

# Lepton Number Violation with Dirac Neutrinos

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based on

J.H., Werner Rodejohann,

EPL **103**, 32001 (2013), arXiv:1306.0580;

J.H.,

arXiv:1307.2241.

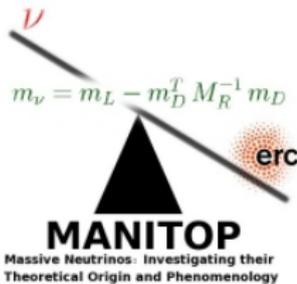


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FOR PRECISION TESTS  
OF FUNDAMENTAL  
SYMMETRIES



# Baryon and Lepton Number

- $B$  and  $L$  classically conserved in the Standard Model.
- $B - L$  globally conserved at quantum level.<sup>1</sup>
- $B - L$  locally conserved after adding three  $\nu_R$ .  
⇒ Neutrinos massive!

Gauged  $U(1)_{B-L}$  very well motivated by SM and  $m_\nu \neq 0$ .

Great, *but*:

- No fifth force coupled to  $B - L$  observed.
- No  $B$ ,  $L$  or  $B - L$  breaking processes observed.

Fate of  $B - L$  is an experimental question!

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<sup>1</sup>But broken by quantum gravity, see e.g. E. Witten, hep-ph/0006332.

# $B - L$ Landscape

Three possibilities for local  $U(1)_{B-L}$ :

- ① **Exact  $B - L$ :** make  $Z'_{B-L}$  massive à la Stückelberg without breaking  $B - L$ .<sup>2</sup> Parameter space in  $(g', M_{Z'})$  from weakly coupled long-range to strongly coupled short-range force.<sup>3</sup> Neutrinos are Dirac; baryogenesis via leptogenesis.<sup>4</sup>
- ② **Majorana  $B - L$ :** break  $B - L$  spontaneously with  $\phi \sim 2$  at high scale. Majorana neutrinos via seesaw, thermal leptogenesis etc.
- ③ **Dirac  $B - L$ :** break  $B - L$  spontaneously with  $\phi \sim q \notin \{1, 2\}$ . Leftover  $\mathbb{Z}_q^L$  protects Dirac nature of neutrinos ( $\Delta(B - L) = 2$  forbidden!), but still allows for  $\Delta(B - L) = q$  violating processes.<sup>5</sup>

<sup>2</sup>D. Feldman, P. Fileviez Perez, and P. Nath, JHEP **1201**, 038 (2012).

<sup>3</sup>M. Williams *et al.*, JHEP **1108**, 106 (2011).

<sup>4</sup>K. Dick, M. Lindner, M. Ratz, and D. Wright, PRL **84**, 4039 (2000).

<sup>5</sup>Also mentioned in M.-C. Chen *et al.*, Nucl. Phys. B **866**, 157 (2013).

# Dirac $B - L$

- All fermions in  $SM + \nu_R$  are odd under  $B - L$   
 $\Rightarrow$  only  $\Delta(B - L) = 2n$  possible.
- Lowest order new processes:  $\Delta(B - L) = 4$ :

$$\mathcal{O}_{d=6} : \bar{\nu}_R^c \nu_R \bar{\nu}_R^c \nu_R$$

$$\mathcal{O}_{d=8} : |H|^2 \bar{\nu}_R^c \nu_R \bar{\nu}_R^c \nu_R, \quad (\bar{L}^c \tilde{H}^*)(\tilde{H}^\dagger L) \bar{\nu}_R^c \nu_R, \quad F_Y^{\mu\nu} \bar{\nu}_R^c \sigma_{\mu\nu} \nu_R \bar{\nu}_R^c \nu_R$$

$$\begin{aligned} \mathcal{O}_{d=10} : & (\bar{L}^c \tilde{H}^*)(\tilde{H}^\dagger L) (\bar{L}^c \tilde{H}^*)(\tilde{H}^\dagger L), \quad |H|^2 (\bar{L}^c \tilde{H}^*)(\tilde{H}^\dagger L) \bar{\nu}_R^c \nu_R, \\ & F_Y^{\mu\nu} (\bar{L}^c \tilde{H}^*) \sigma_{\mu\nu} (\tilde{H}^\dagger L) \bar{\nu}_R^c \nu_R, \quad F_Y^{\mu\nu} (\bar{L}^c \tilde{H}^*)(\tilde{H}^\dagger L) \bar{\nu}_R^c \sigma_{\mu\nu} \nu_R, \\ & W_a^{\mu\nu} (\bar{L}^c \tilde{H}^*) \sigma_{\mu\nu} (\tilde{H}^\dagger \tau^a L) \bar{\nu}_R^c \nu_R, \quad W_a^{\mu\nu} (\bar{L}^c \tilde{H}^*)(\tilde{H}^\dagger \tau^a L) \bar{\nu}_R^c \sigma_{\mu\nu} \nu_R, \\ & (\bar{u}_R d_R^c)(\bar{d}_R \tilde{H}^\dagger L)(\bar{\nu}_R^c \nu_R), \dots \end{aligned}$$

## Simple Model for $\Delta(B - L) = 4$

- Gauged  $B - L$  symmetry, three RHNs  $\nu_R \sim -1$ , one scalar  $\phi \sim 4$  to break  $B - L$ , and one scalar  $\chi \sim -2$  as a mediator.

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{Z'} - V(H, \phi, \chi) + (y_{\alpha\beta} \bar{L}_\alpha H \nu_{R,\beta} + \kappa_{\alpha\beta} \chi \bar{\nu}_{R,\alpha} \nu_{R,\beta}^\dagger + \text{h.c.}).$$

- Neutrinos are Dirac (and  $\Delta L = 2$  forbidden) if  $\langle \chi \rangle = 0$ .
- Scalar potential:

$$V(H, \phi, \chi) = \sum_{X=H,\phi,\chi} (\mu_X^2 |X|^2 + \lambda_X |X|^4) + \sum_{\substack{X,Y=H,\phi,\chi \\ X \neq Y}} \frac{\lambda_{XY}}{2} |X|^2 |Y|^2 - \mu (\phi \chi^2 + \text{h.c.}).$$

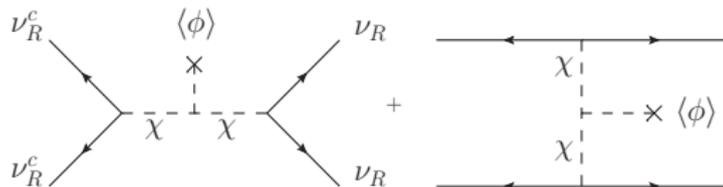
# Scalar Potential

$$V(H, \phi, \chi) = \sum_{X=H, \phi, \chi} (\mu_X^2 |X|^2 + \lambda_X |X|^4) - \mu (\phi \chi^2 + \text{h.c.}) + \dots$$

- Choose  $\mu_H^2, \mu_\phi^2 < 0 < \mu_\chi^2$  and small  $\mu$ :  $\langle H \rangle \neq 0 \neq \langle \phi \rangle$ ,  $\langle \chi \rangle = 0$ .
- $\mu$  term splits  $\chi$  in two real fields  $\chi = (\Xi_1 + i \Xi_2)/\sqrt{2}$ :

$$m_1^2 = m_c^2 - 2\mu \langle \phi \rangle, \quad m_2^2 = m_c^2 + 2\mu \langle \phi \rangle.$$

- $\Xi_j$  mediate  $\Delta L = 4$  processes! E.g. operator  $\frac{\mu \langle \phi \rangle}{m_c^4} \bar{\nu}_R^c \nu_R \bar{\nu}_R^c \nu_R$ :



# Phenomenology

Quick summary:

- Even with Dirac neutrinos, we can have LNV.<sup>6</sup>
- Lowest order is then  $\Delta(B - L) = \Delta L = 4$ , via  $\mathcal{O}_{d=6} = (\bar{\nu}_R^c \nu_R)^2 / \Lambda^2$ .

How to check for  $\Delta L = 4$ ?

- Neutrinoless quadruple-beta decay  $(A, Z) \rightarrow (A, Z + 4) + 4 e^-$ .
- Collider process  $e^- e^- \rightarrow W^- W^- W^- W^- \ell^+ \ell^+$ .
- Rare meson decays etc.?

All tough, many particles in final state!

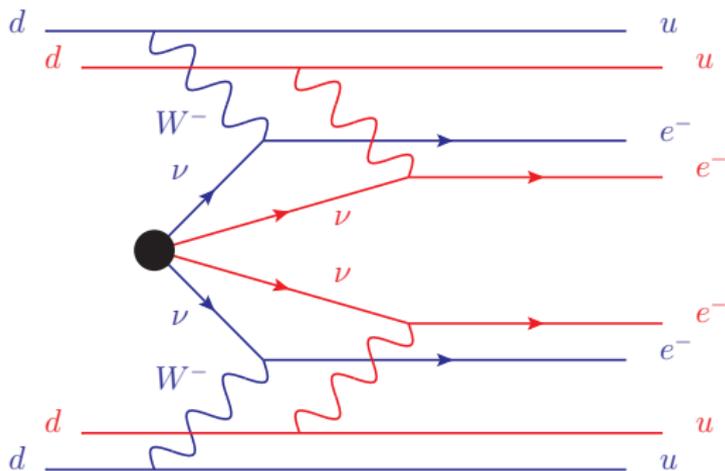
$\Delta L = 4$  can however easily be relevant in the early Universe  
 $\Rightarrow$  new Dirac leptogenesis mechanism.

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<sup>6</sup>Famous unperturbative example: sphalerons with  $\Delta(B + L) = 6$ .

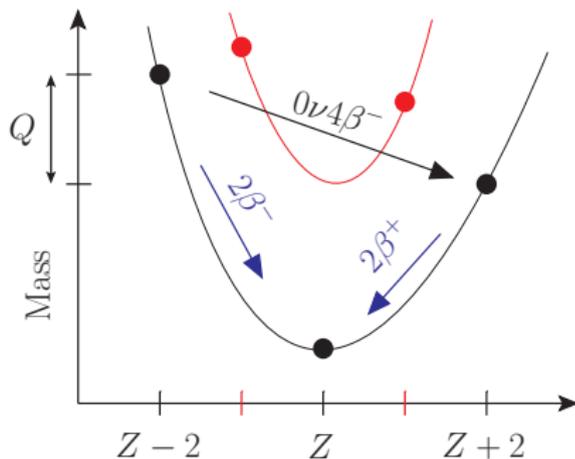
# Neutrinoless Quadruple-Beta Decay $0\nu 4\beta$

$$(A, Z) \rightarrow (A, Z + 4) + 4 e^- \text{ via } \mathcal{O} = (\bar{\nu}_L^c \nu_L)^2 / \Lambda^2:$$



# Candidate Nuclei

- Experimental aspects of  $0\nu 4\beta$  independent of underlying mechanism.
- Need beta-stable initial state:



- Decay modes:  $0\nu 4\beta$  and  $2\nu 2\beta$  ( $0\nu 2\beta$  forbidden by  $\mathbb{Z}_4^L$ ).

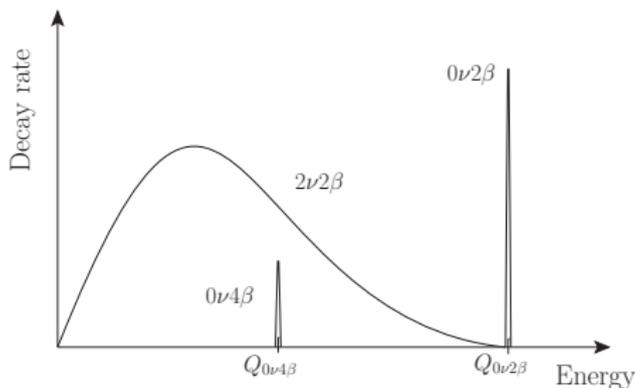
# Candidates for Nuclear $\Delta L = 4$ Processes

	$Q_{0\nu 4\beta}$	Other decays	NA/%
${}^{96}_{40}\text{Zr} \rightarrow {}^{96}_{44}\text{Ru}$	0.629 MeV	$\tau_{1/2}^{2\nu 2\beta} \simeq 2 \times 10^{19} \text{ y}$	2.8
${}^{136}_{54}\text{Xe} \rightarrow {}^{136}_{58}\text{Ce}$	0.044 MeV	$\tau_{1/2}^{2\nu 2\beta} \simeq 2 \times 10^{21} \text{ y}$	8.9
${}^{150}_{60}\text{Nd} \rightarrow {}^{150}_{64}\text{Gd}$	2.079 MeV	$\tau_{1/2}^{2\nu 2\beta} \simeq 7 \times 10^{18} \text{ y}$	5.6
	$Q_{0\nu 4\text{EC}}$		
${}^{124}_{54}\text{Xe} \rightarrow {}^{124}_{50}\text{Sn}$	0.577 MeV	—	0.095
${}^{130}_{56}\text{Ba} \rightarrow {}^{130}_{52}\text{Te}$	0.090 MeV	$\tau_{1/2}^{2\nu 2\text{EC}} \sim 10^{21} \text{ y}$	0.106
${}^{148}_{64}\text{Gd} \rightarrow {}^{148}_{60}\text{Nd}$	1.138 MeV	$\tau_{1/2}^{\alpha} \simeq 75 \text{ y}$	—
${}^{154}_{66}\text{Dy} \rightarrow {}^{154}_{62}\text{Sm}$	2.063 MeV	$\tau_{1/2}^{\alpha} \simeq 3 \times 10^6 \text{ y}$	—
	$Q_{0\nu 3\text{EC}\beta^+}$		
${}^{148}_{64}\text{Gd} \rightarrow {}^{148}_{60}\text{Nd}$	0.116 MeV	$\tau_{1/2}^{\alpha} \simeq 75 \text{ y}$	—
${}^{154}_{66}\text{Dy} \rightarrow {}^{154}_{62}\text{Sm}$	1.041 MeV	$\tau_{1/2}^{\alpha} \simeq 3 \times 10^6 \text{ y}$	—
	$Q_{0\nu 2\text{EC}2\beta^+}$		
${}^{154}_{66}\text{Dy} \rightarrow {}^{154}_{62}\text{Sm}$	0.019 MeV	$\tau_{1/2}^{\alpha} \simeq 3 \times 10^6 \text{ y}$	—

# Best Candidate: Neodymium $^{150}_{60}\text{Nd}$

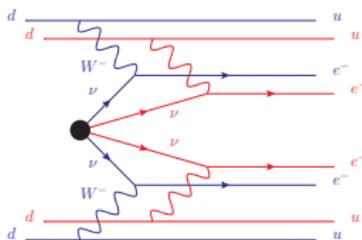
Decay channels:

- $^{150}_{60}\text{Nd} \rightarrow ^{150}_{62}\text{Sm}$  via  $2\nu 2\beta$  ( $\tau_{1/2}^{2\nu 2\beta} \simeq 7 \times 10^{18}$  y): two neutrinos and two electrons are emitted; the electrons have a continuous energy spectrum and total energy  $E_{e,1} + E_{e,2} < 3.371$  MeV.
- $^{150}_{60}\text{Nd} \rightarrow ^{150}_{64}\text{Gd}$  via  $0\nu 4\beta$ . Four electrons with continuous energy spectrum and summed energy  $Q_{0\nu 4\beta} = 2.079$  MeV are emitted. In this special case, the daughter nucleus is  $\alpha$ -unstable with half-life  $\tau_{1/2}^{\alpha} (^{150}_{64}\text{Gd} \rightarrow ^{146}_{62}\text{Sm}) \simeq 2 \times 10^6$  y.



# Neutrinoless Quadruple-Beta Decay $0\nu 4\beta$

$$(A, Z) \rightarrow (A, Z + 4) + 4 e^- \text{ via } \mathcal{O} = (\bar{\nu}_L^c \nu_L)^2 / \Lambda^2:$$



- Very naive comparison with competing channel  $2\nu 2\beta$ :

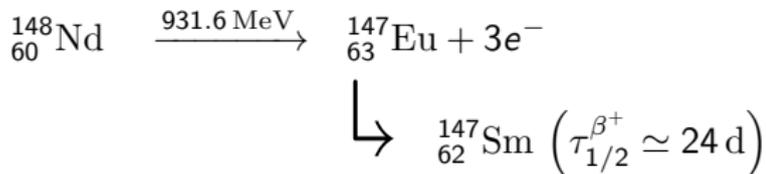
$$\frac{\tau_{1/2}^{0\nu 4\beta}}{\tau_{1/2}^{2\nu 2\beta}} \simeq \left( \frac{Q_{0\nu 2\beta}}{Q_{0\nu 4\beta}} \right)^{11} \left( \frac{\Lambda^4}{q^{12} G_F^4} \right) \simeq 10^{46} \left( \frac{\Lambda}{\text{TeV}} \right)^4,$$

with  $|q| \sim p_\nu \sim 1 \text{ fm}^{-1} \simeq 100 \text{ MeV}$ .

- For  $(\bar{\nu}_R^c \nu_R)^2 / \Lambda^2$  additional mass-flip suppression  $(m_\nu / q)^8$  or right-handed currents. . .
- Estimated rate in toy model unobservably small. Elaborate models with resonances overcome this?

# Comments

- Background: electrons from  $2\nu 2\beta$  kick out two more  $e^-$ .  
 $\Rightarrow 4 e^-$  with  $\sum E_i \sim Q_{0\nu 4\beta}$  possible.
- $0\nu 4\beta$  to excited state  ${}_{64}^{150}\text{Gd}^*$ :  $Q$  reduced by 0.6 MeV ( $2^+$ ) or 1.2 MeV ( $0^+$ ), but more photons...
- Nuclear matrix elements impossible (?) to calculate.  
 $\Rightarrow$  No way to extract fundamental couplings from  $\tau_{0\nu 4\beta}$  (?)
- $0\nu 6\beta$  etc. all involve beta-unstable nuclei.  
 $\Rightarrow 0\nu 2\beta$  and  $0\nu 4\beta$  somewhat unique.
- Very different  $\Delta(B - L) = 4$  decay:  $4n \rightarrow 3p + 3e^-$ , mimics  $0\nu 3\beta$ .  
 Candidate:



# Leptogenesis

$\Delta L = 4$  can be relevant in the early Universe: new Dirac leptogenesis.

- ① **Majorana  $B - L$** : heavy right-handed Majorana neutrinos decay into  $L, H$  and  $\bar{L}, \bar{H}$ , violating  $CP$  and  $\Delta L = 2$ . Sphalerons with  $\Delta(B + L) = 6$  translate asymmetry to baryons:  $Y_B = \frac{28}{79} Y_{B-L}$ .
- ② **Exact  $B - L$ : Neutrino genesis**: new scalars decay to leptons so that  $\Delta_{\ell_L} = -\Delta_{\ell_R}$ .  $\nu_R$  not thermalized (Yukawas too small), hidden from sphalerons. Only  $\Delta_{\ell_L}$  converted into baryon asymmetry.
- ③ **Dirac  $B - L$** : heavy mediator scalars decay into  $\nu_R \nu_R$  and  $\bar{\nu}_R \bar{\nu}_R$ , violating  $CP$  and  $\Delta L = 4$ . Second Higgs doublet translates  $\Delta_{\nu_R}$  to leptons, and sphalerons generate  $Y_B = \frac{1}{4} Y_{B-L}$ .

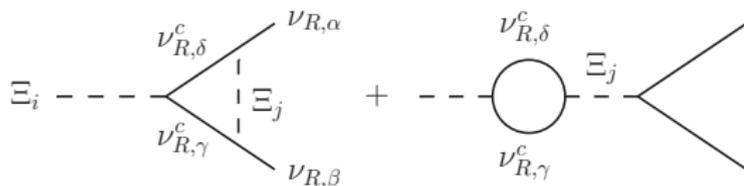
# Leptogenesis with LNV Dirac Neutrinos

Add second copies of Higgs doublet and mediator scalar  $\chi_{1,2} \sim -2$ :

- **Neutrinophilic  $H_2$**  with small VEV  $\langle H_2 \rangle \sim 1 \text{ eV}$   
 $\Rightarrow$  Dirac neutrinos light with large Yukawas.
- $\chi_{1,2}$  split into four **real scalars**  $\Xi_j$  after  $\phi \rightarrow \langle \phi \rangle$ , with couplings

$$\mathcal{L} \supset \frac{1}{2} V_{\alpha\beta}^j \Xi_j \bar{\nu}_{R,\alpha} \nu_{R,\beta}^c + \frac{1}{2} \bar{V}_{\alpha\beta}^j \Xi_j \bar{\nu}_{R,\alpha}^c \nu_{R,\beta}.$$

- Lightest  $\Xi_i$  decays in  $\nu_R \nu_R$  or  $\nu_R^c \nu_R^c$ :



$\Rightarrow$   $CP$  asymmetry in  $\nu_R$ :

$$Y_{\nu_R} \equiv \frac{n_{\nu_R}}{s} \sim \frac{1}{g_*} \frac{\Gamma(\Xi_i \rightarrow \nu_R \nu_R) - \Gamma(\Xi_i \rightarrow \nu_R^c \nu_R^c)}{\Gamma(\Xi_i \rightarrow \nu_R \nu_R) + \Gamma(\Xi_i \rightarrow \nu_R^c \nu_R^c)}.$$

# Baryon Asymmetry

- RHN asymmetry  $Y_{\nu_R}$  translated to left-handed leptons via second Higgs  $H_2$ .
- Partially converted to baryons via sphalerons ( $Y_B = \frac{1}{4} Y_{B-L}$ ).

⇒ Very different from old Dirac leptogenesis (neutrinogenesis), very similar to standard leptogenesis!

- Necessary thermalization of  $\nu_R \Rightarrow N_{\text{eff}} > 3!$
- $3.14 \lesssim N_{\text{eff}} \lesssim 3.29$  depending on  $H_2^+$  mass and Yukawa coupling.
- Planck:  $N_{\text{eff}} = 3.30 \pm 0.27$  at 68% C.L.
- Specific collider signatures of neutrinophilic  $H_2$ .<sup>7</sup>

<sup>7</sup>S. M. Davidson and H. E. Logan, PRD **80**, 095008 (2009).

# Summary

- Lepton number violation not synonymous with Majorana neutrinos.
- If neutrinos are Dirac,  $\Delta(B - L) = \Delta L = 4$  lowest order LNV.
- Hard to test:  $0\nu 4\beta$  or  $e^- e^-$  collider...
- Experimental limit on  $\Delta L = 4$  from  $^{150}\text{Nd} \xrightarrow{2.079 \text{ MeV}} ^{150}\text{Gd}$ ?
- New kind of Dirac leptogenesis possible, predicts  $3.14 \lesssim N_{\text{eff}}$ .