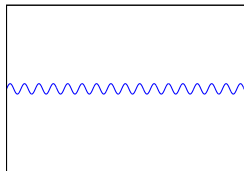
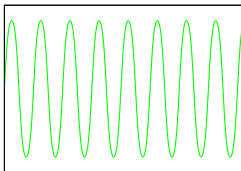
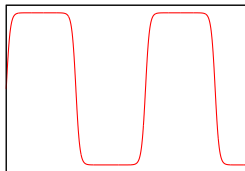


# Inhomogeneous chiral symmetry breaking phases



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Stefano Carignano  
Michael Buballa  
Dominik Nickel



Phys.Rev. D **80**, 074025 (2009)

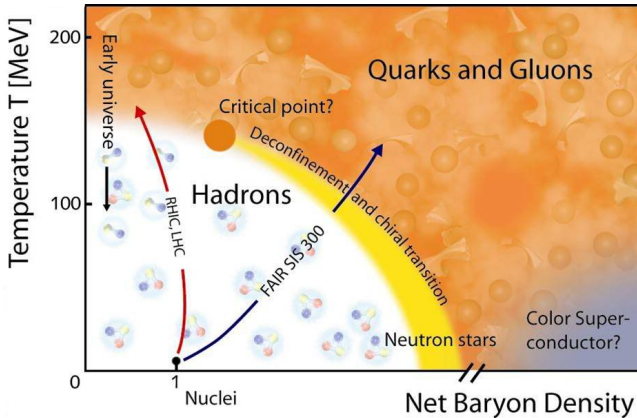
Phys.Rev. D **82**, 054009 (2010)

arXiv:1111.4400

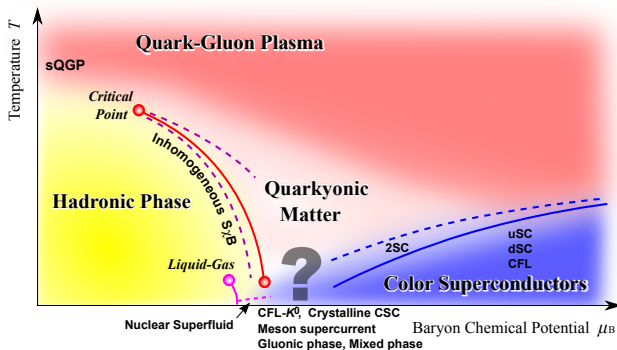
**H-QM** | Helmholtz Research School  
Quark Matter Studies

**HGS-HIRe** for FAIR  
Helmholtz Graduate School for Hadron and Ion Research

# Motivation: the QCD phase diagram (so far ?)

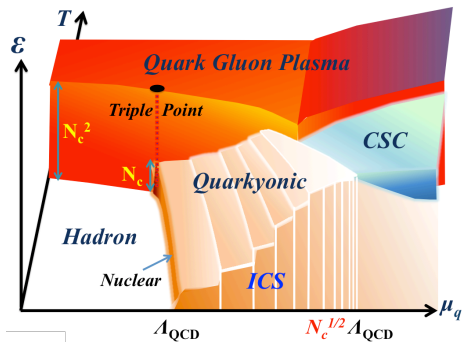


# Maybe it's not so simple...



Fukushima and Hatsuda, arXiv:1005.4814

# How about this one?



Kojo et al., arXiv:1107.2124

# Why inhomogeneous phases ?



- ▶ Popular already for quite some time...
  - ▶ Overhauser pairing in nuclear matter
  - ▶ Pion condensation
  - ▶ (Color-) Superconductivity
  
- ▶ Recently rediscovered and revised
  - ▶ Studies of lower-dimensional models ( $GN_2$ ,  $NJL_2$ , ...)
  - ▶ Quarkyonic chiral spirals
  - ▶ ...

- ▶ Start from the usual  $N_f = 2$  NJL Lagrangian

$$\mathcal{L}_{NJL} = \bar{\psi} (i\gamma^\mu \partial_\mu - m) \psi + G_s \left( (\bar{\psi}\psi)^2 + (\bar{\psi}i\gamma^5\tau^a\psi)^2 \right)$$

- ▶ Mean-field approximation
- ▶ Retain spatial dependence of the condensates

$$\langle \bar{\psi}\psi \rangle = S(\vec{x}), \quad \langle \bar{\psi}i\gamma^5\tau^a\psi \rangle = P_a(\vec{x})$$

- ▶ Mean-field Lagrangian:

$$\mathcal{L}_{MF} = \bar{\psi}(x)S^{-1}(x)\psi(x) - G_s (S(\vec{x})^2 + P(\vec{x})^2)$$

$$S^{-1} = i\gamma^\mu \partial_\mu - m + 2G_s (S(\vec{x}) + i\gamma^5\tau^a P_a(\vec{x})) \equiv \gamma^0(i\partial_0 - \mathcal{H}_{MF})$$

$$\begin{aligned}\Omega(T, \mu; S(\vec{x}), P(\vec{x})) &= -\frac{T}{V} \text{Log} \int \mathcal{D}\bar{\psi} \mathcal{D}\psi \exp \left( \int_{x \in [0, \frac{1}{T}] \times V} (\mathcal{L}_{MF} + \mu \bar{\psi} \gamma^0 \psi) \right) \\ &= -\frac{TN_c}{V} \sum_n \text{Tr}_{D,f,V} \text{Log} \left( \frac{1}{T} (i\omega_n + \mathcal{H}_{MF} - \mu) \right) + \frac{G_s}{V} \int_V (S(\vec{x})^2 + P(\vec{x})^2)\end{aligned}$$

- If we can calculate the eigenvalues  $\{E_n\}$  of  $\mathcal{H}_{MF}$ , it's

$$\Omega(T, \mu; M(\vec{x})) = -\frac{TN_f N_c}{V} \sum_{E_n} \text{Log} \left( 2 \cosh \left( \frac{E_n - \mu}{2T} \right) \right) + \frac{1}{V} \int_V \frac{|M(\vec{x}) - m|^2}{4G_s}$$

Having defined  $M(\vec{x}) = m - 2G_s (S(\vec{x}) + iP(\vec{x}))$

$$\begin{aligned}\Omega(T, \mu; S(\vec{x}), P(\vec{x})) &= -\frac{T}{V} \text{Log} \int \mathcal{D}\bar{\psi} \mathcal{D}\psi \exp \left( \int_{x \in [0, \frac{1}{T}] \times V} (\mathcal{L}_{MF} + \mu \bar{\psi} \gamma^0 \psi) \right) \\ &= -\frac{TN_c}{V} \sum_n \text{Tr}_{D,f,V} \text{Log} \left( \frac{1}{T} (i\omega_n + \mathcal{H}_{MF} - \mu) \right) + \frac{G_s}{V} \int_V (S(\vec{x})^2 + P(\vec{x})^2)\end{aligned}$$

► **If** we can calculate the eigenvalues  $\{E_n\}$  of  $\mathcal{H}_{MF}$ , it's

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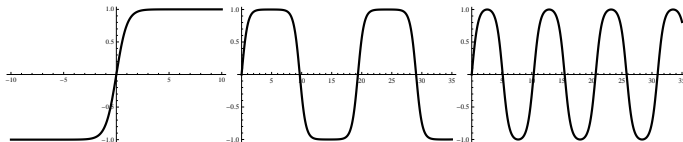


# One-dimensional modulations:

$$M(\vec{x}) \rightarrow M(z)$$

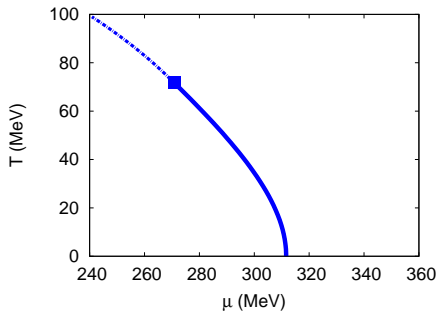
- ▶ Self-consistent real solutions known from studies of 1+1D Gross-Neveu model  
(M.Thies et al., Annals Phys. 314 )

$$M(z) = \Delta \sqrt{\nu} \operatorname{sn}(\Delta z | \nu)$$



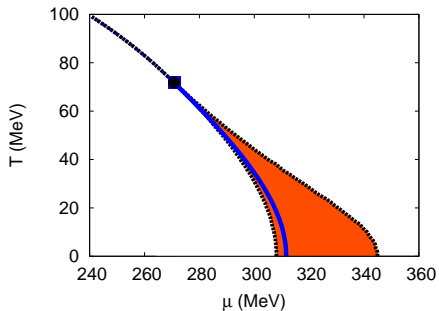
- ▶ Analytical expression for the eigenvalue spectrum of  $\mathcal{H}_{MF} [M(z)]$
- ▶ Minimization of  $\Omega[M(z)]$  w.r.t. two parameters (chiral limit):  $\Omega(\Delta, \nu)$
- ▶ Away from chiral limit: add a third parameter  $\delta$

## Results: NJL (chiral limit)



- ▶ Homogeneous only:
- ▶ First order phase transition
- ▶ ending at a critical point

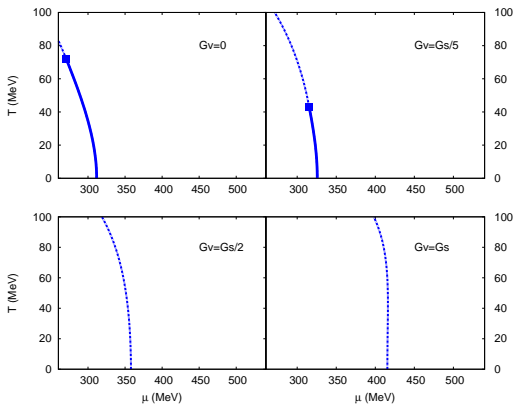
## Results: NJL (chiral limit)



- ▶ Allow for inhomogeneous condensates:
- ▶ First order transition line covered by inhomogeneous phase
- ▶ All phase transitions are 2nd order
- ▶ Critical point  $\rightarrow$  Lifshitz point

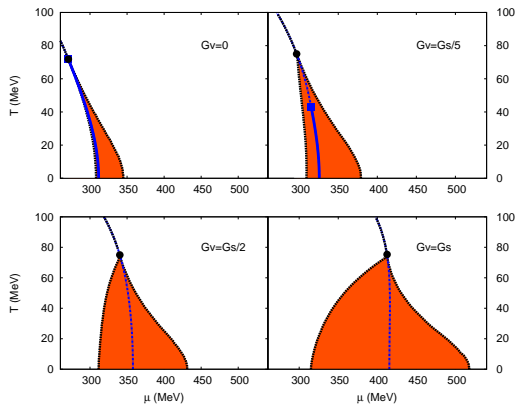
(D. Nickel, PRD 80)

# Vector interactions (Chiral limit)



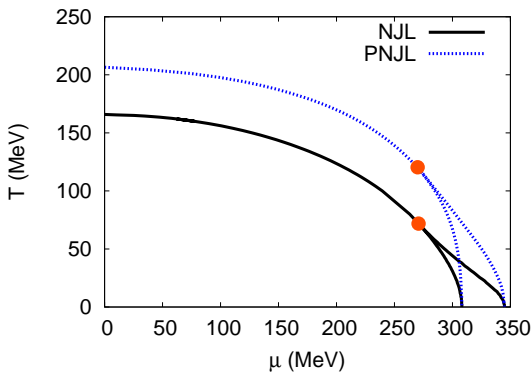
- ▶ Homogeneous:
- ▶ Shift towards higher  $\mu$
- ▶ Strong  $G_V$ -dependence of the critical point

# Vector interactions (Chiral limit)



- ▶ Inhomogeneous:
- ▶ Stretch towards higher  $\mu$
- ▶ Lifshitz point at constant  $T$
- ▶ Lifshitz and critical points split

# PNJL (Chiral limit)



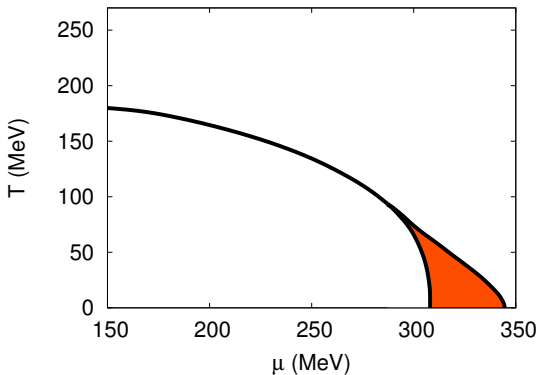
- ▶ Suppression of thermal effects
- ▶ Phase diagram stretched in  $T$

- ▶ Arbitrary number of colors
- ▶ Practical implementation: modified PNJL model
- ▶ Assume  $\ell = \bar{\ell}$ , expand for small  $\ell$   
(McLerran, Redlich, Sasaki 2008)

$$\Omega_{med} = -2N_C N_f T \int dE \tilde{\rho}(E) [\Theta(E_p - \mu) \ell (e^{-\beta(E_p - \mu)} + e^{-\beta(E_p + \mu)}) \\ + \Theta(\mu - E_p) \{ \beta(\mu - E_p) + \ell (e^{-\beta(\mu - E_p)} + e^{-\beta(\mu + E_p)}) \}]$$

- ▶ Approximation works best in confined (small  $\ell$ ) phase

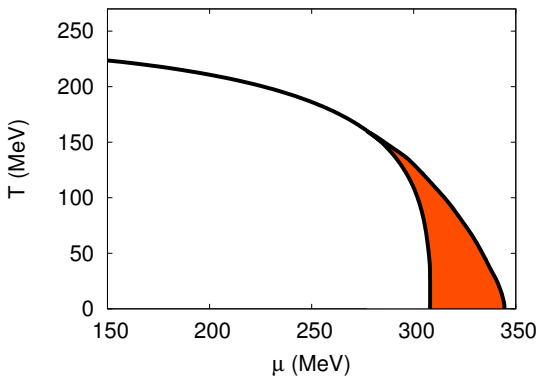
# Large $N_C$ - Results



$$N_C = 3$$

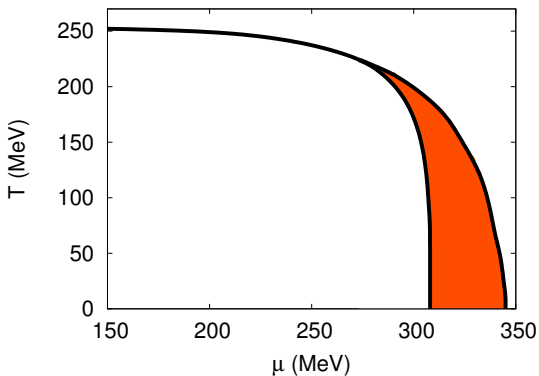


# Large $N_C$ - Results



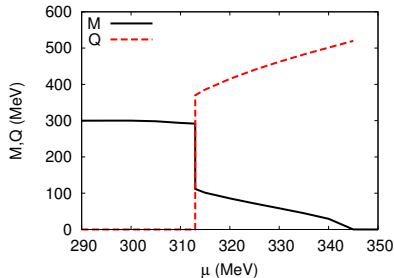
$N_C = 10$

# Large $N_C$ - Results

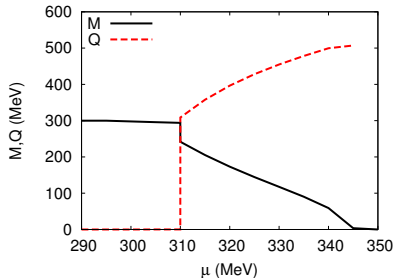


$N_C = 50$

## Other kinds of 1D modulations ( $T = 0$ )

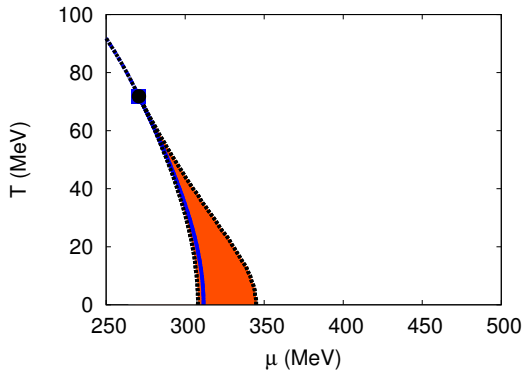


$$M(z) = M e^{iQz}$$

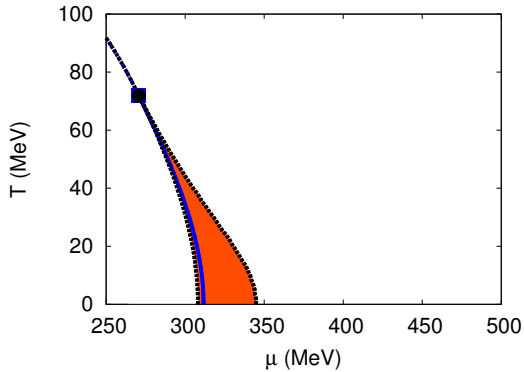


$$M(z) = M \cos(Qz)$$

# Islands and continents

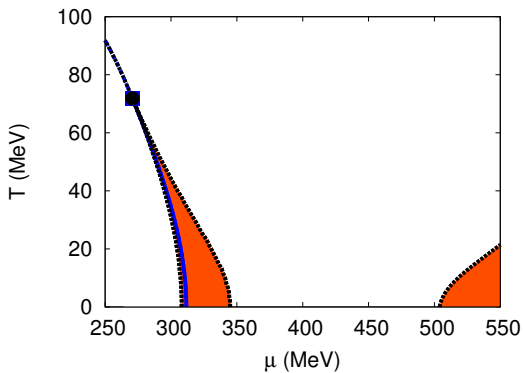


# Islands and continents



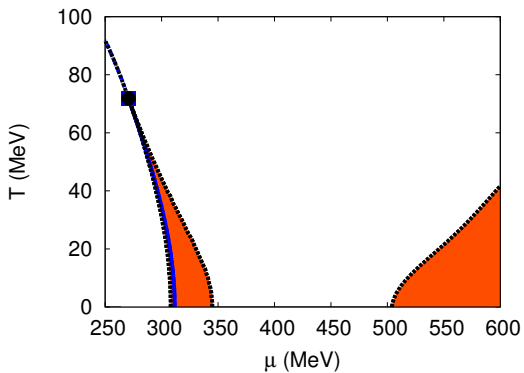
“Happy island”

# Islands and continents



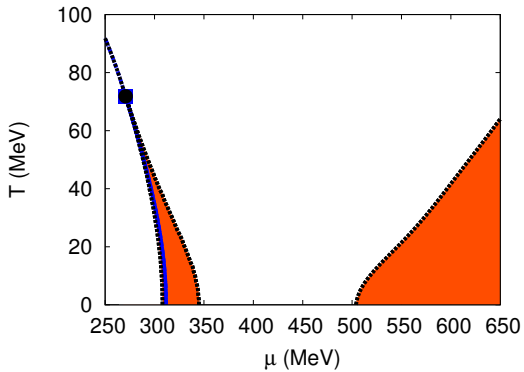
....

# Islands and continents



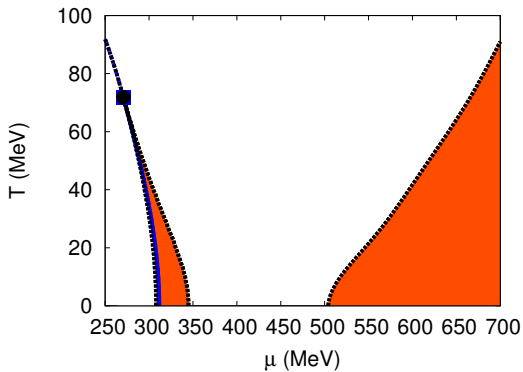
....!?

# Islands and continents



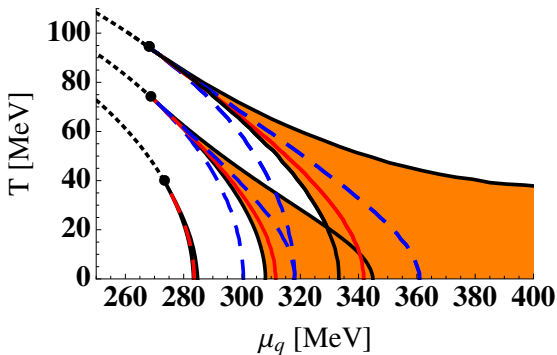


# Islands and continents



"sad continent"

- ▶ Bigger  $M_q \rightarrow$  continent connected to island !



# Regularization artifact ?



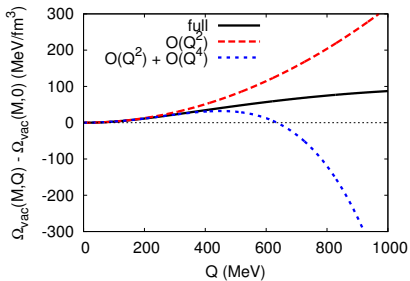
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- ▶ Present for both Pauli-Villars and proper time regularizations

# Regularization artifact ?

- ▶ Present for both Pauli-Villars and proper time regularizations
- ▶ Not present in Quark-Meson model !

- ▶ Difference NJL / QM ?
- ▶ Universal  $q^2$  kinetic term
- ▶ Higher orders from NJL vacuum



- ▶ No analytical results to help us this time
- ▶ Brute-force diagonalization of

$$\mathcal{H} = \gamma^0 \left[ i\vec{\gamma} \cdot \vec{\partial} + m - 2G(S + i\gamma^5 P) \right]$$

- ▶ Expand  $M(\vec{x})$  in a Fourier series:

$$M(\vec{x}) = \sum_q M_q \exp(i\mathbf{q} \cdot \mathbf{x})$$

- ▶ In momentum space:

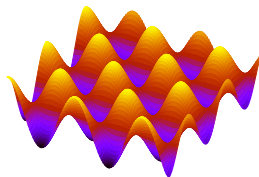
$$\mathcal{H}_{\rho_{in}, \rho_{out}} = \begin{pmatrix} -\vec{\sigma} \cdot \vec{p}_{in} \delta_{\rho_{in}, \rho_{out}} & \sum_{\vec{q}} M_q \delta_{\rho_{out}, \rho_{in} + \vec{q}} \\ \sum_{\vec{q}} M_q \delta_{\rho_{out}, \rho_{in} - \vec{q}} & \vec{\sigma} \cdot \vec{p}_{in} \delta_{\rho_{in}, \rho_{out}} \end{pmatrix}$$

- ▶ The inhomogeneous condensate couples different momenta



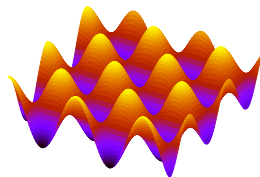
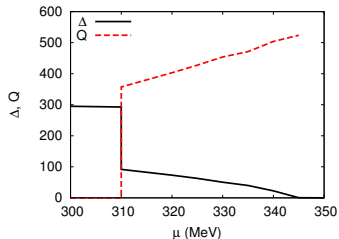
- ▶ Focus on a simple square crystal
- ▶ Ansatz for LOFF-type modulation

$$M(x, y) = \Delta \cos(Qx) \cos(Qy)$$



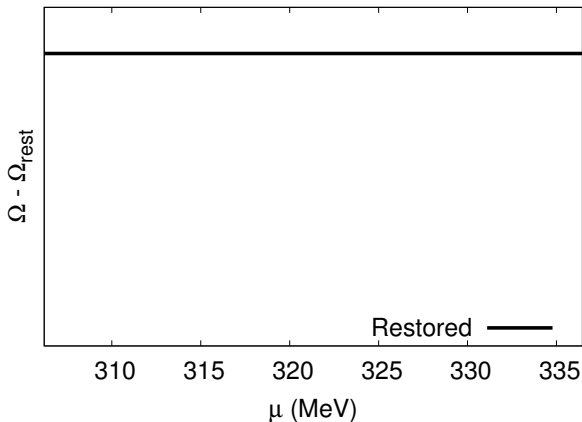
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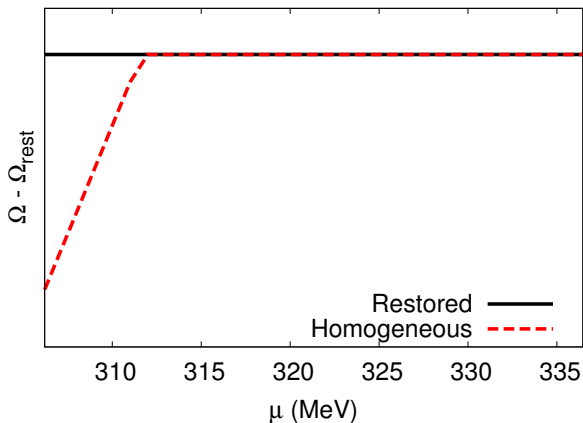




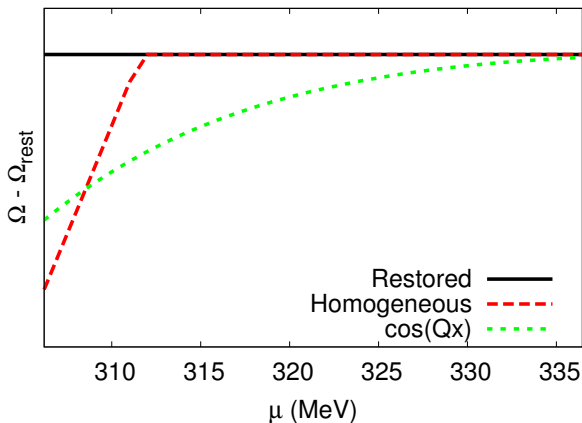
# Comparison of free energies, $T = 0$



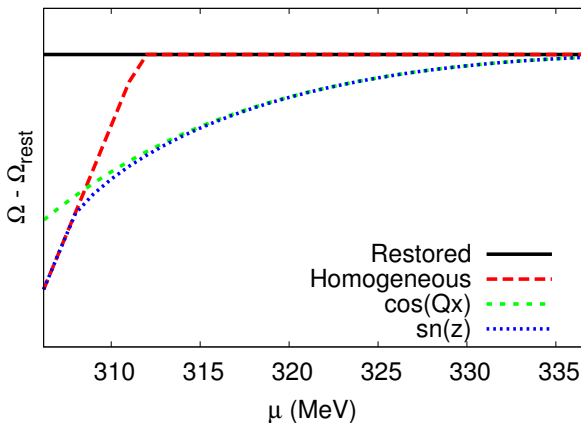
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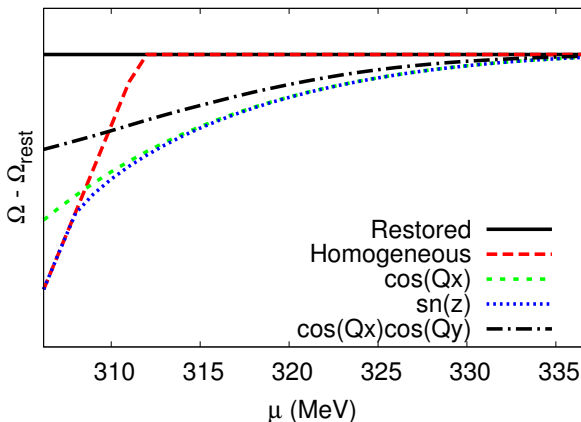
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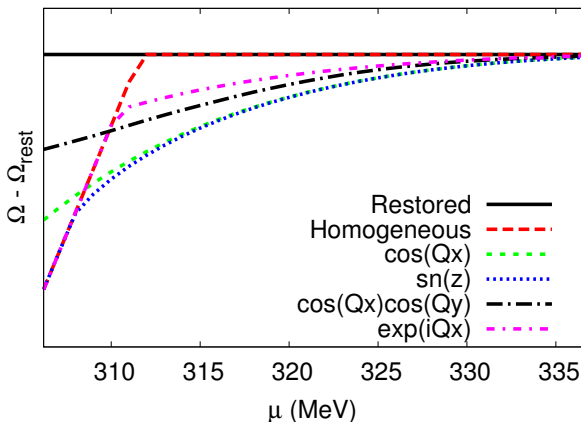
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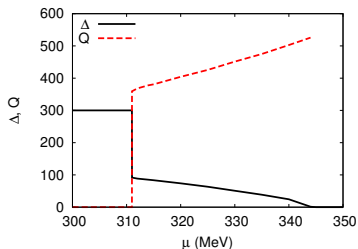
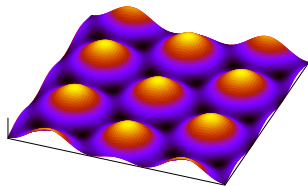
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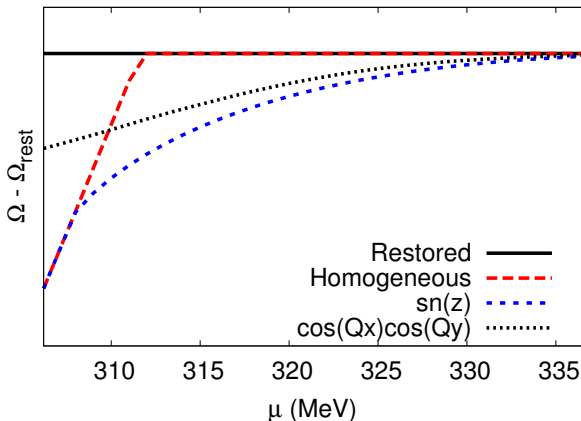
# Hexagon-symmetric modulation

- ▶ Now for something more elaborate: hexagonal symmetry

$$M(x, y) = \Delta \left[ \cos(Qy) + 2 \cos\left(\frac{\sqrt{3}}{2} Qx\right) \cos\left(\frac{Q}{2} y\right) \right]$$

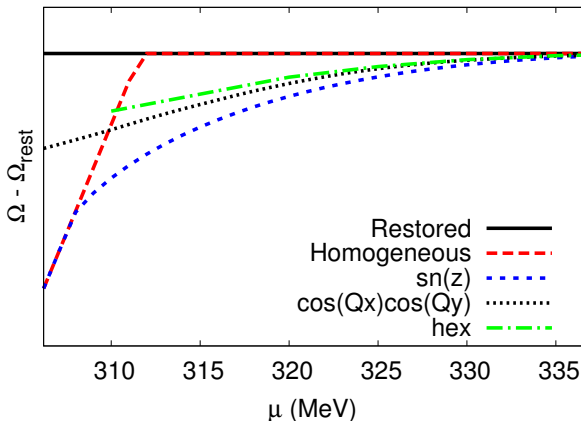


# Thermodynamic potential, $T = 0$



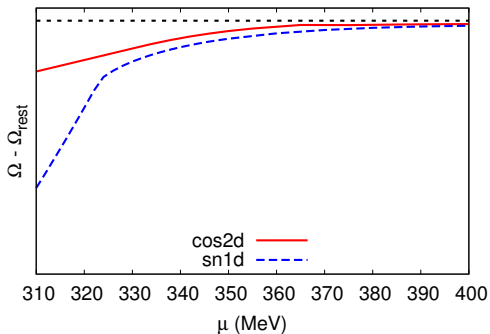


# Thermodynamic potential, $T = 0$



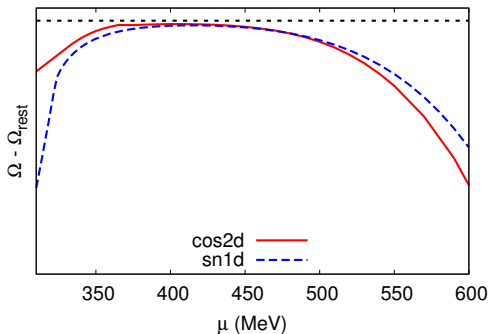
## Now for something a bit silly ...

- ▶ Does the continent like higher dimensional modulations ?



## Now for something a bit silly ...

- ▶ Does the continent like higher dimensional modulations ?



- ▶ Seems so !

## Bottom line:



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- ▶ 1D modulations are fun to play with

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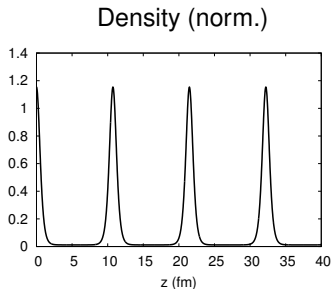
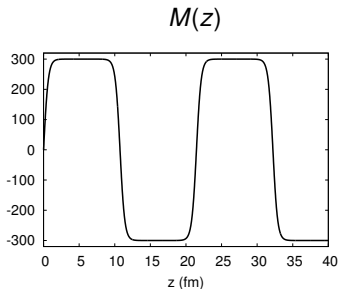
- ▶ 1D modulations are fun to play with
- ▶ 2D modulations seem to be disfavored
- ▶ Model/Regularization artifacts ?
- ▶ The phase diagram is in any case modified!
- ▶ Many more things to try ..





# Solitons: Mass and density profiles ( $T = m = 0$ )

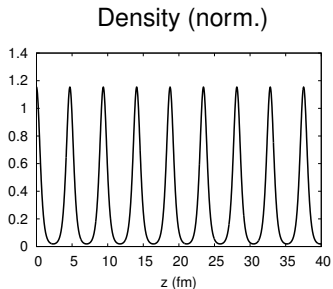
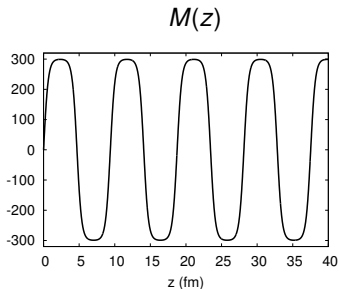
$$M(z) = \Delta\sqrt{\nu} \operatorname{sn}(\Delta z|\nu) = \begin{cases} \Delta \tanh(\Delta z) & \text{for } \nu \rightarrow 1 \\ \Delta\sqrt{\nu} \sin(\Delta z) & \text{for } \nu \rightarrow 0 \end{cases}$$



$$\mu = 307.5 \text{ MeV}$$

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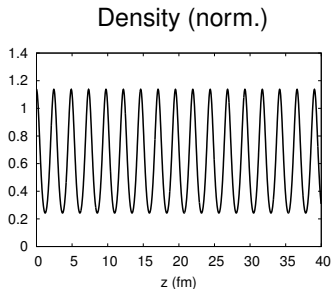
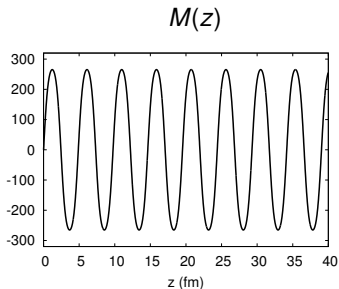
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$$\mu = 308 \text{ MeV}$$

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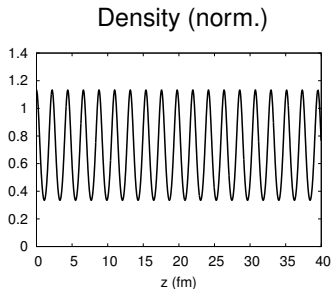
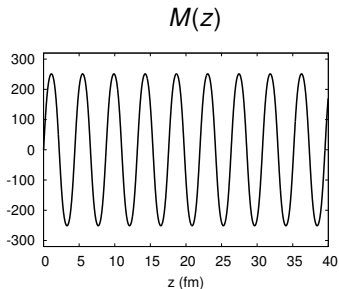
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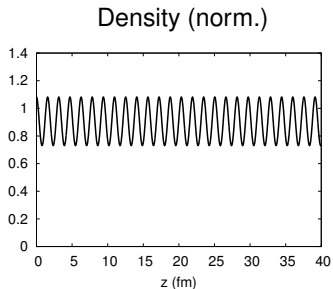
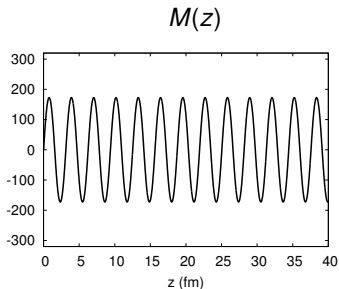
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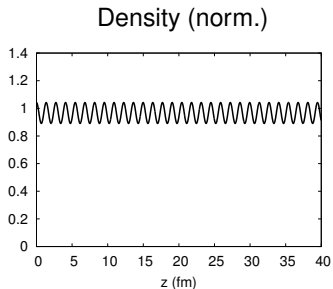
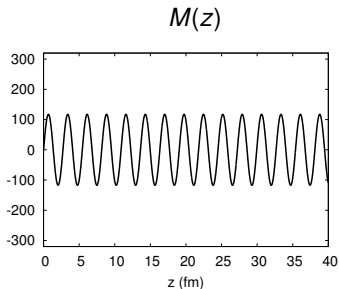
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$$\mu = 320 \text{ MeV}$$

# Solitons: Mass and density profiles ( $T = m = 0$ )

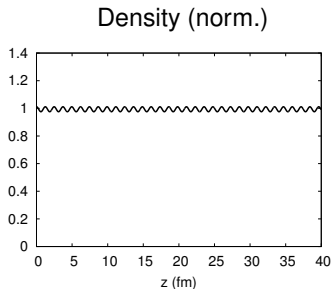
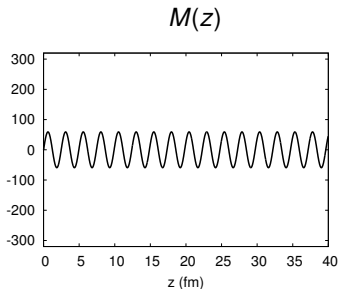
$$M(z) = \Delta\sqrt{\nu} \operatorname{sn}(\Delta z|\nu) = \begin{cases} \Delta \tanh(\Delta z) & \text{for } \nu \rightarrow 1 \\ \Delta\sqrt{\nu} \sin(\Delta z) & \text{for } \nu \rightarrow 0 \end{cases}$$



$$\mu = 330 \text{ MeV}$$

# Solitons: Mass and density profiles ( $T = m = 0$ )

$$M(z) = \Delta\sqrt{\nu} \operatorname{sn}(\Delta z|\nu) = \begin{cases} \Delta \tanh(\Delta z) & \text{for } \nu \rightarrow 1 \\ \Delta\sqrt{\nu} \sin(\Delta z) & \text{for } \nu \rightarrow 0 \end{cases}$$

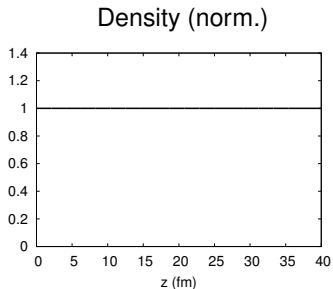
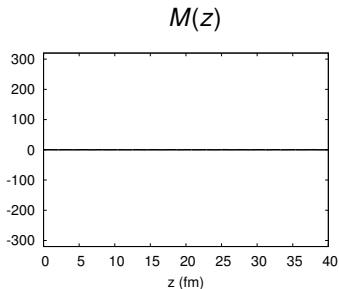


$$\mu = 340 \text{ MeV}$$



# Solitons: Mass and density profiles ( $T = m = 0$ )

$$M(z) = \Delta\sqrt{\nu} \operatorname{sn}(\Delta z|\nu) = \begin{cases} \Delta \tanh(\Delta z) & \text{for } \nu \rightarrow 1 \\ \Delta\sqrt{\nu} \sin(\Delta z) & \text{for } \nu \rightarrow 0 \end{cases}$$



$$\mu = 345 \text{ MeV}$$

- ▶ Restrict to lower-dimensional spatial modulations
- ▶  $[\mathcal{H}, P_{\perp}] = 0 \rightarrow$  Full spectrum from lower-dimensional eigenvalues  $\lambda$
- ▶ Boost along the transverse directions:

$$(\lambda, \mathbf{0}) \rightarrow (\sqrt{p_{\perp}^2 + \lambda^2}, \mathbf{p}_{\perp})$$

$$\begin{aligned} \Omega(T, \mu; M(\vec{x})) &= -\frac{2TN_c}{V_{\parallel}} \sum_{\lambda} \int \frac{d\vec{p}_{\perp}}{(2\pi)^{d_{\perp}}} \ln \left( 2 \cosh \left( \frac{\lambda \sqrt{1 + \vec{p}_{\perp}^2 / \lambda^2} - \mu}{2T} \right) \right) \\ &+ \frac{1}{V} \int_V \frac{|M(\vec{x}) - m|^2}{4G_s} + \text{const.} \end{aligned}$$

## One-dimensional modulations:

$$M(\vec{x}) \rightarrow M(z)$$

- ▶ Restrict to one-dimensional modulations

$$M(\vec{x}) \rightarrow M(z)$$

- ▶ The Hamiltonian becomes

$$\mathcal{H} \rightarrow \mathcal{H}_{1D} = \begin{pmatrix} H_{1D}(M(z)) & \\ & H_{1D}(M(z)^*) \end{pmatrix}$$

$$\mathcal{H}_{1D}(M(z)) = \begin{pmatrix} -i\partial_z & M(z) \\ M(z)^* & i\partial_z \end{pmatrix} \quad \text{Gross-Neveu Hamiltonian}$$

- ▶ One of the simplest interacting fermionic field theories
- ▶ Defined in 1+1 dimensions

$$\mathcal{L}_{GN} = \bar{\psi} i \gamma^\mu \partial_\mu \psi + \frac{1}{2} g^2 (\bar{\psi} \psi)^2$$

- ▶ Hartree-Fock  $\rightarrow$  recover SUSY QM-like equation
- ▶ Real self-consistent solutions of the form

$$M(z) = \Delta \left( \nu \operatorname{sn}(b|\nu) \operatorname{sn}(\Delta z|\nu) \operatorname{sn}(\Delta z + b|\nu) + \frac{\operatorname{cn}(b|\nu) \operatorname{dn}(b|\nu)}{\operatorname{sn}(b|\nu)} \right)$$

- ▶ Eigenvalue spectrum well known

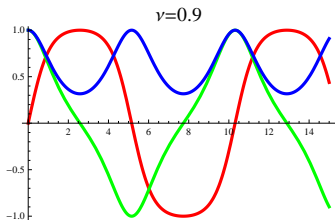
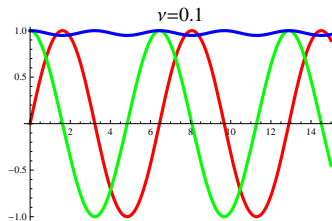
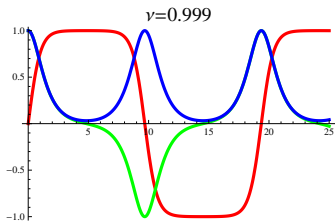
(M.Thies et al., Annals Phys. 314 (2004) 425-447, arXiv:hep-th/0402014)

# Elliptic functions: $\text{sn}(z|\nu)$ , $\text{cn}(z|\nu)$ , $\text{dn}(z|\nu)$

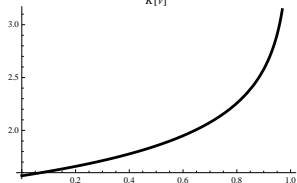
$\nu \in [0, 1]$



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DARMSTADT



Period  $\propto K(\nu)$



## Some technicalities...

$$\Omega_{MF}^{NJL}(T, \mu; \Delta, \nu, \delta) = -2N_c \int_0^\infty dE \tilde{\rho}(E; \nu, \Delta) \tilde{f}_{\text{bare}} \left( \sqrt{E^2 + \delta \Delta^2} \right) \\ + \frac{1}{4G_s P} \int_0^P dz |M(z) - m|^2 + C$$

$$\tilde{f}_{\text{bare}}(x) = \tilde{f}_{\text{vac}}(x) + \tilde{f}_{\text{medium}}(x)$$

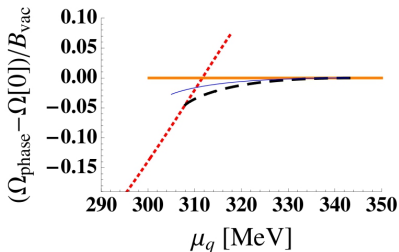
$$\tilde{f}_{\text{vac}}(x) = x$$

$$\tilde{f}_{\text{medium}}(x) = T \ln \left( 1 + \exp \left( -\frac{x - \mu}{T} \right) \right) + T \ln \left( 1 + \exp \left( -\frac{x + \mu}{T} \right) \right)$$

$$\tilde{f}_{UV}(x) \rightarrow \tilde{f}_{PV}(x) = \sum_{j=0}^3 c_j \sqrt{x^2 + j\Lambda^2} \quad (c_0 = 1, c_1 = -3, c_2 = 3, c_3 = -1)$$

# What about pseudoscalar condensates?

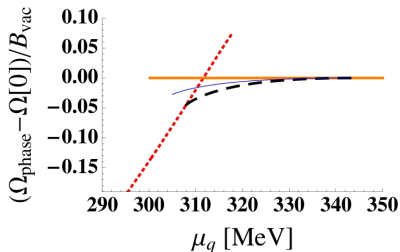
- ▶ Real modulations  $\rightarrow P(x) = 0$
- ▶ Solitons:  $M(z) \sim \Delta\sqrt{\nu}sn(z|\nu)$
- ▶ Chiral density wave:  $M(z) = \Delta e^{iqz}$
- ▶ Homogeneous broken:  $M(z) = \Delta$



- ▶ (Real) solitons are always favored over chiral density wave!

# Real VS complex modulations

- ▶ Real modulations  $\rightarrow P(x) = 0$
- ▶ Solitons:  $M(z) \sim \Delta\sqrt{\nu}sn(z|\nu)$
- ▶ Chiral density wave:  $M(z) = \Delta e^{iqz}$
- ▶ Homogeneous broken:  $M(z) = \Delta$



(D. Nickel, PRD 80)

- ▶ (Real) solitons are always favored over chiral density wave!

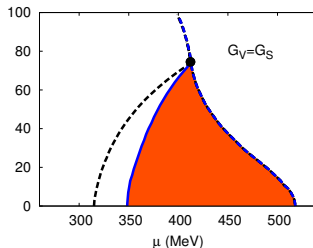
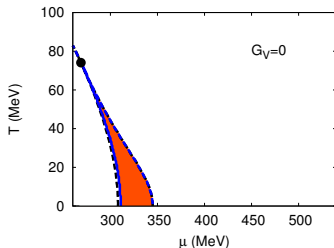


- ▶ Additional vector term:  $\mathcal{L} = \mathcal{L}_{NJL} - G_V(\bar{\psi}\gamma^\mu\psi)^2$
- ▶ New mean field:  $\bar{\psi}\gamma^\mu\psi \rightarrow \langle \bar{\psi}\gamma^\mu\psi \rangle \equiv n(\vec{x})\delta^{\mu 0}$  (density!)
- ▶ Introduce shifted chemical potential  $\tilde{\mu}(\vec{x}) = \mu - 2G_V n(\vec{x})$
- ▶ Determine  $\tilde{\mu}$  via  $\frac{\delta\Omega}{\delta\tilde{\mu}} = 0$
- ▶ Sacrifice complete self-consistency: pick  $\tilde{\mu} \equiv \langle \tilde{\mu} \rangle_z$  instead of  $\tilde{\mu}(z)$ 
  - ▶ Most questionable in the inhomogeneous phase at low  $\mu$  and  $T$
  - ▶ More reliable close to the restored phase and the Lifshitz point

$$\Omega(T, \mu) \rightarrow \Omega(T, \tilde{\mu}) - \frac{(\mu - \tilde{\mu})^2}{4G_V}$$

# Chiral density wave and vector interactions

- ▶ How good is our  $\tilde{\mu}(z) \rightarrow \langle \tilde{\mu}(z) \rangle$  approximation ?
- ▶ Cross-check: **Chiral density wave**  $\rightarrow M(z) = \Delta e^{iqz} \rightarrow n(z) = \text{const.}$



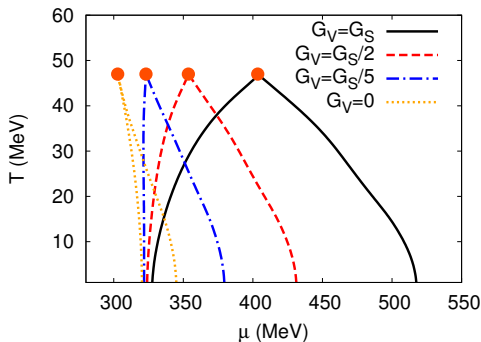
- ▶ Same qualitative behaviour as the solitonic solutions
- ▶ Lifshitz point at the same position
- ▶ Different (1st order) homogeneous  $\rightarrow$  inhomogeneous transition line

# More phase diagrams: massive quarks

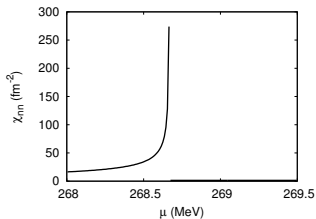
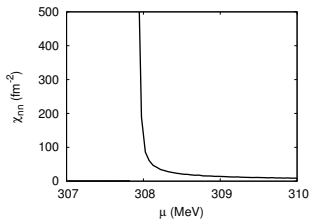
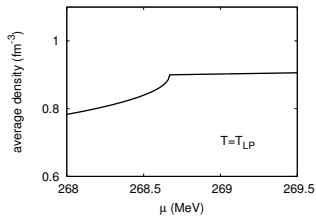
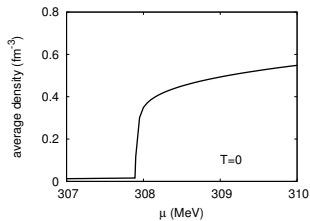
- ▶ Self-consistent solutions take the form

$$M(z) = \Delta \left( \sqrt{\nu} \operatorname{sn}(b|\nu) \operatorname{sn}(\Delta z|\nu) \operatorname{sn}(\Delta z + b|\nu) + \frac{\operatorname{cn}(b|\nu) \operatorname{dn}(b|\nu)}{\operatorname{sn}(b|\nu)} \right)$$

- ▶ Additional parameter:  $b$
- ▶ Same qualitative features as  $m = 0$
- ▶ Results for  $m = 5$  MeV



# Susceptibilities



- ▶ PNJL model:

$$\mathcal{L}_{PNJL} = \bar{\psi} (i\gamma^\mu D_\mu - \hat{m}) \psi + G_s \left( (\bar{\psi}\psi)^2 + (\bar{\psi}i\gamma^5\tau^a\psi)^2 \right) - \mathcal{U}(L, \bar{L})$$

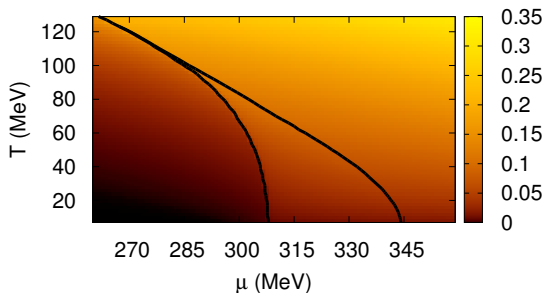
- ▶ Covariant derivative:  $D_\mu = \partial_\mu + iA_0\delta_{\mu 0}$
- ▶ Polyakov loop:  $L(\vec{x}) = \mathcal{P} \exp[i \int_0^{1/T} d\tau A_4(\tau, \vec{x})]$ ,  $A_4(\tau, \vec{x}) = iA_0(t = -i\tau, \vec{x})$
- ▶ Expectation values:  $\ell = \frac{1}{N_c} \langle \text{Tr}_c L \rangle$ ,  $\bar{\ell} = \frac{1}{N_c} \langle \text{Tr}_c L^\dagger \rangle$
- ▶ **Assumption:**  $\ell, \bar{\ell}$  space-time independent
- ▶ Main effect:

$$N_c T \log \left( 1 + e^{-\frac{E-\mu}{T}} \right) \rightarrow T \log \left( 1 + e^{-3(E-\mu)/T} + 3\ell e^{-(E-\mu)/T} + 3\bar{\ell} e^{-2(E-\mu)/T} \right)$$

- ▶ Thermally excited quarks are suppressed at small  $\ell, \bar{\ell}$

# Polyakov loop expectation value

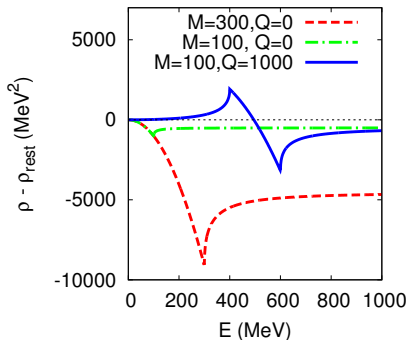
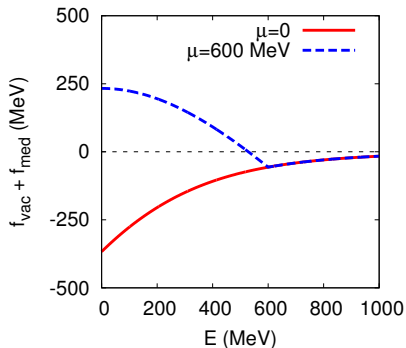
- ▶ How good is our approximation of constant  $l, \bar{l}$  ?



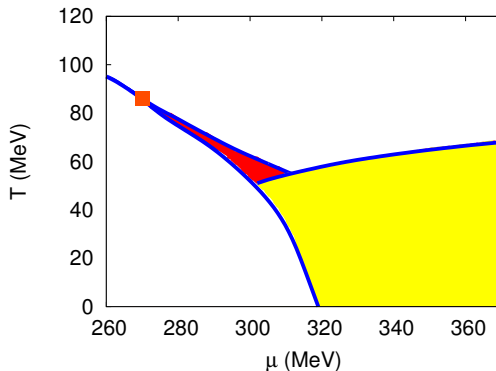
- ▶ Inhomogeneous regime:  $l, \bar{l} \leq 0.2$
- ▶ Effects of neglecting spatial variations of  $l, \bar{l}$  presumably small

# Continent - Origin? (Chiral density wave, $T = 0$ )

$$\Omega - \Omega_{rest} = -2N_c \int_0^\infty dE [\rho(E; M, Q) - \rho_{rest}(E)] [f_{vac}(E) + f_{med}(E, \mu)] + \frac{M^2}{4G}$$



# Comparison with (homogeneous) 2SC phase (with D. Nowakowski)



Preliminary!!



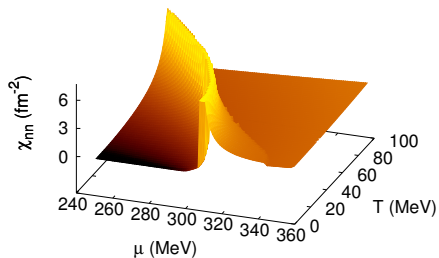
# 1D Modulations:

## What have we learned?

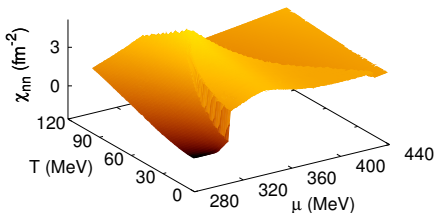
- ▶ Self-consistent 1D spatial modulations are relatively easy to study thanks to analytical results from the study of the Gross-Neveu model
- ▶ Inhomogeneous *island* appears in the phase diagram
- ▶ Extensions of the model (vector interactions, Polyakov loop) enhance the size of the inhomogeneous region
- ▶ **The phase diagram is qualitatively altered !**
- ▶ Much more is possible: large  $N_C$  studies, interplay with CSC ...
- ▶ Inhomogeneous continent: bug or feature?

# Quark number susceptibilities

$$\chi_{nn} = -\frac{\partial^2 \Omega}{\partial \mu^2} = \frac{\partial \bar{n}}{\partial \mu}$$



$G_V = 0$



$G_V = G_S/2$

# Susceptibilities

