Calculation techniques for QCD final states at forward rapidity

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#### - Outline

1 Motivation

2 Including off-shell particles in a gauge invariant way

**3** BCFW recursion relations

4 Conclusions and perspectives

### High-Energy-Factorisation

High-Energy-factorisation (Catani, Ciafaloni, Hautmann, 1991 / Collins, Ellis, 1991)



$$\sigma_{h_1,h_2 \to q\bar{q}} = \int d^2 k_{1\perp} d^2 k_{2\perp} dx_1 dx_2 \frac{dx_1}{x_1} \frac{dx_2}{x_2} f_g(x_1,k_{1\perp}) f_g(x_2,k_{2\perp}) \hat{\sigma}_{gg}\left(\frac{m^2}{x_1 x_2 s},\frac{k_{1\perp}}{m},\frac{k_{2\perp}}{m}\right)$$

where the  $f_g$ 's are the gluon densities, obeying BFKL, BK, CCFM evolution equations.

Non negligible transverse momentum is associated to small x physics.

Momentum parameterization:

$$k_1^{\mu} = x_1 l_1^{\mu} + k_{1\perp}^{\mu} , \quad k_2^{\mu} = x_2 l_2^{\mu} + k_{2\perp}^{\mu}$$
$$l_i^2 = 0, \quad l_i \cdot k_i = 0, \quad k_i^2 = -k_{i\perp}^2, \quad i = 1, 2$$

### Hybrid High-Energy-Factorisation: forward final states

Hybrid HEF for forward jet production: only one of the partons' momenta with non negligible transverse component

> Deak, Hautmann, Jung, Kutak (2010, 2011) van Hameren, Kotko, Kutak (2015)



 $\label{eq:Applications:} \left\{ \begin{array}{l} \mbox{Production of forward dijets initiated with gluons}: gg^* \to gg \\ \mbox{Production of forward dijets initiated with quarks}: q\bar{q}^* \to gg \\ \mbox{Pilute-dense hadronic collisions in TMD factorization} \\ \mbox{(Kotko, Kutak, Marquet, Petreska, Sapeta, van Hameren, 2015)} \end{array} \right.$ 

### Small x-physics: GDF growth for small x

Growth of the gluon distribution function for small x( $\Rightarrow$  Mallot, Bradamante, Deshpande ) Non linear effects expected: see BK equation !



# The hard-core of scattering: amplitudes !

#### WANTED:

Tree-level color-ordered partial amplitudes for (possibly) any number of legs Kinematics and color are factorised like

$$\mathcal{M}_n = g^{n-2} \sum_{\sigma \in S_n/\mathbb{Z}_n} \operatorname{Tr}(\mathcal{T}_{\sigma(1)} \dots \mathcal{T}_{\sigma(n)}) \mathcal{A}(g_{\sigma(1)}, \dots, g_{\sigma(n)})$$

### **PROBLEM:**

- Partonic processes must be described by gauge invariant amplitudes
- with ordinary Feynman rules gauge invariant scattering amplitudes only for all particles on-shell
- $\blacksquare$   $\Rightarrow$  something else must be devised !

### Is there a **general & efficient method** to compute such gauge-invariant amplitudes **analytically** ?

### Formal interlude: Weyl spinors

In the high energy limit  $\Rightarrow$  massless particles  $\Rightarrow$  Weyl basis for spinors.

If  $p^2 = 0$ , it can be cast in the Pauli matrices language,

$$\begin{aligned} |p] &= \begin{pmatrix} L(p) \\ \mathbf{0} \end{pmatrix} \qquad L(p) = \frac{1}{\sqrt{|p^0 + p^3|}} \begin{pmatrix} -p^1 + i p^2 \\ p^0 + p^3 \end{pmatrix} \\ |p\rangle &= \begin{pmatrix} \mathbf{0} \\ R(p) \end{pmatrix} \qquad R(p) = \frac{\sqrt{|p^0 + p^3|}}{p^0 + p^3} \begin{pmatrix} p^0 + p^3 \\ p^1 + i p^2 \end{pmatrix} \end{aligned}$$

and the charge-conjugated spinors

$$[\boldsymbol{p}] = \left( \left( \mathcal{E}L(\boldsymbol{p}) \right)^T, \mathbf{0} \right) \qquad \langle \boldsymbol{p}| = \left( \mathbf{0} \left( \mathcal{E}^T R(\boldsymbol{p}) \right)^T \right) \qquad \text{where} \quad \mathcal{E} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

$$p \cong p^{\mu} \sigma_{\mu} = \begin{pmatrix} p^0 - p^3 & -p^1 + i p^2 \\ -p^1 - i p^2 & p^0 + p^3 \end{pmatrix} = |p] \langle p | p^0 \rangle$$

# Prescription for off-shell gluons

### **ONE IDEA:**

on-shell amplitudes are gauge invariant, so off-shell gauge-invariant amplitudes could be got by embedding them into on-shell processes...

**...first result...:** 1) For off-shell gluons: represent  $g^*$  as coming from a  $\bar{q}qg$  vertex, with the quarks taken to be on-shell



- embed the scattering of the off-shell gluons in the scattering of two quark pairs carrying momenta  $p_A^{\mu} = k_1^{\mu}, p_B^{\mu} = k_2^{\mu}, p_{A'}^{\mu} = 0, p_{B'}^{\mu} = 0$
- Assign the spinors  $|p_1\rangle$ ,  $|p_1]$  to the *A*-quark and the propagator  $\frac{ip_1}{p_1 \cdot k}$  instead of  $\frac{ik}{k^2}$  to the propagators of the *A*-quark carrying momentum *k*; the same goes for the *B*-quark line.
- multiply the amplitude by  $g_s^{-1}x_1\sqrt{-2k_{1\perp}^2} \times g_s^{-1}x_2\sqrt{-2k_{2\perp}^2}$ .
- ordinary Feynman rules must be used everywhere else and the procedure holds for any number of off-shell gluons.

K. Kutak, P. Kotko, A. van Hameren, JHEP 1301 (2013) 078

# Prescription for off-shell gluons: derivation, 1

Auxiliary vectors (complex in general): 
$$\begin{cases} p_3^{\mu} = \frac{1}{2} \langle p_2 | \gamma^{\mu} | p_1 ] \\ p_4^{\mu} = \frac{1}{2} \langle p_1 | \gamma^{\mu} | p_2 ] \\ p_1^2 = p_2^2 = p_3^2 = p_4^2 = 0 \\ p_{1,2} \cdot p_{3,4} = 0 , \quad p_1 \cdot p_2 = -p_3 \cdot p_4 \end{cases}$$

Auxiliary momenta: 
$$\begin{cases} p_{A}^{\mu} = (\Lambda + x_{1})p_{1}^{\mu} - \frac{p_{4} \cdot k_{1\perp}}{p_{1} \cdot p_{2}}p_{3}^{\mu}, & p_{A'}^{\mu} = \Lambda p_{1}^{\mu} + \frac{p_{3} \cdot k_{1\perp}}{p_{1} \cdot p_{2}}p_{4}^{\mu} \\ p_{B}^{\mu} = (\Lambda + x_{2})p_{2}^{\mu} - \frac{p_{3} \cdot k_{2\perp}}{p_{1} \cdot p_{2}}p_{4}^{\mu}, & p_{B'}^{\mu} = \Lambda p_{2}^{\mu} + \frac{p_{4} \cdot k_{2\perp}}{p_{1} \cdot p_{2}}p_{3}^{\mu} \end{cases}$$

For any 
$$\Lambda$$
: 
$$\begin{cases} p_A^{\mu} - p_{A'}^{\mu} = x_1 p_1^{\mu} + k_{1\perp}^{\mu} \\ p_B^{\mu} - p_{B'}^{\mu} = x_2 p_2^{\mu} + k_{2\perp}^{\mu} \\ p_A^2 = p_{A'}^2 = p_B^2 = p_{B'}^2 = 0 \end{cases}$$

# Prescription for off-shell gluons: derivation, 2

Momentum flowing through a propagator of an auxiliary quark line:

$$k^\mu = (\Lambda + x_k) p_1^\mu + y_k \, p_2^\mu + k_\perp$$

Final step: remove complex components taking the  $\Lambda \to \infty$  limit.

$$\frac{\cancel{k}}{k^2} = \frac{(\Lambda + x_k)\cancel{p}_1 + y_k \cancel{p}_2 + \cancel{k}}{2(\Lambda + x_k)y_k p_1 \cdot p_2 + k_\perp^2} \xrightarrow{\Lambda \to \infty} \frac{\cancel{p}_1}{2 y_k p_1 \cdot p_2} = \frac{\cancel{p}_1}{2p_1 \cdot k}$$
...and the factor  $x_1 \sqrt{-k_\perp^2/2}$  is to match the collinear limit.

In agreement with other approaches (e.g. Lipatov's effective action)

# Prescription for off-shell quarks

#### ... and second result:

2) for off-shell quarks: represent  $q^*$  as coming from a  $\gamma \bar{q}q$  vertex, with a 0 momentum and  $\bar{q}$  on shell (and vice-versa)



- embed the scattering of the quark with whatever set of particles in the scattering of an auxiliary quark-photon pair,  $q_A$  and  $\gamma_A$  carrying momenta  $p_{q_A}^{\mu} = k_1^{\mu}$ ,  $p_{\gamma_A}^{\mu} = 0$
- Let  $q_A$ -propagators of momentum k be  $\frac{i p_1}{p_1 \cdot k}$  and assign the spinors  $|p_1\rangle, |p_1|$  to the A-quark.
- Assign the polarization vectors  $\epsilon^{\mu}_{+} = \frac{\langle q | \gamma^{\mu} | p_1 ]}{\sqrt{2} \langle p_1 q \rangle}$ ,  $\epsilon^{\mu}_{-} = \frac{\langle p_1 | \gamma^{\mu} | q ]}{\sqrt{2} [ p_1 q ]}$  to the auxiliary photon, with q a light-like auxiliary momentum.
- Multiply the amplitude by  $x_1 \sqrt{-k_1^2 \perp}/2$  and use ordinary Feynman rules everywhere else.

K. Kutak, T. Salwa, A. van Hameren, Phys.Lett. B727 (2013) 226-233

### Reminds us of BCFW...

The analytical results derived with the mentioned trick are strikingly similar to the ones obtained in the on-shell case via, for example, the BCFW recursion relation (which does not require auxiliary particles).

Computing scattering amplitudes in Yang-Mills theories via ordinary Feynman diagrams: soon overwhelming !

Number of Feynman diagrams at tree level on-shell:

# of gluons	4	5	6	7	8	9	10
# of diagrams	4	25	220	2485	34300	559405	10525900

And there are even more with the proposed method for amplitudes with off-shell particles due to the gauge-restoring terms.

A method to efficiently compute helicity amplitudes: BCFW recursion relation

Britto, Cachazo, Feng, Nucl.Phys. B715 (2005) 499-522

### BCFW recursion relation

Two very simple ideas for tree level amplitudes:

**2** Cauchy's residue theorem: if the amplitude is formally treated as a function of a complex variable z and if it is rational and vanishes for  $z \to \infty$ , then the integral extended to an infinite contour enclosing all poles vanishes

$$\lim_{z\to\infty}\mathcal{A}(z)=0 \Rightarrow \frac{1}{2\pi i}\oint dz\,\frac{\mathcal{A}(z)}{z}=0$$

implying that the value at z = 0 (physical amplitude) can be determined as a sum of the residues at the poles:

$$\mathcal{A}(0) = -\sum_{i} \frac{\lim_{z \to z_i} [(z - z_i) \mathcal{A}(z)]}{z_i}$$

where  $z_i$  is the location of the *i*-th pole

**2** Unitarity: Poles in Yang-Mills tree level amplitudes can only be due to gluon propagators dividing the n-point amplitude into two on-shell sub-amplitudes with k + 1 and n - k + 1 gluons  $\Rightarrow$  it is all about finding the proper way to "complexify" an amplitude.

To properly "complexify" A: for helicities  $(h_1, h_n) = (-, +)$  (no loss of generality...)

$$\begin{aligned} |1] & \to \quad |\hat{1}] \equiv |1] - z \, |n] \Rightarrow \rho_1 \to \hat{\rho}_1 = |1] \langle 1| - z |1] \langle n| \\ |n\rangle & \to \quad |\hat{n}\rangle \equiv |n\rangle + z |1\rangle \Rightarrow \rho_n \to \hat{\rho}_n = |n] \langle n| + z |1] \langle n| \end{aligned}$$

#### With such a choice

- On-shellness, gauge invariance and momentum conservation preserved throughout.
- then, in order to have  $\lim_{z\to\infty} \mathcal{A}(z) = 0$ , either shift (+,+) or (-,-), relying on Cachazo, Svrcek and Witten JHEP 0409 (2004) 006
- or shift always (-,+) and skip twistor-inspired proofs right away, Britto, Cachazo, Feng, Witten, Phys.Rev.Lett. 94 (2005) 181602.

### The result is an amazingly simple recursive relation:

any tree-level color-ordered amplitude is the sum of residues of the poles it develops when it is made dependent on a complex variable as above. Such residues are simply products of color-ordered lower-point amplitudes evaluated at the pole times an intermediate propagator.

Shifted particles are always on opposite sides of the propagator.

$$\mathcal{A}(g_1, \dots, g_n) = \sum_{i=2}^{n-2} \sum_{h=+,-} \mathcal{A}(g_1, \dots, g_i, \hat{P}^h) \, rac{1}{(p_1 + \dots + p_i)^2} \, \mathcal{A}(-\hat{P}^{-h}, g_{i+1}, \dots, g_n)$$

 $z_i = rac{(
ho_1 + \dots + 
ho_i)^2}{[1|
ho_1 + \dots + 
ho_i|n
angle}$  location of the pole corresponding for the "i-th" partition



# The inclusion of fermions and MHV amplitudes

The BCFW recursion was promptly extended to Yang-Mills theories with fermions: *M. Luo, C. Wen, JHEP 0503 (2005) 004* 



It is natural to ask whether something like a BCFW recursion relation exists with off-shell particles. For off shell, gluons, the answer was first found in *A. van Hameren, JHEP 1407 (2014) 138* 

$$\mathcal{A}(\mathbf{0}) = \sum_{s=g,f} \left( \sum_{p} \sum_{h=+,-} \mathbf{A}^{s}_{p,h} + \sum_{i} \mathbf{B}^{s}_{i} + \mathbf{C}^{s} + \mathbf{D}^{s} \right) ,$$

- $A_{p,h}^{g/f}$  are due to the poles which appear in the original BCFW recursion for on-shell amplitudes. The pole appears because one of the intermediate virtual gluon, whose shifted momentum squared  $K^2(z)$  goes on-shell.
- $B_i^{g/f}$  are due to the poles appearing in the propagator of auxiliary eikonal quarks. This means  $p_i \cdot \hat{K}(z) = 0$  for  $z = -\frac{2 p_i \cdot K}{2 p_i \cdot e}$ .  $\hat{K}$  is the momentum flowing through the eikonal propagator.
- $C^{g/f}$  and  $D^{g/f}$  show up us the first/last shifted particle is off-shell and their external propagator develops a pole.

The external propagator for off-shell particles is necessary to ensure

$$\lim_{z\to\infty}\mathcal{A}(z)=0$$

BCFW recursion relations

## Classification of poles in the gluon case







BCFW recursion relations

### Classification of poles in the fermion case







### Some simple amplitudes

Transverse momentum parameterization:  

$$\begin{cases}
k_{T\,i}^{\mu} = -\frac{\kappa_i}{2} \frac{\langle p_i | \gamma^{\mu} | q]}{[p_i q]} - \frac{\kappa_i^*}{2} \frac{\langle q | \gamma^{\mu} | p_i \rangle}{\langle q p_i \rangle} \\
\kappa_i \equiv \frac{\langle q | k_i | p_i ]}{\langle q p_i \rangle} \quad \kappa_i^* \equiv \frac{\langle p_i | k_i | q]}{[p_i q]} \\
q^2 = 0 \quad \text{auxiliary momentum}
\end{cases}$$

### Subleading contribution: this is zero in the on-shell case !

$$\mathcal{A}(g_1^+, g_2^+, \dots, g_{n-1}^+, \bar{q}, q, g_n^+) = \frac{\langle \bar{q}q \rangle^3}{\langle 12 \rangle \langle 23 \rangle \dots \langle \bar{q}q \rangle \langle qn \rangle \langle n1 \rangle}$$

### Structure of MHV amplitudes

$$\begin{aligned} \mathcal{A}(g_{1}^{+},g_{2}^{+},\ldots,g_{n-1}^{+},\bar{q}^{*},q^{+},g_{n}^{-}) &= & \frac{1}{\kappa_{\bar{q}}^{*}} \frac{\langle \bar{q}n \rangle^{3} \langle qn \rangle}{\langle 12 \rangle \langle 23 \rangle \ldots \langle \bar{q}q \rangle \langle qn \rangle \langle n1 \rangle} \\ \mathcal{A}(g^{*},\bar{q}^{+},q^{-},g_{1}^{+},g_{2}^{+},\ldots,g_{n}^{+}) &= & \frac{1}{\kappa_{g}^{*}} \frac{\langle gq \rangle^{3} \langle g\bar{q} \rangle}{\langle g\bar{q} \rangle \langle \bar{q}q \rangle \ldots \langle n-1|n\rangle \langle ng \rangle} \end{aligned}$$

But not everything is so smooth...



$$\begin{split} \mathcal{A}(g^*,\bar{q}^+,q^-,g_1^+,g_2^-) &= \frac{1}{\kappa_g^*} \frac{[\bar{q}1]^3 \langle 2g \rangle^4}{[\bar{q}q] \langle g| \not p_2 + \not k_g |1] \langle 2| \not k_g \left( \not k_g + \not p_2 \right) |g] \langle 2| \not k_g |\bar{q}]} \\ &+ \frac{1}{\kappa_g} \frac{1}{(k_g + p_{\bar{q}})^2} \frac{[g\bar{q}]^2 \langle 2q \rangle^3 \langle 2| \not k_g + \not p_{\bar{q}} |g]}{\langle 1q \rangle \langle 12 \rangle \{ (k_g + p_{\bar{q}})^2 [\bar{q}g] \langle 2q \rangle - \langle 2| \not k_g + \not p_{\bar{q}} |g] \langle q| \not k_g |\bar{q}] \}} \\ &+ \frac{\langle gq \rangle^3 [g1]^4}{\langle \bar{q}q \rangle [12] [g2] \langle q| \not p_1 + \not p_2 |g] \langle g| \not p_1 + \not p_2 |g] \langle g| \not k_g + \not p_2 |1]} \end{split}$$

# General outline of the results

- It is necessary to understand which shifts are legitimate in the off-shell case, i.e. for which choices  $\lim_{z\to\infty} \mathcal{A}(z) = 0$ . Full classification of the possible shifts.
- All 4-point amplitudes are always MHV, just as in the on-shell case.
- First calculation of 5-point amplitudes in the literature
- Some amplitudes absent in the on-shell case do not vanish here
- Cross-checked (numerically) ! Tests were performed cross checked with a program implementing Berends-Giele recursion relation, A. van Hameren, M. Bury, arXiv:1503.08612

Thorough technical discussion is found in

A. van Hameren, M.S. JHEP 1507 (2015) 010 .

Conclusions and perspectives

### Conclusions and perspectives

- High-energy factorisation requires gauge invariant scattering amplitudes with off-shell partons.
- BCFW construction was extended to Yang Mills with fermions with off-shell particles. This implies identifying a new set of poles in the auxiliary complex variable. To obtain the scattering amplitudes with more off-shel partons...just some more work of the same kind.
- we are working on automation...
- Next natural step in phenomenology: applications of these results to multi-jet production in HEF factorisation. 4 jets production in  $k_T$ -factorisation is first.
- Want to go for loops: next standard for on-shell QCD will be NNLO. For loops there is no direct analogous of BCFW. In that case it would be useful to extend Berends-Giele to NNLO starting from van Hameren, JHEP 0907 (2009) 088

Conclusions and perspectives

### The end

# THANK YOU FOR YOUR ATTENTION