

## 4.7 Non-interacting bosons (spin 0)



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

## 4.7 Non-interacting bosons (spin 0)

- ▶ main difference to the fermions:  
All states can be occupied more than once.

## 4.7 Non-interacting bosons (spin 0)

- ▶ main difference to the fermions:  
All states can be occupied more than once.
- ▶ Consider  $|\phi\rangle = |n_{\vec{k}_1}, n_{\vec{k}_2}, \dots\rangle$

## 4.7 Non-interacting bosons (spin 0)



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

- ▶ main difference to the fermions:

All states can be occupied more than once.

- ▶ Consider  $|\phi\rangle = |n_{\vec{k}_1}, n_{\vec{k}_2}, \dots\rangle$

It was shown in the exercises:

- ▶ particle density:  $\langle n(\vec{x}) \rangle = \langle \phi | \psi^\dagger(\vec{x}) \psi(\vec{x}) | \phi \rangle = \frac{N}{V} \equiv n$  independent of  $\vec{x}$

## 4.7 Non-interacting bosons (spin 0)



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

- ▶ main difference to the fermions:

All states can be occupied more than once.

- ▶ Consider  $|\phi\rangle = |n_{\vec{k}_1}, n_{\vec{k}_2}, \dots\rangle$

It was shown in the exercises:

- ▶ particle density:  $\langle n(\vec{x}) \rangle = \langle \phi | \psi^\dagger(\vec{x}) \psi(\vec{x}) | \phi \rangle = \frac{N}{V} \equiv n$  independent of  $\vec{x}$

- ▶ pair distribution function:

$$\begin{aligned} g(\vec{x} - \vec{x}') &= \frac{\langle \phi | \psi^\dagger(\vec{x}) \psi^\dagger(\vec{x}') \psi(\vec{x}') \psi(\vec{x}) | \phi \rangle}{\langle \phi | \psi^\dagger(\vec{x}) \psi(\vec{x}) | \phi \rangle \langle \phi | \psi^\dagger(\vec{x}') \psi(\vec{x}') | \phi \rangle} \\ &= \frac{N-1}{N} + \frac{1}{N^2} \left\{ \left| \sum_{\vec{k}} e^{-i\vec{k} \cdot (\vec{x} - \vec{x}')} n_{\vec{k}} \right|^2 - \sum_{\vec{k}} n_{\vec{k}}^2 \right\} \end{aligned}$$

$$g(\vec{x} - \vec{x}') = \frac{N-1}{N} + \frac{1}{N^2} \left\{ \left| \sum_{\vec{k}} e^{-i\vec{k} \cdot (\vec{x} - \vec{x}')} n_{\vec{k}} \right|^2 - \sum_{\vec{k}} n_{\vec{k}}^2 \right\}$$

$$g(\vec{x} - \vec{x}') = \frac{N-1}{N} + \frac{1}{N^2} \left\{ \left| \sum_{\vec{k}} e^{-i\vec{k} \cdot (\vec{x} - \vec{x}')} n_{\vec{k}} \right|^2 - \sum_{\vec{k}} n_{\vec{k}}^2 \right\}$$

► example 1:

all particles in the same state  $|\vec{k}_0\rangle$ :  $n_{\vec{k}} = N \delta_{\vec{k}, \vec{k}_0}$

(e.g.,  $|\vec{k}_0 = \vec{0}\rangle$   $\hat{=}$  ground state at  $T = 0$ , “Bose-Einstein condensate”)

$$g(\vec{x} - \vec{x}') = \frac{N-1}{N} + \frac{1}{N^2} \left\{ \left| \sum_{\vec{k}} e^{-i\vec{k} \cdot (\vec{x} - \vec{x}')} n_{\vec{k}} \right|^2 - \sum_{\vec{k}} n_{\vec{k}}^2 \right\}$$

► example 1:

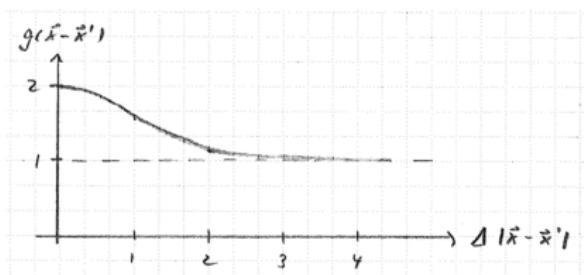
all particles in the same state  $|\vec{k}_0\rangle$ :  $n_{\vec{k}} = N \delta_{\vec{k}, \vec{k}_0}$

(e.g.,  $|\vec{k}_0 = \vec{0}\rangle$   $\hat{=}$  ground state at  $T = 0$ , “Bose-Einstein condensate”)

$\Rightarrow g(\vec{x} - \vec{x}') = \frac{N-1}{N}$  **totally uncorrelated**

- example 2: Gaussian distribution:  $n_{\vec{k}} \propto e^{-(\vec{k} - \vec{k}_0)^2 / \Delta^2}$
- $$\Rightarrow g(\vec{x} - \vec{x}') = 1 + e^{-\frac{\Delta^2}{2}(\vec{x} - \vec{x}')^2}, \quad g(0) = 2$$

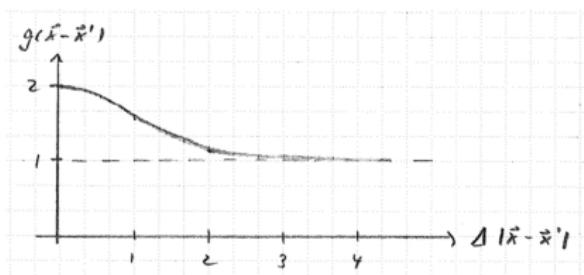
→ The probability of finding a second particle close to another one is enhanced.



► example 2: Gaussian distribution:  $n_{\vec{k}} \propto e^{-(\vec{k} - \vec{k}_0)^2 / \Delta^2}$

$$\Rightarrow g(\vec{x} - \vec{x}') = 1 + e^{-\frac{\Delta^2}{2}(\vec{x} - \vec{x}')^2}, \quad g(0) = 2$$

→ The probability of finding a second particle close to another one is enhanced.



► **thermal distribution:**

$$n_{\vec{k}} \propto \frac{1}{e^{\frac{E_{\vec{k}}}{k_B T}} - 1} \stackrel{E_{\vec{k}} \gg k_B T}{\approx} e^{-\frac{E_{\vec{k}}}{k_B T}} = e^{-\frac{\hbar^2 \vec{k}^2}{2m k_B T}} \Rightarrow \Delta^2 = \frac{2mk_B T}{\hbar^2}$$

## 4.8 Weakly interacting, dilute boson gases (Bogoliubov theory)



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

## 4.8 Weakly interacting, dilute boson gases (Bogoliubov theory)



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

- ▶ Hamiltonian in momentum-space representation:

$$H = \sum_{\vec{k}} \frac{\hbar^2 \vec{k}^2}{2m} a_{\vec{k}}^\dagger a_{\vec{k}} + \frac{1}{2V} \sum_{\vec{k}, \vec{k}', \vec{q}} \tilde{V}(\vec{q}) a_{\vec{k}+\vec{q}}^\dagger a_{\vec{k}'-\vec{q}}^\dagger a_{\vec{k}'} a_{\vec{k}}$$

## 4.8 Weakly interacting, dilute boson gases (Bogoliubov theory)



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

- ▶ Hamiltonian in momentum-space representation:

$$H = \sum_{\vec{k}} \frac{\hbar^2 \vec{k}^2}{2m} a_{\vec{k}}^\dagger a_{\vec{k}} + \frac{1}{2V} \sum_{\vec{k}, \vec{k}', \vec{q}} \tilde{V}(\vec{q}) a_{\vec{k}+\vec{q}}^\dagger a_{\vec{k}'-\vec{q}}^\dagger a_{\vec{k}'} a_{\vec{k}}$$

- ▶ ground state for  $\tilde{V} = 0$  (at  $T = 0$ ): all particles in the state  $|\vec{k} = \vec{0}\rangle$

## 4.8 Weakly interacting, dilute boson gases (Bogoliubov theory)



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

- ▶ Hamiltonian in momentum-space representation:

$$H = \sum_{\vec{k}} \frac{\hbar^2 \vec{k}^2}{2m} a_{\vec{k}}^\dagger a_{\vec{k}} + \frac{1}{2V} \sum_{\vec{k}, \vec{k}', \vec{q}} \tilde{V}(\vec{q}) a_{\vec{k}+\vec{q}}^\dagger a_{\vec{k}'-\vec{q}}^\dagger a_{\vec{k}'} a_{\vec{k}}$$

- ▶ ground state for  $\tilde{V} = 0$  (at  $T = 0$ ): all particles in the state  $|\vec{k} = \vec{0}\rangle$
- ▶ low temperatures, weak potential:
  - ▶ almost all particles in the state  $|\vec{k} = \vec{0}\rangle$ :  $\langle \phi | a_0^\dagger a_0 | \phi \rangle = N_0$ ,  $\frac{N - N_0}{N} \ll 1$

## 4.8 Weakly interacting, dilute boson gases (Bogoliubov theory)



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

- ▶ Hamiltonian in momentum-space representation:

$$H = \sum_{\vec{k}} \frac{\hbar^2 \vec{k}^2}{2m} a_{\vec{k}}^\dagger a_{\vec{k}} + \frac{1}{2V} \sum_{\vec{k}, \vec{k}', \vec{q}} \tilde{V}(\vec{q}) a_{\vec{k}+\vec{q}}^\dagger a_{\vec{k}'-\vec{q}}^\dagger a_{\vec{k}'} a_{\vec{k}}$$

- ▶ ground state for  $\tilde{V} = 0$  (at  $T = 0$ ): all particles in the state  $|\vec{k} = \vec{0}\rangle$
- ▶ low temperatures, weak potential:
  - ▶ almost all particles in the state  $|\vec{k} = \vec{0}\rangle$ :  $\langle \phi | a_0^\dagger a_0 | \phi \rangle = N_0$ ,  $\frac{N - N_0}{N} \ll 1$
  - Mutual interactions among particles in excited states can be neglected.

## 4.8 Weakly interacting, dilute boson gases (Bogoliubov theory)

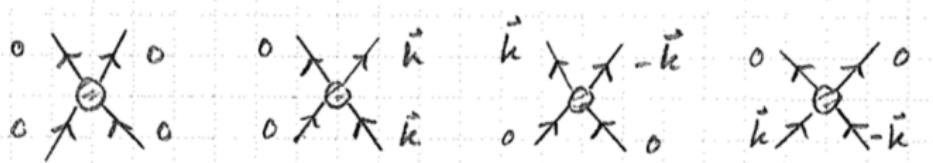


- ▶ Hamiltonian in momentum-space representation:

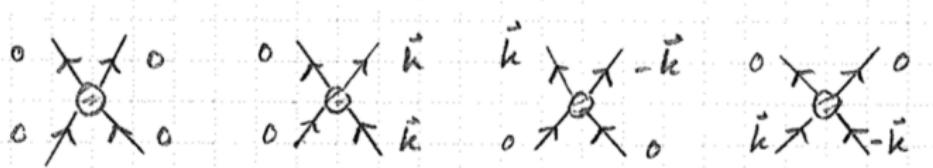
$$H = \sum_{\vec{k}} \frac{\hbar^2 \vec{k}^2}{2m} a_{\vec{k}}^\dagger a_{\vec{k}} + \frac{1}{2V} \sum_{\vec{k}, \vec{k}', \vec{q}} \tilde{V}(\vec{q}) a_{\vec{k}+\vec{q}}^\dagger a_{\vec{k}'-\vec{q}}^\dagger a_{\vec{k}'} a_{\vec{k}}$$

- ▶ ground state for  $\tilde{V} = 0$  (at  $T = 0$ ): all particles in the state  $|\vec{k} = \vec{0}\rangle$
- ▶ low temperatures, weak potential:
  - ▶ almost all particles in the state  $|\vec{k} = \vec{0}\rangle$ :  $\langle \phi | a_0^\dagger a_0 | \phi \rangle = N_0$ ,  $\frac{N - N_0}{N} \ll 1$
  - Mutual interactions among particles in excited states can be neglected.
  - Consider only interaction terms with at most two indices  $\vec{k} \neq \vec{0}$ .

$$\begin{aligned}
 \rightarrow H &= \sum_{\vec{k}} \frac{\hbar^2 \vec{k}^2}{2m} a_{\vec{k}}^\dagger a_{\vec{k}} + \frac{1}{2V} \sum_{\vec{k}, \vec{k}', \vec{q}} \tilde{V}(\vec{q}) a_{\vec{k}+\vec{q}}^\dagger a_{\vec{k}'-\vec{q}}^\dagger a_{\vec{k}'} a_{\vec{k}} \\
 &\approx \sum_{\vec{k}} \frac{\hbar^2 \vec{k}^2}{2m} a_{\vec{k}}^\dagger a_{\vec{k}} + \frac{1}{2V} \tilde{V}(\vec{0}) a_0^\dagger a_0^\dagger a_0 a_0 \\
 &\quad + \frac{1}{2V} \sum_{\vec{k} \neq 0} \left\{ (2\tilde{V}(\vec{0}) + \tilde{V}(\vec{k}) + \tilde{V}(-\vec{k})) a_0^\dagger a_0 a_{\vec{k}}^\dagger a_{\vec{k}} \right. \\
 &\quad \left. + \tilde{V}(\vec{k})(a_{\vec{k}}^\dagger a_{-\vec{k}}^\dagger a_0 a_0 + a_0^\dagger a_0^\dagger a_{\vec{k}} a_{-\vec{k}}) \right\}
 \end{aligned}$$



$$\begin{aligned}
 \rightarrow H &= \sum_{\vec{k}} \frac{\hbar^2 \vec{k}^2}{2m} a_{\vec{k}}^\dagger a_{\vec{k}} + \frac{1}{2V} \sum_{\vec{k}, \vec{k}', \vec{q}} \tilde{V}(\vec{q}) a_{\vec{k}+\vec{q}}^\dagger a_{\vec{k}'-\vec{q}}^\dagger a_{\vec{k}'} a_{\vec{k}} \\
 &\approx \sum_{\vec{k}} \frac{\hbar^2 \vec{k}^2}{2m} a_{\vec{k}}^\dagger a_{\vec{k}} + \frac{1}{2V} \tilde{V}(\vec{0}) a_0^\dagger a_0^\dagger a_0 a_0 \\
 &\quad + \frac{1}{2V} \sum_{\vec{k} \neq 0} \left\{ (2\tilde{V}(\vec{0}) + \tilde{V}(\vec{k}) + \tilde{V}(-\vec{k})) a_0^\dagger a_0 a_{\vec{k}}^\dagger a_{\vec{k}} \right. \\
 &\quad \left. + \tilde{V}(\vec{k})(a_{\vec{k}}^\dagger a_{-\vec{k}}^\dagger a_0 a_0 + a_0^\dagger a_0^\dagger a_{\vec{k}} a_{-\vec{k}}) \right\}
 \end{aligned}$$



► potential symmetric under reflections:  $V(\vec{x}) = V(-\vec{x}) \Leftrightarrow \tilde{V}(\vec{k}) = \tilde{V}(-\vec{k})$

# Bogoliubov replacement



- macroscopic number of particles in the condensate:  $N_0 \sim 10^{23}$

# Bogoliubov replacement

- ▶ macroscopic number of particles in the condensate:  $N_0 \sim 10^{23}$
- ▶ expectation:  
One particle more or less in the condensate should not change the properties of the system considerably.

# Bogoliubov replacement

- ▶ macroscopic number of particles in the condensate:  $N_0 \sim 10^{23}$
- ▶ expectation:  
One particle more or less in the condensate should not change the properties of the system considerably.

$$a_0 |N_0, \dots\rangle = \sqrt{N_0} |N_0 - 1, \dots\rangle \approx \sqrt{N_0} |N_0, \dots\rangle$$

$$a_0^\dagger |N_0, \dots\rangle = \sqrt{N_0 + 1} |N_0 + 1, \dots\rangle \approx \sqrt{N_0 + 1} |N_0, \dots\rangle \approx \sqrt{N_0} |N_0, \dots\rangle$$

# Bogoliubov replacement

- ▶ macroscopic number of particles in the condensate:  $N_0 \sim 10^{23}$
- ▶ expectation:  
One particle more or less in the condensate should not change the properties of the system considerably.

$$a_0 |N_0, \dots\rangle = \sqrt{N_0} |N_0 - 1, \dots\rangle \approx \sqrt{N_0} |N_0, \dots\rangle$$

$$a_0^\dagger |N_0, \dots\rangle = \sqrt{N_0 + 1} |N_0 + 1, \dots\rangle \approx \sqrt{N_0 + 1} |N_0, \dots\rangle \approx \sqrt{N_0} |N_0, \dots\rangle$$

- ▶ This corresponds to a replacement of operators by c numbers:

$$a_0 \rightarrow \sqrt{N_0}, \quad a_0^\dagger \rightarrow \sqrt{N_0} \quad \text{"Bogoliubov replacement"}$$

# Bogoliubov replacement

- ▶ macroscopic number of particles in the condensate:  $N_0 \sim 10^{23}$
- ▶ expectation:  
One particle more or less in the condensate should not change the properties of the system considerably.

$$a_0 |N_0, \dots\rangle = \sqrt{N_0} |N_0 - 1, \dots\rangle \approx \sqrt{N_0} |N_0, \dots\rangle$$

$$a_0^\dagger |N_0, \dots\rangle = \sqrt{N_0 + 1} |N_0 + 1, \dots\rangle \approx \sqrt{N_0 + 1} |N_0, \dots\rangle \approx \sqrt{N_0} |N_0, \dots\rangle$$

- ▶ This corresponds to a replacement of operators by c numbers:

$$a_0 \rightarrow \sqrt{N_0}, \quad a_0^\dagger \rightarrow \sqrt{N_0} \quad \text{"Bogoliubov replacement"}$$

- ▶ formal realization by "coherent states"

$$= \text{states without well-defined particle number} \quad |\phi\rangle = \prod_{\vec{k}} f_{\vec{k}}(a_{\vec{k}}^\dagger) |0\rangle$$

$$\text{e.g., } f_0(a_0^\dagger) = e^{\alpha a_0^\dagger} \Rightarrow a_0 |\phi\rangle = \alpha |\phi\rangle$$

- Bogoliubov replacement in our Hamiltonian:

$$H \approx \sum_{\vec{k}} \frac{\hbar^2 \vec{k}^2}{2m} a_{\vec{k}}^\dagger a_{\vec{k}} + \frac{N_0^2}{2V} \tilde{V}(\vec{0}) + \frac{N_0}{V} \sum_{\vec{k} \neq 0} \left\{ (\tilde{V}(\vec{0}) + \tilde{V}(\vec{k})) a_{\vec{k}}^\dagger a_{\vec{k}} + \frac{1}{2} \tilde{V}(\vec{k}) (a_{\vec{k}}^\dagger a_{-\vec{k}}^\dagger + a_{\vec{k}} a_{-\vec{k}}) \right\}$$

- ▶ Bogoliubov replacement in our Hamiltonian:

$$H \approx \sum_{\vec{k}} \frac{\hbar^2 \vec{k}^2}{2m} a_{\vec{k}}^\dagger a_{\vec{k}} + \frac{N_0^2}{2V} \tilde{V}(\vec{0}) + \frac{N_0}{V} \sum_{\vec{k} \neq 0} \left\{ (\tilde{V}(\vec{0}) + \tilde{V}(\vec{k})) a_{\vec{k}}^\dagger a_{\vec{k}} + \frac{1}{2} \tilde{V}(\vec{k}) (a_{\vec{k}}^\dagger a_{-\vec{k}}^\dagger + a_{\vec{k}} a_{-\vec{k}}) \right\}$$

- ▶  $\langle \phi | N_0 | \phi \rangle = \langle \phi | N - \sum_{\vec{k} \neq 0} a_{\vec{k}}^\dagger a_{\vec{k}} | \phi \rangle$

- Bogoliubov replacement in our Hamiltonian:

$$H \approx \sum_{\vec{k}} \frac{\hbar^2 \vec{k}^2}{2m} a_{\vec{k}}^\dagger a_{\vec{k}} + \frac{N_0^2}{2V} \tilde{V}(\vec{0}) + \frac{N_0}{V} \sum_{\vec{k} \neq 0} \left\{ (\tilde{V}(\vec{0}) + \tilde{V}(\vec{k})) a_{\vec{k}}^\dagger a_{\vec{k}} + \frac{1}{2} \tilde{V}(\vec{k}) (a_{\vec{k}}^\dagger a_{-\vec{k}}^\dagger + a_{\vec{k}} a_{-\vec{k}}) \right\}$$

- $\langle \phi | N_0 | \phi \rangle = \langle \phi | N - \sum_{\vec{k} \neq 0} a_{\vec{k}}^\dagger a_{\vec{k}} | \phi \rangle$

Neglect again terms with more than two operators with  $\vec{k} \neq 0$

$$\Rightarrow N_0^2 \approx N^2 - 2N \sum_{\vec{k} \neq 0} a_{\vec{k}}^\dagger a_{\vec{k}}, \quad \frac{N_0}{V} \sum_{\vec{k} \neq 0} \dots \approx \frac{N}{V} \sum_{\vec{k} \neq 0} \dots$$

- Bogoliubov replacement in our Hamiltonian:

$$H \approx \sum_{\vec{k}} \frac{\hbar^2 \vec{k}^2}{2m} a_{\vec{k}}^\dagger a_{\vec{k}} + \frac{N_0^2}{2V} \tilde{V}(\vec{0}) + \frac{N_0}{V} \sum_{\vec{k} \neq 0} \left\{ (\tilde{V}(\vec{0}) + \tilde{V}(\vec{k})) a_{\vec{k}}^\dagger a_{\vec{k}} + \frac{1}{2} \tilde{V}(\vec{k}) (a_{\vec{k}}^\dagger a_{-\vec{k}}^\dagger + a_{\vec{k}} a_{-\vec{k}}) \right\}$$

$$\Rightarrow \langle \phi | N_0 | \phi \rangle = \langle \phi | N - \sum_{\vec{k} \neq 0} a_{\vec{k}}^\dagger a_{\vec{k}} | \phi \rangle$$

Neglect again terms with more than two operators with  $\vec{k} \neq 0$

$$\Rightarrow N_0^2 \approx N^2 - 2N \sum_{\vec{k} \neq 0} a_{\vec{k}}^\dagger a_{\vec{k}}, \quad \frac{N_0}{V} \sum_{\vec{k} \neq 0} \dots \approx \frac{N}{V} \sum_{\vec{k} \neq 0} \dots$$

$$\Rightarrow H \approx \frac{N^2}{2V} \tilde{V}(\vec{0}) + \sum_{\vec{k} \neq 0} \left\{ \left( \frac{\hbar^2 \vec{k}^2}{2m} + \frac{N}{V} \tilde{V}(\vec{k}) \right) a_{\vec{k}}^\dagger a_{\vec{k}} + \frac{N}{2V} \tilde{V}(\vec{k}) (a_{\vec{k}}^\dagger a_{-\vec{k}}^\dagger + a_{\vec{k}} a_{-\vec{k}}) \right\}$$

- ▶  $H \approx H_0 + H_1 + H'_1$
- ▶  $H_0 = \frac{N^2}{2V} \tilde{V}(\vec{0})$  constant contribution to the energy
- ▶  $H_1 = \sum_{\vec{k} \neq \vec{0}} \left( \frac{\hbar^2 \vec{k}^2}{2m} + \frac{N}{V} \tilde{V}(\vec{k}) \right) a_{\vec{k}}^\dagger a_{\vec{k}}$  diagonal in the momentum basis
- ▶  $H'_1 = \sum_{\vec{k} \neq \vec{0}} \frac{N}{2V} \tilde{V}(\vec{k}) (a_{\vec{k}}^\dagger a_{-\vec{k}}^\dagger + a_{\vec{k}} a_{-\vec{k}})$  non-diagonal in the mom. basis

- ▶  $H \approx H_0 + H_1 + H'_1$
- ▶  $H_0 = \frac{N^2}{2V} \tilde{V}(\vec{0})$  constant contribution to the energy
- ▶  $H_1 = \sum_{\vec{k} \neq \vec{0}} \left( \frac{\hbar^2 \vec{k}^2}{2m} + \frac{N}{V} \tilde{V}(\vec{k}) \right) a_{\vec{k}}^\dagger a_{\vec{k}}$  diagonal in the momentum basis
- ▶  $H'_1 = \sum_{\vec{k} \neq \vec{0}} \frac{N}{2V} \tilde{V}(\vec{k}) (a_{\vec{k}}^\dagger a_{-\vec{k}}^\dagger + a_{\vec{k}} a_{-\vec{k}})$  non-diagonal in the mom. basis
- ▶ perturbation theory:  $\langle \phi_0 | H'_1 | \phi_0 \rangle = 0$   
 with the non-interacting ground state  $|\phi_0\rangle = |N_0 = N, 0, 0, 0, \dots\rangle$

- ▶  $H \approx H_0 + H_1 + H'_1$
- ▶  $H_0 = \frac{N^2}{2V} \tilde{V}(\vec{0})$  constant contribution to the energy
- ▶  $H_1 = \sum_{\vec{k} \neq \vec{0}} \left( \frac{\hbar^2 \vec{k}^2}{2m} + \frac{N}{V} \tilde{V}(\vec{k}) \right) a_{\vec{k}}^\dagger a_{\vec{k}}$  diagonal in the momentum basis
- ▶  $H'_1 = \sum_{\vec{k} \neq \vec{0}} \frac{N}{2V} \tilde{V}(\vec{k}) (a_{\vec{k}}^\dagger a_{-\vec{k}}^\dagger + a_{\vec{k}} a_{-\vec{k}})$  non-diagonal in the mom. basis
- ▶ perturbation theory:  $\langle \phi_0 | H'_1 | \phi_0 \rangle = 0$   
 with the non-interacting ground state  $|\phi_0\rangle = |N_0 = N, 0, 0, 0, \dots\rangle$   
 but: inconsistent with the Bogoliubov replacement,  
 which assumes a coherent state

- ▶  $H \approx H_0 + H_1 + H'_1$
- ▶  $H_0 = \frac{N^2}{2V} \tilde{V}(\vec{0})$  constant contribution to the energy
- ▶  $H_1 = \sum_{\vec{k} \neq \vec{0}} \left( \frac{\hbar^2 \vec{k}^2}{2m} + \frac{N}{V} \tilde{V}(\vec{k}) \right) a_{\vec{k}}^\dagger a_{\vec{k}}$  diagonal in the momentum basis
- ▶  $H'_1 = \sum_{\vec{k} \neq \vec{0}} \frac{N}{2V} \tilde{V}(\vec{k}) (a_{\vec{k}}^\dagger a_{-\vec{k}}^\dagger + a_{\vec{k}} a_{-\vec{k}})$  non-diagonal in the mom. basis
- ▶ perturbation theory:  $\langle \phi_0 | H'_1 | \phi_0 \rangle = 0$   
 with the non-interacting ground state  $|\phi_0\rangle = |N_0 = N, 0, 0, 0, \dots\rangle$   
 but: inconsistent with the Bogoliubov replacement,  
 which assumes a coherent state
- exact diagonalization of the approximated Hamiltonian

# Bogoliubov-Transformation



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

- ▶ ansatz for new annihilation and creation operators:

$$\alpha_{\vec{k}} = u_{\vec{k}} a_{\vec{k}} - v_{\vec{k}} a_{-\vec{k}}^\dagger \quad \Leftrightarrow \quad \alpha_{\vec{k}}^\dagger = u_{\vec{k}}^* a_{\vec{k}}^\dagger - v_{\vec{k}}^* a_{-\vec{k}}$$

# Bogoliubov-Transformation



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

- ▶ ansatz for new annihilation and creation operators:

$$\alpha_{\vec{k}} = u_{\vec{k}} a_{\vec{k}} - v_{\vec{k}} a_{-\vec{k}}^\dagger \quad \Leftrightarrow \quad \alpha_{\vec{k}}^\dagger = u_{\vec{k}}^* a_{\vec{k}}^\dagger - v_{\vec{k}}^* a_{-\vec{k}}$$

- ▶ annihilate or create “quasiparticles” with momentum  $\vec{k}$ 
  - = superposition of a particle and a “hole” with momentum  $\vec{k}$   
(hole = missing particle with momentum  $-\vec{k}$ , cf. hole theory).

# Bogoliubov-Transformation

- ▶ ansatz for new annihilation and creation operators:

$$\alpha_{\vec{k}} = u_{\vec{k}} a_{\vec{k}} - v_{\vec{k}} a_{-\vec{k}}^\dagger \Leftrightarrow \alpha_{\vec{k}}^\dagger = u_{\vec{k}}^* a_{\vec{k}}^\dagger - v_{\vec{k}}^* a_{-\vec{k}}$$

- ▶ annihilate or create “quasiparticles” with momentum  $\vec{k}$   
= superposition of a particle and a “hole” with momentum  $\vec{k}$   
(hole = missing particle with momentum  $-\vec{k}$ , cf. hole theory).
  - ▶ requirement:  $\alpha_{\vec{k}}$  and  $\alpha_{\vec{k}}^\dagger$  satisfy the canonical commutation relations
    - ▶  $[\alpha_{\vec{k}}, \alpha_{\vec{k}'}^\dagger] = (|u_{\vec{k}}|^2 - |v_{\vec{k}}|^2) \delta_{\vec{k}, \vec{k}'} \stackrel{!}{=} \delta_{\vec{k}, \vec{k}'} \Rightarrow |u_{\vec{k}}|^2 - |v_{\vec{k}}|^2 = 1$
    - ▶  $[\alpha_{\vec{k}}, \alpha_{\vec{k}'}] = -(u_{\vec{k}} v_{-\vec{k}} - v_{\vec{k}} u_{-\vec{k}}) \delta_{\vec{k}, -\vec{k}'} \stackrel{!}{=} 0$
- holds for symmetric coefficients:  $u_{\vec{k}} = u_{-\vec{k}}$ ,  $v_{\vec{k}} = v_{-\vec{k}}$

# Bogoliubov-Transformation

- ▶ ansatz for new annihilation and creation operators:

$$\alpha_{\vec{k}} = u_{\vec{k}} a_{\vec{k}} - v_{\vec{k}} a_{-\vec{k}}^\dagger \Leftrightarrow \alpha_{\vec{k}}^\dagger = u_{\vec{k}}^* a_{\vec{k}}^\dagger - v_{\vec{k}}^* a_{-\vec{k}}$$

- ▶ annihilate or create “quasiparticles” with momentum  $\vec{k}$   
= superposition of a particle and a “hole” with momentum  $\vec{k}$   
(hole = missing particle with momentum  $-\vec{k}$ , cf. hole theory).
- ▶ requirement:  $\alpha_{\vec{k}}$  and  $\alpha_{\vec{k}}^\dagger$  satisfy the canonical commutation relations
  - ▶  $[\alpha_{\vec{k}}, \alpha_{\vec{k}'}^\dagger] = (|u_{\vec{k}}|^2 - |v_{\vec{k}}|^2) \delta_{\vec{k}, \vec{k}'} \stackrel{!}{=} \delta_{\vec{k}, \vec{k}'} \Rightarrow |u_{\vec{k}}|^2 - |v_{\vec{k}}|^2 = 1$
  - ▶  $[\alpha_{\vec{k}}, \alpha_{\vec{k}'}] = -(u_{\vec{k}} v_{-\vec{k}} - v_{\vec{k}} u_{-\vec{k}}) \delta_{\vec{k}, -\vec{k}'} \stackrel{!}{=} 0$   
holds for symmetric coefficients:  $u_{\vec{k}} = u_{-\vec{k}}$ ,  $v_{\vec{k}} = v_{-\vec{k}}$
- ▶ further assumption:  $u_{\vec{k}}$  und  $v_{\vec{k}}$  are real.

- ▶ inverse transformation:

$$a_{\vec{k}} = u_{\vec{k}} \alpha_{\vec{k}} + v_{\vec{k}} \alpha_{-\vec{k}}^\dagger, \quad a_{\vec{k}}^\dagger = u_{\vec{k}} \alpha_{\vec{k}}^\dagger + v_{\vec{k}} \alpha_{-\vec{k}}$$

- ▶ inverse transformation:

$$a_{\vec{k}} = u_{\vec{k}} \alpha_{\vec{k}} + v_{\vec{k}} \alpha_{-\vec{k}}^\dagger, \quad a_{\vec{k}}^\dagger = u_{\vec{k}} \alpha_{\vec{k}}^\dagger + v_{\vec{k}} \alpha_{-\vec{k}}$$

- ▶ insert into the Hamiltonian:

$$\begin{aligned}
 H \approx & \frac{N^2}{2V} \tilde{V}(\vec{0}) \\
 & + \sum_{\vec{k} \neq \vec{0}} \left\{ \left( \frac{\hbar^2 \vec{k}^2}{2m} + \frac{N}{V} \tilde{V}(\vec{k}) \right) (u_{\vec{k}}^2 \alpha_{\vec{k}}^\dagger \alpha_{\vec{k}} + v_{\vec{k}}^2 \alpha_{\vec{k}} \alpha_{\vec{k}}^\dagger) + u_{\vec{k}} v_{\vec{k}} (\alpha_{\vec{k}}^\dagger \alpha_{-\vec{k}}^\dagger + \alpha_{\vec{k}} \alpha_{-\vec{k}}) \right. \\
 & \quad \left. + \frac{N}{2V} \tilde{V}(\vec{k}) ((u_{\vec{k}}^2 + v_{\vec{k}}^2)(\alpha_{\vec{k}}^\dagger \alpha_{-\vec{k}}^\dagger + \alpha_{\vec{k}} \alpha_{-\vec{k}}) + 2u_{\vec{k}} v_{\vec{k}} (\alpha_{\vec{k}}^\dagger \alpha_{\vec{k}} + \alpha_{\vec{k}} \alpha_{\vec{k}}^\dagger)) \right\}
 \end{aligned}$$

- ▶ inverse transformation:

$$a_{\vec{k}} = u_{\vec{k}} \alpha_{\vec{k}} + v_{\vec{k}} \alpha_{-\vec{k}}^\dagger, \quad a_{\vec{k}}^\dagger = u_{\vec{k}} \alpha_{\vec{k}}^\dagger + v_{\vec{k}} \alpha_{-\vec{k}}$$

- ▶ insert into the Hamiltonian:

$$\begin{aligned} H \approx & \frac{N^2}{2V} \tilde{V}(\vec{0}) \\ & + \sum_{\vec{k} \neq \vec{0}} \left\{ \left( \frac{\hbar^2 \vec{k}^2}{2m} + \frac{N}{V} \tilde{V}(\vec{k}) \right) (u_{\vec{k}}^2 \alpha_{\vec{k}}^\dagger \alpha_{\vec{k}} + v_{\vec{k}}^2 \alpha_{\vec{k}} \alpha_{\vec{k}}^\dagger) + u_{\vec{k}} v_{\vec{k}} (\alpha_{\vec{k}}^\dagger \alpha_{-\vec{k}}^\dagger + \alpha_{\vec{k}} \alpha_{-\vec{k}}) \right. \\ & \left. + \frac{N}{2V} \tilde{V}(\vec{k}) ((u_{\vec{k}}^2 + v_{\vec{k}}^2)(\alpha_{\vec{k}}^\dagger \alpha_{-\vec{k}}^\dagger + \alpha_{\vec{k}} \alpha_{-\vec{k}}) + 2u_{\vec{k}} v_{\vec{k}} (\alpha_{\vec{k}}^\dagger \alpha_{\vec{k}} + \alpha_{\vec{k}} \alpha_{\vec{k}}^\dagger)) \right\} \end{aligned}$$

- ▶ For the non-diagonal terms to vanish, it must hold:

$$\underbrace{\left( \frac{\hbar^2 \vec{k}^2}{2m} + \frac{N}{V} \tilde{V}(\vec{k}) \right)}_A u_{\vec{k}} v_{\vec{k}} + \underbrace{\frac{N}{2V} \tilde{V}(\vec{k}) (u_{\vec{k}}^2 + v_{\vec{k}}^2)}_B \stackrel{!}{=} 0$$

$$Auv + B(u^2 + v^2) = 0 \quad \Rightarrow \quad A^2 u^2 v^2 = B^2 (u^2 + v^2)^2$$

$$Auv + B(u^2 + v^2) = 0 \quad \Rightarrow \quad A^2 u^2 v^2 = B^2 (u^2 + v^2)^2$$

- ▶ We found  $u^2 - v^2 = 1 \iff u^2 = v^2 + 1$

$$Auv + B(u^2 + v^2) = 0 \Rightarrow A^2 u^2 v^2 = B^2(u^2 + v^2)^2$$

► We found  $u^2 - v^2 = 1 \Leftrightarrow u^2 = v^2 + 1$

$$\Rightarrow A^2(v^4 + v^2) = B^2(2v^2 + 1)^2 \Leftrightarrow v^4 + v^2 = \frac{B^2}{A^2 - 4B^2}$$

► assumption: repulsive potential  $\tilde{V}(\vec{k}) > 0$

$$\Rightarrow A, B > 0, A^2 > 4B^2$$

$$Auv + B(u^2 + v^2) = 0 \Rightarrow A^2 u^2 v^2 = B^2(u^2 + v^2)^2$$

- ▶ We found  $u^2 - v^2 = 1 \Leftrightarrow u^2 = v^2 + 1$
- $\Rightarrow A^2(v^4 + v^2) = B^2(2v^2 + 1)^2 \Leftrightarrow v^4 + v^2 = \frac{B^2}{A^2 - 4B^2}$
- ▶ assumption: repulsive potential  $\tilde{V}(\vec{k}) > 0$
- $\Rightarrow A, B > 0, A^2 > 4B^2$
- $\rightarrow v^2 = \frac{1}{2} \frac{A - \sqrt{A^2 - 4B^2}}{\sqrt{A^2 - 4B^2}} \Rightarrow u^2 = \frac{1}{2} \frac{A + \sqrt{A^2 - 4B^2}}{\sqrt{A^2 - 4B^2}}, UV = \frac{-B}{\sqrt{A^2 - 4B^2}}$

$$Auv + B(u^2 + v^2) = 0 \Rightarrow A^2 u^2 v^2 = B^2(u^2 + v^2)^2$$

- ▶ We found  $u^2 - v^2 = 1 \Leftrightarrow u^2 = v^2 + 1$
- $\Rightarrow A^2(v^4 + v^2) = B^2(2v^2 + 1)^2 \Leftrightarrow v^4 + v^2 = \frac{B^2}{A^2 - 4B^2}$
- ▶ assumption: repulsive potential  $\tilde{V}(\vec{k}) > 0$
- $\Rightarrow A, B > 0, A^2 > 4B^2$
- $\rightarrow v^2 = \frac{1}{2} \frac{A - \sqrt{A^2 - 4B^2}}{\sqrt{A^2 - 4B^2}} \Rightarrow u^2 = \frac{1}{2} \frac{A + \sqrt{A^2 - 4B^2}}{\sqrt{A^2 - 4B^2}}, \quad uv = \frac{-B}{\sqrt{A^2 - 4B^2}}$
- (non-interacting limit:  $\tilde{V} = 0 \Rightarrow B = 0 \Rightarrow v = 0, u^2 = 1 \quad \checkmark$ )

- ▶ Insertion into the Hamiltonian finally yields:

$$H \approx \underbrace{\frac{N^2}{2V} \tilde{V}(\vec{0}) + \sum_{\vec{k} \neq \vec{0}} \frac{1}{2} \left( \hbar \omega_{\vec{k}} - \frac{\hbar^2 \vec{k}^2}{2m} - \frac{N}{V} \tilde{V}(\vec{k}) \right)}_{\text{ground-state energy (= constant number)}} + \underbrace{\sum_{\vec{k} \neq \vec{0}} \hbar \omega_{\vec{k}} \alpha_{\vec{k}}^\dagger \alpha_{\vec{k}}}_{\text{quasiparticle excitations}}$$

- ▶ quasiparticle energy (“dispersion relation”):

$$\hbar \omega_{\vec{k}} = \sqrt{A - 4B} = \hbar |\vec{k}| \sqrt{\frac{N}{mV} \tilde{V}(\vec{k}) + \frac{\hbar^2 \vec{k}^2}{4m^2}} \quad (\text{for } |\vec{k}| \rightarrow 0 \text{ linear in } |\vec{k}|)$$

- ▶ Insertion into the Hamiltonian finally yields:

$$H \approx \underbrace{\frac{N^2}{2V} \tilde{V}(\vec{0}) + \sum_{\vec{k} \neq \vec{0}} \frac{1}{2} \left( \hbar \omega_{\vec{k}} - \frac{\hbar^2 \vec{k}^2}{2m} - \frac{N}{V} \tilde{V}(\vec{k}) \right)}_{\text{ground-state energy (= constant number)}} + \underbrace{\sum_{\vec{k} \neq \vec{0}} \hbar \omega_{\vec{k}} \alpha_{\vec{k}}^\dagger \alpha_{\vec{k}}}_{\text{quasiparticle excitations}}$$

- ▶ quasiparticle energy (“dispersion relation”):

$$\hbar \omega_{\vec{k}} = \sqrt{A - 4B} = \hbar |\vec{k}| \sqrt{\frac{N}{mV} \tilde{V}(\vec{k}) + \frac{\hbar^2 \vec{k}^2}{4m^2}} \quad (\text{for } |\vec{k}| \rightarrow 0 \text{ linear in } |\vec{k}|)$$

- The quasiparticles yield positive contributions to the energy.

- ▶ Insertion into the Hamiltonian finally yields:

$$H \approx \underbrace{\frac{N^2}{2V} \tilde{V}(\vec{0}) + \sum_{\vec{k} \neq \vec{0}} \frac{1}{2} \left( \hbar \omega_{\vec{k}} - \frac{\hbar^2 \vec{k}^2}{2m} - \frac{N}{V} \tilde{V}(\vec{k}) \right)}_{\text{ground-state energy (= constant number)}} + \underbrace{\sum_{\vec{k} \neq \vec{0}} \hbar \omega_{\vec{k}} \alpha_{\vec{k}}^\dagger \alpha_{\vec{k}}}_{\text{quasiparticle excitations}}$$

- ▶ quasiparticle energy (“dispersion relation”):

$$\hbar \omega_{\vec{k}} = \sqrt{A - 4B} = \hbar |\vec{k}| \sqrt{\frac{N}{mV} \tilde{V}(\vec{k}) + \frac{\hbar^2 \vec{k}^2}{4m^2}} \quad (\text{for } |\vec{k}| \rightarrow 0 \text{ linear in } |\vec{k}|)$$

- The quasiparticles yield positive contributions to the energy.
- The ground state is defined as the state which contains no quasiparticles:

$$\alpha_{\vec{k}} |g.s.\rangle = 0 \quad \text{für alle } \vec{k} \neq \vec{0} \quad \text{ground state} \hat{=} \text{“quasiparticle vacuum”}$$

- ▶ But the ground state contains particles (not quasiparticles) outside of the condensate:

$$N' \equiv \langle \text{g.s.} | \sum_{\vec{k} \neq \vec{0}} a_{\vec{k}}^\dagger a_{\vec{k}} | \text{g.s.} \rangle$$

- ▶ But the ground state contains particles (not quasiparticles) outside of the condensate:

$$\begin{aligned} N' &\equiv \langle \text{g.s.} | \sum_{\vec{k} \neq \vec{0}} a_{\vec{k}}^\dagger a_{\vec{k}} | \text{g.s.} \rangle \\ &= \sum_{\vec{k} \neq \vec{0}} \langle \text{g.s.} | (u_{\vec{k}} \alpha_{\vec{k}}^\dagger + v_{\vec{k}} \alpha_{-\vec{k}}) (u_{\vec{k}} \alpha_{\vec{k}} + v_{\vec{k}} \alpha_{-\vec{k}}^\dagger) | \text{g.s.} \rangle \end{aligned}$$

- ▶ But the ground state contains particles (not quasiparticles) outside of the condensate:

$$\begin{aligned} N' &\equiv \langle \text{g.s.} | \sum_{\vec{k} \neq \vec{0}} a_{\vec{k}}^\dagger a_{\vec{k}} | \text{g.s.} \rangle \\ &= \sum_{\vec{k} \neq \vec{0}} \langle \text{g.s.} | (u_{\vec{k}} \alpha_{\vec{k}}^\dagger + v_{\vec{k}} \alpha_{-\vec{k}}) (u_{\vec{k}} \alpha_{\vec{k}} + v_{\vec{k}} \alpha_{-\vec{k}}^\dagger) | \text{g.s.} \rangle \\ &= \sum_{\vec{k} \neq \vec{0}} v_{\vec{k}}^2 \langle \text{g.s.} | \alpha_{-\vec{k}} \alpha_{-\vec{k}}^\dagger | \text{g.s.} \rangle \end{aligned}$$

- ▶ But the ground state contains particles (not quasiparticles) outside of the condensate:

$$\begin{aligned} N' &\equiv \langle \text{g.s.} | \sum_{\vec{k} \neq \vec{0}} a_{\vec{k}}^\dagger a_{\vec{k}} | \text{g.s.} \rangle \\ &= \sum_{\vec{k} \neq \vec{0}} \langle \text{g.s.} | (u_{\vec{k}} \alpha_{\vec{k}}^\dagger + v_{\vec{k}} \alpha_{-\vec{k}}) (u_{\vec{k}} \alpha_{\vec{k}} + v_{\vec{k}} \alpha_{-\vec{k}}^\dagger) | \text{g.s.} \rangle \\ &= \sum_{\vec{k} \neq \vec{0}} v_{\vec{k}}^2 \langle \text{g.s.} | \alpha_{-\vec{k}} \alpha_{-\vec{k}}^\dagger | \text{g.s.} \rangle \\ &= \sum_{\vec{k} \neq \vec{0}} v_{\vec{k}}^2 \langle \text{g.s.} | 1 + \alpha_{-\vec{k}}^\dagger \alpha_{-\vec{k}} | \text{g.s.} \rangle \end{aligned}$$

- ▶ But the ground state contains particles (not quasiparticles) outside of the condensate:

$$\begin{aligned}
 N' &\equiv \langle \text{g.s.} | \sum_{\vec{k} \neq \vec{0}} a_{\vec{k}}^\dagger a_{\vec{k}} | \text{g.s.} \rangle \\
 &= \sum_{\vec{k} \neq \vec{0}} \langle \text{g.s.} | (u_{\vec{k}} \alpha_{\vec{k}}^\dagger + v_{\vec{k}} \alpha_{-\vec{k}}) (u_{\vec{k}} \alpha_{\vec{k}} + v_{\vec{k}} \alpha_{-\vec{k}}^\dagger) | \text{g.s.} \rangle \\
 &= \sum_{\vec{k} \neq \vec{0}} v_{\vec{k}}^2 \langle \text{g.s.} | \alpha_{-\vec{k}} \alpha_{-\vec{k}}^\dagger | \text{g.s.} \rangle \\
 &= \sum_{\vec{k} \neq \vec{0}} v_{\vec{k}}^2 \langle \text{g.s.} | 1 + \alpha_{-\vec{k}}^\dagger \alpha_{-\vec{k}} | \text{g.s.} \rangle \\
 &= \sum_{\vec{k} \neq \vec{0}} v_{\vec{k}}^2
 \end{aligned}$$

## 5. Outlook on quantum field theory

## 5.1 Quantization of field theories



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

## 5.1 Quantization of field theories



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

---

Classical mechanics of point particles

## 5.1 Quantization of field theories

### Classical mechanics of point particles

- ▶ **generalized coordinates:**  $q_k = q_k(t), \quad k = 1, \dots, N$

## 5.1 Quantization of field theories

### Classical mechanics of point particles

- ▶ generalized coordinates:  $q_k = q_k(t), \quad k = 1, \dots, N$
- ▶ Lagrangian function:  $L = L(\{q_k\}, \{\dot{q}_k\})$

## 5.1 Quantization of field theories

### Classical mechanics of point particles

- ▶ generalized coordinates:  $q_k = q_k(t), \quad k = 1, \dots, N$
- ▶ Lagrangian function:  $L = L(\{q_k\}, \{\dot{q}_k\})$
- ▶ action:  $S = \int_{t_1}^{t_2} dt L(\{q_k(t)\}, \{\dot{q}_k(t)\})$

## 5.1 Quantization of field theories

### Classical mechanics of point particles

- ▶ generalized coordinates:  $q_k = q_k(t), \quad k = 1, \dots, N$
- ▶ Lagrangian function:  $L = L(\{q_k\}, \{\dot{q}_k\})$
- ▶ action:  $S = \int_{t_1}^{t_2} dt L(\{q_k(t)\}, \{\dot{q}_k(t)\})$
- ▶ Hamilton's principle:  $\delta S = 0$   
$$\Rightarrow \frac{d}{dt} \frac{\partial L}{\partial \dot{q}_k} - \frac{\partial L}{\partial q_k} = 0 \quad \text{Euler-Lagrange equations}$$

(= equations of motion)

## 5.1 Quantization of field theories

### Classical mechanics of point particles

► generalized coordinates:  $q_k = q_k(t)$ ,  $k = 1, \dots, N$

► Lagrangian function:  $L = L(\{q_k\}, \{\dot{q}_k\})$

► action:  $S = \int_{t_1}^{t_2} dt L(\{q_k(t)\}, \{\dot{q}_k(t)\})$

► Hamilton's principle:  $\delta S = 0$

$$\Rightarrow \frac{d}{dt} \frac{\partial L}{\partial \dot{q}_k} - \frac{\partial L}{\partial q_k} = 0 \quad \text{Euler-Lagrange equations}$$

(= equations of motion)

► canonical conjugate momenta:  $p_k = \frac{\partial L}{\partial \dot{q}_k}$

► Hamiltonian function:  $H = \sum_k p_k \dot{q}_k - L$

## Quantization of classical mechanics

## Quantization of classical mechanics

- ▶ coordinates, momenta → operators:  $q_k \rightarrow \hat{q}_k, p_k \rightarrow \hat{p}_k$

## Quantization of classical mechanics

- ▶ coordinates, momenta → operators:  $q_k \rightarrow \hat{q}_k, p_k \rightarrow \hat{p}_k$
- ⇒ Hamilton function → Hamiltonian operator:  $H \rightarrow \hat{H}$
- energy spectrum: eigenvalues of  $\hat{H}$

## Quantization of classical mechanics

- ▶ coordinates, momenta → operators:  $q_k \rightarrow \hat{q}_k, p_k \rightarrow \hat{p}_k$   
⇒ Hamilton function → Hamiltonian operator:  $H \rightarrow \hat{H}$   
energy spectrum: eigenvalues of  $\hat{H}$
- ▶ commutator relations:  $[\hat{q}_k, \hat{q}_\ell] = [\hat{p}_k, \hat{p}_\ell] = 0, [\hat{q}_k, \hat{p}_\ell] = i\hbar \delta_{k\ell}$   
“canonical quantization conditions”

## Quantization of classical mechanics

- ▶ coordinates, momenta → operators:  $q_k \rightarrow \hat{q}_k, p_k \rightarrow \hat{p}_k$
- ⇒ Hamilton function → Hamiltonian operator:  $H \rightarrow \hat{H}$   
energy spectrum: eigenvalues of  $\hat{H}$
- ▶ commutator relations:  $[\hat{q}_k, \hat{q}_\ell] = [\hat{p}_k, \hat{p}_\ell] = 0, [\hat{q}_k, \hat{p}_\ell] = i\hbar \delta_{k\ell}$   
“canonical quantization conditions”
  - ▶ Schrödinger picture: operators time independent
  - ▶ Heisenberg picture: operators time dependent
    - commutators at equal times

## Classical field theory

## Classical field theory

- ▶ classical field:  $\phi(t, \vec{x}) \equiv \phi(x)$

## Classical field theory

- ▶ classical field:  $\phi(t, \vec{x}) \equiv \phi(x)$   
≈ generalized coordinates  $q_k(t)$  with continuous index:  $\phi(t, \vec{x}) \equiv \phi_{\vec{x}}(t)$

## Classical field theory

- ▶ classical field:  $\phi(t, \vec{x}) \equiv \phi(x)$   
     $\hat{=}$  generalized coordinates  $q_k(t)$  with continuous index:  $\phi(t, \vec{x}) \equiv \phi_{\vec{x}}(t)$
- ▶ Lagrangian function:  $L = \int d^3x \mathcal{L}(\phi, \partial_\mu \phi), \quad \mathcal{L} : \text{Lagrangian density}$

## Classical field theory

- ▶ classical field:  $\phi(t, \vec{x}) \equiv \phi(x)$   
     $\hat{=}$  generalized coordinates  $q_k(t)$  with continuous index:  $\phi(t, \vec{x}) \equiv \phi_{\vec{x}}(t)$
- ▶ Lagrangian function:  $L = \int d^3x \mathcal{L}(\phi, \partial_\mu \phi)$ ,     $\mathcal{L}$  : Lagrangian density  
     $\Rightarrow$  action:  $S = \int d^4x \mathcal{L}$

## Classical field theory

- ▶ classical field:  $\phi(t, \vec{x}) \equiv \phi(x)$   
     $\hat{=}$  generalized coordinates  $q_k(t)$  with continuous index:  $\phi(t, \vec{x}) \equiv \phi_{\vec{x}}(t)$
- ▶ Lagrangian function:  $L = \int d^3x \mathcal{L}(\phi, \partial_\mu \phi)$ ,     $\mathcal{L}$  : Lagrangian density  
     $\Rightarrow$  action:  $S = \int d^4x \mathcal{L}$
- ▶  $\delta S = 0 \Rightarrow$  Euler-Lagrange equations:  $\partial_\mu \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi)} - \frac{\partial \mathcal{L}}{\partial \phi} = 0$   
    (= classical field equations)

# Examples

## 1. electromagnetic field:

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} - j^\mu A_\mu, \quad \text{field-strength tensor: } F^{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu$$

# Examples

## 1. electromagnetic field:

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} - j^\mu A_\mu, \quad \text{field-strength tensor: } F^{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu$$

$$\text{Euler-Lagrange: } \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu A_\nu)} - \frac{\partial \mathcal{L}}{\partial A_\nu} = 0 \rightarrow \partial_\mu F^{\mu\nu} = j^\nu$$

(inhomogeneous Maxwell eqs.)

# Examples

## 1. electromagnetic field:

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} - j^\mu A_\mu, \quad \text{field-strength tensor: } F^{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu$$

$$\text{Euler-Lagrange: } \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu A_\nu)} - \frac{\partial \mathcal{L}}{\partial A_\nu} = 0 \rightarrow \partial_\mu F^{\mu\nu} = j^\nu$$

(inhomogeneous Maxwell eqs.)

## 2. Schrödinger theory:

$$\mathcal{L} = -\frac{\hbar^2}{2m} \vec{\nabla} \psi \cdot \vec{\nabla} \psi^* + i\hbar \psi^* \dot{\psi} - V \psi^* \psi$$

# Examples

## 1. electromagnetic field:

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} - j^\mu A_\mu, \quad \text{field-strength tensor: } F^{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu$$

$$\text{Euler-Lagrange: } \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu A_\nu)} - \frac{\partial \mathcal{L}}{\partial A_\nu} = 0 \rightarrow \partial_\mu F^{\mu\nu} = j^\nu$$

(inhomogeneous Maxwell eqs.)

## 2. Schrödinger theory:

$$\mathcal{L} = -\frac{\hbar^2}{2m} \vec{\nabla} \psi \cdot \vec{\nabla} \psi^* + i\hbar \psi^* \dot{\psi} - V \psi^* \psi$$

$\psi(t, \vec{x})$ : complex field  $\rightarrow$  consider  $\psi$  and  $\psi^*$  as independent variables

# Examples

## 1. electromagnetic field:

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} - j^\mu A_\mu, \quad \text{field-strength tensor: } F^{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu$$

Euler-Lagrange:  $\partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu A_\nu)} - \frac{\partial \mathcal{L}}{\partial A_\nu} = 0 \rightarrow \partial_\mu F^{\mu\nu} = j^\nu$

(inhomogeneous Maxwell eqs.)

## 2. Schrödinger theory:

$$\mathcal{L} = -\frac{\hbar^2}{2m} \vec{\nabla} \psi \cdot \vec{\nabla} \psi^* + i\hbar \psi^* \dot{\psi} - V \psi^* \psi$$

$\psi(t, \vec{x})$ : complex field  $\rightarrow$  consider  $\psi$  and  $\psi^*$  as independent variables

$$\Rightarrow 0 = \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \psi^*)} - \frac{\partial \mathcal{L}}{\partial \psi^*}$$

# Examples



## 1. electromagnetic field:

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} - j^\mu A_\mu, \quad \text{field-strength tensor: } F^{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu$$

$$\text{Euler-Lagrange: } \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu A_\nu)} - \frac{\partial \mathcal{L}}{\partial A_\nu} = 0 \rightarrow \partial_\mu F^{\mu\nu} = j^\nu$$

(inhomogeneous Maxwell eqs.)

## 2. Schrödinger theory:

$$\mathcal{L} = -\frac{\hbar^2}{2m} \vec{\nabla} \psi \cdot \vec{\nabla} \psi^* + i\hbar \psi^* \dot{\psi} - V \psi^* \psi$$

$\psi(t, \vec{x})$ : complex field  $\rightarrow$  consider  $\psi$  and  $\psi^*$  as independent variables

$$\Rightarrow 0 = \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \psi^*)} - \frac{\partial \mathcal{L}}{\partial \psi^*} = -\frac{\hbar^2}{2m} \vec{\nabla}^2 \psi - i\hbar \dot{\psi} + V \psi$$

$$\Leftrightarrow \left( -\frac{\hbar^2}{2m} \vec{\nabla}^2 + V \right) \psi = i\hbar \frac{\partial}{\partial t} \psi \quad (\text{Schrödinger equation})$$

## Classical field theory

- ▶ classical field:  $\phi(t, \vec{x}) \equiv \phi(x)$   
     $\hat{=}$  generalized coordinates  $q_k(t)$  with continuous index:  $\phi(t, \vec{x}) \equiv \phi_{\vec{x}}(t)$
- ▶ Lagrangian function:  $L = \int d^3x \mathcal{L}(\phi, \partial_\mu \phi)$ ,     $\mathcal{L}$  : Lagrangian density  
     $\Rightarrow$  action:  $S = \int d^4x \mathcal{L}$
- ▶  $\delta S = 0 \Rightarrow$  Euler-Lagrange equations:  $\partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)} - \frac{\partial \mathcal{L}}{\partial \phi} = 0$   
    (= classical field equations)

## Classical field theory

- ▶ classical field:  $\phi(t, \vec{x}) \equiv \phi(x)$   
     $\hat{=}$  generalized coordinates  $q_k(t)$  with continuous index:  $\phi(t, \vec{x}) \equiv \phi_{\vec{x}}(t)$
- ▶ Lagrangian function:  $L = \int d^3x \mathcal{L}(\phi, \partial_\mu \phi)$ ,     $\mathcal{L}$  : Lagrangian density  
     $\Rightarrow$  action:  $S = \int d^4x \mathcal{L}$
- ▶  $\delta S = 0 \Rightarrow$  Euler-Lagrange equations:  $\partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)} - \frac{\partial \mathcal{L}}{\partial \phi} = 0$   
    (= classical field equations)
- ▶ canonical conjugate momentum densities:  $\pi(x) = \frac{\partial \mathcal{L}}{\partial \dot{\phi}}$

## Classical field theory

- ▶ **classical field:**  $\phi(t, \vec{x}) \equiv \phi(x)$   
 $\hat{=}$  generalized coordinates  $q_k(t)$  with continuous index:  $\phi(t, \vec{x}) \equiv \phi_{\vec{x}}(t)$
  - ▶ **Lagrangian function:**  $L = \int d^3x \mathcal{L}(\phi, \partial_\mu \phi)$ ,  $\mathcal{L}$ : **Lagrangian density**  
 $\Rightarrow$  action:  $S = \int d^4x \mathcal{L}$
  - ▶  $\delta S = 0 \Rightarrow$  Euler-Lagrange equations:  $\partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)} - \frac{\partial \mathcal{L}}{\partial \phi} = 0$   
 $(=$  classical field equations $)$
  - ▶ **canonical conjugate momentum densities:**  $\pi(x) = \frac{\partial \mathcal{L}}{\partial \dot{\phi}}$
  - ▶ **Hamiltonian function:**  $H = \int d^3x \mathcal{H}$ ,  $\mathcal{H} = \pi(x) \dot{\phi}(x) - \mathcal{L}$   
 $\text{Hamiltonian density}$

## Canonical field quantization

## Canonical field quantization

- ▶ fields, canon. conj. momentum densities → operators:  $\phi \rightarrow \hat{\phi}$ ,  $\pi \rightarrow \hat{\pi}$

## Canonical field quantization

- ▶ fields, canon. conj. momentum densities → operators:  $\phi \rightarrow \hat{\phi}$ ,  $\pi \rightarrow \hat{\pi}$
- ▶ postulate the commutator relations

$$[\hat{\phi}(\vec{x}), \hat{\phi}(\vec{x}')] = [\hat{\pi}(\vec{x}), \hat{\pi}(\vec{x}')] = 0, \quad [\hat{\phi}(\vec{x}), \hat{\pi}(\vec{x}')] = i\hbar \delta^3(\vec{x} - \vec{x}')$$

## Canonical field quantization

- ▶ fields, canon. conj. momentum densities → operators:  $\phi \rightarrow \hat{\phi}$ ,  $\pi \rightarrow \hat{\pi}$
- ▶ postulate the commutator relations

$$[\hat{\phi}(\vec{x}), \hat{\phi}(\vec{x}')] = [\hat{\pi}(\vec{x}), \hat{\pi}(\vec{x}')] = 0, \quad [\hat{\phi}(\vec{x}), \hat{\pi}(\vec{x}')] = i\hbar \delta^3(\vec{x} - \vec{x}')$$

- ▶ Schrödinger picture:  $\hat{\phi}, \hat{\pi}$  time independent

- ▶ Heisenberg picture:  $\hat{\phi}, \hat{\pi}$  time dependent

- commutators at equal times

## Canonical field quantization

- ▶ fields, canon. conj. momentum densities → operators:  $\phi \rightarrow \hat{\phi}$ ,  $\pi \rightarrow \hat{\pi}$
- ▶ postulate the commutator relations
$$[\hat{\phi}(\vec{x}), \hat{\phi}(\vec{x}')] = [\hat{\pi}(\vec{x}), \hat{\pi}(\vec{x}')] = 0, \quad [\hat{\phi}(\vec{x}), \hat{\pi}(\vec{x}')] = i\hbar \delta^3(\vec{x} - \vec{x}')$$
  - ▶ Schrödinger picture:  $\hat{\phi}, \hat{\pi}$  time independent
  - ▶ Heisenberg picture:  $\hat{\phi}, \hat{\pi}$  time dependent
    - commutators at equal times
- ▶ Hamiltonian density, Hamiltonian function → operators
  - as in quantum mechanics: energy spectrum = eigenvalues of  $\hat{H}$

## Canonical field quantization

- ▶ fields, canon. conj. momentum densities → operators:  $\phi \rightarrow \hat{\phi}$ ,  $\pi \rightarrow \hat{\pi}$
- ▶ postulate the commutator relations
$$[\hat{\phi}(\vec{x}), \hat{\phi}(\vec{x}')] = [\hat{\pi}(\vec{x}), \hat{\pi}(\vec{x}')] = 0, \quad [\hat{\phi}(\vec{x}), \hat{\pi}(\vec{x}')] = i\hbar \delta^3(\vec{x} - \vec{x}')$$
  - ▶ Schrödinger picture:  $\hat{\phi}, \hat{\pi}$  time independent
  - ▶ Heisenberg picture:  $\hat{\phi}, \hat{\pi}$  time dependent
    - commutators at equal times
- ▶ Hamiltonian density, Hamiltonian function → operators
  - as in quantum mechanics: energy spectrum = eigenvalues of  $\hat{H}$
- ▶ notation: From now on we write  $\phi, \pi, \dots$  for the operators  $\hat{\phi}, \hat{\pi}, \dots$

# Schrödinger theory



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

- ▶ Lagrangian density:

$$\mathcal{L} = -\frac{\hbar^2}{2m} \vec{\nabla}\psi \cdot \vec{\nabla}\psi^\dagger + i\hbar\psi^\dagger\dot{\psi} - V\psi^\dagger\psi \quad (\psi: \text{ operator } \Rightarrow \psi^* \rightarrow \psi^\dagger)$$

# Schrödinger theory



- ▶ Lagrangian density:

$$\mathcal{L} = -\frac{\hbar^2}{2m} \vec{\nabla}\psi \cdot \vec{\nabla}\psi^\dagger + i\hbar\psi^\dagger\dot{\psi} - V\psi^\dagger\psi \quad (\psi: \text{ operator } \Rightarrow \psi^* \rightarrow \psi^\dagger)$$

- ▶ canonical conjugate momentum density:  $\pi = \frac{\partial \mathcal{L}}{\partial \dot{\psi}} = i\hbar\psi^\dagger$

# Schrödinger theory

- ▶ Lagrangian density:

$$\mathcal{L} = -\frac{\hbar^2}{2m} \vec{\nabla} \psi \cdot \vec{\nabla} \psi^\dagger + i\hbar \psi^\dagger \dot{\psi} - V \psi^\dagger \psi \quad (\psi: \text{ operator } \Rightarrow \psi^* \rightarrow \psi^\dagger)$$

- ▶ canonical conjugate momentum density:  $\pi = \frac{\partial \mathcal{L}}{\partial \dot{\psi}} = i\hbar \psi^\dagger$

- ▶ quantization conditions:

$$[\psi(\vec{x}), \psi(\vec{x}')] = [\pi(\vec{x}), \pi(\vec{x}')] = 0, \quad [\psi(\vec{x}), \pi(\vec{x}')] = i\hbar \delta^3(\vec{x} - \vec{x}')$$

$$\Rightarrow [\psi(\vec{x}), \psi^\dagger(\vec{x}')] = [\psi^\dagger(\vec{x}), \psi^\dagger(\vec{x}')] = 0, \quad [\psi(\vec{x}), \psi^\dagger(\vec{x}')] = \delta^3(\vec{x} - \vec{x}')$$

# Schrödinger theory



- ▶ Lagrangian density:

$$\mathcal{L} = -\frac{\hbar^2}{2m} \vec{\nabla} \psi \cdot \vec{\nabla} \psi^\dagger + i\hbar \psi^\dagger \dot{\psi} - V \psi^\dagger \psi \quad (\psi: \text{ operator } \Rightarrow \psi^* \rightarrow \psi^\dagger)$$

- ▶ canonical conjugate momentum density:  $\pi = \frac{\partial \mathcal{L}}{\partial \dot{\psi}} = i\hbar \psi^\dagger$

- ▶ quantization conditions:

$$[\psi(\vec{x}), \psi(\vec{x}')] = [\pi(\vec{x}), \pi(\vec{x}')] = 0, \quad [\psi(\vec{x}), \pi(\vec{x}')] = i\hbar \delta^3(\vec{x} - \vec{x}')$$

$$\Rightarrow [\psi(\vec{x}), \psi(\vec{x}')] = [\psi^\dagger(\vec{x}), \psi^\dagger(\vec{x}')] = 0, \quad [\psi(\vec{x}), \psi^\dagger(\vec{x}')] = \delta^3(\vec{x} - \vec{x}')$$

≈ commutator relations of the bosonic field operators in section 4.4!

# Schrödinger theory



- ▶ Lagrangian density:

$$\mathcal{L} = -\frac{\hbar^2}{2m} \vec{\nabla} \psi \cdot \vec{\nabla} \psi^\dagger + i\hbar \psi^\dagger \dot{\psi} - V \psi^\dagger \psi \quad (\psi: \text{ operator } \Rightarrow \psi^* \rightarrow \psi^\dagger)$$

- ▶ canonical conjugate momentum density:  $\pi = \frac{\partial \mathcal{L}}{\partial \dot{\psi}} = i\hbar \psi^\dagger$

- ▶ quantization conditions:

$$[\psi(\vec{x}), \psi(\vec{x}')] = [\pi(\vec{x}), \pi(\vec{x}')] = 0, \quad [\psi(\vec{x}), \pi(\vec{x}')] = i\hbar \delta^3(\vec{x} - \vec{x}')$$

$$\Rightarrow [\psi(\vec{x}), \psi(\vec{x}')] = [\psi^\dagger(\vec{x}), \psi^\dagger(\vec{x}')] = 0, \quad [\psi(\vec{x}), \psi^\dagger(\vec{x}')] = \delta^3(\vec{x} - \vec{x}')$$

⇒ commutator relations of the bosonic field operators in section 4.4!

- ▶ old point of view: quantum mech. wave fct.  $\xrightarrow{\text{2nd quantization}}$  field operator

new point of view: classical field  $\xrightarrow{\text{quantization}}$  field operator

## 5.2 Klein-Gordon theory



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

## 5.2 Klein-Gordon theory



- ▶ Lagrangian density:  $\mathcal{L} = \frac{1}{2} [(\partial_\mu \phi)(\partial^\mu \phi) - m^2 \phi^2]$  (nat. units:  $\hbar = c = 1$ )
- Euler-Lagrange eq.:  $[\partial_\mu \partial^\mu + m^2] \phi = 0$  Klein-Gordon equation

## 5.2 Klein-Gordon theory



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

- ▶ Lagrangian density:  $\mathcal{L} = \frac{1}{2} [(\partial_\mu \phi)(\partial^\mu \phi) - m^2 \phi^2]$  (nat. units:  $\hbar = c = 1$ )
  - Euler-Lagrange eq.:  $[\partial_\mu \partial^\mu + m^2] \phi = 0$  Klein-Gordon equation
- ▶ canonical conjugate momentum density:  $\pi = \dot{\phi}$

## 5.2 Klein-Gordon theory



- ▶ Lagrangian density:  $\mathcal{L} = \frac{1}{2} [(\partial_\mu \phi)(\partial^\mu \phi) - m^2 \phi^2]$  (nat. units:  $\hbar = c = 1$ )
  - Euler-Lagrange eq.:  $[\partial_\mu \partial^\mu + m^2] \phi = 0$  Klein-Gordon equation
- ▶ canonical conjugate momentum density:  $\pi = \dot{\phi}$
- ▶ Hamiltonian operator:  $H = \int d^3x \frac{1}{2} [\pi^2 + (\vec{\nabla} \phi)^2 + m^2 \phi^2]$

## 5.2 Klein-Gordon theory



- ▶ Lagrangian density:  $\mathcal{L} = \frac{1}{2} [(\partial_\mu \phi)(\partial^\mu \phi) - m^2 \phi^2]$  (nat. units:  $\hbar = c = 1$ )
  - Euler-Lagrange eq.:  $[\partial_\mu \partial^\mu + m^2] \phi = 0$  Klein-Gordon equation
- ▶ canonical conjugate momentum density:  $\pi = \dot{\phi}$
- ▶ Hamiltonian operator:  $H = \int d^3x \frac{1}{2} [\pi^2 + (\vec{\nabla} \phi)^2 + m^2 \phi^2]$
- ▶ expansion of the field operators in momentum modes (Heisenberg picture):

$$\phi(x) = \int \frac{d^3 p}{(2\pi)^3} \frac{1}{\sqrt{2E_p}} \left( a_{\vec{p}} e^{-ip \cdot x} + a_{\vec{p}}^\dagger e^{+ip \cdot x} \right) \Big|_{p_0=E_p}, \quad E_p = +\sqrt{\vec{p}^2 + m^2}$$

## 5.2 Klein-Gordon theory



- ▶ Lagrangian density:  $\mathcal{L} = \frac{1}{2} [(\partial_\mu \phi)(\partial^\mu \phi) - m^2 \phi^2]$  (nat. units:  $\hbar = c = 1$ )
  - Euler-Lagrange eq.:  $[\partial_\mu \partial^\mu + m^2] \phi = 0$  Klein-Gordon equation
- ▶ canonical conjugate momentum density:  $\pi = \dot{\phi}$
- ▶ Hamiltonian operator:  $H = \int d^3x \frac{1}{2} [\pi^2 + (\vec{\nabla} \phi)^2 + m^2 \phi^2]$
- ▶ expansion of the field operators in momentum modes (Heisenberg picture):

$$\phi(x) = \int \frac{d^3 p}{(2\pi)^3} \frac{1}{\sqrt{2E_p}} \left( a_{\vec{p}} e^{-ip \cdot x} + a_{\vec{p}}^\dagger e^{+ip \cdot x} \right) \Big|_{p_0=E_p}, \quad E_p = +\sqrt{\vec{p}^2 + m^2}$$

↑                      ↑  
(relativist. QM: positive / negative energy)

## 5.2 Klein-Gordon theory



- ▶ Lagrangian density:  $\mathcal{L} = \frac{1}{2} [(\partial_\mu \phi)(\partial^\mu \phi) - m^2 \phi^2]$  (nat. units:  $\hbar = c = 1$ )
  - Euler-Lagrange eq.:  $[\partial_\mu \partial^\mu + m^2] \phi = 0$  Klein-Gordon equation
- ▶ canonical conjugate momentum density:  $\pi = \dot{\phi}$
- ▶ Hamiltonian operator:  $H = \int d^3x \frac{1}{2} [\pi^2 + (\vec{\nabla} \phi)^2 + m^2 \phi^2]$
- ▶ expansion of the field operators in momentum modes (Heisenberg picture):

$$\phi(x) = \int \frac{d^3 p}{(2\pi)^3} \frac{1}{\sqrt{2E_p}} \left( a_{\vec{p}} e^{-ip \cdot x} + a_{\vec{p}}^\dagger e^{+ip \cdot x} \right) \Big|_{p_0=E_p}, \quad E_p = +\sqrt{\vec{p}^2 + m^2}$$

↑                      ↑

(relativist. QM: positive / negative energy)

$$\pi(x) = -i \int \frac{d^3 p}{(2\pi)^3} \sqrt{\frac{E_p}{2}} \left( a_{\vec{p}} e^{-ip \cdot x} - a_{\vec{p}}^\dagger e^{+ip \cdot x} \right) \Big|_{p_0=E_p}$$

- ▶ canonical quantization conditions for  $\phi$  and  $\pi$ :

$$\rightarrow [a_{\vec{p}}, a_{\vec{p}'}] = [a_{\vec{p}}^\dagger, a_{\vec{p}'}^\dagger] = 0, \quad [a_{\vec{p}}, a_{\vec{p}'}^\dagger] = (2\pi)^3 \delta^3(\vec{p} - \vec{p}')$$

- ▶ canonical quantization conditions for  $\phi$  and  $\pi$ :

$$\rightarrow [a_{\vec{p}}, a_{\vec{p}'}] = [a_{\vec{p}}^\dagger, a_{\vec{p}'}^\dagger] = 0, \quad [a_{\vec{p}}, a_{\vec{p}'}^\dagger] = (2\pi)^3 \delta^3(\vec{p} - \vec{p}')$$

$$\rightarrow H = \int \frac{d^3 p}{(2\pi)^3} E_p \left( \underbrace{a_{\vec{p}}^\dagger a_{\vec{p}}}_{\text{particle number op.}} + \underbrace{\frac{1}{2} [a_{\vec{p}}, a_{\vec{p}}^\dagger]}_{\frac{1}{2}(2\pi)^3 \delta^3(0)} \right)$$

- ▶ canonical quantization conditions for  $\phi$  and  $\pi$ :

$$\rightarrow [a_{\vec{p}}, a_{\vec{p}'}] = [a_{\vec{p}}^\dagger, a_{\vec{p}'}^\dagger] = 0, \quad [a_{\vec{p}}, a_{\vec{p}'}^\dagger] = (2\pi)^3 \delta^3(\vec{p} - \vec{p}')$$

$$\rightarrow H = \int \frac{d^3 p}{(2\pi)^3} E_p \left( \underbrace{a_{\vec{p}}^\dagger a_{\vec{p}}}_{\text{particle number op.}} + \underbrace{\frac{1}{2} [a_{\vec{p}}, a_{\vec{p}}^\dagger]}_{\frac{1}{2} (2\pi)^3 \delta^3(0)} \right)$$

- ▶ second term  $\rightarrow$  non-measurable infinite vacuum energy  
 $\Rightarrow$  can be omitted

- ▶ canonical quantization conditions for  $\phi$  and  $\pi$ :

$$\rightarrow [a_{\vec{p}}, a_{\vec{p}'}] = [a_{\vec{p}}^\dagger, a_{\vec{p}'}^\dagger] = 0, \quad [a_{\vec{p}}, a_{\vec{p}'}^\dagger] = (2\pi)^3 \delta^3(\vec{p} - \vec{p}')$$

$$\rightarrow H = \int \frac{d^3 p}{(2\pi)^3} E_p \left( \underbrace{a_{\vec{p}}^\dagger a_{\vec{p}}}_{\text{particle number op.}} + \underbrace{\frac{1}{2} [a_{\vec{p}}, a_{\vec{p}}^\dagger]}_{\frac{1}{2}(2\pi)^3 \delta^3(0)} \right)$$

- ▶ second term  $\rightarrow$  non-measurable infinite vacuum energy  
 $\Rightarrow$  can be omitted

- ▶ vacuum (= ground state)  $|0\rangle$ :  $a_{\vec{p}}|0\rangle = 0 \quad \forall \vec{p}$

- ▶ canonical quantization conditions for  $\phi$  and  $\pi$ :

$$\rightarrow [a_{\vec{p}}, a_{\vec{p}'}] = [a_{\vec{p}}^\dagger, a_{\vec{p}'}^\dagger] = 0, \quad [a_{\vec{p}}, a_{\vec{p}'}^\dagger] = (2\pi)^3 \delta^3(\vec{p} - \vec{p}')$$

$$\rightarrow H = \int \frac{d^3 p}{(2\pi)^3} E_p \left( \underbrace{a_{\vec{p}}^\dagger a_{\vec{p}}}_{\text{particle number op.}} + \underbrace{\frac{1}{2} [a_{\vec{p}}, a_{\vec{p}}^\dagger]}_{\frac{1}{2}(2\pi)^3 \delta^3(0)} \right)$$

- ▶ second term  $\rightarrow$  non-measurable infinite vacuum energy  
 $\Rightarrow$  can be omitted

- ▶ vacuum (= ground state)  $|0\rangle$ :  $a_{\vec{p}}|0\rangle = 0 \quad \forall \vec{p}$

- ▶ single-particle states:  $|\vec{p}\rangle \propto a_{\vec{p}}^\dagger |0\rangle \quad \Rightarrow \quad H|\vec{p}\rangle = E_p|\vec{p}\rangle$

- ▶ canonical quantization conditions for  $\phi$  and  $\pi$ :

$$\rightarrow [a_{\vec{p}}, a_{\vec{p}'}] = [a_{\vec{p}}^\dagger, a_{\vec{p}'}^\dagger] = 0, \quad [a_{\vec{p}}, a_{\vec{p}'}^\dagger] = (2\pi)^3 \delta^3(\vec{p} - \vec{p}')$$

$$\rightarrow H = \int \frac{d^3 p}{(2\pi)^3} E_p \left( \underbrace{a_{\vec{p}}^\dagger a_{\vec{p}}}_{\text{particle number op.}} + \underbrace{\frac{1}{2} [a_{\vec{p}}, a_{\vec{p}}^\dagger]}_{\frac{1}{2}(2\pi)^3 \delta^3(0)} \right)$$

- ▶ second term  $\rightarrow$  non-measurable infinite vacuum energy  
 $\Rightarrow$  can be omitted

- ▶ vacuum (= ground state)  $|0\rangle$ :  $a_{\vec{p}}|0\rangle = 0 \quad \forall \vec{p}$

- ▶ single-particle states:  $|\vec{p}\rangle \propto a_{\vec{p}}^\dagger |0\rangle \Rightarrow H|\vec{p}\rangle = E_p|\vec{p}\rangle$

reminder:  $E_p \equiv +\sqrt{\vec{p}^2 + m^2} > 0 \Rightarrow$  no states with negative energy!

## 5.3 Dirac theory



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

## 5.3 Dirac theory

- ▶ Lagrangian density:  $\mathcal{L} = \bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi$  (again:  $\hbar = c = 1$ )  
 $\psi, \bar{\psi}$  indep.  $\rightarrow$  Euler-Lagrange eq.:  $(i\gamma^\mu \partial_\mu - m)\psi = 0$  Dirac equation

## 5.3 Dirac theory

- ▶ Lagrangian density:  $\mathcal{L} = \bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi$  (again:  $\hbar = c = 1$ )  
 $\psi, \bar{\psi}$  indep.  $\rightarrow$  Euler-Lagrange eq.:  $(i\gamma^\mu \partial_\mu - m)\psi = 0$  Dirac equation
- ▶ canonical conjugate momentum density:  $\pi = i\psi^\dagger$

## 5.3 Dirac theory

- ▶ Lagrangian density:  $\mathcal{L} = \bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi$  (again:  $\hbar = c = 1$ )  
 $\psi, \bar{\psi}$  indep.  $\rightarrow$  Euler-Lagrange eq.:  $(i\gamma^\mu \partial_\mu - m)\psi = 0$  Dirac equation
- ▶ canonical conjugate momentum density:  $\pi = i\psi^\dagger$
- ▶ expansion of the field operators in free solutions (Heisenberg picture):

$$\psi(x) = \int \frac{d^3 p}{(2\pi)^3} \frac{1}{\sqrt{2E_p}} \sum_s \left( a_{\vec{p}}^s u_s(\vec{p}) e^{-ip \cdot x} + b_{\vec{p}}^{s\dagger} v_s(\vec{p}) e^{+ip \cdot x} \right) \Big|_{p_0=E_p}$$

## 5.3 Dirac theory

- ▶ Lagrangian density:  $\mathcal{L} = \bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi$  (again:  $\hbar = c = 1$ )  
 $\psi, \bar{\psi}$  indep.  $\rightarrow$  Euler-Lagrange eq.:  $(i\gamma^\mu \partial_\mu - m)\psi = 0$  Dirac equation
- ▶ canonical conjugate momentum density:  $\pi = i\psi^\dagger$
- ▶ expansion of the field operators in free solutions (Heisenberg picture):  
$$\psi(x) = \int \frac{d^3 p}{(2\pi)^3} \frac{1}{\sqrt{2E_p}} \sum_s \left( a_{\vec{p}}^s u_s(\vec{p}) e^{-ip \cdot x} + b_{\vec{p}}^{s\dagger} v_s(\vec{p}) e^{+ip \cdot x} \right) \Big|_{p_0=E_p}$$
- ▶ canonical quantization with commutators:  
$$\rightarrow H = \int \frac{d^3 p}{(2\pi)^3} \sum_s E_p (a_{\vec{p}}^{s\dagger} a_{\vec{p}}^s - b_{\vec{p}}^{s\dagger} b_{\vec{p}}^s + (\text{infinite constant}))$$

## 5.3 Dirac theory

- ▶ Lagrangian density:  $\mathcal{L} = \bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi$  (again:  $\hbar = c = 1$ )  
 $\psi, \bar{\psi}$  indep.  $\rightarrow$  Euler-Lagrange eq.:  $(i\gamma^\mu \partial_\mu - m)\psi = 0$  Dirac equation
- ▶ canonical conjugate momentum density:  $\pi = i\psi^\dagger$
- ▶ expansion of the field operators in free solutions (Heisenberg picture):  
$$\psi(x) = \int \frac{d^3 p}{(2\pi)^3} \frac{1}{\sqrt{2E_p}} \sum_s \left( a_{\vec{p}}^s u_s(\vec{p}) e^{-ip \cdot x} + b_{\vec{p}}^{s\dagger} v_s(\vec{p}) e^{+ip \cdot x} \right) \Big|_{p_0=E_p}$$
- ▶ canonical quantization with commutators:  
$$\rightarrow H = \int \frac{d^3 p}{(2\pi)^3} \sum_s E_p (a_{\vec{p}}^{s\dagger} a_{\vec{p}}^s - \underset{\uparrow}{b_{\vec{p}}^{s\dagger} b_{\vec{p}}^s} + (\text{infinite constant}))$$
  
spectrum not bounded from below!

## 5.3 Dirac theory

- ▶ Lagrangian density:  $\mathcal{L} = \bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi$  (again:  $\hbar = c = 1$ )  
 $\psi, \bar{\psi}$  indep.  $\rightarrow$  Euler-Lagrange eq.:  $(i\gamma^\mu \partial_\mu - m)\psi = 0$  Dirac equation
- ▶ canonical conjugate momentum density:  $\pi = i\psi^\dagger$
- ▶ expansion of the field operators in free solutions (Heisenberg picture):

$$\psi(x) = \int \frac{d^3 p}{(2\pi)^3} \frac{1}{\sqrt{2E_p}} \sum_s \left( a_{\vec{p}}^s u_s(\vec{p}) e^{-ip \cdot x} + b_{\vec{p}}^{s\dagger} v_s(\vec{p}) e^{+ip \cdot x} \right) \Big|_{p_0=E_p}$$

- ▶ canonical quantization with commutators:  
 $\rightarrow H = \int \frac{d^3 p}{(2\pi)^3} \sum_s E_p (a_{\vec{p}}^{s\dagger} a_{\vec{p}}^s - b_{\vec{p}}^{s\dagger} b_{\vec{p}}^s + \text{(infinite constant)})$   
spectrum not bounded from below!
- ▶ quantization with anti-commutators:

$$\rightarrow H = \int \frac{d^3 p}{(2\pi)^3} \sum_s E_p (a_{\vec{p}}^{s\dagger} a_{\vec{p}}^s + b_{\vec{p}}^{s\dagger} b_{\vec{p}}^s - \text{(infinite constant)})$$

## 5.3 Dirac theory

- ▶ Lagrangian density:  $\mathcal{L} = \bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi$  (again:  $\hbar = c = 1$ )  
 $\psi, \bar{\psi}$  indep.  $\rightarrow$  Euler-Lagrange eq.:  $(i\gamma^\mu \partial_\mu - m)\psi = 0$  Dirac equation
- ▶ canonical conjugate momentum density:  $\pi = i\psi^\dagger$
- ▶ expansion of the field operators in free solutions (Heisenberg picture):

$$\psi(x) = \int \frac{d^3 p}{(2\pi)^3} \frac{1}{\sqrt{2E_p}} \sum_s \left( a_{\vec{p}}^s u_s(\vec{p}) e^{-ip \cdot x} + b_{\vec{p}}^{s\dagger} v_s(\vec{p}) e^{+ip \cdot x} \right) \Big|_{p_0=E_p}$$

- ▶ canonical quantization with commutators:  
 $\rightarrow H = \int \frac{d^3 p}{(2\pi)^3} \sum_s E_p (a_{\vec{p}}^{s\dagger} a_{\vec{p}}^s - b_{\vec{p}}^{s\dagger} b_{\vec{p}}^s + \text{(infinite constant)})$   
spectrum not bounded from below!
- ▶ quantization with anti-commutators:

$$\rightarrow H = \int \frac{d^3 p}{(2\pi)^3} \sum_s E_p (a_{\vec{p}}^{s\dagger} a_{\vec{p}}^s + b_{\vec{p}}^{s\dagger} b_{\vec{p}}^s - \text{(infinite constant)}) \quad \text{works!}$$