Towards an effective relativistic density functional for dense matter in supernovae and compact stars

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Astrophysics and Nuclear Structure

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Outline

• Introduction

Astrophysics and Equation of State, Nuclear and Stellar Matter, Constraints, Correlations, Relativistic Density Functional

• Nuclear Correlations in Matter

Generalized Relativistic Density Functional, Light and Heavy Clusters, Low-Density Limit, Scattering Correlations, Neutron Matter

• Coulomb Correlations in Matter

Coulomb Interaction in Matter, One-Component Plasma, Gas/Liquid Phase, Solid Phase

• Summary

Introduction

Astrophysics and Equation of State

• essential ingredient in astrophysical model calculations:

Equation(s) of State (EoS) of dense matter

- \Rightarrow dynamical evolution of supernovae
- \Rightarrow static properties of neutron stars
- \Rightarrow conditions for nucleosynthesis
- \Rightarrow energetics, chemical composition,

transport properties, . . .



X-ray: NASA/CXC/J.Hester (ASU) Optical: NASA/ESA/J.Hester & A.Loll (ASU) Infrared: NASA/JPL-Caltech/R.Gehrz (Univ. Minn.)



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 timescale of reactions ≪ timescale of system evolution
 ⇒ equilibrium (thermal, chemical, . . .)
 ⇒ application of EoS reasonable



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EoS Parameters

standard choice:

• density:

 $10^{-9} \lesssim \varrho/\varrho_{\rm sat} \lesssim 10$ with nuclear saturation density $arrho_{\mathrm{sat}} pprox 2.5 \cdot 10^{14} \ \mathrm{g/cm^3}$ $(n_{\mathrm{sat}} = \varrho_{\mathrm{sat}}/m_n pprox 0.15 \ \mathrm{fm}^{-3})$

- temperature:
 - $0 \text{ MeV} \le k_B T \lesssim 50 \text{ MeV}$ $(= 5.8 \cdot 10^{11} \text{ K})$
- electron fraction: $0 \le Y_e \lesssim 0.6$

sometimes other choices more appropriate: e.g. crust of neutron stars (density \rightarrow pressure)



simulation of core-collapse supernova

T. Fischer, GSI/TU Darmstadt

EoS Constituents

most relevant particles: (at low temperatures and not too high densities)

- neutrons, protons
- nuclei
- electrons, (muons) (charge neutrality!)
- neutrinos

(often not in equilibrium, treated independently of EoS)

more particles under extreme conditions: e.g. high densities, high temperatures (hyperons, mesons, . . .)



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 - \Rightarrow formation and dissolution of clusters
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• important distinction:

nuclear matter \leftrightarrow stellar matter

 \Rightarrow very different systems

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- many-body correlations due to short-range nuclear interaction
 ⇒ clustering ⇒ liquid-gas phase transition in thermodynamic limit
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- characteristic nuclear matter parameters $\rho_{\rm sat}$, $E_{\rm sat}/A$, K, J, L, . . .

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aim:

- consider these (and more) features by extending relativistic mean-field (RMF) model for nuclei
- theoretical formulation as "density functional" with well-constrained parameters

• nuclear physics

• nuclei (binding energy, radii, charge formfactor, spin-orbit splittings, . . .)



[1] S. Typel, Phys. Rev. C 71 (2005) 064301



Stefan Typel

Constraints

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nuclei (binding energy, radii, charge formfactor, spin-orbit splittings, . . .)
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[3] T. Klähn et al., Phys. Rev. C 74 (2006) 035802

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• astrophysics

• compact stars (static properties, cooling, . . .)



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- low densities: clusters as new degrees of freedom
 - \Rightarrow benchmark: virial equation of state

(see e.g. C. J. Horowitz, A. Schwenk, Nucl. Phys. A 776 (2006) 55)

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 \Rightarrow transition in unified model?

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- interaction: strong ⇒ meson fields A_m (m = σ, ω, ρ, convenient auxiliary fields)
 electromagnetic ⇒ A_γ
- energy of nucleus $E = \int d^3 r \, \varepsilon(\vec{r}) + E_{\rm cm} + E_{\rm pair} + \dots$

with energy density functional

$$\varepsilon = \sum_{i} w_{i} \left[t_{i} + (m_{i} - \Gamma_{i\sigma}A_{\sigma})n_{i}^{(s)} + (\Gamma_{i\omega}A_{\omega} + \Gamma_{i\rho}A_{\rho} + \Gamma_{i\gamma}A_{\gamma})n_{i} \right]$$

$$+\frac{1}{2}\left(m_{\sigma}^{2}A_{\sigma}^{2}+\vec{\nabla}A_{\sigma}\cdot\vec{\nabla}A_{\sigma}-m_{\omega}^{2}A_{\omega}^{2}-\vec{\nabla}A_{\omega}\cdot\vec{\nabla}A_{\omega}-m_{\rho}^{2}A_{\rho}^{2}-\vec{\nabla}A_{\rho}\cdot\vec{\nabla}A_{\rho}-\vec{\nabla}A_{\gamma}\cdot\vec{\nabla}A_{\gamma}\right)$$

• single-particle densities $t_i = \bar{\psi}_i \vec{\gamma} \cdot \hat{\vec{p}} \psi_i$ $n_i^{(s)} = \bar{\psi}_i \psi_i$ $n_i = \bar{\psi}_i \gamma_0 \psi_i$ • occupation numbers w_i

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- \circ single-particle densities $t_i = \bar{\psi}_i \vec{\gamma} \cdot \hat{\vec{p}} \psi_i$ $n_i^{(s)} = \bar{\psi}_i \psi_i$ $n_i = \bar{\psi}_i \gamma_0 \psi_i$
- \circ occupation numbers w_i
- density dependent meson-nucleon couplings

$$\Gamma_{im} = g_{im}\Gamma_m(\varrho) \quad \varrho = n_n + n_p$$

- \Rightarrow medium dependent interaction
- \Rightarrow rearrangement contributions to self-energies
- \circ $\Gamma_{i\gamma} = Q_i \Gamma_{\gamma}$ with charge number Q_i



Nuclear Correlations in Matter

• ideal mixture of independent particles, no interaction \Rightarrow Nuclear Statistical Equilibrium/Law of Mass Action

most simple approach, suppression of nuclei \Rightarrow excluded volume mechanism

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\Rightarrow Virial Equation of State

model-independent low-density benchmark



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model-independent low-density benchmark

considering medium effects with increasing density
 ⇒ Quantum Statistical/Generalized Beth-Uhlenbeck Approach
 correlations of quasiparticles with medium-dependent properties,
 microscopic origin of cluster dissolution/Mott effect (action of Pauli principle)

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- considering medium effects with increasing density
 ⇒ Quantum Statistical/Generalized Beth-Uhlenbeck Approach
 correlations of quasiparticles with medium-dependent properties,
 microscopic origin of cluster dissolution/Mott effect (action of Pauli principle)
- interpolation from low to high densities around nuclear saturation
 ⇒ Generalized Relativistic Density Functional
 correct limits, formation and dissolution of nuclei



Generalized Relativistic Density Functional

- include **new degrees of freedom** with medium-dependent properties:
 - \circ light nuclei (deuteron, triton, helion, α -particle)
 - nucleon-nucleon scattering correlations (nn, pp, np channels)
 - \circ heavy nuclei (A > 4)
 - \Rightarrow interaction via minimal coupling to mesons/photon with scaled strengths
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model parameters

- o vacuum masses of nucleons, electrons, nuclei
- effective resonance energies and degeneracy factors
- density-dependent meson-nucleon/nucleus couplings, fitted to properties of atomic nuclei
- medium-dependent mass shifts of clusters (bound and continuum states)

Details:

- S. Typel, G. Röpke, T. Klähn, D. Blaschke, H.H. Wolter, Phys. Rev. C 81 (2010) 015803
- M. D. Voskresenskaya, S. Typel, Nucl. Phys. A 887 (2012) 42
- G. Röpke, N.-U. Bastian, D. Blaschke, T. Klähn, S. Typel, H.H. Wolter, Nucl. Phys. A 897 (2013) 70

Light Nuclei

shift of binding energies/masses

- solve in-medium Schrödinger equation with realistic nucleon-nucleon potentials
- parametrization of shifts Δm_i
- main effect: Pauli principle
 ⇒ blocking of states in the medium!

Light Nuclei

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- solve in-medium Schrödinger equation with realistic nucleon-nucleon potentials
- parametrization of shifts Δm_i
- main effect: Pauli principle
 ⇒ blocking of states in the medium!
- example: symmetric nuclear matter, nuclei at rest in medium
- in vacuum: experimental binding energies
- nuclei become unbound $(B_i < 0)$ with increasing density of medium
- dissolution of clusters at high densities ⇒ Mott effect



inhomogeneous matter at low densities

- comparison with uniform matter
 - \Rightarrow increase in binding energy

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- comparison with uniform matter
 ⇒ increase in binding energy
- spherical Wigner-Seitz cell calculation
 - generalized rel. density functional
 extended Thomas-Fermi approximation
 electrons for charge compensation
 heavy nucleus surrounded by gas of nucleons
- self-consistent calculation with interacting nucleons, electrons



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- comparison with uniform matter
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- spherical Wigner-Seitz cell calculation
 - generalized rel. density functional
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 electrons for charge compensation
 heavy nucleus surrounded by gas of nucleons and light clusters
- self-consistent calculation with interacting nucleons, electrons and light nuclei
- increased probability of finding light clusters at surface of heavy nucleus



 traditional approach in EoS tables:

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 - medium-dependent shift of binding energies from SNA
- medium effects:
 - relative stabilization of heavier and exotic nuclei
 - dissolution of nuclei depending on density, temperature, np-asymmetry
- parametrization of mass shifts Δm_i , only preliminary results







ERDF - 15

Low-Density Limit I

- only two-body correlations relevant
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- only two-body correlations relevant
- comparison of generalized relativistic density functional with virial Equation of State (model-independent benchmark, depends only on experimental binding energies and phase shifts δ_l^(ij))
- \bullet fugacity expansion of thermodynamic potential Ω
 - \Rightarrow consistency relations with virial coefficients and zero-density meson-nucleon couplings $C_m = \Gamma_m^2/m_m^2$ $(m = \omega, \sigma, \rho, \delta)$
 - $\Rightarrow \text{ effective resonance energies } E_{ij}(T) \quad (i, j = n, p)$ representing NN scattering correlations
 - \Rightarrow effective degeneracy factors $g_{ij}^{(\text{eff})}(T)$

(cf. treatment of excited states of nuclei)

 \Rightarrow relativistic corrections

Low-Density Limit II

• zero temperature limit of consistency relations without scattering correlations

•
$$C_{\omega} - C_{\sigma} = \frac{\pi}{2m} \left[a_{nn}({}^{1}S_{0}) + a_{pp}({}^{1}S_{0}) + a_{np}({}^{1}S_{0}) + 3a_{np}({}^{3}S_{1}) \right]$$

•
$$C_{\rho} - C_{\delta} = \frac{\pi}{2m} \left[a_{nn}({}^{1}S_{0}) + a_{pp}({}^{1}S_{0}) - a_{np}({}^{1}S_{0}) - 3a_{np}({}^{3}S_{1}) \right]$$

with scattering lengths a_{ij} and assuming $m = m_n = m_p$



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• comparison of experiment with RMF parametrizations

	exp.	DD2 [1]	DD-ME δ [2]
		(ω,σ, ho)	$(\omega,\sigma, ho,\delta)$
$C_{\omega} - C_{\sigma} [\mathrm{fm}^2]$	-14.15	-5.39	-4.90
$C_{ ho} - C_{\delta} [\mathrm{fm}^2]$	-9.61	2.48	2.55

[1] S. Typel et al., Phys. Rev. C 81 (2010) 015803, [2] X. Roca-Maza et al., Phys. Rev. C 84 (2011) 054309

- \Rightarrow conventional mean-field models don't reproduce effect of correlations at very-low densities
- \Rightarrow explicit scattering correlations needed

NN Scattering Correlations

 \circ effective resonance energies

$$\sum_{l} g_{l}^{(ij)} \int \frac{dE}{\pi} \frac{d\delta_{l}^{(ij)}}{dE} \exp\left(-\frac{E}{T}\right) = \pm g_{0}^{(ij)} \exp\left(-\frac{E_{ij}}{T}\right)$$



effective-range expansion for s-wave phase shifts:

$$k \cot \delta_0^{(ij)} = -\frac{1}{a_{ij}} + \frac{r_{ij}}{2}k^2$$

 \Rightarrow analytical results low T: $I_0^{(ij)}(T) \rightarrow -a_{ij}\sqrt{\mu_{ij}T/(2\pi)}$ unitary limit: $E_{ij}(T) = T \ln 2$

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 $\sum_{l} g_{l} \int \frac{1}{\pi} \frac{dE}{dE} \exp\left(-\frac{1}{T}\right) =$

• effective degeneracy factors

$$S = \sum_{l} g_{l}^{(nn)} \int \frac{dE}{\pi} \frac{d\delta_{l}^{(nn)}}{dE} \exp\left(-\frac{E}{T}\right) = g_{nn}^{(\text{eff})}(T) \exp\left(-\frac{E_{nn}}{T}\right) - g_{n}^{2} \frac{\lambda_{nn}^{3} C_{+}}{\lambda_{n}^{6} 2T}$$

$$C_{+} = C_{\omega} - C_{\sigma} + C_{\rho} - C_{\delta}, \qquad \lambda_{i} = \sqrt{2\pi/(m_{i}T)}$$



comparison: different effects

• nonrelativistic ideal gas



comparison: different effects

- nonrelativistic ideal gas
 - \Downarrow rel. kinematics + quantum statistics
- relativistic Fermi gas



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- nonrelativistic ideal gas
 - \Downarrow rel. kinematics + quantum statistics
- relativistic Fermi gas
 - \Downarrow two-body correlations
- virial EoS with relativistic correction



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- nonrelativistic ideal gas
 \$\U0354\$ rel. kinematics + quantum statistics
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- virial EoS with relativistic correction (not included in standard virial EoS)
 - \Downarrow mean-field effects
- standard RMF model with density dependent couplings



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- relativistic Fermi gas

 \Downarrow two-body correlations

• virial EoS with relativistic correction (not included in standard virial EoS)

 \Downarrow mean-field effects

- standard RMF model with density dependent couplings
 ↓ two-body correlations
- generalized relativistic density functional (gRDF) with contributions from nn scattering



comparison: p/n in different models (ideal gas: p/n = T)



STOS: H. Shen et al., Nucl. Phys. A 637 (1998) 435 (TM1)
SH: G. Shen et al., Phys. Rev. C 83 (2011) 065808 (FSUGold)
LS 220: J.M. Lattimer et al., Nucl. Phys. A 535 (1991) 331 (K = 220 MeV)

Light Clusters and Continuum Correlations

• particle fractions

$$X_i = A_i \frac{n_i}{n_b} \qquad n_b = \sum_i A_i n_i$$

• low densities:

two-body correlations most important

 high densities: dissolution of clusters
 ⇒ Mott effect

generalized relativistic density functional



(without heavy clusters)

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- high densities: dissolution of clusters
 ⇒ Mott effect
- effect of NN continuum correlations

 o dashed lines: without continuum
 o solid lines: with continuum
 ⇒ reduction of deuteron fraction,
 - redistribution of other particles
- correct limits with generalized relativistic density functional

generalized relativistic density functional



(without heavy clusters)

Coulomb Correlations in Matter

• explicit potential A_{γ} only in systems with spatially inhomogeneous charge distribution, homogeneous approaches for EoS \Rightarrow effective treatment of Coulomb effects

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lattice-periodic Coulomb potential \rightarrow potential in Wigner-Seitz approximation: single nucleus and electron background in spherical cell with size such that total charge vanishes \Rightarrow screening of Coulomb potential



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- analytical solution for homogeneously charged sphere (ion, radius R, charge Qe) and constant electron density $n_e = 3/(4\pi R_e^3) = Qn_{ion}$
 - $\Rightarrow \text{Coulomb energy} \quad E_C^{(\text{WS})} = E_C^{(\text{sph})} + \Delta E_C^{(\text{WS})}$

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- analytical solution for homogeneously charged sphere (ion, radius R, charge Qe) and constant electron density $n_e = 3/(4\pi R_e^3) = Qn_{ion}$
 - $\Rightarrow {\rm Coulomb\ energy} \quad E_C^{\rm (WS)} = E_C^{\rm (sph)} + \Delta E_C^{\rm (WS)} \quad {\rm with} \quad$

 $E_C^{(\text{sph})} = \frac{3}{5} \frac{Q^2 e^2}{R}$ part of energy of nucleus

 $\Delta E_C^{(\rm WS)} = -\frac{9}{10} \frac{Q^2 e^2}{R_e} \left(1 - \frac{R^2}{3R_e^2} \right) \quad \text{energy shift with finite-size correction}$

 \Rightarrow approximation for lattice Coulomb energy, often applied in EoS models in liquid phase (?)

One-Component Plasma (OCP) I

• N ions (point particles, charge Qe > 0) in homogeneous background of electrons (density $n_e = 3/(4\pi a_e^3)$) at temperature T



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$$\frac{2}{7}$$
 for $U_{\rm pot}/(NT)$

• example: 1024 ions in $8 \times 8 \times 8$ bcc lattice

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• limits:

$$\Gamma \to 0$$
: liquid phase $U_{\rm pot}^{(L)}/(NT) \to -\frac{\sqrt{3}}{2}\Gamma^{3/2}$
(Debye-Hückel)

 $\Gamma \to \infty$: solid phase $U_{\rm pot}^{(S)}/(NT) \to \frac{3}{2} + C_M \Gamma$

 $(C_M^{(\mathrm{bcc})} = -0.895929255682$ Madelung constant)



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(parametrization: H.E. DeWitt and W. Slattery, Contrib. Plasma Phys. 39 (1999) 97)



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- parametrization of Monte Carlo results
- free energies: $F_{\text{pot}}^{(L)}$, $F_{\text{pot}}^{(S)}$ from integration $F^{(L)}(\Gamma) = e\Gamma U U + (\Gamma') = F^{(S)}(\Gamma)$

$$\frac{T_{\text{pot}}(\Gamma)}{NT} = \int_0^1 \frac{d\Gamma'}{\Gamma'} \frac{U_{\text{pot}}(\Gamma)}{NT} \quad \frac{T_{\text{pot}}(\Gamma)}{NT} = \dots$$

 $\Rightarrow F^{(L)}, F^{(S)}$ (integration constants !)



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- parametrization of Monte Carlo results
- free energies: $F_{\text{pot}}^{(L)}$, $F_{\text{pot}}^{(S)}$ from integration $\frac{F_{\text{pot}}^{(L)}(\Gamma)}{NT} = \int_{0}^{\Gamma} \frac{d\Gamma' U_{\text{pot}}(\Gamma')}{\Gamma' NT} \quad \frac{F_{\text{pot}}^{(S)}(\Gamma)}{NT} = \dots$ $\Rightarrow F^{(L)}, F^{(S)} \text{ (integration constants !)}$
- melting point: $F^{(L)}(\Gamma_m) = F^{(S)}(\Gamma_m)$ $\Rightarrow \Gamma_m \approx 175$
 - \circ very sensitive to Coulomb correlations
 - Wigner-Seitz approximation fails



(parametrization: H.E. DeWitt and W. Slattery, Contrib. Plasma Phys. 39 (1999) 97)

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constituents (i):

- baryons (n, p, Λ , Σ^+ , Σ^0 , Σ^- , Ξ^0 , Ξ^- , ...) \Rightarrow fermions ($\sigma_i = +1$)
- mesons $(\pi^+/\pi^-, \pi^0, K^+/K^-, K^0/\bar{K}^0, \omega, \rho, \dots) \Rightarrow$ bosons $(\sigma_i = -1)$
- light nuclei (²H, ³H, ³He, ⁴He) \Rightarrow fermions/bosons
- heavy nuclei $({}^{A_i}Z_i)$, NN scattering correlations \Rightarrow classical particles $(\sigma_i = 0)$
- leptons (e^-/e^+ , μ^-/μ^+ , $\nu_e/\bar{\nu}_e$, $\nu_\mu/\bar{\nu}_\mu$, . . .) \Rightarrow fermions
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- \circ degeneracy factors g_i
- distinguish individual constituents $(g_i = \text{const.}, i \in \mathcal{I})$ and effective constituents $(g_i(T, n_j), i \in \mathcal{E})$

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- distinguish individual constituents $(g_i = \text{const.}, i \in \mathcal{I})$ and effective constituents $(g_i(T, n_j), i \in \mathcal{E})$
- quasi-particles with relativistic energy

$$e_i^{(\eta_i)}(k) = \sqrt{k^2 + (m_i - S_i)^2} + \eta_i V_i$$

 S_i scalar potential, V_i vector potential m_i rest mass in vacuum, k momentum

interaction

- Lorentz scalar mesons $m \in S = \{\sigma, \delta, \sigma_*, \ldots\}$
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- \circ scalar potential $S_i = \sum_{m \in \mathcal{S}} \Gamma_{im} n_m^{(\mathrm{source})} \Delta m_i$

with medium-dependent mass shift $\Delta m_i(T, n_j)$

• vector potential
$$V_i = \sum_{m \in \mathcal{V}} \Gamma_{im} n_m^{(\text{source})} + V_i^{(\text{em})} + V_i^{(r)}$$

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$$\circ$$
 scalar potential $S_i = \sum_{m \in S} \Gamma_{im} n_m^{(\text{source})} - \Delta m_i$

with medium-dependent mass shift $\Delta m_i(T, n_j)$

• vector potential
$$V_i = \sum_{m \in \mathcal{V}} \Gamma_{im} n_m^{(\text{source})} + V_i^{(\text{em})} + V_i^{(r)}$$

with electromagnetic contribution $V_i^{(em)} = T f_L(\Gamma_i)$ from fit of OCP data assuming linear mixing rule ($\Gamma_i = Q_i^{5/3} \Gamma_Q$, $\Gamma_Q = e^2/(a_Q T)$, $a_Q = [3/(4\pi n_Q)]^{1/3}$) and rearrangement contribution $V_i^{(r)} = B_i V^{(r)} + U_i^{(mass)} + U_i^{(em)} + U_i^{(deg)}$ $V^{(r)} = \sum_{m \in \mathcal{V}} \Gamma'_m A_m n_m^{(source)} - \sum_{m \in \mathcal{S}} \Gamma'_m A_m n_m^{(source)}$, $\Gamma'_m = d\Gamma_m/d\varrho$

effective density functional

• grand canonical potential density $\omega^{(L)} = \omega^{(L)}_{qp} + \omega^{(L)}_{strong} + \omega^{(L)}_{em}$



effective density functional

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- contribution of quasi-particles

$$\omega_{\rm qp}^{(L)} = \sum_{i \in \mathcal{I}} g_i \left(\omega_i^{(r)} + \omega_i^{(p)} \delta_{\sigma_i, +1} + \omega_i^{(c)} \delta_{\sigma_i, -1} \right) + \sum_{i \in \mathcal{E}} \left(g_i \omega_i^{(r)} - U_i^{(\deg)} n_i \right)$$

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- regular contribution $\omega_i^{(r)} = -\frac{T}{\sigma_i} \int \frac{d^3k}{(2\pi)^3} \sum_{\eta_i} \ln[1 + \sigma_i \exp(-E_i^{(\eta_i)}/T)]$
- with $E_i^{(\eta_i)} = e_i^{(\eta_i)} \mu_i$
- pairing contribution $\omega_i^{(p)} = \dots$
- \circ condensate contribution $\omega_i^{(c)} = \dots$

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• •

with
$$E_i^{(\eta_i)} = e_i^{(\eta_i)} - \mu_i$$

 \circ pairing contribution $\omega_i^{(p)} = \dots$
 \circ condensate contribution $\omega_i^{(c)} = \dots$

• contribution from strong interaction

$$\omega_{\text{strong}}^{(L)} = \sum_{m \in \mathcal{S}} m_m^2 A_m^2 - \sum_{m \in \mathcal{V}} m_m^2 A_m^2 - V^{(r)} \varrho - \sum_{i \in \mathcal{I} \cup \mathcal{E}} U_i^{(\text{mass})} n_i$$

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• contribution from electromagnetic interaction

$$\omega_{\text{em}}^{(L)} = -\sum_{i \in \mathcal{I} \cup \mathcal{E}} U_i^{(\text{em})} n_i$$

 $fermions \Rightarrow pairing \ correlations$

• pairing potential $v_i(k, k')$

 $\textbf{fermions} \Rightarrow \textbf{pairing correlations}$

- pairing potential $v_i(k,k')$
- \bullet pairing contribution to $\omega_{\rm qp}^{(L)}$

$$\begin{split} \omega_i^{(p)} &= \int \frac{d^3k}{(2\pi)^3} \sum_{\eta_i} \{ \frac{1}{2} [e_i^{(\eta_i)}(k) - \mu_i - E_i^{(\eta_i)}(k)] + \Delta_i^{(\eta_i)}(k) \nu_i^{(\eta_i)}(k) \} \\ &+ \frac{1}{2} \int \frac{d^3k}{(2\pi)^3} \int \frac{d^3k'}{(2\pi)^3} \sum_{\eta_i} \nu_i^{(\eta_i)}(k) \nu_i^{(\eta_i)}(k, k') \nu_i^{(\eta_i)}(k') \\ E_i^{(\eta_i)} &= \pm \sqrt{[e_i^{(\eta_i)} - \mu_i]^2 + [\Delta_i^{(\eta_i)}]^2}, \ \Delta_i^{(\eta_i)}(k) \text{ pairing gap} \\ \nu_i^{(\eta_i)}(k) &= \frac{\Delta_i^{(\eta_i)}(k)}{2E_i^{(\eta_i)}(k)} [1 - 2f_{+1}(E_i^{(\eta_i)})] \text{ anomalous distribution function,} \\ f_{+1}(E) &= [\exp(E) + 1]^{-1} \text{ Fermi-Dirac distribution function} \end{split}$$

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$$\begin{split} \omega_{i}^{(p)} &= \int \frac{d^{3}k}{(2\pi)^{3}} \sum_{\eta_{i}} \{ \frac{1}{2} [e_{i}^{(\eta_{i})}(k) - \mu_{i} - E_{i}^{(\eta_{i})}(k)] + \Delta_{i}^{(\eta_{i})}(k) \nu_{i}^{(\eta_{i})}(k) \} \\ &+ \frac{1}{2} \int \frac{d^{3}k}{(2\pi)^{3}} \int \frac{d^{3}k'}{(2\pi)^{3}} \sum_{\eta_{i}} \nu_{i}^{(\eta_{i})}(k) v_{i}^{(\eta_{i})}(k, k') \nu_{i}^{(\eta_{i})}(k') \\ E_{i}^{(\eta_{i})} &= \pm \sqrt{[e_{i}^{(\eta_{i})} - \mu_{i}]^{2} + [\Delta_{i}^{(\eta_{i})}]^{2}}, \ \Delta_{i}^{(\eta_{i})}(k) \text{ pairing gap} \\ \nu_{i}^{(\eta_{i})}(k) &= \frac{\Delta_{i}^{(\eta_{i})}(k)}{2E_{i}^{(\eta_{i})}(k)} [1 - 2f_{+1}(E_{i}^{(\eta_{i})})] \text{ anomalous distribution function,} \\ f_{+1}(E) &= [\exp(E) + 1]^{-1} \text{ Fermi-Dirac distribution function} \\ \partial \omega^{(L)} / \partial \Delta_{i}^{(\eta_{i})}(k) &= 0 \Rightarrow \text{ gap equation} \end{split}$$

$$\Delta_i^{(\eta_i)}(k) + \int \frac{d^3k'}{(2\pi)^3} v_i^{(\eta_i)}(k,k') \nu_i^{(\eta_i)}(k') = 0$$

$\textbf{bosons} \Rightarrow \textbf{condensation}$

 \bullet condensate contribution to $\omega_{\rm qp}^{(L)}$

$$\omega_i^{(c)} = \frac{1}{2} [\zeta_i^{(\eta_i)}]^2 [(m_i - S_i)^2 - (\mu_i - V_i)^2]$$

with parameter $\zeta_i^{(\eta_i)}$

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$$|\mu_i - V_i| \le m_i - S_i$$



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• general condition on chemical potential μ_i

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• $\partial \omega^{(L)} / \partial \zeta_i^{(\eta_i)} = 0 \implies$ condition for condensation solutions:

•
$$\zeta_i^{(\eta_i)} = 0$$
: no condensation
• $\zeta_i^{(\eta_i)} \neq 0$, $\mu_i = V_i + m_i - S_i$: condensation of particles
• $\zeta_i^{(\eta_i)} \neq 0$, $\mu_i = V_i - m_i + S_i$: condensation of antiparticles
value of $\zeta_i^{(\eta_i)}$ determined by density of condensate state

$\textbf{densities} \Rightarrow \textbf{usual}$ form for quasiparticles

• net particle density

$$n_{i} = g_{i} \sum_{\eta_{i}} \{ \int \frac{d^{3}k}{(2\pi)^{3}} \eta_{i} f_{i}^{(\eta_{i})}(k) + [\zeta_{i}^{(\eta_{i})}]^{2} (\mu_{i} - V_{i}) \delta_{\sigma_{i},-1} \}$$

$$f_{i}^{(\eta_{i})} = \frac{1}{2} \{ 1 - \frac{e_{i}^{(\eta_{i})} - \mu_{i}}{E_{i}^{(\eta_{i})}} [1 - 2f_{\sigma_{i}}(E_{i}^{(\eta_{i})})] \}, f_{\sigma}(E) = [\exp(E) + \sigma]^{-1}$$

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$$n_i^{(s)} = g_i \sum_{\eta_i} \{ \int \frac{d^3k}{(2\pi)^3} \frac{m_i - S_i}{\sqrt{k^2 + (m_i - S_i)^2}} f_i^{(\eta_i)}(k) + [\zeta_i^{(\eta_i)}]^2 (m_i - S_i) \delta_{\sigma_i, -1} \}$$

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- source densities
 - \circ Lorentz scalar mesons, $m \in \mathcal{S}$

$$n_m^{(\text{source})} = \sum_{i \in \mathcal{I} \cup \mathcal{E}} g_{im} n_i^{(s)}$$

 \circ Lorentz vector mesons, $m \in \mathcal{V}$

$$n_m^{(ext{source})} = \sum_{i \in \mathcal{I} \cup \mathcal{E}} g_{im} n_i$$

thermodynamic consistency

• natural variables of $\omega^{(L)}$: T, μ_i , A_m , $\Delta_i^{(\eta_i)}(k)$, $\zeta_i^{(\eta_i)}$

but $\omega^{(L)}$ depends explicitly on densities n_i , $n_i^{(s)}$ (already defined!)

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• consistency criterion $n_j \stackrel{!}{=} -\frac{\partial}{\partial \mu_j} \omega^{(L)}(T, \mu_i, A_m, \Delta_i^{(\eta_i)}(k), \zeta_i^{(\eta_i)}) \Big|_{T, \mu_{i \neq j}, A_m, \Delta_i^{(\eta_i)}(k), \zeta_i^{(\eta_i)}}$

thermodynamic consistency

• natural variables of $\omega^{(L)}$: T, μ_i , A_m , $\Delta^{(\eta_i)}_i(k)$, $\zeta^{(\eta_i)}_i$

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- consistency criterion $n_j \stackrel{!}{=} -\frac{\partial}{\partial \mu_j} \omega^{(L)}(T,\mu_i,A_m,\Delta_i^{(\eta_i)}(k),\zeta_i^{(\eta_i)})\Big|_{T,\mu_{i\neq j},A_m,\Delta_i^{(\eta_i)}(k),\zeta_i^{(\eta_i)}}$
 - \Rightarrow definition of rearrangement potentials

$$\circ \quad U_i^{(\text{mass})} = \sum_{j \in \mathcal{I} \cup \mathcal{E}} \frac{\partial \Delta m_j}{\partial n_i} n_j^{(s)}$$

•
$$U_i^{(\text{em})} = \sum_{j \in \mathcal{I} \cup \mathcal{E}} \frac{\partial V_j^{(em)}}{\partial n_i} n_j$$

•
$$U_i^{(\text{deg})} = \sum_{j \in \mathcal{E}} \frac{\partial g_j}{\partial n_i} \omega_j^{(r)}$$



thermodynamic consistency

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 - \Rightarrow definition of rearrangement potentials

•
$$U_i^{(\text{mass})} = \sum_{j \in \mathcal{I} \cup \mathcal{E}} \frac{\partial \Delta m_j}{\partial n_i} n_j^{(s)}$$

•
$$U_i^{(\text{em})} = \sum_{j \in \mathcal{I} \cup \mathcal{E}} \frac{\partial V_j^{(em)}}{\partial n_i} n_j$$

•
$$U_i^{(\text{deg})} = \sum_{j \in \mathcal{E}} \frac{\partial g_j}{\partial n_i} \omega_j^{(r)}$$

• non-standard contributions to entropy density

$$s = - \left. \frac{\partial \omega^{(L)}}{\partial T} \right|_{\mu_i, A_m, \Delta_i^{(\eta_i)}(k), \zeta_i^{(\eta_i)}}$$





combination of models

- homogeneously distributed constituent particles
 - leptons, photons, neutrons, certain nuclei(?), . . .
 contribution to grand canonical potential as in gas/liquid phase

Solid Phase I

combination of models

- homogeneously distributed constituent particles
 - leptons, photons, neutrons, certain nuclei(?), . . .
 contribution to grand canonical potential as in gas/liquid phase
- nuclei on lattice sites, excitation of lattice vibrations/phonons
 - Einstein/Debye-like model, three branches ($\lambda = 0, 1, 2$) (extension of model by G. Chabrier, Ap. J. 414 (1993) 695)

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 - Einstein/Debye-like model, three branches ($\lambda = 0, 1, 2$) (extension of model by G. Chabrier, Ap. J. 414 (1993) 695)
 - one longitudinal mode: $\omega_i(0, \vec{q}) = \alpha_0 \omega_i^{(p)}$
 - two transversal modes: $\omega_i(1, \vec{q}) = lpha_1 \omega_i^{(p)} q / k_i^{(D)}$

$$\omega_i(2,\vec{q}) = \alpha_2 \omega_i^{(p)} q / k_i^{(D)}$$

plasma frequency $\omega_i^{(p)} = \sqrt{4\pi Q_i e^2 n_Q/m_i}$ Debye wave number $k_i^{(D)} = (6\pi^2 n_i)^{1/3}$ parameters α_0 , α_1 , α_2



Solid Phase II

 \circ parameters α_0 , α_1 , α_2

fitted to reproduce known frequency moments

$$\mu_n = \frac{1}{3} \sum_{\lambda, \vec{q}} [\omega_i(\lambda, \vec{q}) / \omega_i^{(p)}]^n \quad \text{for } n = 1, 2$$

and consistency relation in classical limit $(3\bar{\mu} = \ln(\alpha_0\alpha_1\alpha_2) - 2/3)$



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and consistency relation in classical limit $(3\bar{\mu} = \ln(\alpha_0\alpha_1\alpha_2) - 2/3)$ bcc lattice

	exact calculation*	model	significance
μ_{-2}	12.972	12.850	mean square displacement (classical)
μ_{-1}	2.79855	2.79031	mean square displacement (quantal)
μ_1	0.5113875	exact	zero-point oscillation energy
μ_2	1/3	exact	Kohn rule
μ_3	0.25031	0.24905	
$\bar{\mu}$	-0.831298	exact	classical limit of free energy

* D.A. Baiko, A.Y. Potekhin, D.G. Yakovlev, Phys. Rev. E 64 (2001) 057402
effective density functional

• canonical description \Rightarrow free energy density

 $f^{(S)} = \sum_{i \in S} n_i [m_i + F_i^{(\text{ph})} + F_i^{(\text{em})} + F_i^{(\text{mix})}]$

Solid Phase III

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$$F_i^{(ph)} = T\{\frac{3}{2}\mu_1\eta_i + \sum_{\lambda=0}^2 \ln[1 - \exp(-\alpha_\lambda\eta_i)] - \frac{1}{3}\sum_{\lambda=1}^2 D_3(\alpha_\lambda\eta_i)\}$$

- with Debye function $D_3(x)$
- essential parameters $\eta_i = \omega_i^{(p)}/T$

 $\eta_i \to 0$: classical limit $\eta_i \to \infty$: quantal effects

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• mixing contribution

$$F_i^{(\text{mix})} = T \ln(\frac{Q_i n_i}{g_i n_Q})$$
 $n_Q = \sum_i Q_i n_i$

• EoS of cold outer crust very well known (β equilibrium, T = 0 MeV)



BPS: G. Baym, C. Pethick, P. Sutherland, Ap. J. 170 (1971) 299

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 β equilibrium, $T=0~{\rm MeV}$



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Stefan Typel



Summary

construction of **effective relativistic density functional** for dense matter

- extended set of constituents \Rightarrow nucleons, hyperons, mesons, nuclei, leptons, . . . \Rightarrow quasiparticles with medium dependent properties
- nuclear interaction \Rightarrow meson exchange with density dependent couplings
- electromagnetic interaction \Rightarrow effective potential from Monte Carlo simulations
- formation and dissolution of clusters
- rearrangement contributions for thermodynamic consistency
- phase transition liquid/gas \leftrightarrow solid
- well constrained parameters, correct limits
- work in progress
- \Rightarrow preparation of EoS tables for astrophysical applications

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