

## 4.4 Field operators

- ▶ two complete single-particle bases:  $\{|i\rangle\}$ ,  $\{|\lambda\rangle\}$
- ▶ basis change:  $a_\lambda^\dagger |0\rangle = |\lambda\rangle = \sum_i |i\rangle \langle i|\lambda\rangle = \sum_i \langle i|\lambda\rangle a_i^\dagger |0\rangle$   
 $\Rightarrow a_\lambda^\dagger = \sum_i \langle i|\lambda\rangle a_i^\dagger = \sum_i \langle \lambda|i\rangle^* a_i^\dagger \quad \Rightarrow \quad a_\lambda = \sum_i \langle \lambda|i\rangle a_i$
- ▶ important case:  
 $\{|\lambda\rangle\} = \{|\vec{x}\rangle\}$  (position-space eigenstates),  $\langle \vec{x}|i\rangle = \varphi_i(\vec{x})$
- “field operators”:  $\psi(\vec{x}) \equiv a_{\vec{x}} = \sum_i \varphi_i(\vec{x}) a_i$ ,  
 $\psi^\dagger(\vec{x}) \equiv a_{\vec{x}}^\dagger = \sum_i \varphi_i^*(\vec{x}) a_i^\dagger$

# Interpretation

►  $\psi^\dagger(\vec{x}) |0\rangle = \sum_i \varphi_i^*(\vec{x}) a_i^\dagger |0\rangle = \sum_i |i\rangle \langle i|\vec{x}\rangle = |\vec{x}\rangle$

► particle number:

$$\hat{N} \psi^\dagger(\vec{x}) |0\rangle = \sum_j a_j^\dagger a_j \sum_i \varphi_i^*(\vec{x}) a_i^\dagger |0\rangle = \sum_{ij} \varphi_i^*(\vec{x}) a_j^\dagger a_j a_i^\dagger |0\rangle$$

► bosons:  $a_j a_i^\dagger |0\rangle = \underbrace{[a_j, a_i^\dagger]}_{=\delta_{ji}} |0\rangle + a_i^\dagger \underbrace{a_j |0\rangle}_{=0} = \delta_{ji} |0\rangle$

► fermions:  $a_j a_i^\dagger |0\rangle = \underbrace{\{a_j, a_i^\dagger\}}_{=\delta_{ji}} |0\rangle - a_i^\dagger \underbrace{a_j |0\rangle}_{=0} = \delta_{ji} |0\rangle$

$$\Rightarrow \hat{N} \psi^\dagger(\vec{x}) |0\rangle = \sum_i \varphi_i^*(\vec{x}) a_i^\dagger |0\rangle = \psi^\dagger(\vec{x}) |0\rangle \Rightarrow N = 1$$

→  $\psi^\dagger(\vec{x})$  creates a particle at position  $\vec{x}$ .

Analogously,  $\psi(\vec{x})$  annihilates a particle at position  $\vec{x}$ .

# Commutator relations

- ▶ Notation, allowing a common treatment of bosons and fermions:

$$[A, B]_{\pm} \equiv AB \pm BA \quad \Rightarrow \quad [A, B]_+ \equiv \{A, B\}, \quad [A, B]_- \equiv [A, B]$$

$$\boxed{\quad [\psi(\vec{x}), \psi(\vec{x}')]_{\pm} = \sum_{ij} \varphi_i(\vec{x}) \varphi_j(\vec{x}') \underbrace{[a_i, a_j]_{\pm}}_{=0} = 0}$$

$$\boxed{\quad \text{analogously: } [\psi^\dagger(\vec{x}), \psi^\dagger(\vec{x}')]_{\pm} = 0}$$

$$\begin{aligned} \boxed{\quad [\psi(\vec{x}), \psi^\dagger(\vec{x}')]_{\pm} &= \sum_{ij} \varphi_i(\vec{x}) \varphi_j^*(\vec{x}') \underbrace{[a_i, a_j^\dagger]_{\pm}}_{=\delta_{ij}} \\ &= \sum_i \varphi_i(\vec{x}) \varphi_i^*(\vec{x}') = \sum_i \langle \vec{x} | i \rangle \langle i | \vec{x}' \rangle = \langle \vec{x} | \vec{x}' \rangle = \delta^3(\vec{x} - \vec{x}')}$$

$$\Rightarrow \boxed{[\psi(\vec{x}), \psi(\vec{x}')]_{\pm} = [\psi^\dagger(\vec{x}), \psi^\dagger(\vec{x}')]_{\pm} = 0, \quad [\psi(\vec{x}), \psi^\dagger(\vec{x}')]_{\pm} = \delta^3(\vec{x} - \vec{x}')} \\ (\text{+ for fermions, - for bosons})$$

# Single- and two-particle operators

- ▶ kinetic energy:

$$\begin{aligned}\hat{T} &= \sum_{ij} \langle i | \hat{t} | j \rangle a_i^\dagger a_j = \sum_{ij} \int d^3x \int d^3x' \underbrace{\langle i | \vec{x}' \rangle}_{\varphi_i^*(\vec{x}')} \underbrace{\langle \vec{x}' | \hat{t} | \vec{x} \rangle}_{\varphi_j(\vec{x})} \underbrace{\langle \vec{x} | j \rangle}_{a_j^\dagger a_j} \\ &= \int d^3x \int d^3x' \psi^\dagger(\vec{x}') \langle \vec{x}' | \hat{t} | \vec{x} \rangle \psi(\vec{x})\end{aligned}$$

$$\begin{aligned}\hat{t} &= \frac{\hbar^2 \hat{k}^2}{2m} \quad \Rightarrow \quad \langle \vec{x}' | \hat{t} | \vec{x} \rangle = \int \frac{d^3k}{(2\pi)^3} \int \frac{d^3k'}{(2\pi)^3} \underbrace{\langle \vec{x}' | \vec{k}' \rangle}_{e^{i\vec{k}' \cdot \vec{x}'}} \underbrace{\langle \vec{k}' | \hat{t} | \vec{k} \rangle}_{\frac{\hbar^2 \vec{k}^2}{2m} (2\pi)^3 \delta^3(\vec{k}' - \vec{k})} \underbrace{\langle \vec{k} | \vec{x} \rangle}_{e^{-i\vec{k} \cdot \vec{x}}} \\ &= \int \frac{d^3k}{(2\pi)^3} \frac{\hbar^2 \vec{k}^2}{2m} e^{i\vec{k} \cdot (\vec{x}' - \vec{x})}\end{aligned}$$

$$\Rightarrow \hat{T} = \int d^3x \int d^3x' \int \frac{d^3k}{(2\pi)^3} \psi^\dagger(\vec{x}') \frac{\hbar^2 \vec{k}^2}{2m} e^{i\vec{k} \cdot (\vec{x}' - \vec{x})} \psi(\vec{x})$$

$$\begin{aligned}\hat{T} &= \int d^3x \int d^3x' \int \frac{d^3k}{(2\pi)^3} \psi^\dagger(\vec{x}') \frac{\hbar^2 \vec{k}^2}{2m} e^{i\vec{k} \cdot (\vec{x}' - \vec{x})} \psi(\vec{x}) \\ &= \int d^3x \int d^3x' \int \frac{d^3k}{(2\pi)^3} \psi^\dagger(\vec{x}') \left( -\frac{\hbar^2 \vec{\nabla}^2}{2m} e^{i\vec{k} \cdot (\vec{x}' - \vec{x})} \right) \psi(\vec{x})\end{aligned}$$

integrate by parts twice:

$$\begin{aligned}&= \int d^3x \int d^3x' \underbrace{\int \frac{d^3k}{(2\pi)^3} e^{i\vec{k} \cdot (\vec{x}' - \vec{x})} \psi^\dagger(\vec{x}') \left( -\frac{\hbar^2 \vec{\nabla}^2}{2m} \right) \psi(\vec{x})}_{\delta^3(\vec{x}' - \vec{x})} \\ &= -\frac{\hbar^2}{2m} \int d^3x \psi^\dagger(\vec{x}) \vec{\nabla}^2 \psi(\vec{x}) \stackrel{\text{int. by parts}}{=} \frac{\hbar^2}{2m} \int d^3x (\vec{\nabla} \psi^\dagger(\vec{x})) \cdot (\vec{\nabla} \psi(\vec{x}))\end{aligned}$$

► single-particle potential:

$$\begin{aligned}\hat{U} &= \sum_{ij} \langle i | \hat{U}(\hat{\vec{x}}) | j \rangle a_i^\dagger a_j = \int d^3x \int d^3x' \psi^\dagger(\vec{x}') \underbrace{\langle \vec{x}' | \hat{U}(\hat{\vec{x}}) | \vec{x} \rangle}_{U(\vec{x}) \delta(\vec{x}' - \vec{x})} \psi(\vec{x}) \\ &= \int d^3x \psi^\dagger(\vec{x}) U(\vec{x}) \psi(\vec{x})\end{aligned}$$

► two-particle operators:

$$\begin{aligned}
 \hat{F} &= \frac{1}{2} \sum_{ijmn} \langle i, j | \hat{f} | m, n \rangle a_i^\dagger a_j^\dagger a_n a_m \\
 &= \frac{1}{2} \sum_{ijmn} \int d^3x_1 d^3x_2 \varphi_i^*(\vec{x}_1) \varphi_j^*(\vec{x}_2) V(\vec{x}_1, \vec{x}_2) \varphi_m(\vec{x}_1) \varphi_n(\vec{x}_2) a_i^\dagger a_j^\dagger a_n a_m \\
 &= \frac{1}{2} \int d^3x_1 d^3x_2 \psi^\dagger(\vec{x}_1) \psi^\dagger(\vec{x}_2) V(\vec{x}_1, \vec{x}_2) \psi(\vec{x}_2) \psi(\vec{x}_1)
 \end{aligned}$$

→ Hamiltonian:

$$\begin{aligned}
 H &= \int d^3x \left( \frac{\hbar^2}{2m} (\vec{\nabla} \psi^\dagger(\vec{x})) \cdot (\vec{\nabla} \psi(\vec{x})) + \psi^\dagger(\vec{x}) U(\vec{x}) \psi(\vec{x}) \right) \\
 &\quad + \frac{1}{2} \int d^3x_1 d^3x_2 \psi^\dagger(\vec{x}_1) \psi^\dagger(\vec{x}_2) V(\vec{x}_1, \vec{x}_2) \psi(\vec{x}_2) \psi(\vec{x}_1)
 \end{aligned}$$

► particle density operator:  $\hat{n}(\vec{x}) = \sum_{\alpha} \delta^3(\vec{x} - \hat{\vec{x}}_{\alpha})$

$$\Rightarrow \hat{n}(\vec{x}) |\vec{x}_1, \dots, \vec{x}_N\rangle = \sum_{\alpha} \delta^3(\vec{x} - \hat{\vec{x}}_{\alpha}) |\vec{x}_1, \dots, \vec{x}_N\rangle$$

general single-particle operators:  $\hat{T} = \sum_{\alpha} \hat{t}_{\alpha} = \sum_{ij} \langle i | \hat{t} | j \rangle a_i^\dagger a_j$

$$\Rightarrow \hat{n}(\vec{x}) = \sum_{ij} \underbrace{\langle i | \delta^3(\vec{x} - \hat{\vec{x}}) | j \rangle}_{\begin{matrix} \uparrow & \uparrow \\ \text{"c number"} & \text{operator} \end{matrix}} a_i^\dagger a_j$$

$$= \sum_{ij} \int d^3x' \int d^3x'' \langle i | \vec{x}'' \rangle \underbrace{\langle \vec{x}'' | \delta^3(\vec{x} - \hat{\vec{x}}) | \vec{x}' \rangle}_{\delta^3(\vec{x} - \vec{x}')} \underbrace{\langle \vec{x}' | j \rangle}_{\delta^3(\vec{x}'' - \vec{x}')} a_i^\dagger a_j$$

$$= \sum_{ij} \varphi_i^*(\vec{x}) \varphi_j(\vec{x}) a_i^\dagger a_j = \psi^\dagger(\vec{x}) \psi(\vec{x})$$

⇒ total particle-number operator:  $\hat{N} = \int d^3x \hat{n}(\vec{x}) = \int d^3x \psi^\dagger(\vec{x}) \psi(\vec{x})$

# Second quantization

►  $\hat{n}(\vec{x}) = \psi^\dagger(\vec{x})\psi(\vec{x})$ ,  $\hat{N} = \int d^3x \psi^\dagger(\vec{x})\psi(\vec{x})$ :

formal similarity with the probability density and the total probability in the Schrödinger theory

► Schrödinger:  $\psi(\vec{x})$  = wave function

→  $n(\vec{x})$  = function (classical field),  $N$  = number

► now:  $\psi(\vec{x})$  = operator →  $\hat{n}(\vec{x})$ ,  $\hat{N}$  = operators

This correspondence is called “second quantization”.

► single-particle operators:

►  $\hat{T} = \int d^3x \psi^\dagger(\vec{x}) \left( -\frac{\hbar^2}{2m} \vec{\nabla}^2 \right) \psi(\vec{x})$

►  $\hat{U} = \int d^3x \psi^\dagger(\vec{x}) U(\vec{x}) \psi(\vec{x})$

look like expectation values but are operators

# Field equation

- ▶ Heisenberg picture → time dependent field ops.:  $\psi(\vec{x}, t) = e^{\frac{i}{\hbar} H t} \psi(\vec{x}) e^{-\frac{i}{\hbar} H t}$
- ▶ commutator relations at equal times:

$$[\psi(\vec{x}, t), \psi(\vec{x}', t)]_{\pm} = [\psi^\dagger(\vec{x}, t), \psi^\dagger(\vec{x}', t)]_{\pm} = 0, \quad [\psi(\vec{x}, t), \psi^\dagger(\vec{x}', t)]_{\pm} = \delta^3(\vec{x} - \vec{x}')$$

- ▶ Heisenberg equation:  $\frac{\partial}{\partial t} \psi(\vec{x}, t) = \frac{1}{i\hbar} [\psi(\vec{x}, t), H]$

- ▶ explicit evaluation for

$$H = \int d^3x \psi^\dagger(\vec{x}, t) \left( -\frac{\hbar^2}{2m} \vec{\nabla}^2 + U(\vec{x}) \right) \psi(\vec{x}, t)$$

$$+ \frac{1}{2} \int d^3x_1 d^3x_2 \psi^\dagger(\vec{x}_1, t) \psi^\dagger(\vec{x}_2, t) V(\vec{x}_1, \vec{x}_2) \psi(\vec{x}_2, t) \psi(\vec{x}_1, t)$$

→ form of a non-linear Schrödinger equation (see exercises):

$$\begin{aligned} i\hbar \frac{\partial}{\partial t} \psi(\vec{x}, t) &= \left( -\frac{\hbar^2}{2m} \vec{\nabla}^2 + U(\vec{x}) \right) \psi(\vec{x}, t) \\ &+ \int d^3x' \psi^\dagger(\vec{x}', t) V(\vec{x}, \vec{x}') \psi(\vec{x}', t) \psi(\vec{x}, t) \end{aligned}$$

## 4.5 Momentum representation

- ▶ working in momentum space often more convenient (see scattering theory)
- ▶ single-particle basis: plane waves  $\varphi_{\vec{k}}(\vec{x}) \propto e^{i\vec{k} \cdot \vec{x}}$
- ▶ simplification: finite volume + periodic boundary conditions

▶  $V = L_x L_y L_z$ ,

▶  $\varphi_{\vec{k}}(\vec{x}) = \varphi_{\vec{k}}(\vec{x} + L_x \vec{e}_x) = \varphi_{\vec{k}}(\vec{x} + L_y \vec{e}_y) = \varphi_{\vec{k}}(\vec{x} + L_z \vec{e}_z)$

→ normalizable, discrete basis states  $|\vec{k}\rangle$

- ▶ orthonormalized basis wave functions:

$$\langle \vec{x} | \vec{k} \rangle = \varphi_{\vec{k}}(\vec{x}) = \frac{1}{\sqrt{V}} e^{i\vec{k} \cdot \vec{x}}, \quad \vec{k} \in 2\pi \left( \frac{n_x}{L_x}, \frac{n_y}{L_y}, \frac{n_z}{L_z} \right), \quad n_i \in \mathbb{Z}$$

$$\Rightarrow \langle \vec{k}' | \vec{k} \rangle = \int_V d^3x \varphi_{\vec{k}'}^*(\vec{x}) \varphi_{\vec{k}}(\vec{x}) = \delta_{\vec{k}', \vec{k}}$$

► kinetic energy:

$$t_{\vec{k}', \vec{k}} = \langle \vec{k}' | \frac{\hbar^2 \hat{\vec{k}}^2}{2m} | \vec{k} \rangle = \frac{\hbar^2 \vec{k}^2}{2m} \langle \vec{k}' | \vec{k} \rangle = \frac{\hbar^2 \vec{k}^2}{2m} \delta_{\vec{k}', \vec{k}}$$

$$\Rightarrow \hat{T} = \sum_{\vec{k}', \vec{k}} t_{\vec{k}', \vec{k}} a_{\vec{k}'}^\dagger a_{\vec{k}} = \sum_{\vec{k}} \frac{\hbar^2 \vec{k}^2}{2m} a_{\vec{k}}^\dagger a_{\vec{k}} = \sum_{\vec{k}} \frac{\hbar^2 \vec{k}^2}{2m} \hat{n}_{\vec{k}}$$

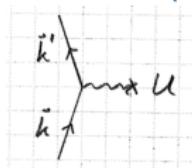
► single-particle potential:

$$U_{\vec{k}', \vec{k}} = \langle \vec{k}' | U(\hat{\vec{x}}) | \vec{k} \rangle = \int d^3x \int d^3x' \langle \vec{k}' | \vec{x}' \rangle \langle \vec{x}' | U(\hat{\vec{x}}) | \vec{x} \rangle \langle \vec{x} | \vec{k} \rangle$$

$$= \int d^3x \varphi_{\vec{k}'}^*(\vec{x}) U(\vec{x}) \varphi_{\vec{k}}(\vec{x}) = \frac{1}{V} \int d^3x e^{-i(\vec{k}' - \vec{k}) \cdot \vec{x}} U(\vec{x}) = \frac{1}{V} \tilde{U}(\vec{k}' - \vec{k})$$

(Fourier transform)

$$\Rightarrow \hat{U} = \frac{1}{V} \sum_{\vec{k}', \vec{k}} \tilde{U}(\vec{k}' - \vec{k}) a_{\vec{k}'}^\dagger a_{\vec{k}}$$



► two-particle potential:  $\hat{V} = \frac{1}{2} \sum_{\vec{k}_1, \vec{k}_2, \vec{k}_3, \vec{k}_4} V_{\vec{k}_3 \vec{k}_4 \vec{k}_1 \vec{k}_2} a_{\vec{k}_3}^\dagger a_{\vec{k}_4}^\dagger a_{\vec{k}_2} a_{\vec{k}_1}$

$$\begin{aligned} V_{\vec{k}_3 \vec{k}_4 \vec{k}_1 \vec{k}_2} &= \int d^3x_1 d^3x_2 \varphi_{\vec{k}_3}^*(\vec{x}_1) \varphi_{\vec{k}_4}^*(\vec{x}_2) V(x_1, x_2) \varphi_{\vec{k}_1}(\vec{x}_1) \varphi_{\vec{k}_2}(\vec{x}_2) \\ &= \frac{1}{V^2} \int d^3x_1 d^3x_2 e^{-i(\vec{k}_3 - \vec{k}_1) \cdot \vec{x}_1} e^{-i(\vec{k}_4 - \vec{k}_2) \cdot \vec{x}_2} V(x_1, x_2) \end{aligned}$$

Invariance under translations:

$V$  depends only on the relative coordinates:  $V(\vec{x}_1, \vec{x}_2) = V(\vec{x}_1 - \vec{x}_2)$

Fourier transform:  $\tilde{V}(\vec{q}) = \int d^3x e^{-i\vec{q} \cdot \vec{x}} V(\vec{x}) \Leftrightarrow V(\vec{x}) = \frac{1}{V} \sum_{\vec{q}} e^{i\vec{q} \cdot \vec{x}} \tilde{V}(\vec{q})$

$$\Rightarrow V_{\vec{k}_3 \vec{k}_4 \vec{k}_1 \vec{k}_2} = \frac{1}{V^3} \sum_{\vec{q}} \tilde{V}(\vec{q}) \int d^3x_1 d^3x_2 e^{-i(\vec{k}_3 - \vec{k}_1 - \vec{q}) \cdot \vec{x}_1} e^{-i(\vec{k}_4 - \vec{k}_2 + \vec{q}) \cdot \vec{x}_2}$$

$$= \frac{1}{V} \sum_{\vec{q}} \tilde{V}(\vec{q}) \delta_{\vec{k}_3, \vec{k}_1 + \vec{q}} \delta_{\vec{k}_4, \vec{k}_2 - \vec{q}}$$

$$\Rightarrow \hat{V} = \frac{1}{2V} \sum_{\vec{k}_1, \vec{k}_2, \vec{q}} \tilde{V}(\vec{q}) a_{\vec{k}_1 + \vec{q}}^\dagger a_{\vec{k}_2 - \vec{q}}^\dagger a_{\vec{k}_2} a_{\vec{k}_1}$$

