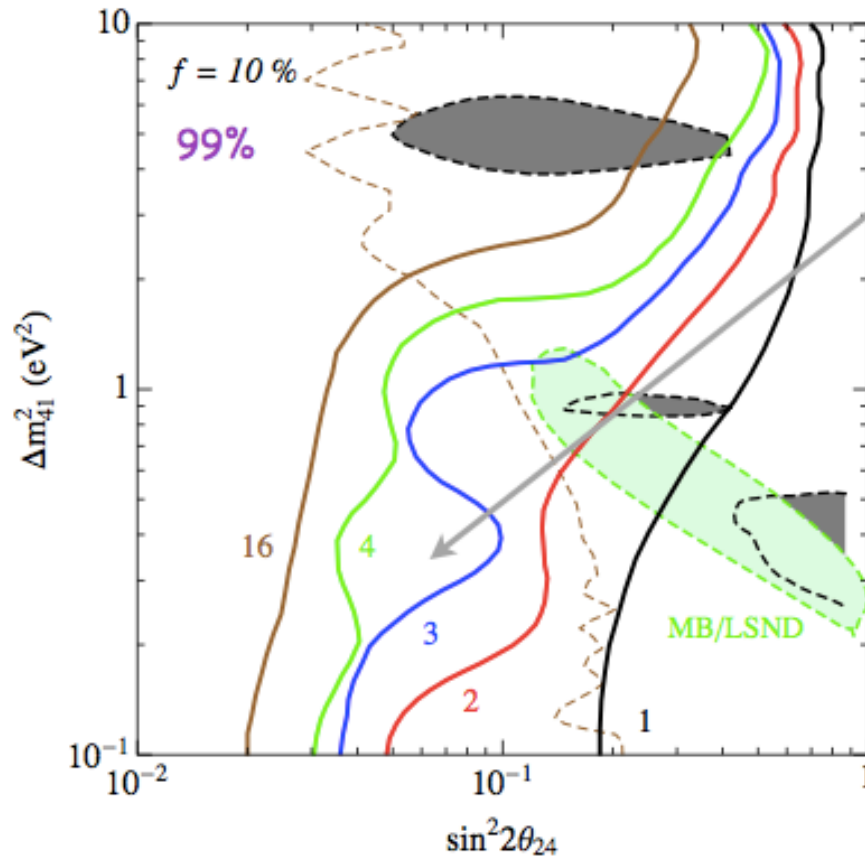
Impact of sterile neutrinos on atm ν flux



- eV mass gives resonant enhanced matter effects in the TeV region
H. Nunokawa, O. L. G. Peres, R. Zukanovich-Funchal
Phys. Lett. B562 (2003) 279
- atmospheric neutrinos in IceCube

IceCube atmospheric ν 's sensitivity to sterile neutrinos (3x IceCube-79 data are available)

A. E., A. Yu. Smirnov,
arXiv: 1307.6824



sensitivity significantly enhanced by using energy spectrum
(number of bins)

IceCube can test the LSND/MiniBooNE “evidence”

**It's on the tapes
→ look at it!**

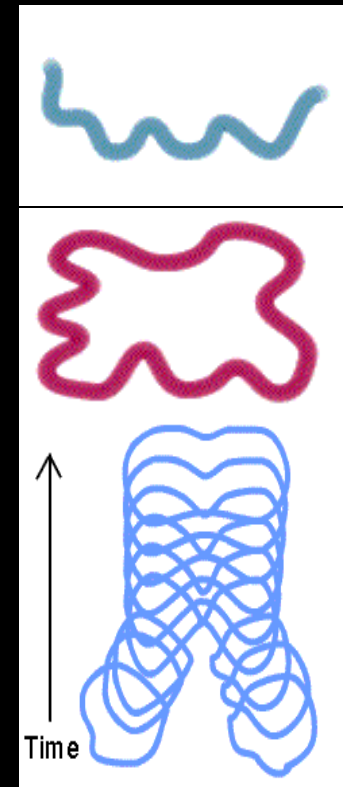
The thermal evolution of the Universe:

10^{-43} seconds

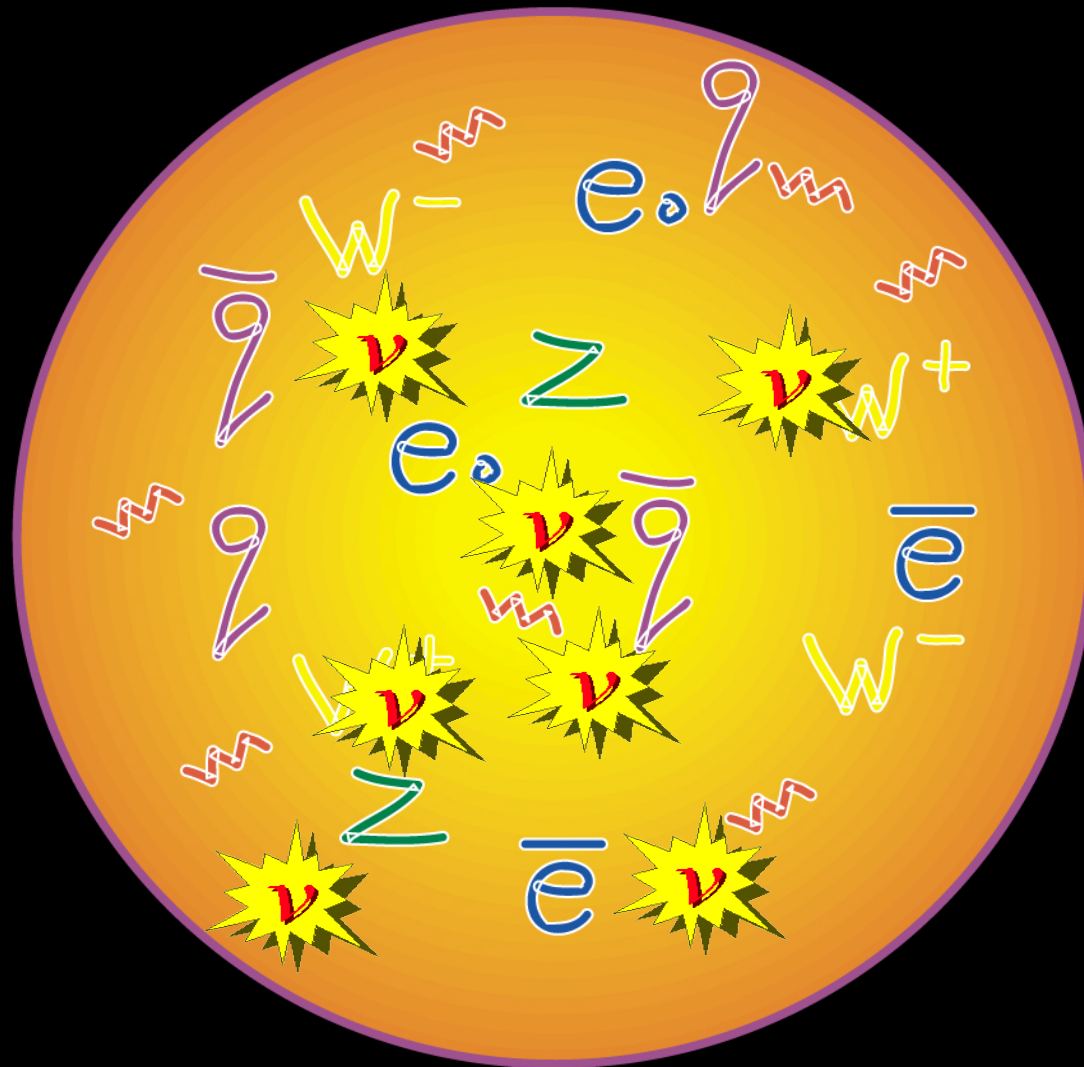
speculative physics:
 10^{19} GeV: Strings, ...



10^{32} degrees



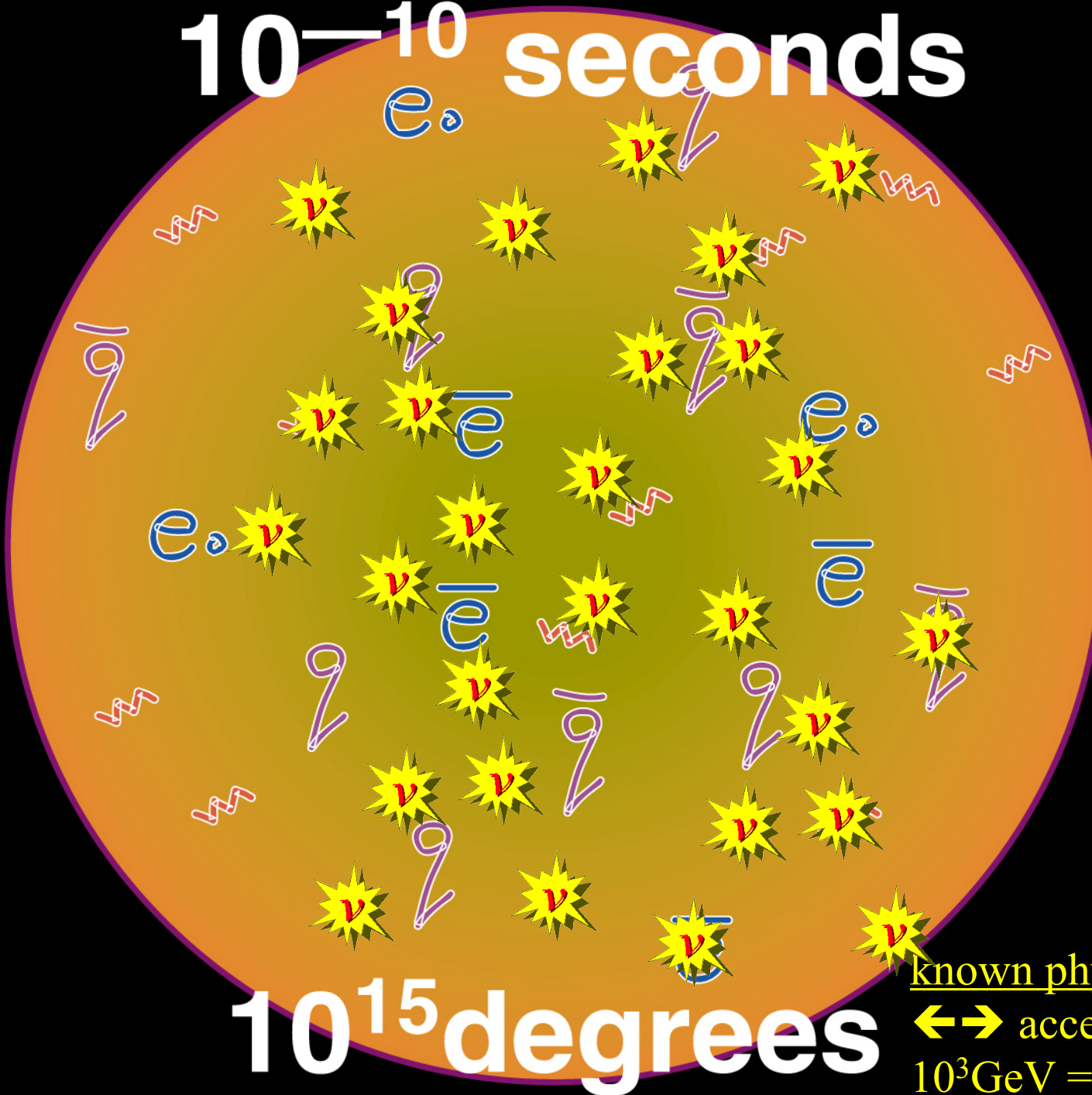
10^{-34} seconds



10^{27} degrees

GUT physics:
 10^{16} GeV

10^{-10} seconds



10^{15} degrees

known physics:

↔ accelerators

$10^3 \text{ GeV} = \text{TeV}$

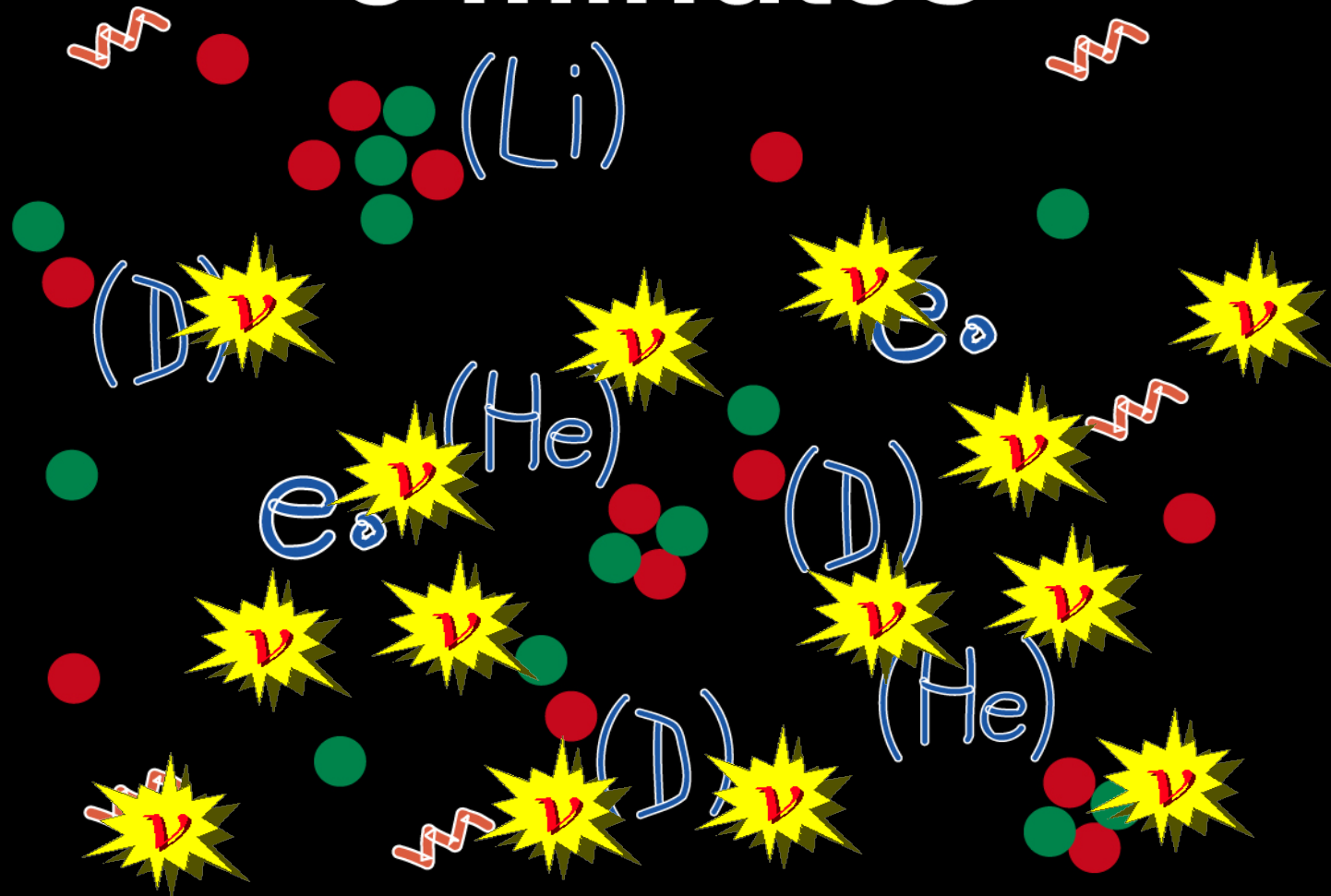
10^{-5} second



10^{10} degrees

Binding of protons
and neutrons
~100MeV

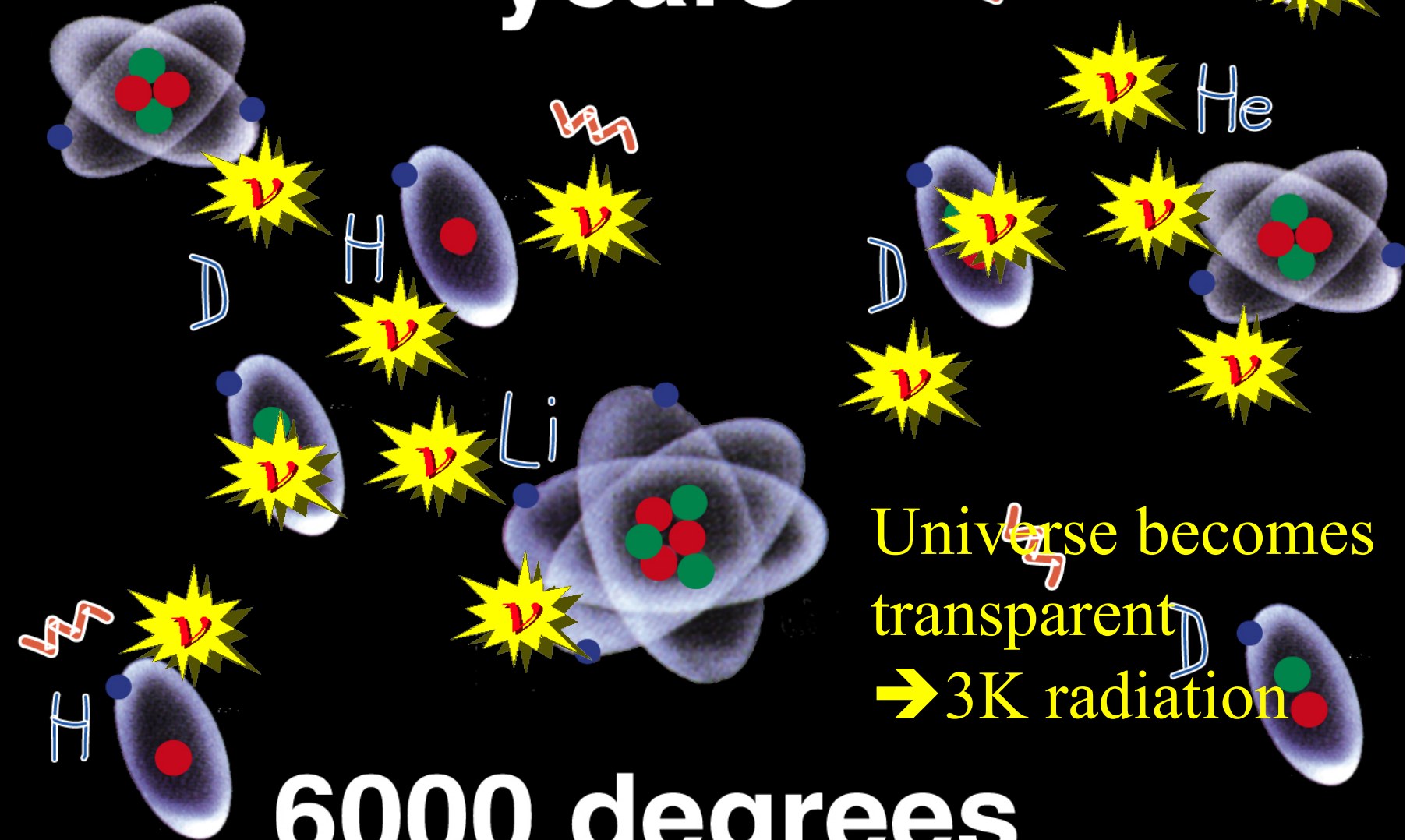
3 minutes



10^9 degrees Synthesis of light elements

300 thousand years

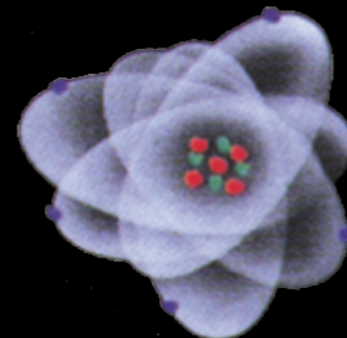
He



Universe becomes
transparent
→ 3K radiation

6000 degrees

1 thousand
million years



Structure formation,
molecules, ...



18 degrees

Today: 15 thousand million years



$T_\gamma \simeq 2.7\text{K}, T_\nu \simeq 1.7\text{K}$

BBN works for $N_\nu=3$

330 Neutrinos / cm^3

Mass: Neutrinos \leq baryons

Neutrinos & Cosmology

- Dark Matter $\sim 26.8\%$ & Dark Energy 68.3%
- baryonic matter $\Omega_B \sim 0.049$
- mass of all neutrinos: $0.001 \leq \Omega_\nu \leq 0.02$

Big Bang

Inflation

Expansion

Comological impact of neutrinos:

- hot component in structure formation:
 $330\nu/\text{cm}^3 \times \text{mass}$
- Big Bang Nucleosynthesis
- Baryon asymmetry \rightarrow Leptogenesis
- ... many topics
- \rightarrow [Kirilova](#): oscillations in the early U

Neutrino Masses from Cosmology

Abazajian et al.

Different probes and systematics...

Probe	Current $\sum m_\nu$ (eV)	Forecast $\sum m_\nu$ (eV)	Key Systematics	Current Surveys	Future Surveys
CMB Primordial	1.3	0.6	Recombination	WMAP, Planck	None
CMB Primordial + Distance	0.58	0.35	Distance measurements	WMAP, Planck	None
Lensing of CMB	∞	0.2 – 0.05	NG of Secondary anisotropies	Planck, ACT [39], SPT [96]	EBEX [57], ACTPol, SPTPol, POLAR-BEAR [5], CMBPol [6]
Galaxy Distribution	0.6	0.1	Nonlinearities, Bias	SDSS [58, 59], BOSS [82]	DES [84], BigBOSS [81], DESpec [85], LSST [92], Subaru PFS [97], HETDEX [35]
Lensing of Galaxies	0.6	0.07	Baryons, NL, Photometric redshifts	CFHT-LS [23], COSMOS [50]	DES [84], Hyper SuprimeCam, LSST [92], Euclid [88], WFIRST [100]
Lyman α	0.2	0.1	Bias, Metals, QSO continuum	SDSS, BOSS, Keck	BigBOSS [81], TMT [99], GMT [89]
21 cm	∞	0.1 – 0.006	Foregrounds, Astrophysical modeling	GBT [11], LOFAR [91], PAPER [53], GMRT [86]	MWA [93], SKA [95], FFTF [49]
Galaxy Clusters	0.3	0.1	Mass Function, Mass Calibration	SDSS, SPT, ACT, XMM [101] Chandra [83]	DES, eRosita [87], LSST

Planck 2013: Strongest bounds (CMB lensing):
(thermalized, no late decays, etc. ... \leftrightarrow sterile)

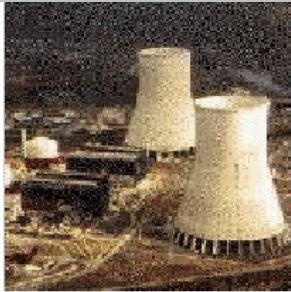
$$\sum m_i < 0.66 \text{ eV}$$

Four Methods of Mass Determination

- **kinematical**
- **lepton number violation**
 \leftrightarrow **Majorana nature**
- **astrophysics & cosmology**
- **oscillations**

reactor neutrino oscillations **Goeger-Neff, Dywer, Kim**
neutrino beams: **Jediny, Berger, Rahaman, Hagner**
new ideas/projects: **Oberauer, Vogel**

Future Precision with Reactor Experiments



$\bar{\nu}_e$

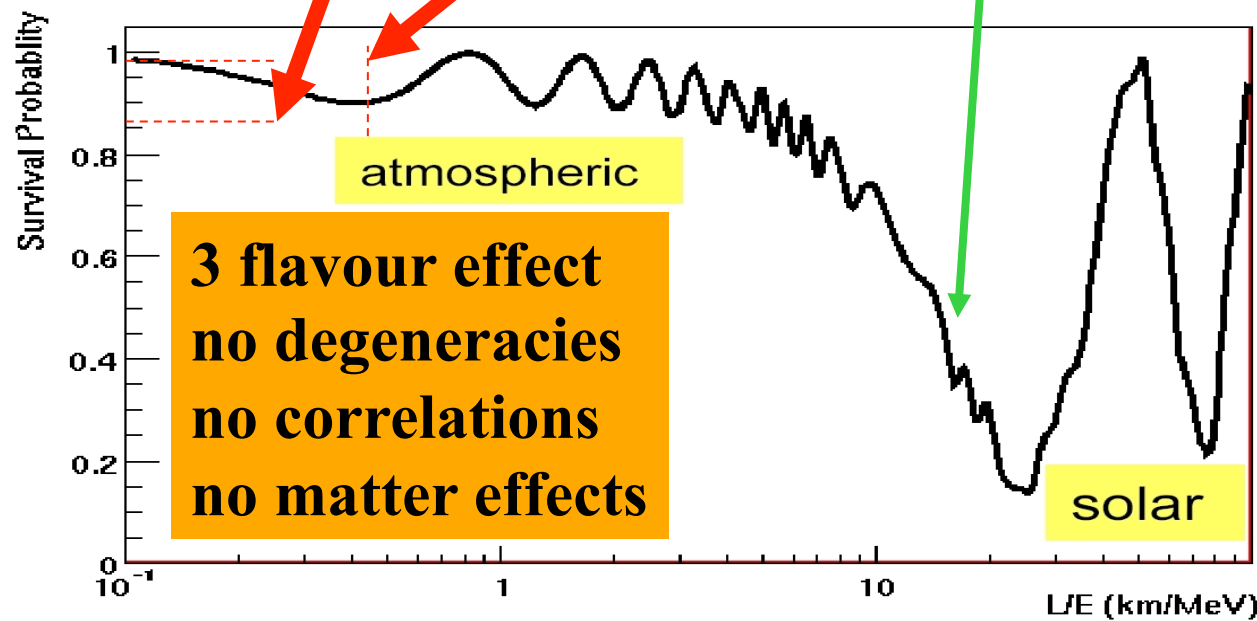
near detector (170m)

$\bar{\nu}_e$

far detector (1700m)

identical detectors → many errors cancel

$$P_{ee} \approx 1 - \sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{31}^2 L}{4E_\nu} - \left(\frac{\Delta m_{21}^2 L}{4E_\nu} \right)^2 \cos^4 \theta_{13} \sin^2 2\theta_{12}$$



- Double Chooz
- Daya Bay
- Reno

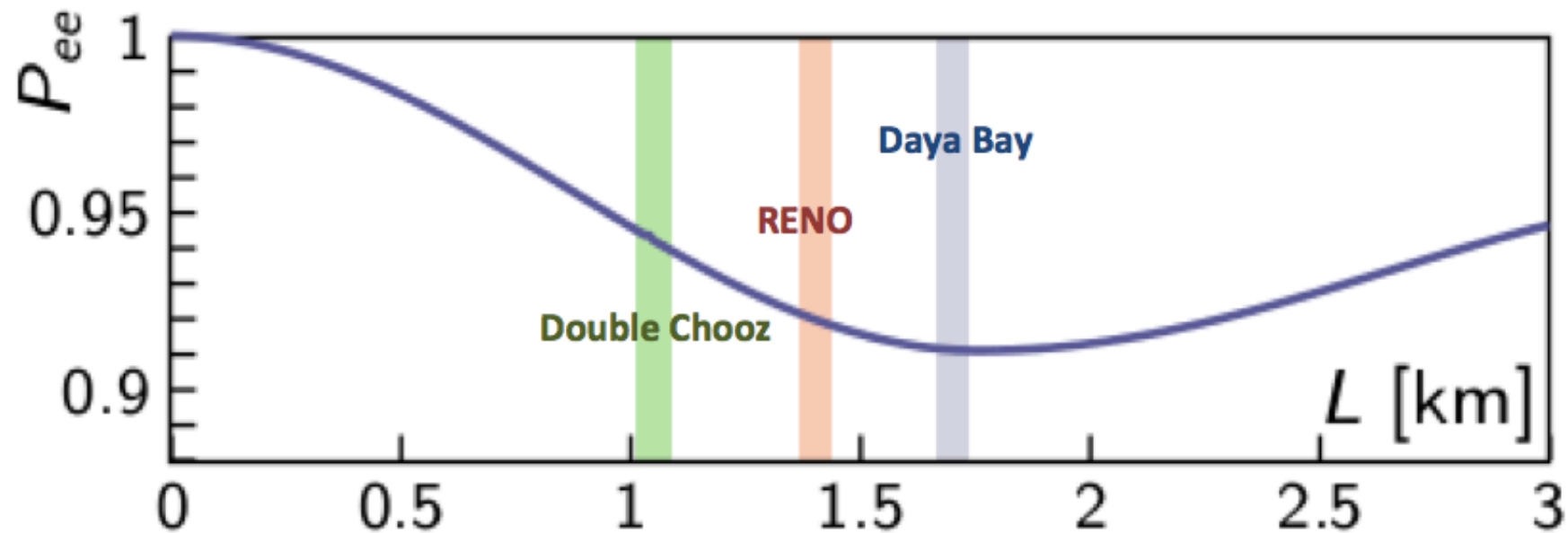
clean & precise θ_{13} measurements

E=4MeV → 2km 4km 40km 80km

Think in Event Rates – No Probability!

Baseline Optimization

Atmospheric and accelerator ν oscillation $\Delta m_{\mu\mu}^2$ suggest to search at ~ 1.8 km.



Rate $\simeq P_{\text{reactor}} * P_{ee} * 1/R^2 \rightarrow$ optimal $L <$ osc. minimum
distribution of reactors, cycles, ...

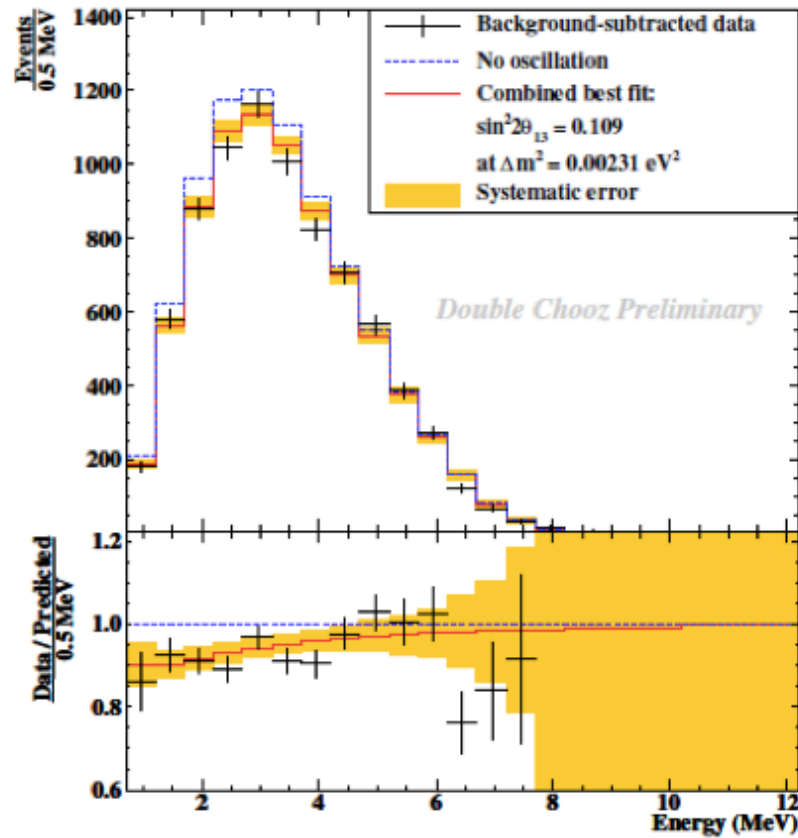
NEW:

Combined Gd and H analysis

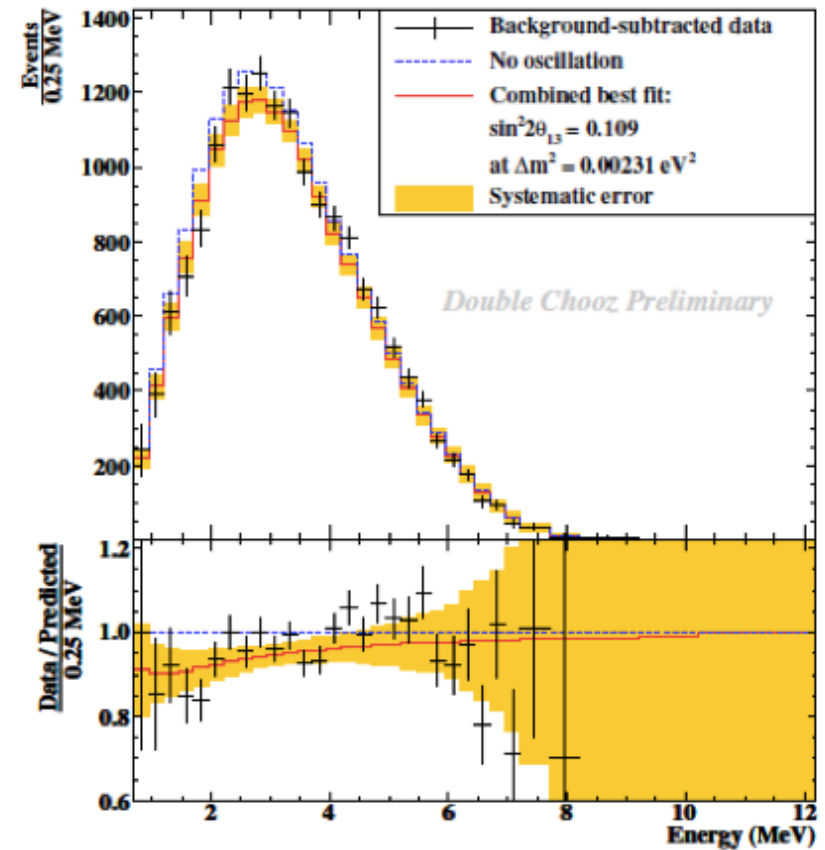


Goeger-Neff

Gd data



H data

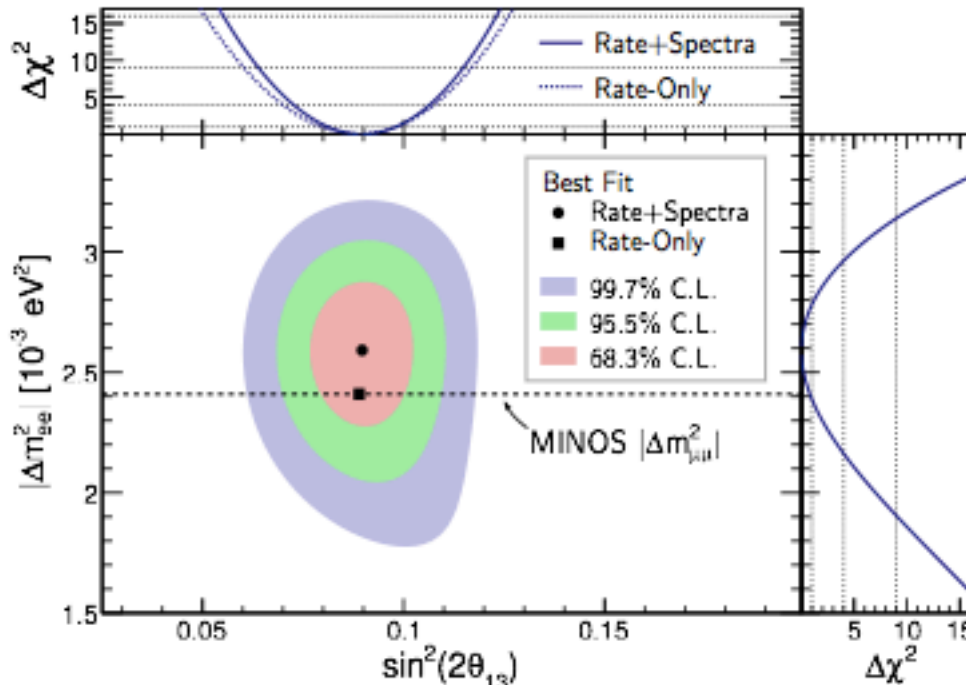


Combined Rate+Shape fit:

$$\sin^2 2\theta_{13} = 0.109 \pm 0.035 \quad \chi^2/\text{dof} = 61.2/50$$

Rate+Spectra Oscillation Results

Dywer



$$\sin^2 2\theta_{13} = 0.090^{+0.008}_{-0.009}$$

$$|\Delta m_{ee}^2| = 2.59^{+0.19}_{-0.20} \cdot 10^{-3} \text{ eV}^2$$

$$\chi^2/N_{\text{DoF}} = 162.7/153$$

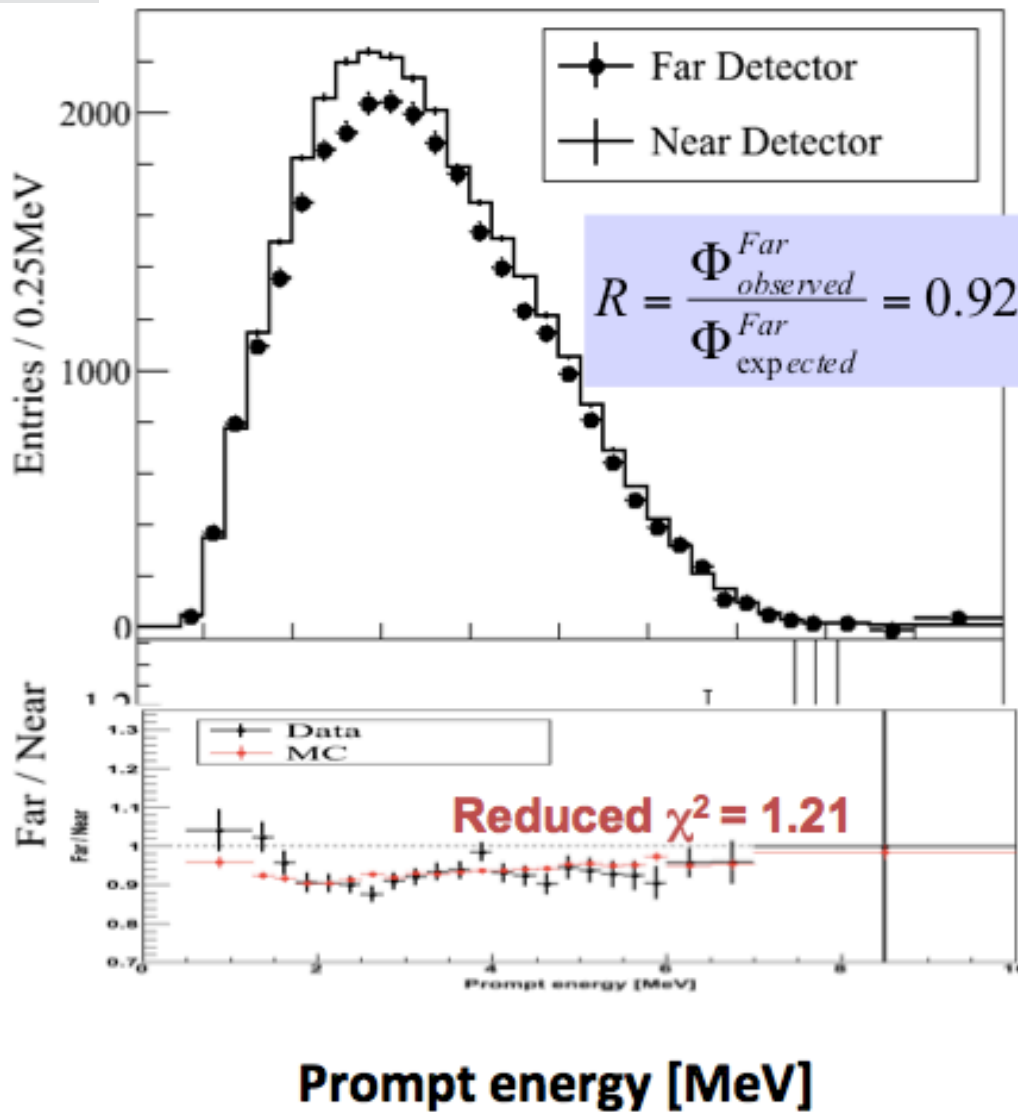
Strong confirmation of three-flavor oscillation model

	Normal MH Δm_{32}^2 [10^{-3} eV^2]	Inverted MH Δm_{32}^2 [10^{-3} eV^2]
From Daya Bay Δm_{ee}^2	$2.54^{+0.19}_{-0.20}$	$-2.64^{+0.19}_{-0.20}$
From MINOS $\Delta m_{\mu\mu}^2$	$2.37^{+0.09}_{-0.09}$	$-2.41^{+0.11}_{-0.09}$

Reactor Antineutrino Disappearance

Kim

preliminary



$$R = \frac{\Phi_{observed}^{Far}}{\Phi_{expected}^{Far}} = 0.929 \pm 0.006(stat) \pm 0.007(syst)$$

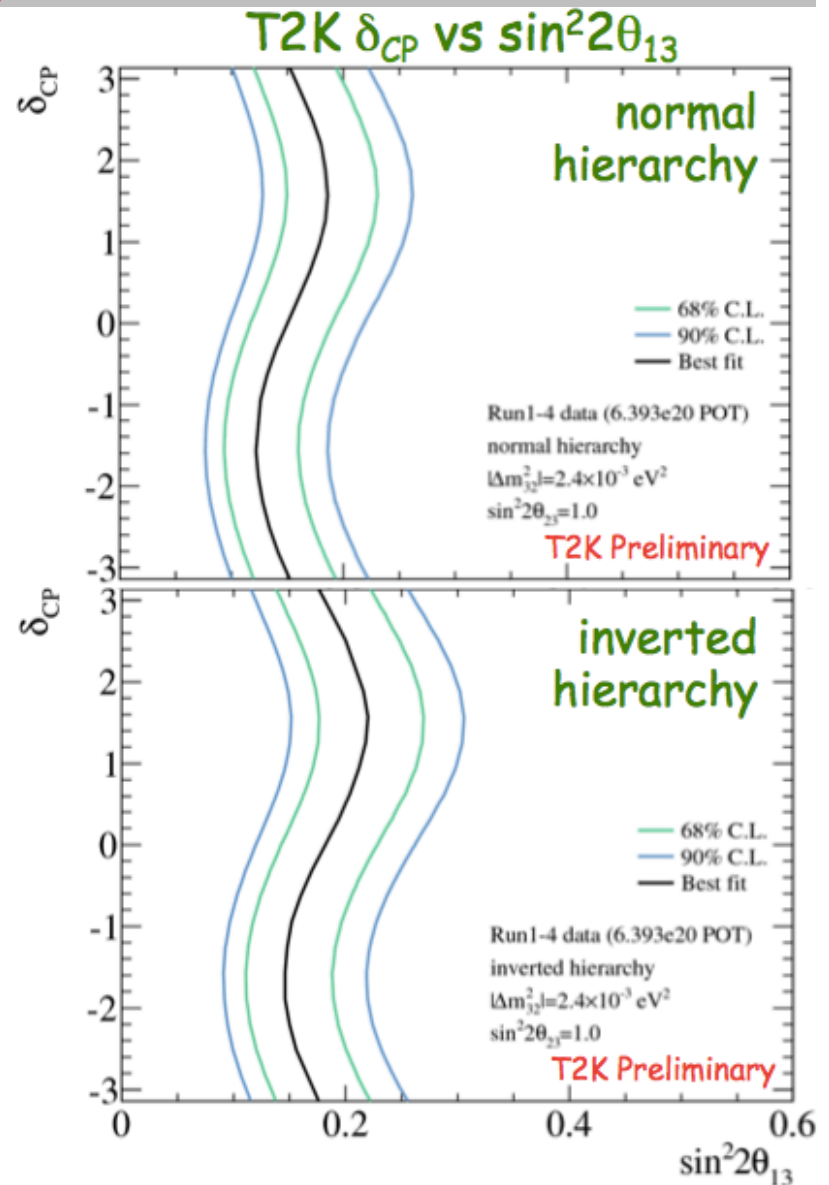
- A clear deficit in rate
(~ 7 % reduction)
- Consistent with neutrino oscillation in the spectral distortion

$$\sin^2 2\theta_{13} = 0.100 \pm 0.010(stat.) \pm 0.012(syst.)$$

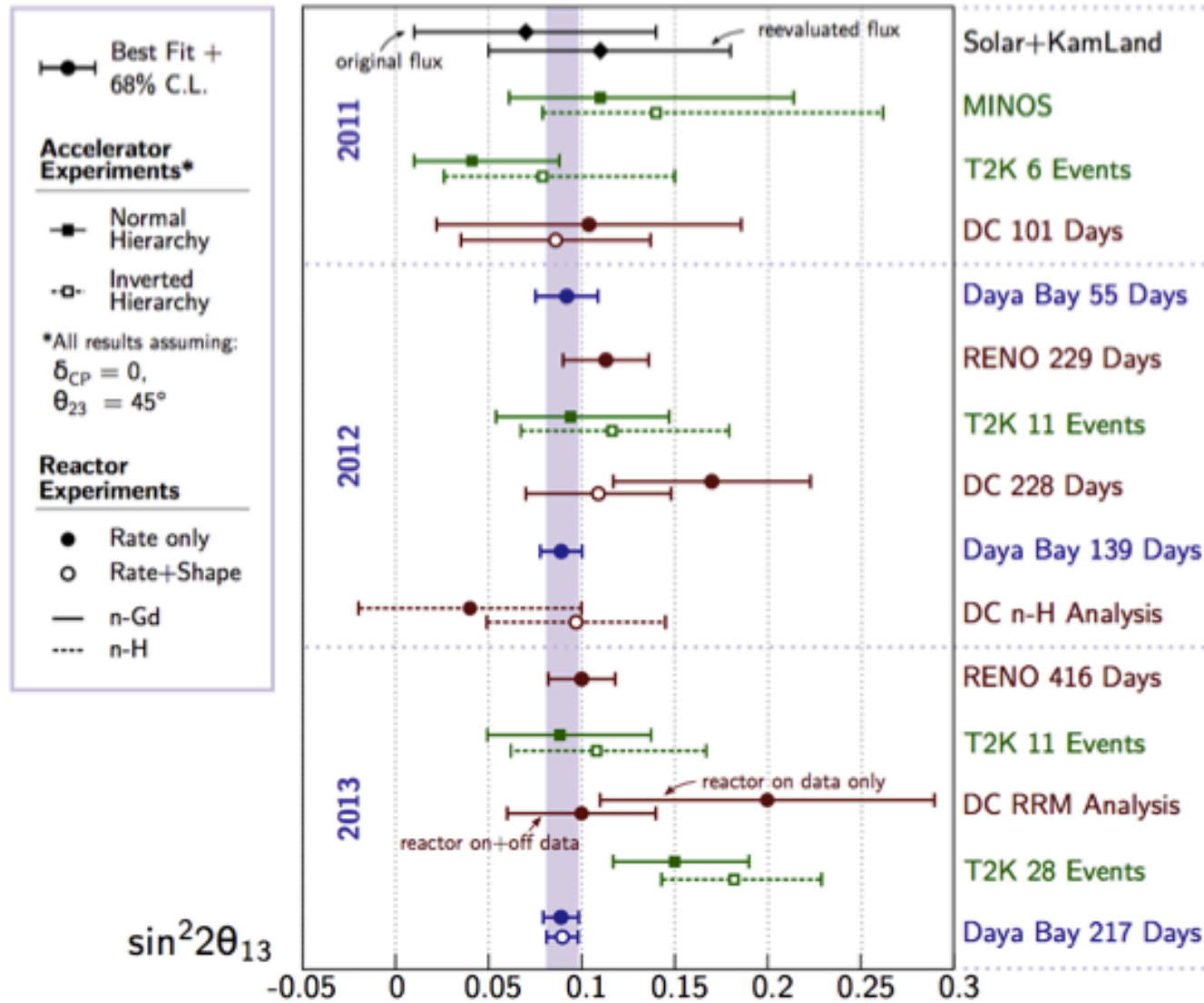
T2K: ν_e Appearance Results

- Observed 28 events
(vs. 4.64 ± 0.53 background)
- Comparing best fit vs. null hypothesis:
 7.5σ significance for non-zero θ_{13}
(normal hierarchy, $\sin^2 2\theta_{23} = 1.0$, $\delta_{CP} = 0$)
- First observation ($>5\sigma$) of a neutrino appearance channel

Note: contours are 1D contours at fixed values of δ_{CP} , not 2D contours



Global Comparison of θ_{13} Measurements



cartoon removed

θ_{13} is now rather good known – what next?

- improvements of mass differences /mixing angles...
- important: CP violation and mass hierarchy →
- important: is the 3 flavour picture complete?
←→ do sterile neutrinos or other extra physics exist?
- AND: How to best find out?

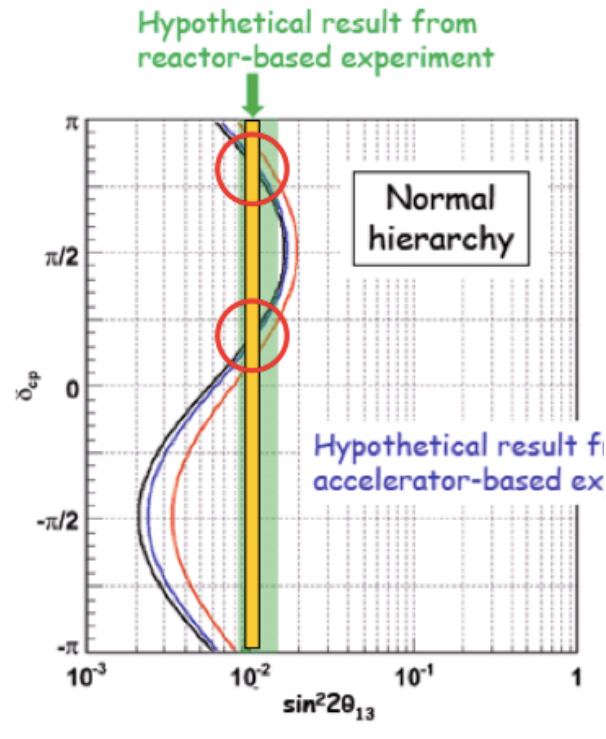
Future Precision with New Neutrino Beams

- conventional beams, superbeams
→ MINOS, CNGS, T2K, NOvA, T2H,...
- β -beams
→ pure ν_e and $\bar{\nu}_e$ beams from radioactive decays; $\gamma \simeq 100$
- neutrino factories
→ clean neutrino beams from decay of stored μ 's

$$\begin{aligned}
 P(\nu_e \rightarrow \nu_\mu) &\approx \sin^2 2\theta_{13} \sin^2 \theta_{23} \frac{\sin^2((1-\hat{A})\Delta)}{(1-\hat{A})^2} \\
 &\quad \pm \sin \delta_{\text{CP}} \alpha \sin 2\theta_{12} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \sin(\Delta) \frac{\sin(\hat{A}\Delta) \sin((1-\hat{A})\Delta)}{\hat{A}(1-\hat{A})} \\
 &\quad + \sin \delta_{\text{CP}} \alpha \sin 2\theta_{12} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \cos(\Delta) \frac{\sin(\hat{A}\Delta) \sin((1-\hat{A})\Delta)}{\hat{A}(1-\hat{A})} \\
 &\quad + \alpha^2 \sin^2 2\theta_{12} \cos^2 \theta_{23} \frac{\sin^2(\hat{A}\Delta)}{\hat{A}^2}
 \end{aligned}$$

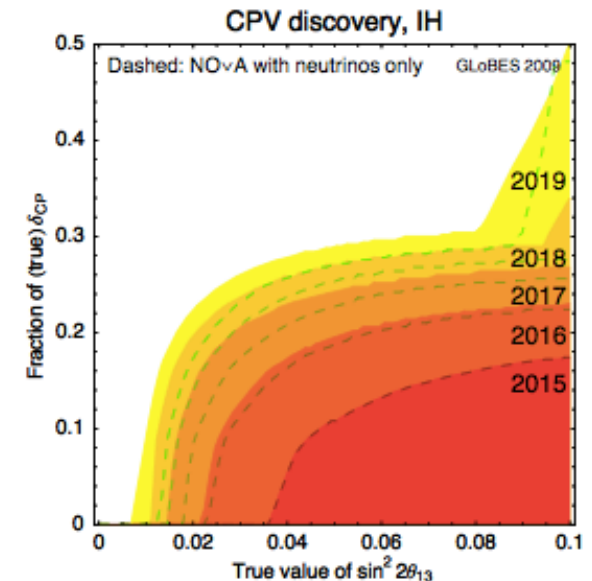
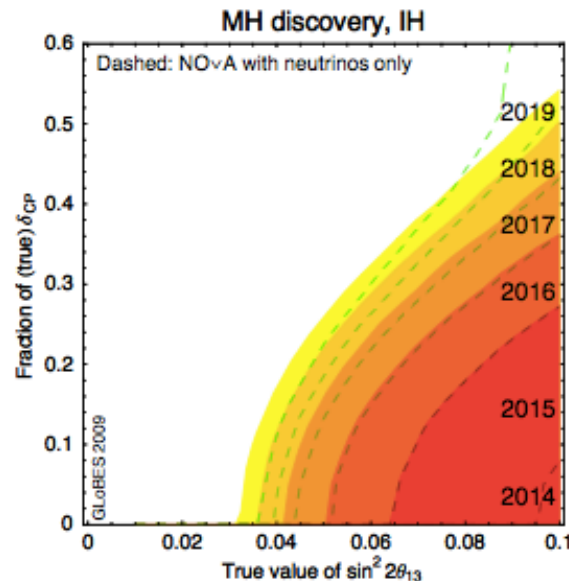
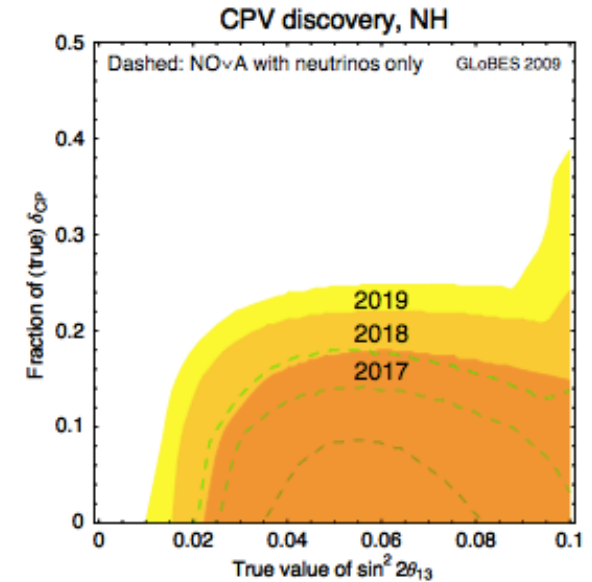
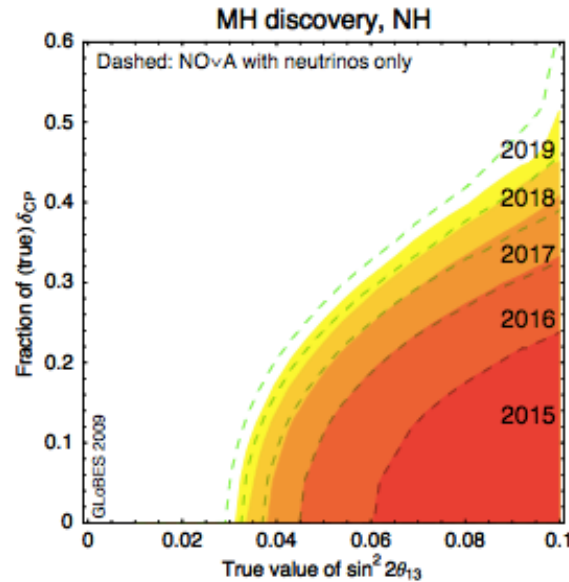
↳ correlations & degeneracies, matter effects

Kim

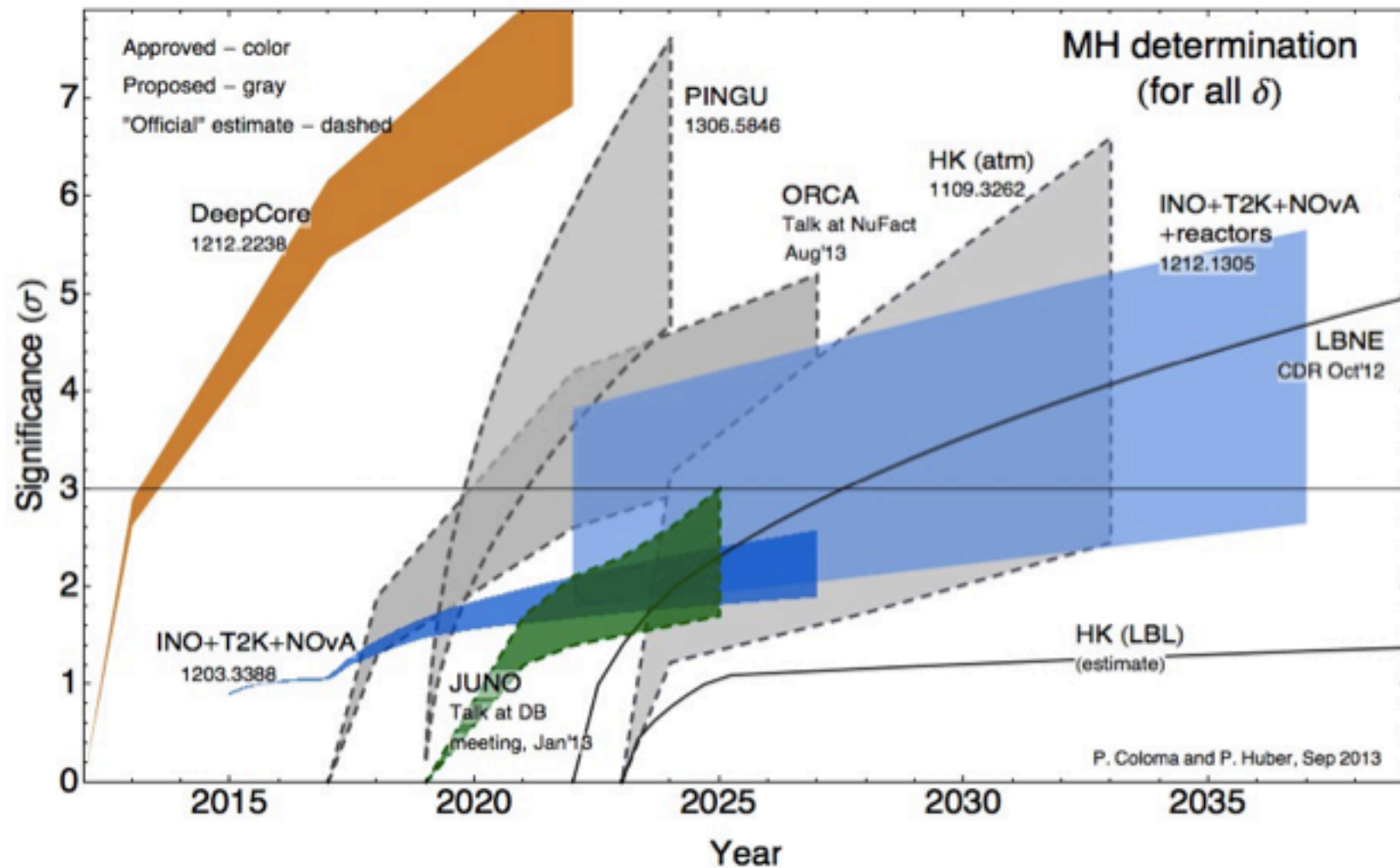


Huber, ML, Kopp,
+Schwetz (2009)

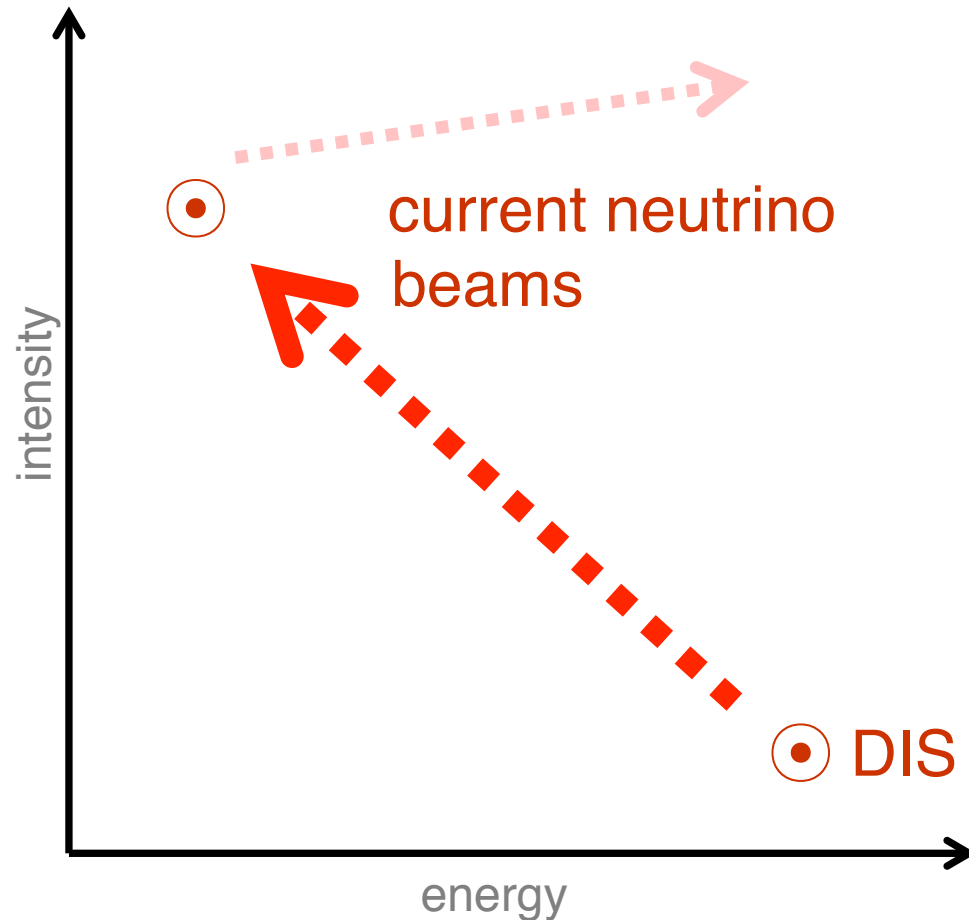
Double Chooz + Daya Bay + RENO + T2K + NoVA
→ chance to see MH and/or CPV in the next years!



The Hunt for the Mass Hierarchy



Crosssections



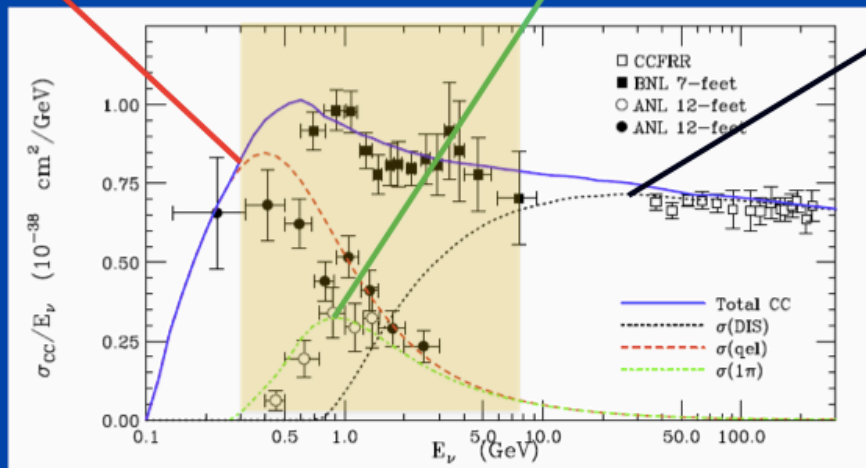
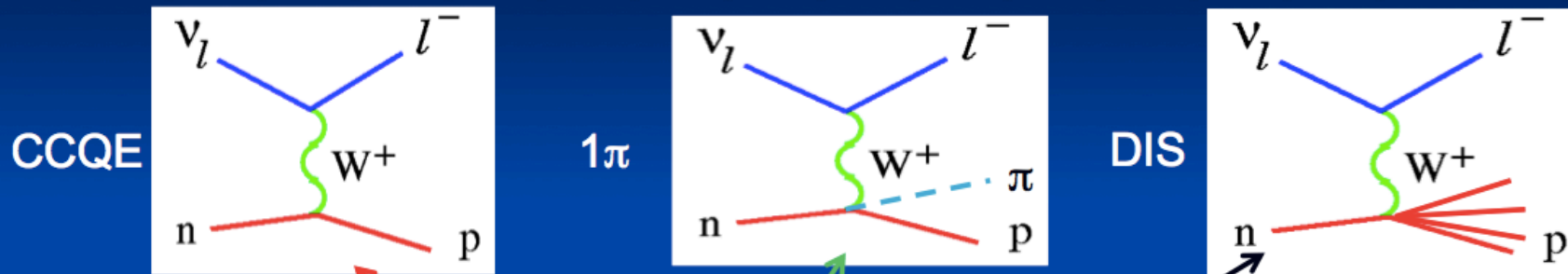
higher intensity \rightarrow lower E
shorter baseline
off-axis – better S/B
 \rightarrow x-sections toward low E

x-sections @ UHE

Mosel
Lenske
Chanfray
Schildknecht
Redij

reliable x-sections are
important in precision era!

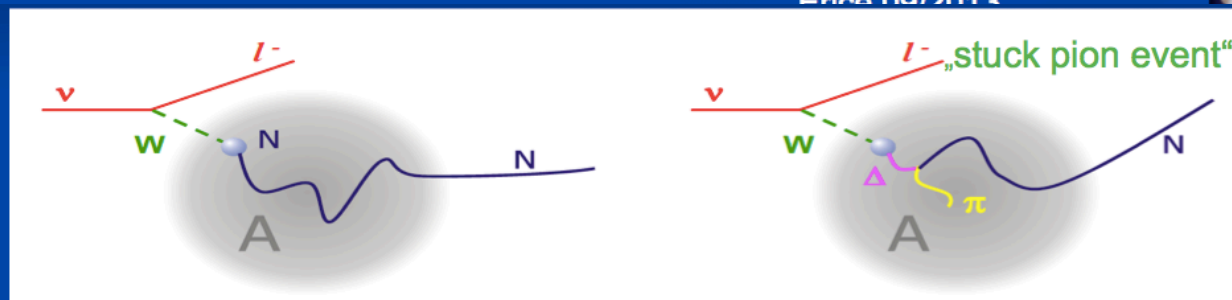
Neutrino-nucleon cross section



note:
 $10^{-38} \text{ cm}^2 = 10^{-11} \text{ mb}$

In the region of most experiments (0.5 – 10 GeV) all 3 mechanisms overlap

Eric 09/2013



downward shifts in E reconstruction

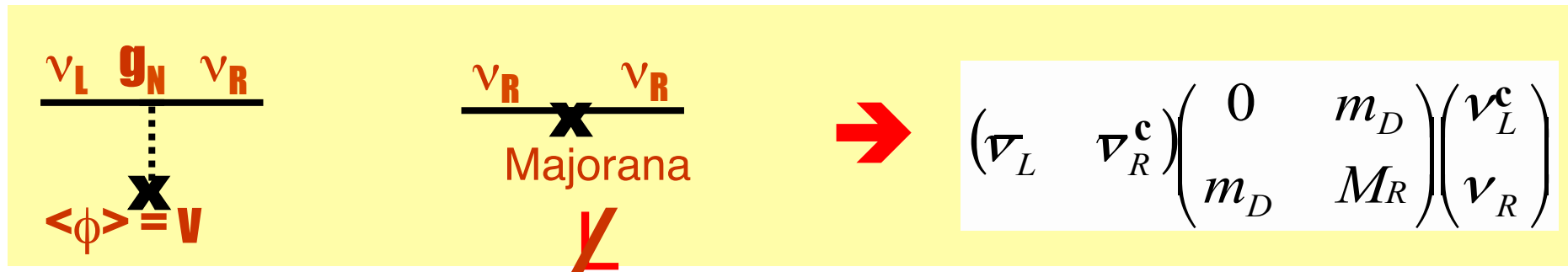
- offset in Δm^2
- important

Complication to identify QE, entangled with π production

Motivations for Sterile Neutrinos

- ν_R are simplest way to add m_ν
- most general mass terms
 \leftrightarrow lepton number?

Field	$SU(3)_C$	$SU(2)_L$	$U(1)_Y$
$L_Q = \begin{pmatrix} l_u \\ l_d \end{pmatrix}$	3	2	1/3
r_u	3	1	4/3
r_d	3	1	-2/3
$L_L = \begin{pmatrix} l_\nu \\ l_e \end{pmatrix}$	1	2	-1
$r_\nu ???$	1	1	0
r_e	1	1	-2



like quarks and charged leptons \rightarrow Dirac mass terms (including NMS mixing)

New ingredients:
 1) Majorana mass (explicit)
 2) lepton number violation

6x6 block mass matrix
 block diagonalization
 M_R heavy \rightarrow 3 light ν 's

- see-saw 'explains' smallness of neutrino masses
- leptogenesis \rightarrow minimal explanation of BAU, ...

Neutrino Masses

$$\begin{array}{c}
 \mathbf{3} \quad \mathbf{0} \dots \mathbf{N} \\
 \downarrow \quad \downarrow \\
 \left(\begin{array}{cc} \bar{\nu}_L & \bar{\nu}_R^c \end{array} \right) \left(\begin{array}{cc} M_L & m_D \\ m_D & M_R \end{array} \right) \left(\begin{array}{c} \nu_L^c \\ \nu_R \end{array} \right)
 \end{array}$$

3×3 matrix $3 \times N$ $N \times N$

M_L, m_D, M_R may have almost any form / values:

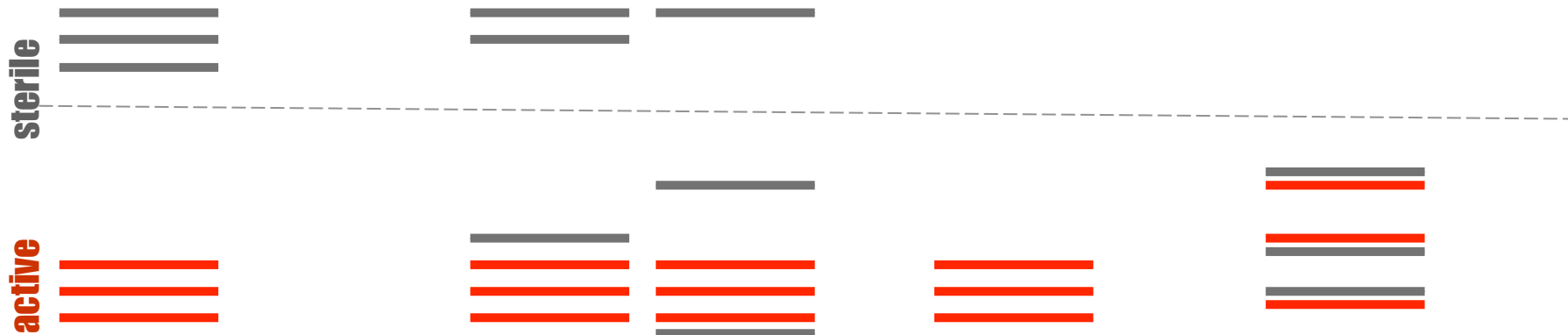
- zeros (symmetries)
- 0 + tiny corrections
- scales: M_W, M_{GUT}, \dots
- diagonalization: 3+N EV
- 3x3 active almost unitary

$M_L=0, m_D = M_W,$
 $M_R=\text{high: see-saw}$

M_R singular
 singular-SS

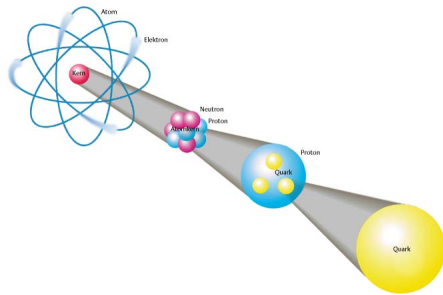
$M_L = M_R = 0$
 Dirac

$M_L = M_R = \epsilon$
 pseudo Dirac



Sterile Neutrinos: The Ghosts of Ghosts

ordinary matter



weak bosons

the visible world
- EM interactions
- strong forces
- weak forces

active neutrinos



small mixing

invisible
- only weak force
- could have been
hot dark matter...

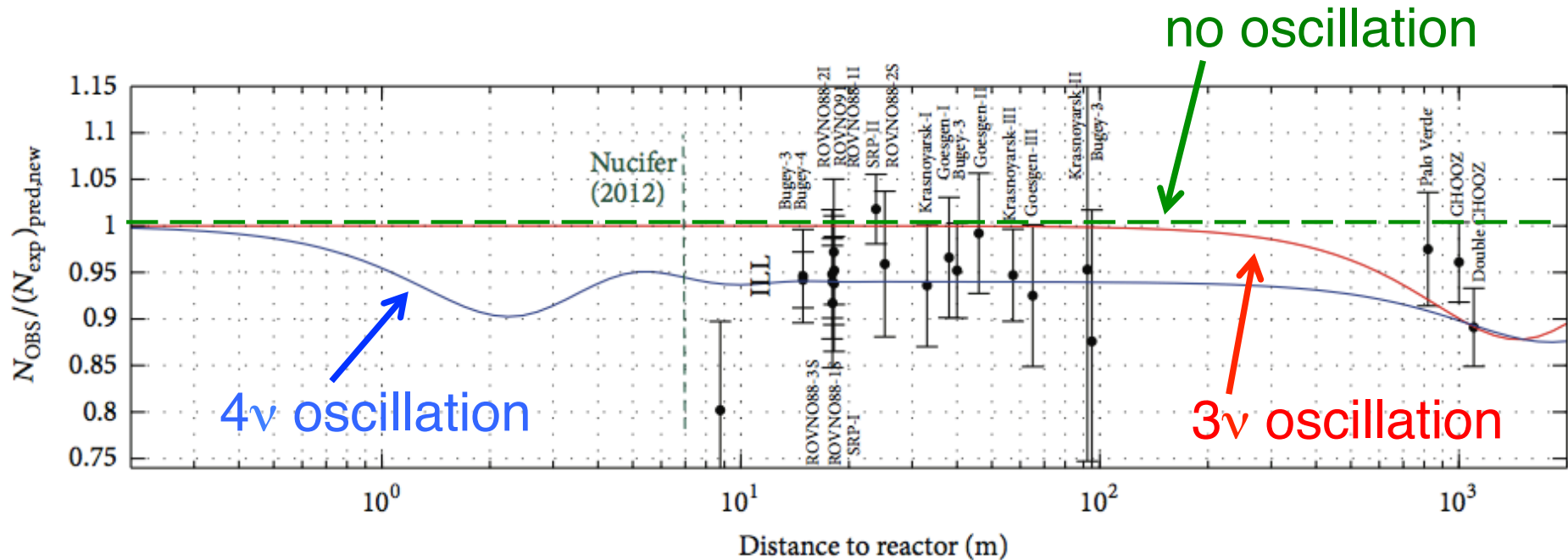
sterile neutrinos



extremely invisible
- might explain one
or more hints
- could be WDM
- ...

neutrinos have always been surprising
+ no good argument why steriles should not exist!

Various Evidences / Hints: Reactor Anomaly

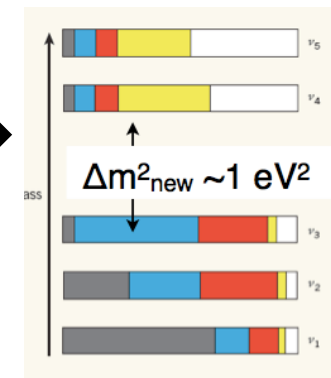


→ an extra (sterile) neutrino with a small mixing angle and a mass $O(eV)$ or heavier would have oscillated @ 10-100m

averaged out: reduction by $\frac{1}{2} * \sin^2(\theta_s) \simeq 0.06$

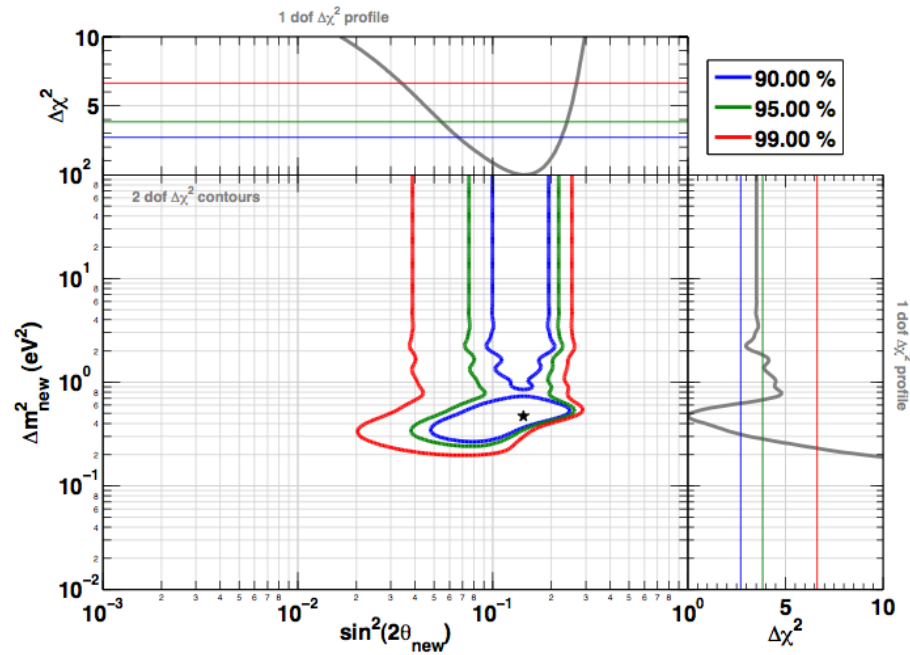
↔ active ν -unitarity tested @ few % → consistent →

→ check with a new experiment at shorter baseline

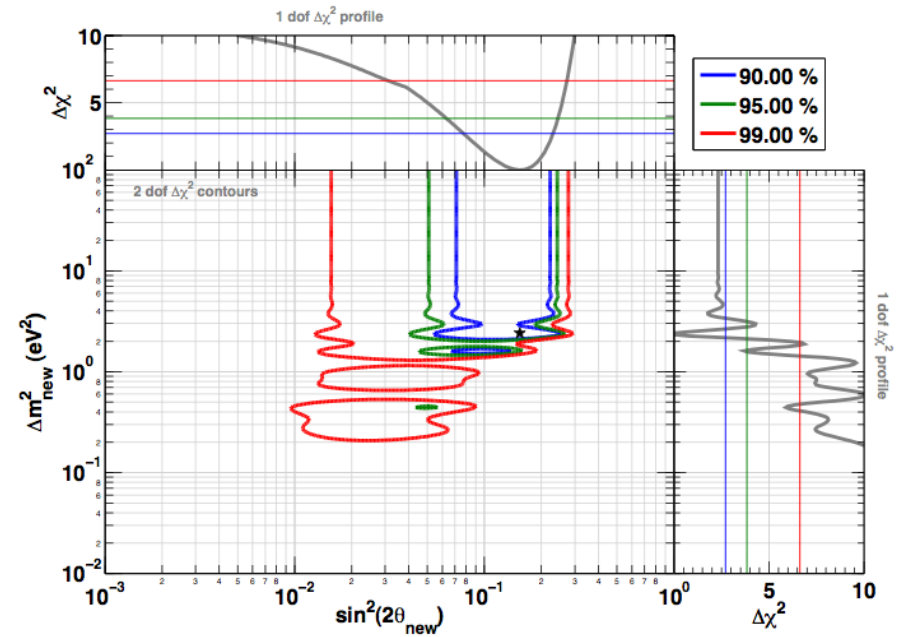


Oscillation Parameters

Rate only analysis



Rate + Shape (Bugey 3) analysis



- **Best fit: $\Delta m^2 = 2.4 \text{ eV}^2$**
- **2.9σ significance**

$$\sin^2(2\theta_{\text{new}}) = 0.14$$

Sterile Neutrinos & improved EW Fits

Akhmedov, Kartavtsev, ML, Michaels and J. Smirnov

Global fits usually assume 3x3 unitarity!

→ **unconstraint fits allow \simeq up to few% changes** Antusch et al.

→ **assume TeV-scale sterile ν 's (small mixings) → improves EW fits!**

6x6 mixing matrix:

$$\mathbf{U} = \begin{pmatrix} \mathcal{U} & \mathcal{R} \\ \mathcal{W} & \mathcal{V} \end{pmatrix}$$

(3×3) PMNS matrix \mathcal{U}

is not exactly unitary

→ **small deviations**

$$\epsilon_\alpha \equiv \sum_{i \geq 4} |\mathbf{U}_{\alpha i}|^2$$

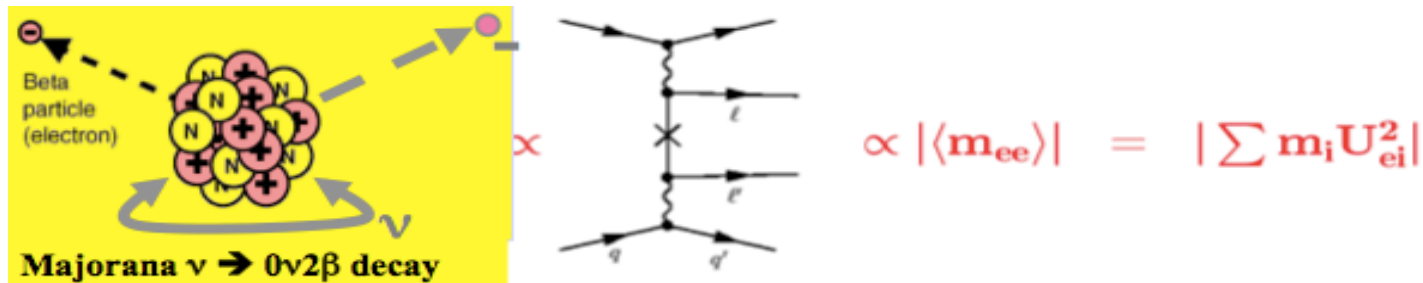
$$\epsilon_e - \epsilon_\mu = 0.0022 \pm 0.0025$$

$$\epsilon_\mu - \epsilon_\tau = 0.0017 \pm 0.0038$$

$$\epsilon_e - \epsilon_\tau = 0.0039 \pm 0.0040$$

Consequences of sterile Neutrinos

- Shifts in active neutrino parameters in global analyses
- L-violating admixture in light ν 's $\rightarrow 0\nu\beta\beta$ Beta Decay



- **Effective mass including all states:**

$$|\langle m_{ee} \rangle| \approx \left| \sum_{i=1}^3 U_{ei}^2 m_i - \sum_{i=4}^{3+n} F(A, M_i) U_{ei}^2 m_i \right|$$

$$F(A, m_i) \approx (m_a/m_i)^2 f(A)$$

$f(A)$ depends on the decaying isotope

today $|\langle m_{ee} \rangle| < 0.4 \text{ eV} \rightarrow$ will improve ... soon!

Important: New Sterile Experiments & Plans

Experiment Type	Appearance / Disappearance	Oscillation Channel	Projects
Reactor	Disappearance	$\bar{\nu}_e \rightarrow \bar{\nu}_e$	Nucifer, Stéréo, Scraam, Neutrino-4, DANSS, Poséidon, MARS, ...
Radioactive Source	Disappearance	$\bar{\nu}_e \rightarrow \bar{\nu}_e$ $\nu_e \rightarrow \nu_e$	CeLAND, SOX (Cr & Ce), Sage2, SNO+, LENS-s
Cyclotron	Disappearance	$\bar{\nu}_e \rightarrow \bar{\nu}_e$	IsoDAR
Pion / Kaon Decay-at-Rest	Apparition & Disappearance	$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ $\nu_e \rightarrow \nu_e$	OscSNS, CLEAR, DAEΔALUS, KDAR
Pion Decay-in-Flight (Beam)	Appearance & Disappearance	$\nu_\mu \rightarrow \nu_e$ $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ $\nu_\mu \rightarrow \nu_\mu$ $\nu_e \rightarrow \nu_e$	MINOS+, MicroBooNE, LAr1kton+MicroBooNE, Icarus/Nessie@CERN
Low-E Neutrino Factory	Appearance & Disappearance	$\nu_e \rightarrow \nu_\mu$ $\bar{\nu}_e \rightarrow \bar{\nu}_\mu$ $\nu_\mu \rightarrow \nu_\mu$ $\bar{\nu}_e \rightarrow \bar{\nu}_e$	vSTORM@Fermilab

Potential \leftrightarrow hints, speed, cost \leftrightarrow funding, challenges, ...

Daya Bay Future

Improved precision on oscillation parameters

- Constrains non-standard oscillation models
- Improves reach of future neutrino experiments

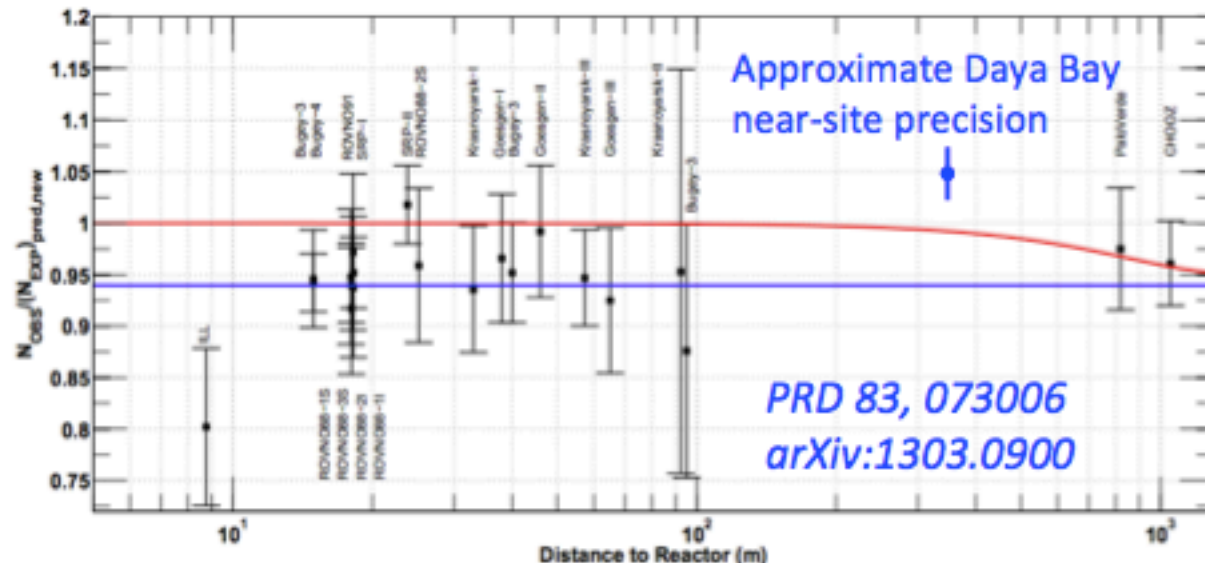
Measure absolute reactor neutrino flux

- Explore the 'reactor antineutrino anomaly'
- Precise spectrum probes reactor models

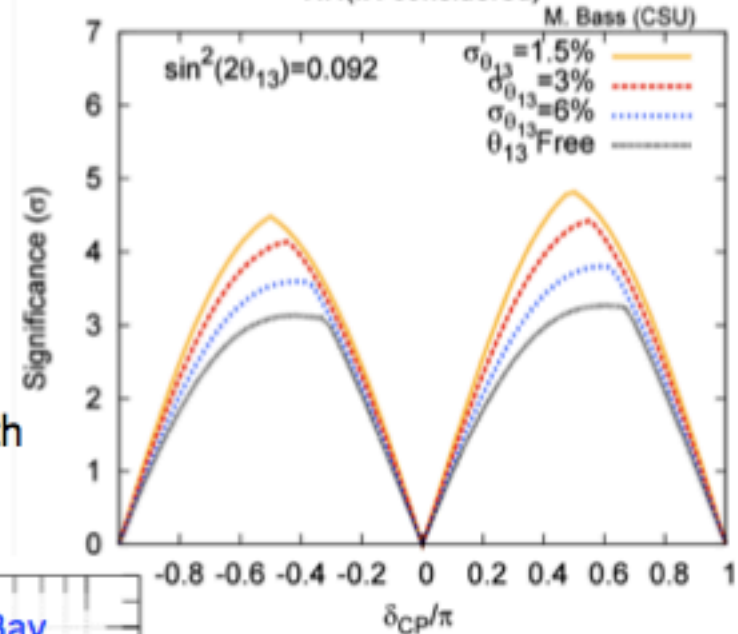
Cosmogenic Backgrounds

- Measurement of cosmogenic production vs. depth

Supernova Neutrinos

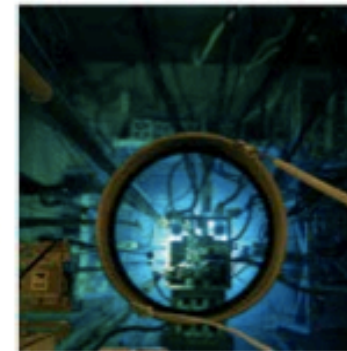
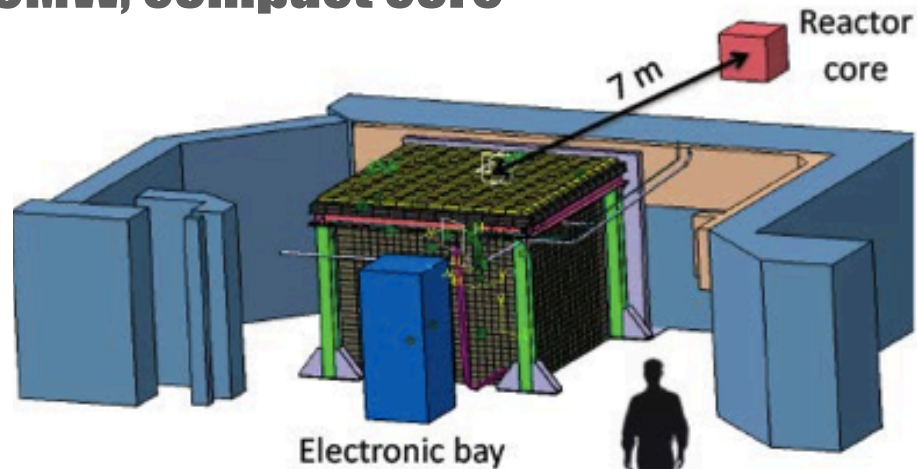


CPV Significance vs δ_{CP}
Homestake 10kt + NOvA(6) + T2K
NH(IH considered)



NUCIFER @ Osiris (Paris)

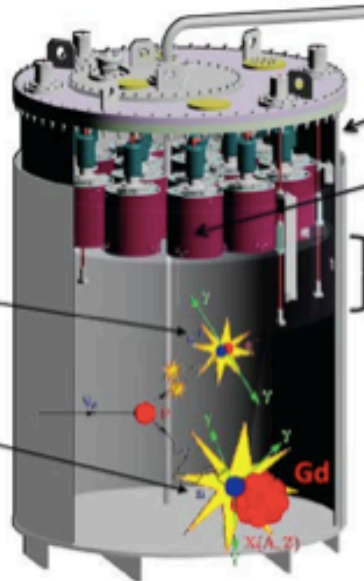
70MW, compact core



core: $\sigma \sim 0.3\text{m}$
baseline: 7m

"inverse β -decay"
process
 $\bar{\nu}_e + p \rightarrow e^+ + n$

Prompt e^+ signal
+
Delayed neutron
signal ($\Delta t \sim 30 \mu\text{s}$)



- Norm error = 4%
- 100 days full power @ Osiris
- S/B = 1 (?), assuming same shapes (worst case).
- E resol = 0.15 * E

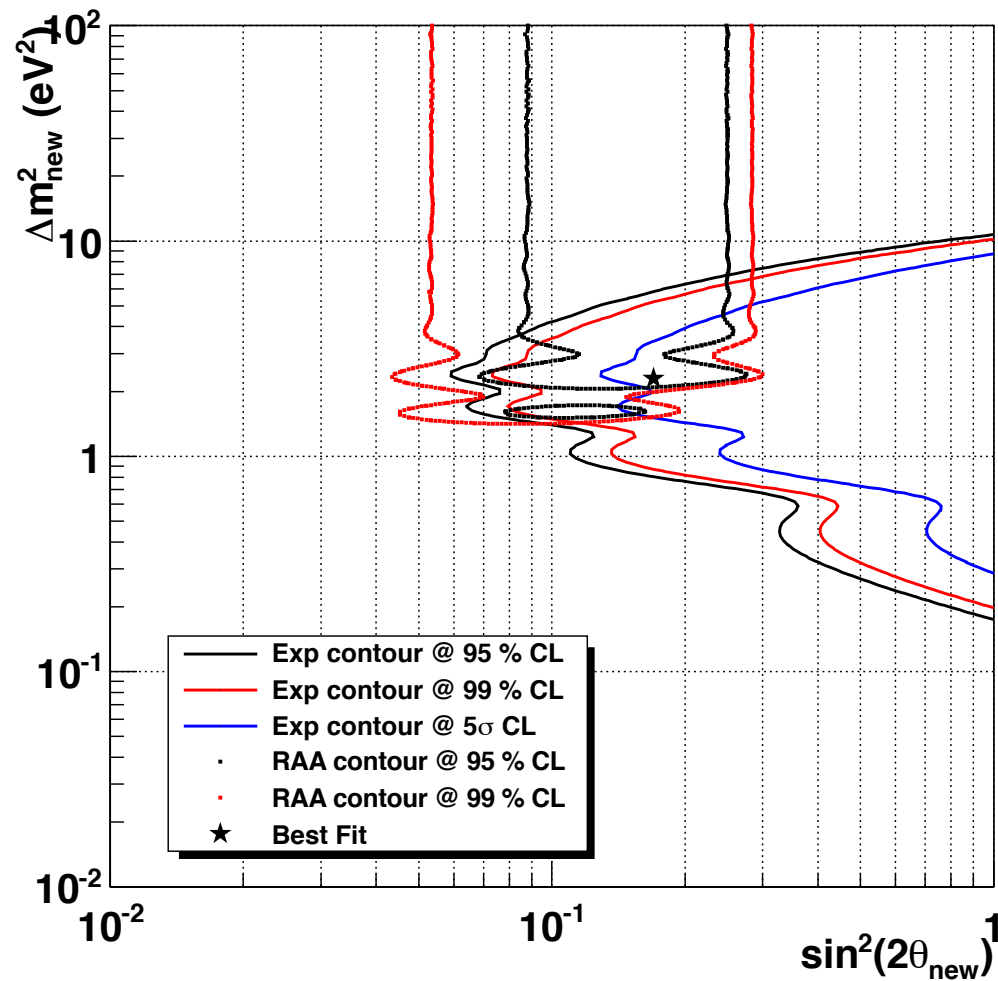
Commissioning and Data Taking



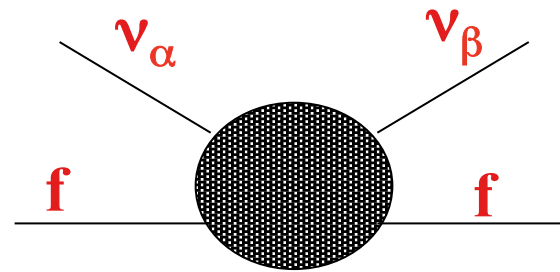
Since April 2012 fully operational → data taking
Since 2013 data taking with Double Chooz type scintillator
→ stable operation, $O(50k \nu/\text{year})$
Now: Few months interruption due to reactor service...

NUCIFER Sensitivity versus Hints

300 days @ Osiris



New Physics, NSIs & ν -Oscillations



$$|\epsilon| \simeq \frac{M_W^2}{M_{NSI}^2}$$

Future precision oscillation experiments:

Source	⊗	Oscillation	⊗	Detector
<ul style="list-style-type: none"> - neutrino energy E - flux and spectrum - flavour composition - contamination - symmetric $\nu/\bar{\nu}$ operation 		<ul style="list-style-type: none"> - oscillation channels - realistic baselines - MSW matter profile - degeneracies - correlations 		<ul style="list-style-type: none"> - effective mass, material - threshold, resolution - particle ID (flavour, charge, event reconstruction, ...) - backgrounds - x-sections (at low E)



precision experiments might see new effects beyond oscillations!

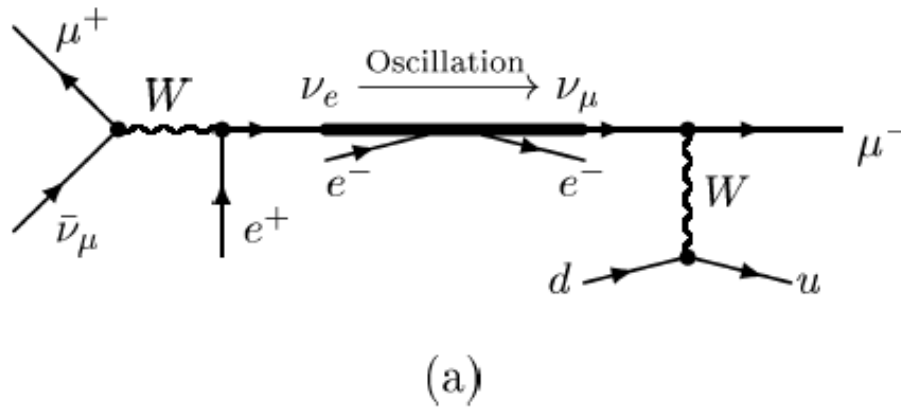
→ modifications of 3f oscillation formulae, different L/E

→ small event rates: offset in oscillation parameters

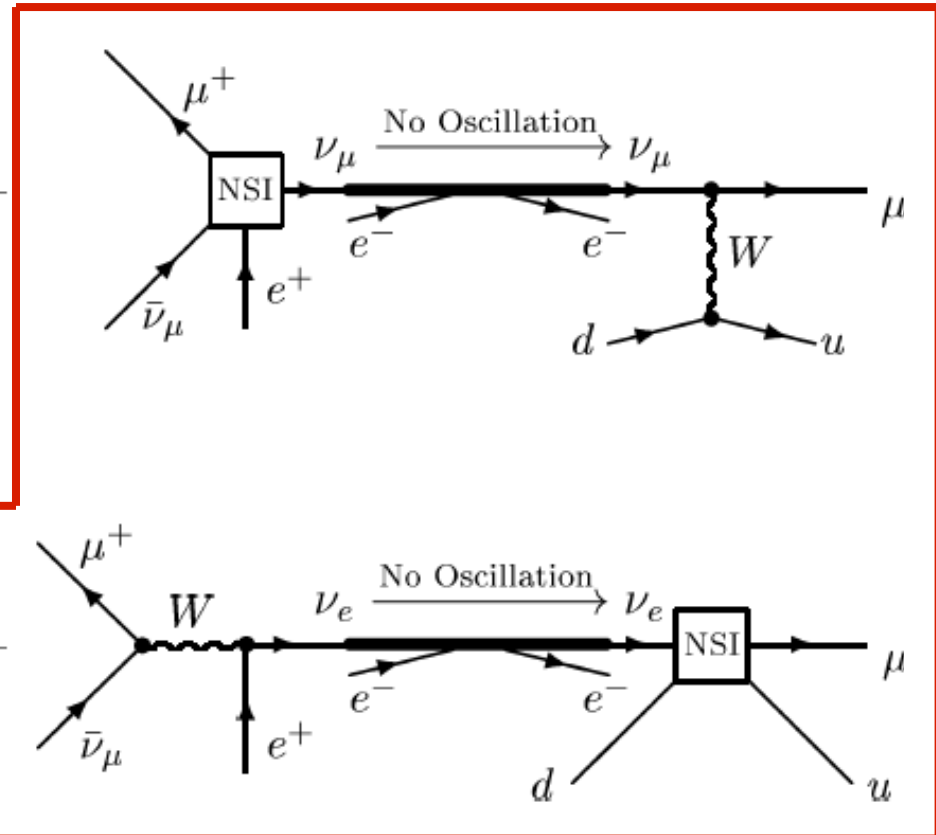
→ Non Standard Interactions = NSI's

NSIs interfere with Oscillations

the “golden” oscillation channel

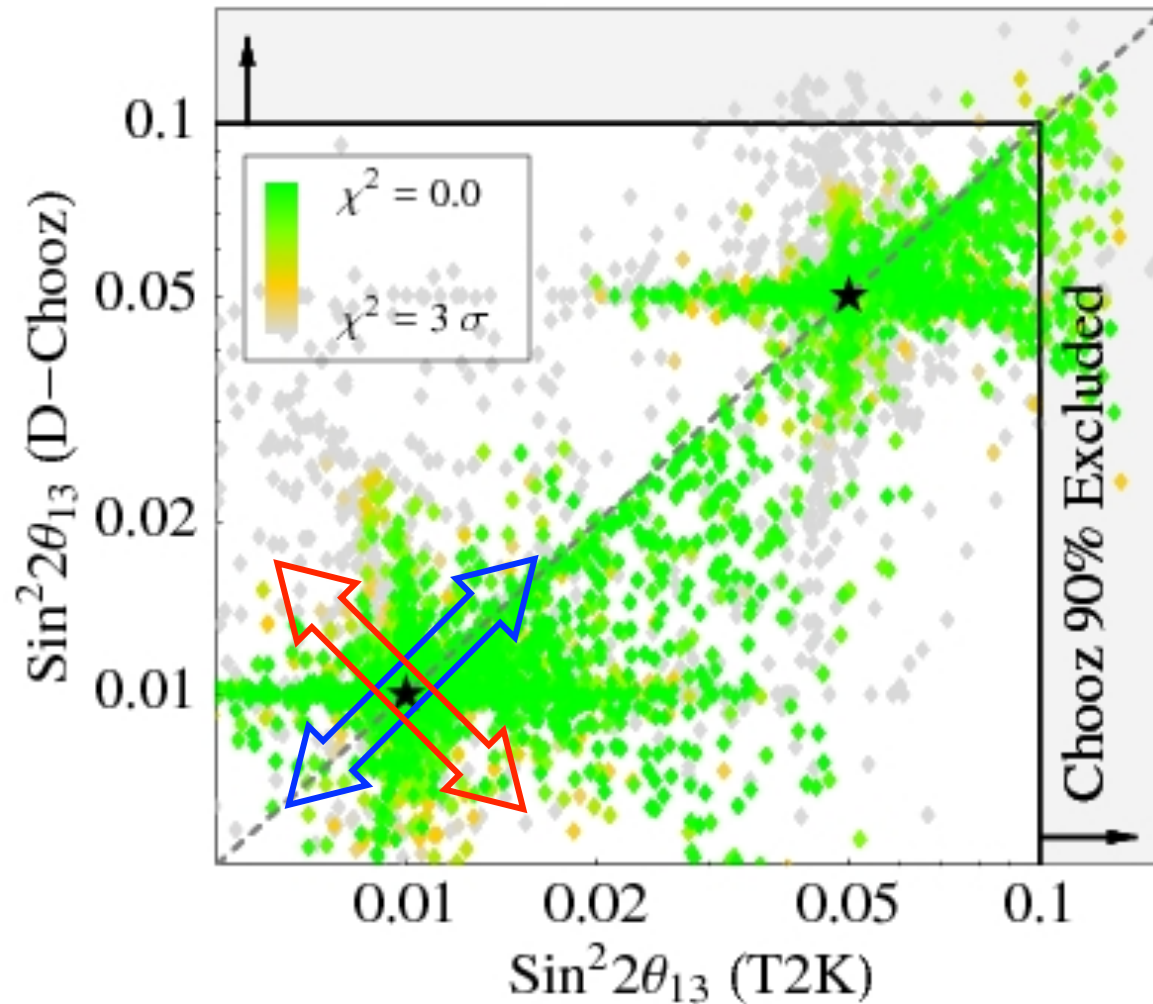


NSI contributions to the “golden” channel



note: interference in oscillations $\sim \epsilon$ \leftrightarrow FCNC effects $\sim \epsilon^2$

NSI: Offset and Mismatch in θ_{13}



redundant measurement of θ_{13}

Double Chooz + T2K

***=assumed 'true' values of θ_{13}**

scatter-plot:

- ϵ values random
- below existing bounds
- random phases

NSIs can lead to:

- **offset**
- **mismatch**

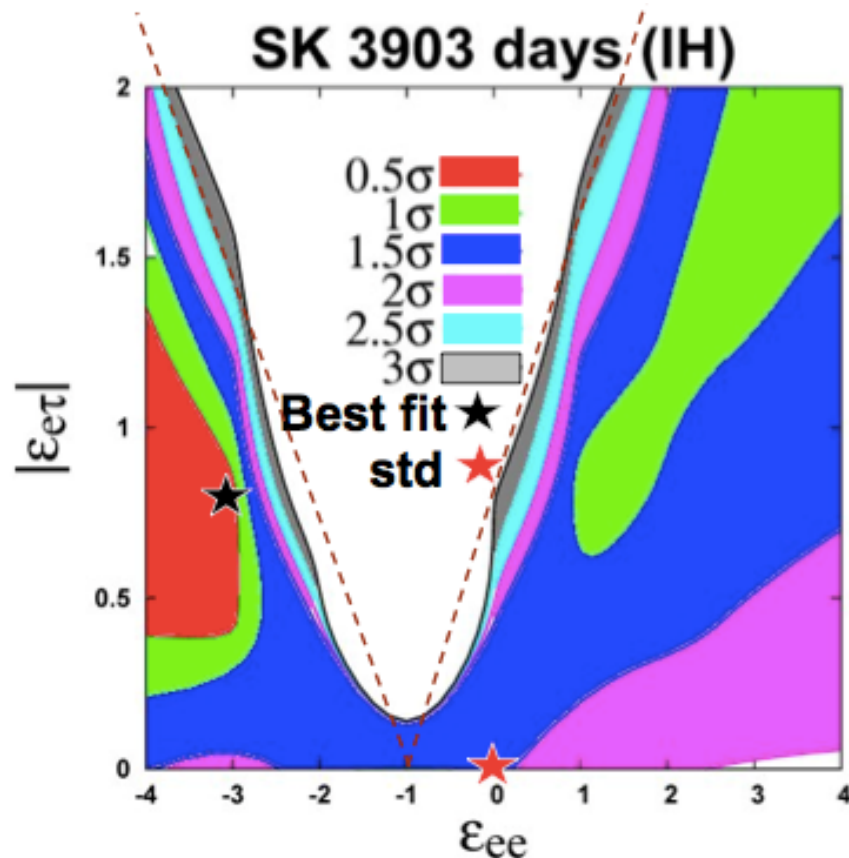
- ➔ **redundancy**
- ➔ **interesting potential**

Kopp, ML, Ota, Sato

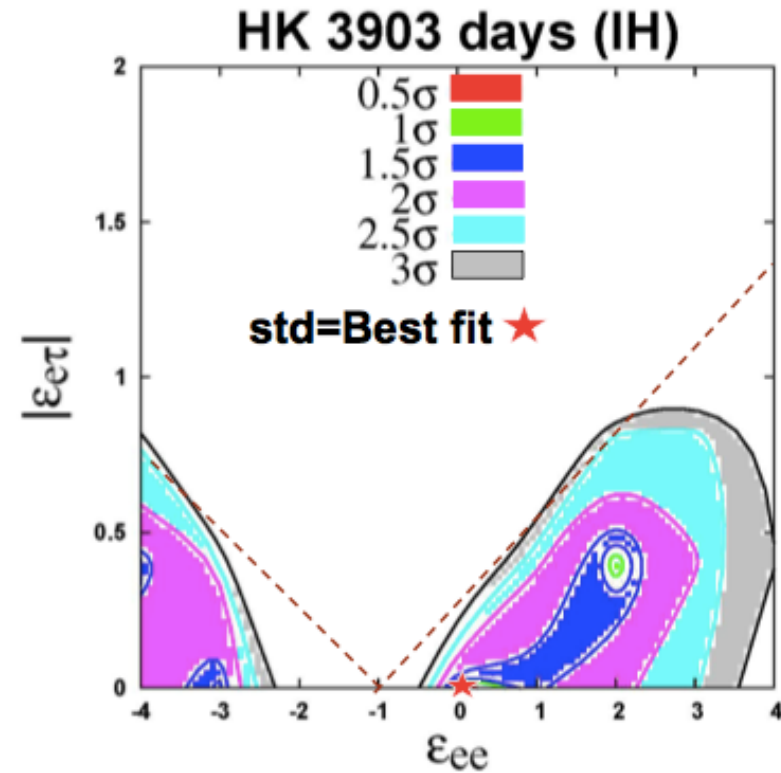
Yasuda

● Under the assumptions $\epsilon_{e\mu} = \epsilon_{\mu\mu} = \epsilon_{\mu\tau} = 0$ & $\epsilon_{\tau\tau} = |\epsilon_{e\tau}|^2 / (1 + \epsilon_{ee})$, we studied sensitivity to NSI in propagation of ν_{atm} at SK & HK.

Constraint by SK on $\epsilon_{ee}, |\epsilon_{e\tau}|$



Sensitivity of HK



Interesting R&D and New Ideas

experimental physics is driven by

- **technological inventions**
- **improvements in instrumentation**
- **great ideas**

→ scaling-up of projects + healthy spectrum of new developments

↔ trend to bigger project with constant (reduced) overall funds

→ healthy base budget of all research groups (esp. universities)

→ would also lead to the realization of new ideas

→ less road maps... with 100% of the communities budget

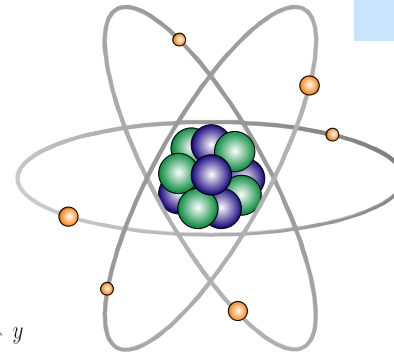
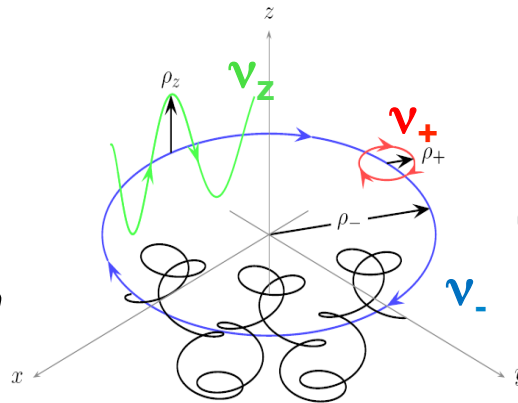
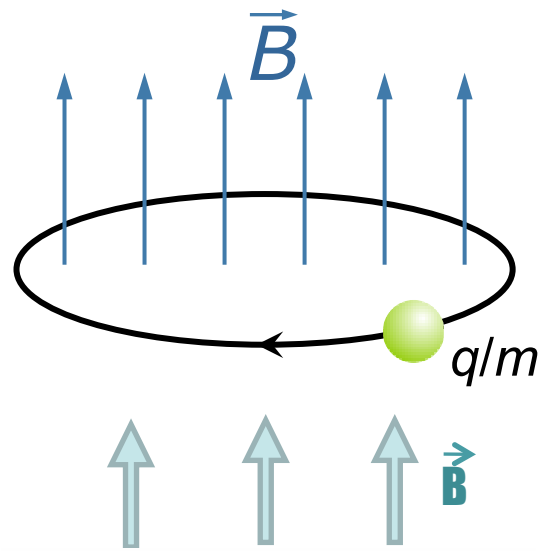
two examples →

Blaum Atom Traps & Neutrino Physics

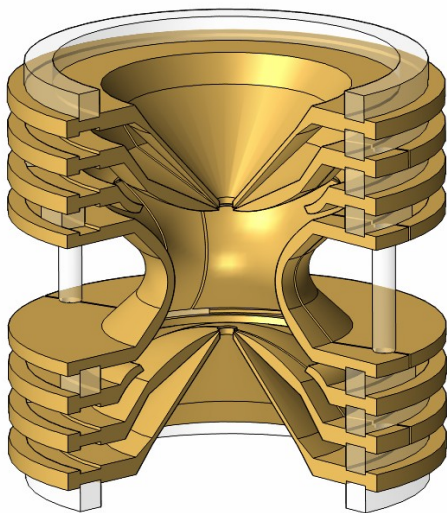
Penning-trap spectrometry → high precision

cyclotron frequency:

$$f_c = \frac{1}{2\pi} \cdot \frac{q}{m} \cdot B$$



$$= N \cdot \text{green sphere} + Z \cdot \text{blue sphere} + Z \cdot \text{orange sphere} - \text{binding energy}$$



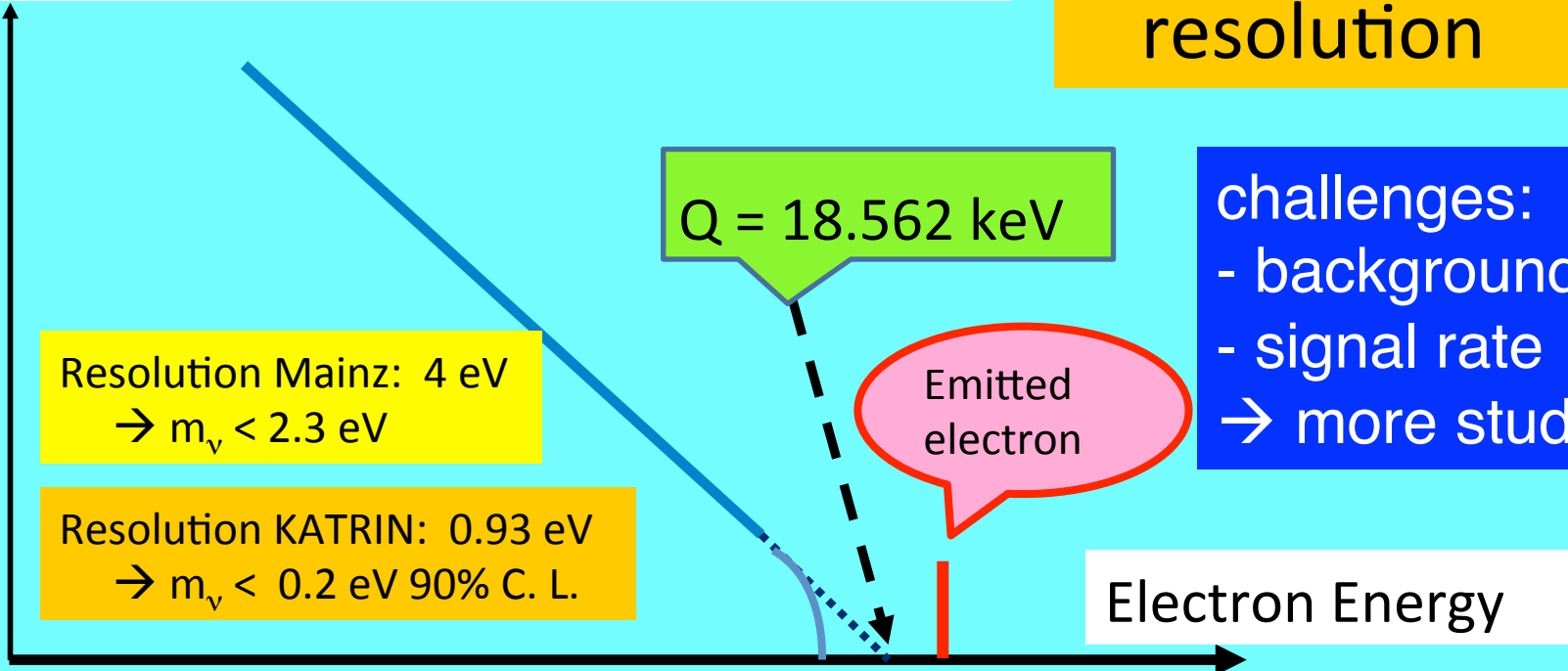
Topics:

- nuclear masses for KATRIN and ECHO
- resonance enhanced $0\nu\beta\beta$ candidates
- precision determination of $Q_{\beta\beta}$
- ...
- precision at the level of 10^{-11} expected

Search for Cosmic Neutrino Background CνB by Beta decay: Tritium

Kurie-Plot of Beta and induced Beta
Decay: $\nu(\text{CB}) + {}^3\text{H}(1/2^+) \rightarrow {}^3\text{He}(1/2^+) + e^-$

Infinite good
resolution



challenges:
- backgrounds
- signal rate
→ more studies

Fit parameters:
 m_ν^2 and Q value meV

Electron Energy

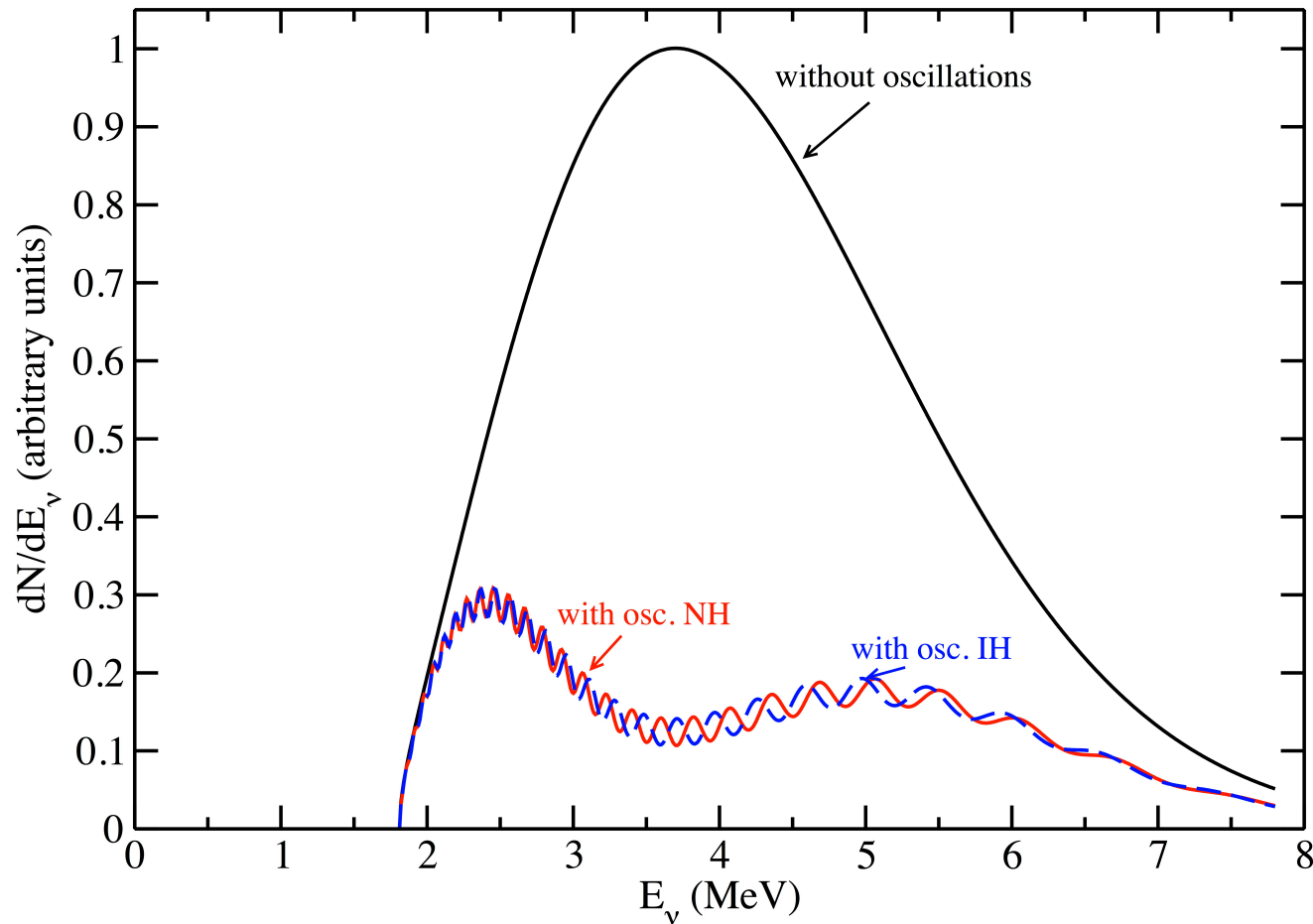
Additional fit: only
intensity of CνB

2xNeutrino
Masses

Mass Hierarchy from Reactor Neutrinos

Vogel

reactor neutrino spectrum at 60 km



interesting idea
challenging

- resolution
- energy scale
- nonlinearity
- multiple reactor smearing

→ further studies

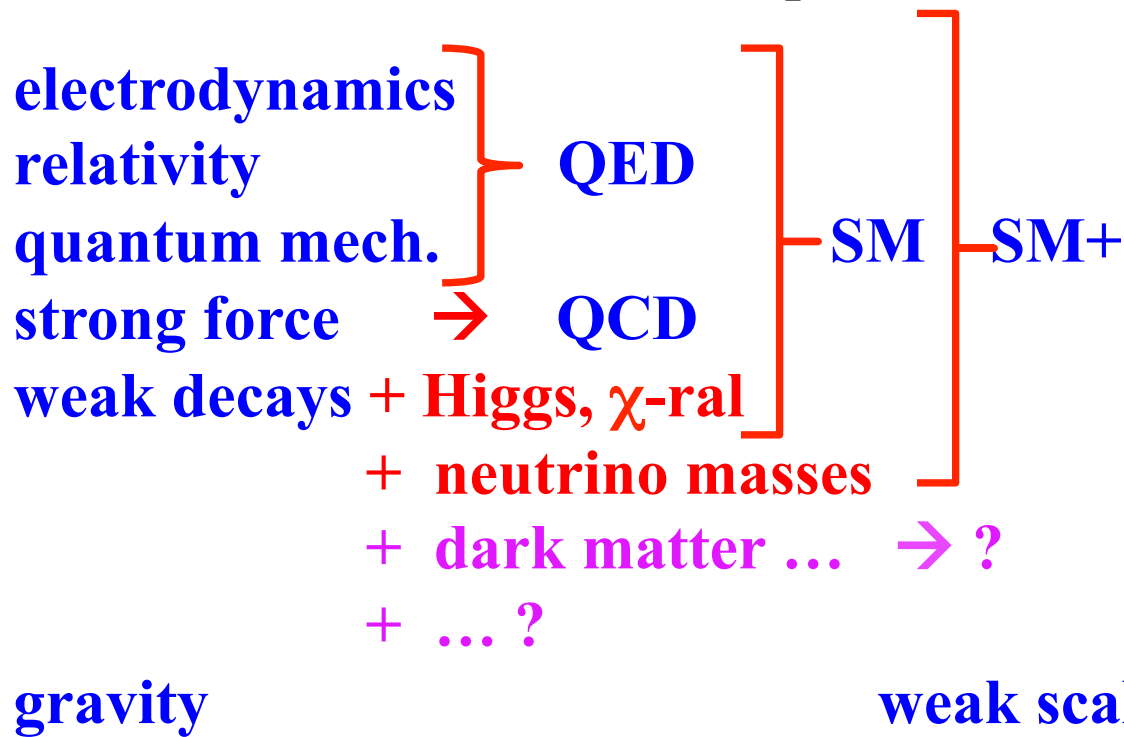
Theory: The SM –a Synergy of Concepts

d=4 QFTs:

QED	→ QCD	→ SM
$U(1)_{em}$	$SU(3)_C$	$SU(3)_C \times SU(2)_L \times U(1)_Y$

Physics: concepts (variables) ⊕ equations / principles

initial conditions → predictions

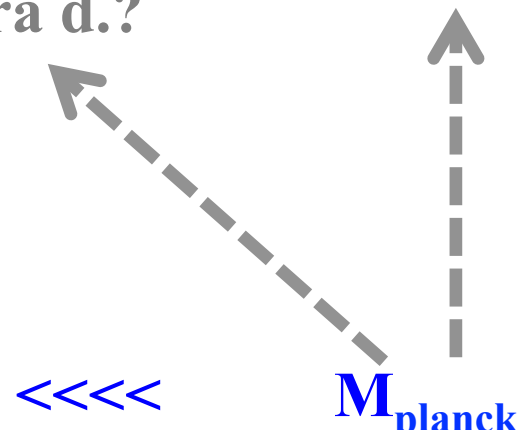


territory of speculation:

LR? TC? ...?

SUSY? GUTs? TOE?

extra d.?



Note: GR non-renormalizable... maybe good: QFT's cannot explain scales → other concepts

cartoon removed

neutrinos

Adding Neutrino Mass Terms to the SM

Fermion fields

Field	$SU(3)_C$	$SU(2)_L$	$U(1)_Y$
$L_Q = \begin{pmatrix} l_u \\ l_d \end{pmatrix}$	3	2	1/3
r_u	3	1	4/3
r_d	3	1	-2/3
$L_L = \begin{pmatrix} l_\nu \\ l_e \end{pmatrix}$	1	2	-1
$r_\nu ???$	1	1	0
r_e	1	1	-2

← ???

Dirac equation \rightarrow mass terms: $m \bar{\Psi} \Psi = m (\bar{L} r + \bar{r} L)$

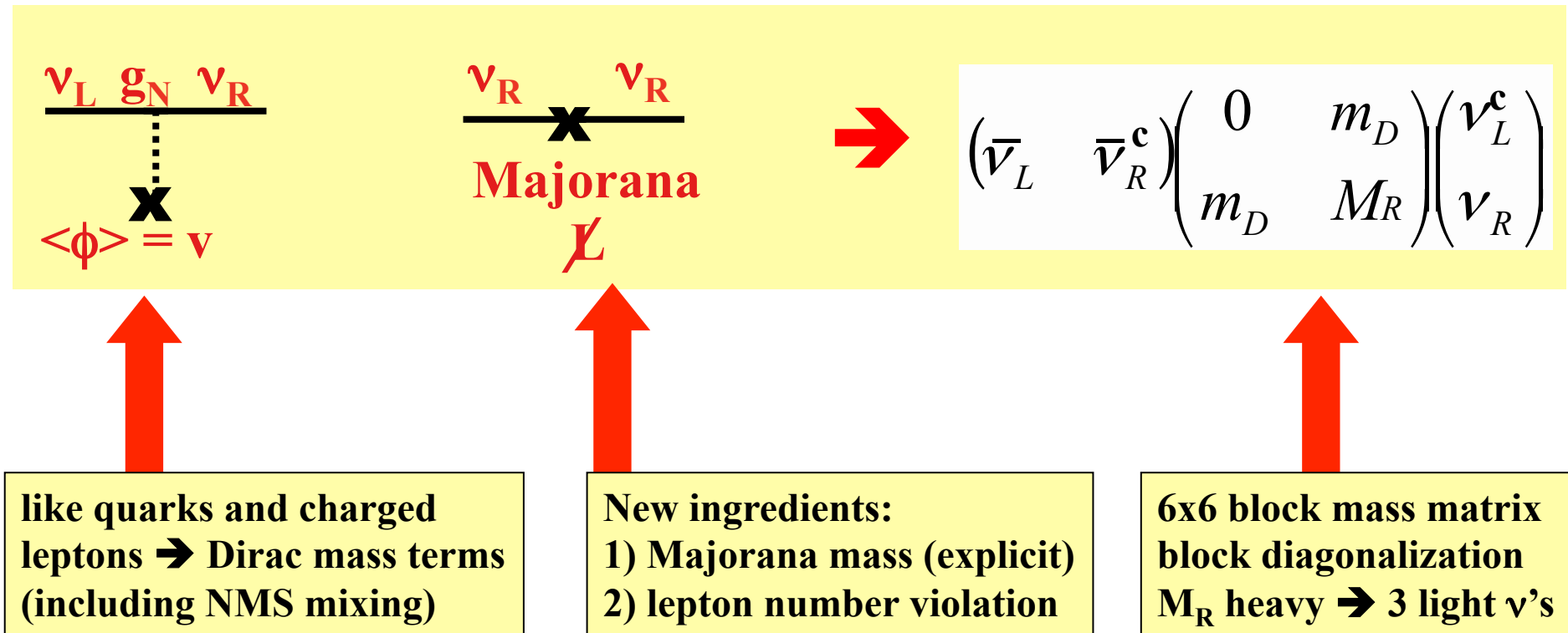
$L=2_L, r=1_L \rightarrow$ SM has no $SU(2)_L$ singlet mass terms

\rightarrow Yukawa couplings:

$\Phi=2_L \rightarrow m (\bar{L} \Phi r + \bar{r} \Phi L) \rightarrow$ singlet mass via $\langle \Phi \rangle = v$

Adding Neutrino Mass Terms

1) Simplest possibility: add 3 right handed neutrino fields



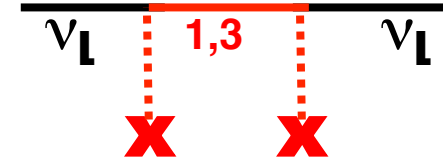
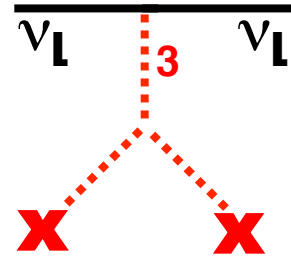
NEW ingredients, 9 parameters \rightarrow SM+

2) Maybe 3+N right handed neutrino fields

→ (6+N) x (6+N) mass matrix

→ how many of the 6+N eigenvalues are light (also for N=0)

3) new: scalar triplets (3_L) or fermionic 1_L or 3_L



→ left-handed Majorana mass term:

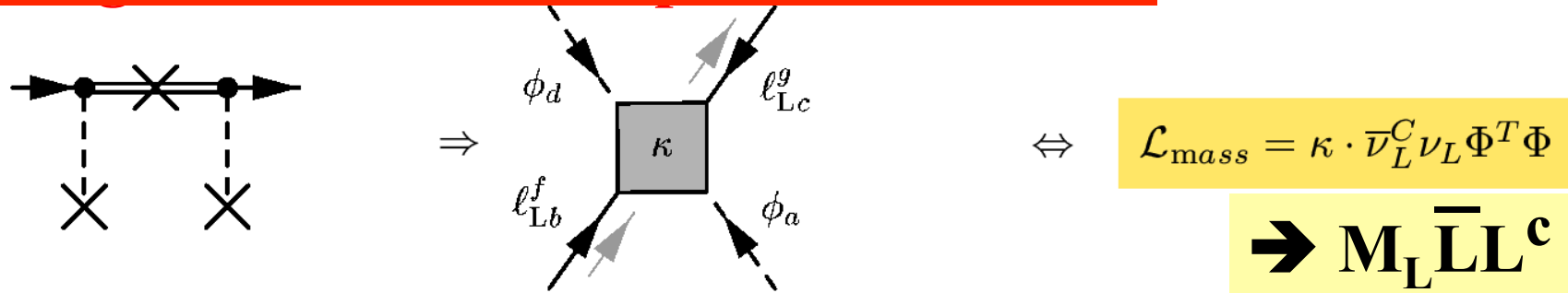
$$\rightarrow M_L \bar{L} L^c$$

4) Both ν_R and new singlets / triplets:

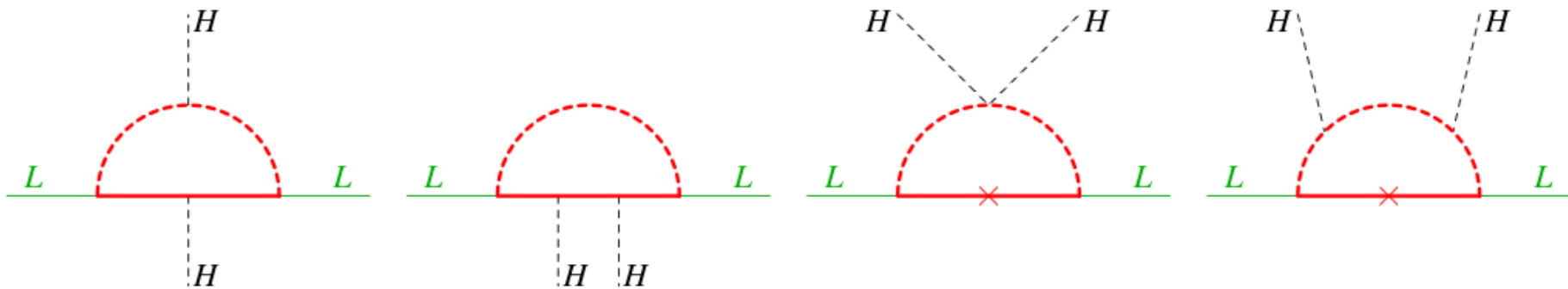
→ see-saw type II, III

$$m_\nu = M_L - m_D M_R^{-1} m_D^T$$

5) Higher dimensional operators: $d=5, \dots$



6) Radiative neutrino mass generation



7-N) SUSY, extra dimensions, ...

\rightarrow many options... \leftrightarrow few ν parameters

Other effective BSM Operators \leftrightarrow NSI

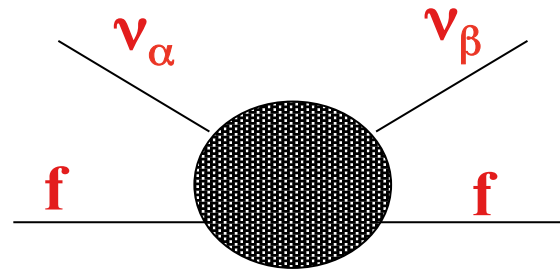
→ effects beyond 3 flavours

→ **Non Standard Interactions = NSIs** → effective 4f operators

$$\mathcal{L}_{NSI} \simeq \epsilon_{\alpha\beta} 2\sqrt{2}G_F (\bar{\nu}_{L\beta} \gamma^\rho \nu_{L\alpha}) (\bar{f}_L \gamma_\rho f_L)$$

- **integrating out heavy physics (c.f. $G_F \leftrightarrow M_W$)**

$$|\epsilon| \simeq \frac{M_W^2}{M_{NSI}^2}$$



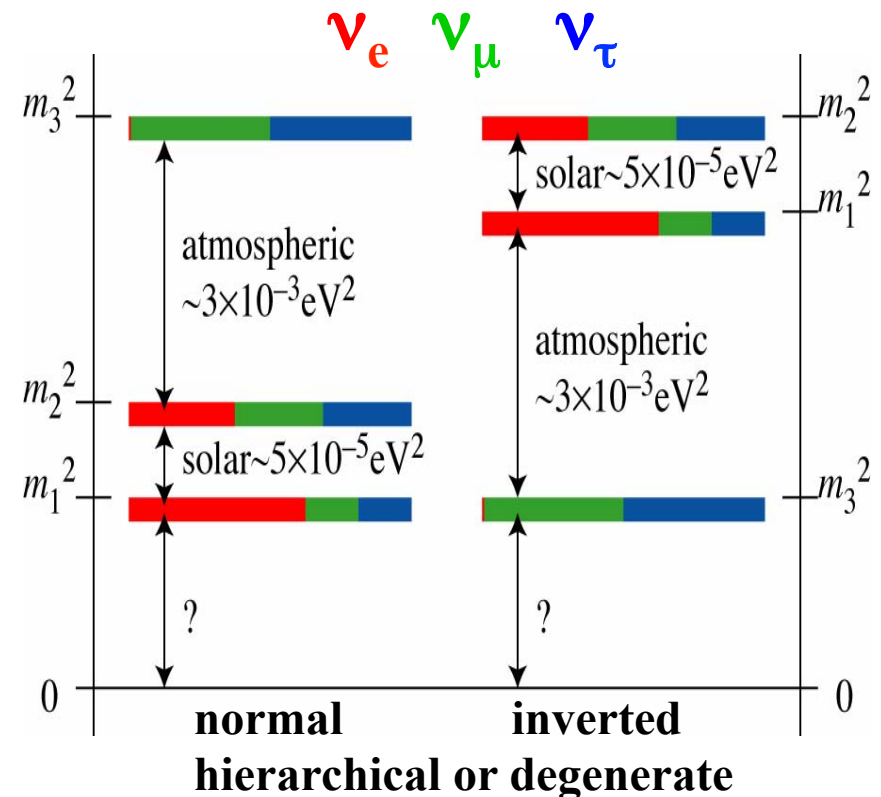
Parameters for 3 Light Neutrinos

mass & mixing parameters: m_1 , Δm^2_{21} , $|\Delta m^2_{31}|$, $\text{sign}(\Delta m^2_{31})$

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \text{diag}(e^{i\alpha}, e^{i\beta}, 1)$$

questions:

- Dirac / Majorana
- mass scale: m_1
- mass ordering: $\text{sgn}(\Delta m^2_{31})$
- how small is θ_{13} , θ_{23} maximal?
- leptonic CP violation
- 3 flavour unitarity?
- why 3 generations, $d=4$, gauge group, ...



The larger Picture: GUTs

Gauge unification suggests that some GUT exists

Requirements:

gauge unification

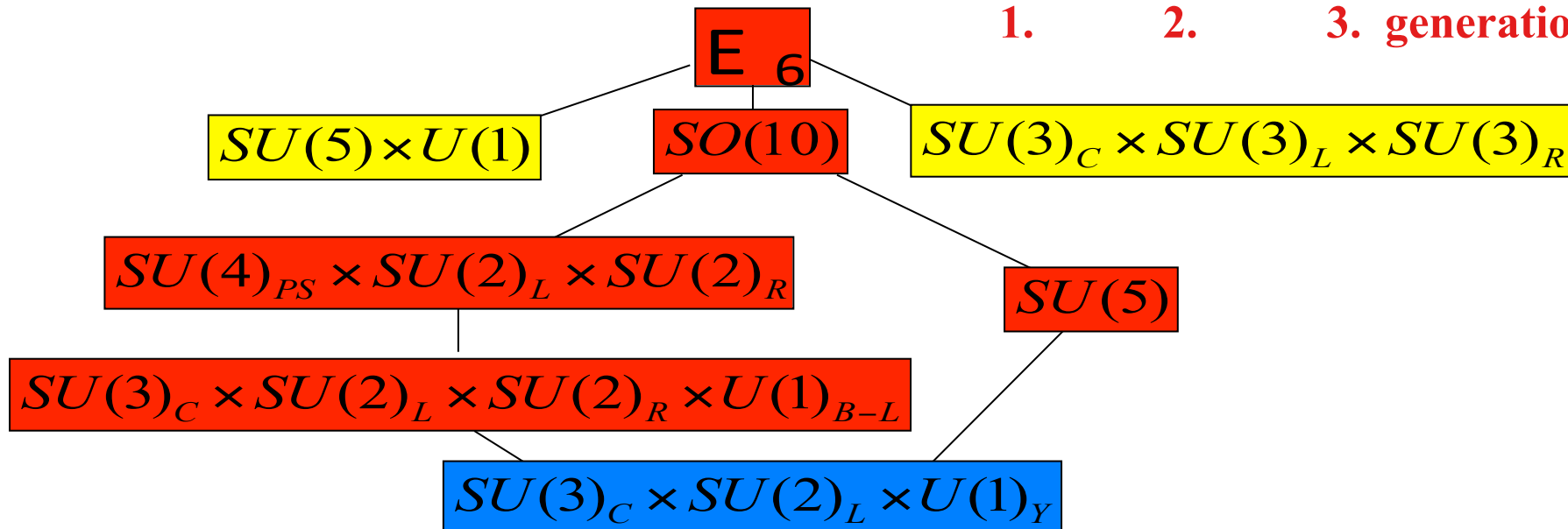
particle multiplets $\leftrightarrow \nu_R$

proton decay

...

Quarks	$2/3$	$2/3$	$2/3$
	u ~5	c ~1350	t 175000
	$-1/3$	$-1/3$	$-1/3$
	d ~9	s ~175	b ~4500
Leptons	$0?$	$0?$	$0?$
	ν_1	ν_2	ν_3
	e 0.511	μ 105.66	τ 1777.2

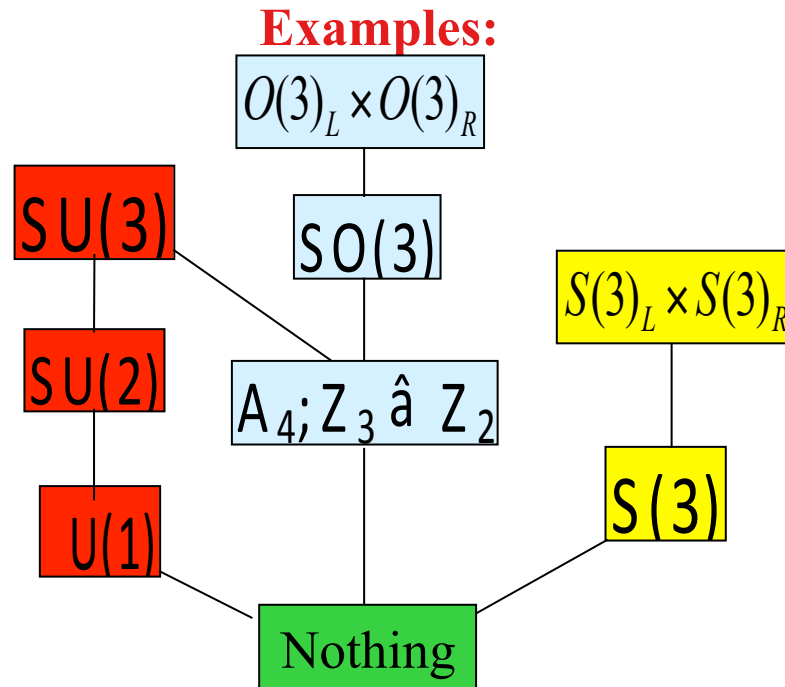
1. 2. 3. generation



Flavour Unification

- so far **no understanding of flavour, 3 generations**
- apparant regularities in quark and lepton parameters
- ➔ flavour symmetries (finite number for limited rank)
- ➔ **symmetry** not texture zeros

Quarks	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$
	u ~ 5	c ~ 1350	t 175000
	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$
	d ~ 9	s ~ 175	b ~ 4500
Leptons	$0?$	$0?$	$0?$
	ν_1	ν_2	ν_3
	e 0.511	μ 105.66	τ 1777.2
	1.	2.	3.
	generation		



See talks by:
XXX

GUT & Flavour Unification

SO(10)	Quarks	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$
		u	c	t
		~ 5	~ 1350	175000
		$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$
		d	s	b
		~ 9	~ 175	~ 4500
	Leptons			
		ν_1	ν_2	ν_3
		0?	0?	0?
		e	μ	τ
		0.511	105.66	1777.2
		1.	2.	3.
		generation		
		SO(3)_F		

→ GUT group x flavour group

example: $SO(10) \times SU(3)_F$

- SSB of $SU(3)_F$ between Λ_{GUT} and Λ_{Planck}

- all flavour Goldstone Bosons eaten

- discrete sub-groups survive \leftrightarrow SSB

e.g. Z_2, S_3, D_5, A_4

→ structures in flavour space

→ compare with data

GUT x flavour is rather restricted

\leftrightarrow small quark mixings ***AND*** large leptonic mixings ; quantum numbers

→ so far only a few viable models (without supersymmetry)

rather limited number of possibilities; phenomenological success non-trivial

→ aim: distinguish models further by future precision

→ one hope: smallness of θ_{12}

Neutrino Mass Sum Rules

Rule 1

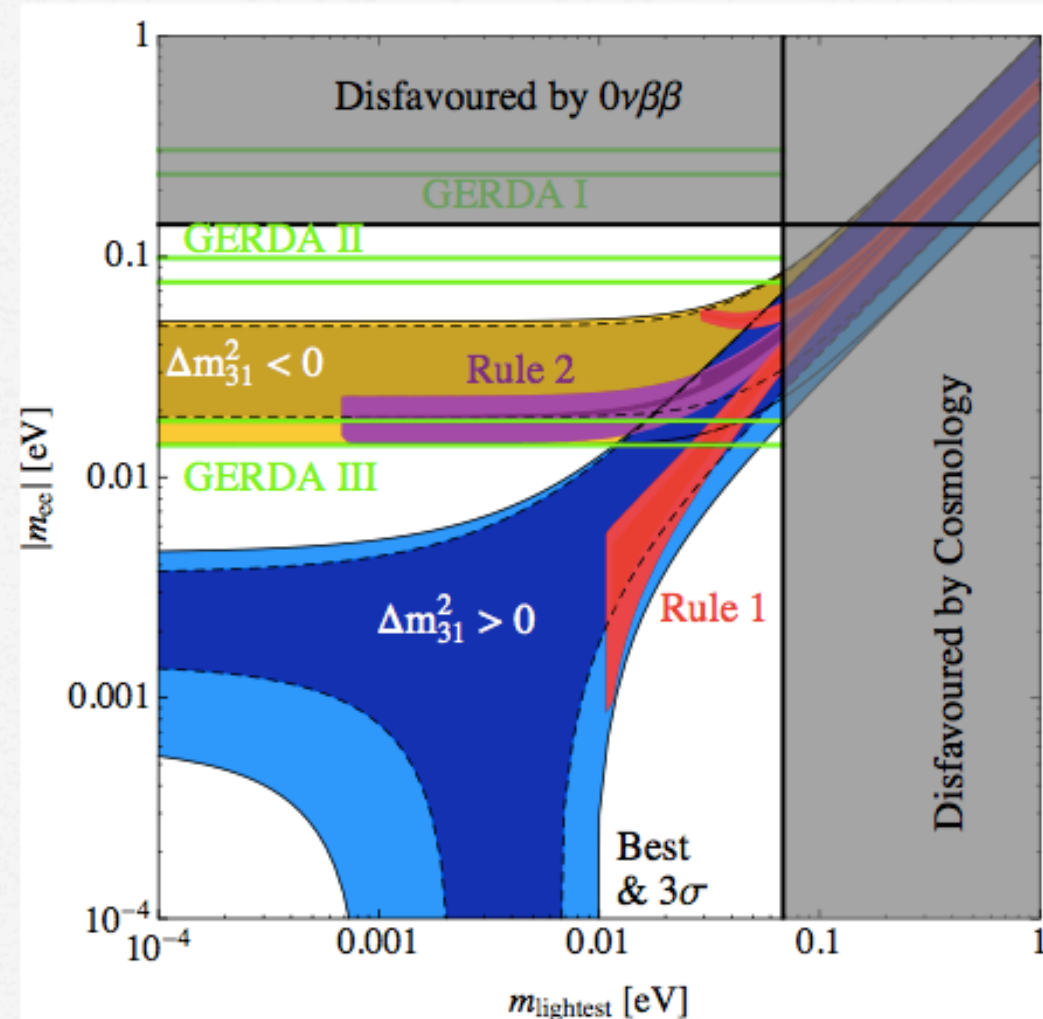
$$\frac{1}{m_1} + \frac{1}{m_2} = \frac{1}{m_3}$$

Rule 2

$$m_1 + m_2 = m_3$$

Give restricted regions

SFK, Merle, Stuart



Sum Rules in Models

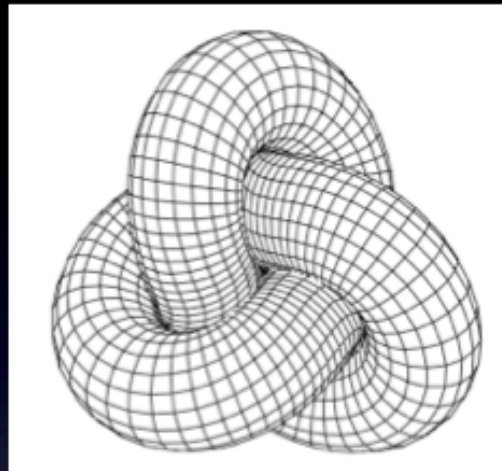
SFK, Merle, Stuart

Sum Rule	Group	Seesaw Type	Matrix
$\tilde{m}_1 + \tilde{m}_2 = \tilde{m}_3$	A_4 [21, 54, 61–64]; S_4 [66]; A_5 [65]*	Weinberg	M_ν
$\tilde{m}_1 + \tilde{m}_2 = \tilde{m}_3$	$\Delta(54)$ [67]; S_4 [20]	Type II	M_L
$\tilde{m}_1 + 2\tilde{m}_2 = \tilde{m}_3$	S_4 [95]	Type II	M_L
$2\tilde{m}_2 + \tilde{m}_3 = \tilde{m}_1$	A_4 [16, 21, 61–64, 77, 79–86] S_4 [88, 96] [†] ; T' [78, 89–93]; T_7 [94]	Weinberg	M_ν
$2\tilde{m}_2 + \tilde{m}_3 = \tilde{m}_1$	A_4 [87]	Type II	M_L
$\tilde{m}_1 + \tilde{m}_2 = 2\tilde{m}_3$	S_4 [97] [‡]	Dirac [‡]	M_ν
$\tilde{m}_1 + \tilde{m}_2 = 2\tilde{m}_3$	$L_e - L_\mu - L_\tau$ [98]	Type II	M_L
$\tilde{m}_1 + \frac{\sqrt{3}+1}{2}\tilde{m}_3 = \frac{\sqrt{3}-1}{2}\tilde{m}_2$	A'_5 [100]	Weinberg	M_ν
$\tilde{m}_1^{-1} + \tilde{m}_2^{-1} = \tilde{m}_3^{-1}$	A_4 [21]; S_4 [20, 54]; A_5 [39, 55]	Type I	M_R
$\tilde{m}_1^{-1} + \tilde{m}_2^{-1} = \tilde{m}_3^{-1}$	S_4 [20]	Type III	M_Σ
$2\tilde{m}_2^{-1} + \tilde{m}_3^{-1} = \tilde{m}_1^{-1}$	A_4 [15, 16, 18, 21, 68–77, 101]; T' [78]	Type I	M_R
$\tilde{m}_1^{-1} + \tilde{m}_3^{-1} = 2\tilde{m}_2^{-1}$	A_4 [58, 59, 102]; T' [60]	Type I	M_R
$\tilde{m}_3^{-1} \pm 2i\tilde{m}_2^{-1} = \tilde{m}_1^{-1}$	$\Delta(96)$ [38]	Type I	M_R
$\tilde{m}_1^{1/2} - \tilde{m}_3^{1/2} = 2\tilde{m}_2^{1/2}$	A_4 [19]	Type I	M_D
$\tilde{m}_1^{1/2} + \tilde{m}_3^{1/2} = 2\tilde{m}_2^{1/2}$	A_4 [99]	Scotogenic	h_ν
$\tilde{m}_1^{-1/2} + \tilde{m}_2^{-1/2} = 2\tilde{m}_3^{-1/2}$	S_4 [53]	Inverse	M_{RS}

Paes

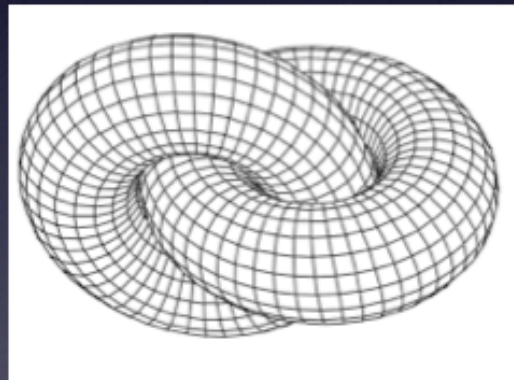
flavour symmetries, anarchy

→ alternative: knotted strings & flavour



3_1 knot

(3 crossings,
1 component)



3^2_1 link (3 crossings,
2 components)

[Buniy, Kephart '03]



[Dale Rolfsen: Knots and Links]

Lessons from sizable $\sin^2(2\theta_{13})$

- no apparent necessity for a special suppression of $\theta_{13} = 0^\circ + \varepsilon$ (symmetry, ...)

- TBM ($\theta_{13} = 0 +$ small corrections)
ruled out ***OR*** sizable corrections:
 $9^\circ = 0 + 9^\circ$ but also $9^\circ = 20^\circ - 11^\circ$ or ...

→ no obvious flavour information

$9^\circ, 33^\circ, 43^\circ$ looks like 3 random numbers (besides maybe 45...)

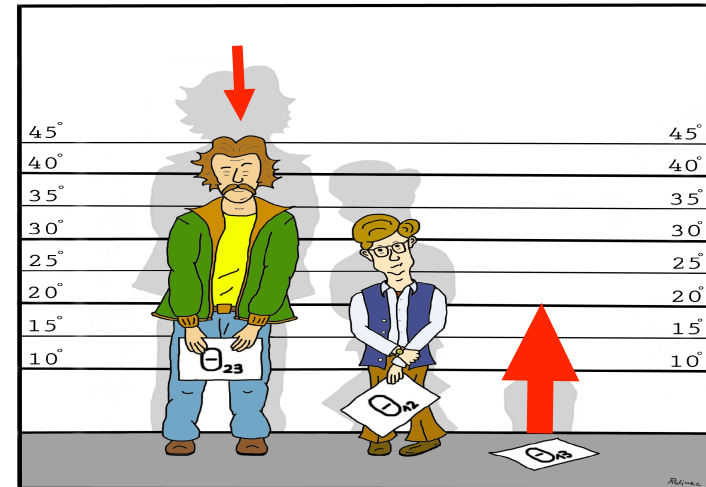
→ still very valuable information: large mixing; quarks \leftrightarrow leptons

- 3x3 unitarity rather good – max. few percent admixtures by ... → TEST

3 ν -oscillations may still not be the complete story:

→ NSI's, decay, Lorentz invariance violation, ... →

→ sterile neutrinos (with small mixing angles) →

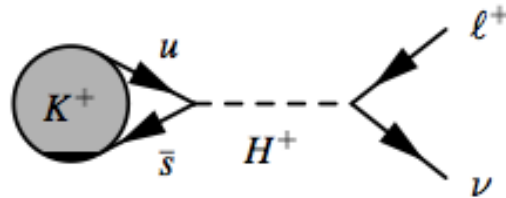


Weiland

• Deviations from LFU \Rightarrow Evidence of New Physics

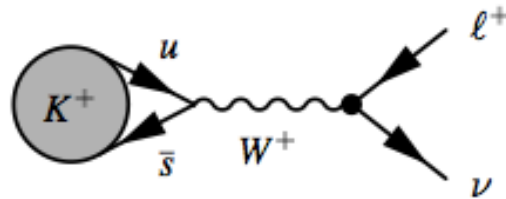
origins
of
LFU:

- New Lorentz structure in the four-fermion interaction



New fields, new couplings
e.g. 2 Higgs doublet models [Hou, 1993],
Supersymmetry [Masiero et al., 2006,
Fonseca et al., 2012]

- Corrections to the SM $W\ell\nu$ vertex



New states, Higher-order effects
e.g. Additional neutrinos: low-scale
seesaw, inverse seesaw

- Source: modified $W\ell\nu$ vertex from extra sterile neutrinos
- Mechanism: phase space effect
non-unitarity of \tilde{U}_{PMNS}
- Large LFU violation in the inverse seesaw
 \Rightarrow Constraint on the parameter space from $R_K, R_\pi, R_e, R_K^{\ell\tau}$
- May reduce the tension for R_{D_s}

\rightarrow sensitivity
to new physics
via LFU

Sterile Neutrinos and Mass Scenarios

$M_L=0, m_D = M_W,$
 $M_R=\text{high: see-saw}$

M_R singular
 singular-SS

$M_L = M_R = 0$
 Dirac

$M_L = M_R = \epsilon$
 pseudo Dirac



maybe we are seeing mixing with one of those?
 or SK up-turn..

Evidences/hints/arguments:

reactor anomaly, LSND, Gallium, MiniBooNE, TeV-EW-fits,
 keV as WDM, ...

Most likely not all of them true, but one is enough:

VERY IMPORTANT! → experimental tests

Rodejohann possible sterile scales: eV, keV, TeV, heavy

Sterile Neutrinos: Disappearance vs. Appearance

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

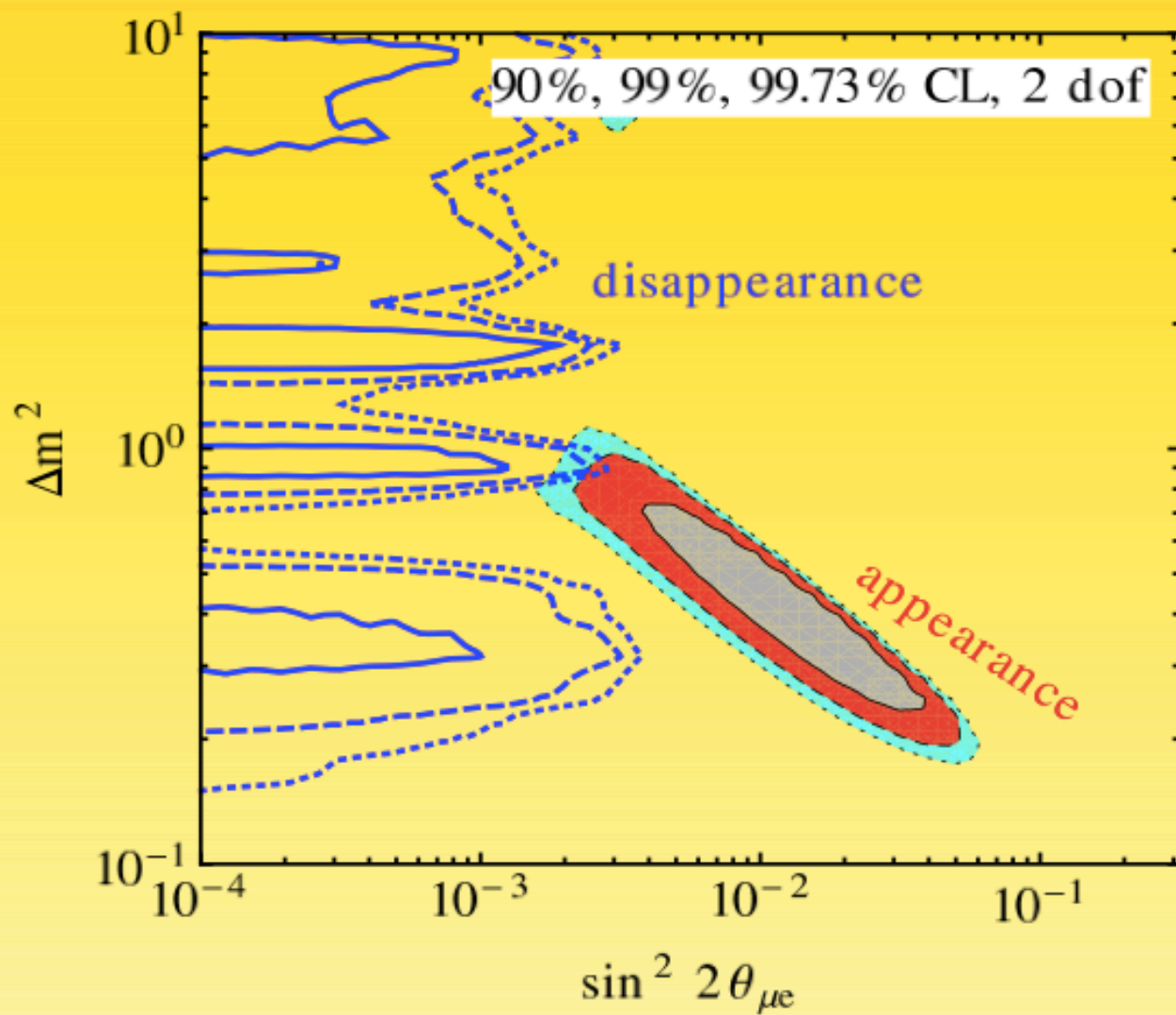
$$P(\nu_{\mu} \rightarrow \nu_e) = 4 |U_{e4}|^2 |U_{\mu4}|^2 \sin^2 \frac{\Delta m_{41}^2 L}{4E}$$

$$P(\nu_e \rightarrow \nu_e) = 1 - 4 |U_{e4}|^2 (1 - |U_{e4}|^2) \sin^2 \frac{\Delta m_{41}^2 L}{4E}$$

$$P(\nu_{\mu} \rightarrow \nu_{\mu}) = 1 - 4 |U_{\mu4}|^2 (1 - |U_{\mu4}|^2) \sin^2 \frac{\Delta m_{41}^2 L}{4E}$$

\Rightarrow if $\nu_{\mu} \rightarrow \nu_e$, then there should be $\nu_e \rightarrow \nu_e$ and $\nu_{\mu} \rightarrow \nu_{\mu}$

\Leftrightarrow tension between appearance and disappearance data...



some overlap at 99 % CL, consistency has p -value $\simeq 10^{-4}$...

Kopp, Machado, Maltoni, Schwetz, 1303.3031

Sterile Neutrinos and $0\nu\beta\beta$

- recall $|m_{ee}|_{\text{NH}}^{\text{act}}$ can vanish and $|m_{ee}|_{\text{IH}}^{\text{act}}$ cannot vanish

- $|m_{ee}| = \underbrace{||U_{e1}|^2 m_1 + |U_{e2}|^2 m_2 e^{2i\alpha} + |U_{e3}|^2 m_3 e^{2i\beta}}_{m_{ee}^{\text{act}}} + \underbrace{|U_{e4}|^2 m_4 e^{2i\Phi_1}}_{m_{ee}^{\text{st}}}$

- sterile contribution to $0\nu\beta\beta$:

$$|m_{ee}|^{\text{st}} \simeq \sqrt{\Delta m_{\text{st}}^2} |U_{e4}|^2 \begin{cases} \gg |m_{ee}|_{\text{NH}}^{\text{act}} \\ \simeq |m_{ee}|_{\text{IH}}^{\text{act}} \end{cases}$$

- $\Rightarrow |m_{ee}|_{\text{NH}}$ cannot vanish and $|m_{ee}|_{\text{IH}}$ can vanish!

Barry, W.R., Zhang, JHEP 1107; Giunti *et al.*, PRD **87**; Girardi, Meroni,
Petcov, 1308.5802

keV steriles as Warm Dark Matter

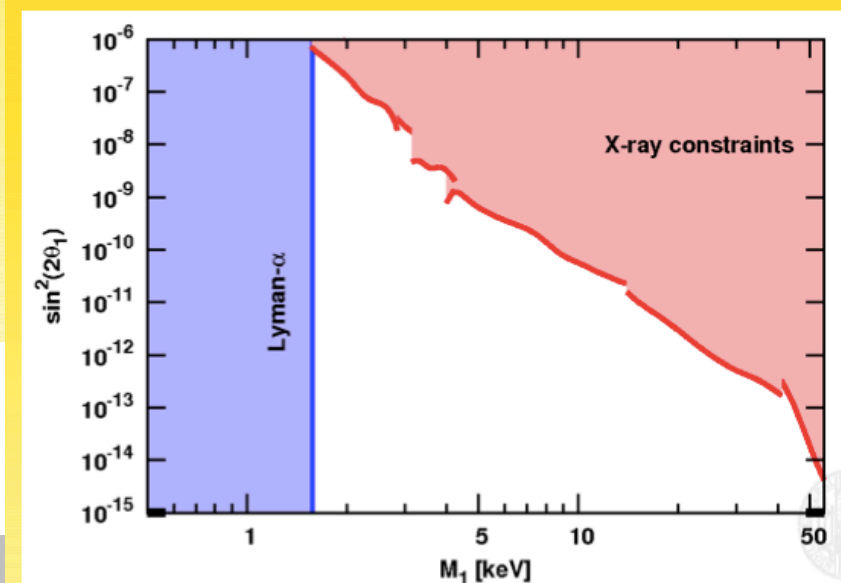
→ WDM has same large scale structure formation as CDM, but suppresses small scale formations

⇒ predicts less cuspy (=smoother) DM profiles, and less dwarf satellites

keV sterile is excellent candidate

parameters: mass M_1 and mixing θ

- X-ray searches $\Gamma \sim G_F^2 M_1^5 \theta^2$
- Ly- α : structure formation at low scales \sim Mpc
- Tremaine-Gunn
- $\tau \sim \tau_U$
- etc.



Theory Topics left out

Heeck: Lepton Number Violation with Dirac Neutrinos

Stefanik: $2\nu\beta\beta$ in GUTs

Zralek: neutrino masses

Suematsu: Neutrino mass and DM direct detection

apologies...

other topics not covered (or only touched) at this conference:

- magnetic moments
-
- leptogenesis
- unification
- pseudo Dirac neutrinos
- ...many more

Summary

- neutrino physics has great results, rich physics, is lively,...
- improvements in known quantities...
- focus for the years to come:
 - $\theta_{13} \rightarrow \delta_{CP}$ und mass hierarchy
 - do sterile neutrinos exist
 - $\rightarrow 3 \times 3$ or $(3+N) \times (3+N)$ unitarity
 - \rightarrow effects on oscillations, $0\nu\beta\beta$, unitarity, DM, ..
 - Dirac or Majorana mass ; other $\Delta L=2$ physics
 - connections to Dark Matter
 - galactic Supernova with ν +GR+light signal
 - ...other topics: magnetic moments, ν -astronomy,...
- running or upcoming experiments will push forward
- new ideas & continued R&D towards new methods
- apologies again for whyt I left out...

we had a great conference

- in an exciting field

- with very rich & broad physics...

huge range of energies, scales, topics, ...

- in a spectacular and inspiring place, great excursions, ...



thanks to the organizers:

Armand Faessler

Jochen Wambach

...others

+ local staff

