

Effective Nuclear Hamiltonians for ab initio Nuclear Structure Calculations

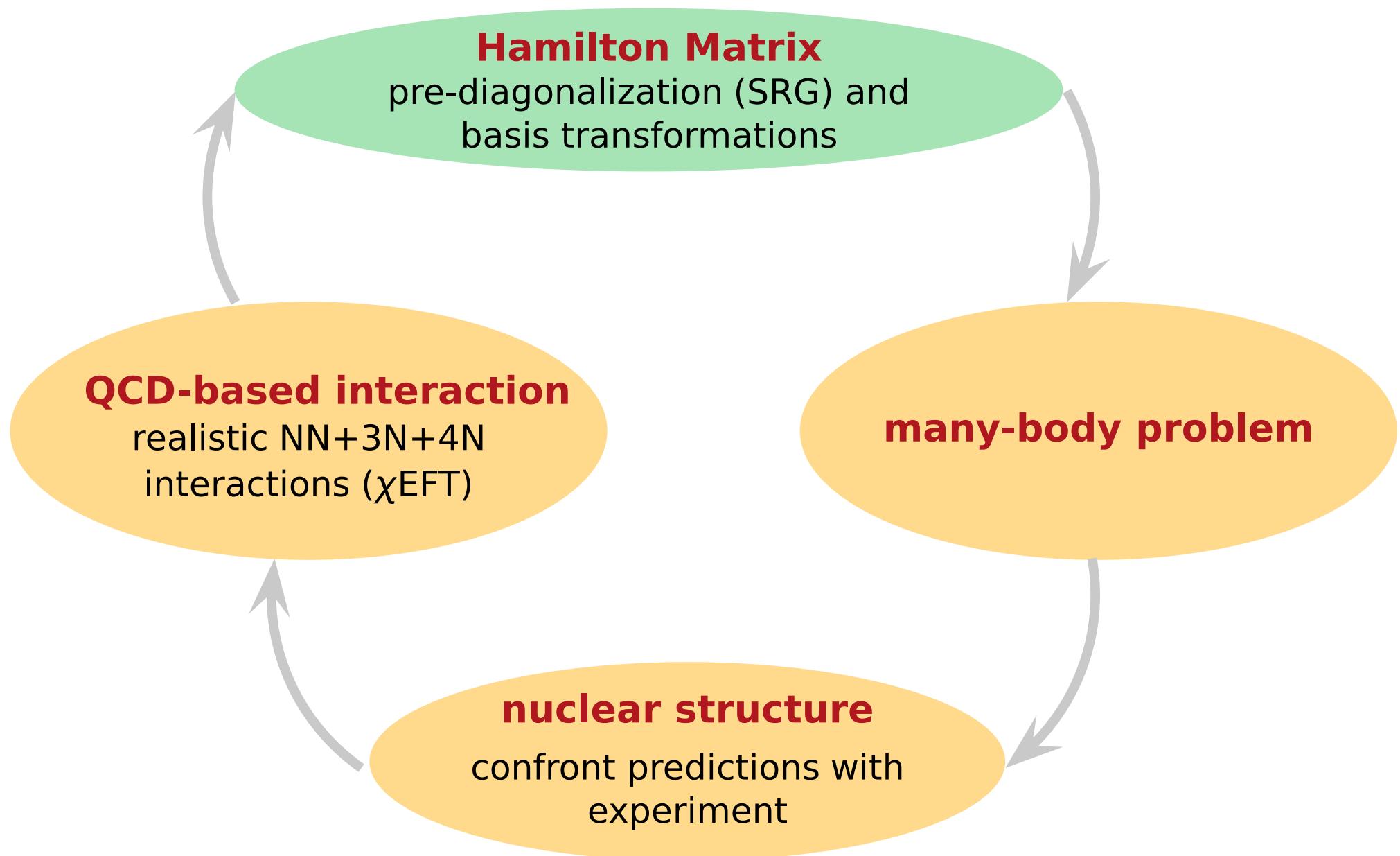
Angelo Calci



Hirschegg 2015

Nuclear Structure and Reactions: Weak, Strange and Exotic

Introduction



Chiral NN+3N Interactions

Weinberg, van Kolck, Machleidt, Entem, Meissner, Epelbaum, Krebs, Bernard,...

■ standard interaction:

- NN @ N³LO: Entem & Machleidt, 500 MeV cutoff
- 3N @ N²LO: Navrátil, local, 500 MeV cutoff, fit to Triton

■ standard interaction with modified 3N:

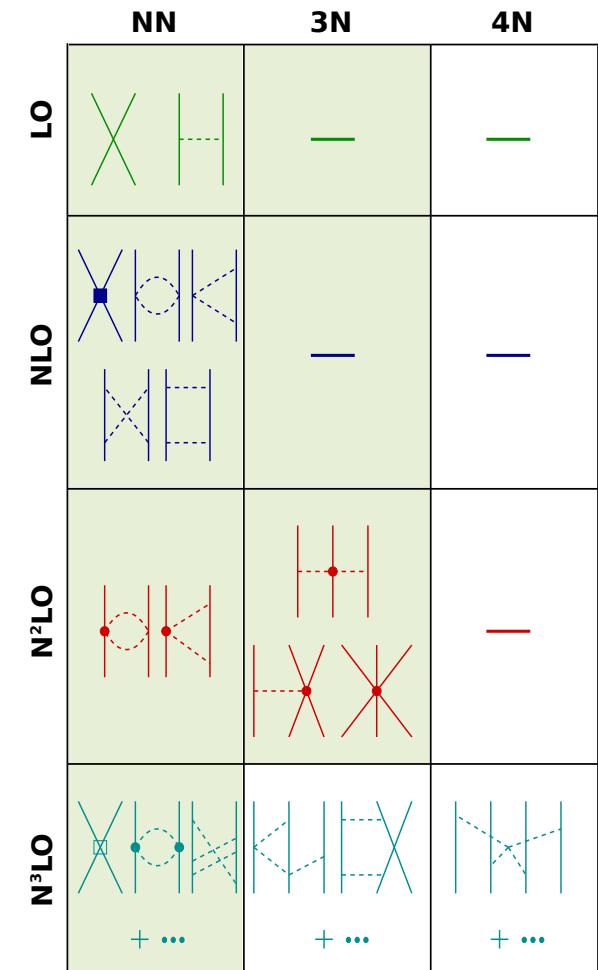
- NN @ N³LO: Entem & Machleidt, 500 MeV cutoff
- 3N @ N²LO: Navrátil, local, with modified LECs and cutoffs, fit to ⁴He

■ consistent N²LO interaction:

- NN: Epelbaum et al., 450, ..., 600 MeV cutoff
- 3N: Epelbaum et al., 450, ..., 600 MeV cutoff, nonlocal

■ consistent N³LO interaction:

- 3N recently obtained by the LENPIC Collaboration



No-Core Shell Model (NCSM)

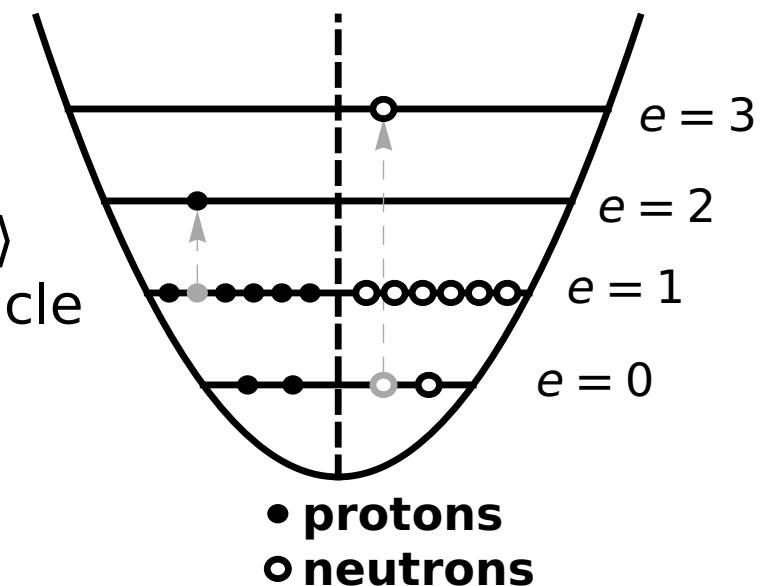
- **solving the eigenvalue problem**

$$H_{\text{int}}|\Psi_n\rangle = E_n|\Psi_n\rangle$$

- **many-body basis:** Slater determinants $|\Phi_\nu\rangle$ composed of harmonic oscillator single-particle states (m-scheme)

$$|\Psi_n\rangle = \sum_\nu C_\nu^n |\Phi_\nu\rangle$$

- **model space:** spanned by m -scheme states $|\Phi_\nu\rangle$ with unperturbed excitation energy of up to $N_{\max}\hbar\Omega$



problem

enormous increase of model space with particle number A

⇒ converged calculation limited to small A

Importance-Truncated NCSM

- start with **reference state** $|\Psi_{\text{ref}}\rangle$ as approximation of target state $|\Psi_n\rangle$ from limited reference space \mathcal{M}_{ref}
- a priori determination of relevant basis states $|\phi_\nu\rangle \notin \mathcal{M}_{\text{ref}}$ via first-order perturbation theory

$$\kappa_\nu = -\frac{\langle \Phi_\nu | H_{\text{int}} | \Psi_{\text{ref}} \rangle}{\epsilon_\nu - \epsilon_{\text{ref}}}$$

- **importance truncated space** $\mathcal{M}(\kappa_{\min})$ spanned by basis states with $|\kappa_\nu| \geq \kappa_{\min}$
- **solving eigenvalue problem** in $\mathcal{M}(\kappa_{\min})$ provides improved approximation for target state
- **extrapolation** of $\kappa_{\min} \rightarrow 0$ recovers effect of omitted contributions
- provides **same results** as the full NCSM keeping all its advantages
- expands **application range** to higher A and N_{\max}

Similarity Renormalization Group in Three-Body Space

Roth, Langhammer, AC et al. — Phys. Rev. Lett. 107, 072501 (2011)

Roth, Neff, Feldmeier — Prog. Part. Nucl. Phys. 65, 50 (2010)

Jurgenson, Navrátil, Furnstahl — Phys. Rev. Lett. 103, 082501 (2009)

Bogner, Furnstahl, Perry — Phys. Rev. C 75 061001(R) (2007)

Similarity Renormalization Group (SRG)

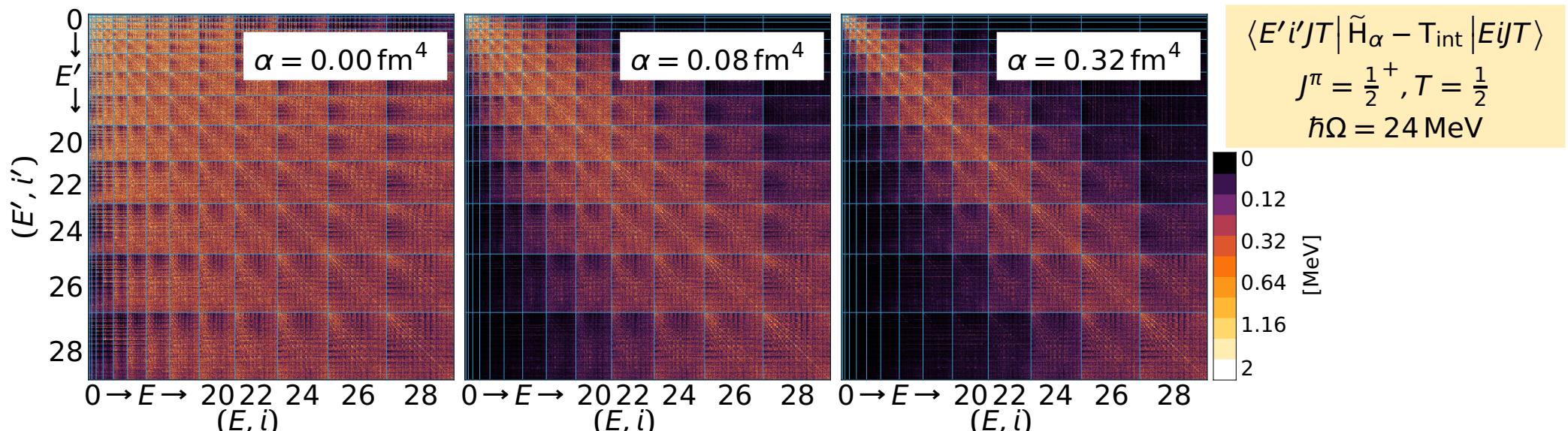
accelerate convergence by **pre-diagonalizing** the Hamiltonian
with respect to the many-body basis

- **unitary transformation** leads to **evolution equation**

$$\frac{d}{d\alpha} \tilde{H}_\alpha = [\eta_\alpha, \tilde{H}_\alpha] \quad \text{with} \quad \eta_\alpha = (2\mu)^2 [T_{\text{int}}, \tilde{H}_\alpha] = -\eta_\alpha^\dagger$$

advantages of SRG: **flexibility and simplicity**

3B-Jacobi HO matrix elements



SRG Evolution in A-Body Space

- assume **initial Hamiltonian** and intrinsic kinetic energy are two-body operators written in second quantization

$$\tilde{H}_0 = \sum \dots a^\dagger a^\dagger a a, \quad T_{\text{int}} = T - T_{\text{cm}} = \sum \dots a^\dagger a^\dagger a a$$

- perform **single evolution step** $\Delta\alpha$ in Fock-space representation

$$\begin{aligned}\tilde{H}_{\Delta\alpha} &= \tilde{H}_0 + \Delta\alpha [[T_{\text{int}}, \tilde{H}_0], \tilde{H}_0] \\ &= \sum \dots a^\dagger a^\dagger a a \\ &\quad + \Delta\alpha \left(\sum \dots a^\dagger a^\dagger a a \right) \left(\sum \dots a^\dagger a^\dagger a a \right) \left(\sum \dots a^\dagger a^\dagger a a \right) + \dots\end{aligned}$$

- unitary transformation **induces many-body contributions** in the Hamiltonian

Hamiltonian in A -Body Space

- **cluster decomposition**: decompose evolved Hamiltonian into irreducible n -body contributions $\tilde{H}_\alpha^{[n]}$

$$\tilde{H}_\alpha = \tilde{H}_\alpha^{[1]} + \tilde{H}_\alpha^{[2]} + \tilde{H}_\alpha^{[3]} + \cdots + \tilde{H}_\alpha^{[n]} + \cdots$$

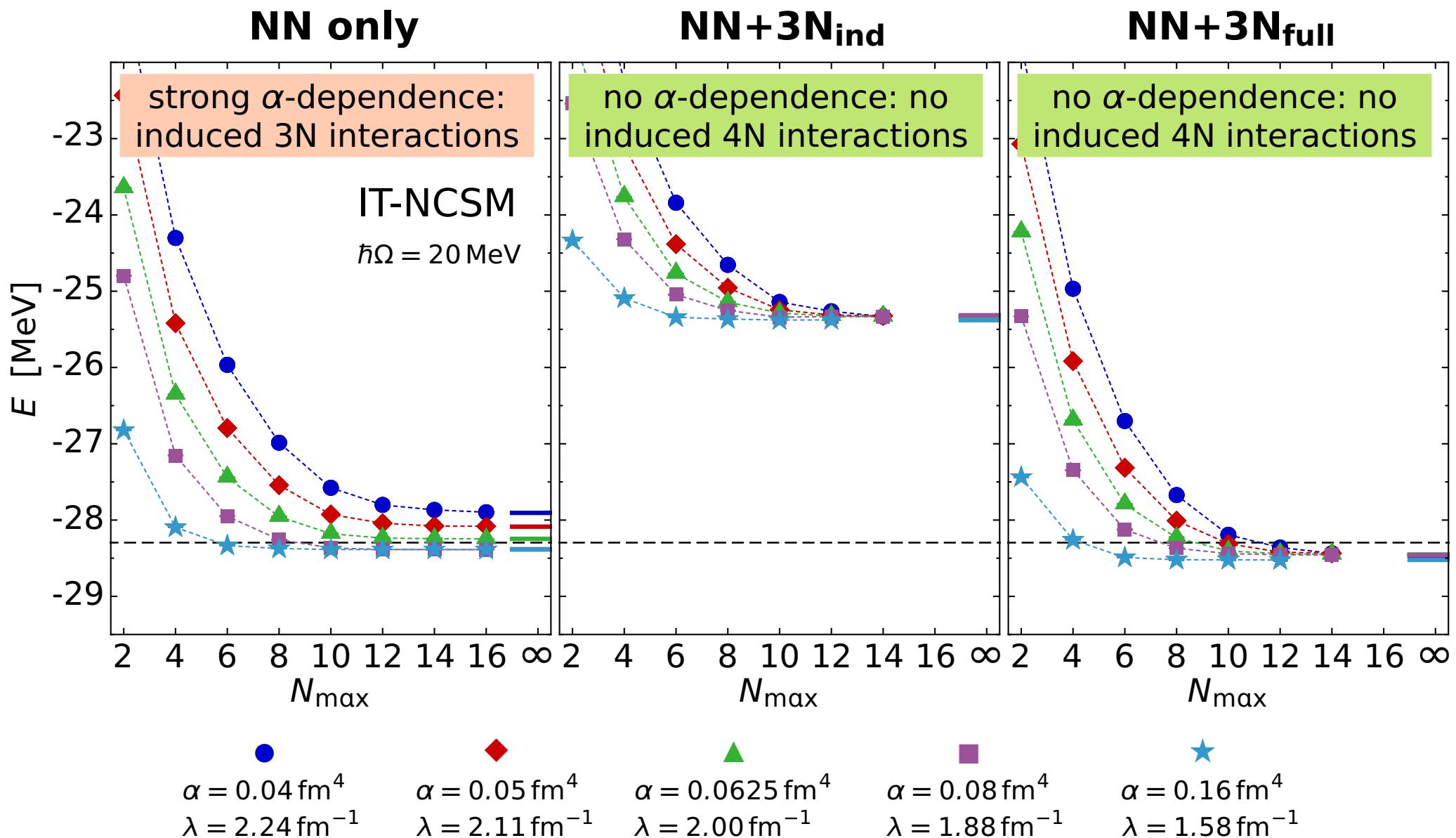
- **A -body unitarity**: transformation is unitary only if all terms up to $n = A$ are kept, then all eigenvalues are independent of α

- **cluster truncation**: can construct contributions up to $n = 3$ from evolution in 2B and 3B space, but have to discard $n > 3$

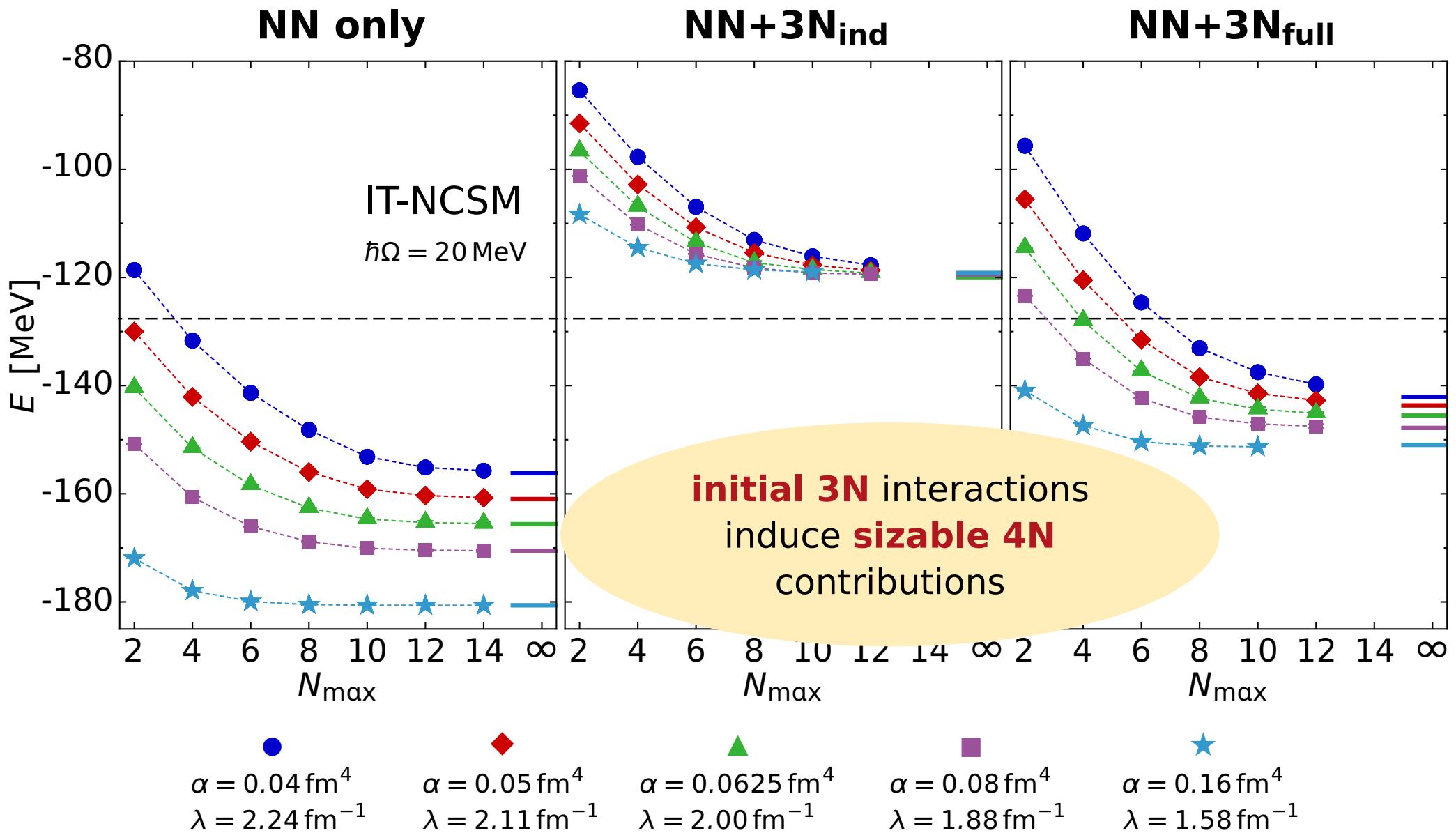
- α -dependence of eigenvalues measures impact of discarding higher-order terms

α -variation provides a **diagnostic tool** to assess the contributions of omitted many-body interactions

^4He : Ground-State Energies

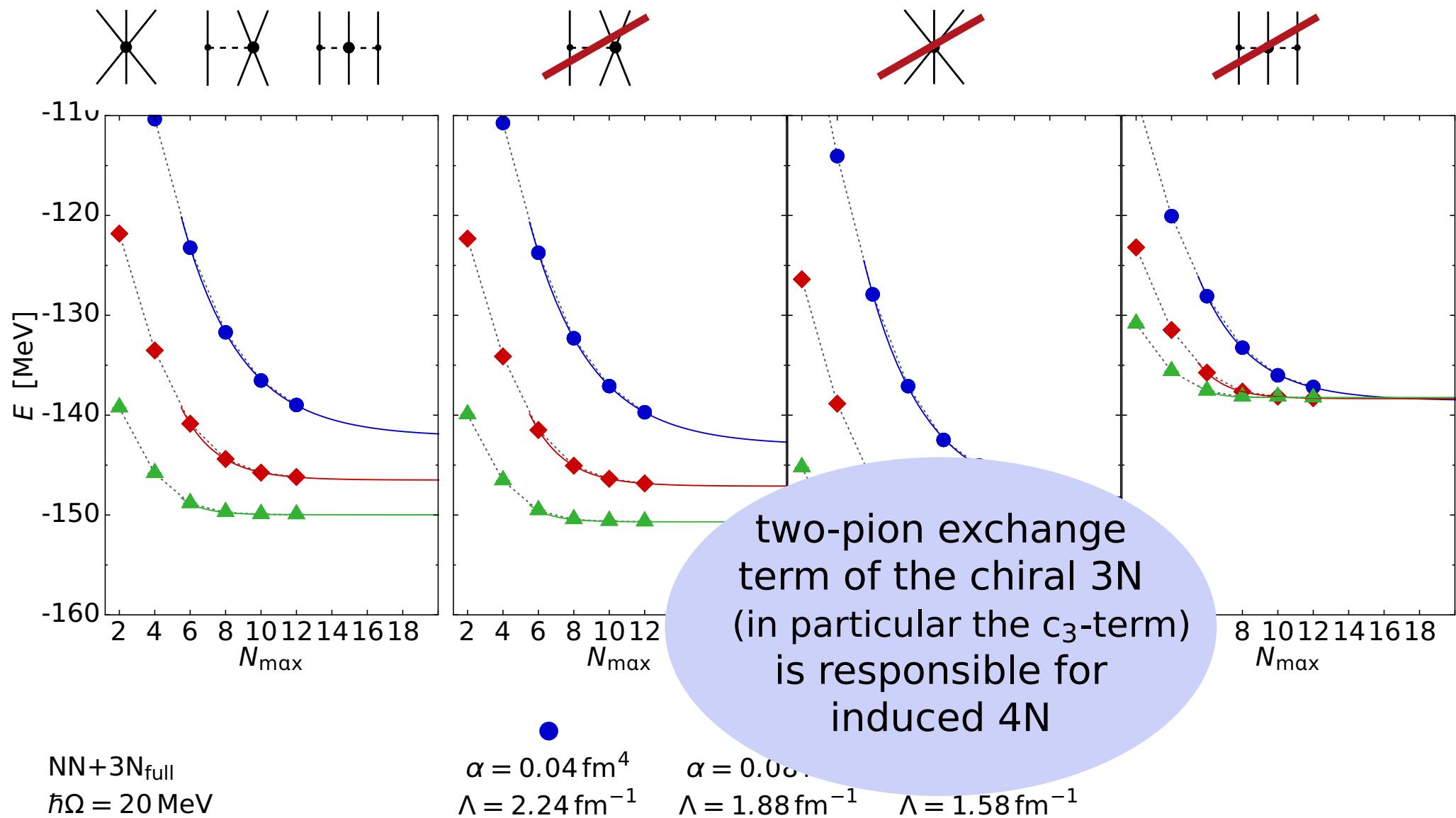


^{16}O : Ground-State Energies

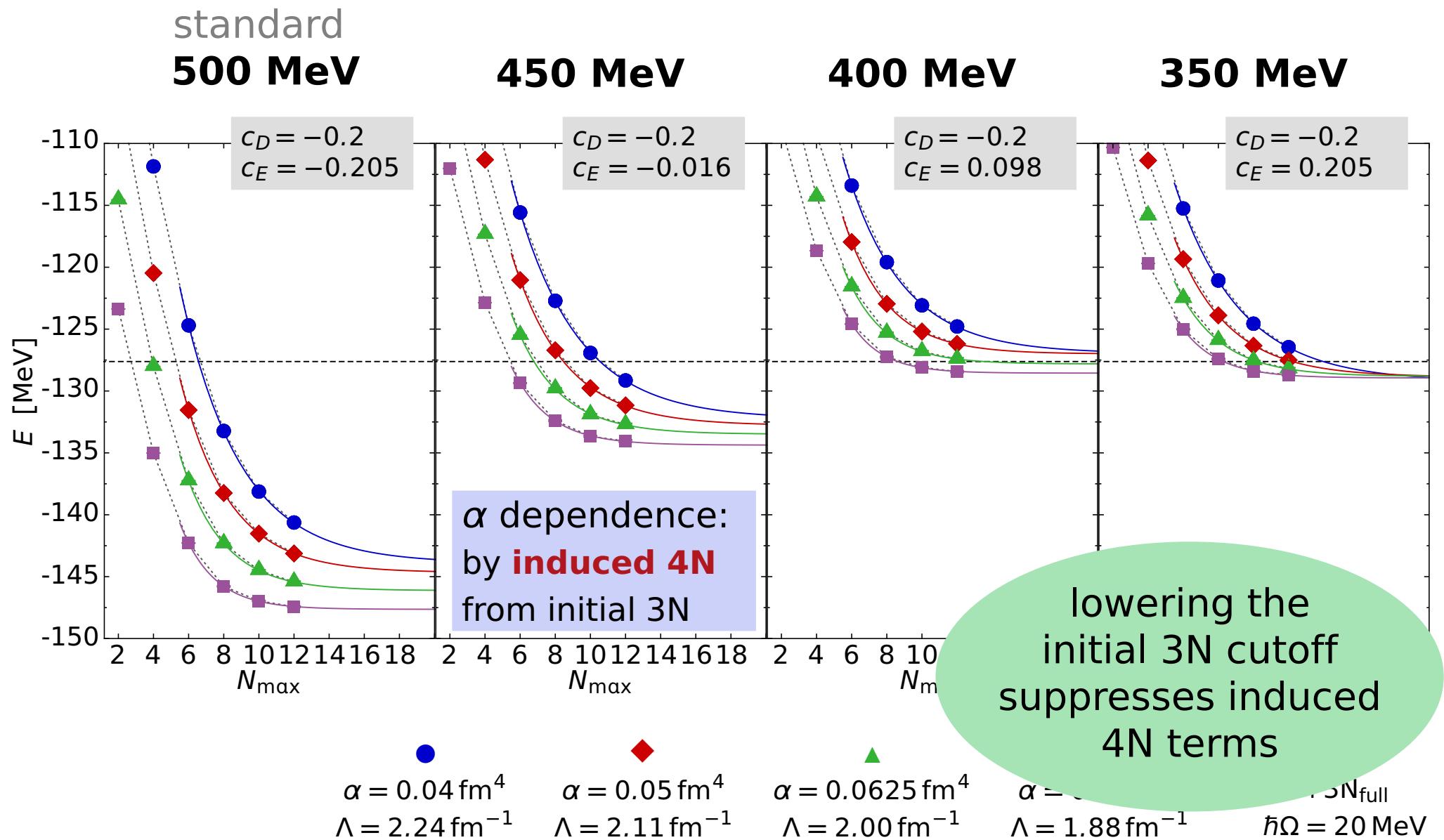


^{16}O : Origin of Induced 4N

switch off individual contributions of the 3N interaction



^{16}O : Lowering the Initial 3N Cutoff



Towards Heavy Nuclei with NN + 3N Interactions

- | | | |
|---|---|-----------------------------------|
| Binder, Langhammer, AC, Roth | — | Phys. Lett. B 736, 119-123 (2014) |
| Binder, Piecuch, AC, Langhammer, Navrátil, Roth | — | Phys. Rev. C 88, 054319 (2013) |
| Hagen, Papenbrock, Dean, Hjorth-Jensen | — | Phys. Rev. C 82, 034330 (2010) |
| Taube, Bartlett | — | J. Chem. Phys. 128, 044111 (2008) |

Coupled-Cluster Approach

- **exponential Ansatz** to solve Eigenvalue problem

$$|\Psi\rangle = e^T |\Phi_{\text{ref}}\rangle = e^{T_1+T_2+T_3+\dots+T_A} |\Phi_{\text{ref}}\rangle$$

- T_n : npnh **excitation (cluster) operator**

$$T_n = \left(\frac{1}{n!}\right)^2 \sum_{\substack{\nu_1 \dots \nu_n \\ \mu_1 \dots \mu_n}} t_{\nu_1 \dots \nu_n}^{\mu_1 \dots \mu_n} a_{\mu_1}^\dagger \dots a_{\mu_n}^\dagger a_{\nu_n} \dots a_{\nu_1}$$

- **similarity-transformed** Eigenvalue problem

$$\overline{H_N} |\Phi_{\text{ref}}\rangle = \Delta E |\Phi_{\text{ref}}\rangle \quad \text{with} \quad \overline{H_N} = e^{-T} H_N e^T$$

- **CC equations**: coupled system of non-linear equations

$$\langle \Phi_{\text{ref}} | \overline{H_N} | \Phi_{\text{ref}} \rangle = \Delta E$$

$$\langle \Phi_{\nu_1 \dots \nu_n}^{\mu_1 \dots \mu_n} | \overline{H_N} | \Phi_{\text{ref}} \rangle = 0, \quad \forall \nu_1 \dots \nu_n, \mu_1 \dots \mu_n$$

Cluster Truncation

- **exponential Ansatz** to solve Eigenvalue problem

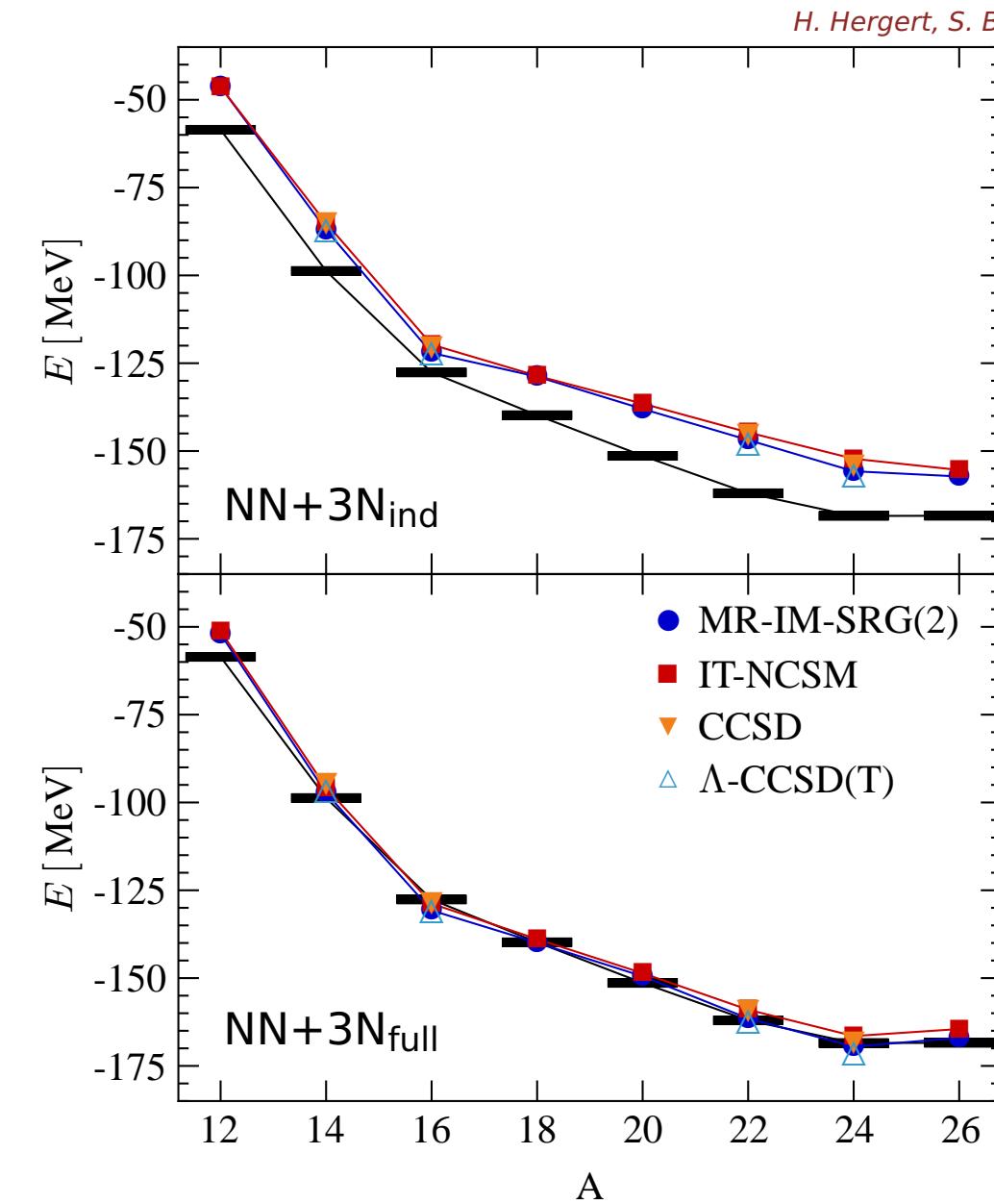
$$|\Psi\rangle = e^T |\Phi_{\text{ref}}\rangle = e^{T_1+T_2+T_3+\dots+T_A} |\Phi_{\text{ref}}\rangle$$

- **CCSD**: singles and doubles excitations, $T = T_1 + T_2$
 - exponential Ansatz: **excitation beyond 2p2h** included by products of excitation operators
- **CCSDT**: include triples excitations, $T = T_1 + T_2 + T_3$
 - explicit inclusion too expensive
 - use approximations to **estimate triples effects**
 Λ -CCSD(T) and CR-CC(2,3)

Ab Initio Coupled Cluster:

- applicable far beyond the sd shell
- typically restricted to ground states of closed-shell nuclei

Oxygen Isotopes



- investigate **effect of 3N** interactions
- **quantify uncertainties** of medium mass approaches
- access nuclei up to **dripelines** with ab initio approaches

$\Lambda_{3N} = 400$ MeV
optimal $\hbar\Omega$
 $e_{max} = 14$
 $E_{3max} = 14$

SRG: Basis Representation

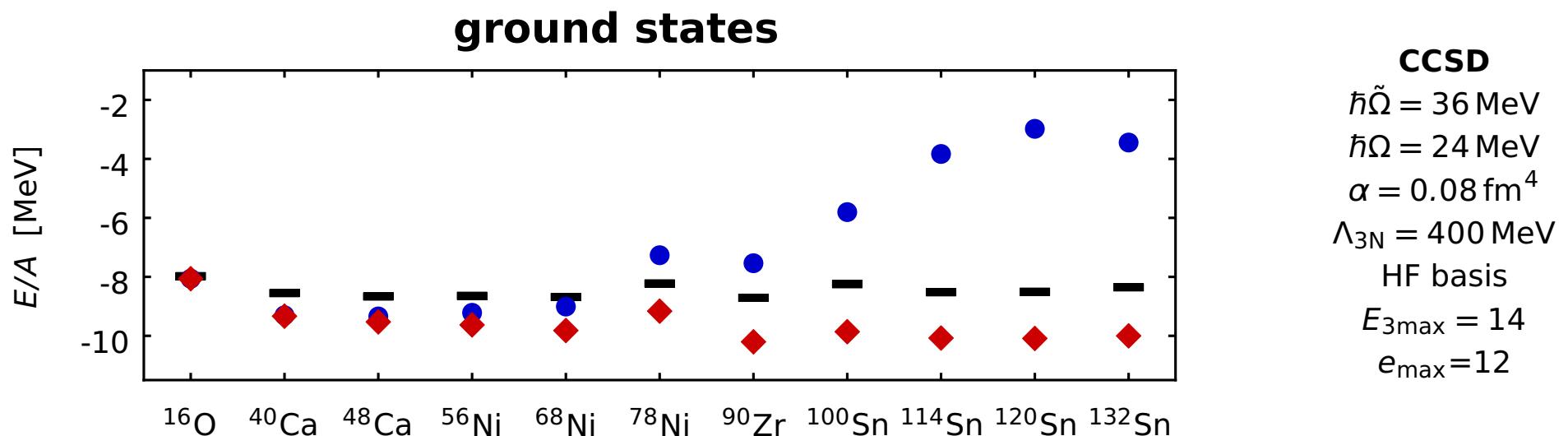
accelerate convergence by **pre-diagonalizing** the Hamiltonian
with respect to the many-body basis

- **unitary** transformation driven by

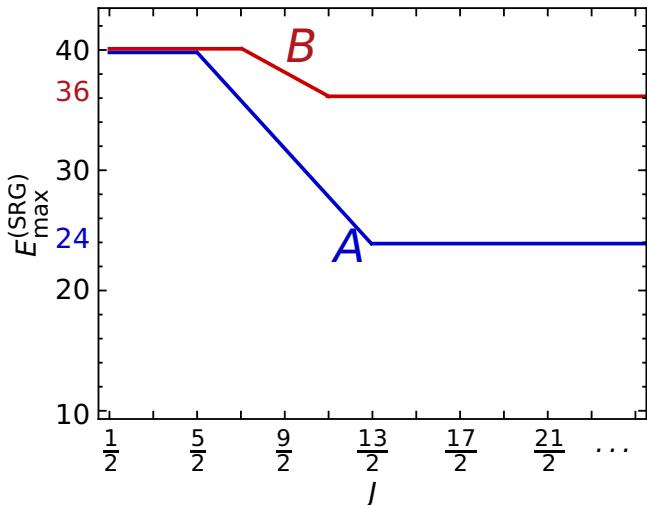
$$\begin{aligned}
 & \frac{d}{d\alpha} \langle E'i'JT | \tilde{H}_\alpha | EiJT, \approx: \\
 & (2\mu)^2 \sum_{E'', E''', i'', i'''} \sum_{i''} \langle E'i'JT | T_{\text{int}} | E''i''JT \rangle \langle E''i''JT | \tilde{H}_\alpha | E'''i'''JT \rangle \langle E'''i'''JT | \tilde{H}_\alpha | EiJT \rangle \\
 & \quad - 2 \langle E'i'JT | \tilde{H}_\alpha | E''i''JT \rangle \langle E''i''JT | T_{\text{int}} | E'''i'''JT \rangle \langle E'''i'''JT | \tilde{H}_\alpha | EiJT \rangle \\
 & \quad + \langle E'i'JT | \tilde{H}_\alpha | E''i''JT \rangle \langle E''i''JT | \tilde{H}_\alpha | E'''i'''JT \rangle \langle E'''i'''JT | T_{\text{int}} | EiJT \rangle
 \end{aligned}$$

SRG model space truncated $E \leq E_{\text{max}}^{(\text{SRG})}$

SRG Model Space for Heavy Nuclei



SRG model-space ramp

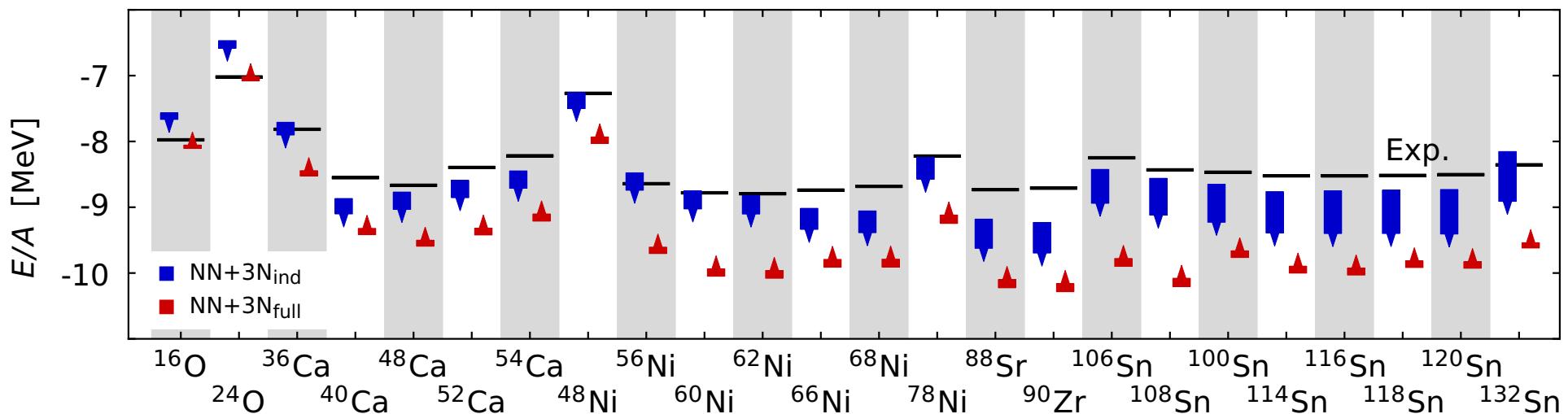


- introduce extended SRG space as standard for heavy mass nuclei
- SRG space *B* much larger than model spaces in previous works

large angular momenta important for heavy mass nuclei

Heavy Nuclei

Binder, Langhammer, AC, Roth Phys. Lett. B 736 (2014) 119-123



- many-body method and truncation well under control
 - ⇒ **initial NN interaction induces sizable 4N** with increasing mass number
- **cancellation between 4N** contributions induced by initial NN (attractive) and 3N (repulsive)
 - strongly reduced flow-parameter dependence
- **mass trend reproduced** throughout nuclear chart

CR-CC(2,3)

$$\hbar\tilde{\Omega} = 36 \text{ MeV}$$

$$\hbar\Omega = 24 \text{ MeV}$$

$$\alpha = 0.04 - 0.08 \text{ fm}^4$$

$$E_{3\max} = 18$$

$$e_{\max} = 12$$

SRG in Four-Body Space

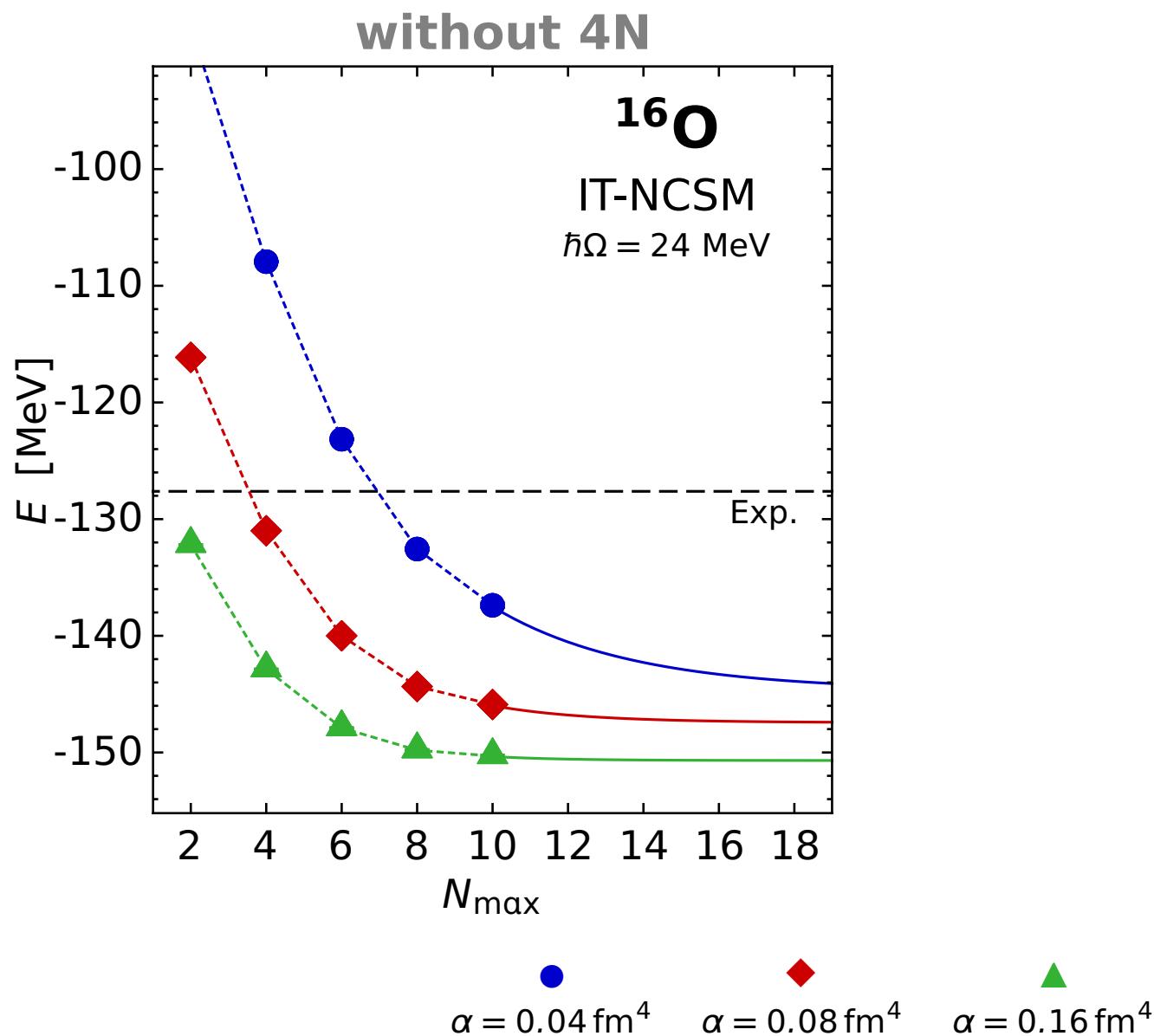
Induced Four-Body Contributions

induced 4N constitute **major limitation** for applications of chiral interactions

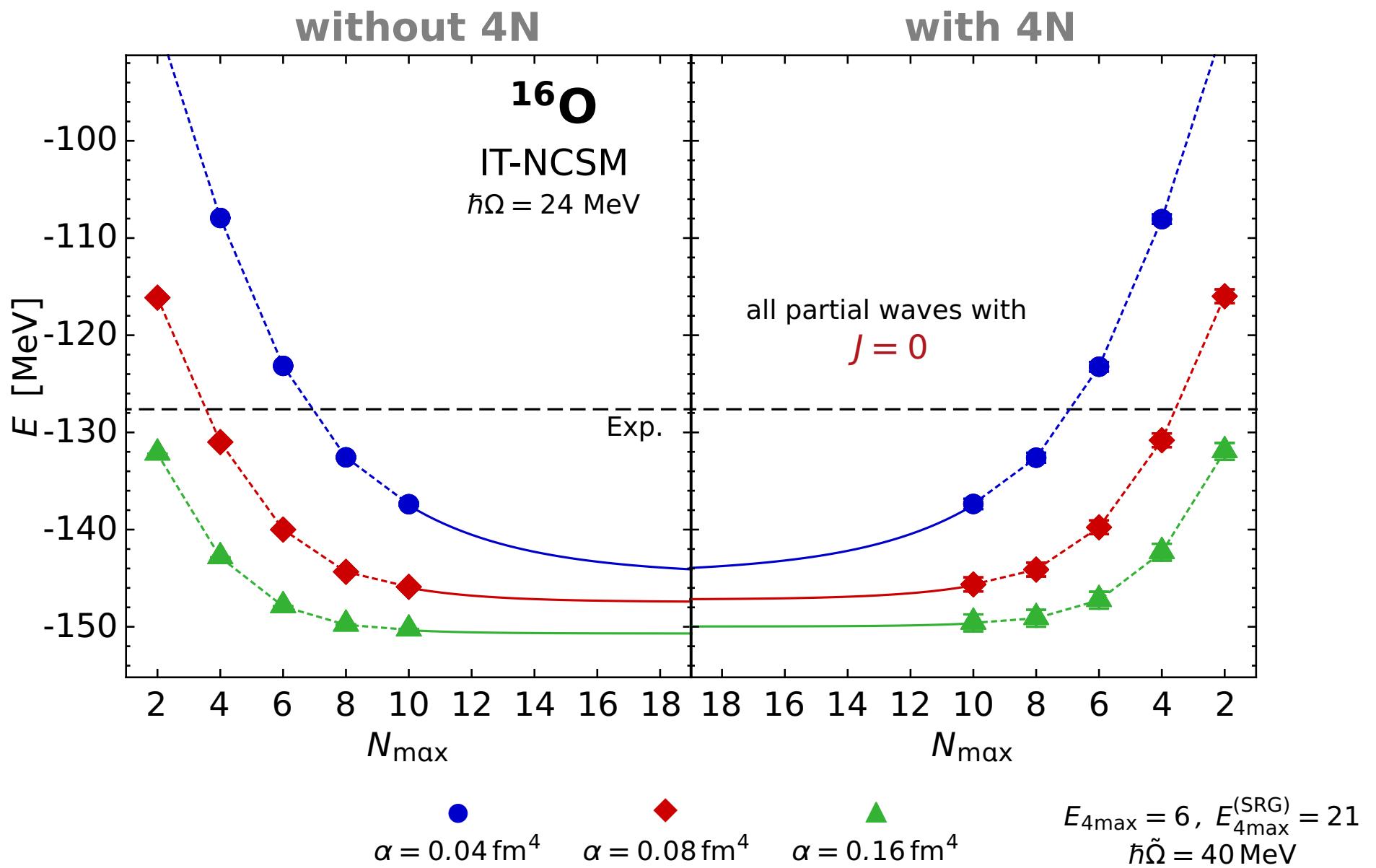
- ① suppress induced 4N contributions by reducing the cutoff Λ_{3N}
 - **circumvention**: restriction to 3N interactions with lower cutoffs
 - might not work for all interactions or system (heavy masses)
- ② find alternative SRG generator to exclude induced 4N from the outset
 - promising **ideas** for a **better compromise** between induced forces and convergence acceleration

Dicaire, Omand, Navratil Phys. Rev. C 90, 034302 (2014)
- ③ **include 4N contributions**
 - SRG evolution in four-body space
 - extension of all HO developments and IT-NCSM to treat 4N interactions

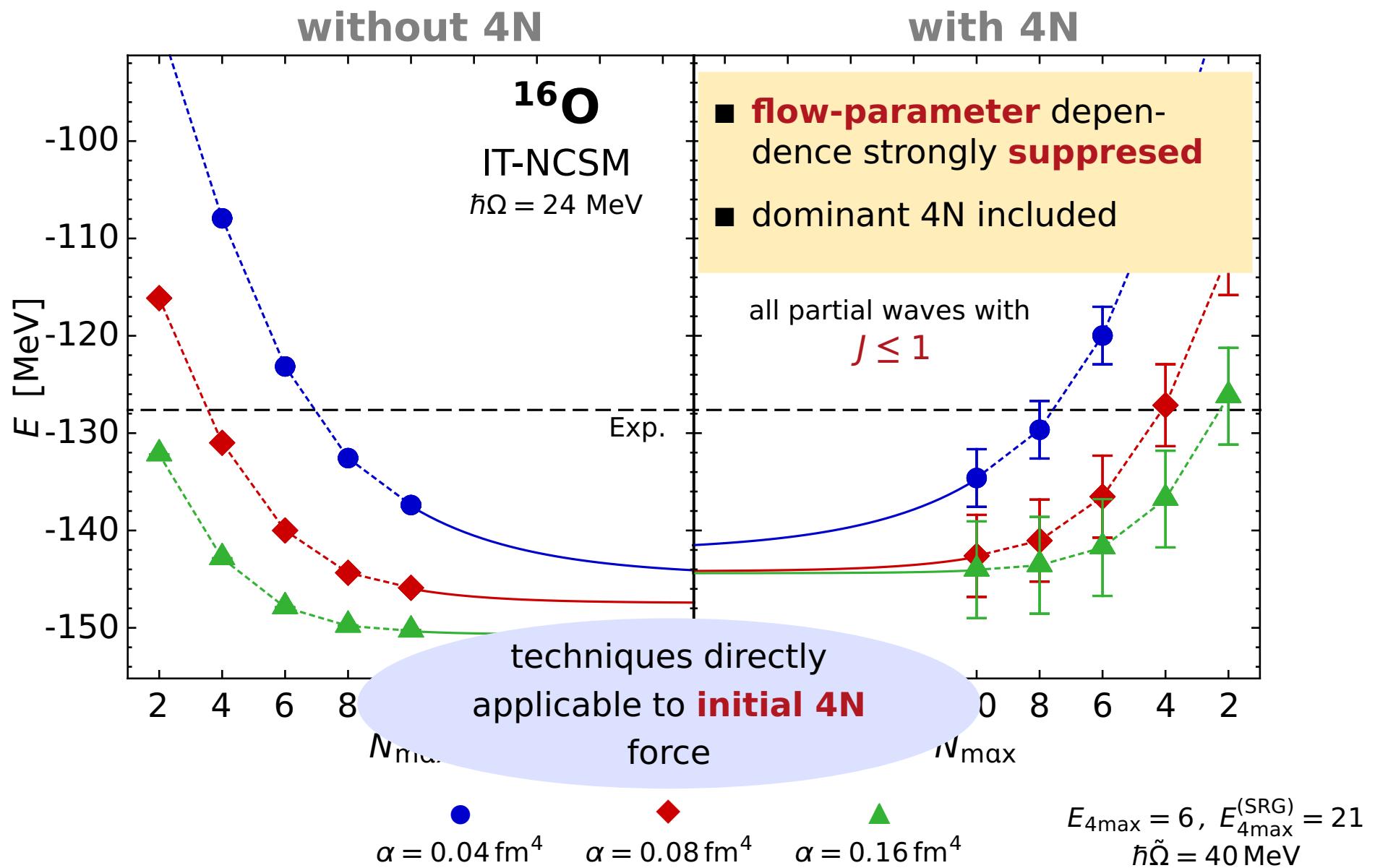
IT-NCSM with Four-Body Contributions



IT-NCSM with Four-Body Contributions



IT-NCSM with Four-Body Contributions



Alternative Chiral Hamiltonians & Uncertainty Quantification

Uncertainties of Chiral Interactions

ideal

- start with NN+3N force at **consistent chiral orders**
- use **sequence of cutoffs** and **different chiral orders**
⇒ estimate uncertainties

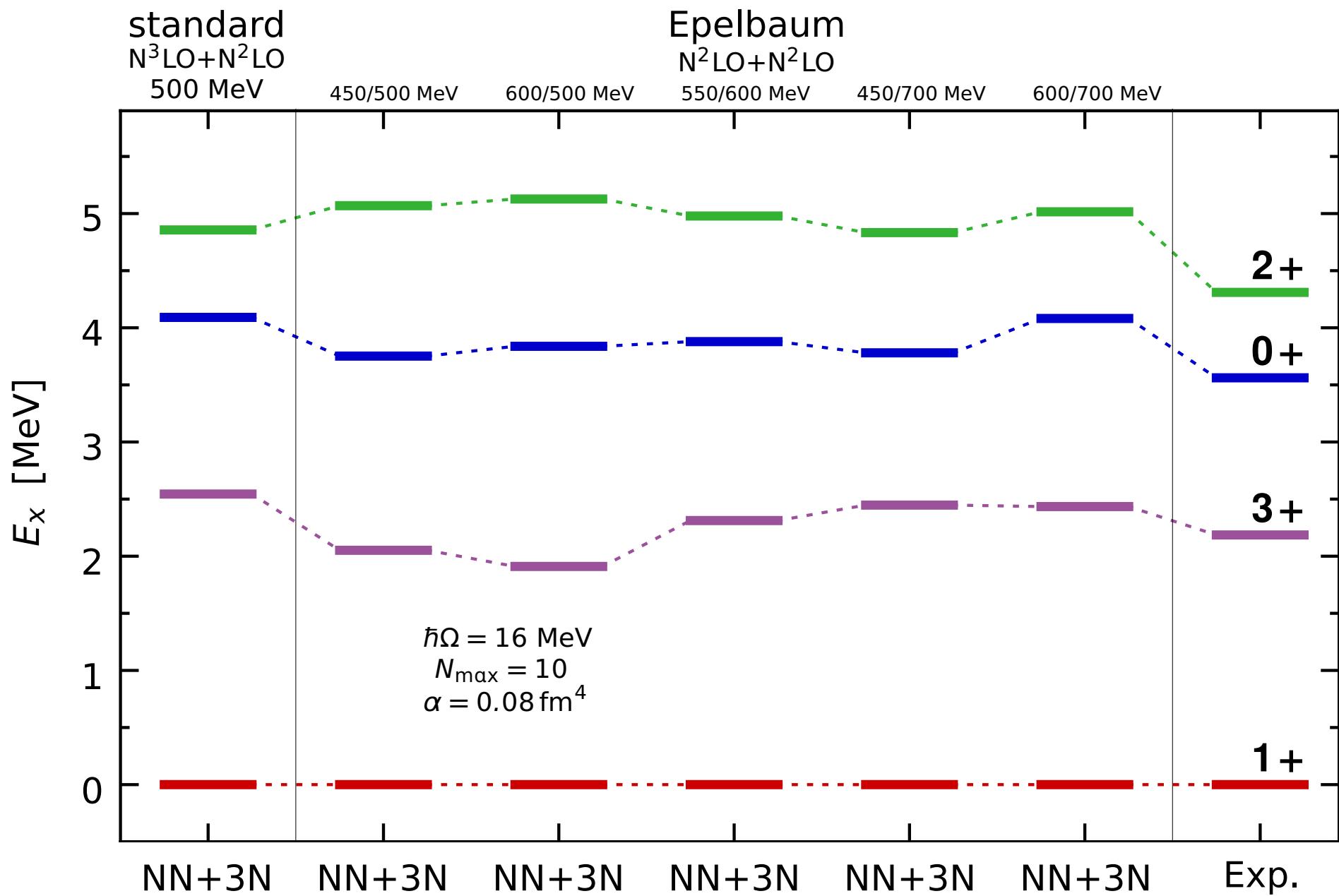
practice

- uncertainties **from many-body approach** included
- observables calculated for **single chiral Hamiltonian** (inconsistent chiral order)
- quality of chiral forces assessed by agreement with experiment

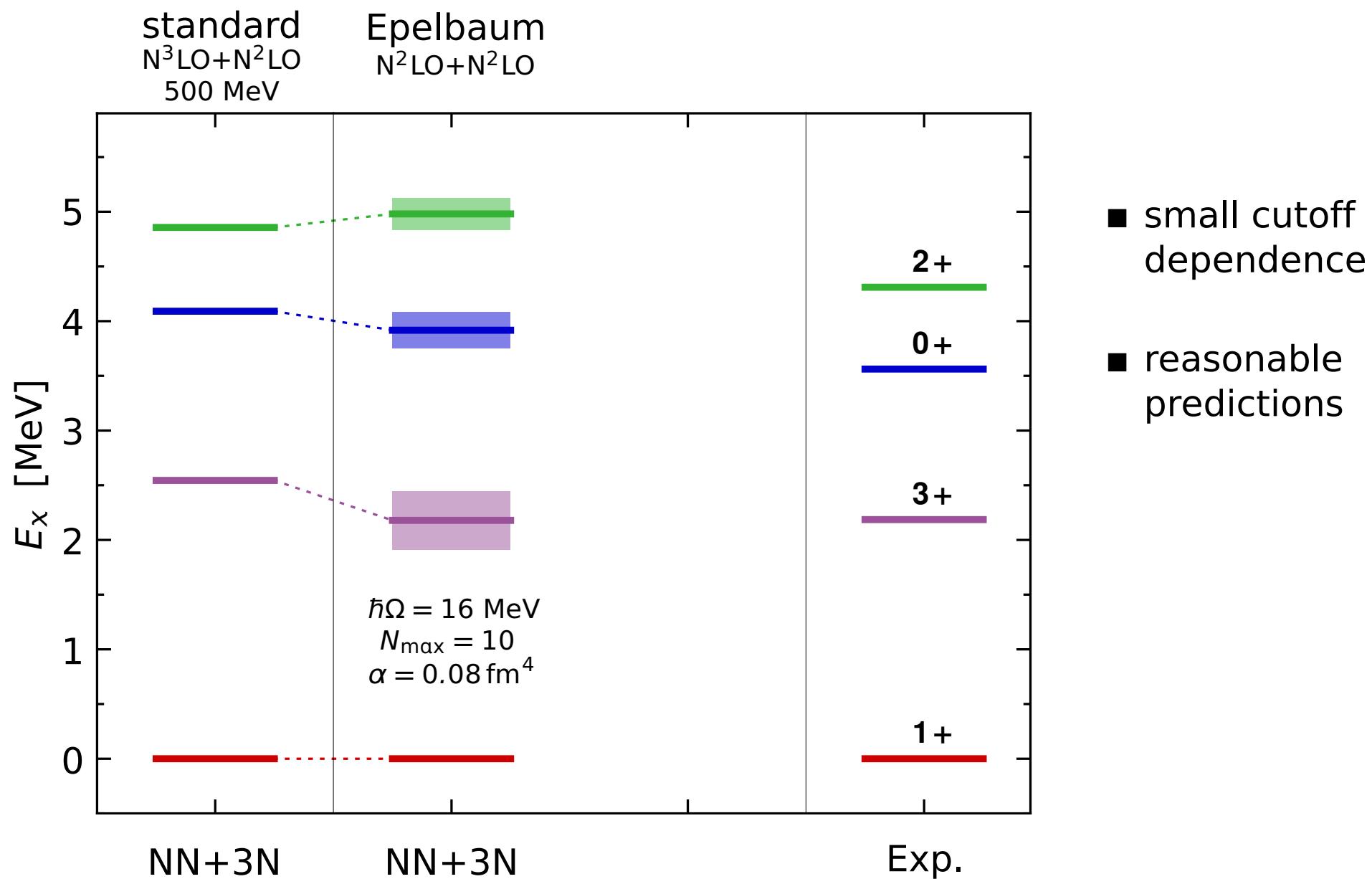
nuclear structure physics approaches new era

- **ongoing progress** in construction of consistent NN+3N Hamiltonians
 - N²LO [Epelbaum et al., 450, ..., 600 MeV cutoff]
 - N³LO [Epelbaum et al., 450 MeV cutoff]
- first applications in nuclear spectroscopy
- first step towards reliable uncertainty quantification

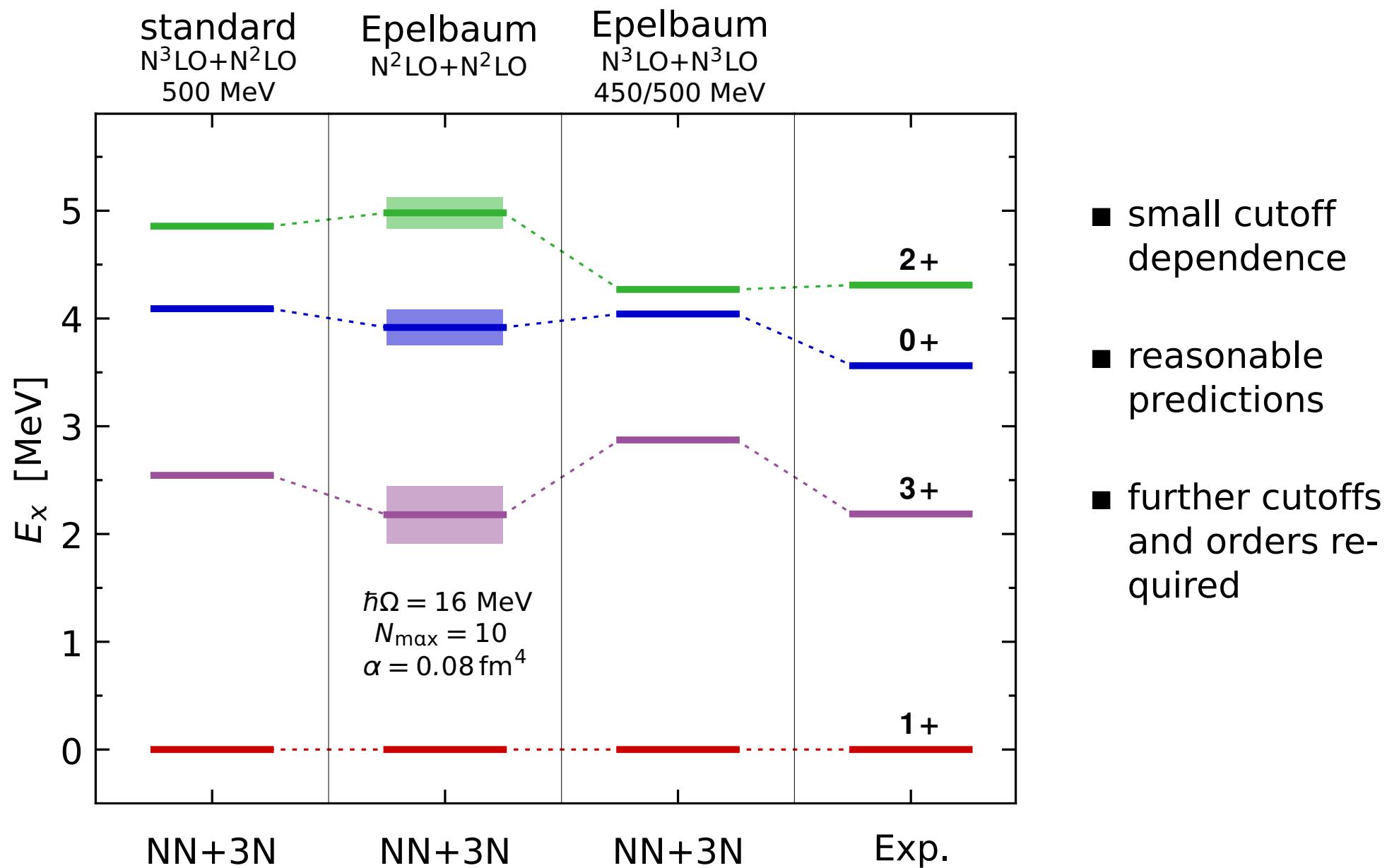
^6Li : Alternative Interactions



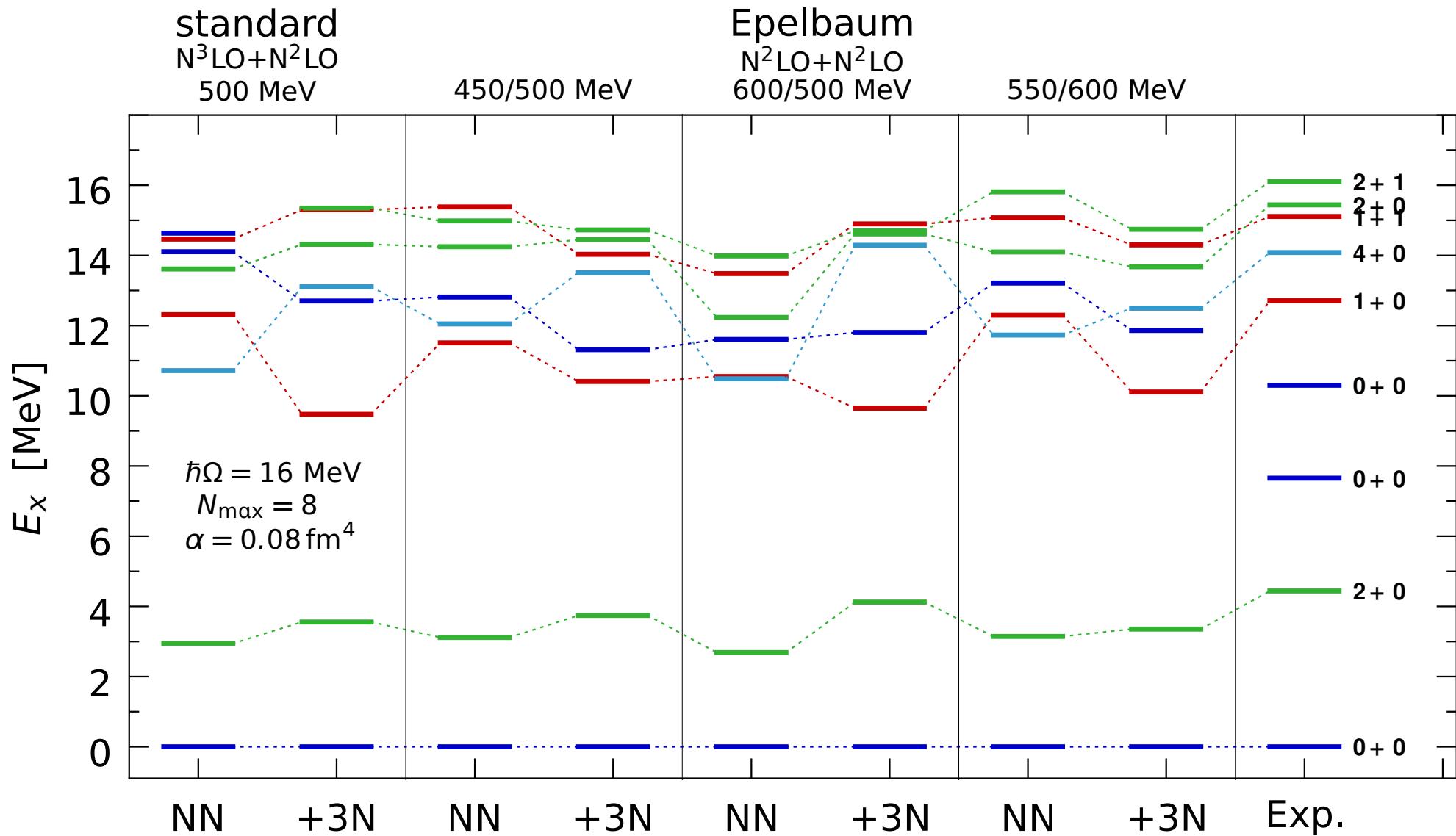
^6Li : Dependence on Chiral Order



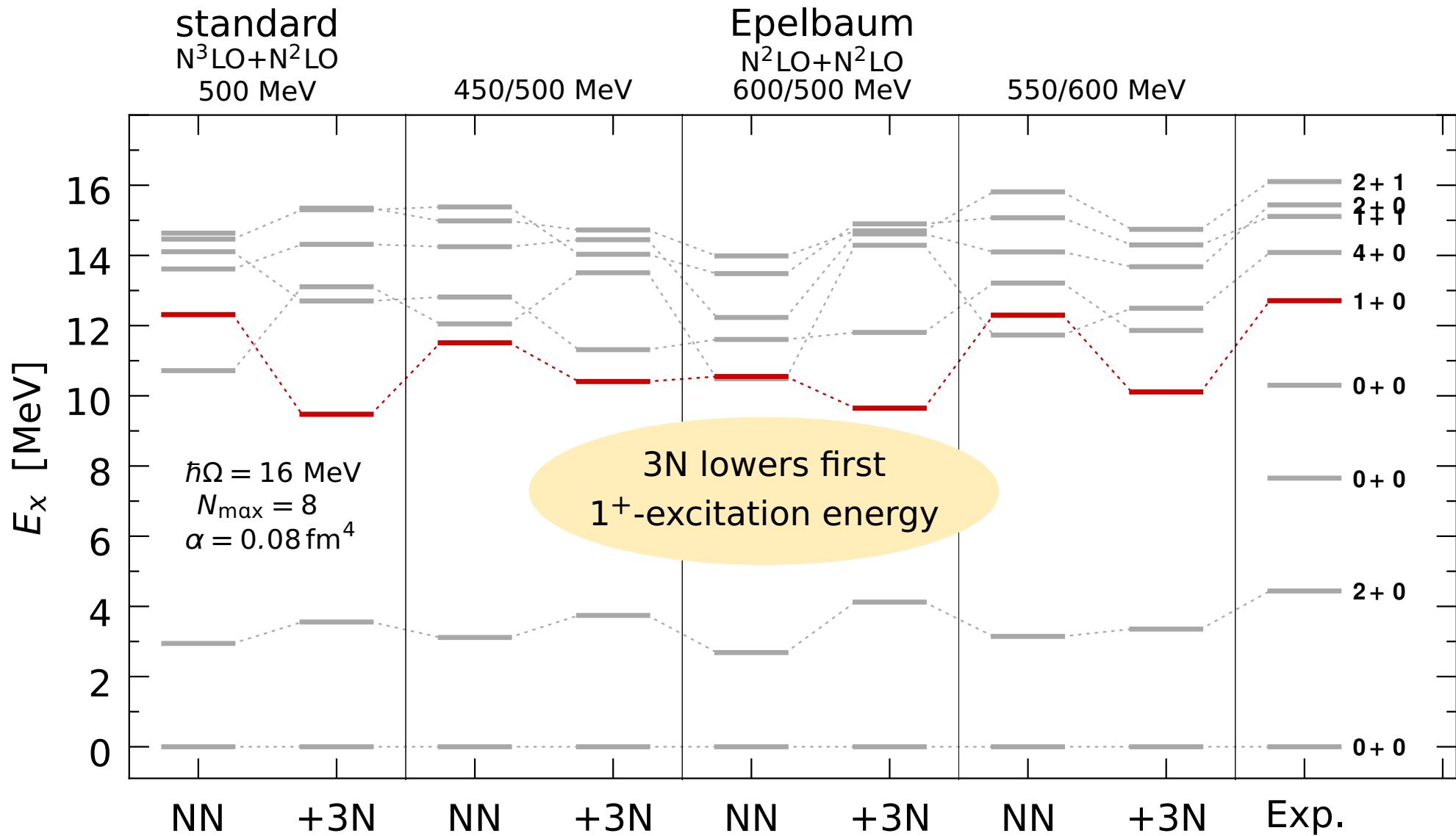
^6Li : Dependence on Chiral Order



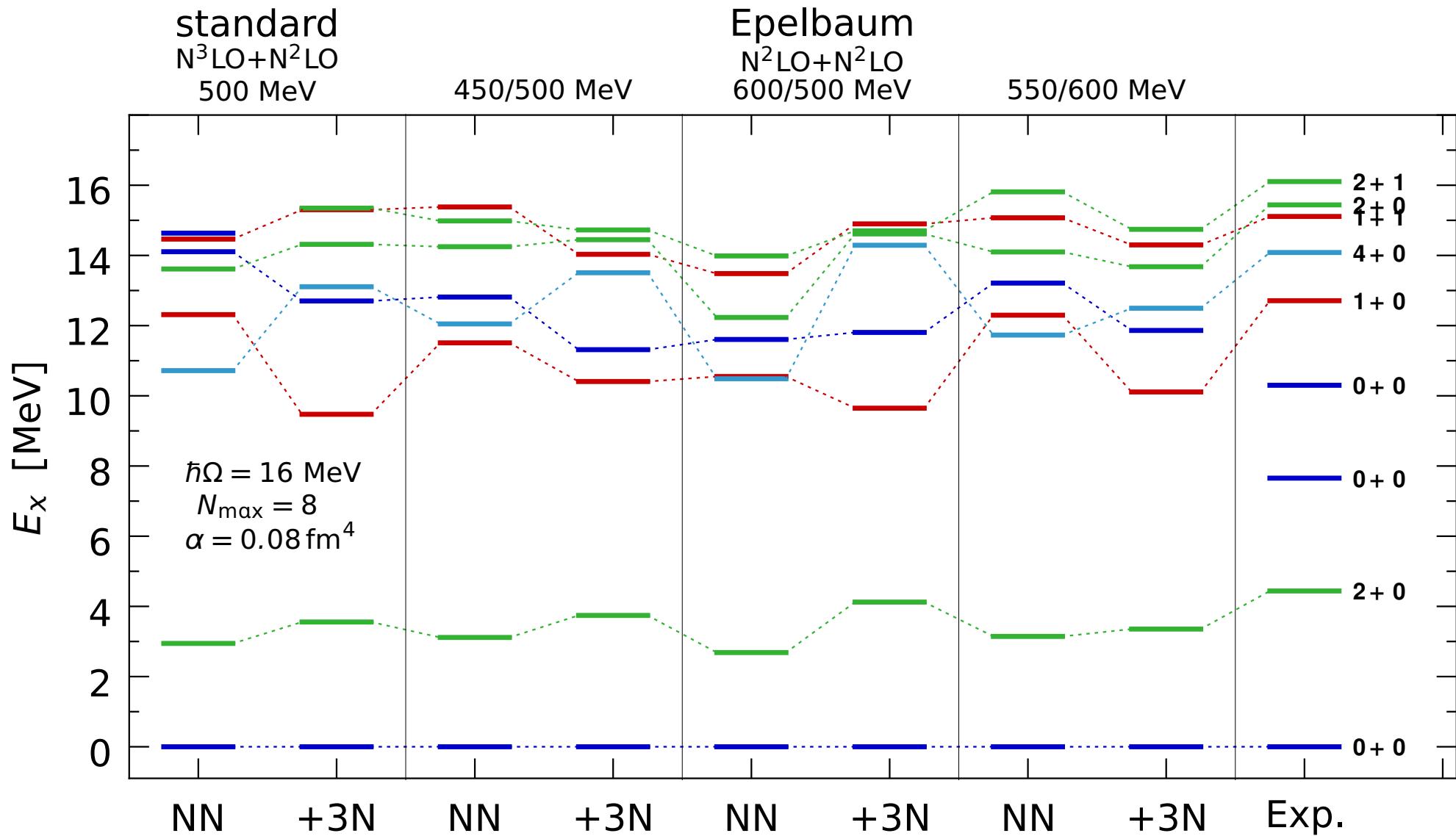
^{12}C : Alternative Interactions



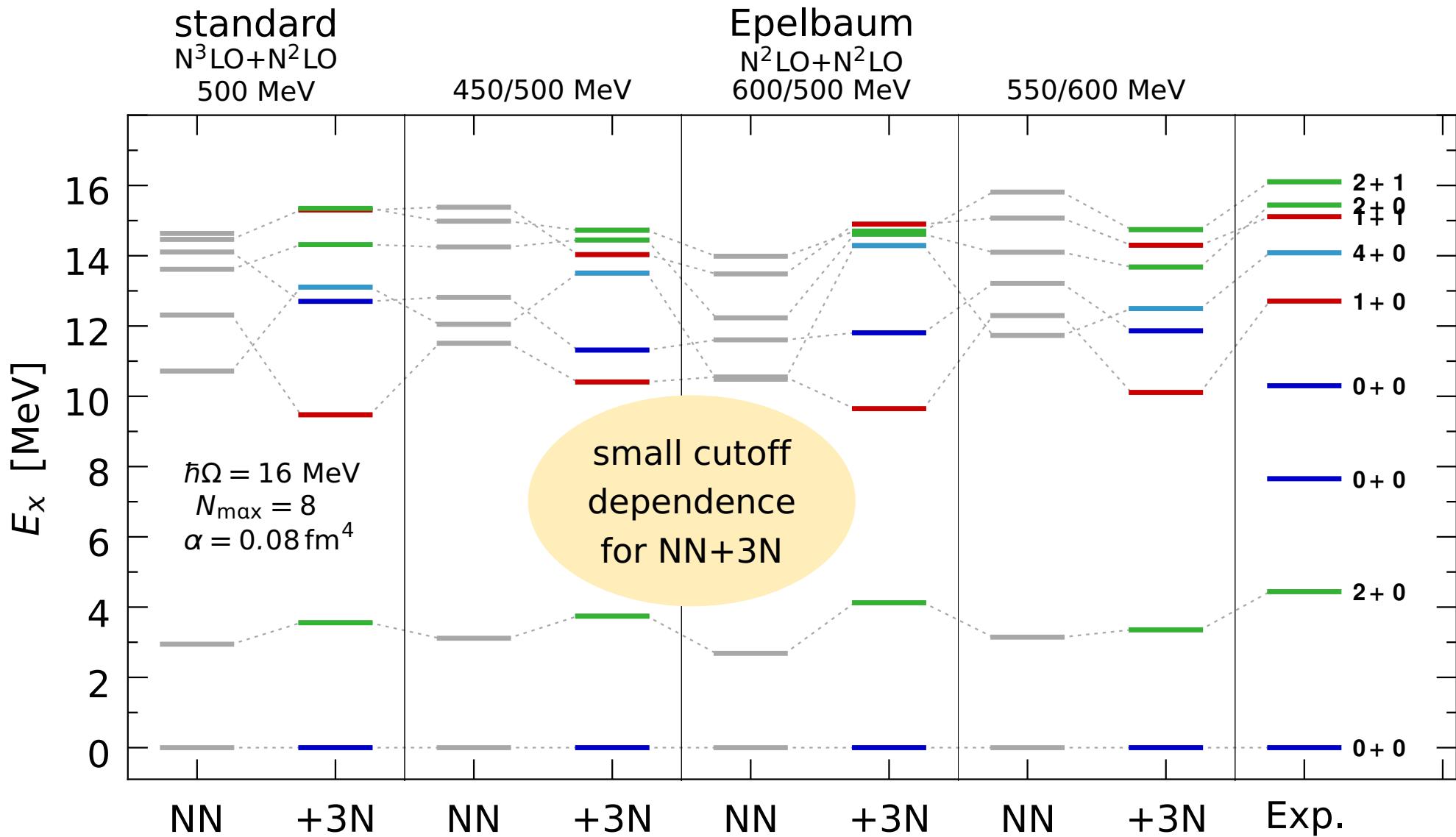
^{12}C : Alternative Interactions



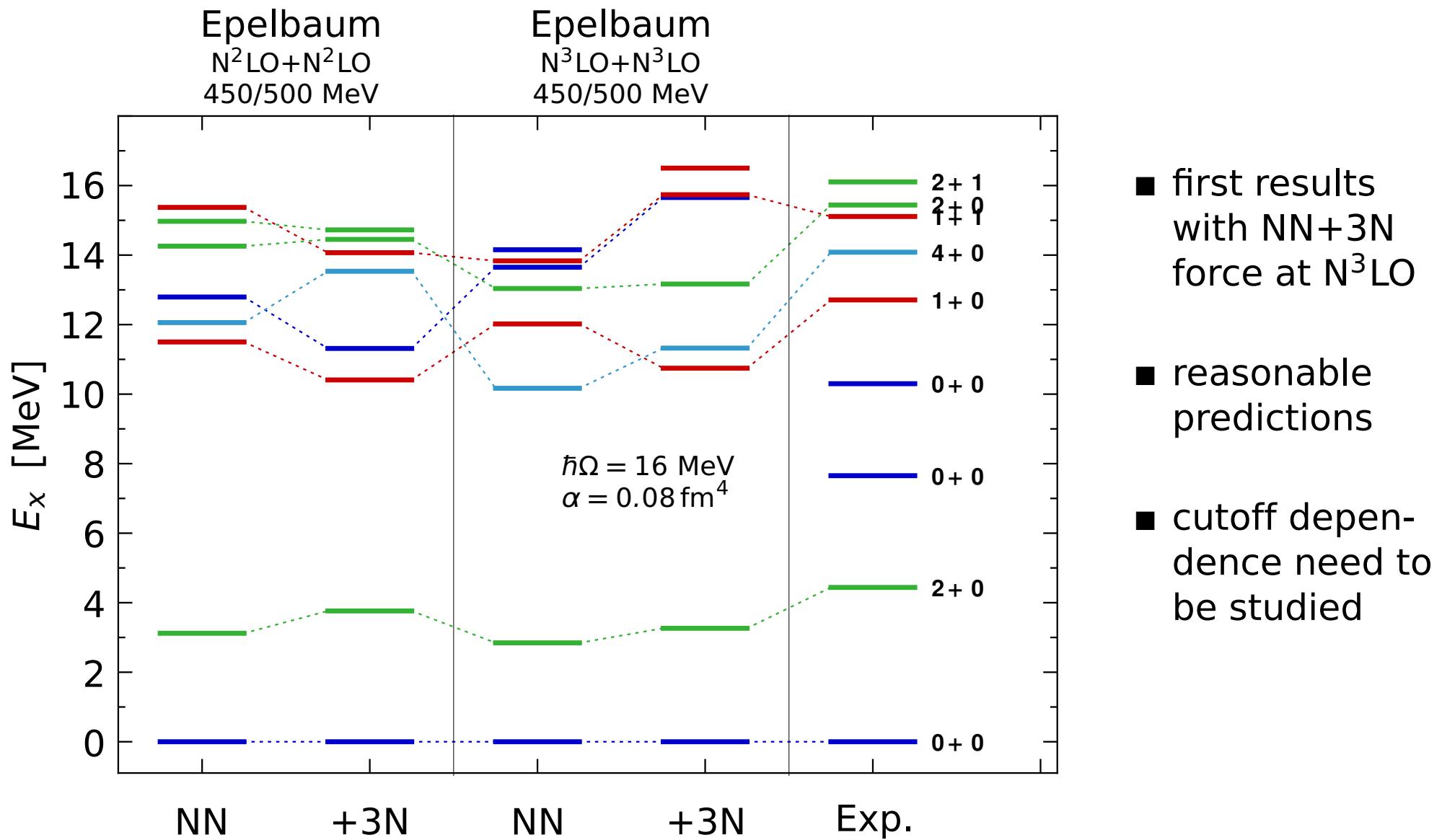
^{12}C : Alternative Interactions



^{12}C : Alternative Interactions



^{12}C : Dependence on Chiral Order



Conclusions

- **consistent three- and four-body** SRG evolution
 - successful application of chiral 3N forces
 - promising ideas for **alternative generators**
- **heavy nuclei** accessable with ab initio approaches
 - mass systematics can be reproduced
- **p-shell spectra** provide powerful testbed for chiral potentials
 - **first** nuclear structure application of **3N force at N^3LO**
 - constraints for interactions

Outlook

■ exciting **progress** in construction of **chiral forces**

- **N^2LO_{sat}** NN+3N: fit LECs to many-body observables

Ekström, Machleidt et al.

- **N^3LO_{sat}** NN+3N+4N: self-contained framework to employ
present and future chiral NN+3N+4N interactions in a variety of many-body methods

Piarulli, Gironi, ...

LENPIC Collaboration

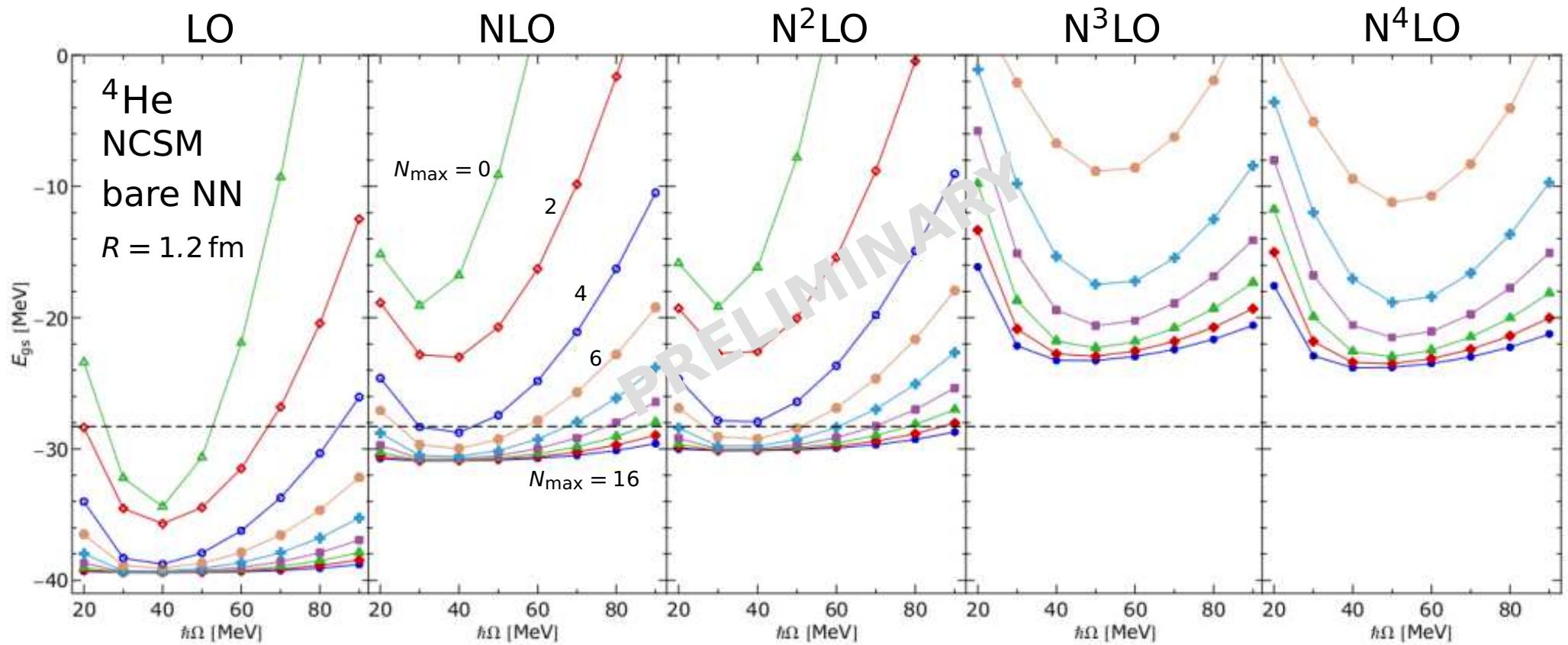
■ **improved NN** up to N^4LO

Epelbaum et al. arXiv:1412.0142; arXiv:1412.4623

■ **3N** up to N^3LO

- allow to vary cutoff and chiral order to quantify uncertainty

Outlook: Improved NN



Epilogue

■ thanks to my collaborators

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Michigan State University, USA
- H. Feldmeier
GSI Helmholtzzentrum



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