



Multiparticle resonances in hadrons, nuclei, and ultracold gases
International Workshop XLVI on Gross Properties of Nuclei and Nuclear Excitations
Hirschegg, Kleinwalsertal, Austria, January 14 - 20, 2018

Microscopic description of pygmy and giant resonances within EDF plus QPM approach

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Extreme Light Infrastructure - Nuclear Physics (ELI-NP)

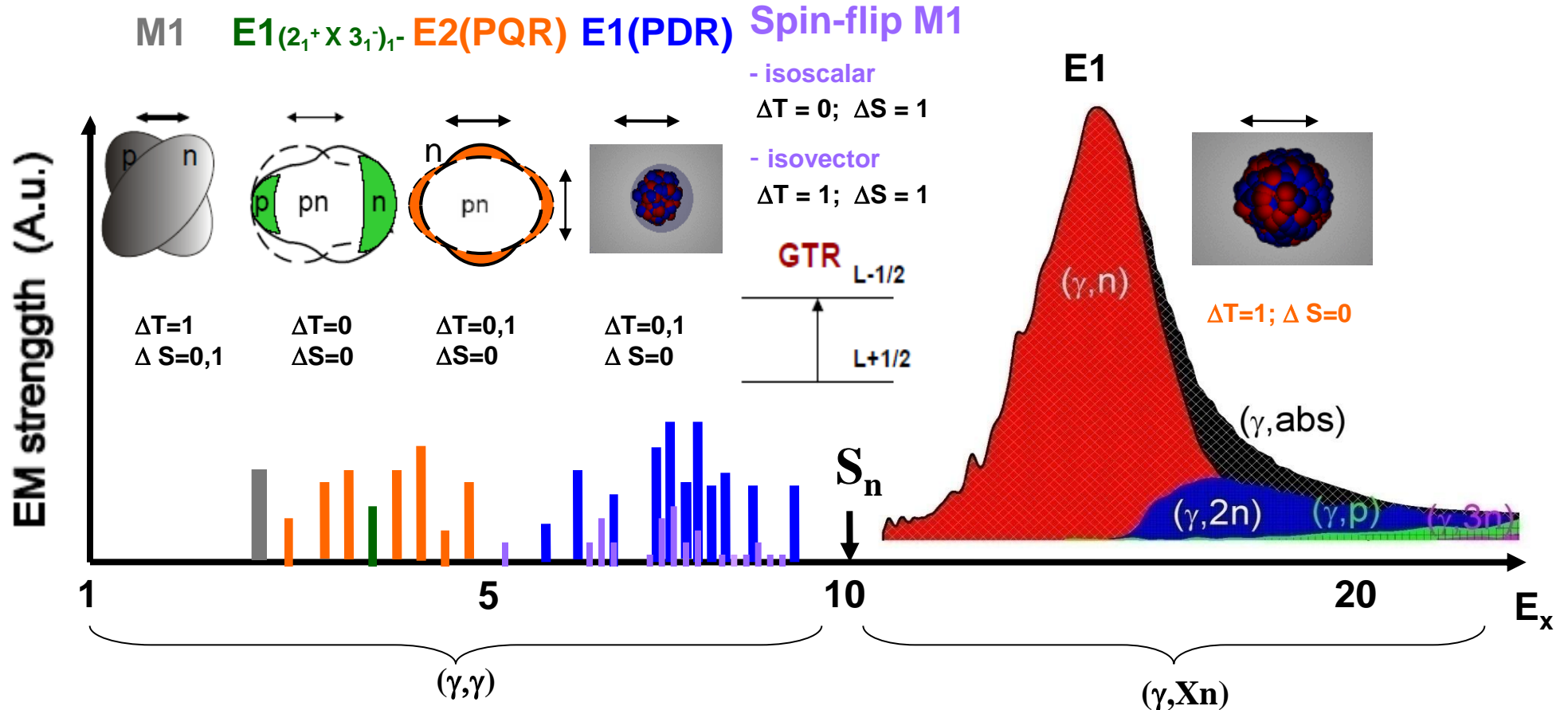
Agenda

- Pygmy modes: new low-energy modes of excitation in stable and exotic nuclei
- Microscopic theory of nuclear excitations
- Dipole and Quadrupole pygmy modes
- Pygmy modes, Dipole polarizability, Giant resonances,
- (n, γ) , (p, γ) cross sections and nuclear reaction rates of stellar nucleosynthesis.

Our Goals

- Microscopic approach to infinite matter and finite nuclei
- Ground states and nuclear excitations
- Astrophysical investigations

The Richness of Nuclear Spectra...



Moderate and Heavy nuclei :

- Orbital “Scissors” mode: $E_x \sim 3$ MeV, $B(M1) \sim 3 \mu_N^2$
- Two Phonon Excitation: $E_x \sim 4$ MeV, $B(E1) \sim 10^{-3}$ W.u.
- Pygmy Quadrupole Resonance: $E_x \sim 2 - 5$ MeV, $B(E2) \sim 0.5$ W.u.
- Pygmy Dipole Resonance: $E_x \sim 6 - 9$ MeV, $B(E1) \sim 0.5$ W.u.
- Spin-flip M1 excitations: $E_x \sim 4 - 12$ MeV, $B(E2) \sim 6 \mu_N^2$
- Giant Dipole Resonance: $E_x \sim 10 - 20$ MeV, $B(E1) \sim 5 - 12$ W.u.

Theoretical prediction:
 N. Tsoneva, H. Lenske,
 Phys. Lett. B 695 (2011) 174.



Microscopic theory of nuclear excitations

The Model Hamiltonian

Quasiparticle-Phonon Model: V. G. Soloviev: Theory of Atomic Nuclei: Quasiparticles and Phonons (Bristol, 1992)

N. Tsoneva, H. Lenske, Ch. Stoyanov, Phys. Lett. B 586 (2004) 213
N. Tsoneva, H. Lenske, Phys. Rev. C 77 (2008) 024321

$$H = H_{MF} + H_{res}$$

$$H_{MF} = H_{sp} + H_{pair}$$

$$H_{res} = H_M^{ph} + H_{SM}^{ph} + H_M^{pp}$$

Nuclear Ground State

Single-Particle States

Phenomenological density
functional approach based on a fully
microscopic self-consistent Skyrme
Hartree-Fock-Bogoljubov (HFB) theory

Pairing and Quasiparticle States

$$a_{jm} = u_j \alpha_{jm} + (-)^{j-m} v_j \alpha_{j-m}^+$$

Excited states

H_M^{ph} - multipole interaction in the
particle-hole channel;

H_{SM}^{ph} - spin-multipole interaction in the
particle-hole channel;

H_M^{pp} - multipole interaction in the
particle-particle channel

$$V(|\vec{r} - \vec{r}'|) \approx \sum_{\lambda\mu\tau} (-)^\mu R_\tau^\lambda(r, r') Y_{\lambda\mu}(\theta, \varphi) Y_{\lambda-\mu}(\theta', \varphi')$$

$$R_\tau^\lambda(r, r') = \kappa_\tau^\lambda R_\lambda(r) R_\lambda(r')$$

$\tau = 0$ isoscalar interaction

$\tau = 1$ isovector interaction

Theory of Nuclear Excitations

The QPM basis is built of phonons:

$$Q_{\lambda\mu i}^+ = \frac{1}{2} \sum_{\tau} \sum_{jj'}^{n,p} \left\{ \psi_{jj'}^{\lambda i} [\alpha_j^+ \alpha_{j'}^+]_{\lambda\mu} - (-1)^{\lambda-\mu} \varphi_{jj'}^{\lambda i} [\alpha_{j'} \alpha_j]_{\lambda-\mu} \right\}$$

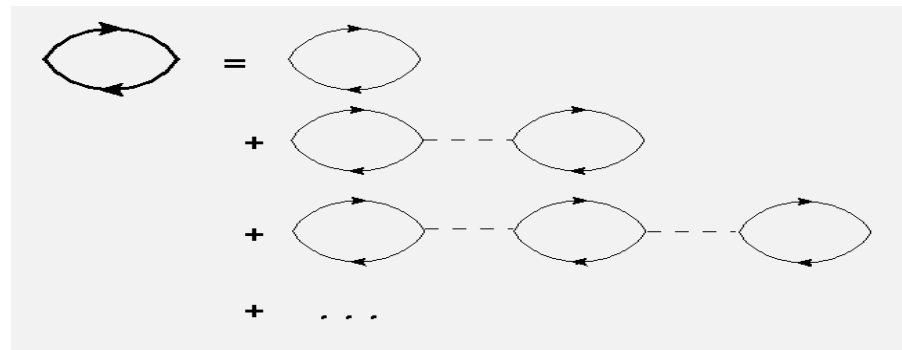
i — labels the number of the QRPA state.

The phonons are not 'pure' bosons:

$$\left[Q_{\lambda\mu i}, Q_{\lambda'\mu' i'}^+ \right] = \delta_{\lambda\lambda'} \delta_{\mu\mu'} \delta_{ii'} + \text{fermionic corrections} \\ \sim \alpha_{j_1 m_1}^+ \alpha_{j_2 m_2}$$

QRPA equations are solved:

$$\left[H, Q_{\lambda\mu i}^+ \right] = E_{\lambda\mu i} Q_{\lambda\mu i}^+$$



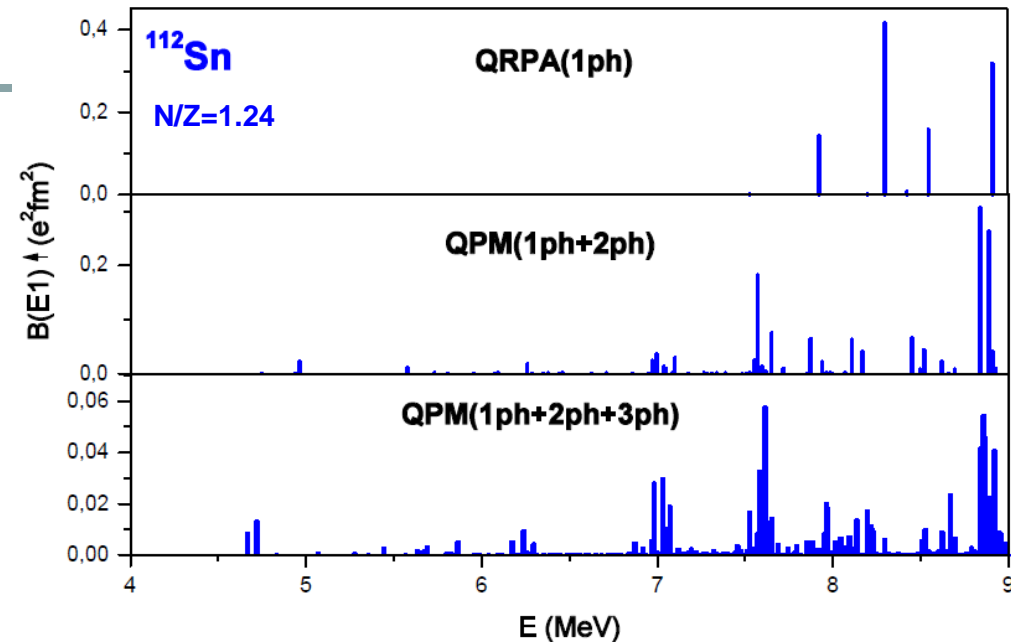
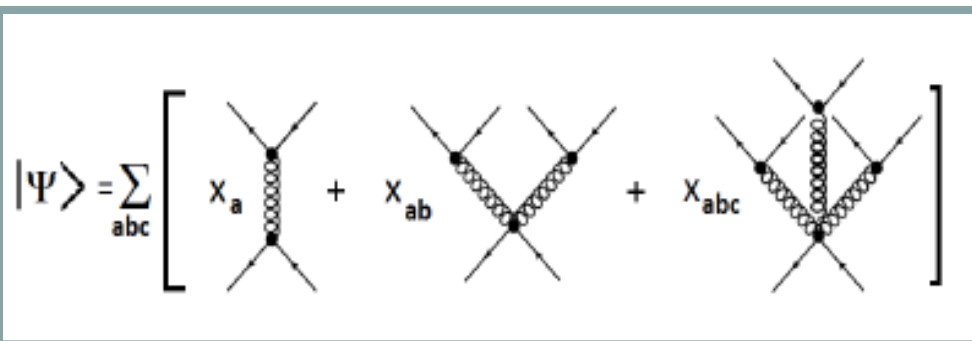
Beyond QRPA: Including Anharmonicities. Expansions up to 6-QP Components

Multi-Configuration Multi-Quasiparticle Wave Function

$$\Psi_\nu(JM) = \left\{ \begin{aligned} &\sum_i R_i(J\nu) Q_{JM_i}^+ + \sum_{\substack{\lambda_1 i_1 \\ \lambda_2 i_2}} P_{\lambda_2 i_2}^{\lambda_1 i_1}(J\nu) [Q_{\lambda_1 \mu_1 i_1}^+ \otimes Q_{\lambda_2 \mu_2 i_2}^+]_{JM} \\ &+ \sum_{\substack{\lambda_1 i_1 \lambda_2 i_2 \\ \lambda_3 i_3}} T_{\lambda_3 i_3}^{\lambda_1 i_1 \lambda_2 i_2}(J\nu) [[Q_{\lambda_1 \mu_1 i_1}^+ \otimes Q_{\lambda_2 \mu_2 i_2}^+]_{IK} \otimes Q_{\lambda_3 \mu_3 i_3}^+]_{JM} \end{aligned} \right\} \Psi_0$$

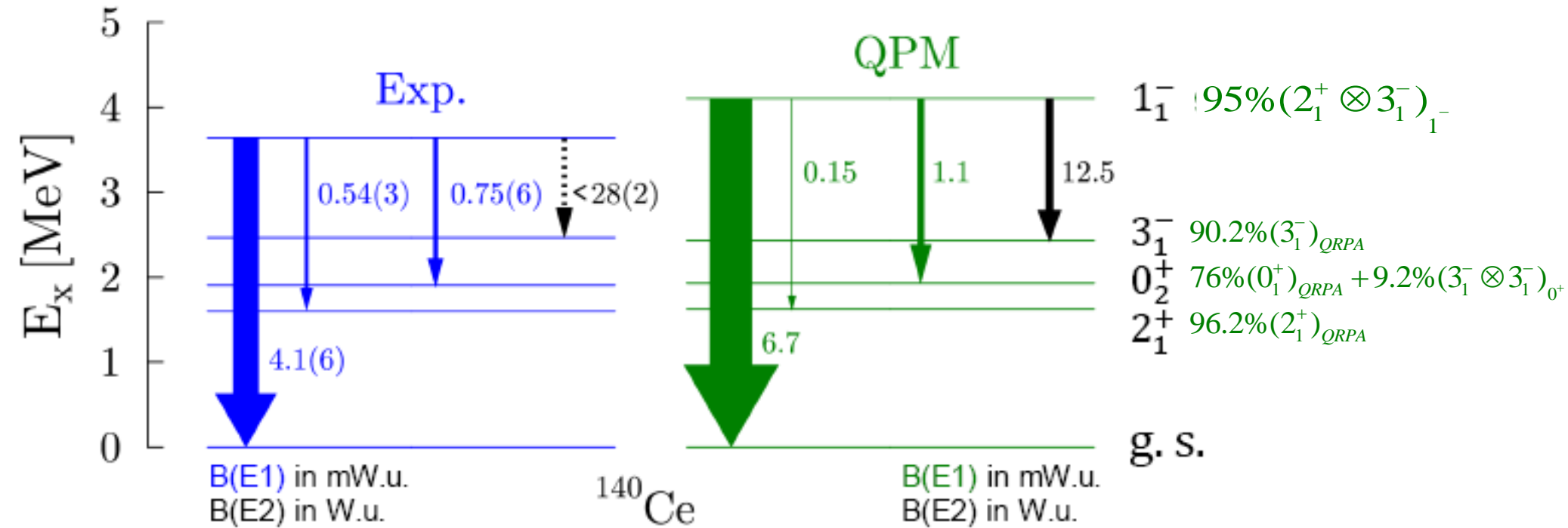
- Basis of QRPA phonons
- „ph“ and „pp“- type configurations
- Pauli principle, orthogonality
- Core polarization effects
- Large multi-particle-multi-hole configuration space
- **SPECTRAL FRAGMENTATION**
- **SPECTRAL SHIFTS**

M. Grinberg, Ch. Stoyanov, Nucl. Phys. A. 573 (1994) 231



Multi-phonon nuclear excitations

Two-phonon states



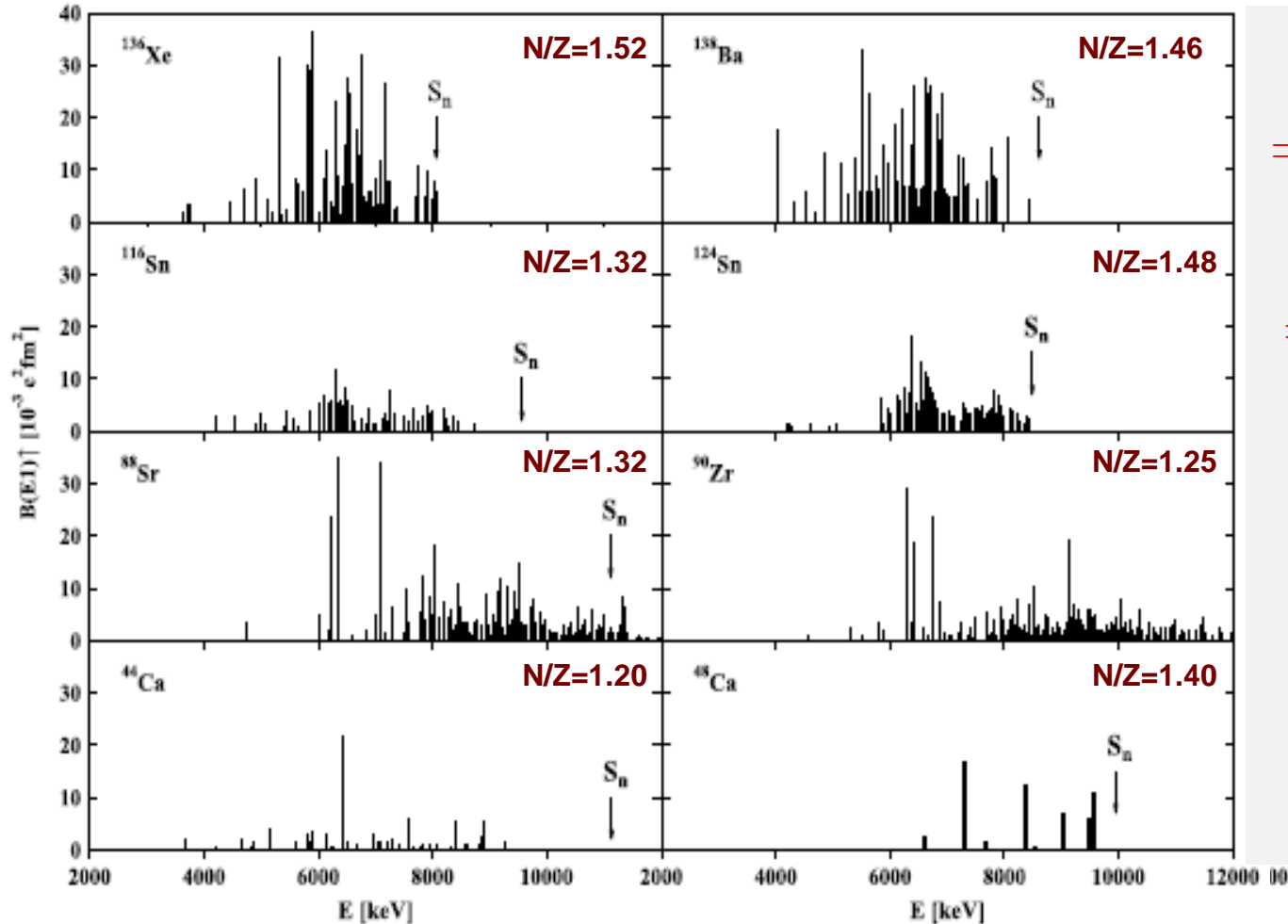
V. Derya, N.Tsoneva, et al., Phys. Rev. C 93, 034311 (2016).

The Pygmy Dipole Resonance

Observation of Pygmy Dipole Resonance in stable nuclei with moderate neutron excess ($N > Z$)

Neutron PDR strength increases with the N/Z ratio !

D. Savran et al. / Progress in Particle and Nuclear Physics 70 (2013) 210–245



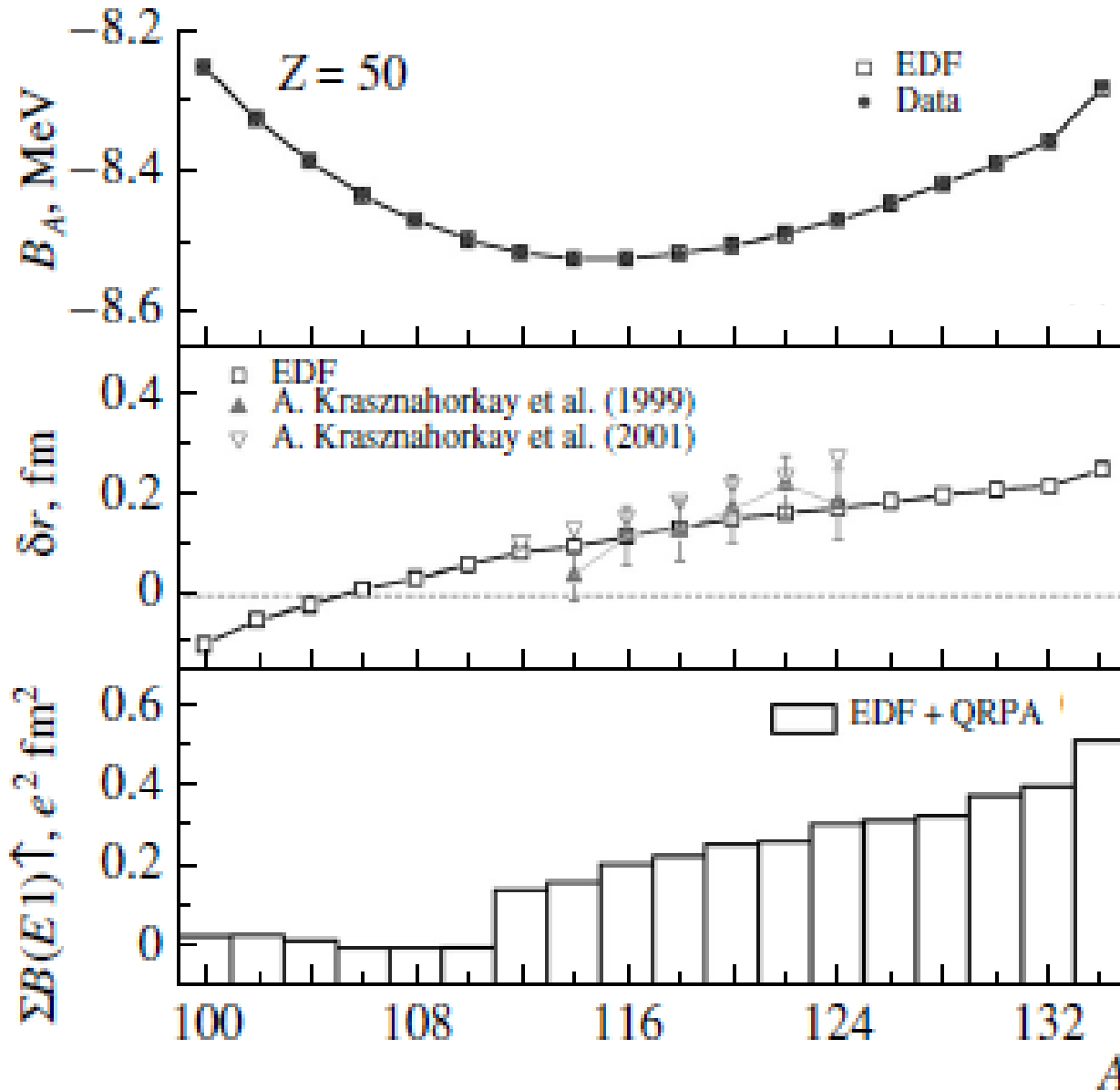
PDR

- ⇒ Generic mode of excitation;
- ⇒ Below particle threshold;
- ⇒ Independent of the type of nucleon excess
- ⇒ Depending on the size of N/Z ;
- ⇒ $\sim 1\%$ of the Thomas-Reiche-Kuhn sum rule ($S_{\text{TRK}} \sim NZ/A$)

Binding Energy, Skin Thickness and PDR

N. Tsoneva, H. Lenske, PRC 77 (2008) 024321

N. Tsoneva, H. Lenske, *Physics of Atomic Nuclei*, 2016, Vol. 76, pp. 885-903.



Binding Energy

$$B_A(N, Z) = \int d^3r E(\rho_0(\mathbf{r}), \rho_1(\mathbf{r}))$$

$$= \int d^3r (E_{\text{kin}}(\mathbf{r}) + E_{\text{int}}(\mathbf{r})).$$

Skin thickness

$$\delta r = \sqrt{\langle r^2 \rangle_n} - \sqrt{\langle r^2 \rangle_p}$$

PDR Strength

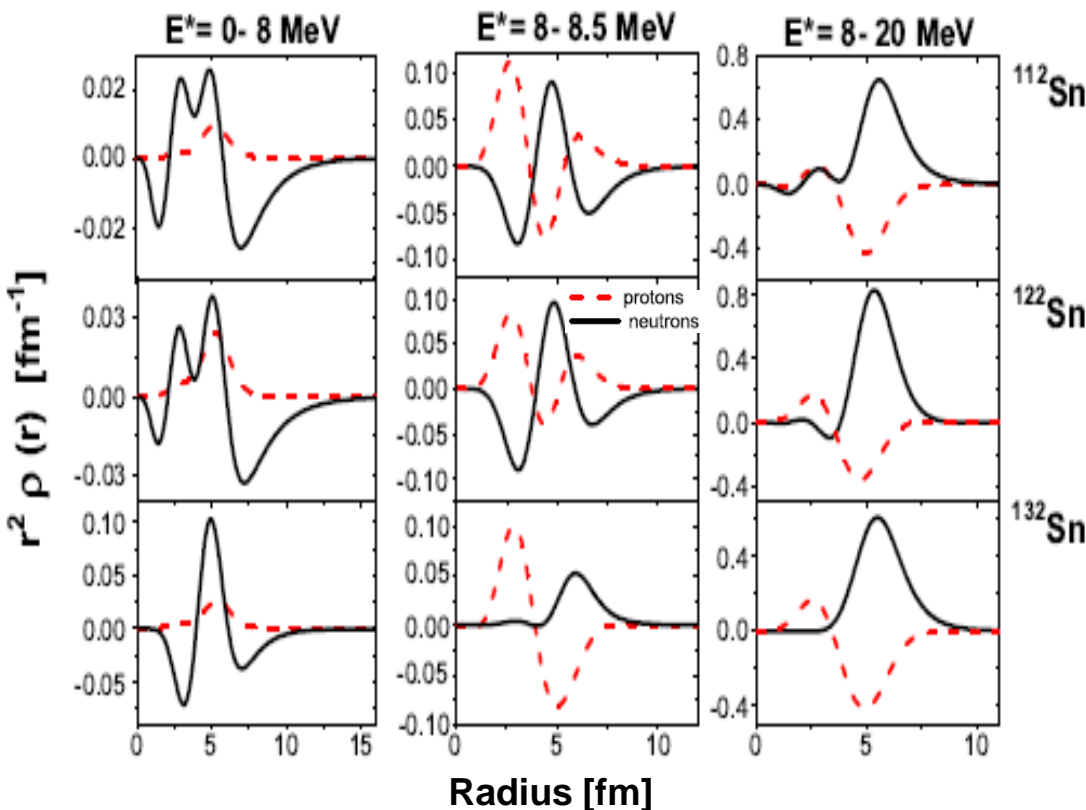
$$B(E\lambda) \approx \left[\sum_{T=1}^1 e_T^\lambda \int_0^\infty r^\lambda \rho_{\lambda i}^T(r) r^2 dr \right]^2$$

Identifying the Skin Mode: Dipole Transition Densities in Sn Isotopes

N. Tsoneva, H. Lenske, Ch. Stoyanov, Phys. Lett. B 586 (2004) 213
 N. Tsoneva, H. Lenske, Phys. Rev. C 77 (2008) 024321

Neutron PDR

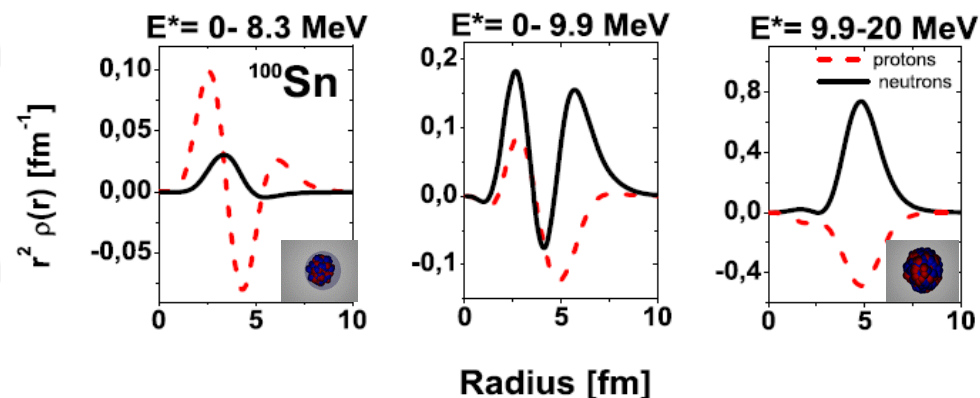
N > Z



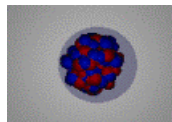
$$\delta\rho^T(\vec{r}) = \sum_{j_1 j_2; \lambda \mu} [i^\lambda Y_{\lambda\mu}(\hat{r})]^\dagger \rho_{j_1 j_2}^{\lambda T}(r) [a_{j_1}^\dagger a_{j_2}]_{\lambda\mu}$$

Proton PDR

N = Z



PDR

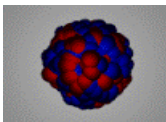


Mixed



Transient

GDR



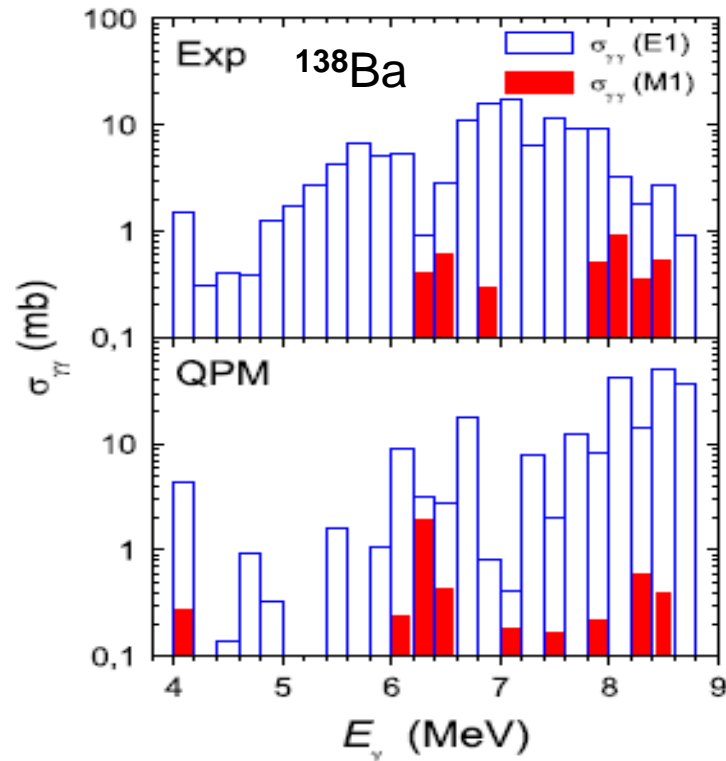
Parity assignment of the PDR

Parity Measurements of Low-Energy Dipole Excitations in ^{138}Ba

First experiment on parity assignment of PDR in ^{138}Ba at HI γ S: $E_\gamma=4-8.5$ MeV

A. P. Tonchev, S. L. Hammond, J. H. Kelley, E. Kwan, H. Lenske, G. Rusev, W. Tornow, and N. Tsoneva, Phys. Rev. Lett. 104, 072501 (2010).

$$\sigma_{\gamma\gamma}(\text{M1})/\sigma_{\gamma\gamma}(\text{E1}) \sim 3\%$$



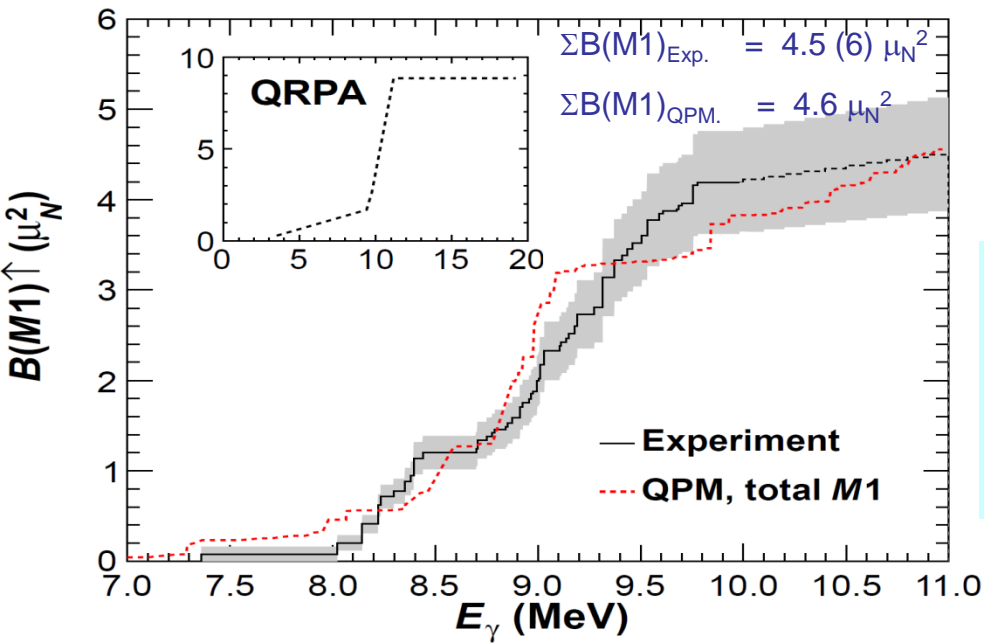
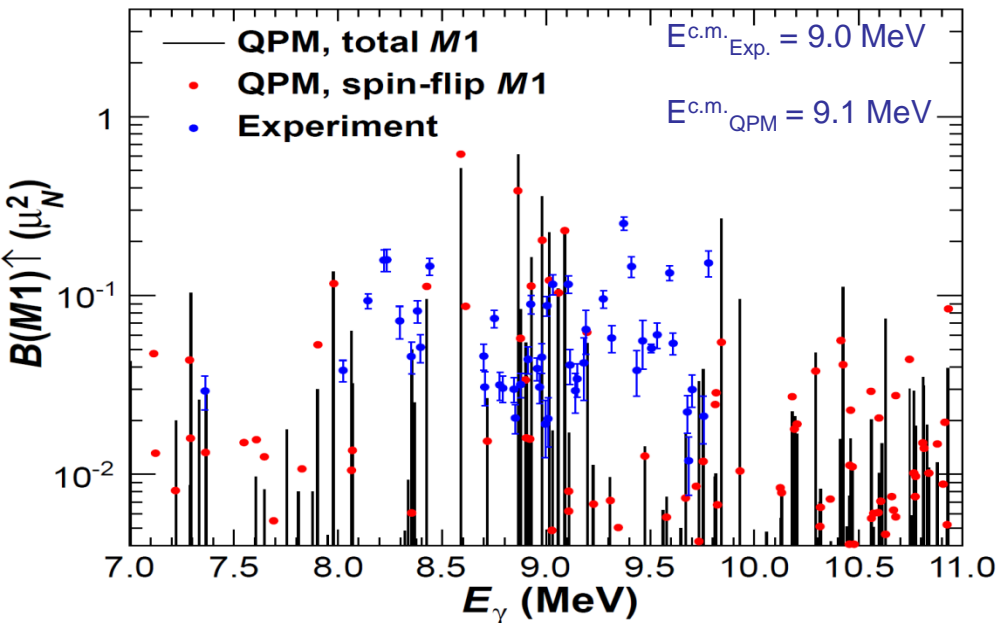
- verified for the first time that the PDR is predominantly E1 in nature.
- The fine structure of the M1 spin-flip mode is explained.
- Low-energy E1 strength fragmentation: Interplay between PDR, multi-phonon excitations and core polarization related to the GDR

TABLE I. $E1$ and $M1$ parameters deduced in ^{138}Ba below the neutron-separation energy in comparison with the QPM calculations.

	$\langle E_{E1} \rangle$ [MeV]	$\Sigma B(E1) \uparrow$ [$e^2 \text{fm}^2$]	$\langle E_{M1} \rangle$ [MeV]	$\Sigma B(M1) \uparrow$ [μ_N^2]	EWSR_{E1} [%]
Experimental	6.7	0.96(18)	6.9	2.5(6)	1.3
QPM	7.3	1.22	6.9 ^a	2.9 ^a	1.8

^a4.1 MeV $< E^* < 8.5$ MeV.

Fine Structure Measurements of the Giant M1 Resonance in ^{90}Zr at $\text{HI}\gamma\text{S}$



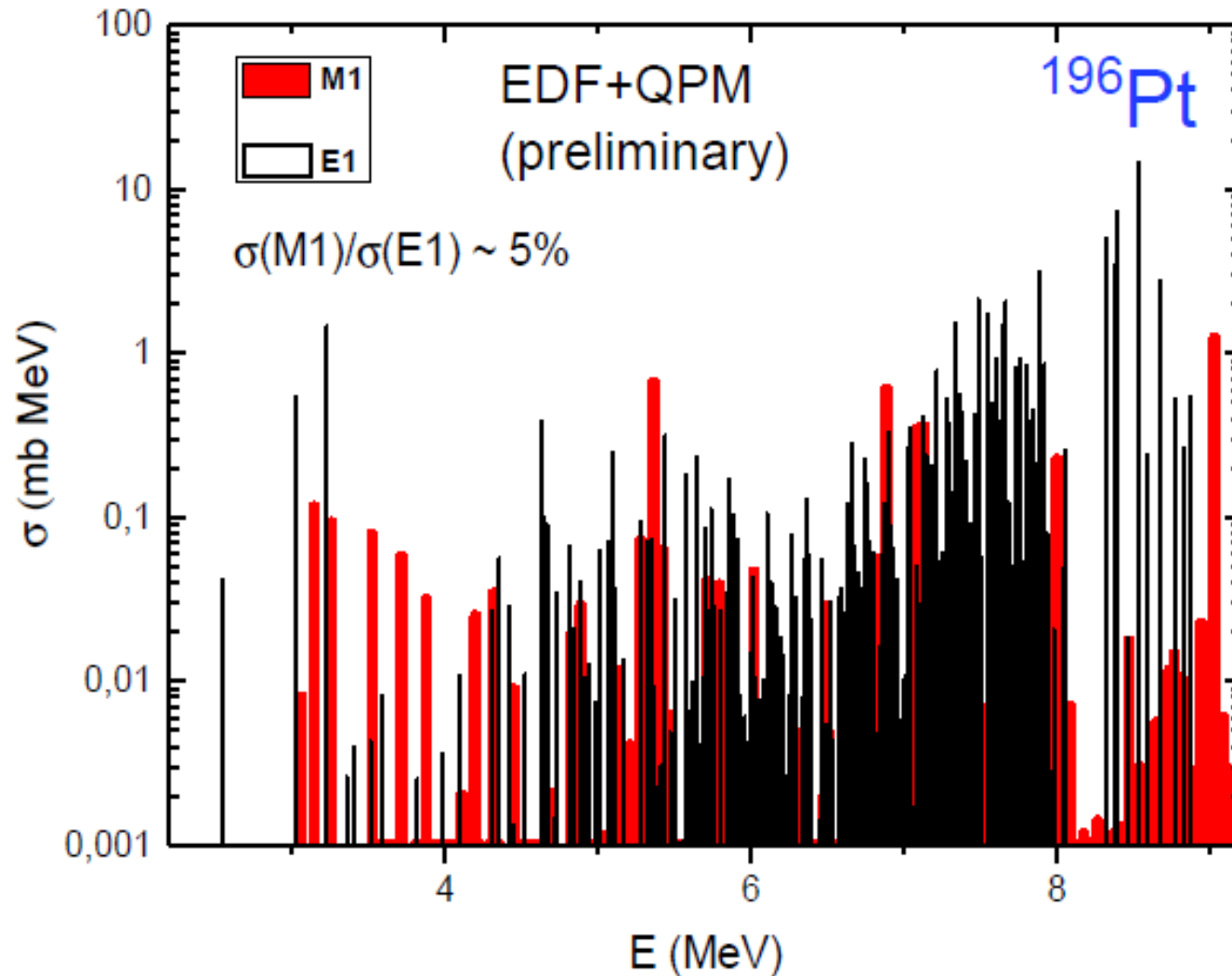
G. Rusev, N. Tsoneva, F. Dönau, S. Frauendorf, R. Schwengner, A. P. Tonchev, A. S. Adekola, S. L. Hammond, J. H. Kelley, E. Kwan, H. Lenske, W. Tornow, and A. Wagner, *Phys. Rev. Lett.* **110**, 022503 (2013).

- Explaining the fragmentation pattern and the dynamics of the 'quenching'.
- Multi-particle multi-hole effects increase strongly the orbital part of the magnetic moment.
- QPM prediction of M1 strength at and above the neutron threshold.

**Polarized photon scattering off ^{52}Cr :
Determining the parity of J=1 states.**

Krishichayan, M. Bhike, W. Tornow, G. Rusev, A. P. Tonchev, N. Tsoneva, and H. Lenske, *PRC* **91**, 044328 (2015).

Fine structure of the low-energy dipole strength in the γ -soft ^{196}Pt

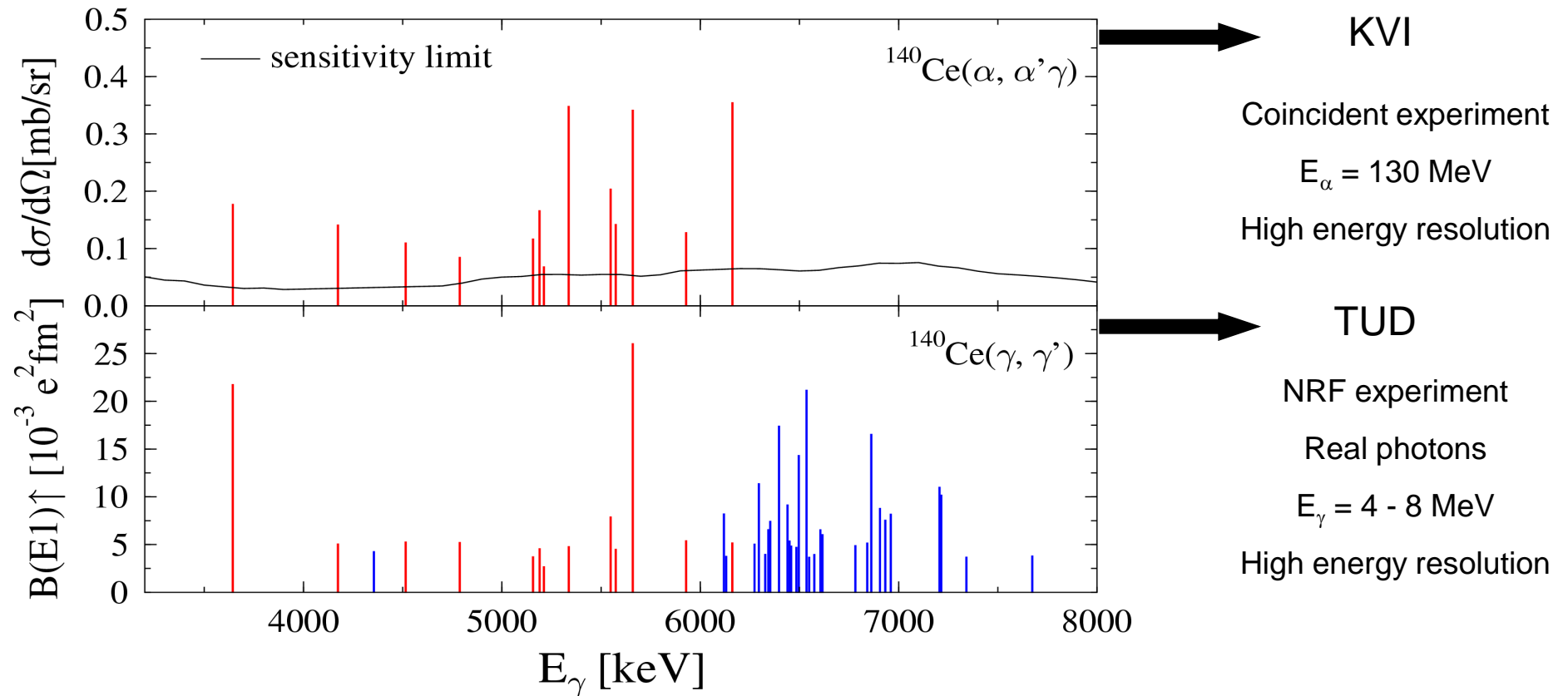


Research Proposal: **approved**

Physical Sciences Research
Program 2018, SSC Facility
iThemba Laboratory for
Accelerator Based Sciences,
Republic of South Africa

Distinguishment of the Pygmy Dipole Resonance from Other Modes

Complementary $(\alpha, \alpha'\gamma)$ and (γ, γ') experiments : PDR splits to two parts with different structure

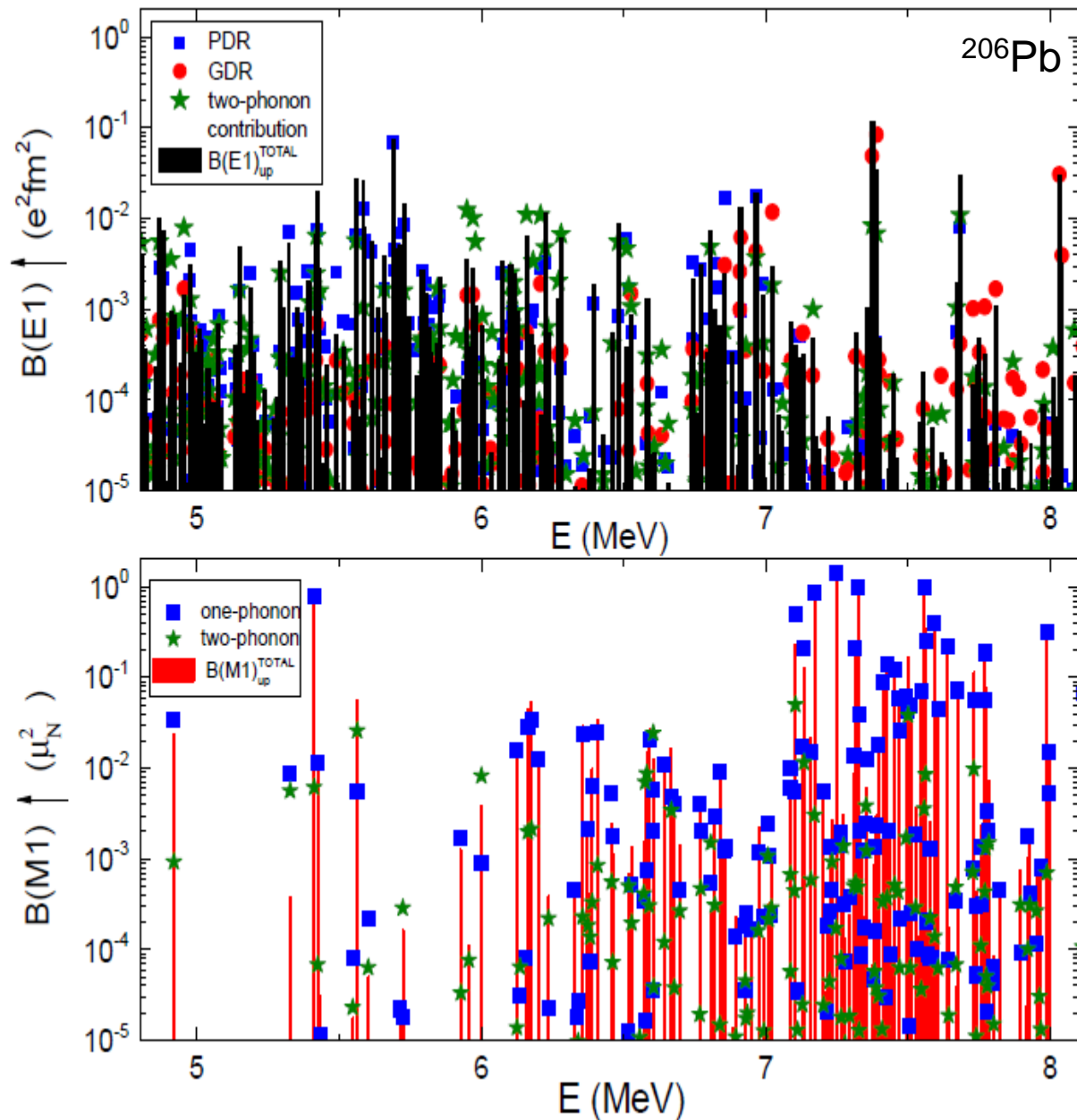


D. Savran et al. PRL, **97** 172505 (2006)

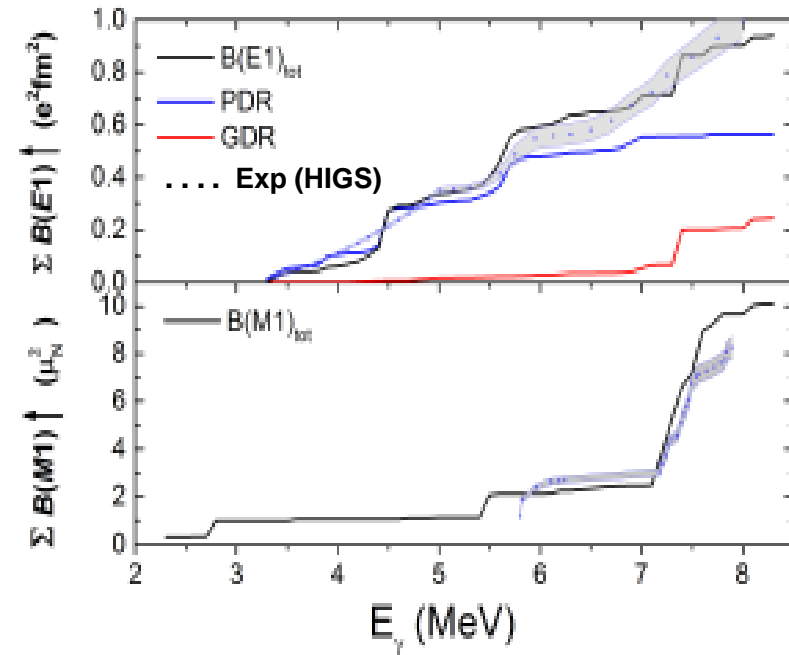
D. Savran et al. NIMA **564** 267 (2006)

J. Endres et al. PRL **105**, 212503 (2010)

GiEDF+QPM: Separation of the PDR from the low-energy GDR in ^{206}Pb

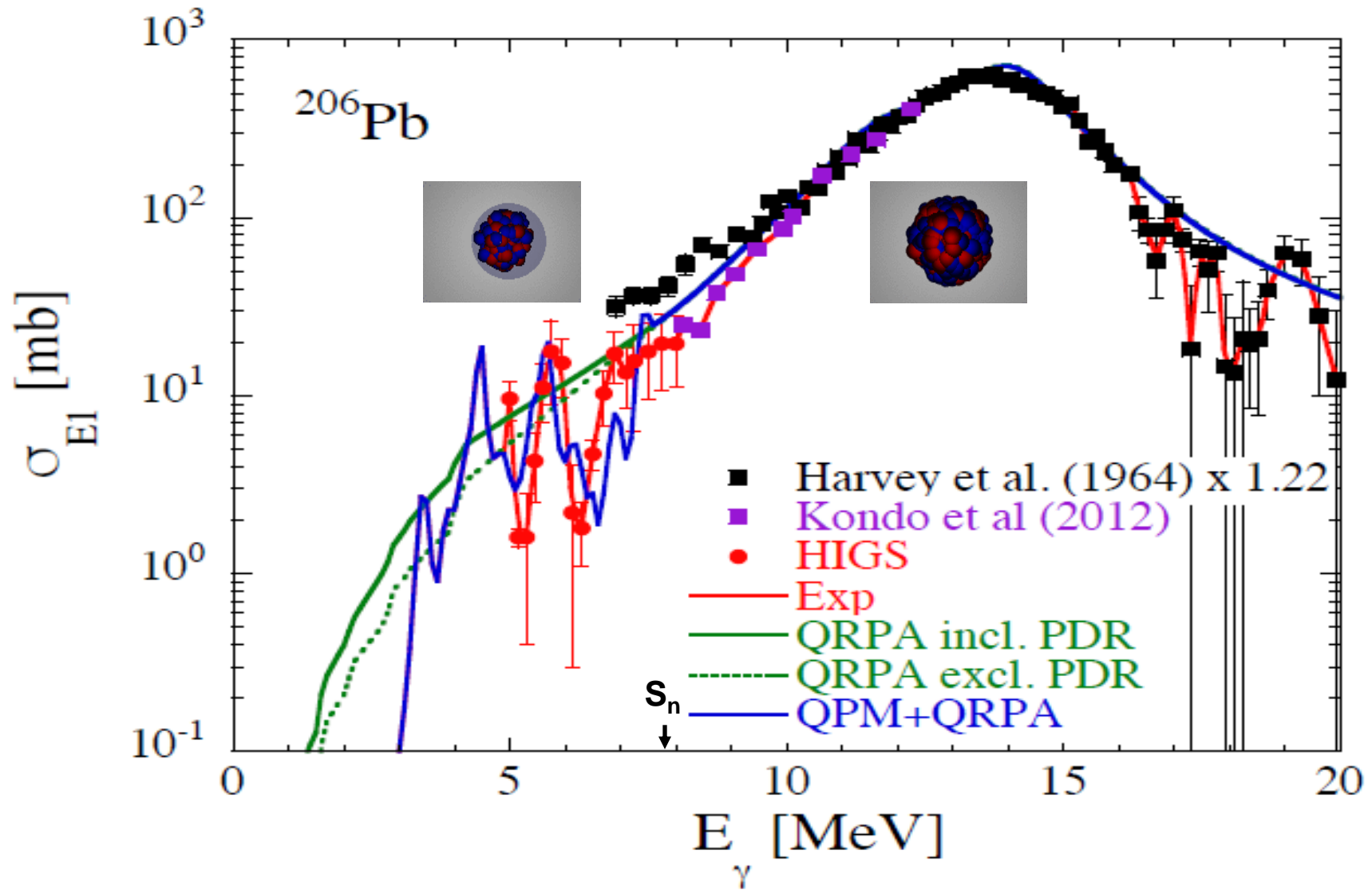


A.P.Tonchev, N.Tsoneva, C.Bhatia, C.W.Arnold, S.Goriely, S.L.Hammond, J.H.Kelley, E.Kwan, H.Lenske, J.Piekarewicz, R.Raut, G.Rusev, T.Shizuma, W.Tornow, *Phys. Lett. B*, **773**, p. 20-25, 10 October 2017;



Electric Dipole Response in ^{206}Pb

A. Tonchev, N. Tsoneva, S. Goriely, J. Piekarewicz, H. Lenske et al., PRL submitted.



New evidences on the existence of pygmy quadrupole resonance

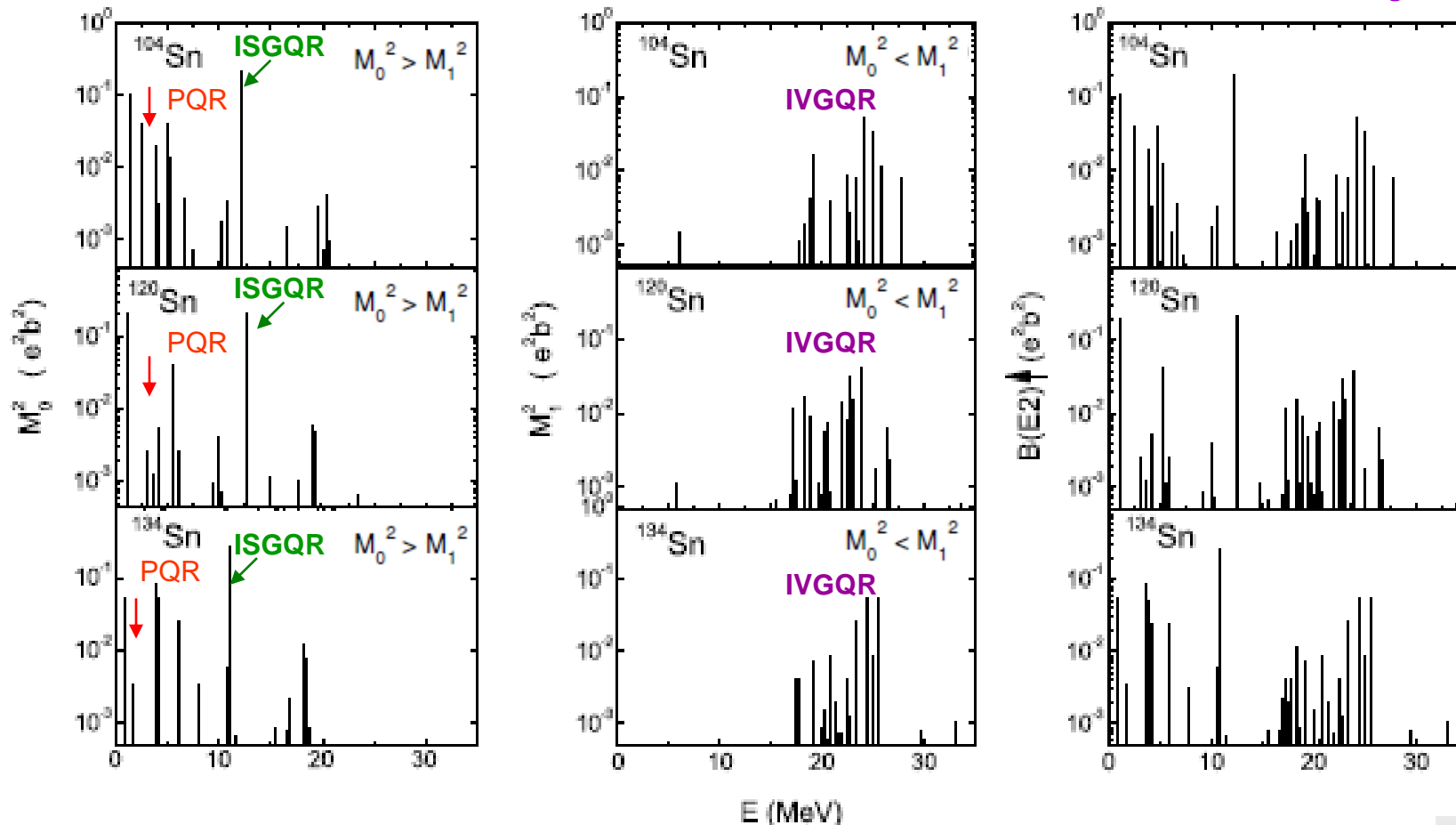
Theoretical Prediction of Pygmy Quadrupole Resonance

N. Tsoneva, H. Lenske, Phys. Lett. B 695 (2011) 174

QRPA Isoscalar and Isovector Quadrupole States up to 35 MeV in Sn Isotopes

$$M_1(2^+) \approx \langle 2^+ | \sum_k^A r_k^2 Y_{2\mu}(\Omega_k) (\tau_3)^I | \text{g.s.} \rangle$$

PQR – pygmy quadrupole resonance
 ISGQR – isoscalar giant quadrupole resonance
 IVGQR – isovector giant quadrupole resonance



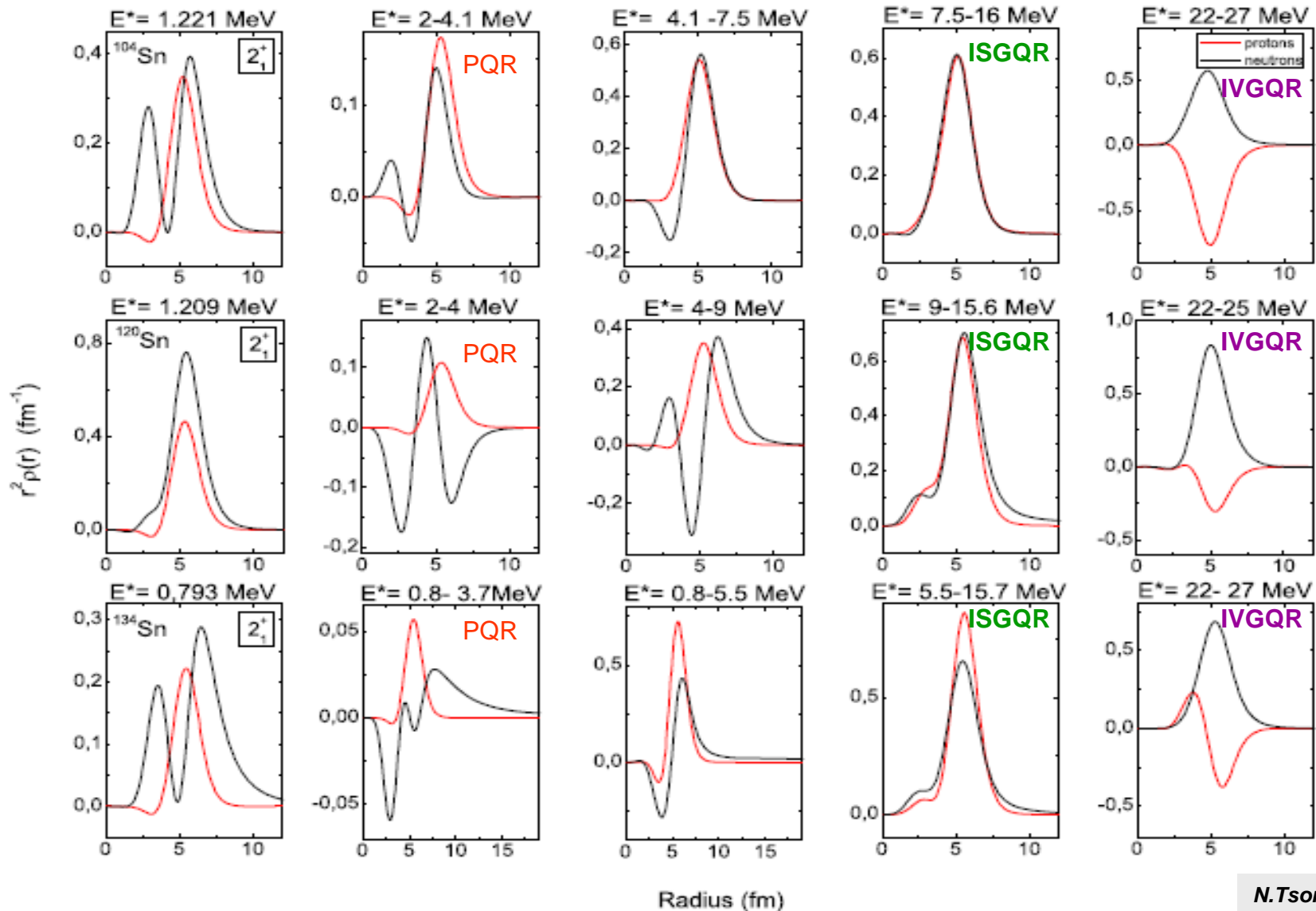
Quadrupole Transition Densities in Sn Isotopes

A Signature of Pygmy Quadrupole Resonance

PQR – pygmy quadrupole resonance

ISGQR – isoscalar giant quadrupole resonance

IVGQR – isovector giant quadrupole resonance



QRPA Calculations of Low-Energy 2^+ States Related to PQR

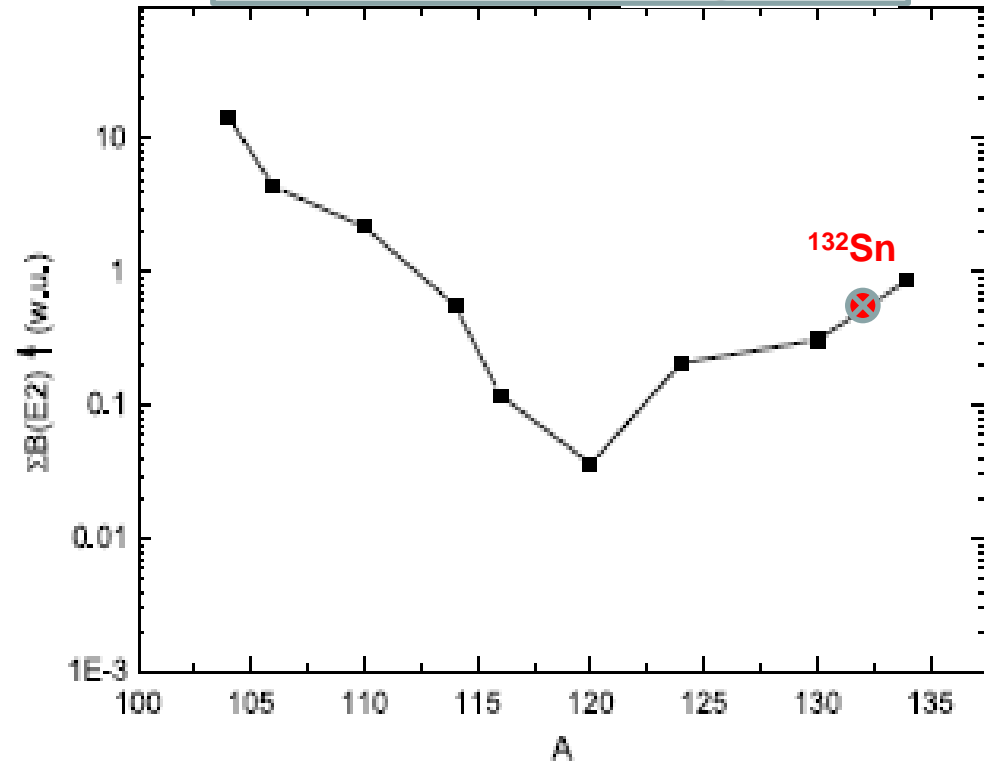
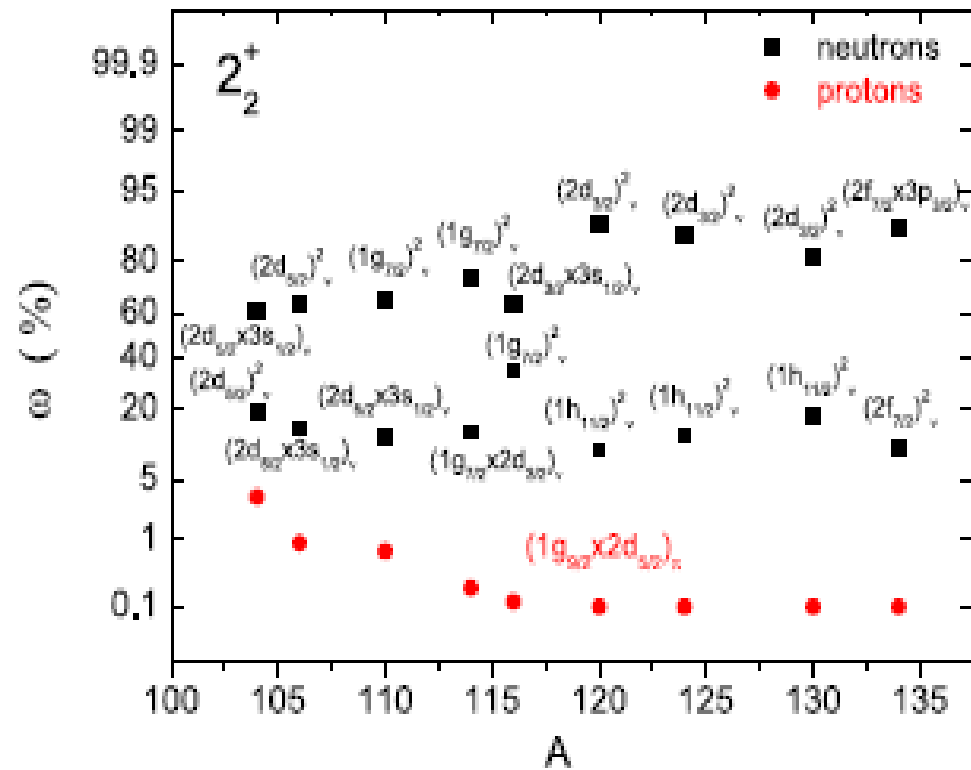
N. Tsoneva and H. Lenske, Phys. Lett. B 695, 174 (2011)

Pygmy quadrupole resonance is a genuine mode!

$B(M1)$ to the first symmetric $2_1^+ \sim 10^{-2} \mu_N^2$ and $B(E2) \sim 1/\epsilon_b^2$

$B(E2)$ increases with the neutron number

QRPA results for $B(E2) \uparrow$ transition probabilities summed over the $[2_2^+]_{QRPA}$ and $[2_3^+]_{QRPA}$ states



A change in the E_b of the $g_{9/2}$ which is the proton Fermi level in Sn isotopes when approaching the $N=Z$ limit.

$E_b = -12.88$ MeV in ^{134}Sn ; $E_b = -7.20$ MeV in ^{104}Sn

The PQR mode-Quadrupole Oscillations of the Neutron Skin

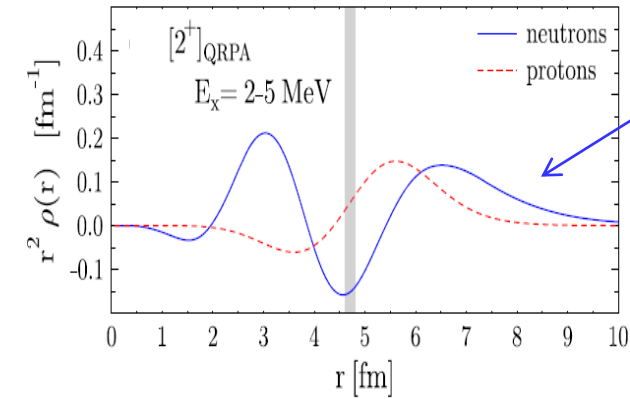
PQR ...theoretically predicted in 2011

N. Tsoneva, H. Lenske, Phys. Lett. B695 174 (2011).

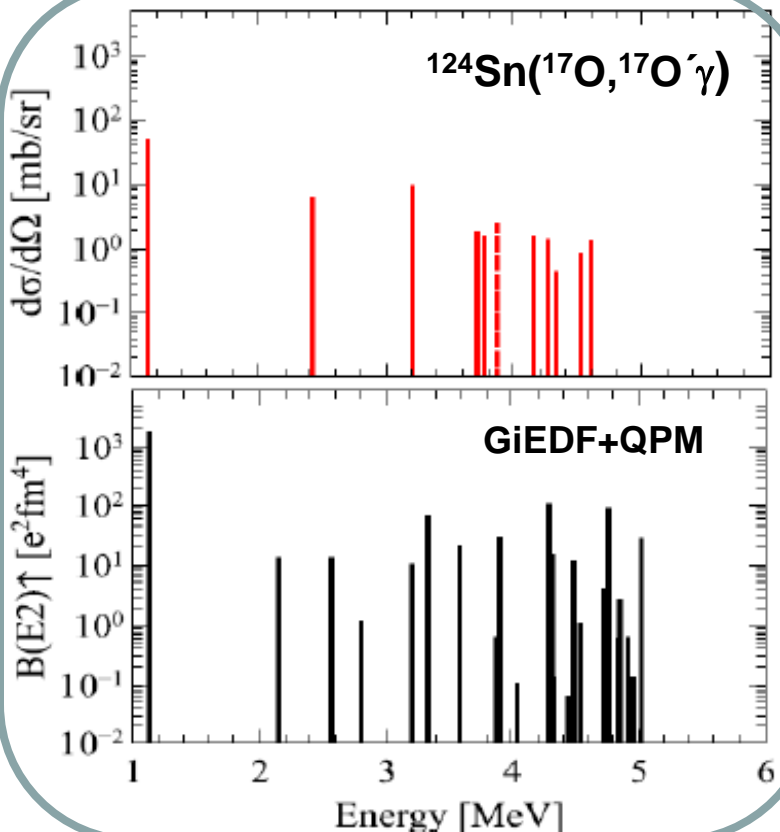
...experimentally confirmed in 2015/2016

M. Spieker, NT et al., Phys. Lett. B752, 102 (2016).

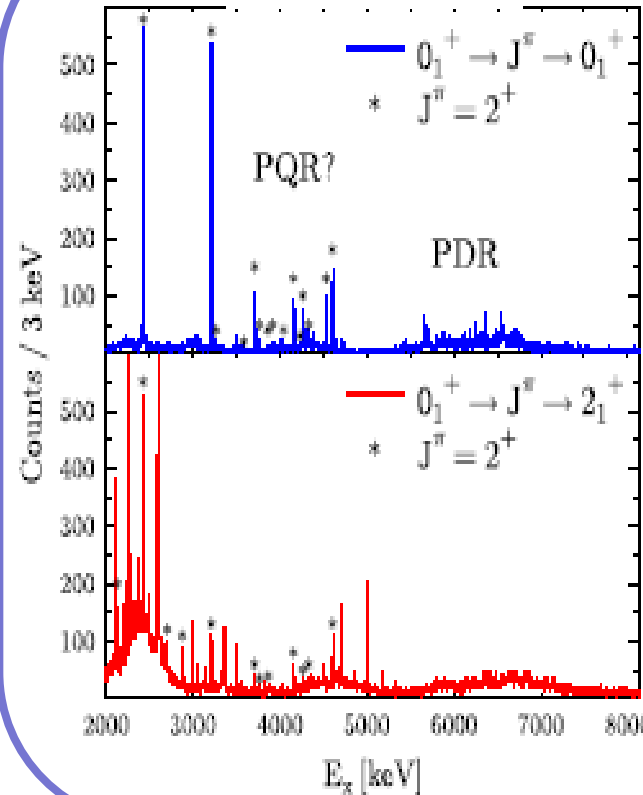
quadrupole neutron skin oscillations in ^{124}Sn



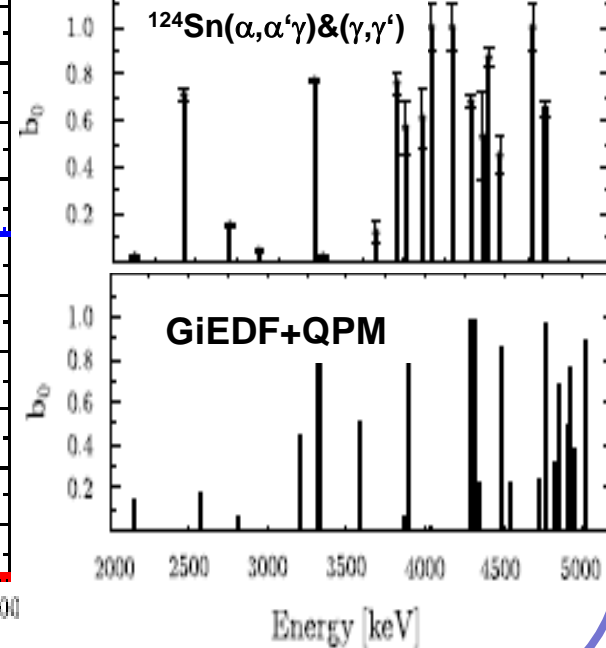
L. Pellegri, A. Bracco, NT et al., PRC 92, 014330 (2015).



$^{124}\text{Sn}(\alpha, \alpha'\gamma)$



γ -decay branching ratios

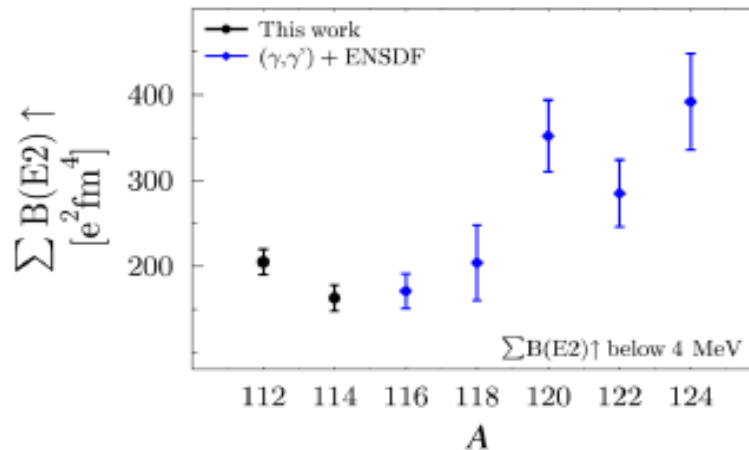


N.Tsoneva, Hirscheegg18

First Systematic Data on PQR in Tin Isotopes

Cologne (p,p'γ) DSA data

DPG spring meeting, 2017



From the γ-decay behavior

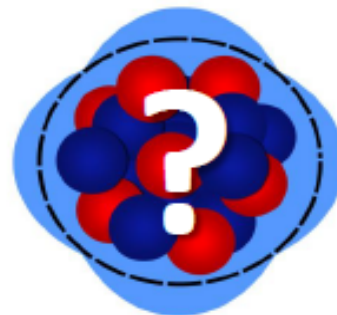
- Large b_0 values are observed in all stable even-even Sn isotopes
- At least two different modes are present!

Both observations are consistent with earlier QPM predictions for the PQR!

From the summed E2 strength

- Strength increases with increasing neutron number
- ... strength seems to be increasing when passing ^{114}Sn
- Is ^{120}Sn a special nucleus?

... shell-structure changes?

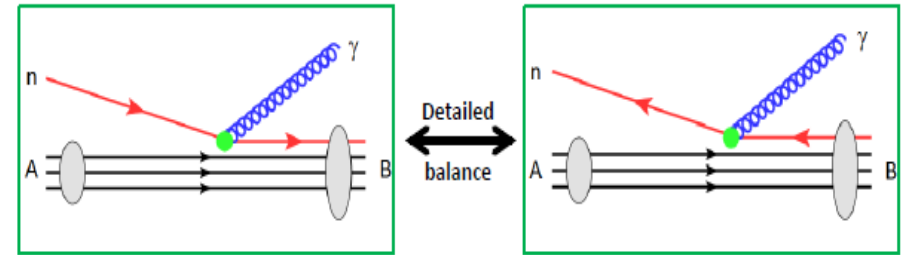


M. Spieker – The origin of low-lying collective $E1$ and $E2$ strength in atomic nuclei

NUCLEON CAPTURE CROSS SECTIONS

Nuclear Structure and Astrophysical (n,γ) Capture Cross Sections

- Compound Nucleus Capture: **Hauser-Feshbach Theory** → Statistical Approach at High level densities
- Direct Capture: Population of Identifiable Nuclear States → Microscopic Reaction Theory
- Investigations by Detailed Balance: $(n,\gamma) \leftrightarrow (\gamma,n)$

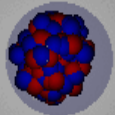


Total Capture Cross Section: Incoherent Superposition of Electric (E) and Magnetic (M) Multipoles

$$\sigma(E_{c.m.}) = \sum_{LSJ_i J_f \ell} \frac{8\pi}{2J_f + 1} \frac{\alpha}{v_{rel}} \frac{q}{1 + q/m_f} \left[\left| E_\ell^{LSJ_i J_f}(q) \right|^2 + \left| M_\ell^{LSJ_i J_f}(q) \right|^2 \right]$$

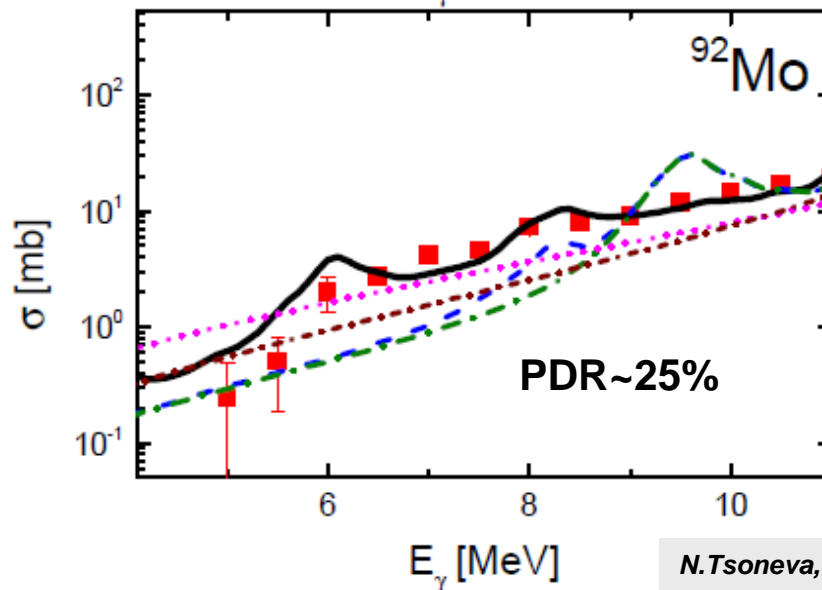
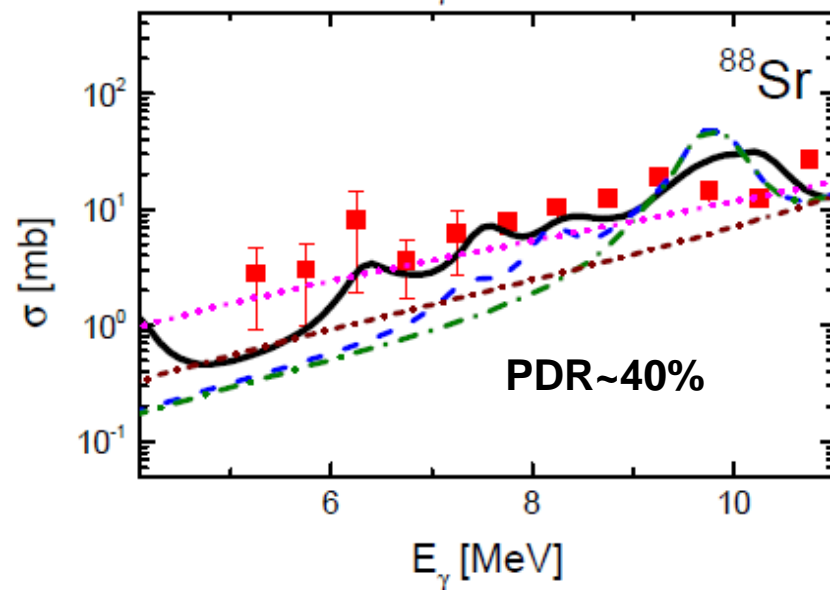
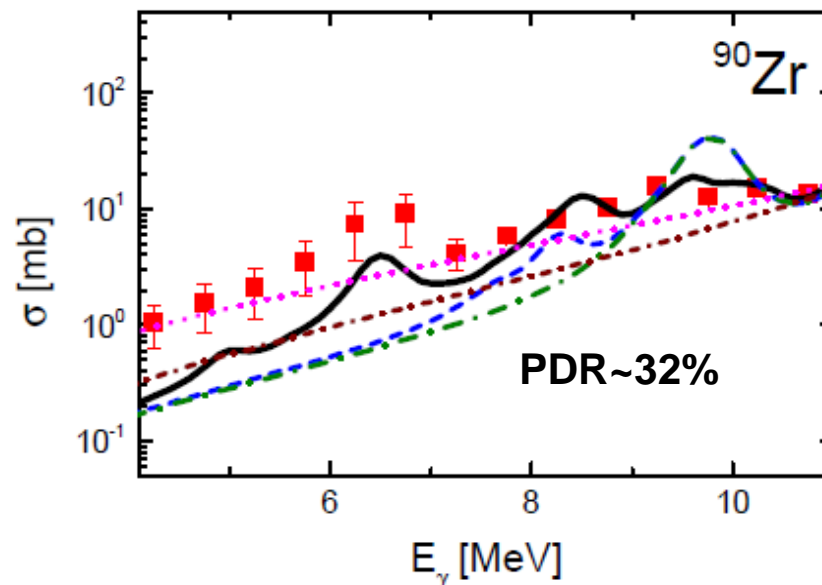
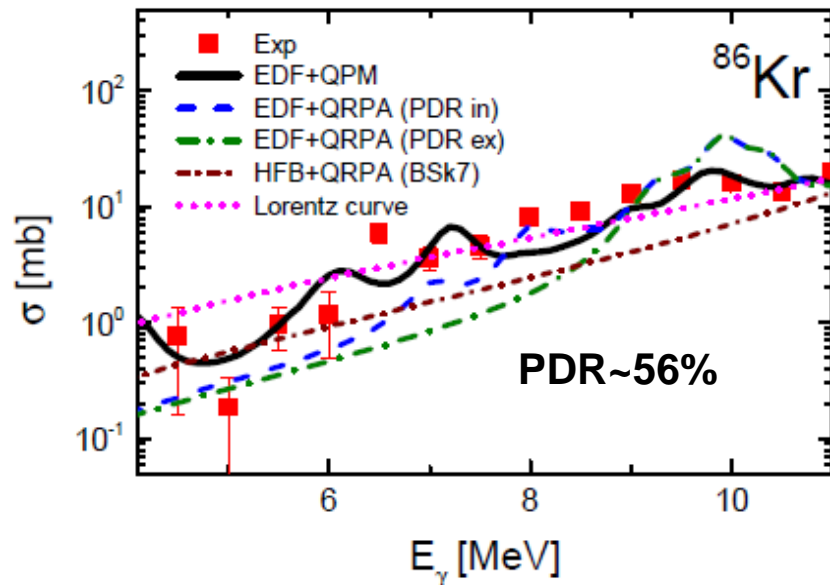
$$E_\ell^{LSJ_i J_f}(q) \xrightarrow{q \rightarrow 0} \frac{q^L}{(2L + 1)!!} \sqrt{B_{J_i J_f}(EL)} \delta_{S0} \text{ etc.}$$

DYNAMICS OF LOW ENERGY NUCLEAR EXCITATIONS IN N=50 ISOTONES



Exp: R. Schwengner et al., First systematic photon-scattering experiments in **N=50 nuclei**: using bremsstrahlung produced with electron beams at the linear accelerator ELBE, Rossendorf and quasi-monoenergetic γ - rays at HI γ S facility, Duke university.

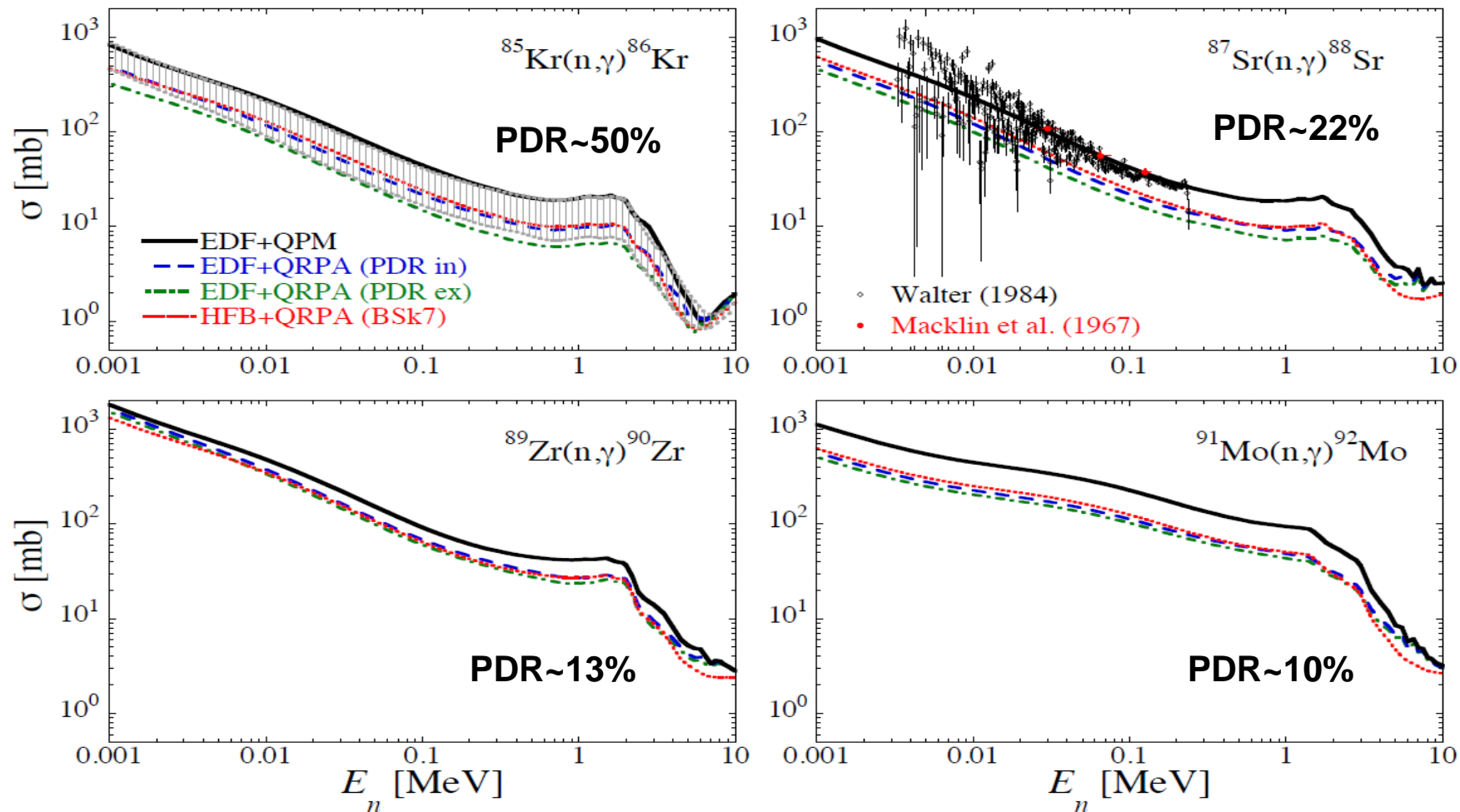
N. Tsoneva, S. Goriely, H. Lenske, R. Schwengner, Phys. Rev. C91, 044318 (2015).



NEUTRON CAPTURE CROSS SECTIONS

of the $^{85}\text{Kr}(n,\gamma)^{86}\text{Kr}$, $^{87}\text{Sr}(n,\gamma)^{88}\text{Sr}$, $^{89}\text{Zr}(n,\gamma)^{90}\text{Zr}$ and $^{91}\text{Mo}(n,\gamma)^{92}\text{Mo}$ reactions calculated with TALYS using EDF+QRPA, HFB+QRPA and EDF+three-phonon QPM

N. Tsoneva, S. Goriely, H. Lenske, R. Schwengner, Phys. Rev. C91, 044318 (2015).



$^{85}\text{Kr}(n,\gamma)^{86}\text{Kr}$ cross sections, the hashed area corresponds to the cross section determined with the experimental strength as derived in R. Raut et al., Phys. Rev. Lett. 111, 112501 (2013).

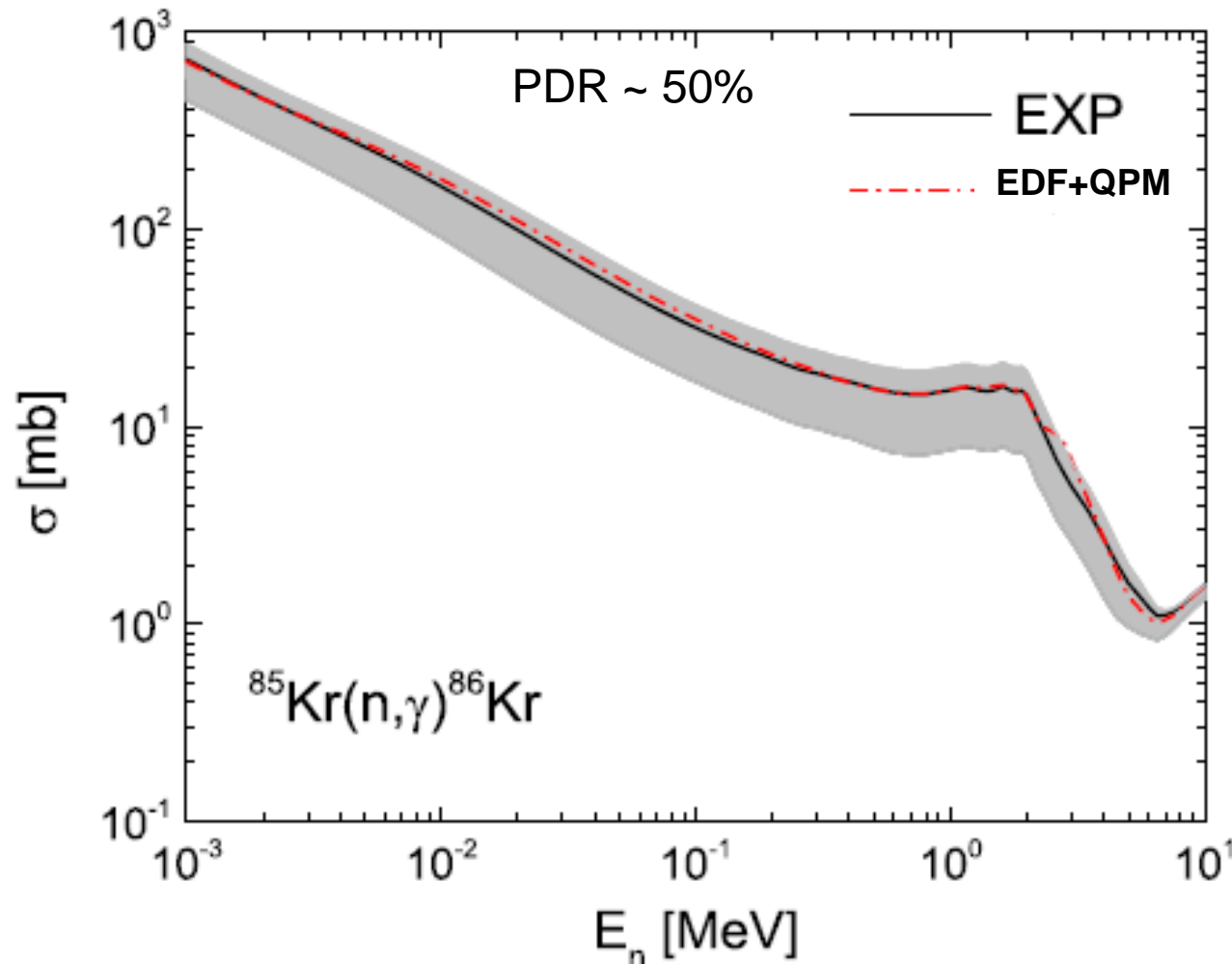
$^{87}\text{Sr}(n,\gamma)^{88}\text{Sr}$, TALYS cross sections are compared with experimental data G. Walter, Kernforschungszentrum Karlsruhe Reports No.3706 (1984); R.L. Macklin and J.H. Gibbons, Phys. Rev. 159, 1007 (1967).

Total cross section of $^{85}\text{Kr}(n,\gamma)^{86}\text{Kr}$ reaction

R. Raut, A. P. Tonchev, G. Rusev, W. Tornow, C. Iliadis, M. Lugaro, J. Buntain, S. Goriely, J. H. Kelley, R. Schwengner, A. Banu, and N. Tsoneva, *Phys. Rev. Lett.* 111, 112501 (2013).

A way to investigate ^{85}Kr branching point and the s-process:

^{85}Kr ($t \sim 10.57$ Y) ground state is a branching point and thus a bridge for the production of ^{86}Kr at low neutron densities.

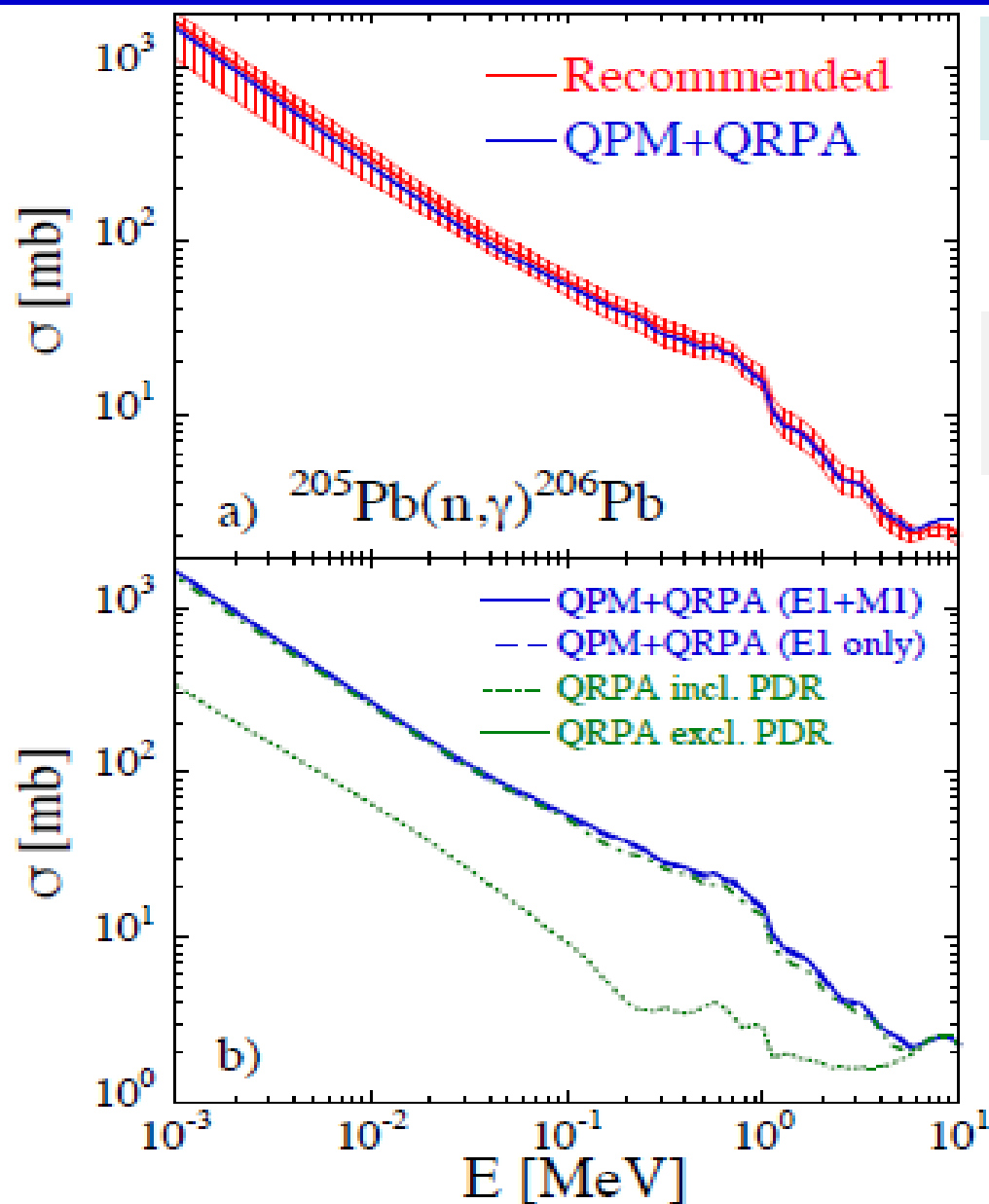


- At stellar temperature of $kT = 30$ keV we obtain **MACS of $83(+23,-38)$ mb** which is about 50% higher than the value of Z.Y. Bao *et al.*, *At. Data Nucl. Data Tables* 76, 70 (2000).

- The new **MACS** value explains the higher $^{86}\text{Kr}:^{82}\text{Kr}$ ratios measured in large star dust SiC grains.

- The experimental **uncertainty** is improved by a factor of ~ 3 to 50%.

Nuclear Pygmy Modes as Doorways to Nucleosynthesis: Destruction of the s-process ^{205}Pb nuclide by n-capture via the PDR ?



A.P.Tonchev, N.Tsoneva, C.Bhatia, C.W.Arnold, S.Goriely, S.L.Hammond, J.H.Kelley, E.Kwan, H.Lenske, J.Piekarewicz, R.Raut, G.Rusev, T.Shizuma and W.Tornow, *Phys. Lett. B*, **773**, p. 20-25, 10 October 2017.

• At stellar temperature of $kT = 30$ keV
MACS of $130(+25, -25)$ mb

⇒ The combined PDR plus core polarization contribution is crucial !

⇒ M1 contribution small, less than 5%.

Neutron skin thickness

$$\delta r = \sqrt{\langle r_n^2 \rangle} - \sqrt{\langle r_p^2 \rangle}$$

Symmetry Energy

$$S(\rho) \equiv \frac{1}{2} \left(\frac{\partial^2 \mathcal{E}(\rho, \delta)}{\partial \delta^2} \right)_{\delta=0} \approx \mathcal{E}(\rho, \delta = 1) - \mathcal{E}(\rho, \delta = 0) ; \delta \equiv (N - Z)/A$$

Density Dependence of the Symmetry Energy

$$S(\rho) = J + Lx + \frac{1}{2} K_{\text{sym}} x^2 + \dots \quad \text{with} \quad x \equiv \frac{\rho - \rho_0}{3\rho_0}$$

Nuclear Dipole Polarizability and Photoabsorption

$$\alpha_D = \frac{1}{2\pi^2\alpha} \int_0^\infty \frac{\sigma_\gamma(E)}{E^2} dE = \frac{\sigma_{-2}}{2\pi^2\alpha} = 6.942 \sigma_{-2}$$

Summary of a few moments of the photoabsorption cross section of ^{206}Pb and ^{208}Pb

Nucleus	E_{max} (MeV)	$60\text{NZ}/A$ (mb MeV)	σ_0 (mb MeV)	σ_{-1} (mb)	σ_{-2} (mb/MeV)	Ref.
^{206}Pb	26	2962	3544 ± 294	241 ± 17	18 ± 1	Present+[46,49] [ENDF]
			3437	240	18	
^{208}Pb	25	2980	3981 ± 331	287 ± 18	20 ± 1	[50] [ENDF]
			3404	239	18	

Photoabsorption cross sections & moments and Nuclear Matter

Model	σ_0 (mb MeV)	σ_{-1} (mb)	σ_{-2} (mb/MeV)	R_{skin} (fm)	J (MeV)	L (MeV)	K_{sym} (MeV)
RMF012	3653	237	17	0.12 [0.13]	29.8	48.3	98.7
FSUGarnet	3689	243	18	0.15 [0.16]	30.9	51.0	59.5
FSUGold	3638	251	19	0.19 [0.21]	32.6	60.5	-51.3
RMF028	3711	265	21	0.26 [0.29]	37.5	112.6	26.2
RMF032	3812	262	21	0.30 [0.32]	41.3	125.6	28.6
GiEDF	3060	230	18	0.15 [0.16]	33.4	53.9	-188.4

Summary and Outlook

- New low-energy modes: PDR, PQR ...
- GiEDF+QPM: an extended DFT plus multi-phonon approach to nuclear spectra and astrophysics
- Subthreshold pygmy modes, multi-phonon excitations, GDR and capture cross sections
- Correlations: PDR \leftrightarrow skin thickness \leftrightarrow polarizability \leftrightarrow slope $L \leftrightarrow \dots?$
- Predictions of s- and r-process nucleosynthesis rates

Thank you!

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