

Thermodynamic Nuclear Equation of State

from

(Chiral) Effective Field Theory

Corbinian Wellenhofer

"Nuclear equation of state and neutron stars"

International Workshop XLVIII on Gross Properties of Nuclei and Nuclear Excitations

Darmstädter Haus, Hirschegg, Austria

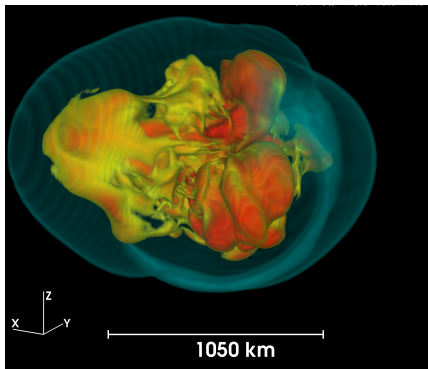
January 16, 2020



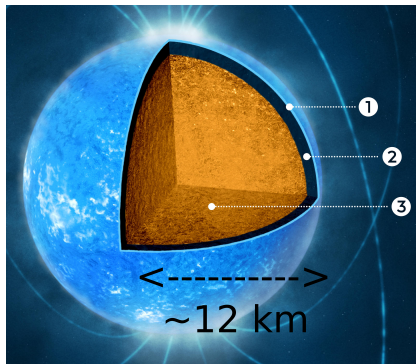
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Neutron stars & supernova cores: **nuclear matter** at high ρ , T , $\delta = (\rho_n - \rho_p)/\rho$



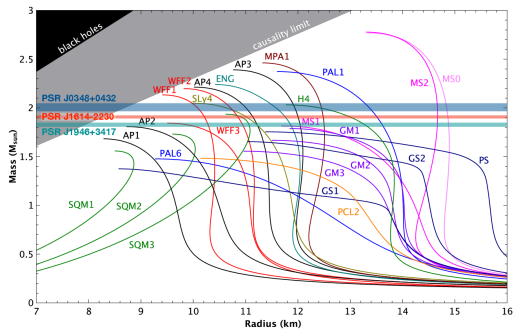
Andresen *et al.*, arXiv:1810.07638



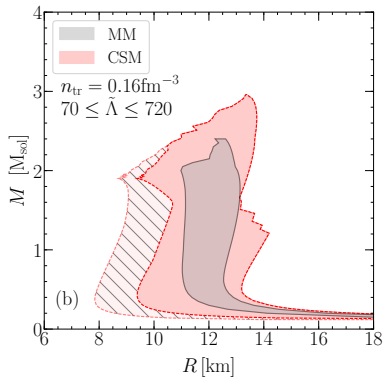
Watts *et al.*, RMP 88 (2016)

- fundamental problem of strong interaction physics: **nuclear many-body problem**
- relevant for: **nucleosynthesis, gravitational waves, heavy-ion collisions**

Nuclear EOS $P(E)$ determines M-R relation of neutron star ($T = 0$)



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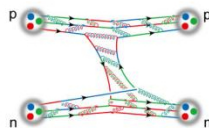
Tews, Margueron, Reddy, EPJ A55 (2019)

- **low densities:** → effective field theory (EFT) calculations
- **higher densities:** observational constraints, FRG methods

Effective Field Theory of Nuclear Interactions

Low-Energy QCD: $Q < \Lambda_\chi \sim 1 \text{ GeV}$

- strongly-coupled, confinement
- nonlinear realization of chiral symmetry



by: S. Aoki (Kyoto U)

Effective Nuclear Interactions

- General Lagrangian $\mathcal{L}_{\text{EFT}}(N, \pi, \dots)$
- Low-energy constants $\{c_i\}$
- **Renormalization** (of 'nonrenormalizable' interactions)

Goal: systematic expansion for observables

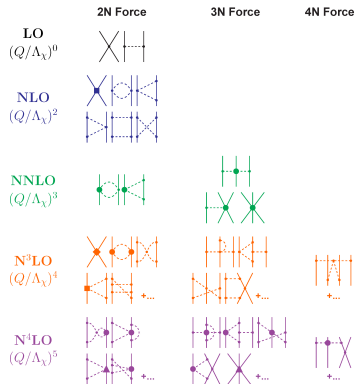
Method 1: perturbative EFT

→ dilute nuclear matter

Few-body systems require resummations!

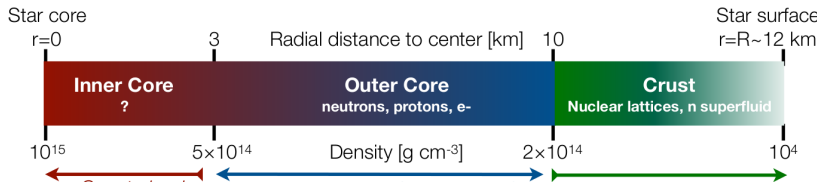
Method 2: chiral EFT potentials

→ higher densities



Machleidt; *Symm.* 8 (2016)

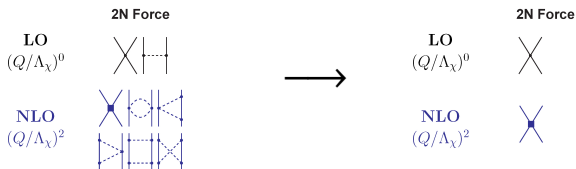
Strategy & Outline



- **Crust:** dilute neutron gas → Part 1
- **Outer Core:** dense nuclear fluid → Part 2
- **Inner core:** constrained extrapolation → [talk by Sabrina Schäfer](#)

Simpler Problem: Dilute Fermi Gas

→ (Perturbative) Pionless EFT



Nuclear Many-Body Problem

Chiral EFT Potentials

EFT Lagrangian

$$\begin{aligned} \mathcal{L}_{\text{EFT}}[\psi] = & \psi^\dagger \left[i\partial_t + \frac{\vec{\nabla}^2}{2M} \right] \psi - \frac{C_0^{(\text{bare})}}{2} (\psi^\dagger \psi)^2 + \frac{C_2^{(\text{bare})}}{16} [(\psi\psi)^\dagger (\psi \vec{\nabla}^2 \psi) + h.c.] \\ & + \frac{C_{2'}^{(\text{bare})}}{8} (\psi \vec{\nabla} \psi)^\dagger \cdot (\psi \vec{\nabla} \psi) - \frac{D_0^{(\text{bare})}}{6} (\psi^\dagger \psi)^3 + \dots \end{aligned}$$

(Bare) low-energy constants: • NN interaction: $C_0^{(\text{bare})}$, $C_2^{(\text{bare})}$, $C_{2'}^{(\text{bare})}$, ...
 • 3N interaction: $D_0^{(\text{bare})}$, ...

see for example: Hammer & Furnstahl, NPA 678 (2000)



Perturbative renormalization (sharp cutoff Λ)

Divergent loop integrals ($C_0^{(\text{bare})}$ term):

- NN scattering: $\mathcal{I} = \frac{1}{2\pi} \int_0^\Lambda d^3q \frac{q^0}{q^2 - p^2} = 2\Lambda + \dots$
- MBPT: $\mathcal{I} = \frac{1}{2\pi} \int_{k_F}^\Lambda d^3q \frac{q^0}{q^2 - p^2} = 2\Lambda + \dots$



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\Rightarrow **same counterterms renormalize NN scattering and MBPT (ladders)**



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$$C_0^{(\text{bare})} = C_0 + C_0 \sum_{v=1}^3 \left(C_0 \frac{M}{2\pi^2} \Lambda \right)^v + C_2 C_0 \frac{M}{3\pi^2} \Lambda^3 + \dots$$

$$C_2^{(\text{bare})} = C_2 + C_2 C_0 \frac{M}{\pi^2} \Lambda + \dots$$

Renormalized perturbation theory:

- power counting w.r.t. physical LECs C_0, C_2, \dots
- “non-renormalizable” $C_2^{(\text{bare})}, \dots$ require higher-order counterterms at each loop order



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LEC fixing ($\Lambda \rightarrow \infty$)

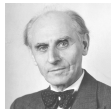
- NN LECs matched to ERE: $C_0 = \frac{4\pi a_s}{M}$, $C_2 = C_0 \frac{a_s r_s}{2}$, ...
- $g > 2$: three-body LEC at 4th order, to be fixed in 3N sector

\Rightarrow **predictions for many-body sector**

$$\text{Ground-state energy: } E(k_F) = n \frac{k_F^2}{2M} \left[\frac{3}{5} + (g-1) \sum_{\nu=1}^{\infty} \alpha_{\nu}(k_F) \right]$$

$$\alpha_1(k_F) = \frac{2}{3\pi} k_F a_s$$

W. Lenz (1929)



$$\alpha_2(k_F) = \frac{4}{35\pi^2} (11 - 2 \ln 2) (k_F a_s)^2$$

T.D. Lee & C.N. Yang (1957)

C. de Dominicis & P.C. Martin (1957)



$$\alpha_3(k_F) = \left[0.0755732(0) + 0.0573879(0) (g-3) \right] (k_F a_s)^3$$

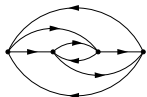
$$+ \frac{1}{10\pi} (k_F a_s)^2 k_F r_s + \frac{1}{5\pi} \frac{g+1}{g-1} (k_F a_p)^3$$

V.N. Efimov (1966)

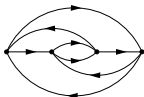


Calculation of $E_4(k_F)$

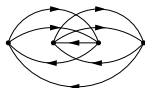
C_0 contributions: MBPT(1,2): 1 diag, MBPT(3): 3 diags, MBPT(4): 33 diags, MBPT(5): 668



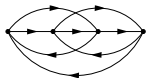
II5



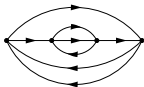
II6



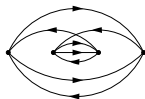
III7



IIA1



III1



III8

- I(1,2,4,5), II(1,2,6), III(1,8): **UV power divergences**: subladders, NN counterterms
- II(5,6), IIA1, III1: **logarithmic UV divergences**: 3N counterterm $g > 2$, cancel $g = 2$
 \leadsto logarithmic term at fourth order $(g - 2)(k_F a_s)^4 \ln k_F / \Lambda_0$

V. N. Efimov, Phys. Lett. 15, (1965)

- III(1,2,8,10): **infrared divergences**: cancel in III(1+8) and III(2+10)

EFT Expansion at Fourth Order

$$\alpha_4(k_F) = -0.0425(1) (k_F a_s)^4 + 0.0644872(0) (k_F a_s)^3 k_F r_s + \gamma_4 (g - 2) (k_F a_s)^4$$

with: $\gamma_4(k_F) = \frac{M D_0(\Lambda_0)}{108\pi^4 a_s^4} + 0.2707(4) - 0.00864(2) (g - 2) + \frac{16}{27\pi^3} (4\pi - 3\sqrt{3}) \ln(k_F/\Lambda_0)$

Efimov, Phys. Lett. 15, (1965)

Braaten & Nieto, PRB 55 (1997)

Hammer & Furnstahl, NPA 678 (2000)

Kaiser, EPJA 48 (2012)

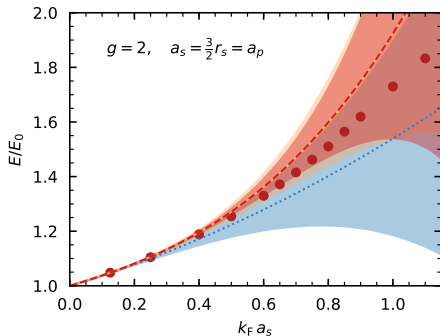
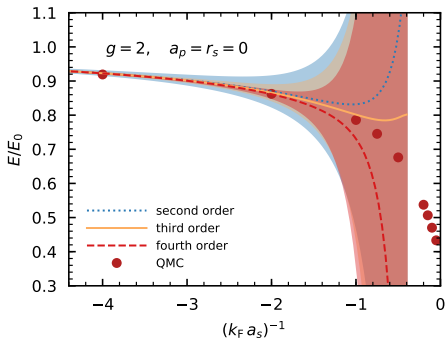
Wellenhofer, Drischler, Schwenk, arXiv:1812.08444

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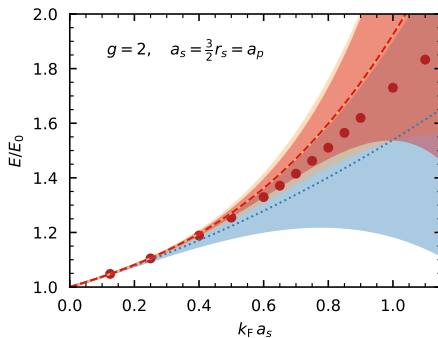
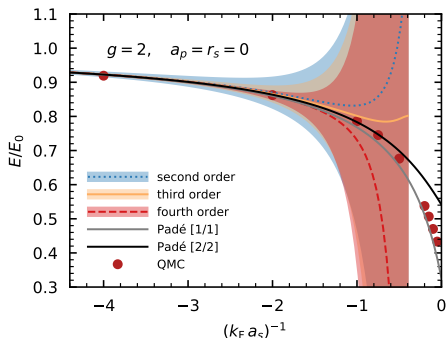
Systematic uncertainty bands by estimating next-order term as $C_{n+1} < \text{Max}[C_n]$

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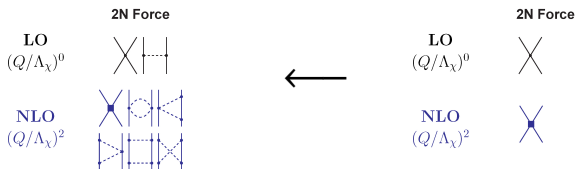
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Extrapolation/resummation methods (e.g., Pade Approximants), unitary limit $\xi = 0.37$

Simpler Problem: Dilute Fermi Gas

→ (Perturbative) Pionless EFT



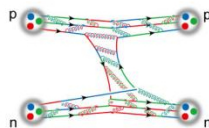
Nuclear Many-Body Problem

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Low-Energy QCD: $Q < \Lambda_\chi \sim 1 \text{ GeV}$

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- General Lagrangian $\mathcal{L}_{\text{EFT}}(N, \pi, \dots)$
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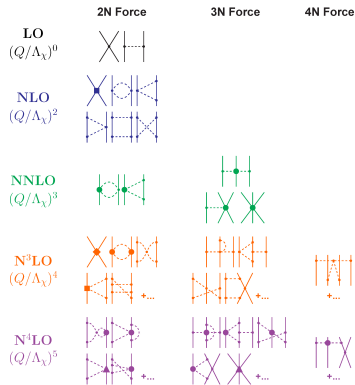
Method 1: perturbative EFT

→ dilute nuclear matter: $\Lambda \rightarrow \infty$, $c_i^{\text{bare}} = c_i + c.t.$

Few-body systems require resummations!

Method 2: chiral EFT potentials

→ higher densities: $\Lambda \lesssim \Lambda_\chi$, $c_i^{\text{bare}} = c_i^{\text{bare}}(\Lambda)$



Machleidt; *Symm.* 8 (2016)

NN Potentials

(Ad hoc) NN potentials (\sim 1970-1990) from fits to NN data

- long range: pion exchange (I)
- intermediate-range attraction (II)
- strong short-distance repulsion: 'hard core' (III)

\leadsto nuclear many-body problem nonperturbative!

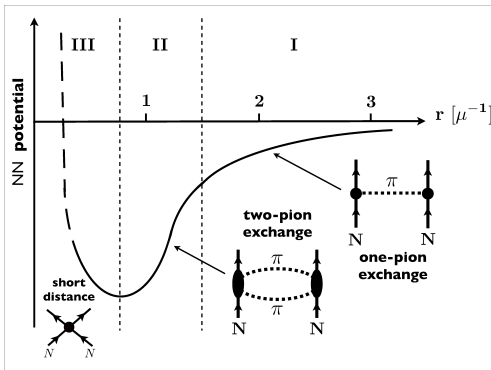
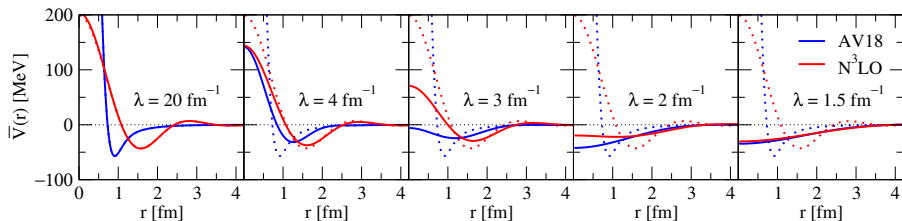


figure adapted from: Holt *et al*; PPNP 73 (2013), Taketani; PTPS 3 (1956)

RG methods to construct **perturbative** NN potentials \rightarrow MBPT for nuclear matter calculations



Furnstahl; Nucl. Phys. B Proc. Suppl. (2012)

RG evolution induces multi-nucleon forces

\rightarrow truncation leads to cutoff dependence!

\rightarrow power counting: Λ dependence should decrease with increasing EFT orders

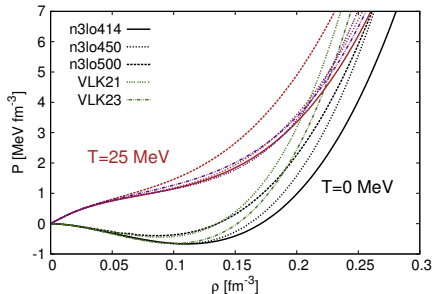
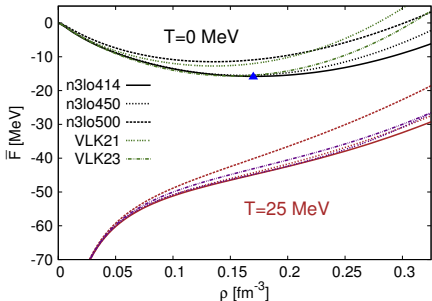
NN+3N potentials used in this work

	Λ (fm $^{-1}$)	c_E	c_D	c_1 (GeV $^{-1}$)	c_3 (GeV $^{-1}$)	c_4 (GeV $^{-1}$)
n3lo414	2.1	-0.072	-0.4	-0.81	-3.0	3.4
n3lo450	2.3	-0.106	-0.24	-0.81	-3.4	3.4
n3lo500	2.5	-0.205	-0.20	-0.81	-3.2	5.4
VLK21	2.1	-0.625	-2.062	-0.76	-4.78	3.96
VLK23	2.3	-0.822	-2.785	-0.76	-4.78	3.96

Entem, Machleidt; PRC 68 (2003), Gazit; Phys.Lett.B 666 (2008), Coraggio, Holt *et al.*; PRC 87 (2013) + PRC 89 (2014)

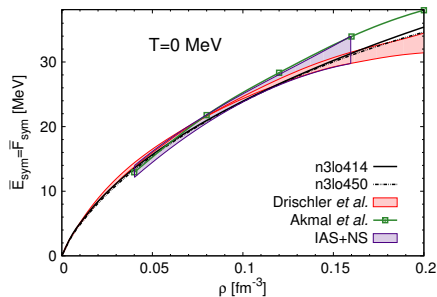
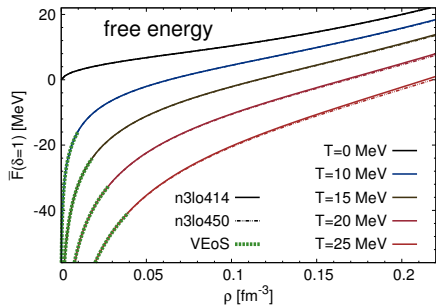
Bogner, Furnstahl, Schwenk, Nogga; NPA 763 (2005), Nogga, Bogner, Schwenk; PRC 70 (2004)

Many-Body Perturbation Theory: $F(\rho) = F_0(\rho) + F_1(\rho) + F_2(\rho) + \dots \xrightarrow{T \rightarrow 0} E(k_F)$



- empirical saturation point $(E_0, \rho_0) \approx (16 \text{ MeV}, 0.16 \text{ fm}^{-3})$
- negative thermal expansion at high ρ (?) (\sim water below 4°C)
 - \rightarrow **astrophysics:** thermal index $\Gamma = 1 + \frac{P(T) - P(0)}{\epsilon(T) - \epsilon(0)} < 1$
- $\rho \gtrsim \rho_{\text{sat}}$: model dependence sizeable, dominated by 3N contributions

Pure Neutron Matter, Symmetry Energy



Good agreement with

- virial expansion for dilute neutron matter Horowitz & Schwenk; Phys.Lett.B 638 (2006)
- empirical constraints on symmetry energy \bar{E}_{sym} from measurements of isobaric analog states (IAS) and neutron skins (NS)

Danielewicz & Lee; Nucl. Phys. A 922 (2013)

Wellenhofer, Holt, Kaiser; PRC 92 (2015)

see also: Tews *et al.*; PRL 110 (2013)

see also: Drischler *et al.*; PRC 94 (2016)

Nuclear Liquid-Gas Phase Transition

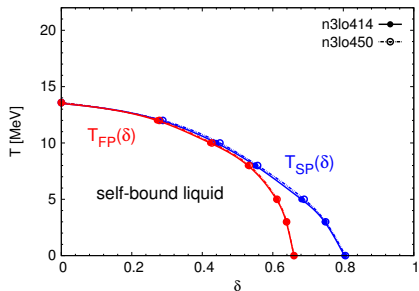
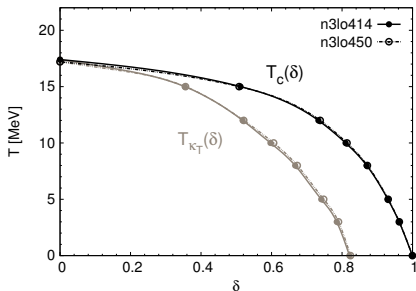
Neutron star crust-core transition – Coulomb = nuclear liquid-gas transition

- spinodal instability \leadsto multifragmentation experiments

$\leadsto T_c(\delta = 0) \approx 15 - 20$ MeV *Karnaukhov et al., Phys.Atom.Nucl. 71 (2008)*

Dependence of T_c on isospin-asymmetry $\delta = (\rho_n - \rho_p)/\rho$

- **isospin distillation:** $F(\delta) \sim F(0) + \delta^2 F_{\text{sym}}(0) + \dots$ does not work!
- (metastable) self-bound states at low T and δ



Wellenhofer, Holt, Kaiser, PRC 92 (2015) & PRC 93 (2016), see also: Carbone et al., PRC 98 (2018)

MBPT Binary System: Asymmetry Expansion

Explicit parametrization via expansion about $\delta = 0$, where $\delta = (\rho_n - \rho_p)/\rho$

$$F(\delta) \sim \overbrace{F(\delta = 0) + A_2 \delta^2}^{\geq 99\% \text{ of literature}} + A_4 \delta^4 + A_6 \delta^6 + \dots$$

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Higher-order coefficients A_4, A_6 are **singular** at zero temperature!

$$F_2(T = 0, \rho, \delta) = A_0(0, \rho) + A_2(0, \rho) \delta^2 + \sum_{n=2}^{\infty} A_{2n, \text{reg}}(\rho) \delta^{2n} + \sum_{n=2}^{\infty} A_{2n, \text{log}}(\rho) \delta^{2n} \ln |\delta|$$

Kaiser; PRC 92 (2015) Wellenhofer, Kaiser, Weise; PRC 95 (2016)

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Kaiser; PRC 92 (2015) Wellenhofer, Kaiser, Weise; PRC 95 (2016)

What is the origin of the logarithmic terms at $T = 0$? What happens at finite T ?

→ energy denominators in contributions beyond first order, e.g.,

$$E_{0;2} = -\frac{1}{4} \sum_{ijab} \bar{V}_{NN}^{ij,ab} \bar{V}_{NN}^{ab,ij} \frac{\theta_i^- \theta_j^- \theta_a^+ \theta_b^+}{\varepsilon_a + \varepsilon_b - \varepsilon_i - \varepsilon_j}$$

$$F_2 = -\frac{1}{8} \sum_{ijab} \bar{V}_{NN}^{ij,ab} \bar{V}_{NN}^{ab,ij} \frac{\tilde{f}_i^- \tilde{f}_j^- \tilde{f}_a^+ \tilde{f}_b^+ - \tilde{f}_i^+ \tilde{f}_j^+ \tilde{f}_a^- \tilde{f}_b^-}{\varepsilon_a + \varepsilon_b - \varepsilon_i - \varepsilon_j}$$

integrand diverges at integral boundary

$$\leadsto E_{0;2} \in C^3$$

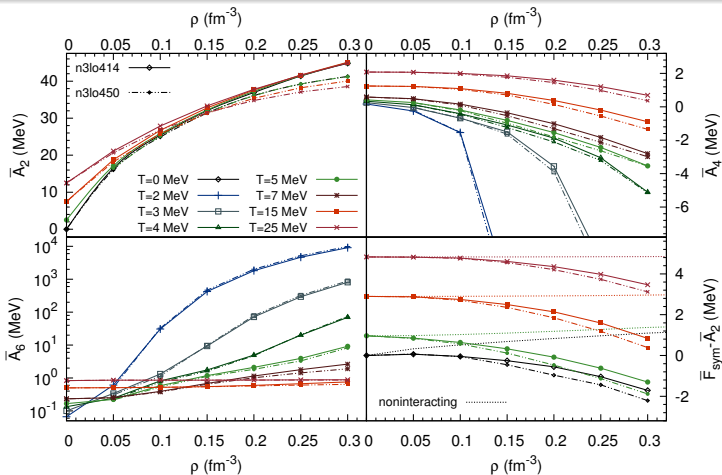
smooth integrand

$$\leadsto F_2 \in C^\infty$$

Logarithmic terms also when ladders are resummed to all orders!

MBPT Binary System: Asymmetry Expansion

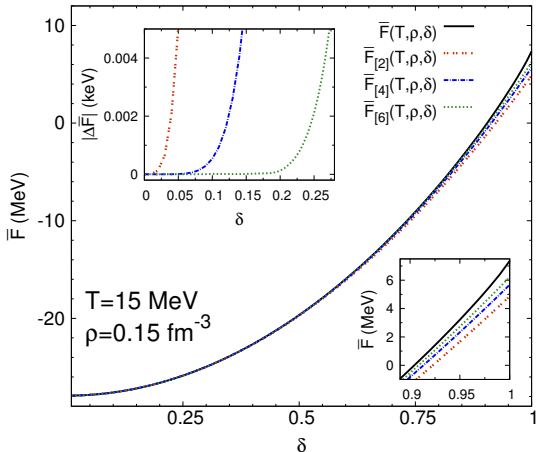
- $A_2 > A_4 > A_6 > \dots$ at high $T\mu$, $A_2 \ll A_4 \ll A_6 \ll \dots$ at low $T\mu$
 $(A_{2n \geq 4} \xrightarrow{T \rightarrow 0} \pm \infty)$



Wellenhofer, Holt, Kaiser; PRC 93 (2016)

- **bottom-right:** accuracy of quadratic approximation governed by $F_{\text{sym}} - A_2$

Asymmetry Expansion (High Temperature)

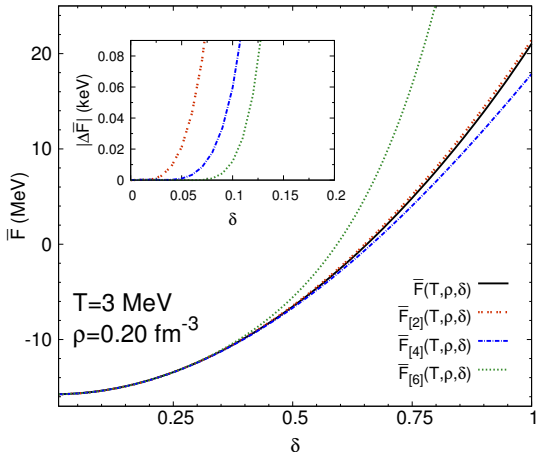


Wellenhofer, Holt, Kaiser; PRC 93 (2016)

Main Plot: Exact $F(T, \rho, \delta)$ vs different orders in the expansion $F_{2,4,6}(T, \rho, \delta)$

Insets: Deviation $\Delta F = F - F_{2,4,6}$

Asymmetry Expansion (Low Temperature)

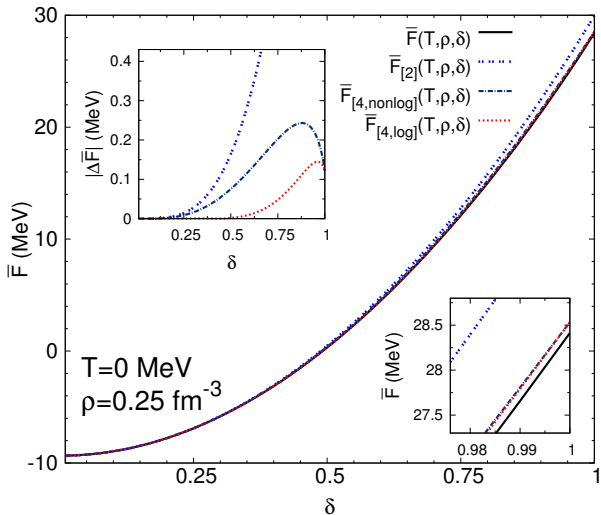


Wellenhofer, Holt, Kaiser; PRC 93 (2016)

Main Plot: Exact $F(T,\rho,\delta)$ vs different orders in the expansion $F_{2,4,6}(T,\rho,\delta)$

Inset: Deviation $\Delta F = F - F_{2,4,6}$

Asymmetry Expansion ($T = 0$)



Wellenhofer, Holt, Kaiser; PRC 93 (2016)

$T = 0$: Exact $F(T, \rho, \delta)$ vs 'logarithmic' expansion

$$\mathcal{H} = \mathcal{T}_{\text{kin}} + \mathcal{V} = \underbrace{(\mathcal{T}_{\text{kin}} + \mathcal{U})}_{\substack{\text{reference system} \\ \text{"mean-field theory"}}} + \underbrace{(\mathcal{V} - \mathcal{U})}_{\substack{\text{perturbation} \\ \text{"correlations"}}, \quad \text{with SP potential } \mathcal{U} = \sum_{\mathbf{k}} U_{\mathbf{k}} a_{\mathbf{k}}^{\dagger} a_{\mathbf{k}}$$

→ **usually:** Hartree-Fock potential: $U_{\mathbf{k}} = \sum_{\mathbf{p}} \langle \mathbf{k}\mathbf{p} | V | \mathbf{k}\mathbf{p} \rangle = \frac{\delta \Omega_1}{\delta n_{\mathbf{k}}}$

Order-by-order renormalization of SP potential: $U_{\mathbf{k}} = \sum_{n=1}^N \frac{\delta \Omega_{n,\text{normal}}^{*,**,***}}{\delta n_{\mathbf{k}}}$

Balian & de Dominicis; Comp. Rend. (1960)
Wellenhofer; PRC 99 (2019)

- thermodynamic relations of Fermi-liquid theory (\sim Landau), **valid $\forall T$**

$$\varrho = \sum_{\mathbf{k}} n_{\mathbf{k}}, \quad S = - \sum_{\mathbf{k}} (n_{\mathbf{k}} \ln n_{\mathbf{k}} + \bar{n}_{\mathbf{k}} \ln \bar{n}_{\mathbf{k}}), \quad \frac{\delta E}{\delta n_{\mathbf{k}}} = \epsilon_{\mathbf{k}}, \quad F = F_0 + F_{\text{int}}$$

- grand-canonical and $T = 0$ MBPT consistent: $F(T, \mu) \xrightarrow{T \rightarrow 0} E(k_{\text{F}})$
- *"at each new order, not only is new information about interaction effects included, but this information automatically improves the reference point"*
- Fermi-liquid relations: \leadsto phenomenological parametrizations, Sommerfeld
- Second-order contribution $U_{2,\mathbf{k}}$ has significant effects! Holt, Kaiser; PRC 95 (2017)
- **But:** convergence rate with higher-order \mathcal{U} ? → Current Work!

Dilute Fermi Systems

- perturbative EFT: systematic uncertainties via EFT orders
- k_F expansion for $E(k_F)$ evaluated up to fourth order
 - converged results for $k_F a_s \lesssim 0.5$, Padé extrapolations for larger $k_F a_s$

→ improved large $k_F a_s$ extrapolations? (current work with Daniel Phillips & Achim Schwenk)

Nuclear Matter Thermodynamics

- **Realistic nuclear thermodynamics from chiral EFT potentials**
 - astrophysical EOS tables (current work with Sabrina Schäfer & Achim Schwenk)
 - improved calculations (current work with Christian Drischler, Jonas Keller, Kai Hebeler, Achim Schwenk)
- **3N contributions enhanced at high densities, dominate uncertainties**

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