

# The role of quark matter in astrophysics

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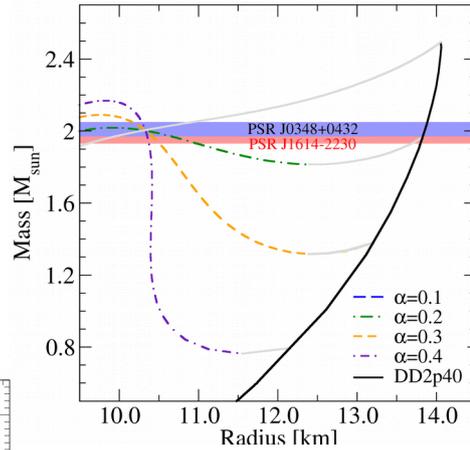
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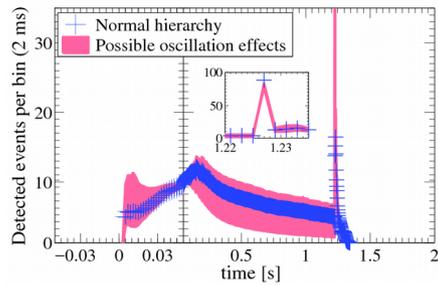
# Outline

## Possible signals of 1<sup>st</sup> – order phase transitions.

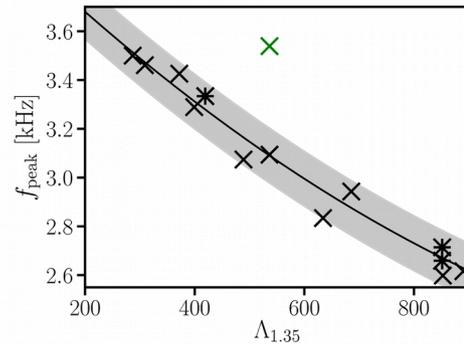
### Neutron star configurations



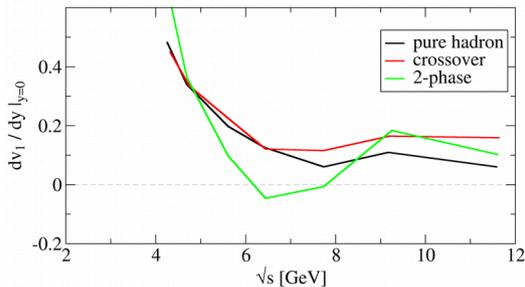
### Supernova explosions of 50Ms stars



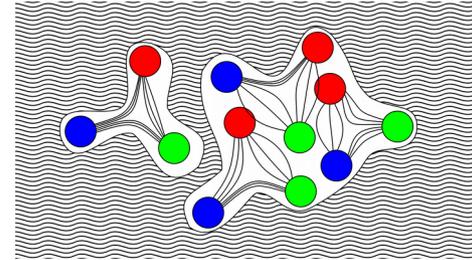
### Binary neutron star mergers



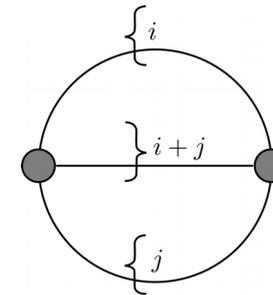
### Heavy-Ion Collisions



## Unified description of the equation of state.

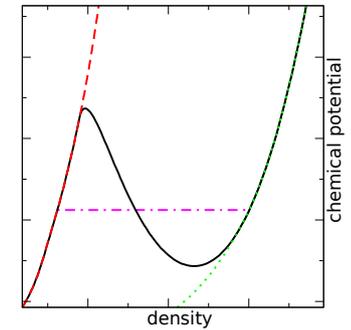


### construction of phase transitions

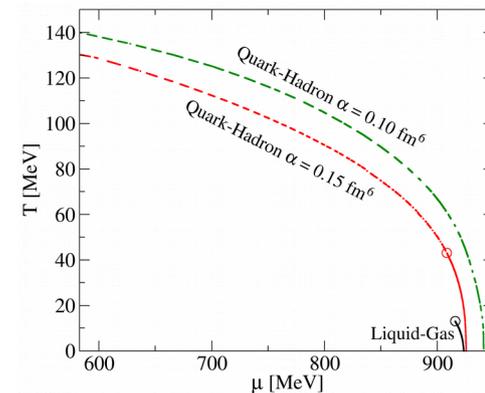


### current status

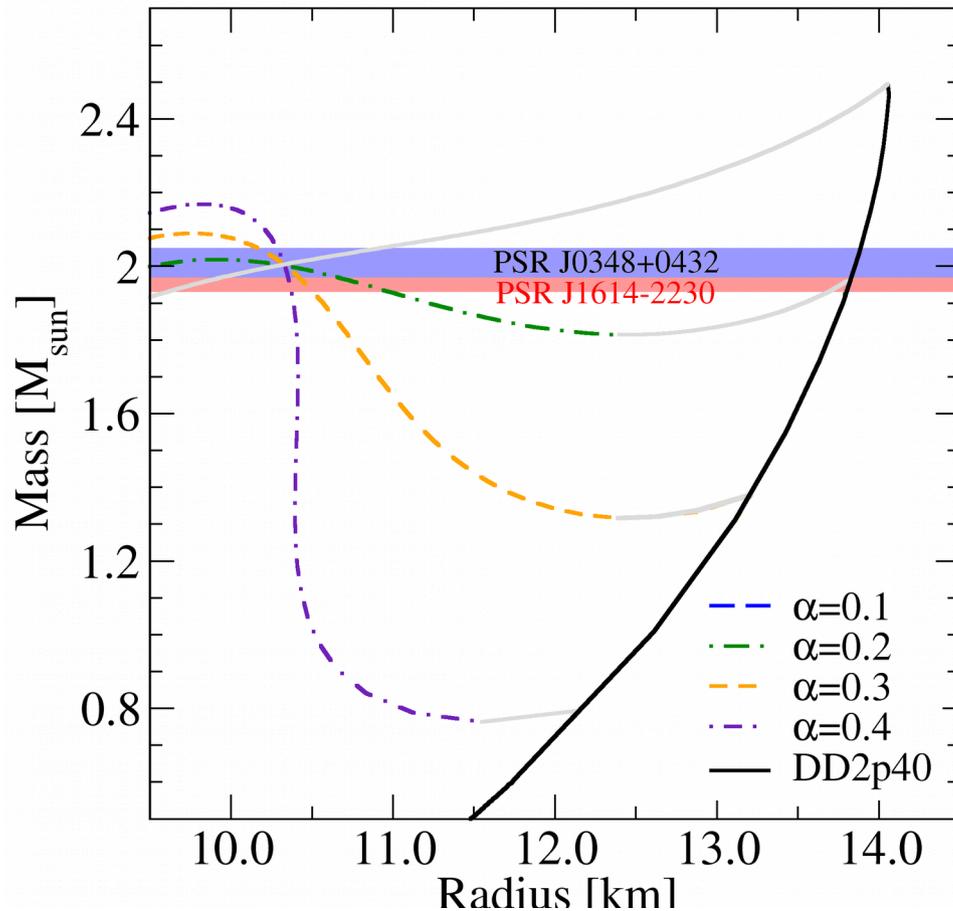
### density functional theory



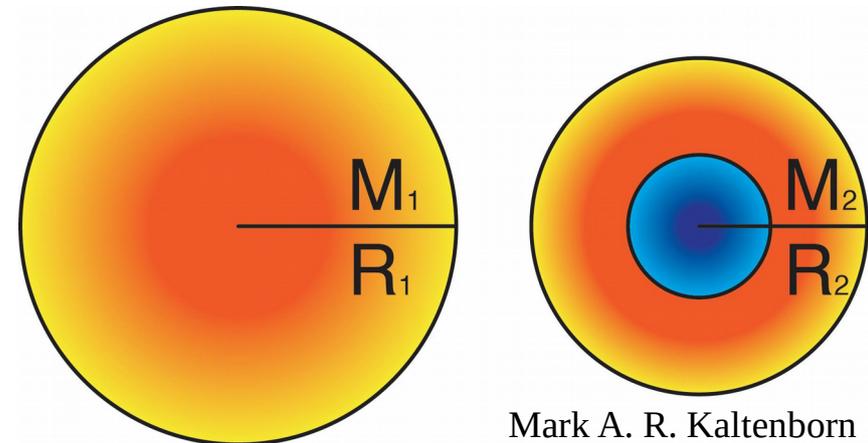
### Phi-derivable formalism



# 1<sup>st</sup> order PT – Neutron stars



- Star configurations with same masses, but different radii

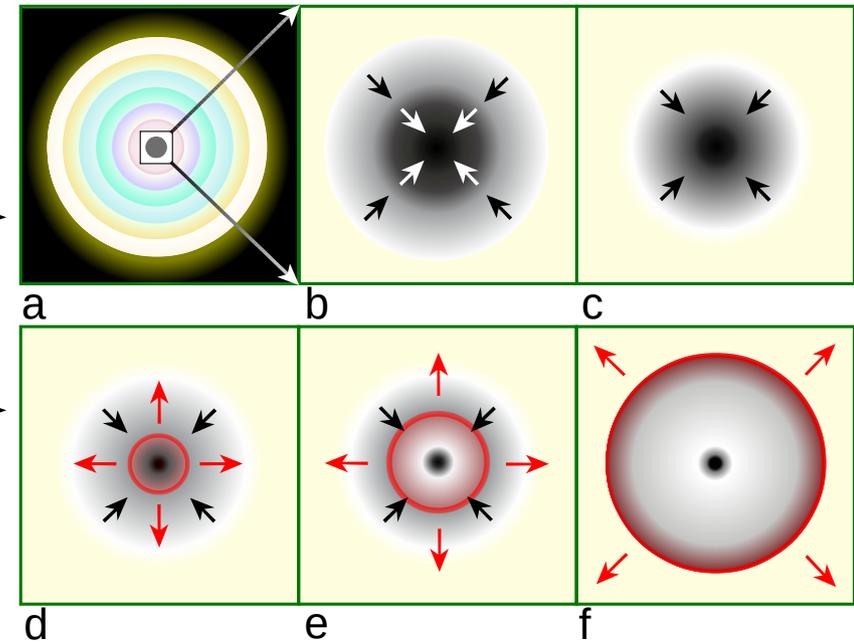


- **New class of EOS, that features high mass twins**
- NASA NICER mission: radii measurements  $\sim 0.5$  km
- Existence of twins implies 1<sup>st</sup> order phase-transition and hence a critical point

# Core-collapse supernova explosions

## Massive stars ( $\sim 8 M_{\odot}$ )

- Sequential burning stages of light elements
- Onion structure with iron core ( $1.4 M_{\odot}$ )
- Gravitational collapse
- Bounce shock through stiffness of EOS
- Mainly neutrino heating drives shock wave

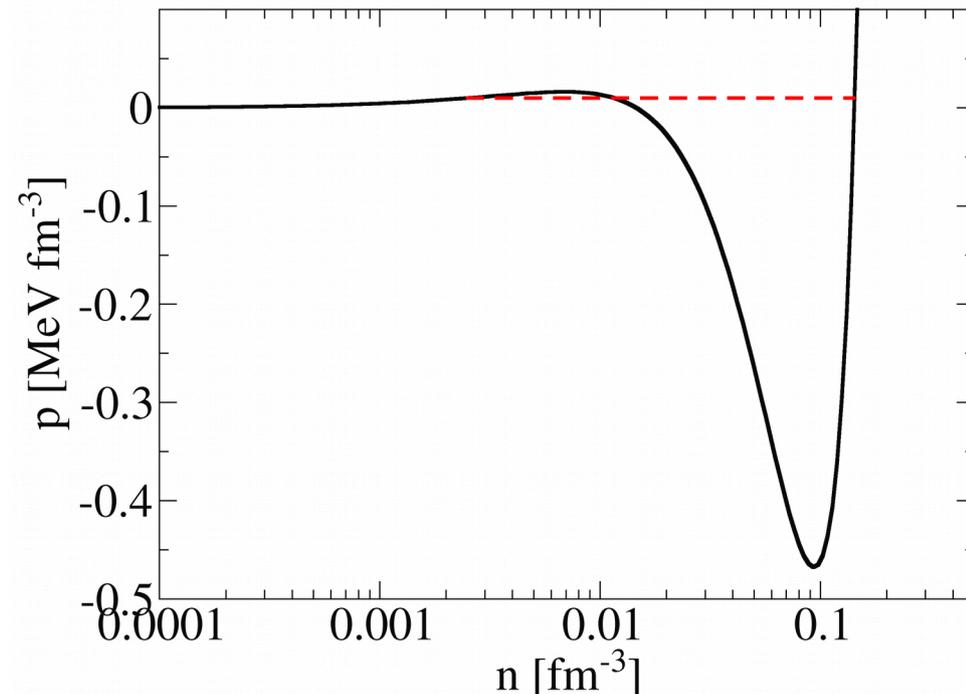


## Super-massive stars ( $\sim 50 M_{\odot}$ )

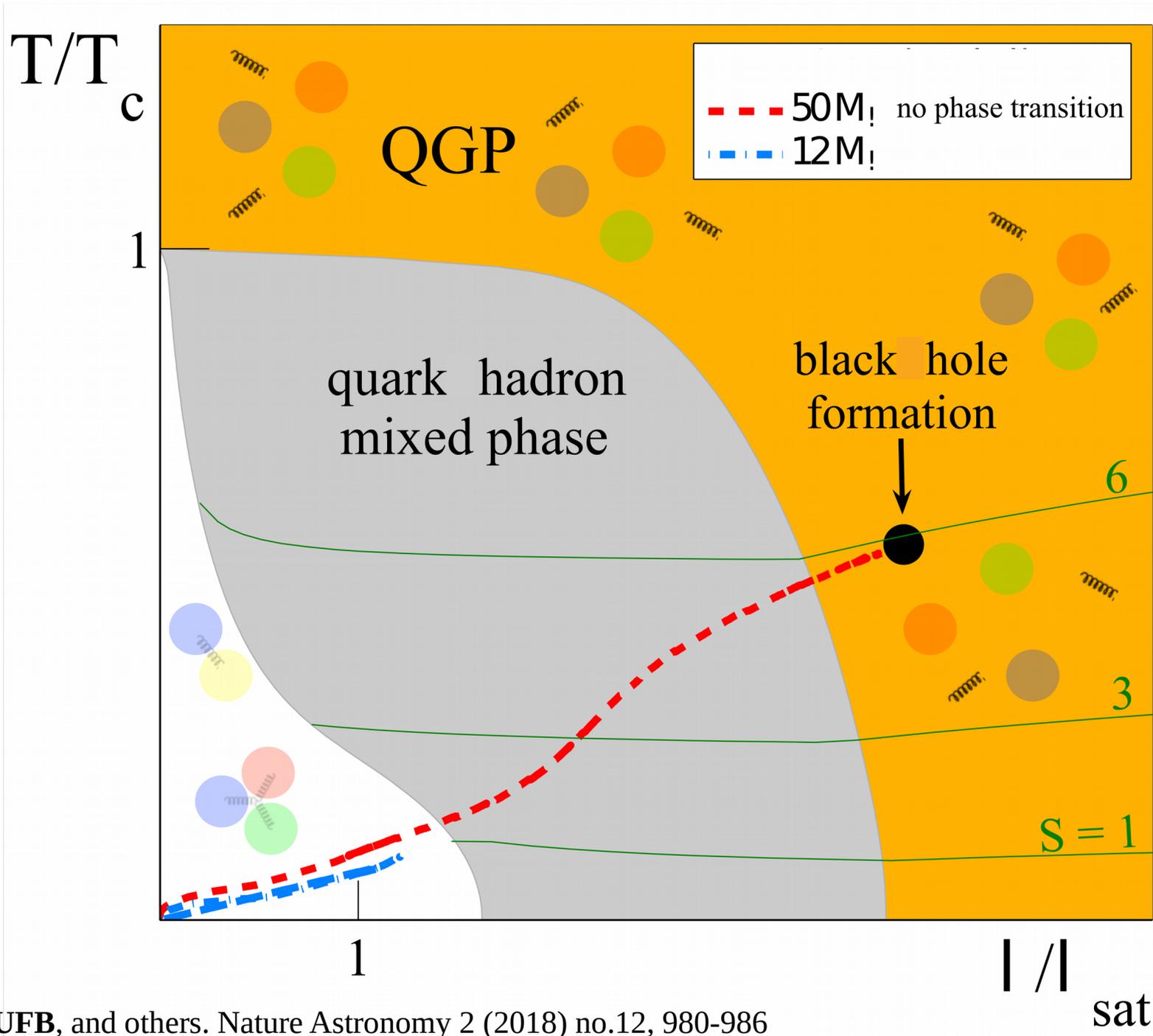
- Can not be explained by canonical models
- Have observational evidences
- One of biggest uncertainties:

*high density EOS*

*how about a quark-hadron PT?*

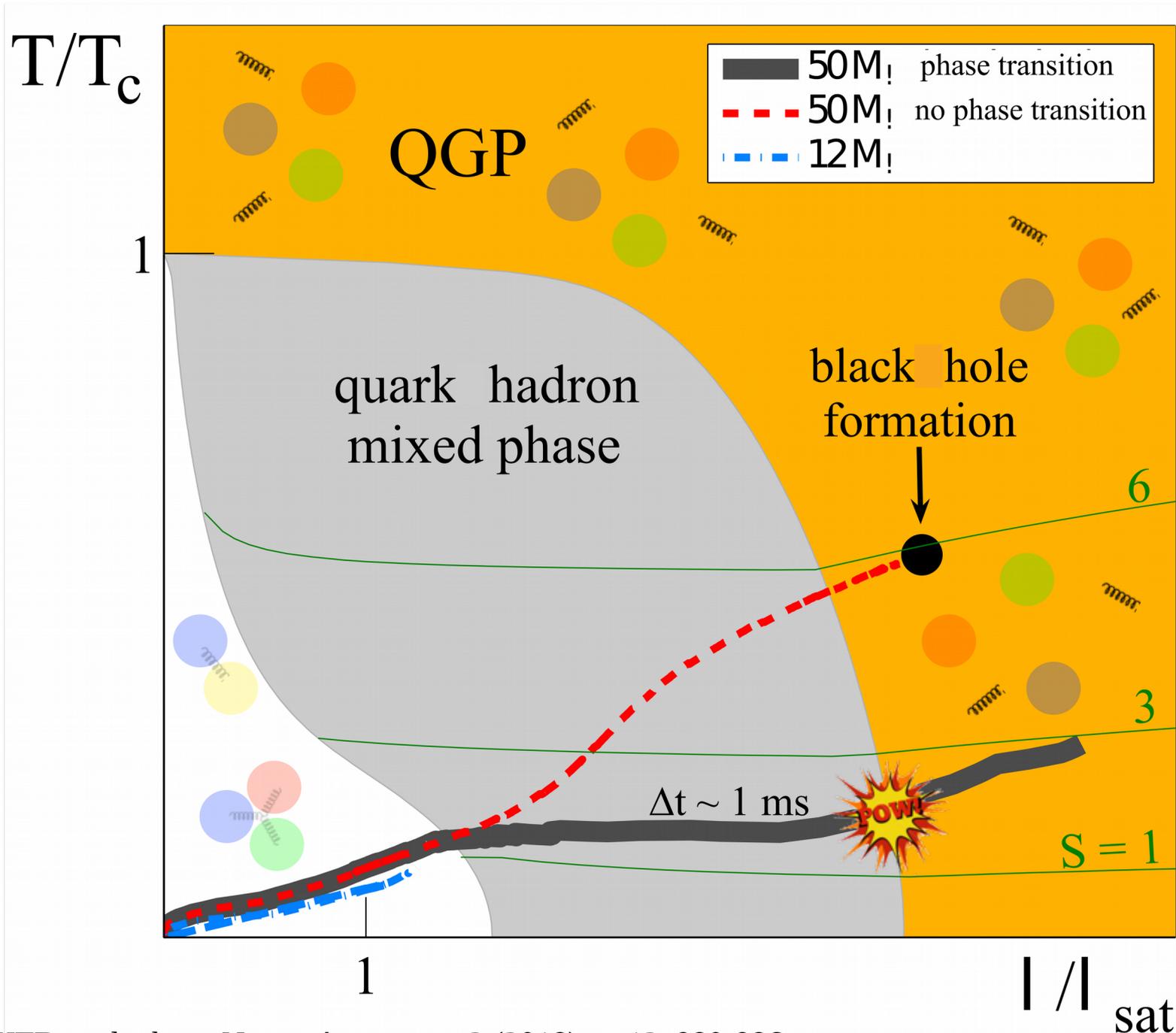


# 1<sup>st</sup> order PT – Supernovae of massive stars



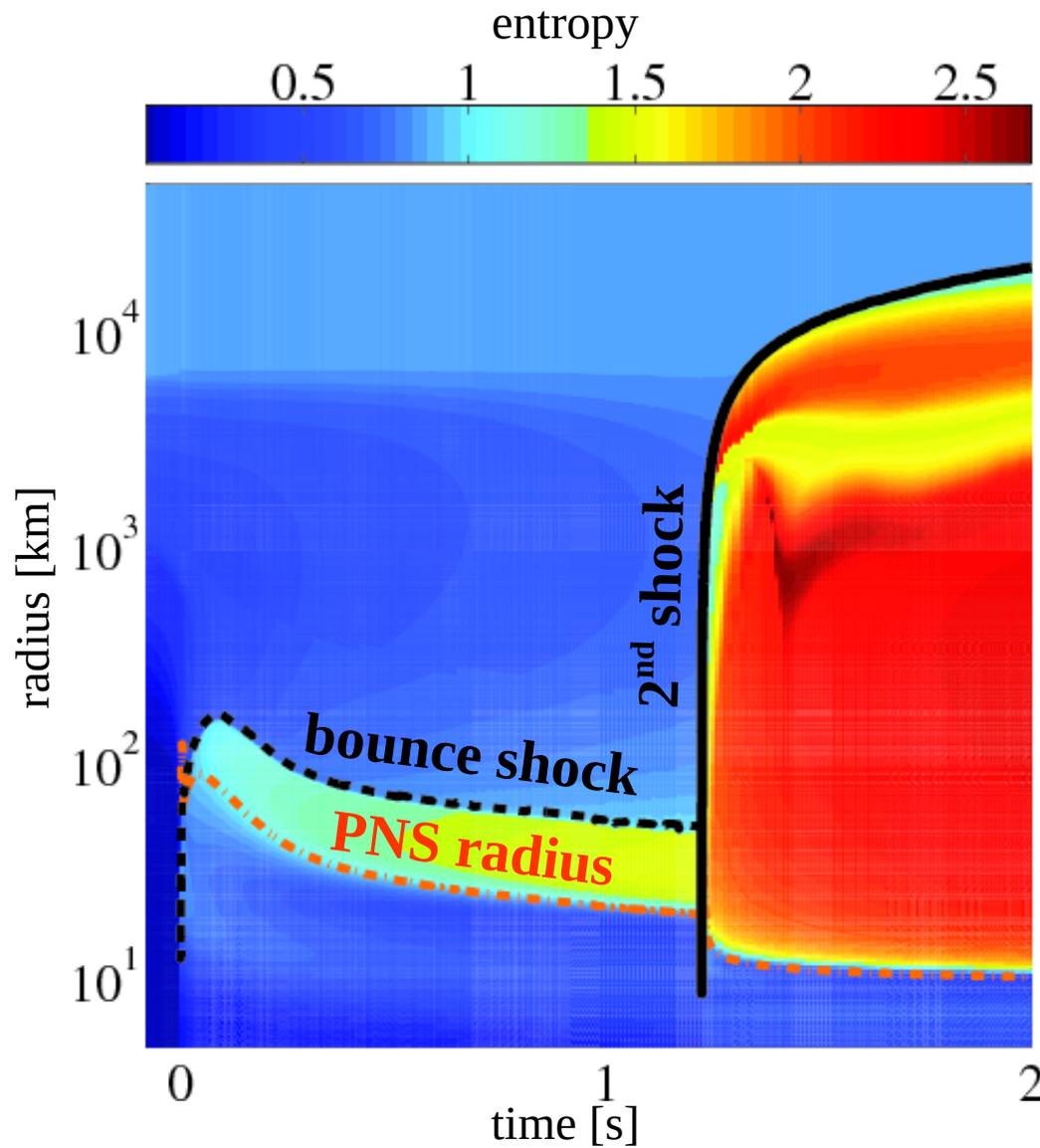
T. Fischer, **NUFB**, and others. Nature Astronomy 2 (2018) no.12, 980-986

# 1<sup>st</sup> order PT – Supernovae of massive stars

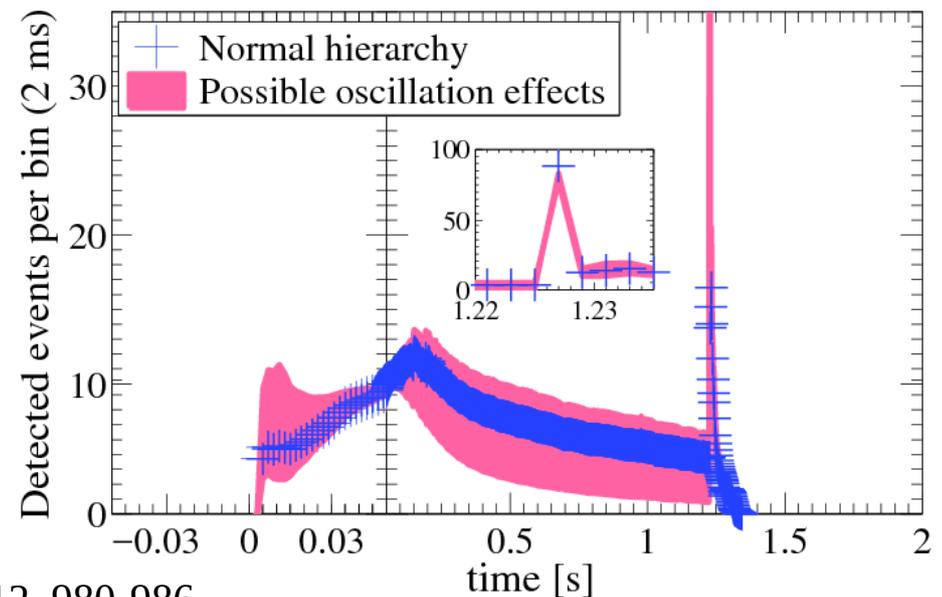


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# 1<sup>st</sup> order PT – Supernovae of massive stars

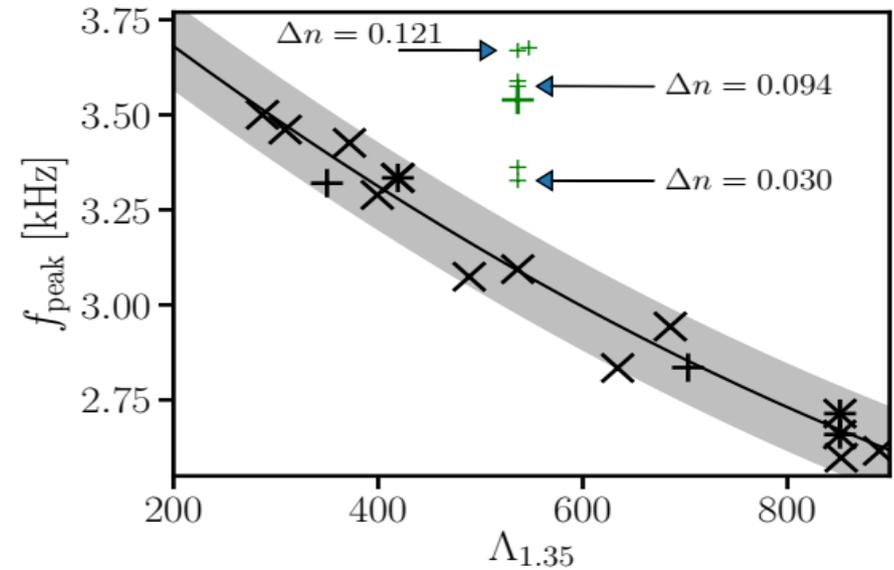
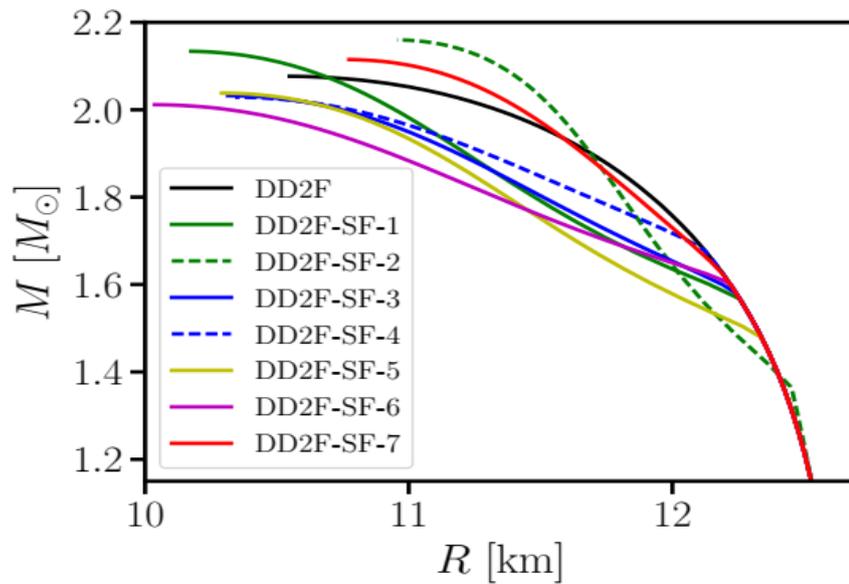
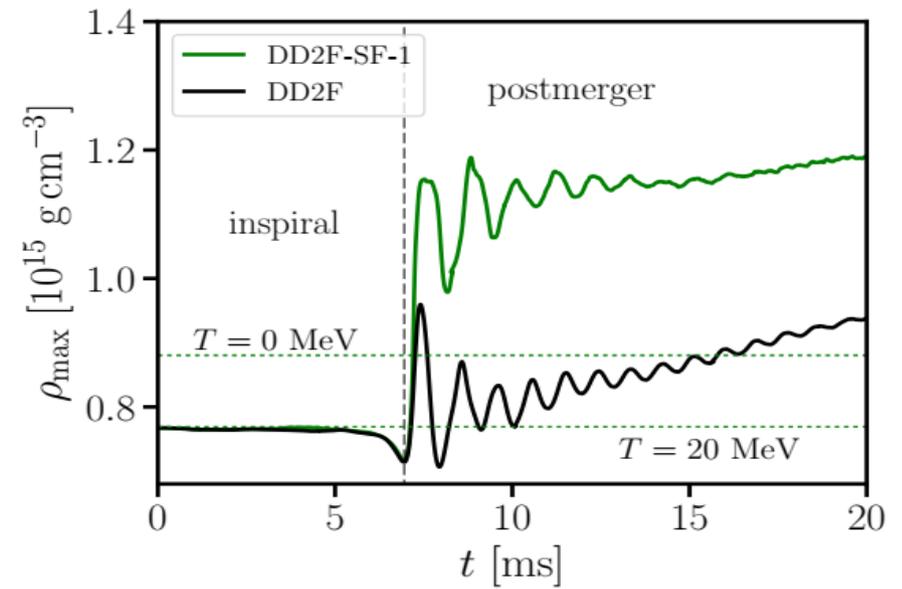
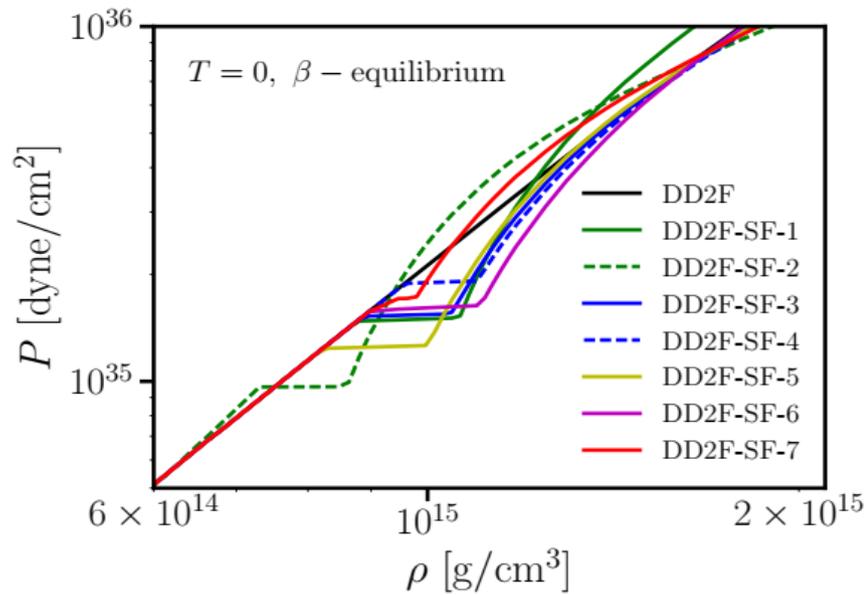


- EoS constructed under consideration of all constraints which are important in astrophysics
- Phase transition releases latent heat to explode “very” massive stars
- Remnant:  $2M_{\odot}$  neutron stars (with quark core) at birth
- Neutrino signal measurable
- Energetic explosion, but almost no nickel



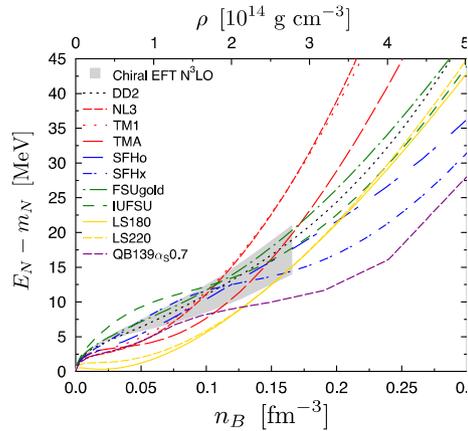
T. Fischer, NUFB, and others. Nature Astronomy 2 (2018) no.12, 980-986

# 1<sup>st</sup> order PT - Neutron star merger

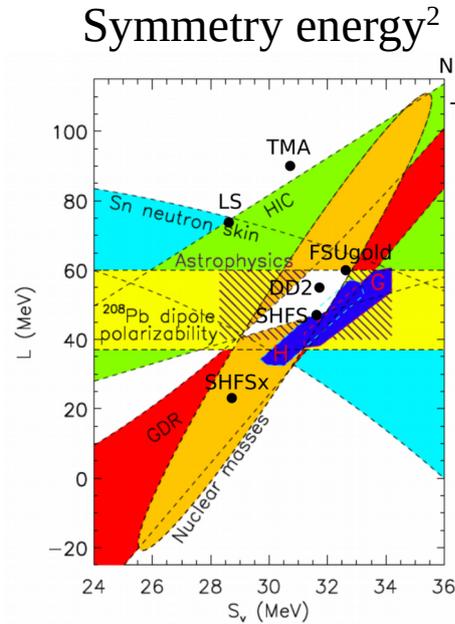


A. Bauswein, **NUFB**, and others, Phys.Rev.Lett. 122 (2019) no.6, 061102

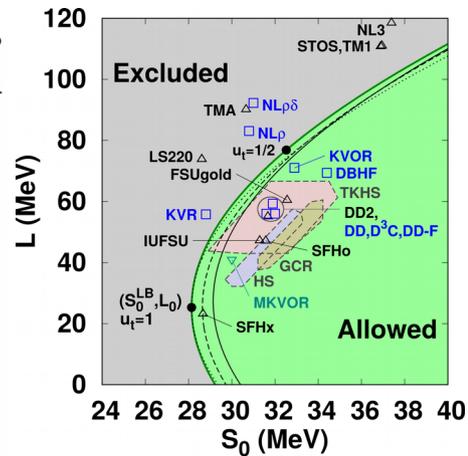
# Constraints to consider



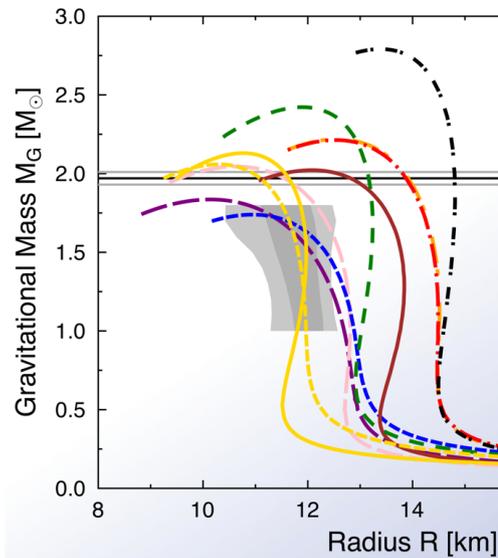
Chiral EFT<sup>1</sup>



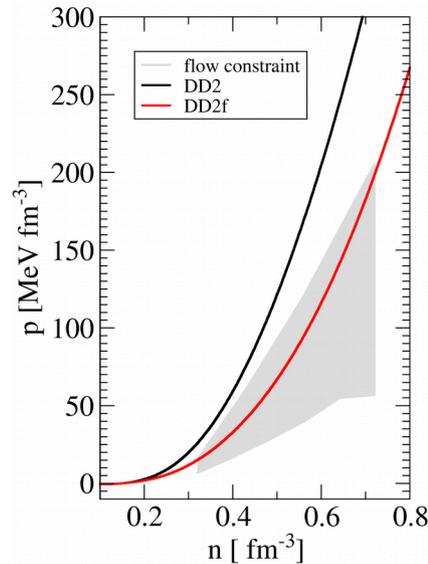
Symmetry energy<sup>2</sup>



Unitary Constraint<sup>3</sup>



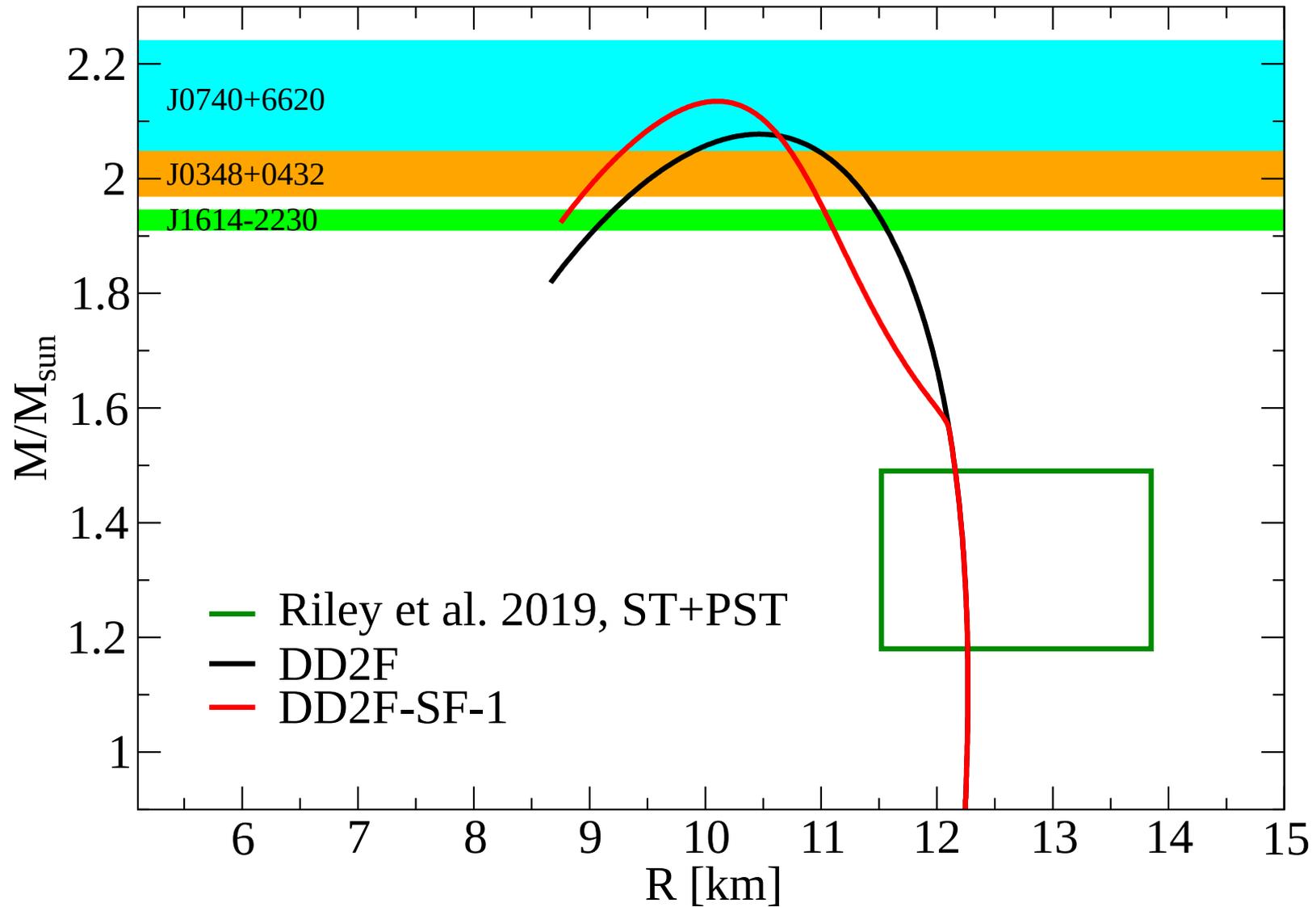
Neutronstar mass<sup>4</sup>



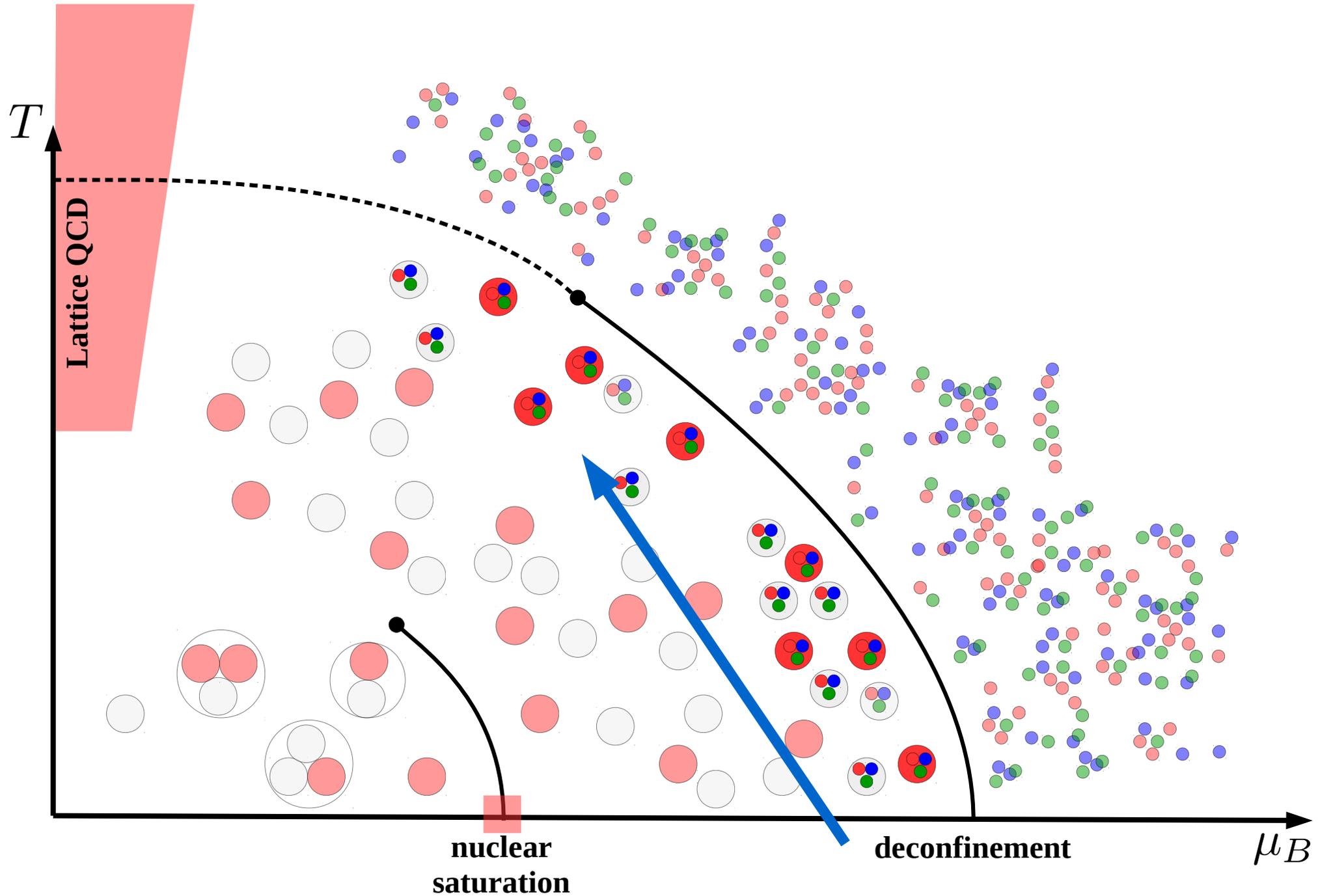
Flow constraint<sup>5</sup>

- <sup>1</sup> T. Fischer, et. al., (2014) EPJA50, 46
- <sup>2</sup> Lattimer & Lim (2013) ApJ 771, 14
- <sup>3</sup> Tews, et.al., (2017) ApJ. 848 no.2, 105
- <sup>4</sup> J. Antoniadis, et al., (2013) Science 340 6131
- <sup>5</sup> P. Danielewicz, et. al., (2002) Science 298 1592-1596

# Constraints



# Model for everything?



# Relativistic density functionals

Starting with free fermion Lagrangian plus an interaction term, which depends on quark currents

$$\mathcal{L}_{\text{eff}} = \underbrace{\bar{q} (\not{\partial} - m) q}_{\mathcal{L}_{\text{free}}} - U(\bar{q}q, \bar{q}\gamma^\mu q)$$

Mean field  $\rightarrow$  linear dependence of  $U$  on densities is important!  $\rightarrow$  expansion around expectation values

$$U(\bar{q}q, \bar{q}\gamma^\mu q) = U(n_S, n_V) + \Sigma_S(\bar{q}q - n_S) + \Sigma_V(\bar{q}\gamma^\mu q - n_V) + \dots$$

derivatives

$$\mathcal{L}_{\text{eff}} \approx \underbrace{\bar{q} (\gamma^\mu (\not{\partial} - \Sigma_V) - (m + \Sigma_S)) q}_{\mathcal{L}_{\text{quasi}}} - \Theta(n_S, n_V)$$



$$P = g \int \frac{d^3p}{(2\pi)^3} \left[ \ln(1 + e^{-\beta(\sqrt{p^2 - M^2} - \tilde{\mu})}) + \text{a.p.} \right] - \Theta$$

with

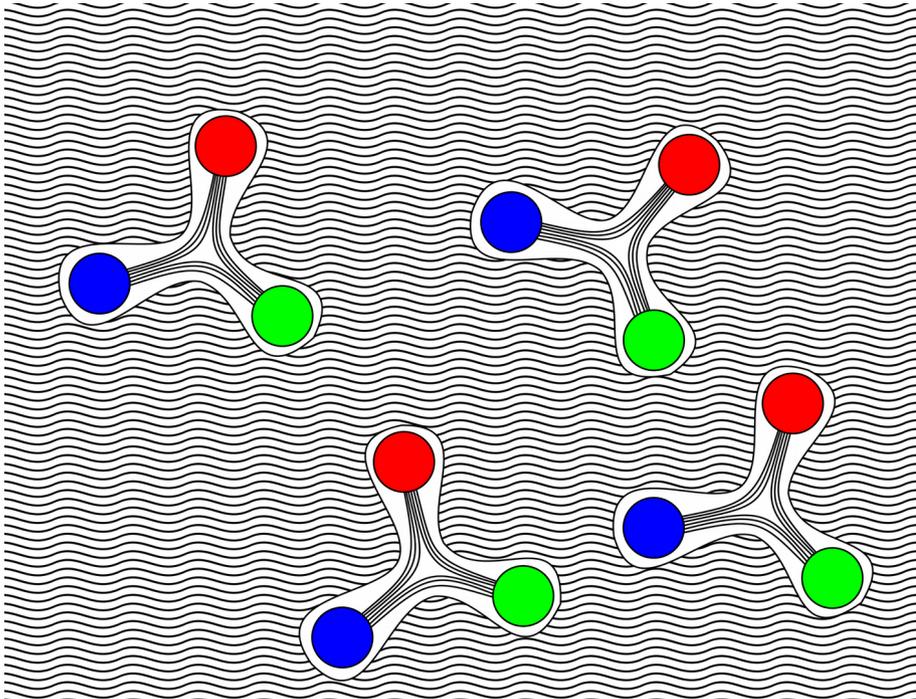
$$n_s = \langle \bar{q}q \rangle, \quad n_v = \langle \bar{q}\gamma^0 q \rangle, \quad M = m + \Sigma_S, \quad \tilde{\mu} = \mu - \Sigma_V$$

# Density functional approach: Stringflip model

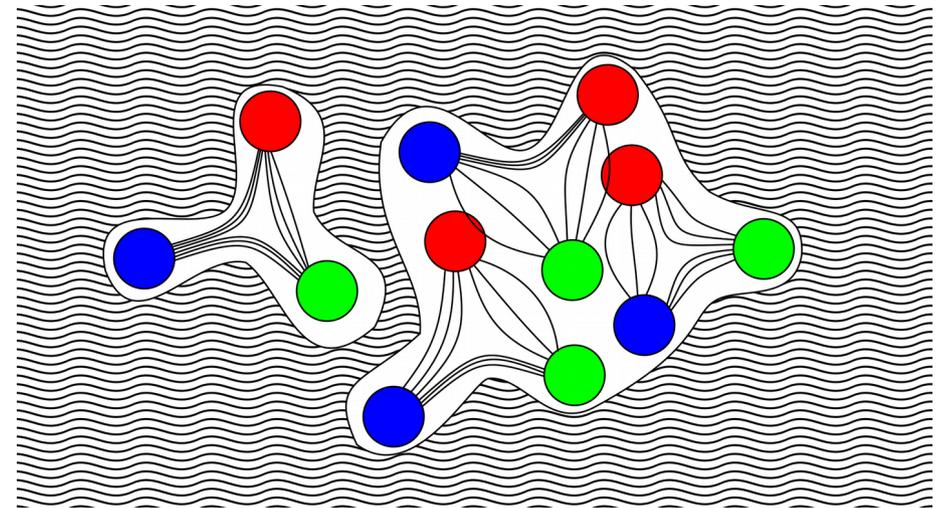
## Low density

- Color field lines compressed by dual Meissner effect
- String-potential

$$V(r) = \sigma r \sim n^{-1/3}$$



G. Ropke, et. al., Phys.Rev. D34 (1986) 3499-3513  
M. Kaltenborn, **NUFB**, D. Blaschke, PRD 96, 056024 (2017)



## High density

- Dual superconducting vacuum occupied by hadrons
- Pressure on field lines reduced
- Effective string-tension reduced

$$\sigma = \Phi \sigma_0$$

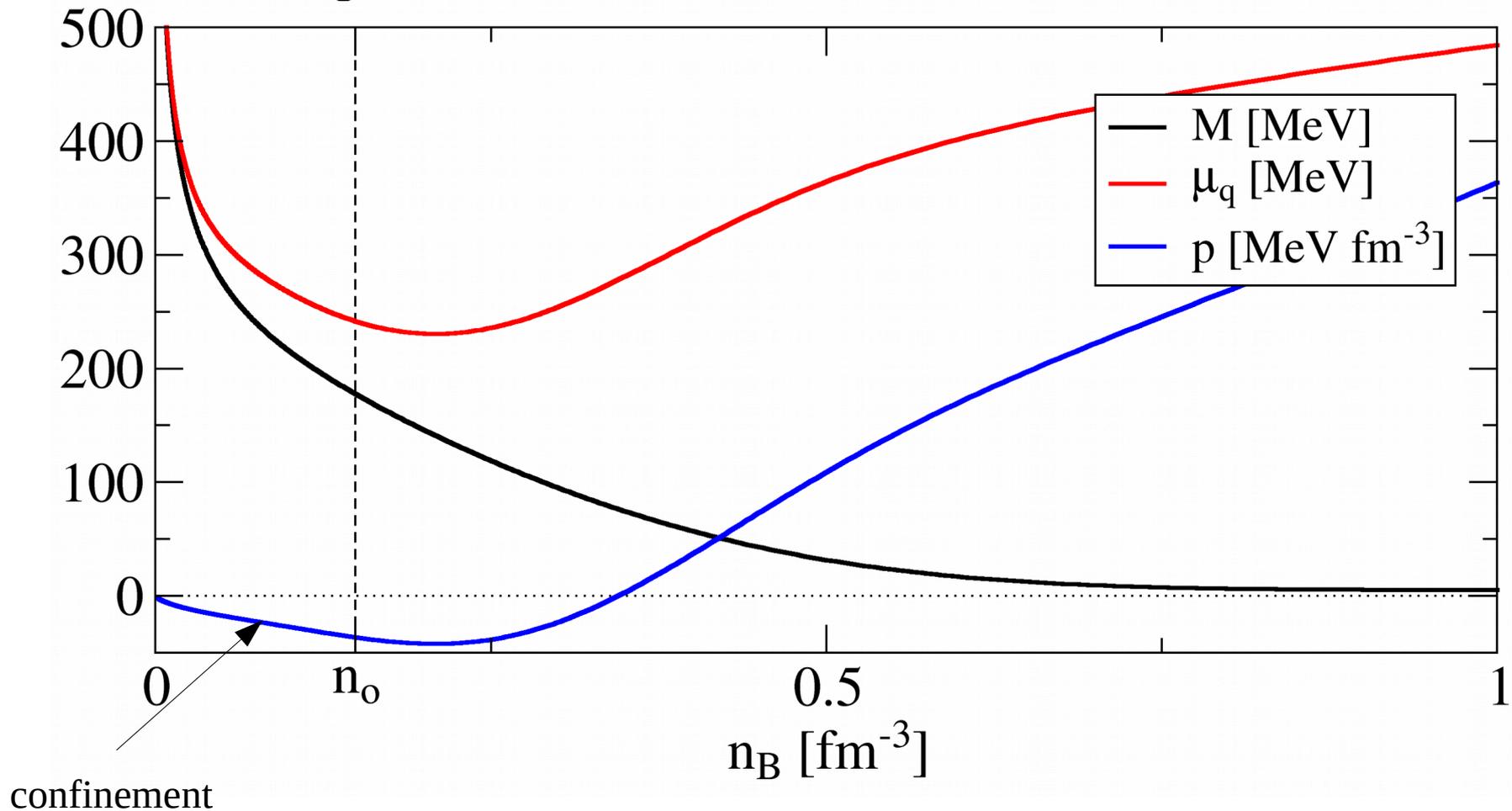
$$U^{\text{SF}}(n_S, n_V) = D(n_V) n_S^{2/3}$$

# Stringflip model – effective mass

## Mean-field model

$$M_i = m_i + \frac{2}{3} D \cdot (n^s)^{-1/3}$$

$$D = D_0 e^{-\alpha(n-n_0)^2}$$



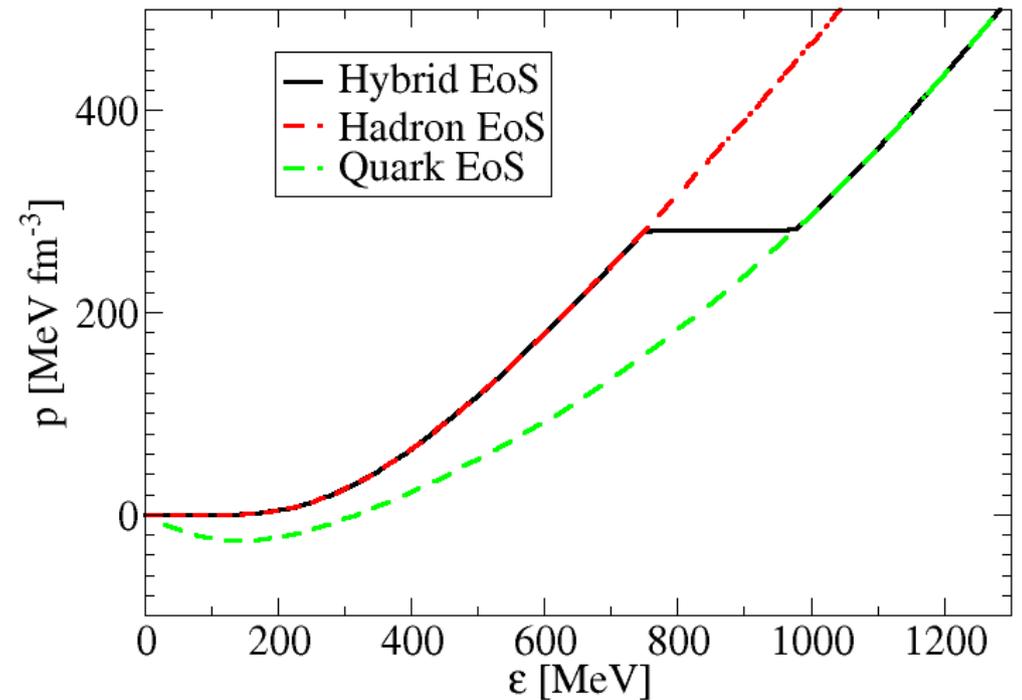
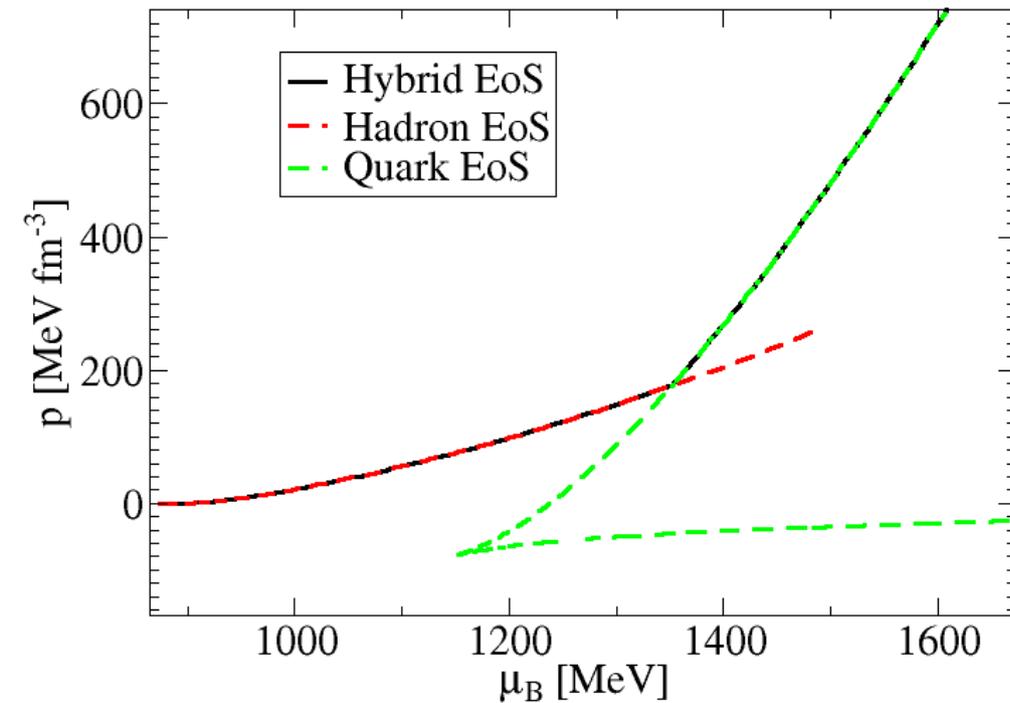
M. Kaltenborn, **NUFB**, D. Blaschke, PRD 96, 056024 (2017)

# 2-phase approach

old 

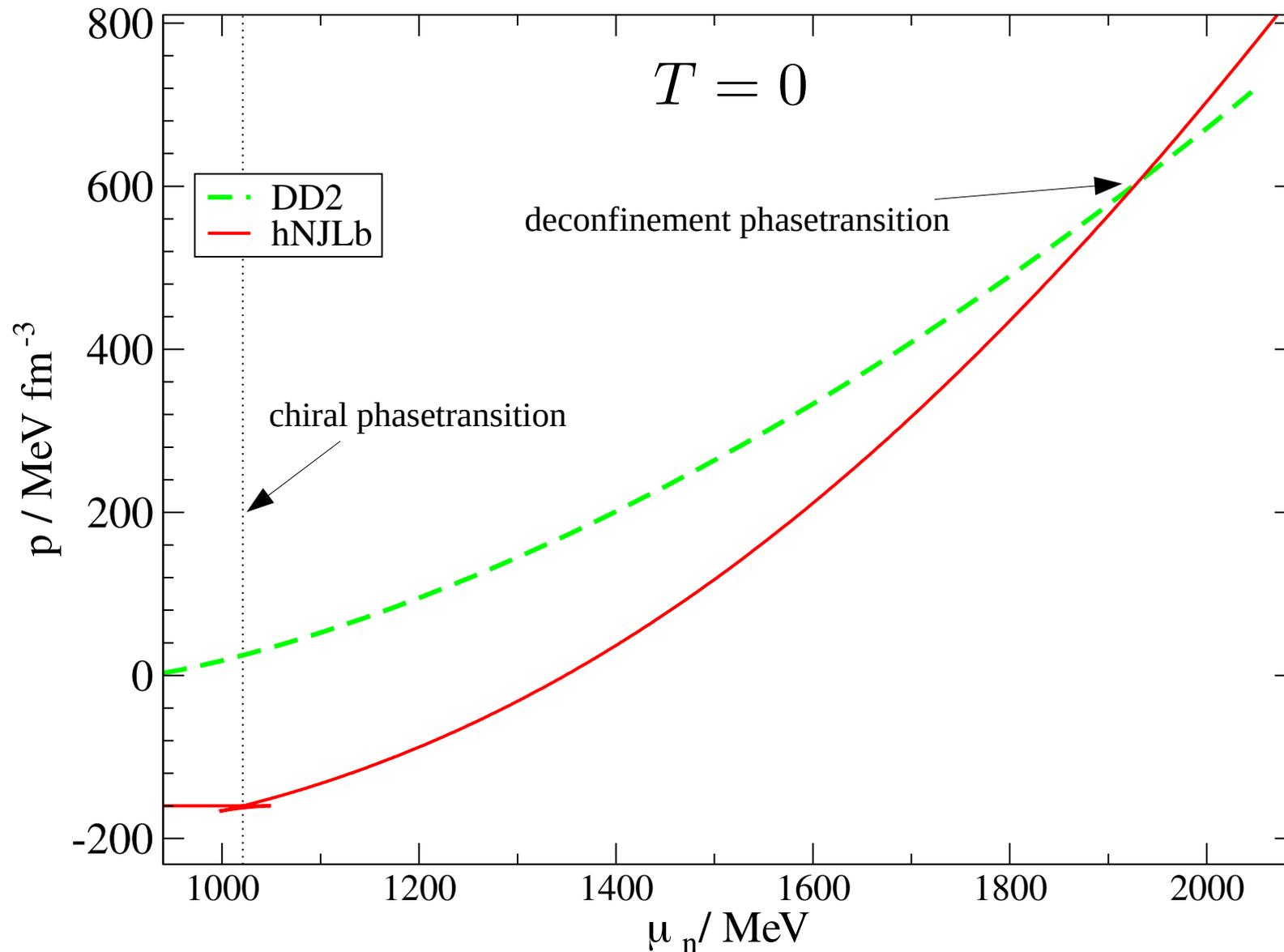
- Two independent models for hadrons and quarks
- Match while fulfilling Gibbs condition for thermal, mechanical and chemical phase equilibrium

$$T^{\text{H}} = T^{\text{Q}} \quad p^{\text{H}} = p^{\text{Q}} \quad \mu^{\text{H}} = \mu^{\text{Q}}$$

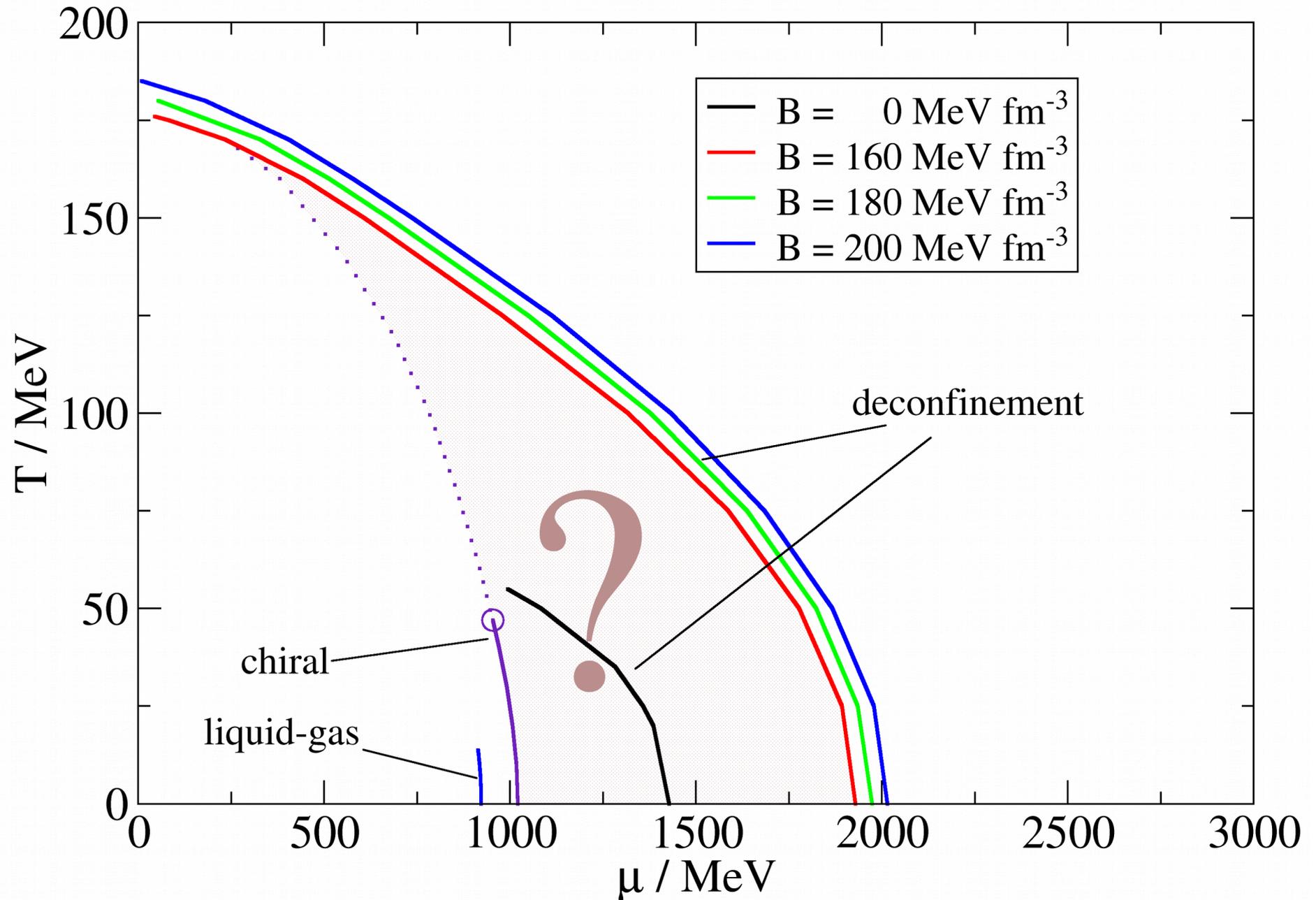


# 2-phase approach (with NJL)

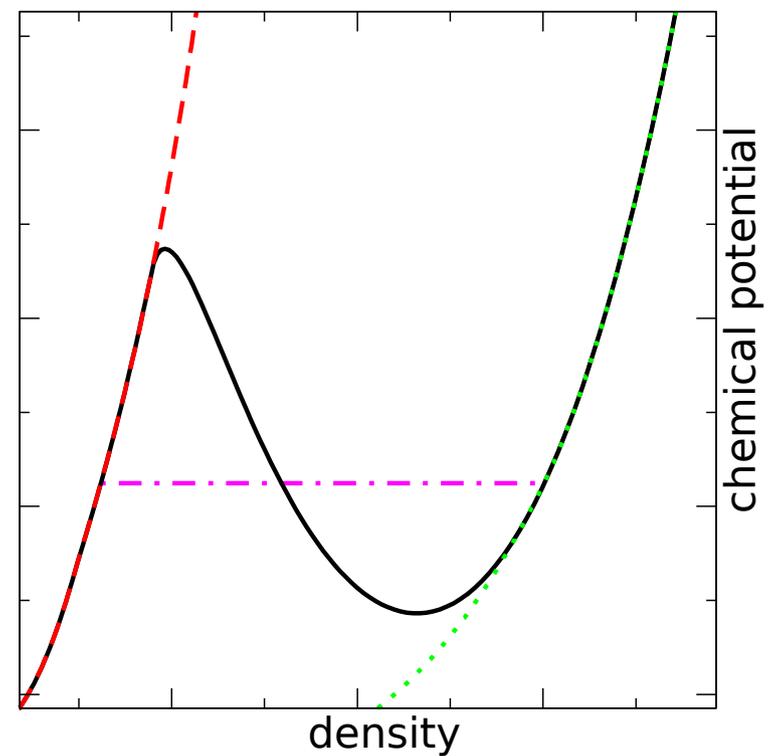
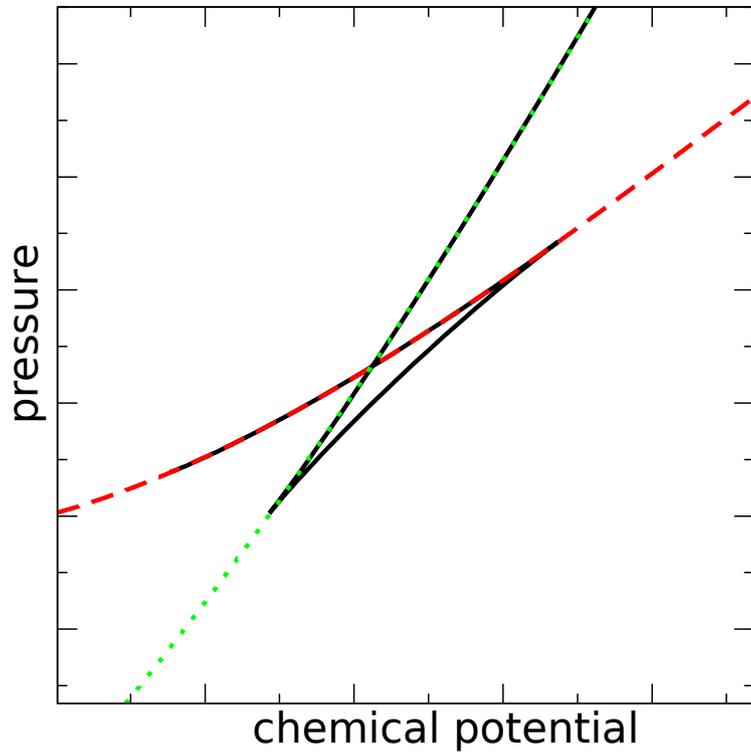
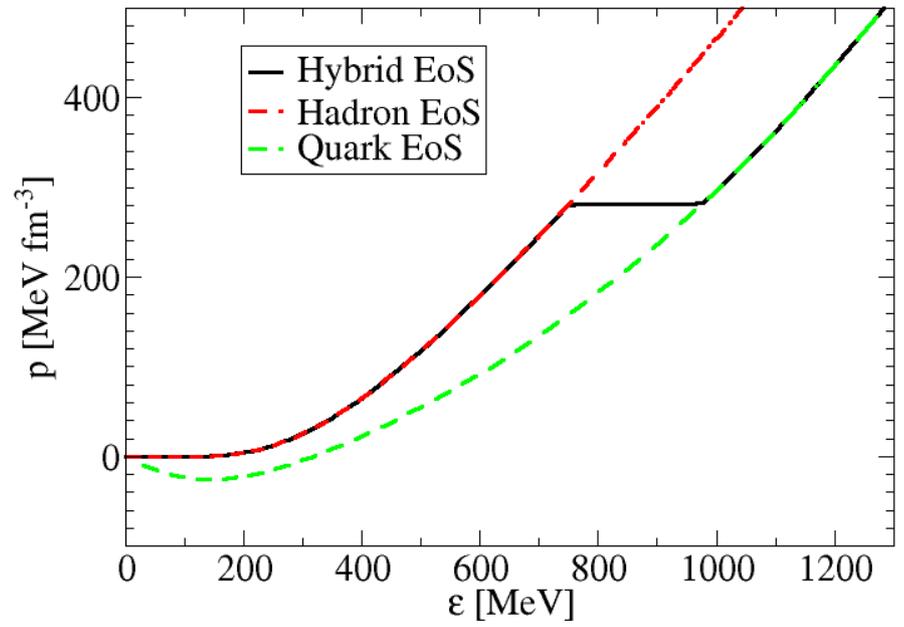
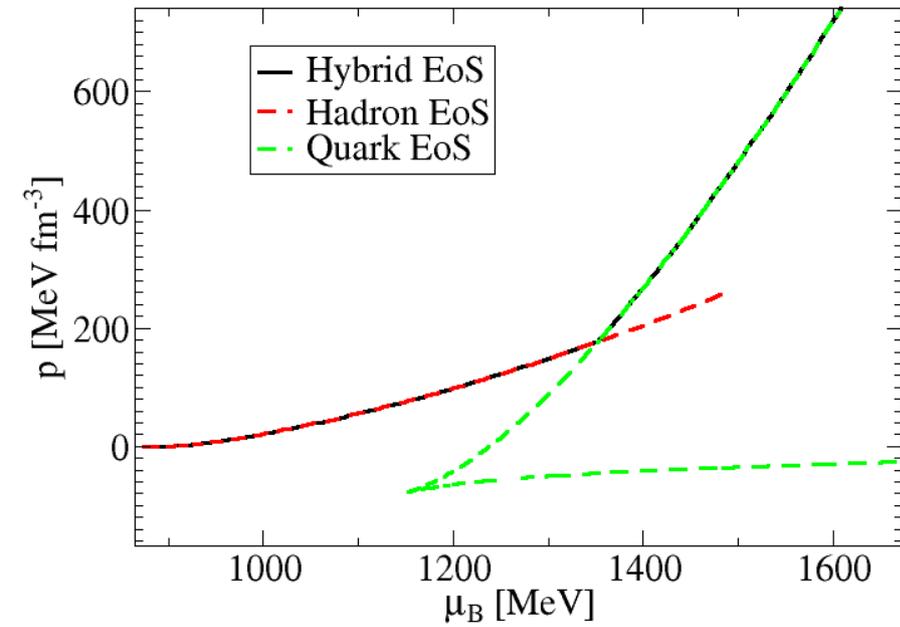
old 



# 2-phase construction with NJL



# Two-phase approach vs van der Waals wiggle



# Cluster expansion

Generating functional formalism by Baym and Kadanoff<sup>1,2</sup>

$$\Omega = -\text{Tr} \ln(-G_1^{-1}) - \text{Tr} \Sigma_1 G_1 + \Phi \quad \text{With} \quad \Sigma_1(1, 1') = \frac{\delta \Phi}{\delta G_1(1, 1')}.$$

Can be generalized for a consistent cluster expansion<sup>3</sup>

$$\Omega = \sum_{l=1}^A \Omega_l = \sum_{l=1}^A \left\{ c_l [\text{Tr} \ln(-G_l^{-1}) + \text{Tr}(\Sigma_l G_l)] + \sum_{\substack{i,j \\ i+j=l}} \Phi[G_i, G_j, G_{i+j}] \right\}$$

with

$$\Sigma_A(1 \dots A, 1' \dots A', z_A) = \frac{\delta \Phi}{\delta G_A(1 \dots A, 1' \dots A', z_A)}$$

Always sustains full Dyson equation and thermodynamic stability

$$G_A^{-1} = G_A^0{}^{-1} - \Sigma_A^{-1} \quad \frac{\partial \Omega}{\partial G_A} = 0$$

Reduction on generalized sunset diagrams is recommended

$$\Phi[G_i, G_j, G_{i+j}] = \text{Diagram}$$

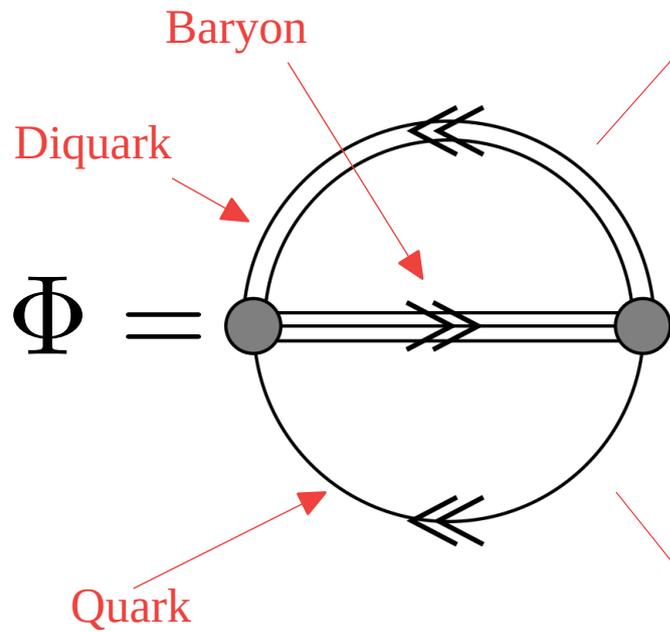
<sup>1</sup>Baym, G.; Kadanoff, L.P. Phys. Rev. 1961, 124, 287–299.

<sup>2</sup>Baym, G. Phys. Rev. 1962, 127, 1391–1401.

<sup>3</sup>NUFB, and others, Universe 2018, 4(6), 67

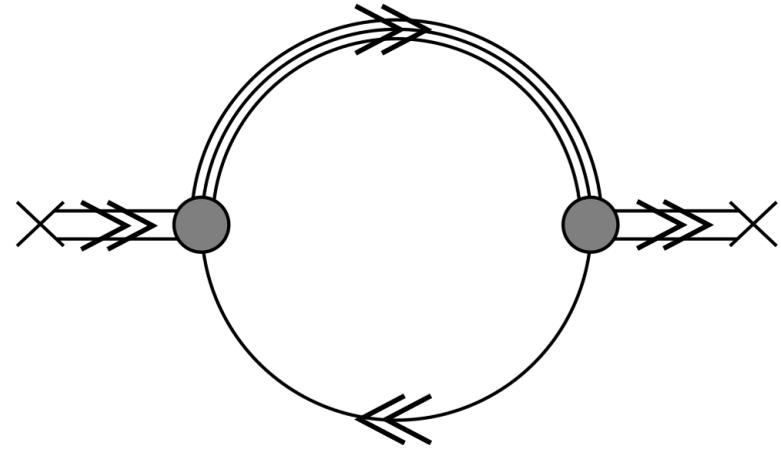
# Self energy

$$\Sigma_A = \frac{\delta\Phi}{\delta G_A}$$

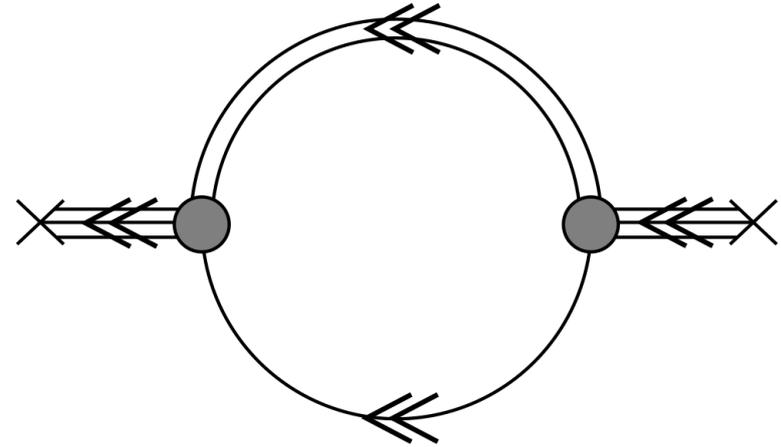


$$G_A^{-1} = G_A^{0-1} - \Sigma_A^{-1}$$

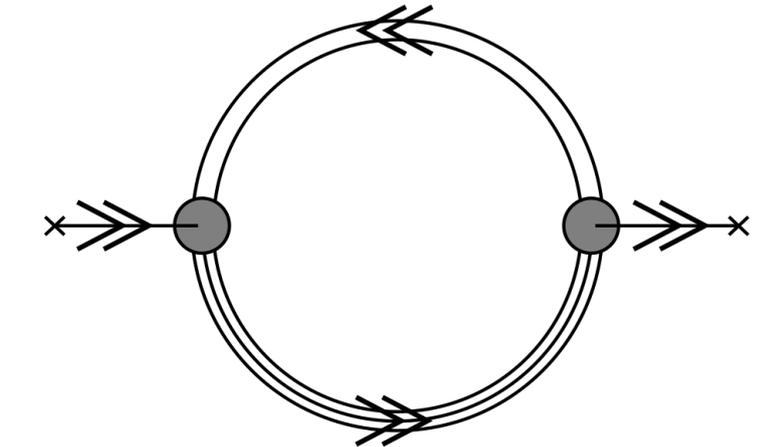
$$\Sigma_D = \frac{\delta\Phi}{\delta G_D} =$$



$$\Sigma_B = \frac{\delta\Phi}{\delta G_B} =$$



$$\Sigma_Q = \frac{\delta\Phi}{\delta G_Q} =$$



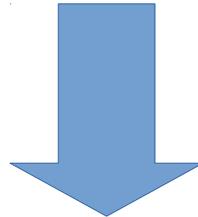
# Analogy to density functional approach

Phi-derivable approach

$$\Omega = -\text{Tr} \ln(-G_1) - \text{Tr} \Sigma_1 G_1 + \Phi[G_1]$$

Density functional approach

$$\Omega = \Omega^{\text{quasi}} - n_s \Sigma_s - n_v \Sigma_v + U(n_s, n_v)$$



First step: cluster expansion on basis of densities instead of Green functions (local limit)

# The Quark-Diquark-Meson-Baryon Model

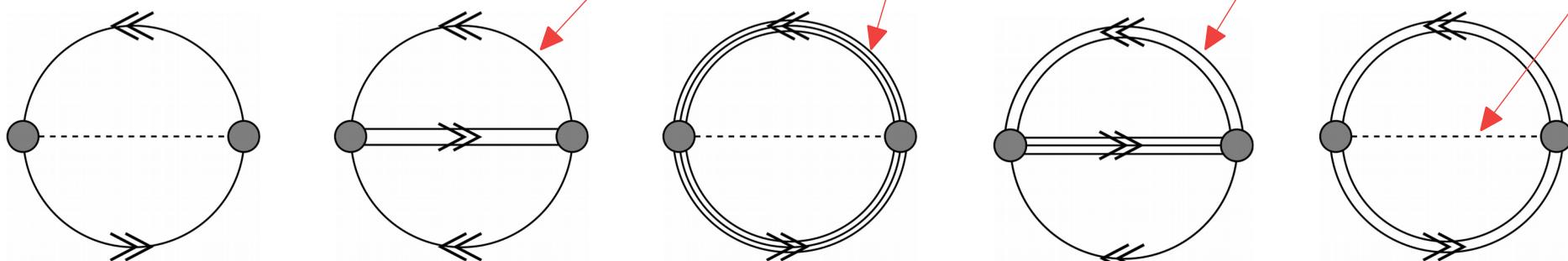
Full dynamics

Quark

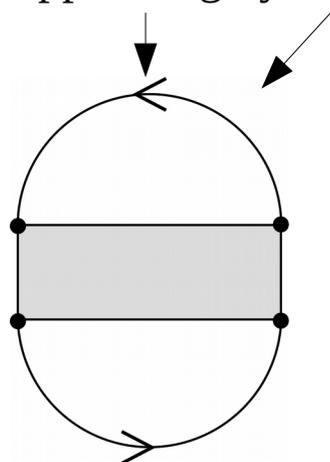
Baryon

Diquark

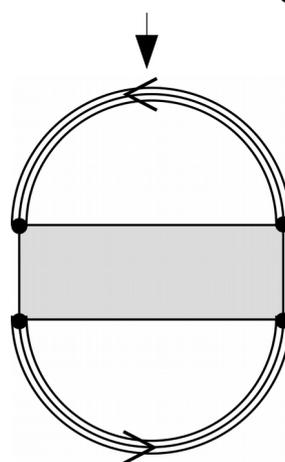
Meson



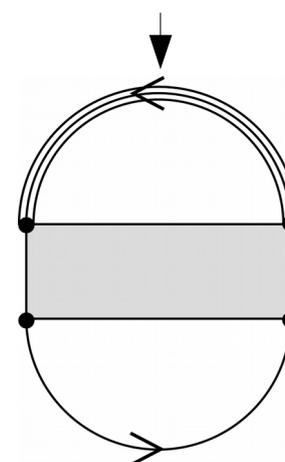
Suppressing dynamic character of bosons and absorbing them in effective mean fields



quark loop



baryon loop



quark-baryon  
interaction



→ **Real self energies** → **quasi particles**

$$\delta := \arctan \frac{\text{Im } \Sigma}{\text{Re } \Sigma} = n\pi$$

# Generalized Beth-Uhlenbeck

Cluster expansion

$$n_u = n_u^{\text{free}} + 2n_p^{\text{free}} + 1n_n^{\text{free}}$$

$$n_d = n_d^{\text{free}} + 1n_p^{\text{free}} + 2n_n^{\text{free}}$$

Chemical equilibrium

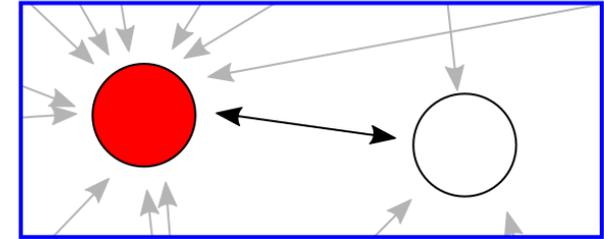
$$\mu_i = B_i \mu_B + C_i \mu_C$$

Generalized Beth-Uhlenbeck formula

$$n_i^{\text{free}} = g_i \int \frac{d^3 p}{(2\pi)^3} \int \frac{dE}{2\pi} f_i(E_i) 2 \sin^2 \delta_i(E) \frac{d\delta_i(E)}{dE}$$

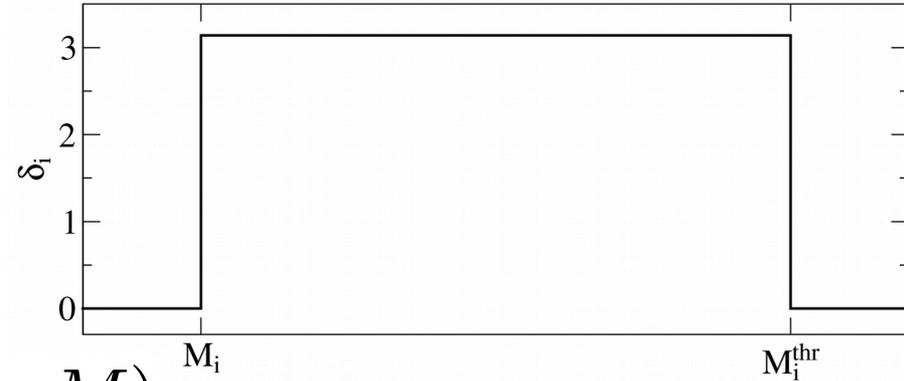
Substitution:  $E_i = \sqrt{p^2 + (m_i + S_i)^2} + V_i$

$$n_i^{\text{free}} = g_i \int \frac{d^3 p}{(2\pi)^3} \int \frac{dM}{2\pi} f_i \left( \sqrt{p^2 + M^2} + V_i \right) 2 \sin^2 \delta_i(M) \frac{d\delta_i(M)}{dM}$$



# Analogy to density functional approach

$$n_i^{\text{free}} = g_i \int \frac{d^3p}{(2\pi)^3} \int \frac{dM}{2\pi} f_i \left( \sqrt{p^2 + M^2} + V_i \right) 2 \sin^2 \delta_i(M) \frac{d\delta_i(M)}{dM}$$



$$\delta_{i=u,d}(M) = \pi \Theta(M - M_i)$$

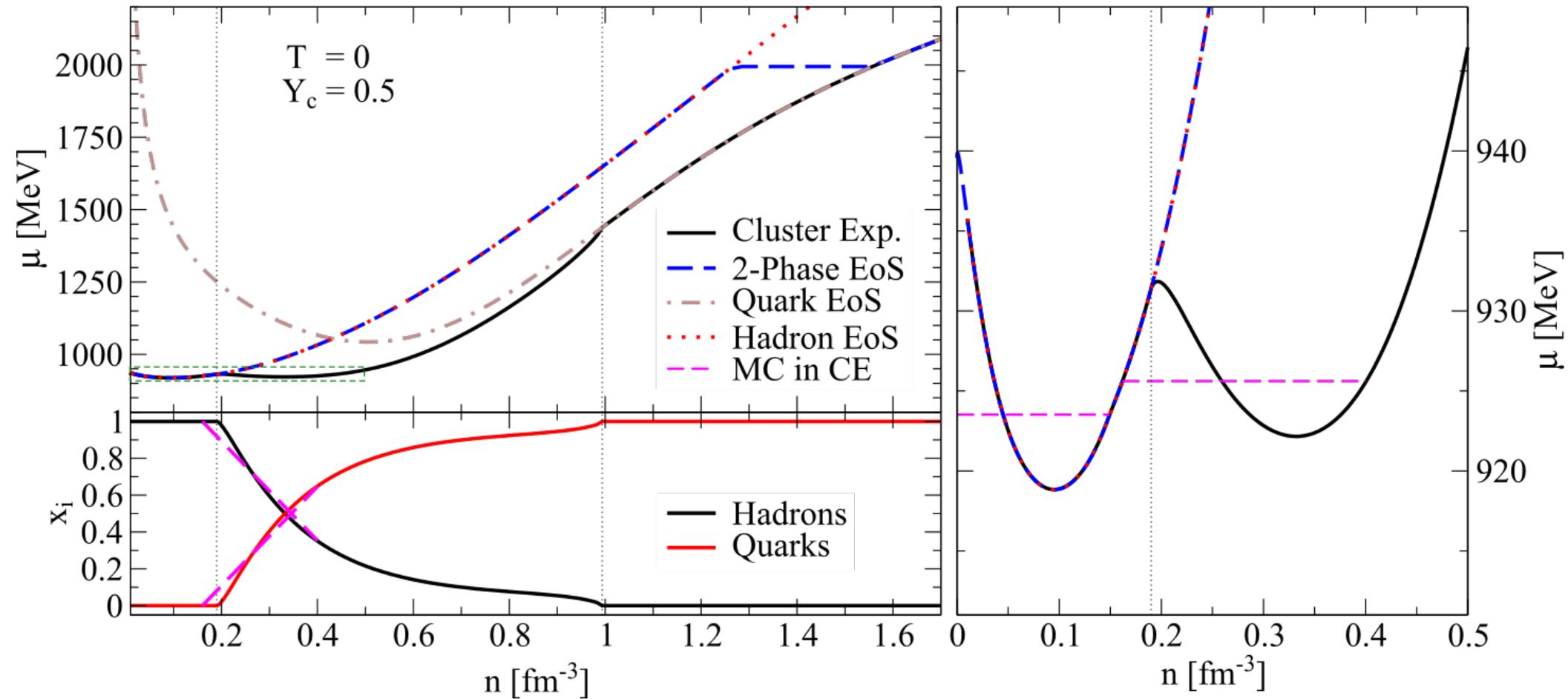
$$\delta_{i=p,n}(M) = \pi \Theta(M - M_i) \Theta(M_i^{\text{thr}} - M)$$

$$\begin{aligned} n_{i=p,n} &= g_i \int \frac{d^3p}{(2\pi)^3} \left[ f_i(\sqrt{p^2 + M_i^2} + V_i) \right. \\ &\quad \left. - f_i(\sqrt{p^2 + (M_i^{\text{thr}})^2} + V_i) \right] \Theta(M_i^{\text{thr}} - M_i) \\ &= (n_N^{\text{qu}} - n_q^{\text{thr}}) \Theta(M_i^{\text{thr}} - M_i) \end{aligned}$$

$$M_p^{\text{thr}} = 2M_u + 1M_d$$

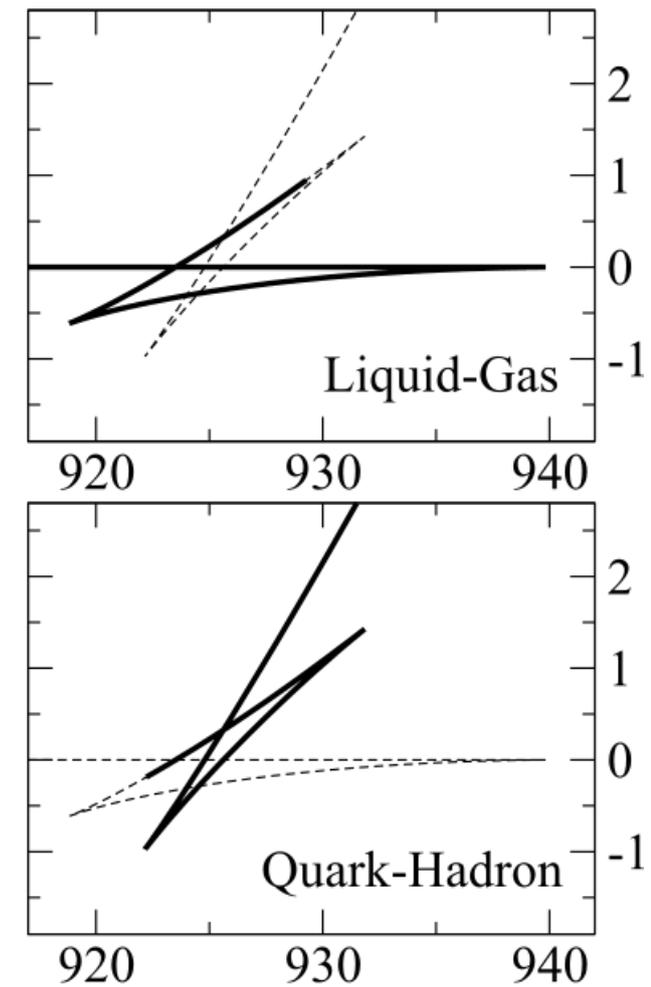
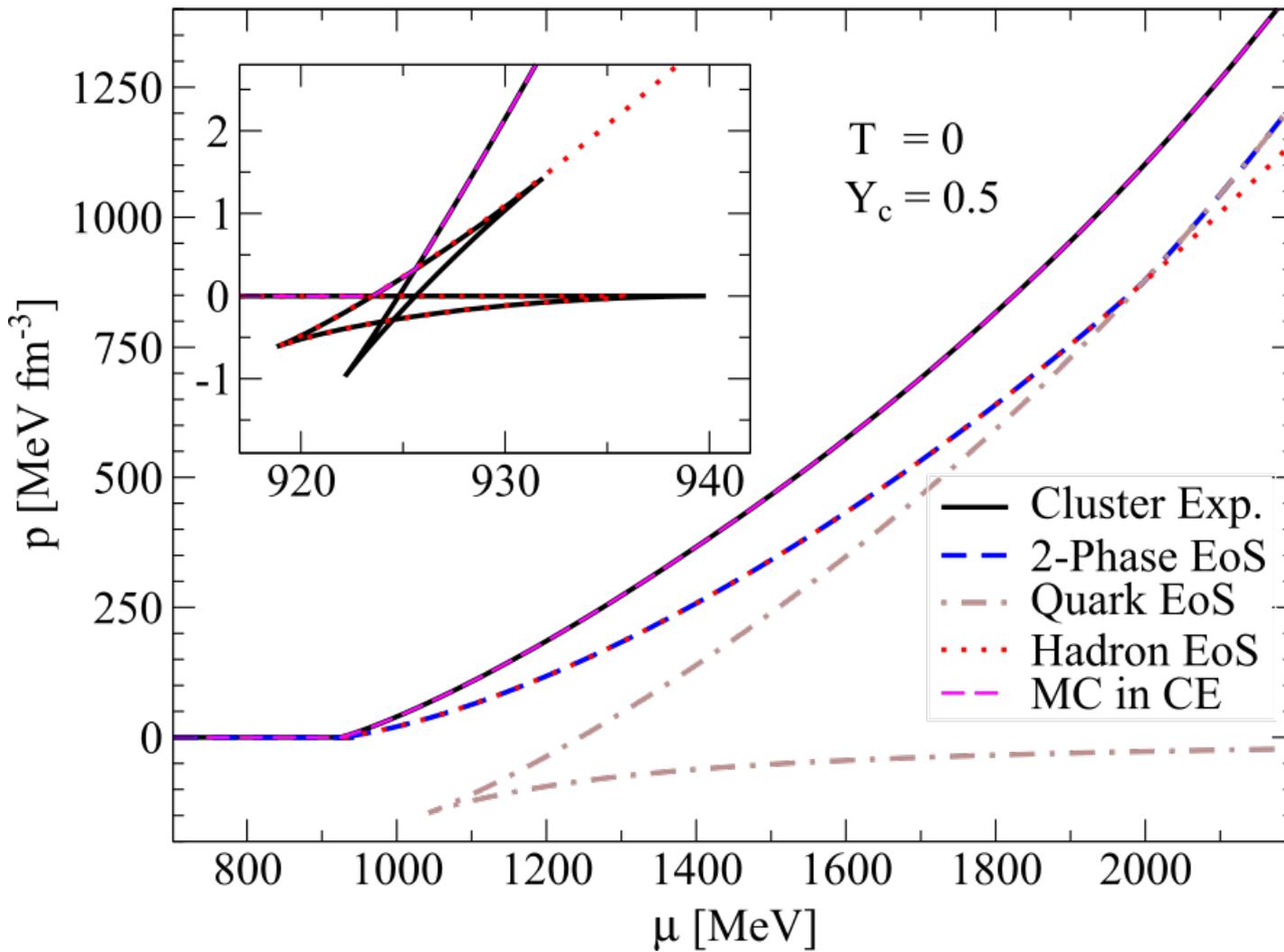
$$M_n^{\text{thr}} = 1M_u + 2M_d$$

# Cluster-expansion of Quarks



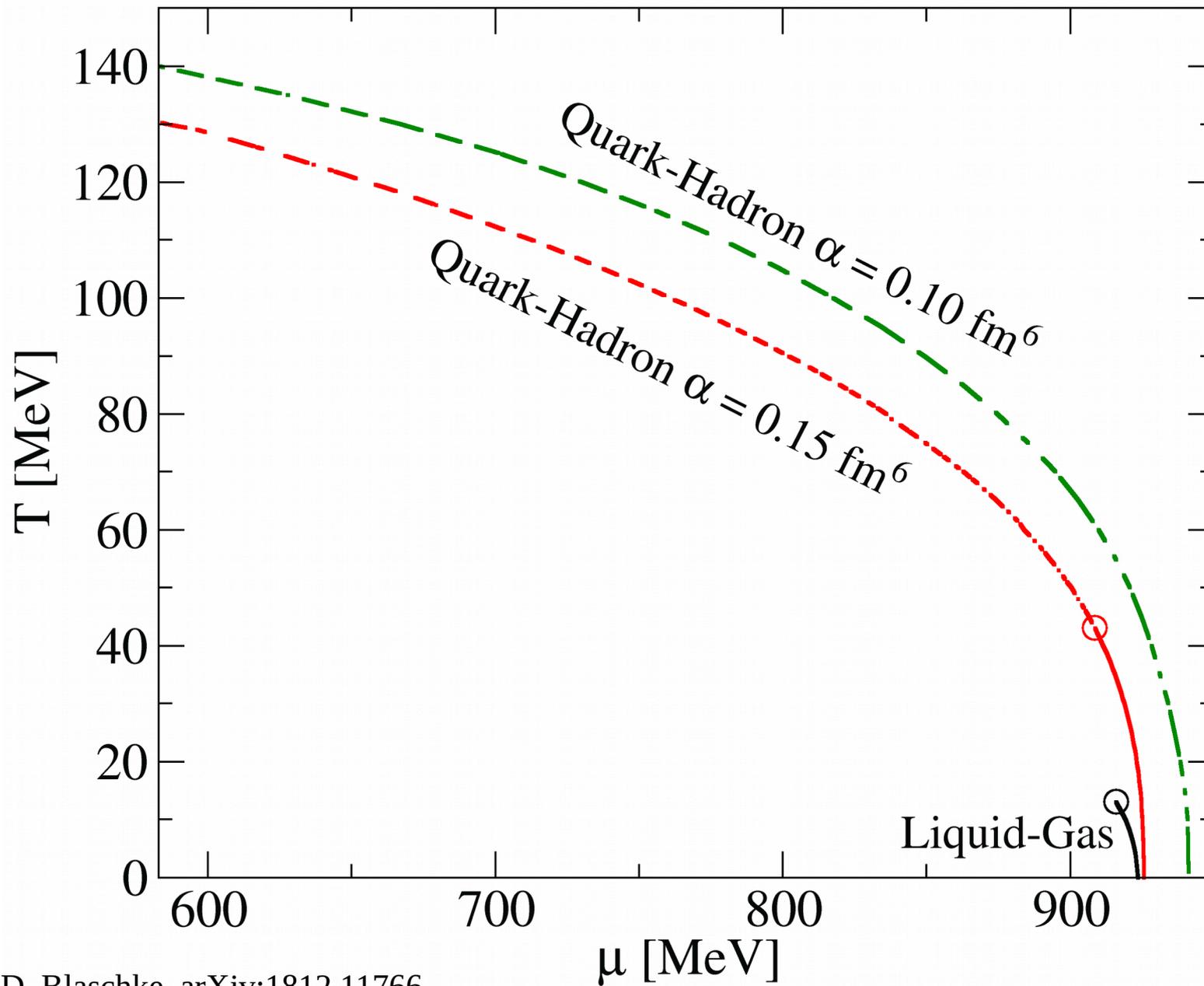
NUFB, D. Blaschke, arXiv:1812.11766

# Cluster-expansion of Quarks



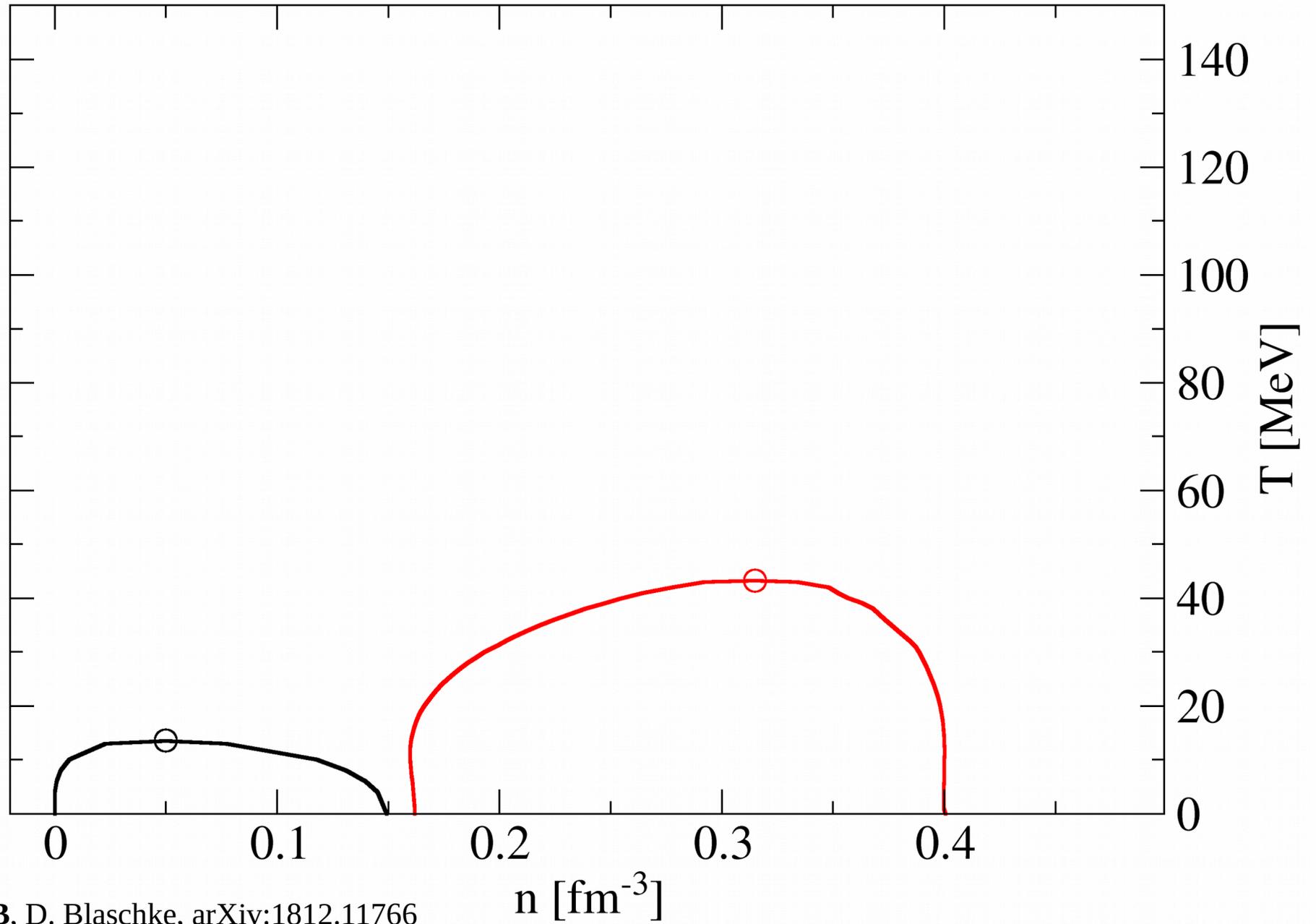
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# Cluster-expansion



NUFB, D. Blaschke, arXiv:1812.11766

# Cluster-expansion

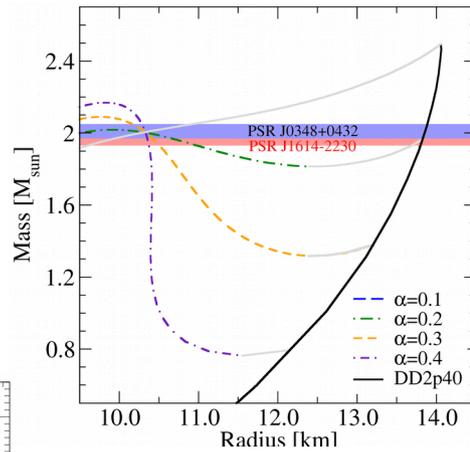


NUFB, D. Blaschke, arXiv:1812.11766

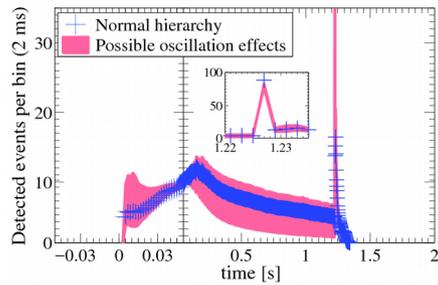
# Outline Summary

## Possible signals of 1<sup>st</sup> – order phase transitions.

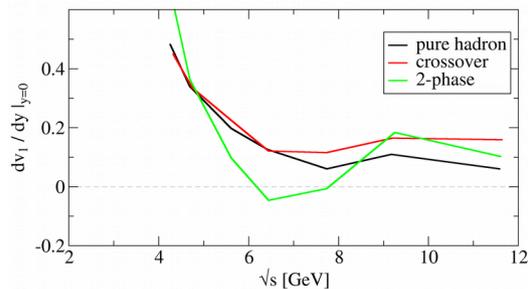
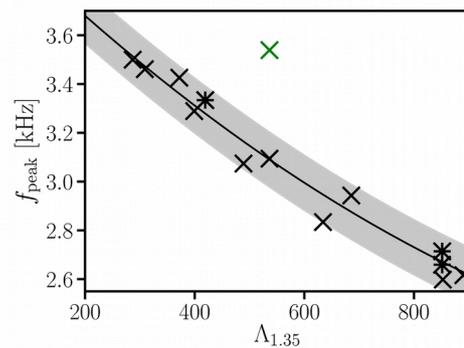
### Neutron star configurations



### Supernova explosions of 50Ms stars

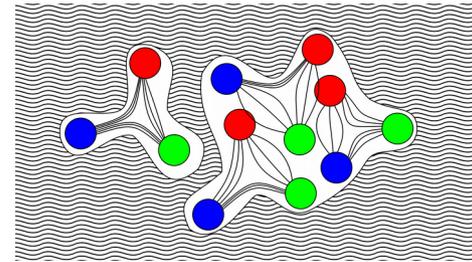


### Binary neutron star mergers



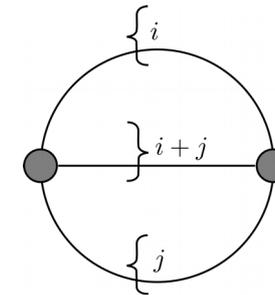
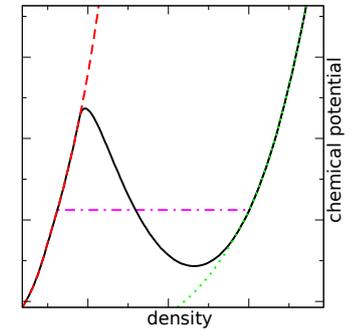
### Heavy-Ion Collisions

## Unified description of the equation of state.



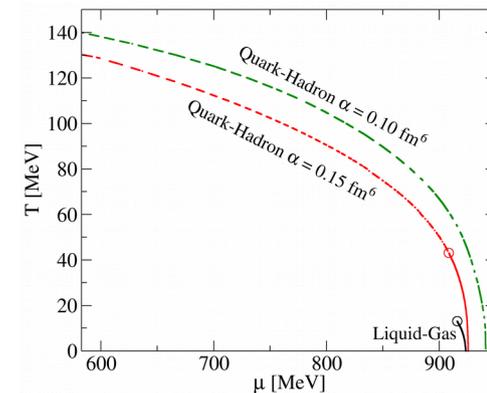
### density functional theory

### construction of phase transitions



### Phi-derivable formalism

### current status



## Conclusions

- Possible scenarios are explored in which a 1<sup>st</sup> order phase transition is detectable in
  - neutron star configurations
  - neutrino signals of supernova explosions
  - Gravitational wave signal of binary neutron star mergers
  - Flow data of heavy-ion collision experiments
- Astrophysical objects and HIC collisions are based on the same physics of strongly interacting many-particle systems
- Hadrons are bound states of quarks and should be treated as such
- A cluster virial expansion within the Beth-Uhlenbeck formalism can be derived from the PHI-derivable approach
- Initial reduction to mean field already results in a consistent description of Quark-Hadron phase transition

## Outlook

- Density functional with chiral physics
- Reproduction of Lattice results
- Continuum contributions and substructure effects
- Cluster mean field

## Collaboration

- Tobias Fischer, Andreas Bauswein, Stefan Typel, Gerd Röpke, Diana Alvear Terrero, David Blaschke

*Thank you!*