

From
Realistic NN-Interactions
to
Cluster Structures in Nuclei

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Two Problems of Nuclear Structure

describe the nucleus
as interacting microscopic
many-nucleon system

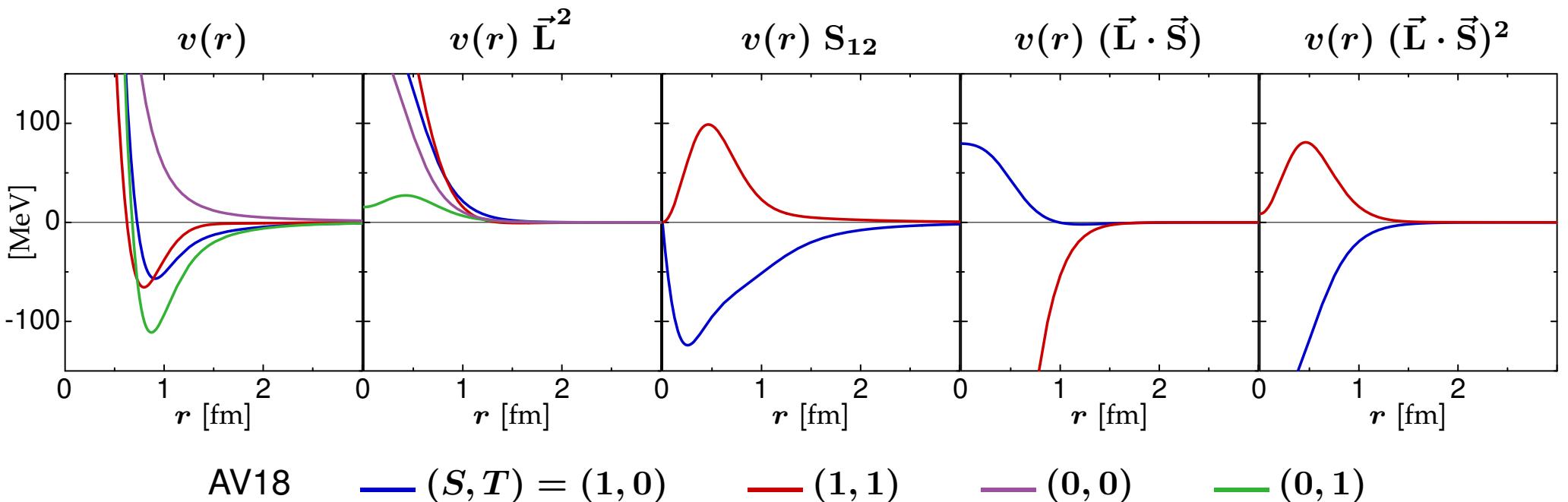
**What is the
interaction between
nucleons?**

**How to solve the
quantum many-body
problem?**

significant progress over the past decade....

Realistic Potentials

- several realistic NN-potentials are available
 - Argonne V18, CD Bonn, Nijmegen,...
 - reproduce experimental scattering data and deuteron properties with high accuracy

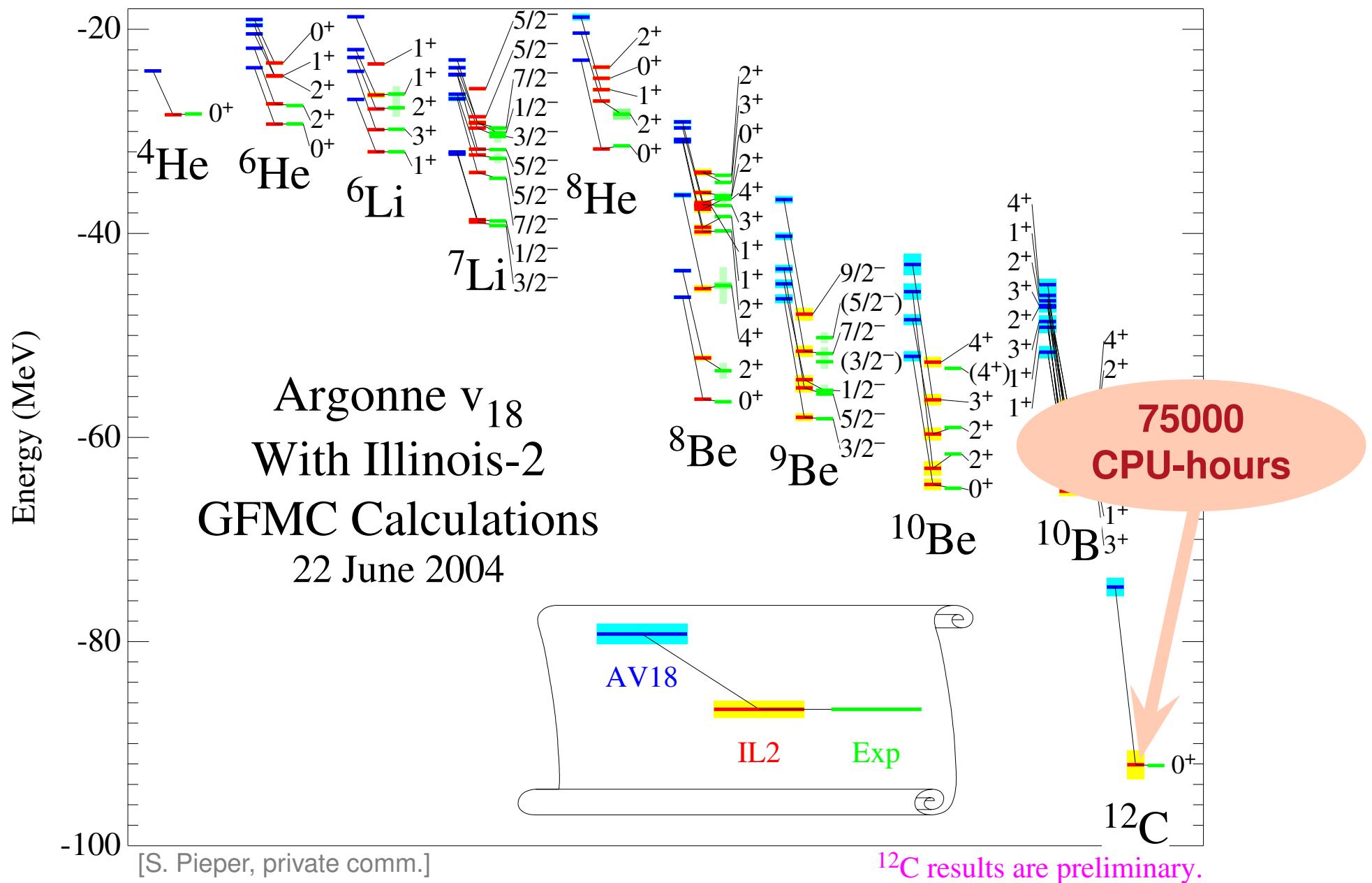


Realistic Potentials

- several realistic NN-potentials are available
 - Argonne V18, CD Bonn, Nijmegen,...
 - reproduce experimental scattering data and deuteron properties with high accuracy

- need to be supplemented by a three-nucleon potential
 - NNN-potential depends on NN-potential
 - present NNN-potentials are purely phenomenological
 - very promising developments in chiral effective field theories towards a consistent NN + NNN-potential

Green's Function Monte Carlo



Our Aim

nuclear structure calculations
across the **whole nuclear chart**
based on **realistic NN-potentials**
and as close as possible to
an **ab initio** treatment

bound to **simple**
Hilbert spaces for large
particle numbers

need to deal with
strong **interaction-**
induced correlations

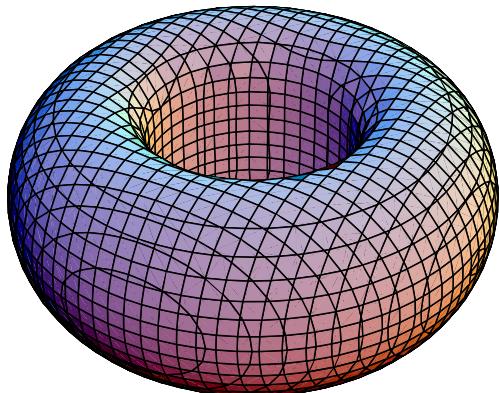
Overview

- Correlations in Nuclei
- Unitary Correlation Operator Method (UCOM)
- UCOM + No-Core Shell Model
- UCOM + Hartree-Fock
- UCOM + Fermionic Molecular Dynamics

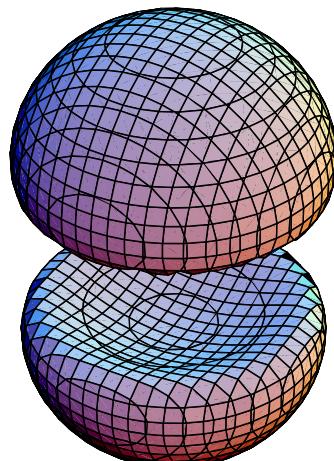
Correlations in Nuclei

Deuteron: Manifestation of Correlations

$$M_S = 0 \\ \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle)$$



$$M_S = \pm 1 \\ |\uparrow\uparrow\rangle, |\downarrow\downarrow\rangle$$



spin-projected two-body density $\rho_{1,M_S}^{(2)}(\vec{r})$ of the deuteron for AV18 potential

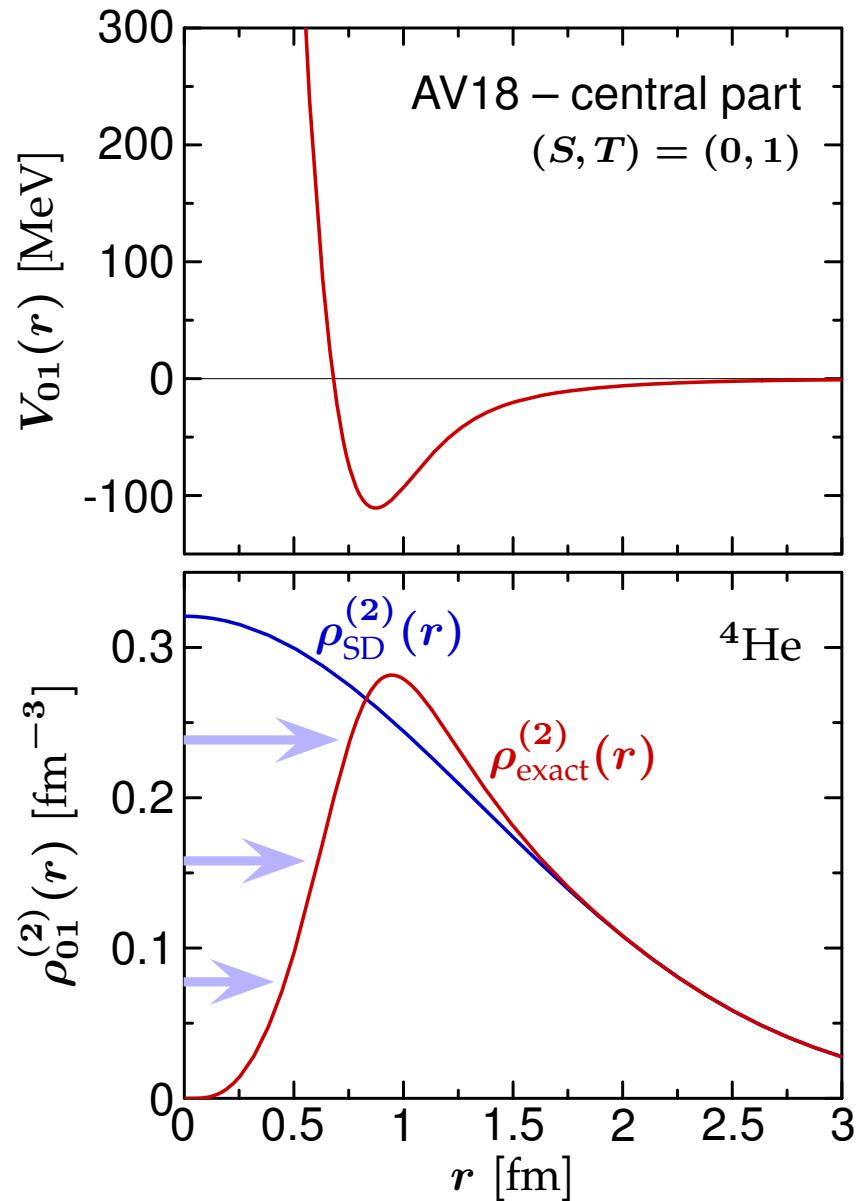
two-body density fully suppressed at small particle distances $|\vec{r}|$

central correlations

angular distribution depends strongly on relative spin orientation

tensor correlations

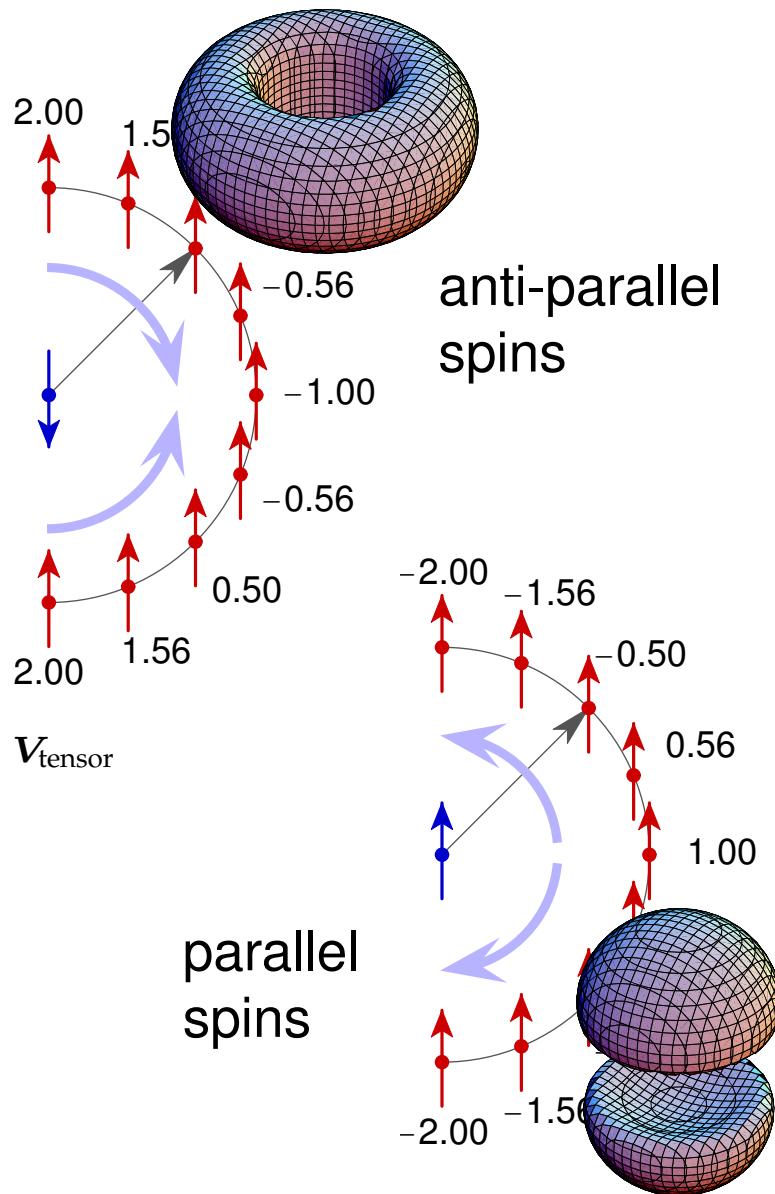
Central Correlations



- strong repulsive core in central part of realistic interactions
- suppression of the probability density for finding two nucleons within the core region → **central correlations**
- cannot be described by single or superpos. of few Slater determinants

“shift the nucleons out of the core region”

Tensor Correlations



- analogy with dipole-dipole interaction

$$V_{\text{tensor}} \sim - \left(3 \frac{(\vec{\sigma}_1 \vec{r})(\vec{\sigma}_2 \vec{r})}{r^2} - \vec{\sigma}_1 \vec{\sigma}_2 \right)$$

- couples the relative spatial orientation of two nucleons with their spin orientation → **tensor correlations**
- cannot be described by single or superpos. of few Slater determinants

“rotate nucleons towards poles or equator depending on spin orientation”

Unitary Correlation Operator Method (UCOM)

Unitary Correlation Operator Method

Correlation Operator

introduce correlations by means of a unitary transformation with respect to the relative coordinates of all pairs

$$\mathbf{C} = \exp[-i G] = \exp\left[-i \sum_{i < j} g_{ij}\right]$$
$$g = g(\vec{r}, \vec{q}; \vec{\sigma}_1, \vec{\sigma}_2, \vec{\tau}_1, \vec{\tau}_2)$$

$$G^\dagger = G$$
$$C^\dagger C = 1$$

Correlated States

$$|\hat{\psi}\rangle = \mathbf{C} |\psi\rangle$$

Correlated Operators

$$\hat{O} = \mathbf{C}^\dagger O \mathbf{C}$$

$$\langle \hat{\psi} | O | \hat{\psi}' \rangle = \langle \psi | \mathbf{C}^\dagger O \mathbf{C} | \psi' \rangle = \langle \psi | \hat{O} | \psi' \rangle$$

Central and Tensor Correlators

$$\mathbf{C} = \mathbf{C}_\Omega \mathbf{C}_r$$

Central Correlator \mathbf{C}_r

- radial distance-dependent shift in the relative coordinate of a nucleon pair

$$g_r = \frac{1}{2} [s(r) \mathbf{q}_r + \mathbf{q}_r s(r)]$$

$$\mathbf{q}_r = \frac{1}{2} [\vec{\mathbf{r}} \cdot \vec{\mathbf{q}} + \vec{\mathbf{q}} \cdot \vec{\mathbf{r}}]$$

Tensor Correlator \mathbf{C}_Ω

- angular shift depending on the orientation of spin and relative coordinate of a nucleon pair

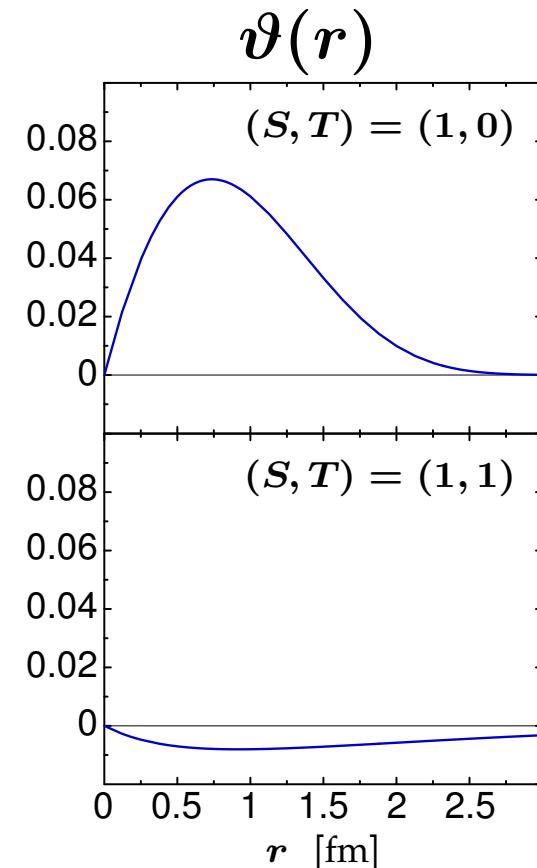
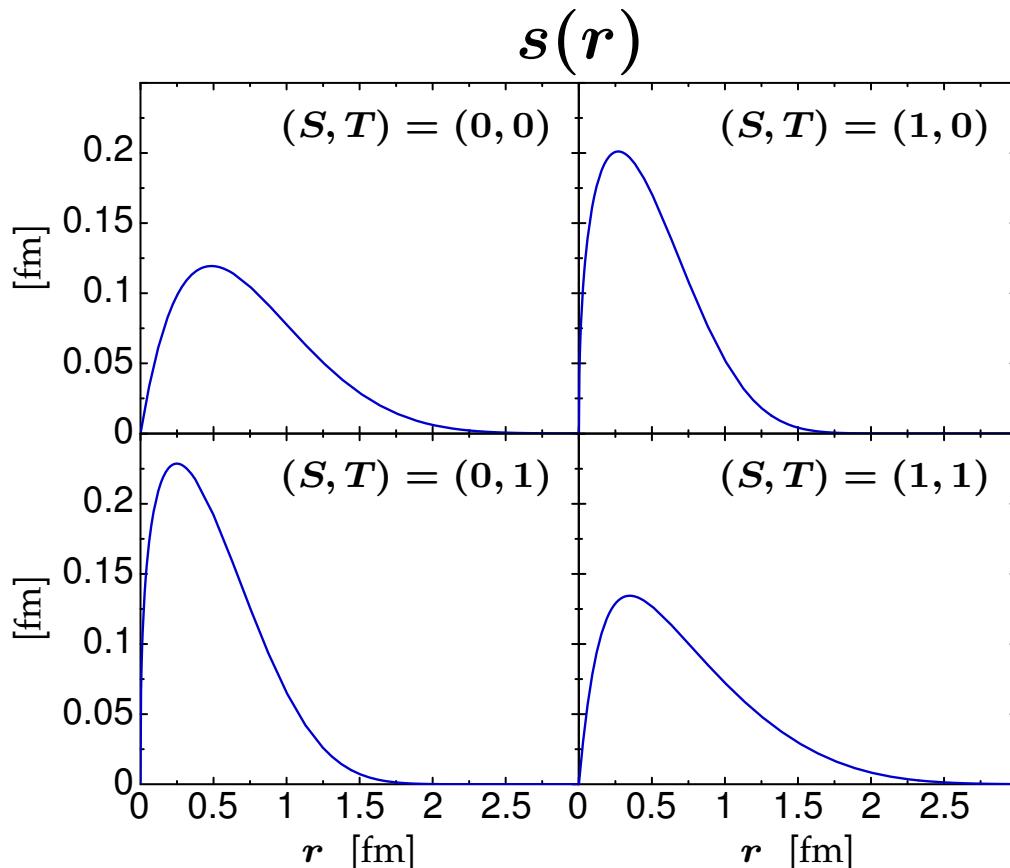
$$g_\Omega = \frac{3}{2} \vartheta(r) [(\vec{\sigma}_1 \cdot \vec{\mathbf{q}}_\Omega)(\vec{\sigma}_2 \cdot \vec{\mathbf{r}}) + (\vec{\mathbf{r}} \leftrightarrow \vec{\mathbf{q}}_\Omega)]$$

$$\vec{\mathbf{q}}_\Omega = \vec{\mathbf{q}} - \frac{\vec{\mathbf{r}}}{r} \mathbf{q}_r$$

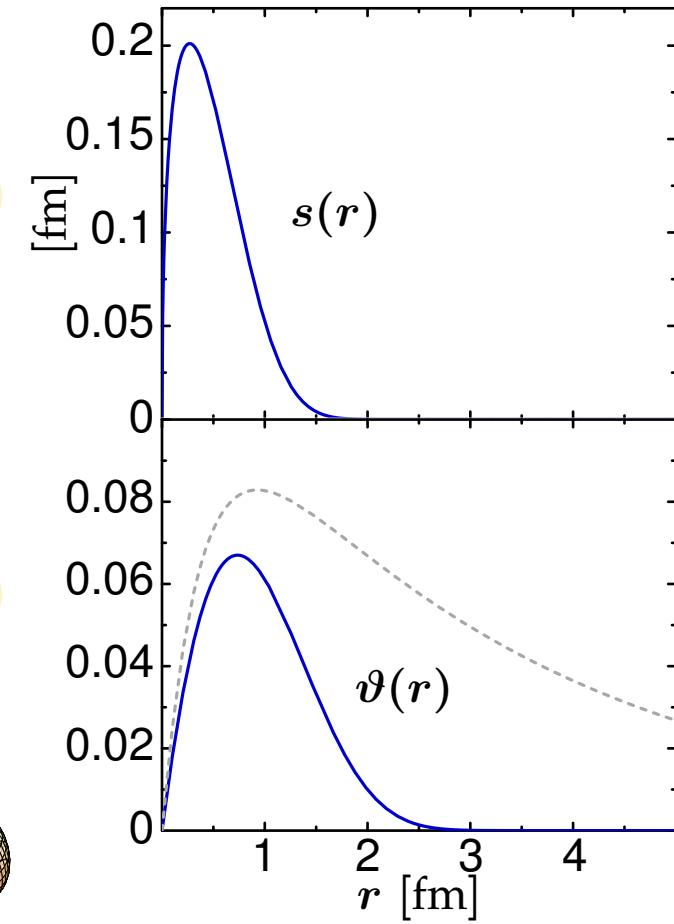
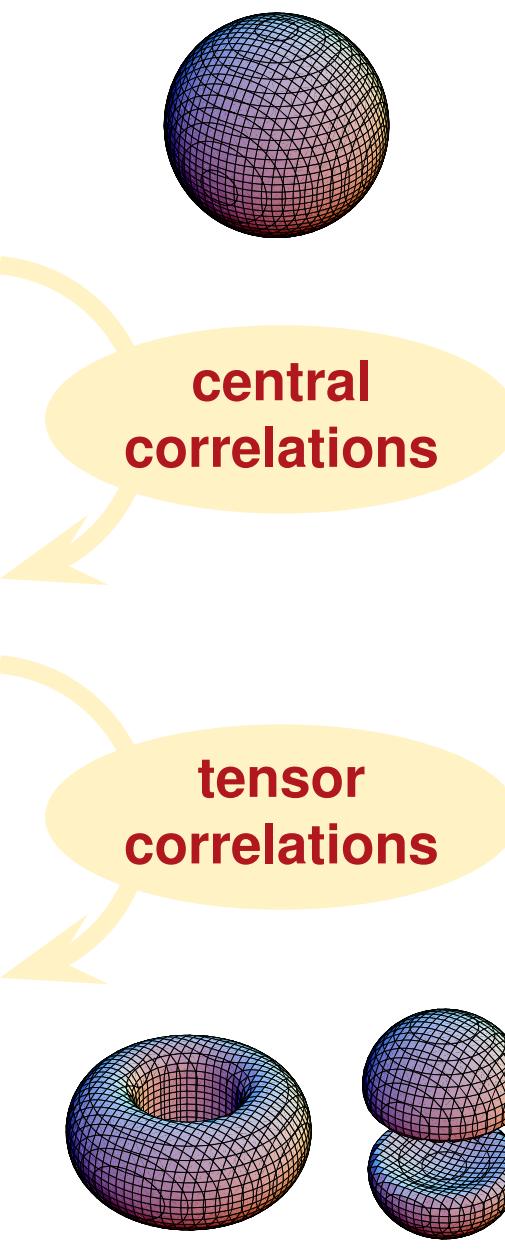
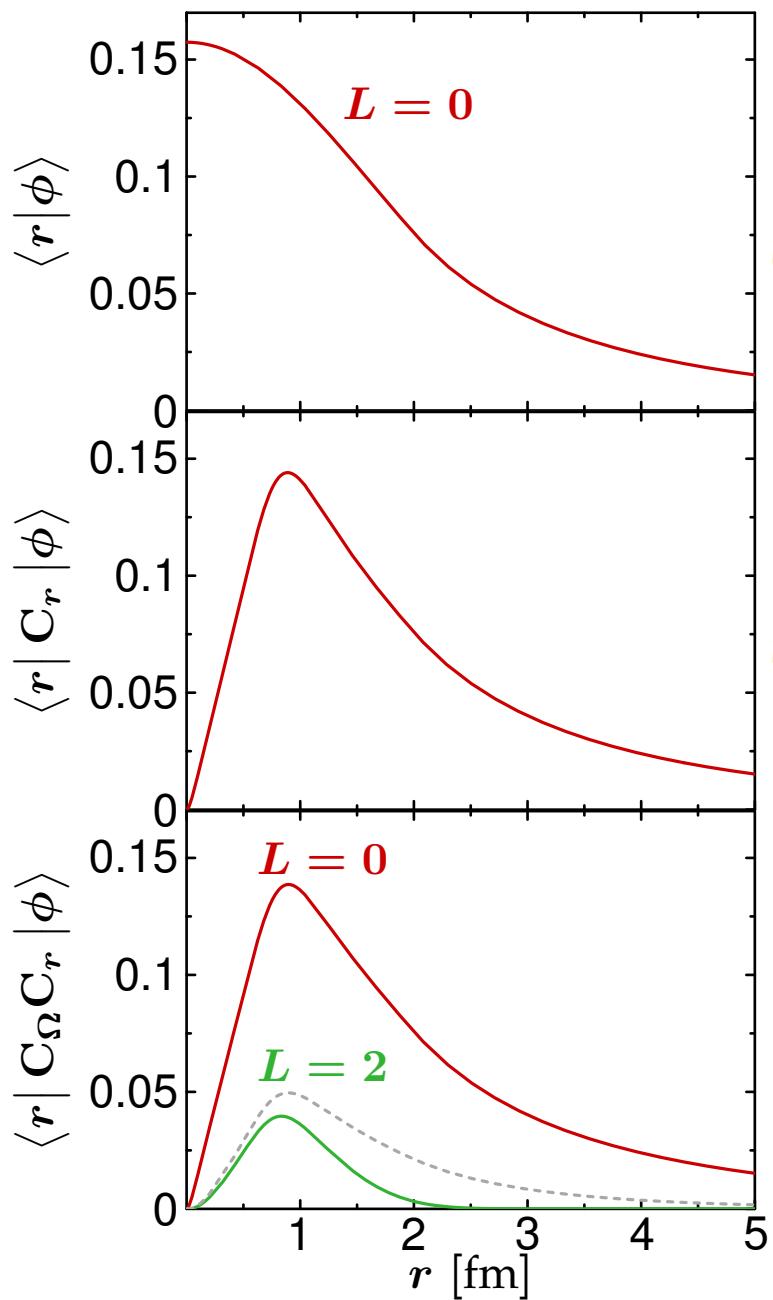
$s(r)$ and $\vartheta(r)$
encapsulate the physics of
short-range correlations

Optimal Correlation Functions

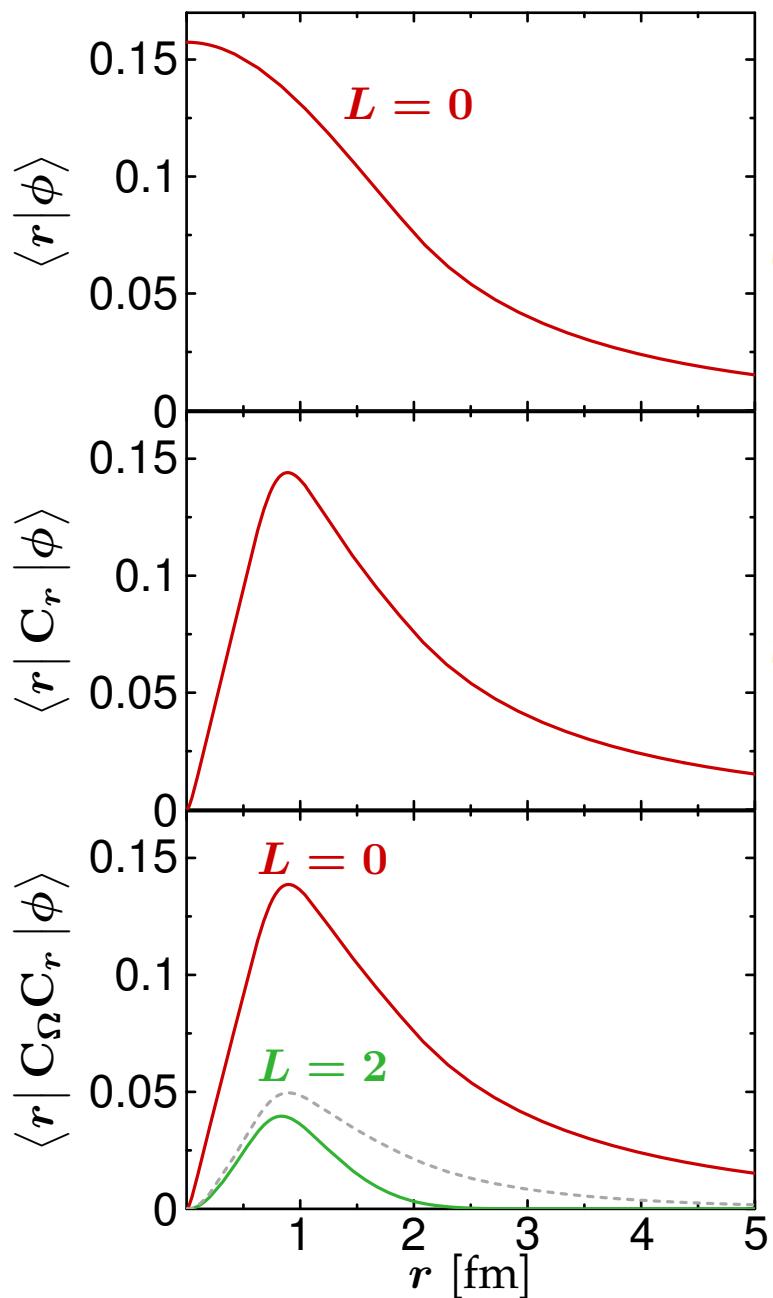
- $s(r)$ and $\vartheta(r)$ determined by two-body **energy minimisation**
- constraint on range of the tensor correlators $\vartheta(r)$ to isolate state independent **short-range correlations**



Correlated States



Correlated States



$$\begin{aligned} \langle \vec{r} | \mathbf{C}_r | \phi; (01)1 \rangle &= \\ &= \sqrt{\mathbf{R}'_{-}(r)} \frac{\mathbf{R}_{-}(r)}{r} \langle \mathbf{R}_{-}(r) \frac{\vec{r}}{r} | \phi; (01)1 \rangle \end{aligned}$$

$$\mathbf{R}_{\pm}(r) \approx r \pm s(r)$$

central
correlations

tensor
correlations

$$\begin{aligned} \langle \vec{r} | \mathbf{C}_{\Omega} | \phi; (01)1 \rangle &= \\ &= \cos(3\sqrt{2} \vartheta(r)) \langle \vec{r} | \phi; (01)1 \rangle \\ &+ \sin(3\sqrt{2} \vartheta(r)) \langle \vec{r} | \phi; (21)1 \rangle \end{aligned}$$

Correlated Operators

Cluster Expansion

$$\hat{O} = C^\dagger O C = \hat{O}^{[1]} + \hat{O}^{[2]} + \hat{O}^{[3]} + \dots$$

Cluster Decomposition Principle

if the correlation range is small compared to the mean particle distance, then higher orders are small

Two-Body Approx.

$$\hat{O}^{C2} = \hat{O}^{[1]} + \hat{O}^{[2]}$$

**operators of all
observables can be and have to be
correlated consistently**

Correlated NN-Potential — V_{UCOM}

$$\hat{\mathbf{H}}^{C2} = \hat{\mathbf{T}}^{[1]} + \hat{\mathbf{T}}^{[2]} + \hat{\mathbf{V}}^{[2]} = \mathbf{T} + \mathbf{V}_{\text{UCOM}}$$

- **closed operator expression** for the correlated interaction \mathbf{V}_{UCOM} in two-body approximation
- correlated interaction and original NN-potential are **phase shift equivalent** by construction
- unitary transformation results in a **pre-diagonalisation** of Hamiltonian
- momentum-space matrix elements of correlated interaction are **similar to** $V_{\text{low-}\mathbf{k}}$

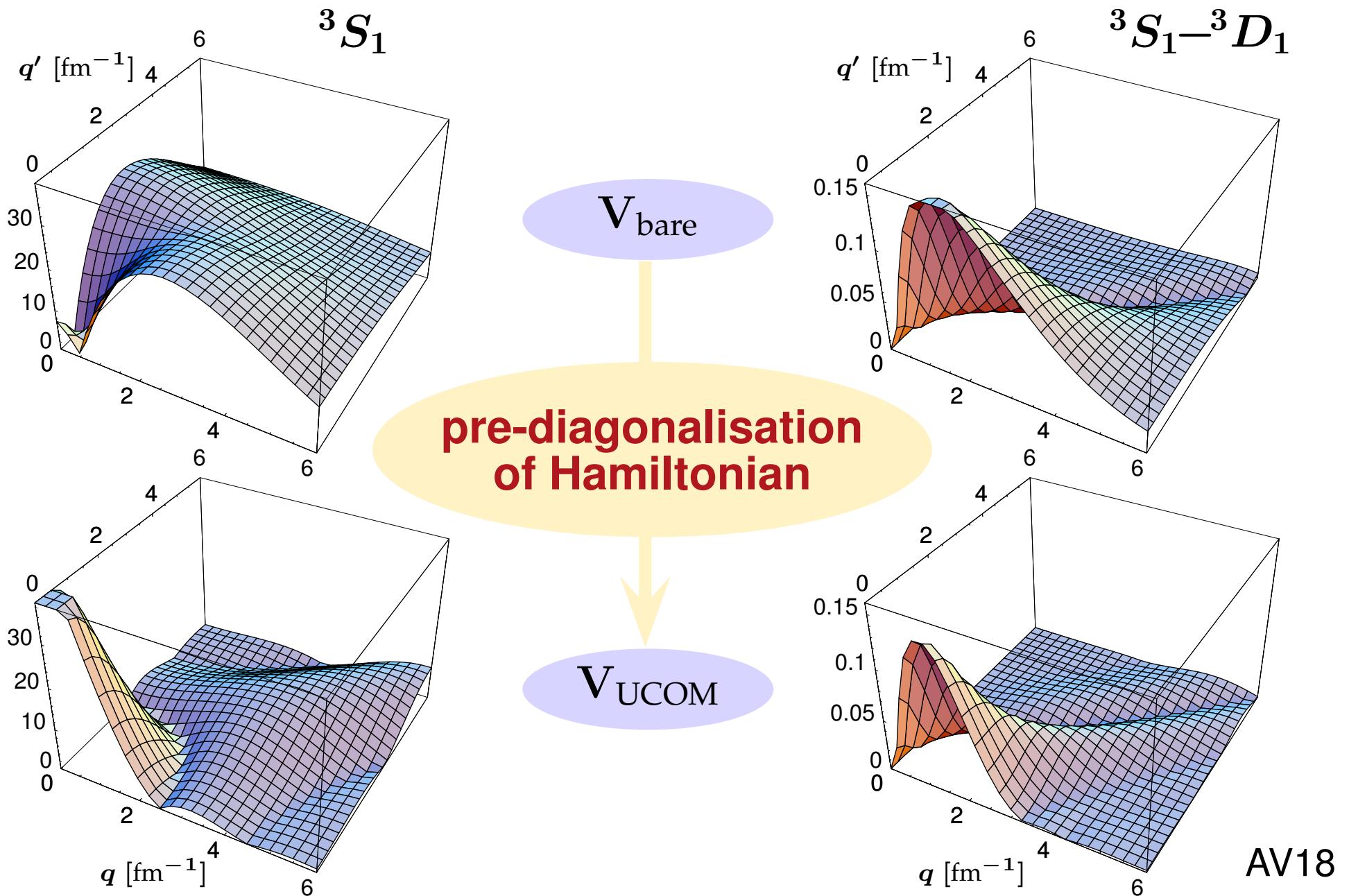
Correlated NN-Potential — V_{UCOM}

$$V_{\text{UCOM}} = \sum_p \frac{1}{2} [\hat{v}_p(r) O_p + O_p \hat{v}_p(r)]$$

$$\begin{aligned} O = \{ & 1, (\vec{\sigma}_1 \cdot \vec{\sigma}_2), \vec{q}^2, \vec{q}^2(\vec{\sigma}_1 \cdot \vec{\sigma}_2), \vec{L}^2, \vec{L}^2(\vec{\sigma}_1 \cdot \vec{\sigma}_2), \\ & (\vec{L} \cdot \vec{S}), S_{12}(\vec{r}, \vec{r}), S_{12}(\vec{L}, \vec{L}), \\ & \bar{S}_{12}(\vec{q}_\Omega, \vec{q}_\Omega), q_r S_{12}(\vec{r}, \vec{q}_\Omega), \vec{L}^2(\vec{L} \cdot \vec{S}), \\ & \vec{L}^2 \bar{S}_{12}(\vec{q}_\Omega, \vec{q}_\Omega), \dots \} \otimes \{1, (\vec{\tau}_1 \cdot \vec{\tau}_2)\} \end{aligned}$$

- C_r -transformation evaluated directly
- C_Ω -transformation through Baker-Campell-Hausdorff expansion
- $\hat{v}_p(r)$ uniquely determined by bare potential and correlation functions

Momentum-Space Matrix Elements



UCOM / Lee-Suzuki / $V_{\text{low}k}$

Lee-Suzuki

- decoupling of P and Q space by similarity transformation
- same representation as used in many-body method
- (state dependent)

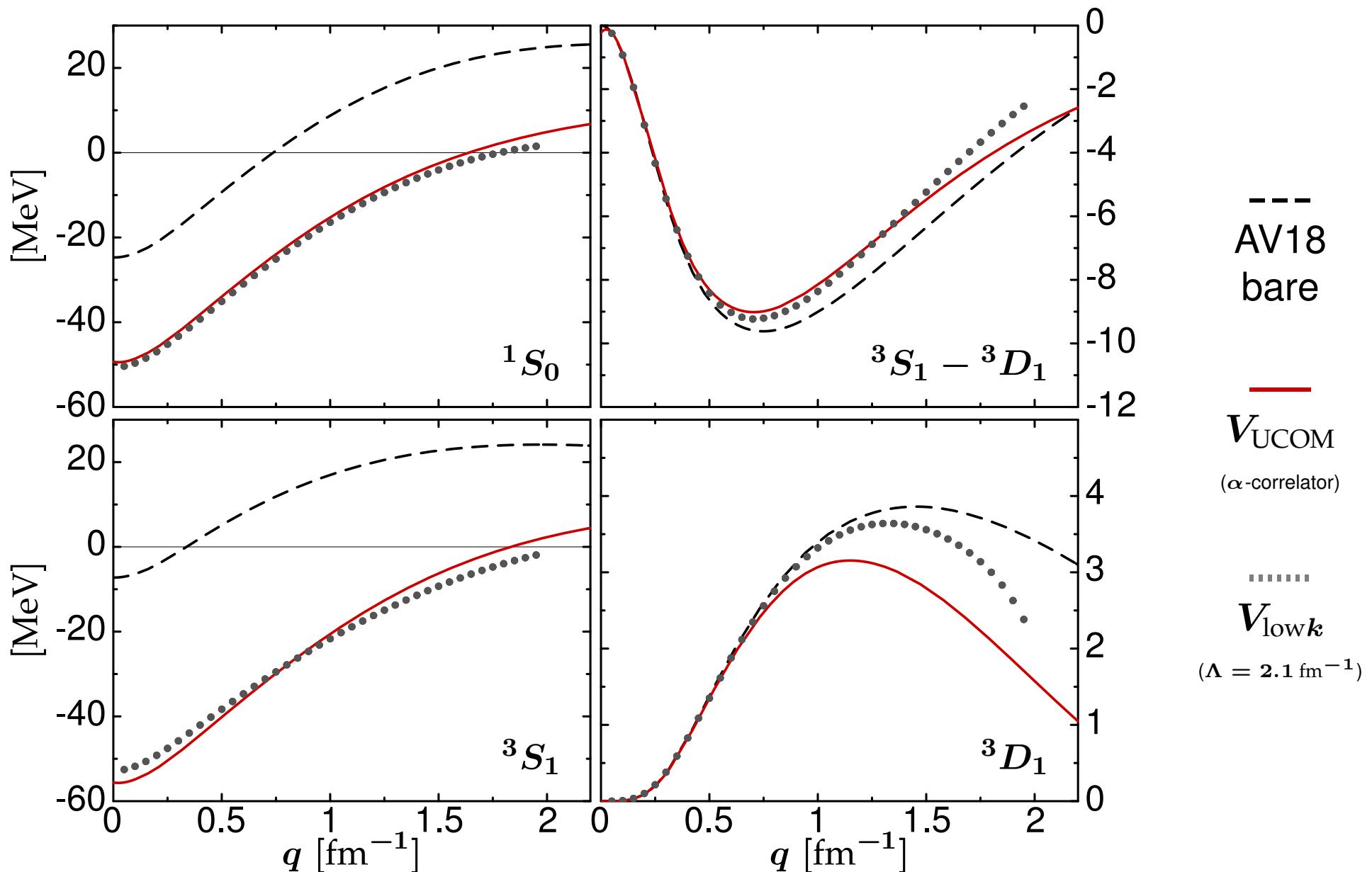
$V_{\text{low}k}$

- decimation to low-momentum P space; Q space discarded
- uses momentum representation
- state independent
- phase-shift equivalent

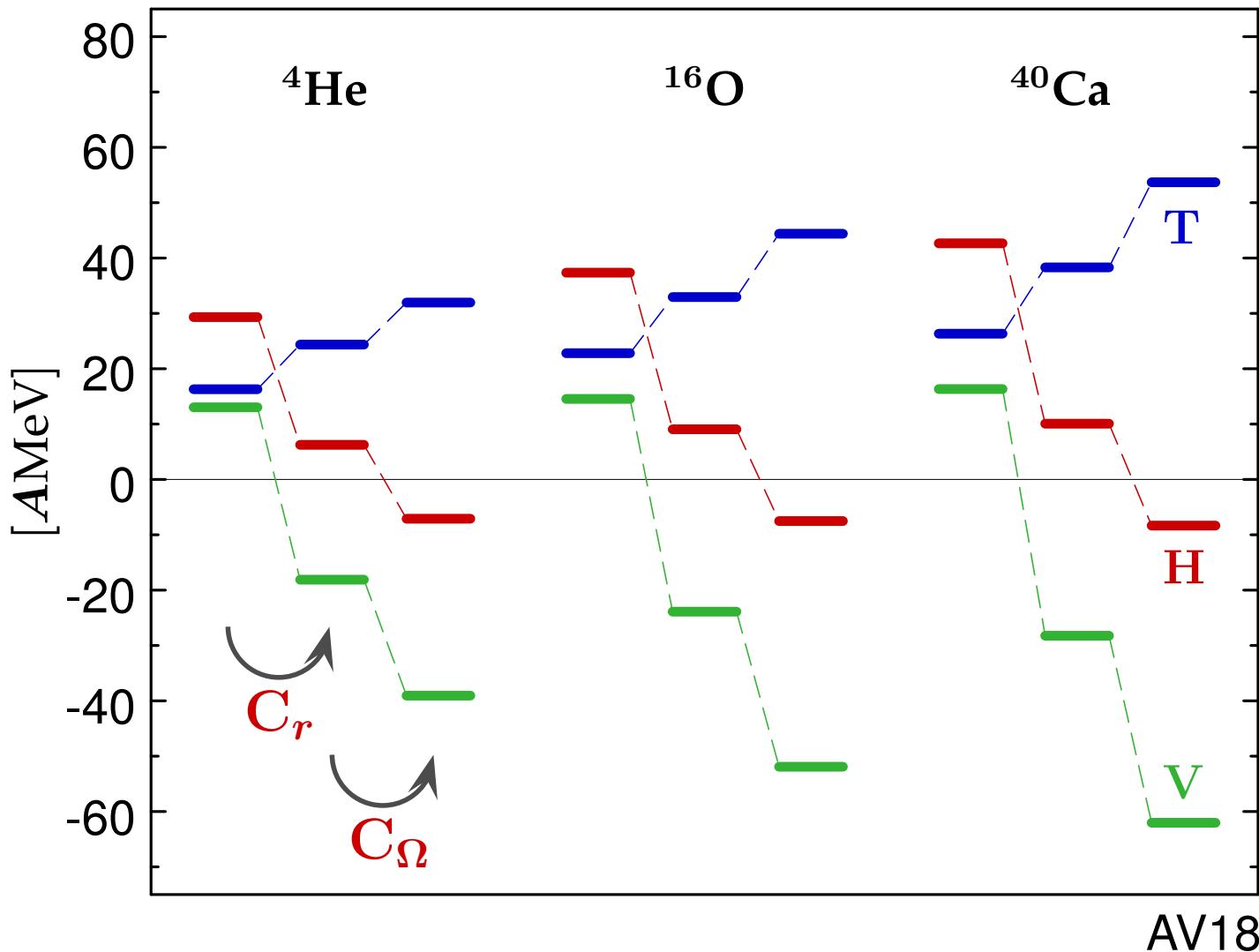
UCOM

- pre-diagonalisation with respect to short-range correlations
- no specific model-space or representation
- state independent
- phase-shift equivalent

Momentum-Space Matrix Elements



Simplistic “Shell-Model” Calculation



- expectation values for harmonic osc. Slater determinant
- nuclei unbound without inclusion of correlations
- central and tensor correlations essential to obtain bound system

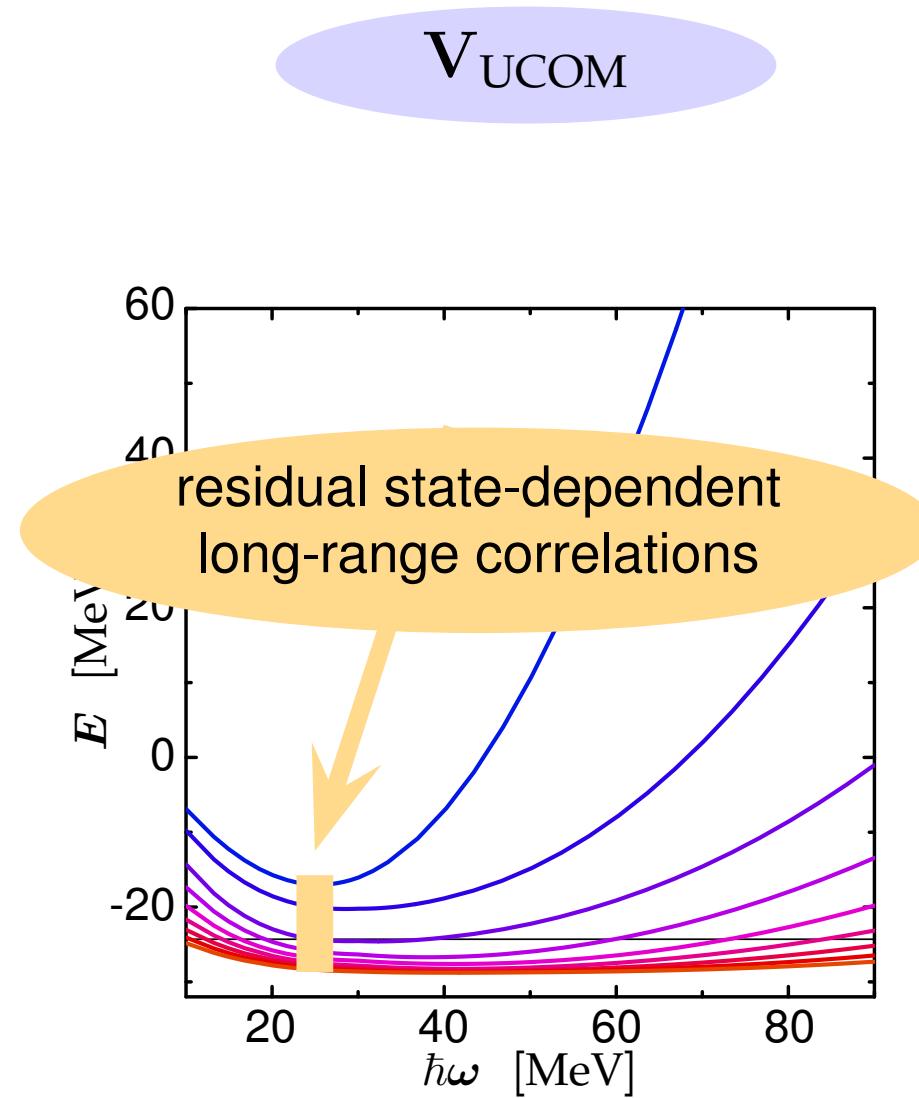
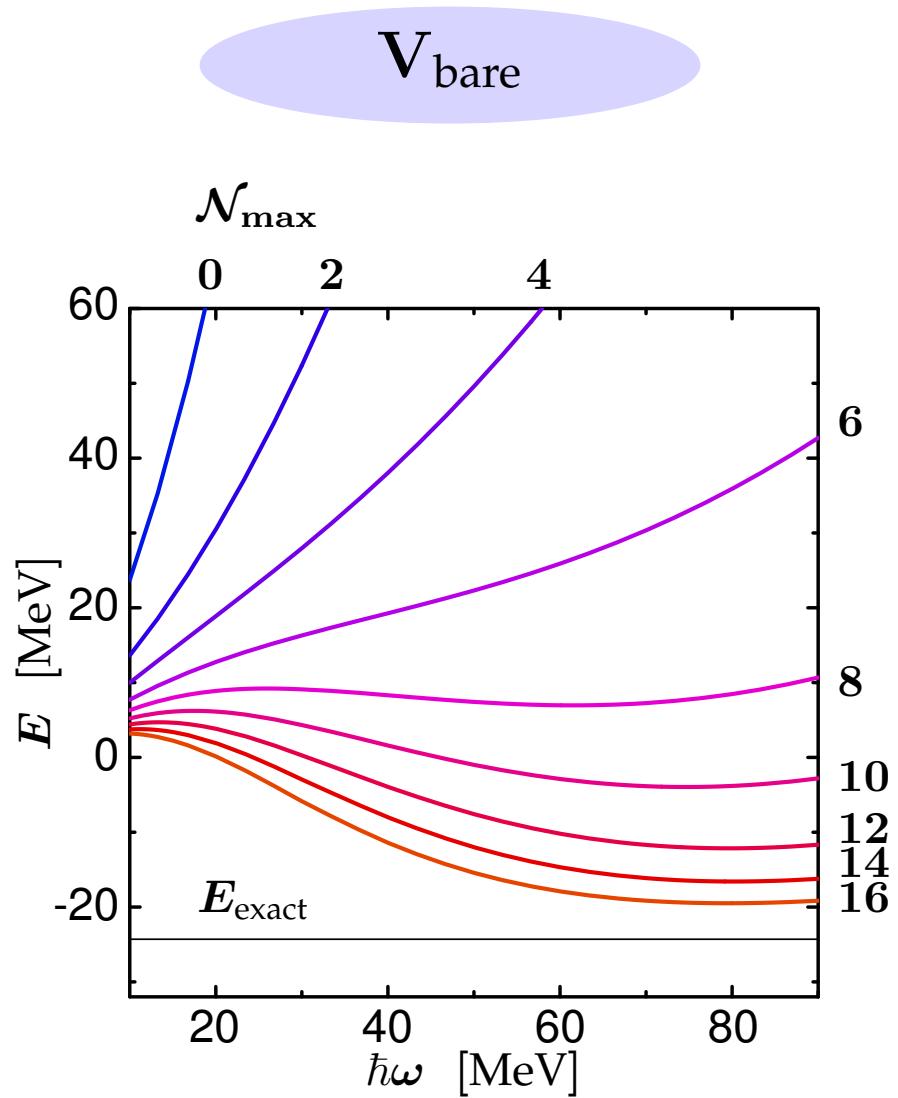
Application I

No-Core Shell Model

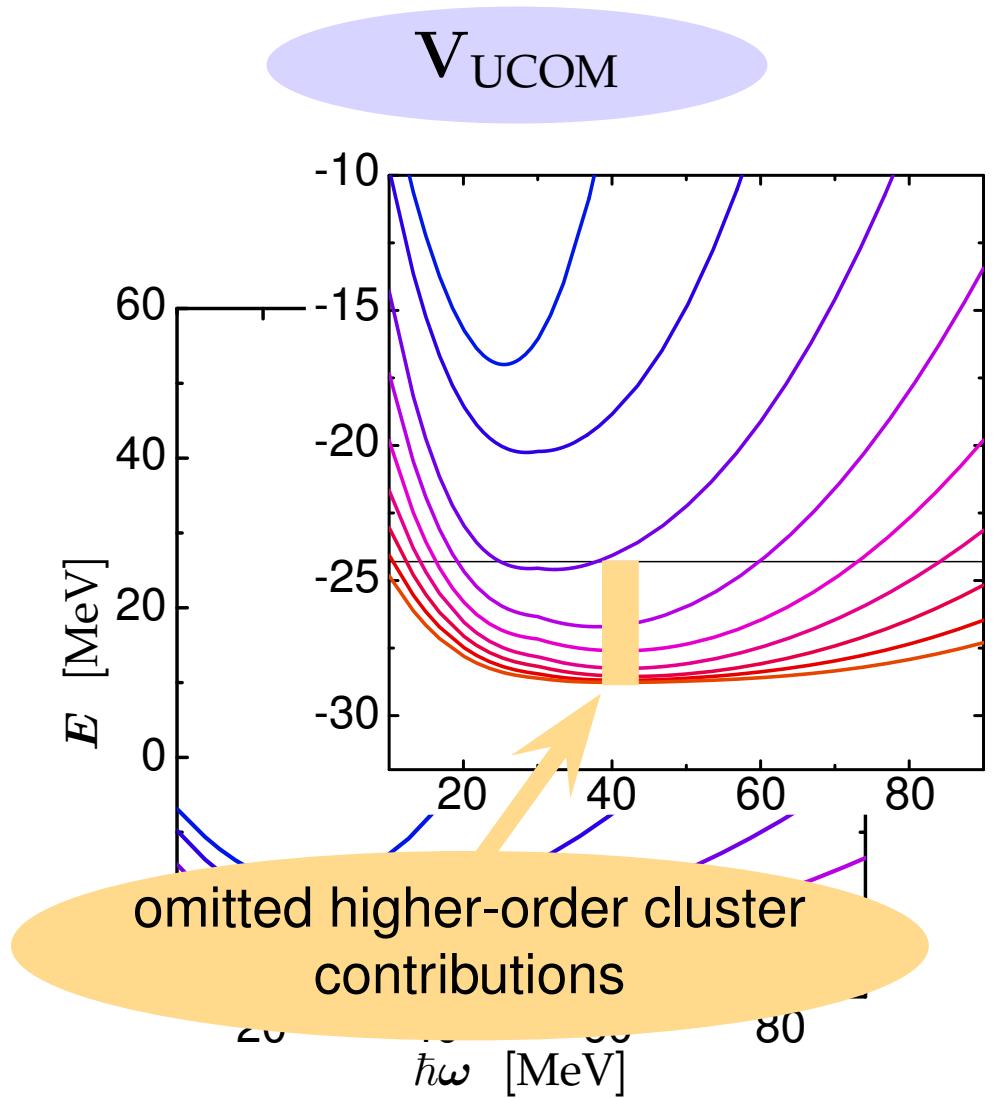
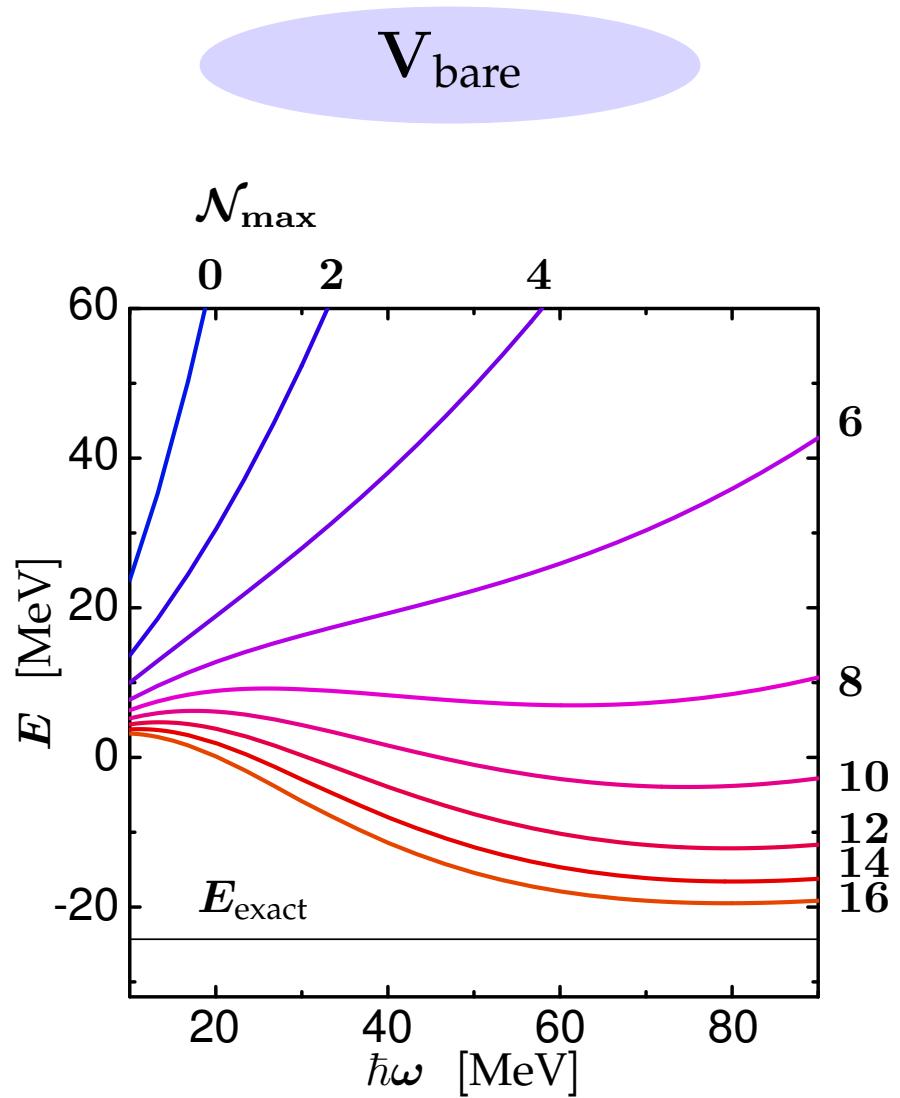
No-Core Shell Model
+
**Matrix Elements of Correlated
Realistic NN-Interaction V_{UCOM}**

- convergence dramatically improved compared to bare interaction
- assessment of the importance of long-range correlations
- direct evaluation of omitted higher-order contributions
- NCSM code by Petr Navratil [PRC 61, 044001 (2000)]

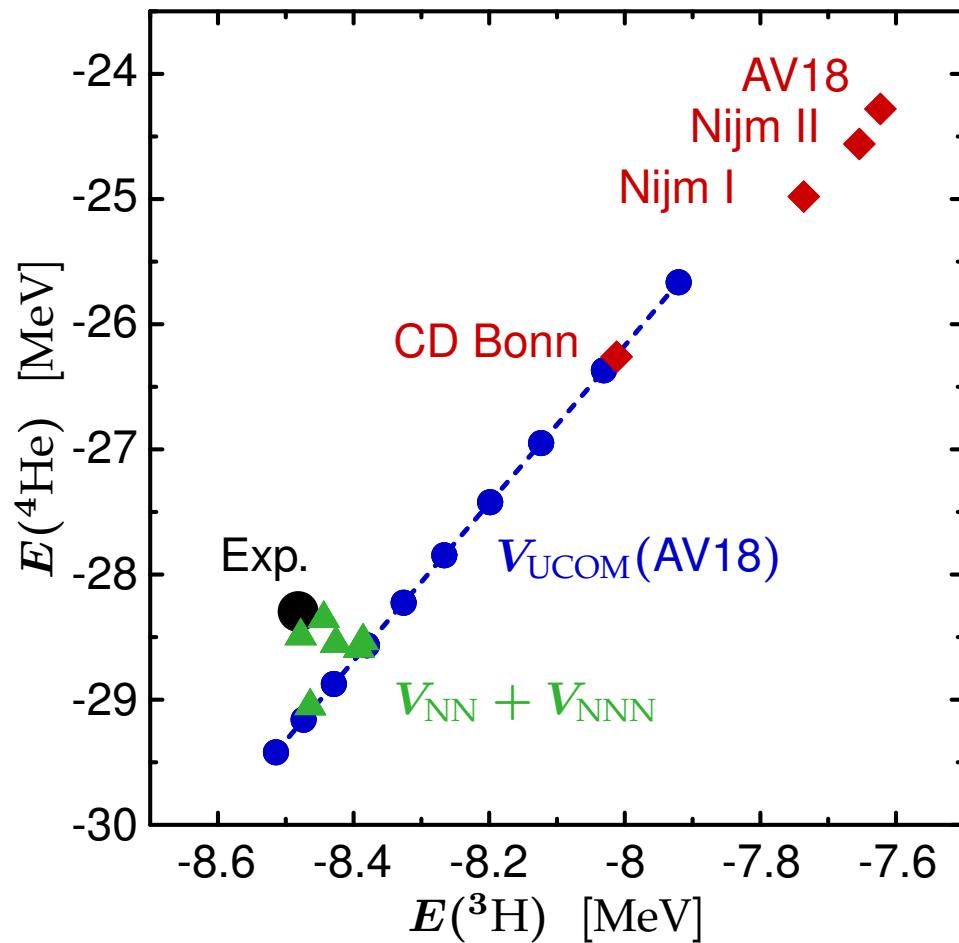
^4He : Convergence



^4He : Convergence



Tjon-Line and Correlator Range



- **Tjon-line:** $E(^4\text{He})$ vs. $E(^3\text{H})$ for phase-shift equivalent NN-interactions
- change in correlator range results in shift along Tjon-line
- choose correlator with energies close to experimental value, i.e. **minimise three-body force**

Application II

Hartree-Fock

Reminder: Hartree-Fock Approximation

- ground state approximated by a **single Slater-determinant**

$$|\text{HF}\rangle = |\phi_1, \phi_2, \dots, \phi_A\rangle_a = \mathcal{A} (|\phi_1\rangle \otimes |\phi_2\rangle \otimes \cdots \otimes |\phi_A\rangle)$$

- **single-particle states** $|\phi_i\rangle$ determined by minimising the energy expectation value

$$E_{\text{HF}} = \langle \text{HF} | \hat{\mathbf{H}} | \text{HF} \rangle = \sum_{i=1}^A \langle \phi_i | \mathbf{t} | \phi_i \rangle + \frac{1}{2} \sum_{i,j=1}^A {}_a \langle \phi_i \phi_j | \mathbf{V} | \phi_i \phi_j \rangle_a$$

- expand single-particle states in a complete basis, e.g., a harmonic oscillator basis

$$|\phi_i\rangle = \sum_{\alpha} D_{\alpha}^{(i)} |\alpha\rangle \quad , \quad |\alpha\rangle = |n, (l \frac{1}{2})jm, \frac{1}{2}m_t\rangle$$

Reminder: Hartree-Fock Approximation

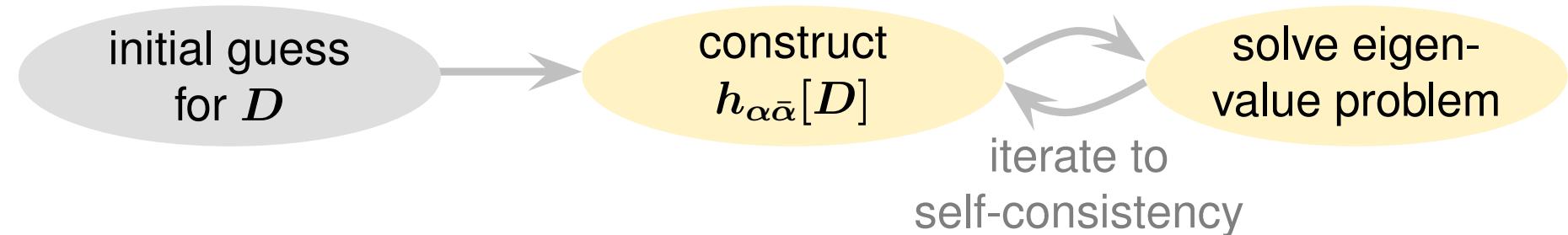
- variational principle leads to a nonlinear eigenvalue equation for the expansion coefficients

$$\sum_{\bar{\alpha}} h_{\alpha\bar{\alpha}}[D] D_{\bar{\alpha}}^{(k)} = \epsilon_k D_{\alpha}^{(k)} \quad k = 1, 2, \dots$$

- $h_{\alpha\bar{\alpha}}$ is the single-particle **Hartree-Fock Hamiltonian**

$$h_{\alpha\bar{\alpha}}[D] = \langle \alpha | t | \bar{\alpha} \rangle + \sum_{\alpha', \bar{\alpha}'} \sum_{i=1}^A D_{\alpha'}^{(i)\star} D_{\bar{\alpha}'}^{(i)} {}_a \langle \alpha \alpha' | V | \bar{\alpha} \bar{\alpha}' \rangle_a$$

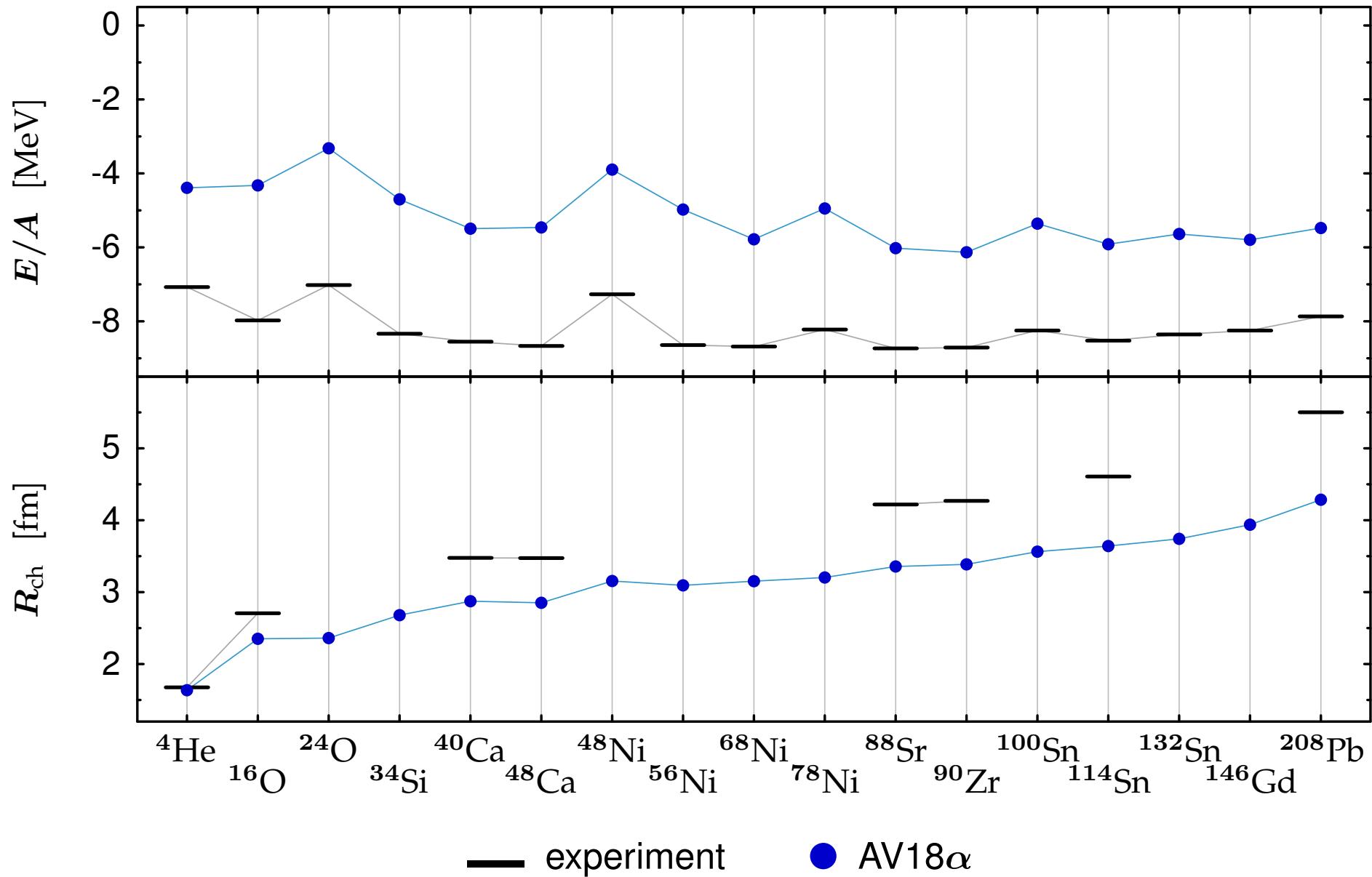
- **iterative solution** of nonlinear eigenvalue problem:



Standard Hartree-Fock
+
**Matrix Elements of Correlated
Realistic NN-Interaction V_{UCOM}**

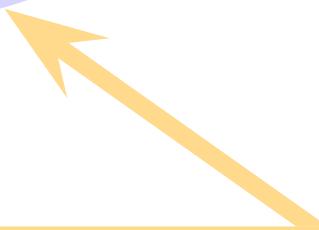
- single-particle states expanded in a spherical oscillator basis
- truncation in n , l , and/or $N = 2n + l$ (typically $N_{\text{max}} = 6...12$)
- Coulomb interaction included exactly
- formulated with intrinsic kinetic energy $T_{\text{int}} = T - T_{\text{cm}}$ to eliminate center of mass contributions

Correlated Argonne V18



Missing Pieces

long-range correlations



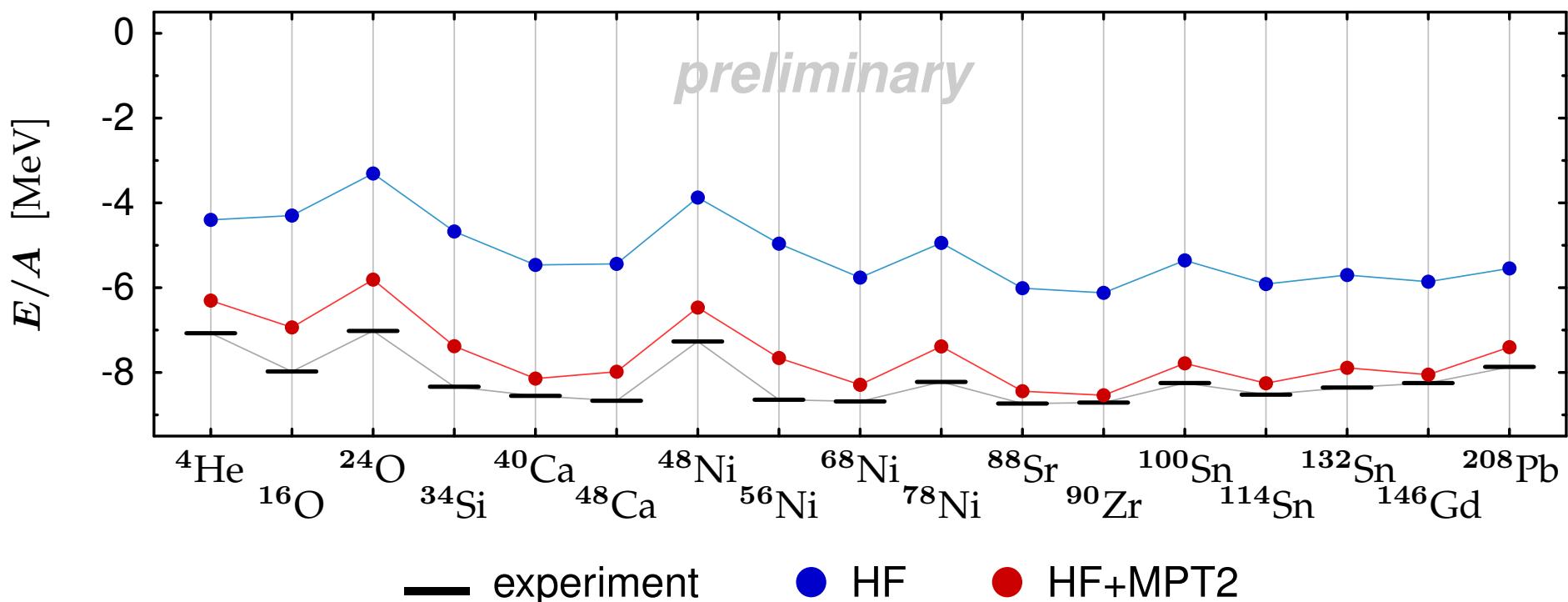
Ab Initio Strategy

- improve many-body states such that long-range correlations are included
- many-body perturbation theory (MPT), configuration interaction (CI), coupled-cluster (CC),...

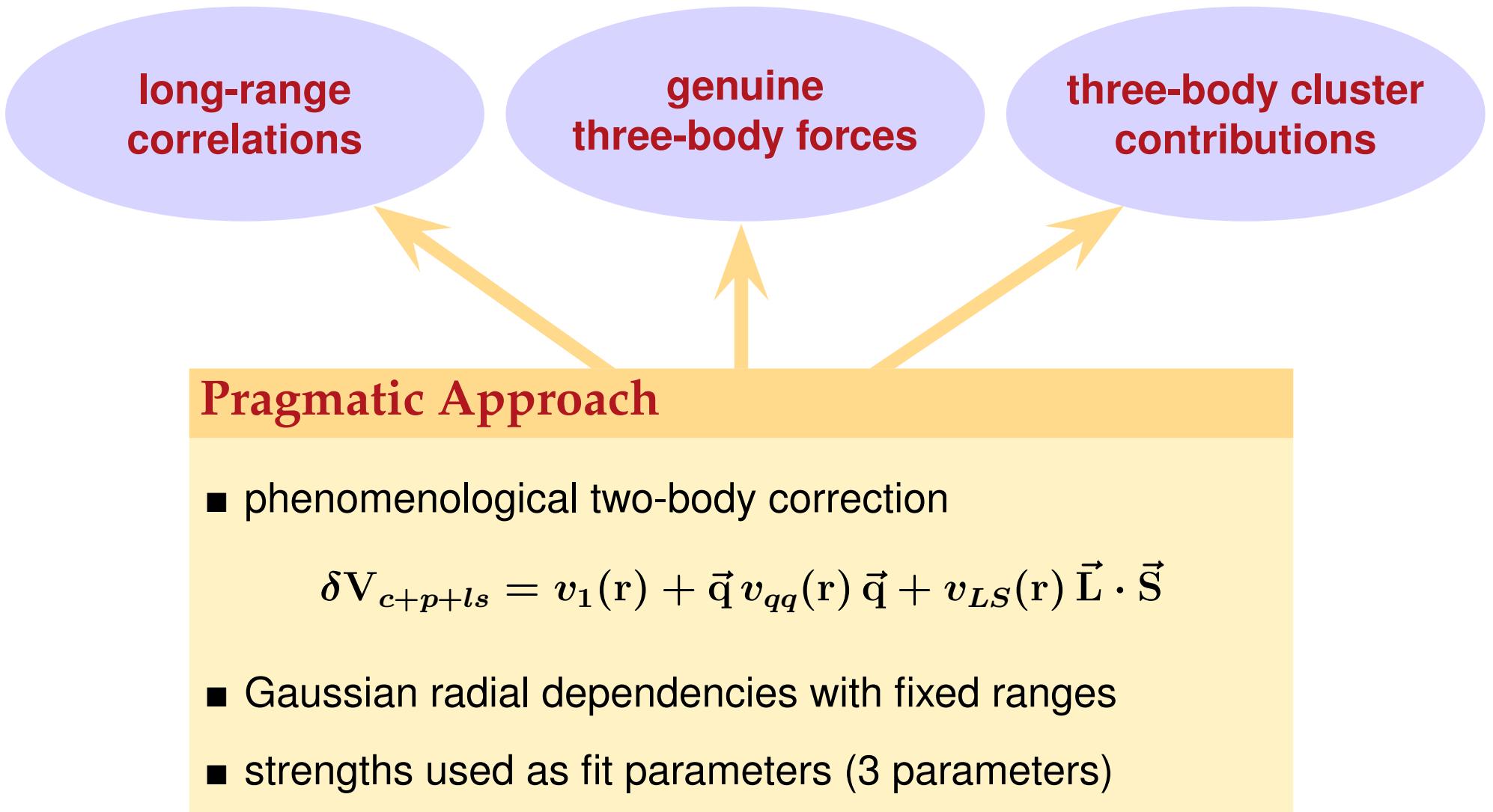
Long-Range Correlations

- **many-body perturbation theory**: second-order energy shift gives estimate for influence of long-range correlations

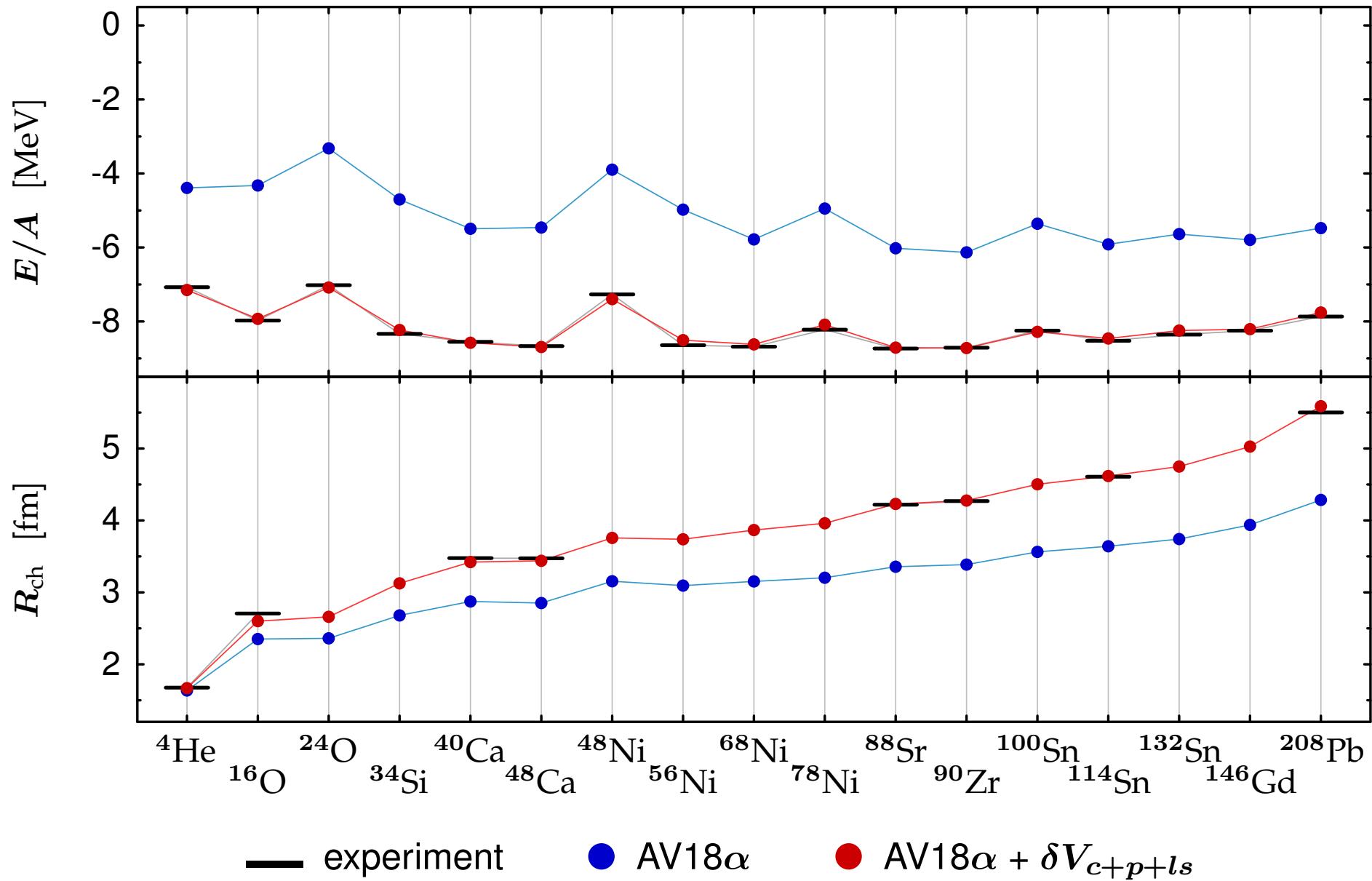
$$\Delta E^{(2)} = -\frac{1}{4} \sum_{i,j}^{\text{occu. unoccu.}} \sum_{a,b} \frac{|\langle \phi_a \phi_b | V_{\text{UCOM}} | \phi_i \phi_j \rangle|^2}{\epsilon_a + \epsilon_b - \epsilon_i - \epsilon_j}$$



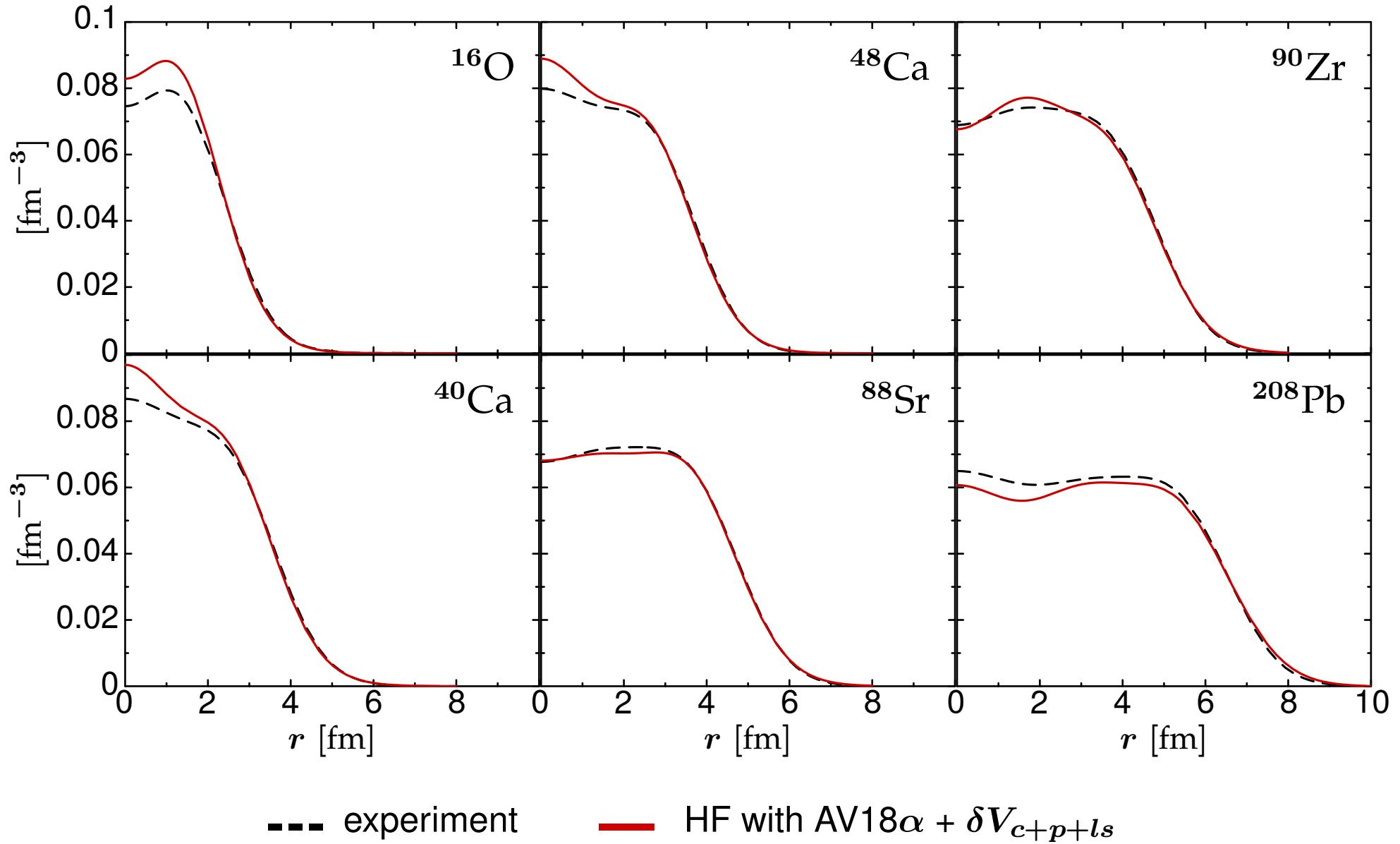
Missing Pieces



Correlated Argonne V18 + Correction



Charge Distributions



Application III

Fermionic Molecular Dynamics (FMD)

FMD Approach

Gaussian Single-Particle States

$$|q\rangle = \sum_{\nu=1}^n \mathbf{c}_{\nu} \ |a_{\nu}, \vec{b}_{\nu}\rangle \otimes |\chi_{\nu}\rangle \otimes |m_t\rangle$$

$$\langle \vec{x} | a_{\nu}, \vec{b}_{\nu} \rangle = \exp \left[- \frac{(\vec{x} - \vec{b}_{\nu})^2}{2 a_{\nu}} \right]$$

a_{ν} : complex width

χ_{ν} : spin orientation

\vec{b}_{ν} : mean position & momentum

Variation

$$\frac{\langle Q | \hat{H} - T_{cm} | Q \rangle}{\langle Q | Q \rangle} \rightarrow \min$$

Slater Determinant

$$|Q\rangle = \mathcal{A} (|q_1\rangle \otimes |q_2\rangle \otimes \cdots \otimes |q_A\rangle)$$

Diagonalisation

in sub-space spanned
by several non-ortho-
gonal Slater deter-
minants $|Q_i\rangle$

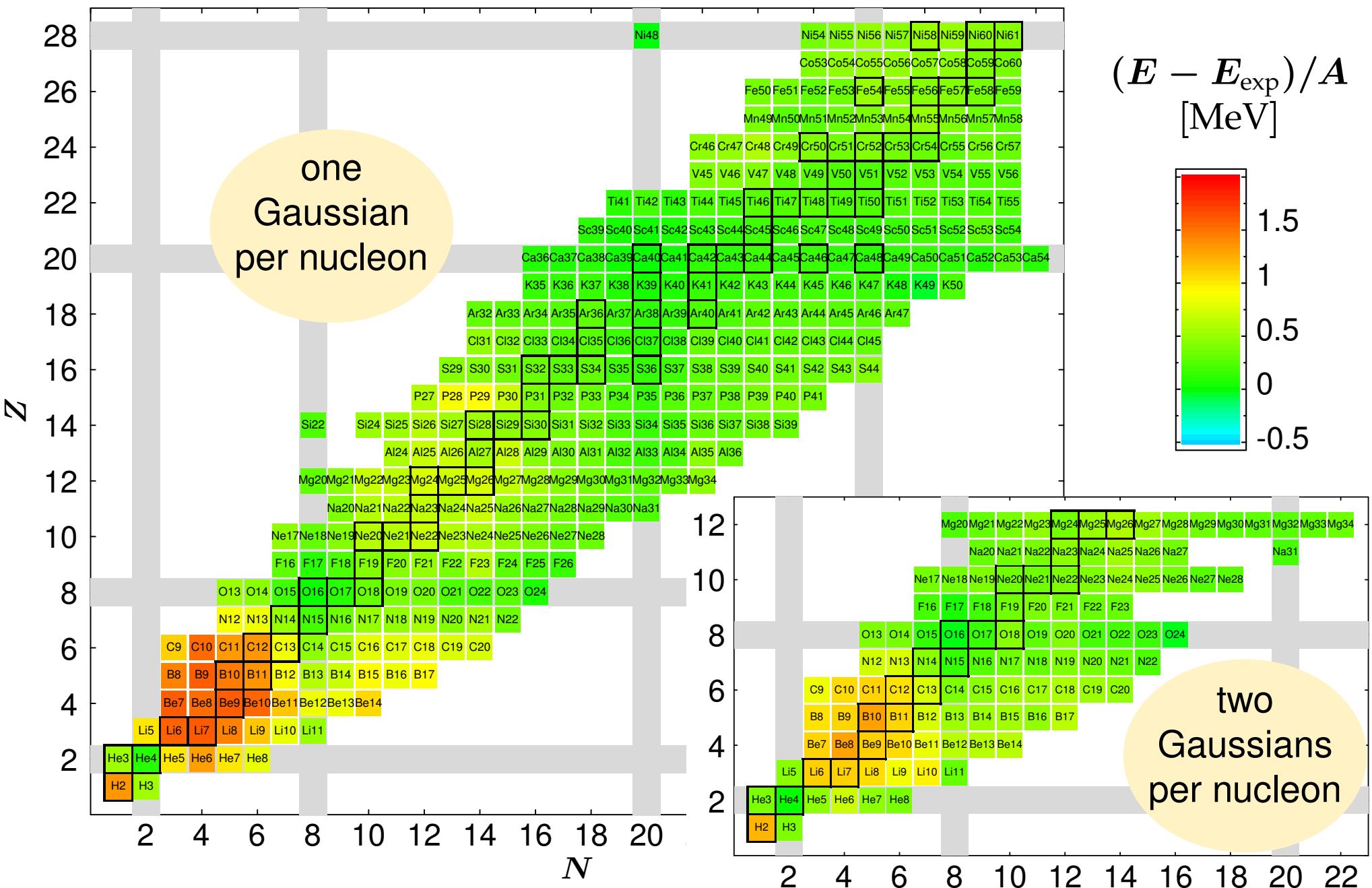
Correlated Hamiltonian

$$\hat{H} = T + V_{UCOM} [+ \delta V_{c+p+ls}]$$

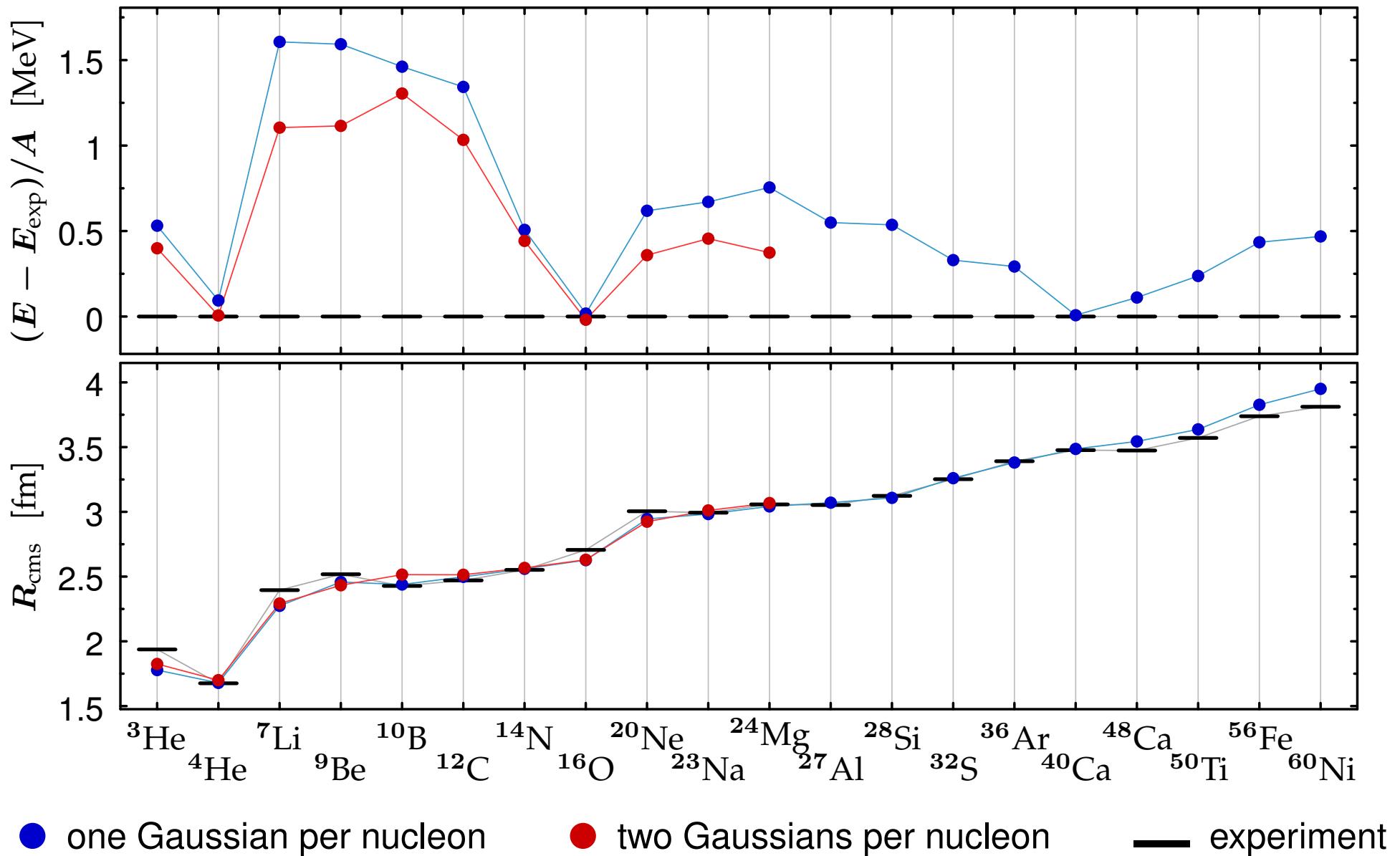
FMD Matrix Elements

$$\begin{aligned}
\langle q_k, q_l | G(r) S_{12}(\vec{q}_\Omega, \vec{q}_\Omega) | q_m, q_n \rangle = & \gamma_{klmn}^2 R_{km} R_{ln} G_{klmn} \left\{ \right. \\
& s_{12}(\vec{\rho}_{klmn} \times \vec{\pi}_{klmn}, \vec{\rho}_{klmn} \times \vec{\pi}_{klmn}) (5\alpha_{klmn} + \gamma_{klmn} \vec{\rho}_{klmn}^2) + \\
& s_{12}(\vec{\pi}_{klmn}, \vec{\pi}_{klmn}) (9\alpha_{klmn}^2 + 13\alpha_{klmn}\gamma_{klmn}\vec{\rho}_{klmn}^2 + 2\gamma_{klmn}^2 \vec{\rho}_{klmn}^4) - \\
& s_{12}(\vec{\pi}_{klmn}, \vec{\rho}_{klmn}) \left(\frac{9}{2}\alpha_{klmn}\beta_{klmn} + 16\alpha_{klmn}\gamma_{klmn}(\vec{\pi}_{klmn} \cdot \vec{\rho}_{klmn}) + \right. \\
& \quad \left. \frac{5}{2}\gamma_{klmn}\beta_{klmn}\vec{\rho}_{klmn}^2 + 4\gamma_{klmn}^2(\vec{\pi}_{klmn} \cdot \vec{\rho}_{klmn})\vec{\rho}_{klmn}^2 \right) + \\
& s_{12}(\vec{\rho}_{klmn}, \vec{\rho}_{klmn}) \left(\frac{21}{4}\gamma_{klmn}(\theta_{klmn} - \alpha_{klmn}\lambda_{klmn}) + \frac{9}{4}\theta_{klmn} - \frac{9}{2} + \right. \\
& \quad \left. 2\gamma_{klmn}^2(\vec{\pi}_{klmn} \cdot \vec{\rho}_{klmn})^2 + 4\gamma_{klmn}\beta_{klmn}(\vec{\pi}_{klmn} \cdot \vec{\rho}_{klmn}) - \right. \\
& \quad \left. \left. \frac{3}{4}\gamma_{klmn} \left(\frac{\theta_{klmn}}{\alpha_{klmn} + \kappa} + \gamma_{klmn}\lambda_{klmn} \right) \vec{\rho}_{klmn}^2 \right) \right\}
\end{aligned}$$

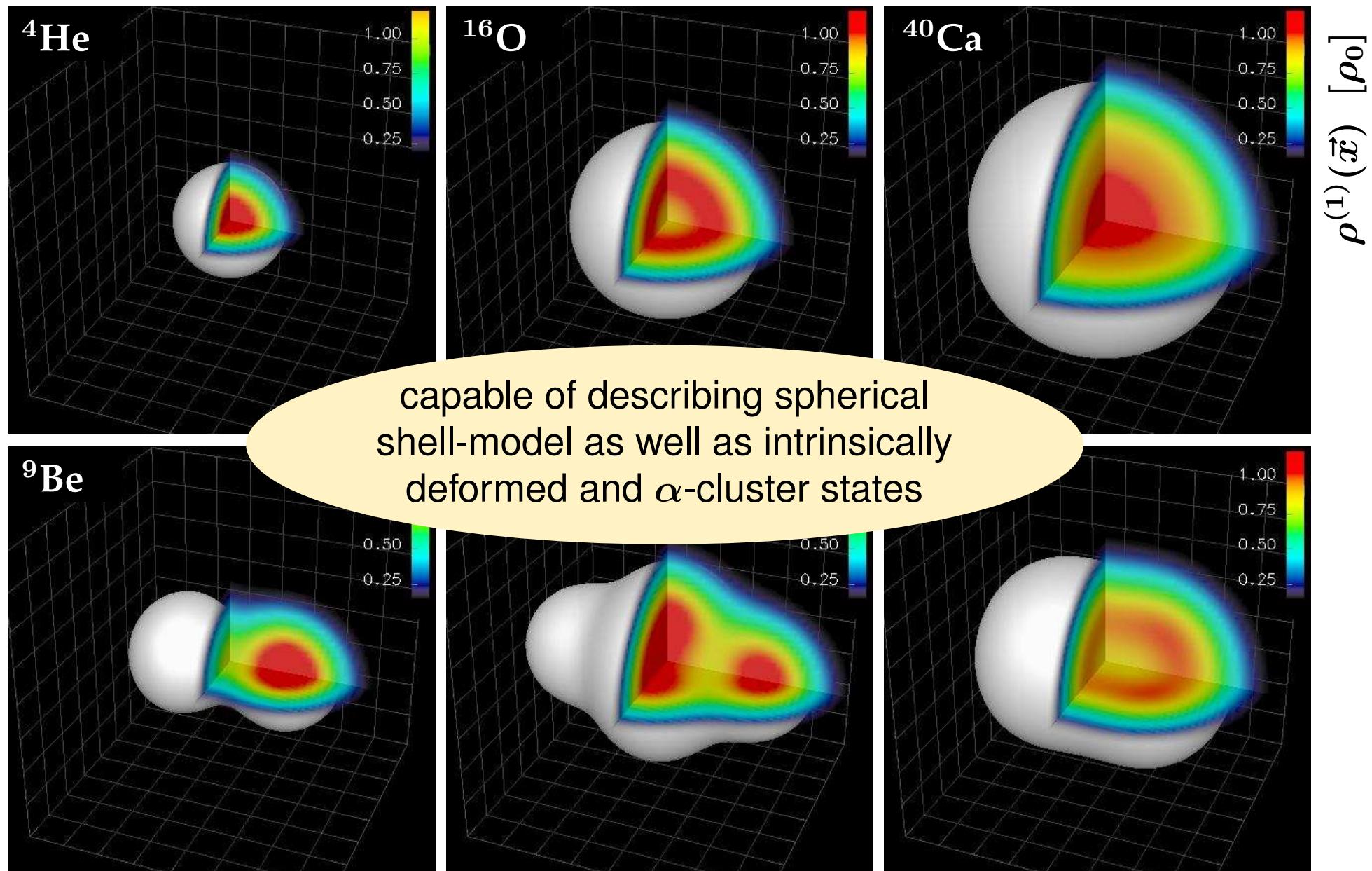
Variation: Chart of Nuclei



Selected Stable Nuclei



Intrinsic One-Body Density Distributions



Beyond Simple Variation

■ Projection after Variation (PAV)

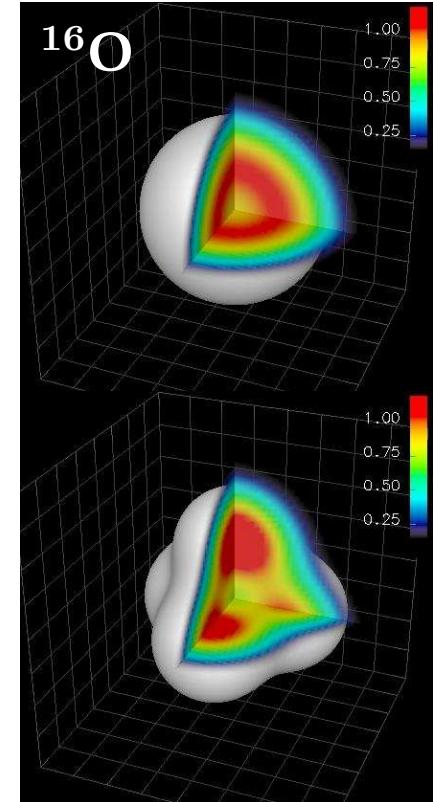
- restore inversion and rotational symmetry by angular momentum projection

■ Variation after Projection (VAP)

- find energy minimum within parameter space of parity and angular momentum projected states
- implementation via generator coordinate method (constraints on multipole moments)

■ Multi-Configuration

- diagonalisation within a set of different Slater determinants



Angular Momentum Projection

- project intrinsically deformed many-body state $|Q\rangle$ onto **angular momentum eigenstate** $|\Psi_{JM}\rangle$

$$|\Psi_{JM}\rangle = \sum_K g_K^J \mathbf{P}_{MK}^J |Q\rangle$$

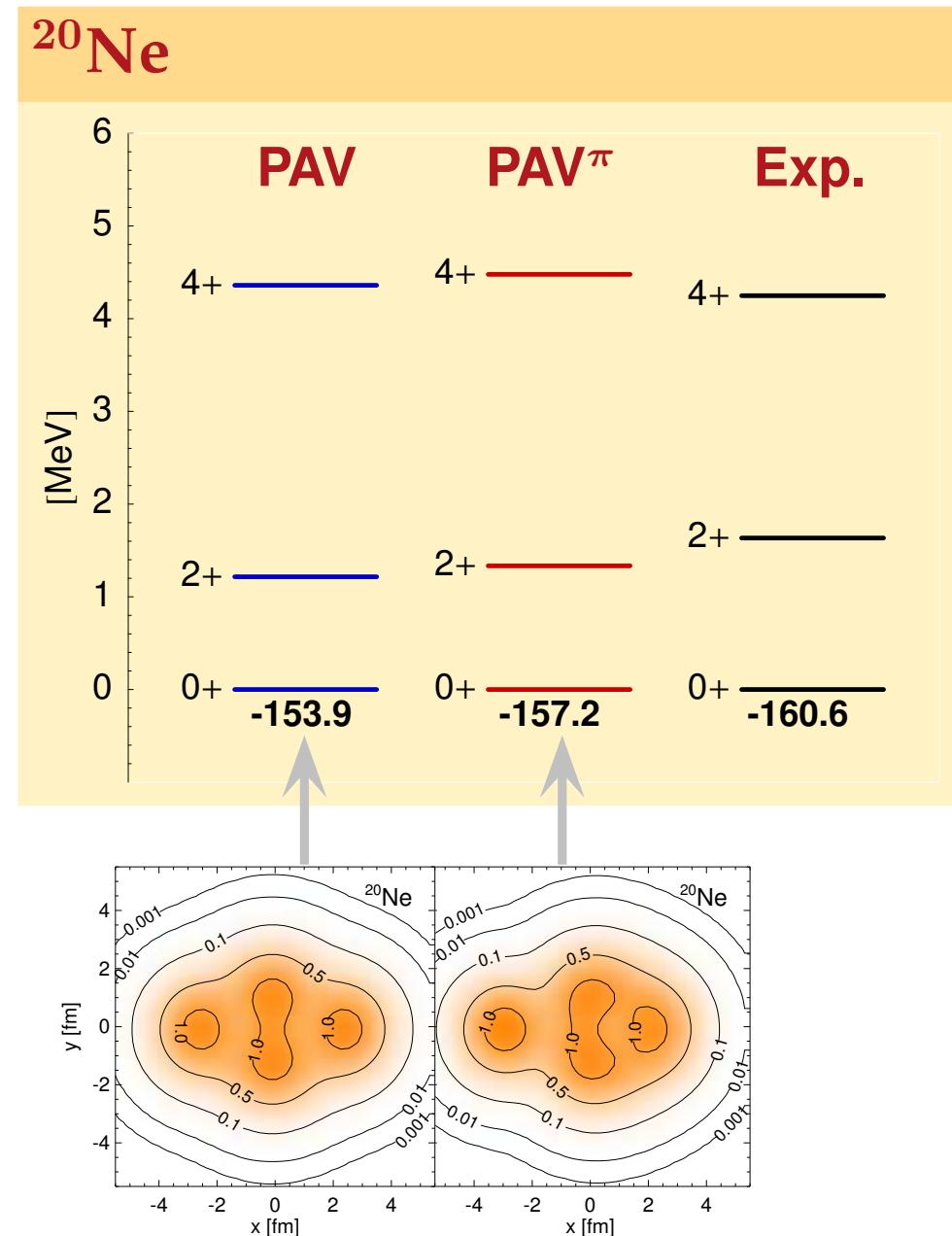
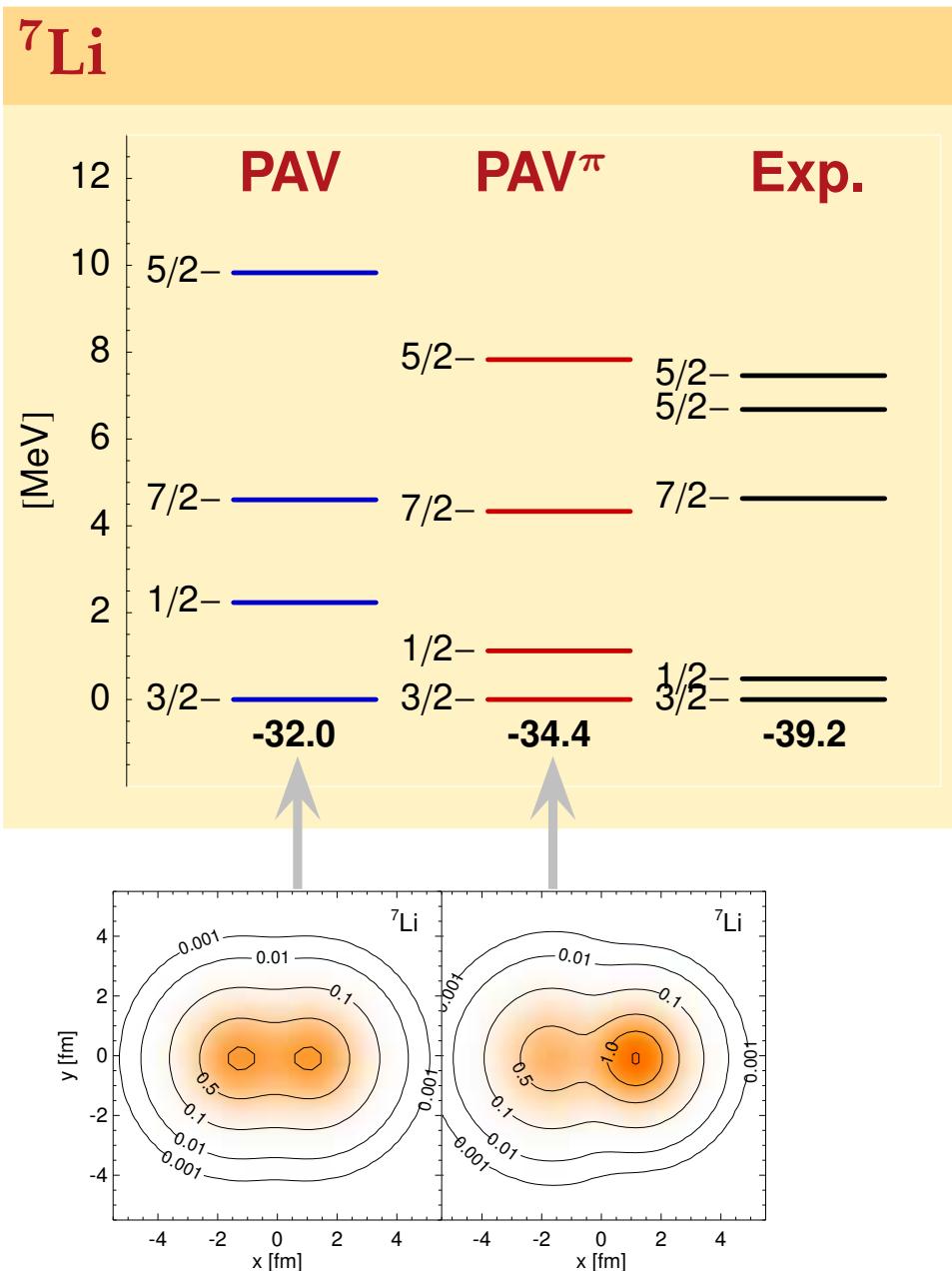
$$\mathbf{P}_{MK}^J = \frac{2J+1}{8\pi^2} \int d^3\omega D_{MK}^{J\star}(\vec{\omega}) R(\vec{\omega})$$

- energy of angular momentum projected states

$$E_J = \frac{\langle \Psi_{JM} | H - T_{cm} | \Psi_{JM} \rangle}{\langle \Psi_{JM} | \Psi_{JM} \rangle}$$

- **PAV**: calculate E_J for $|Q\rangle$ obtained by minimisation of unprojected energy
- **VAP**: determine parameters of $|Q\rangle$ through minimisation of E_J

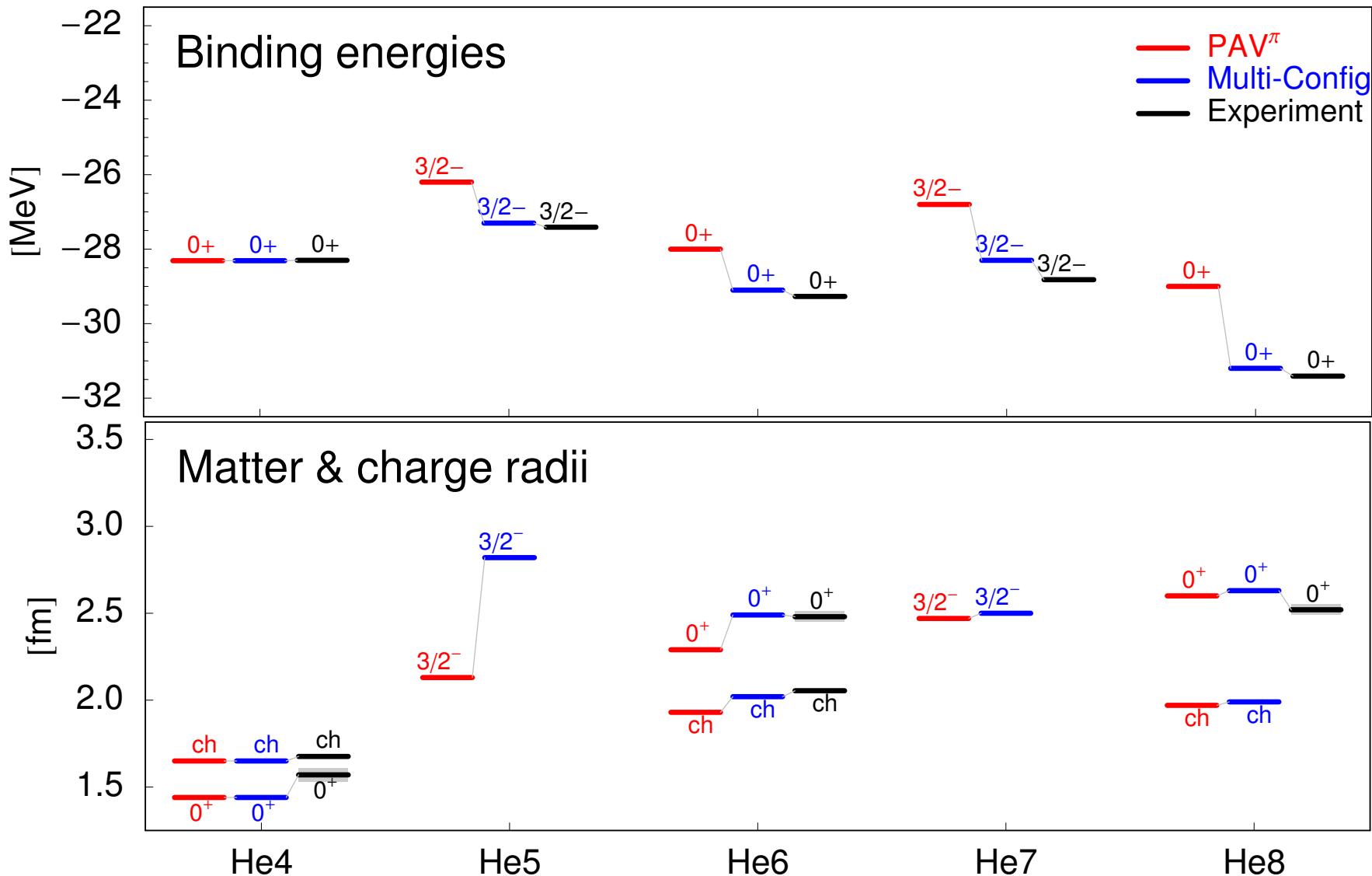
Angular Momentum Projection – Example



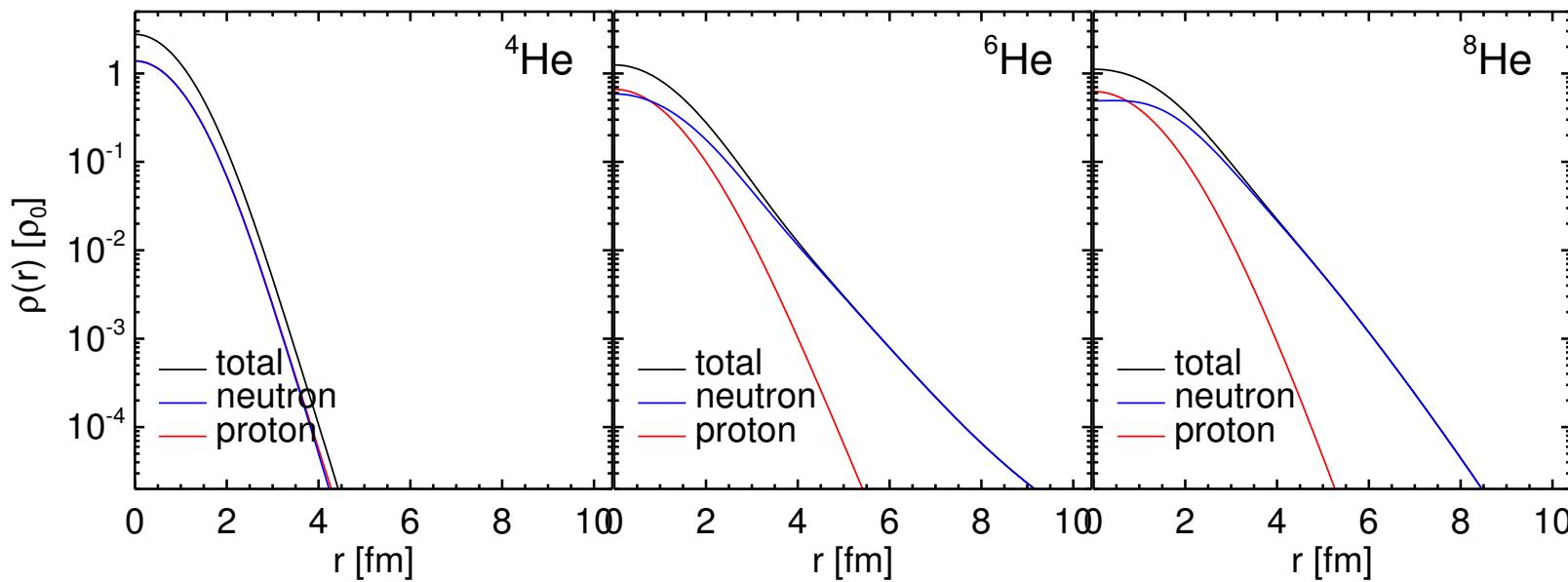
Results for p-Shell Nuclei

Helium Isotopes: Energies & Radii

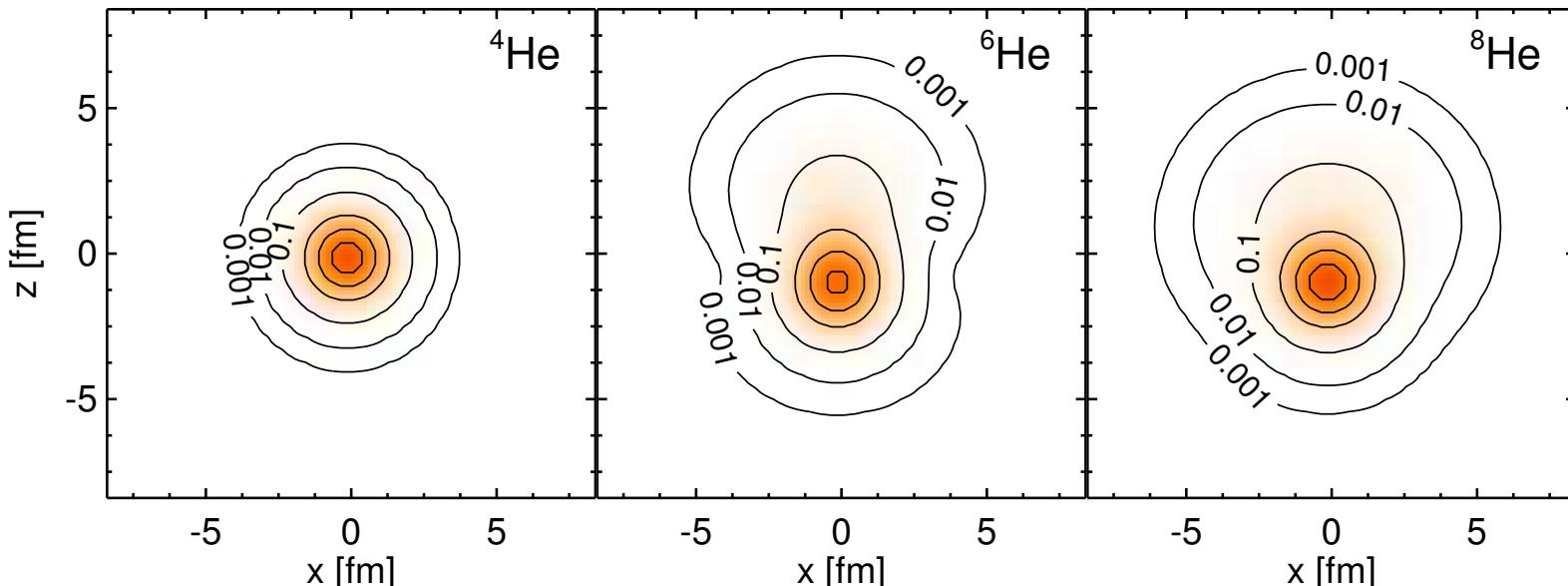
[figures provided by T. Neff]



Helium Isotopes: Densities

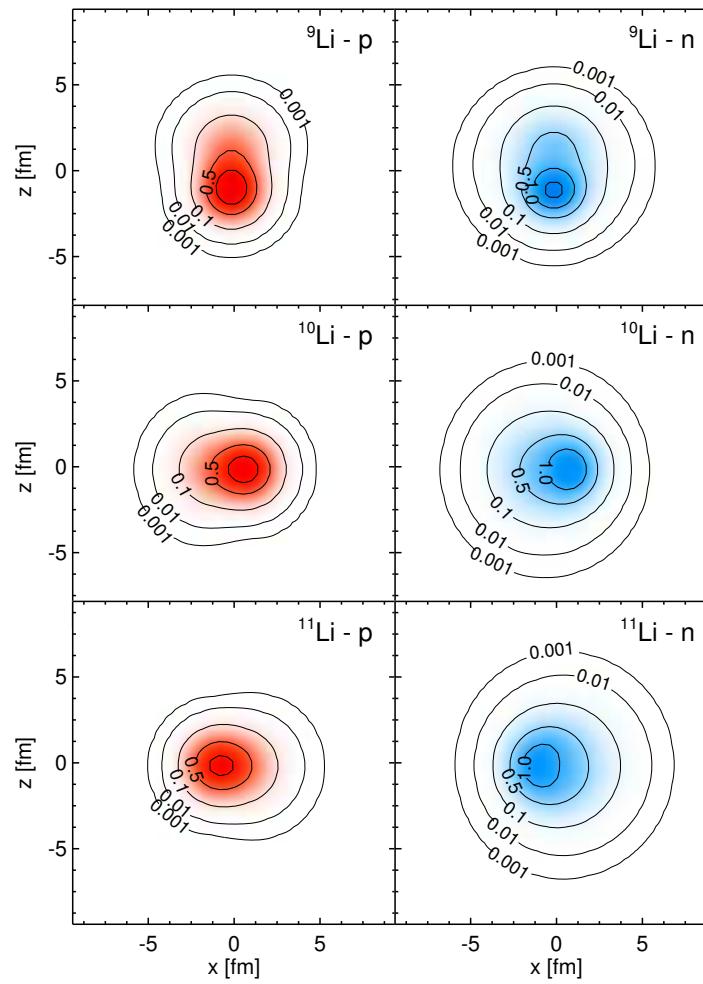
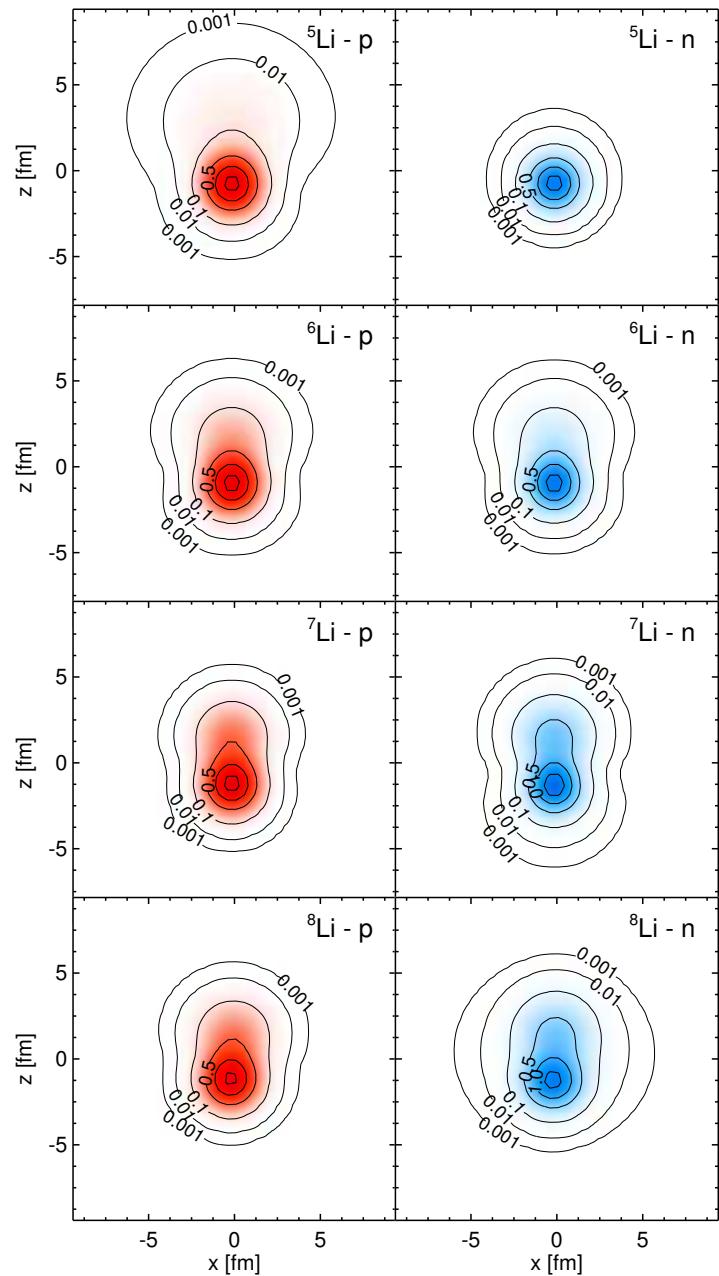


Multi-
Config
radial den-
sity profiles



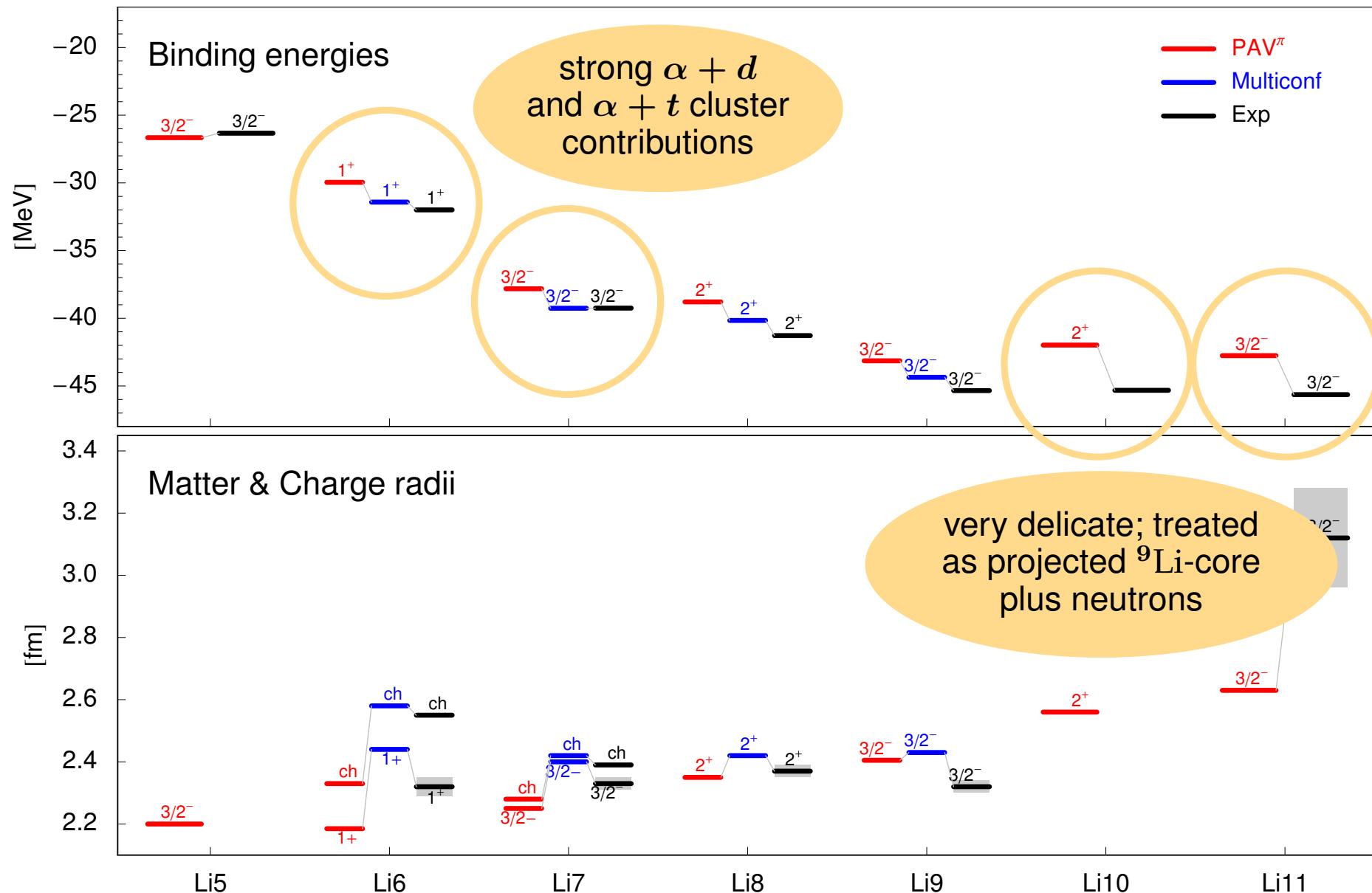
PAV $^\pi$
intrinsic
densities

Lithium Isotopes: Intrinsic Densities

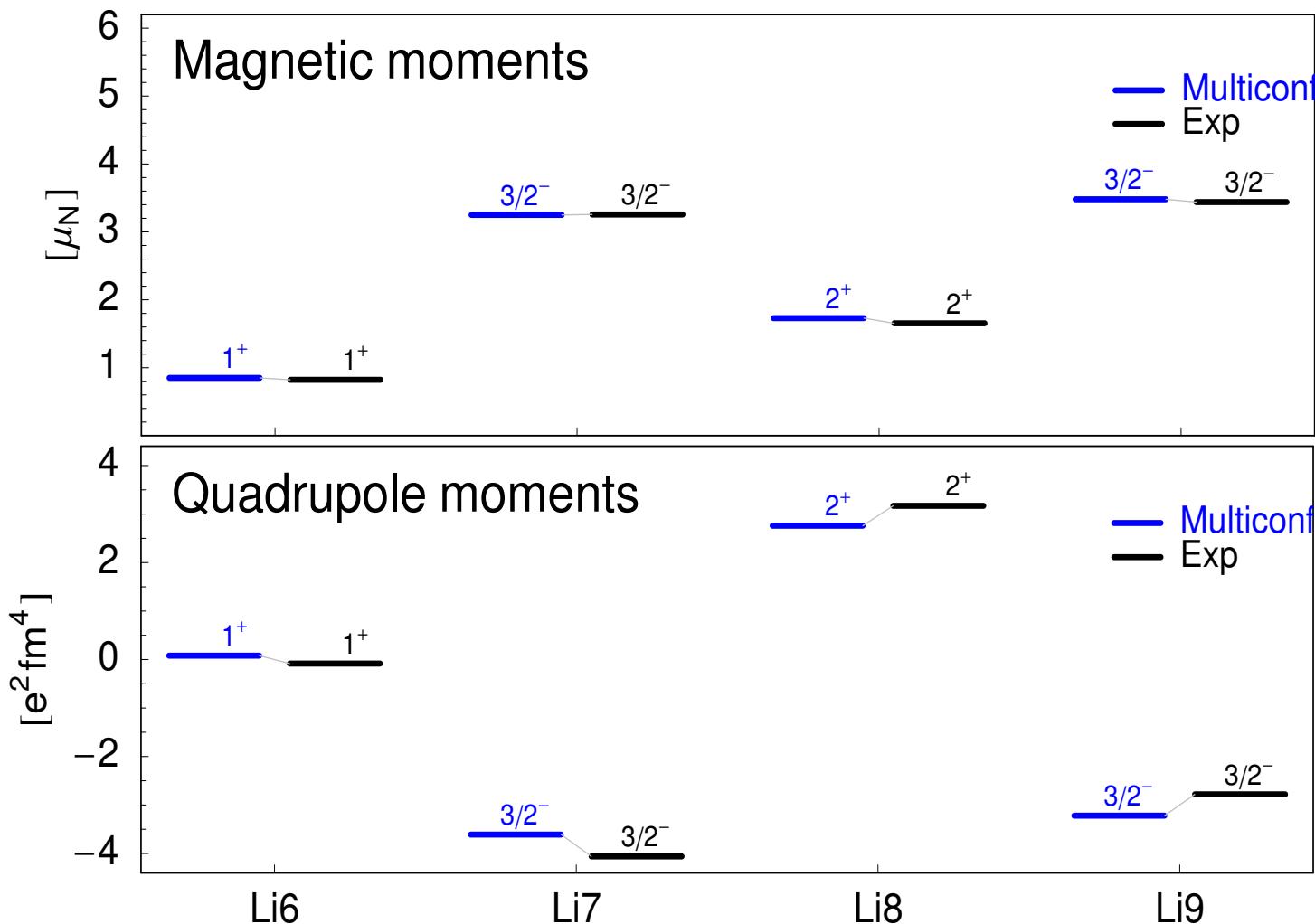


PAV $^\pi$
intrinsic
densities

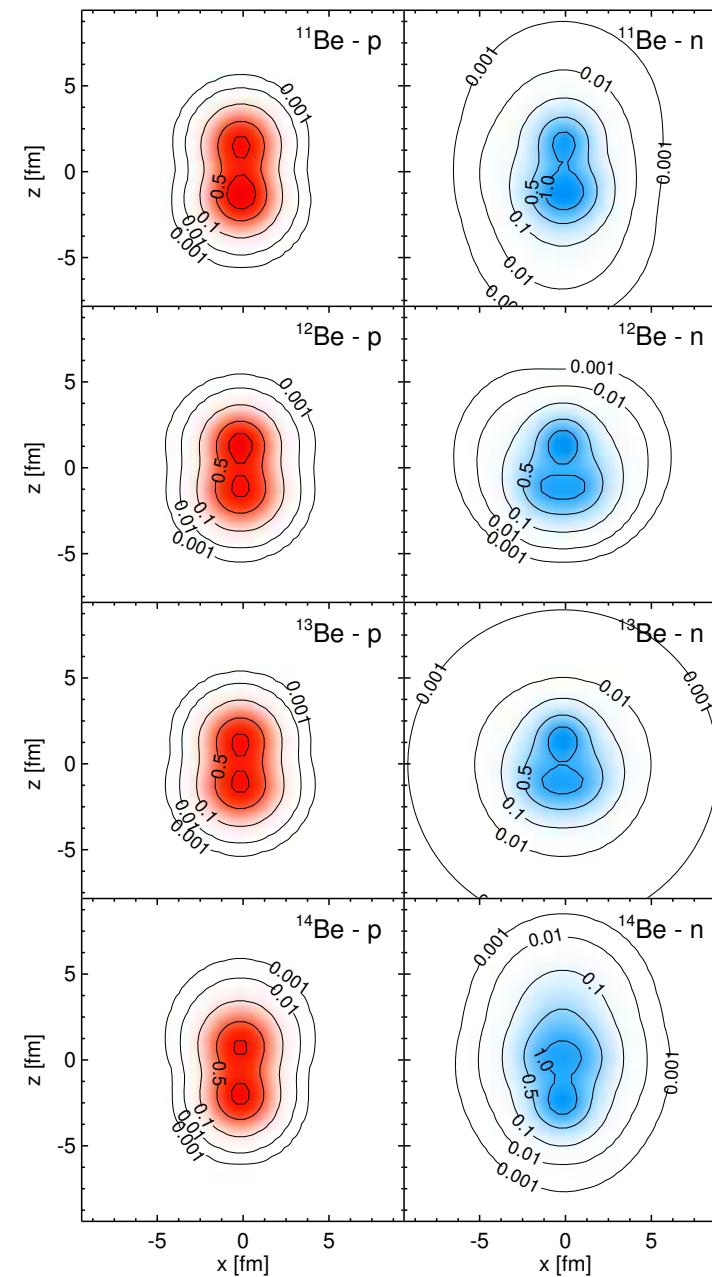
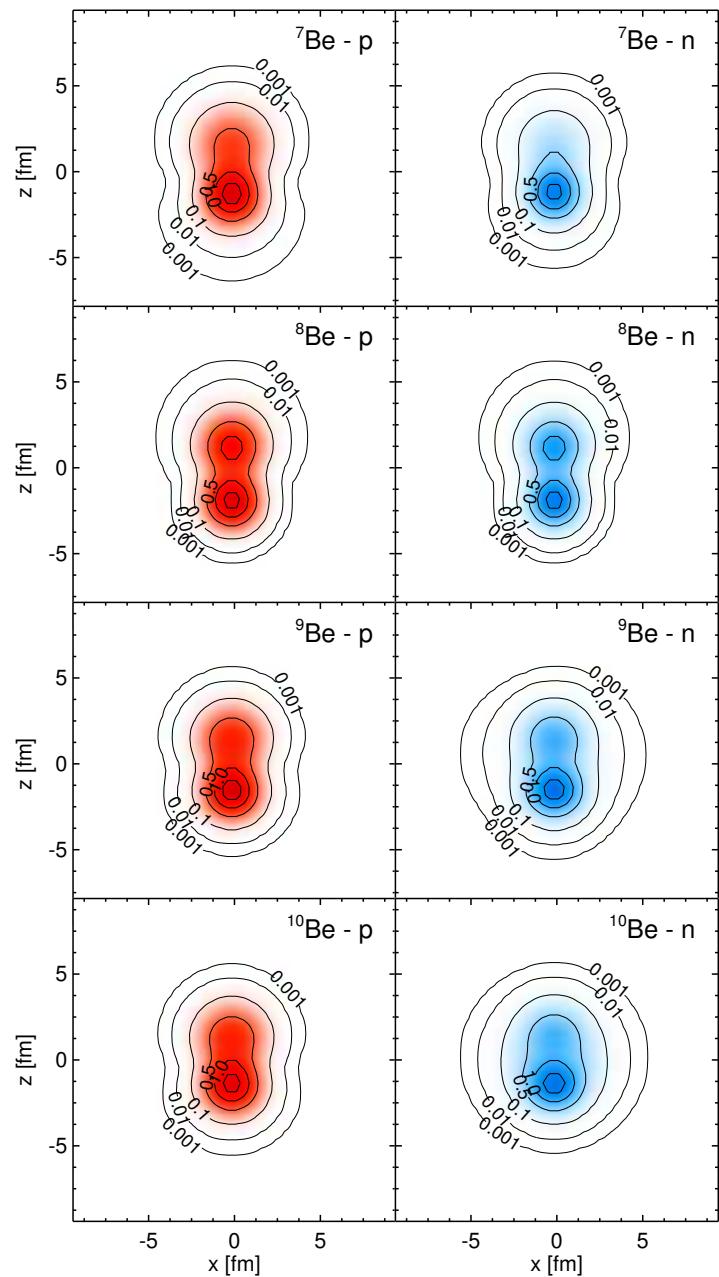
Lithium Isotopes: Energies & Radii



Lithium Isotopes: Moments

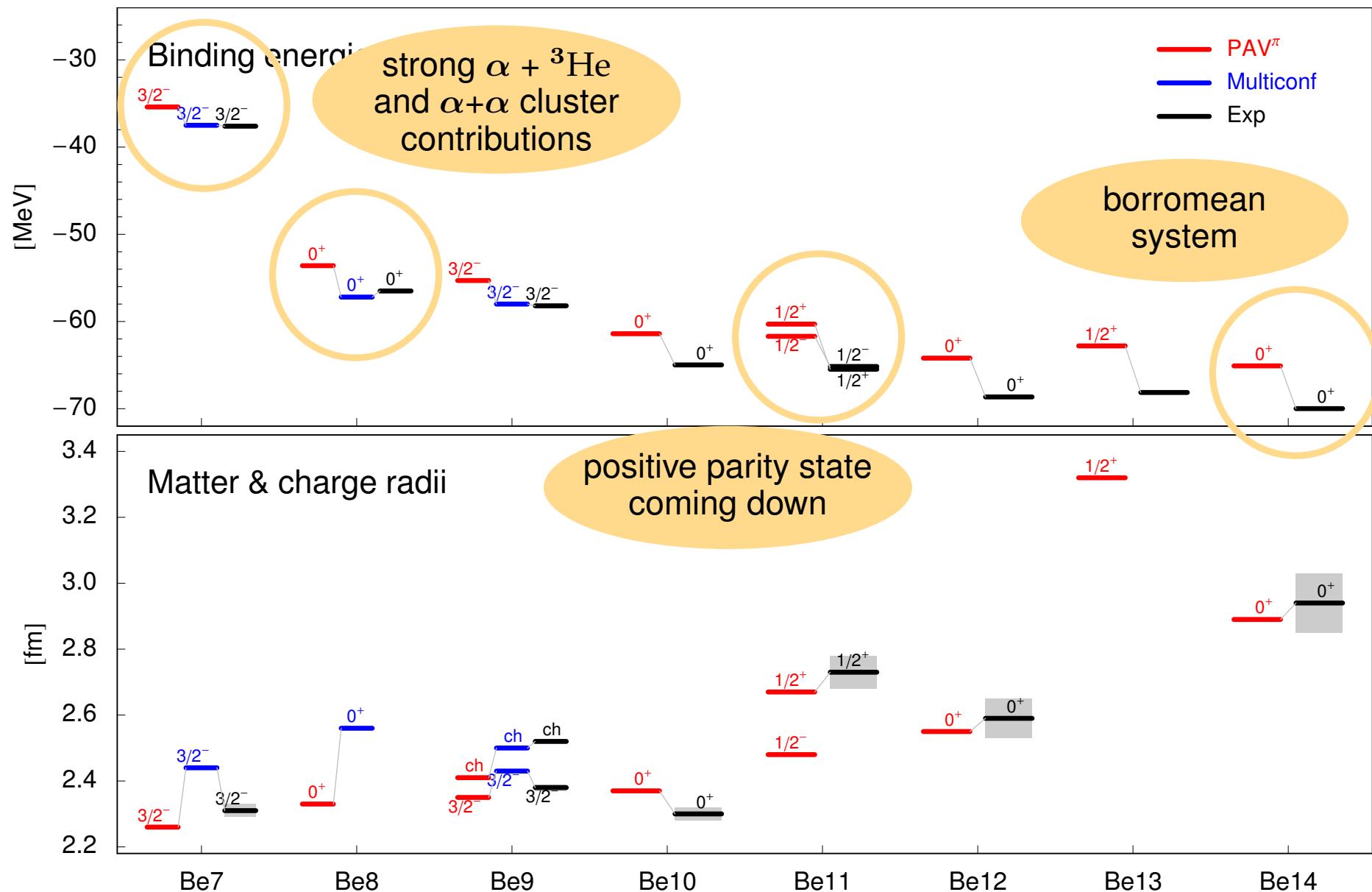


Beryllium Isotopes: Intrinsic Densities

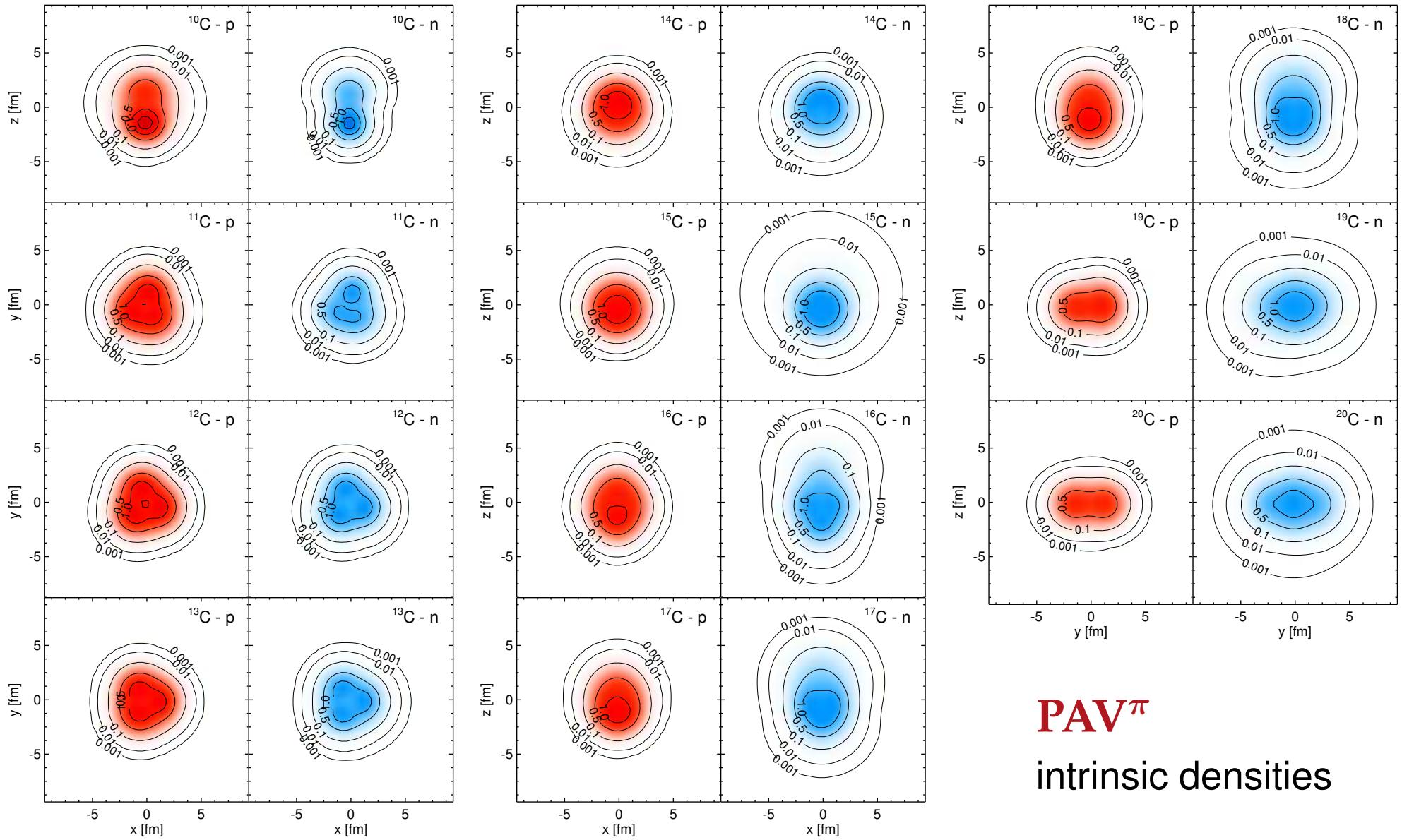


PAV $^\pi$
intrinsic
densities

Beryllium Isotopes: Energies & Radii

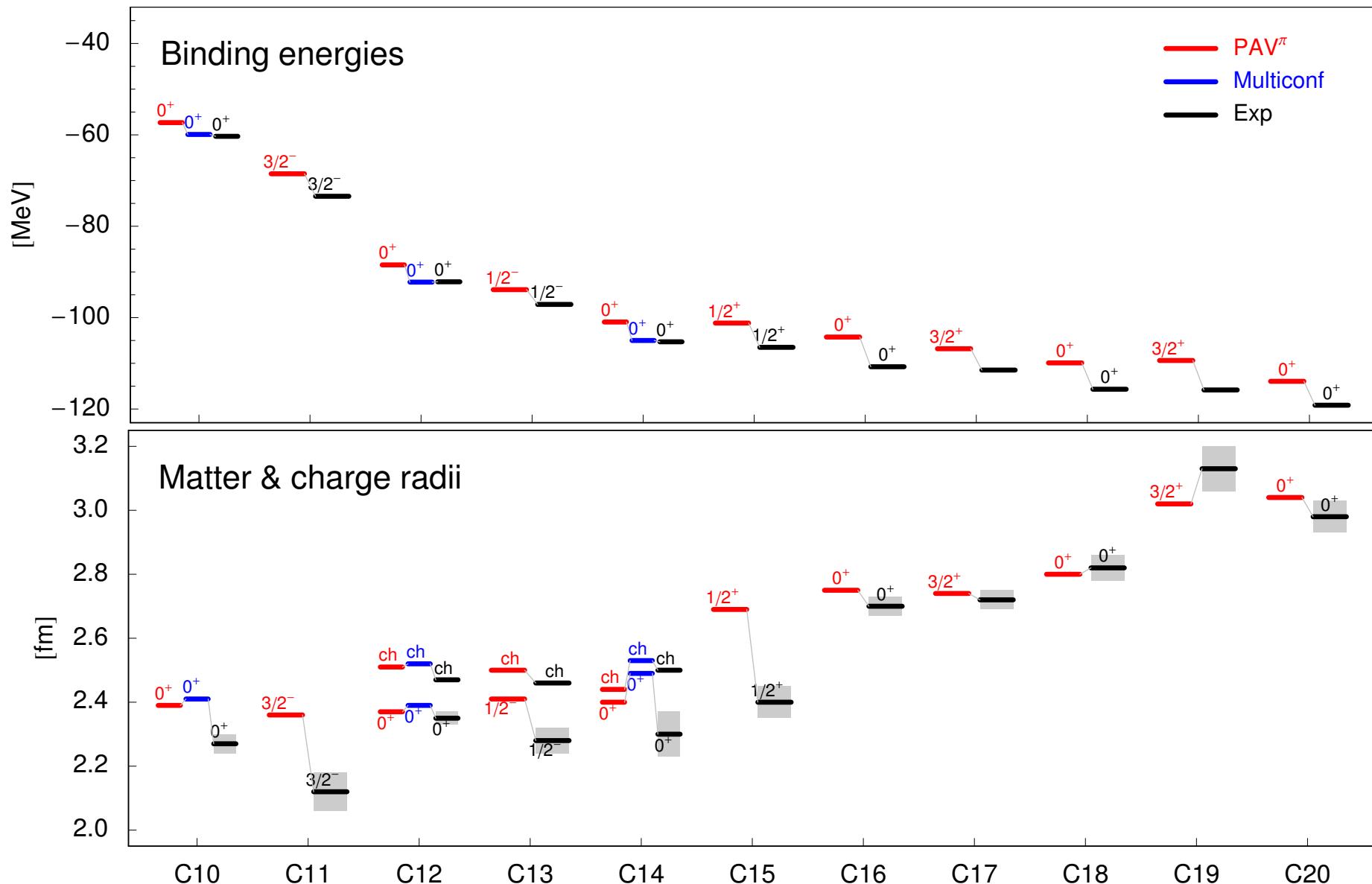


Carbon Isotopes: Intrinsic Densities

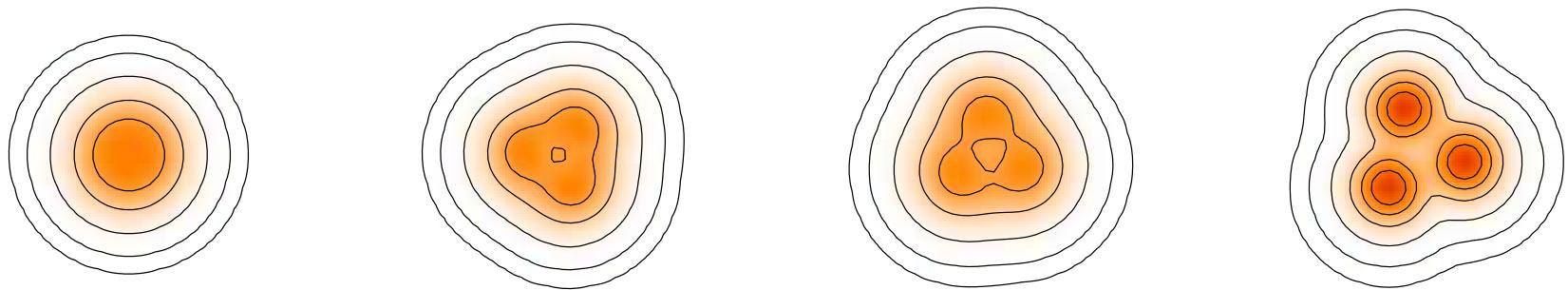


PAV $^\pi$
intrinsic densities

Carbon Isotopes: Energies & Radii

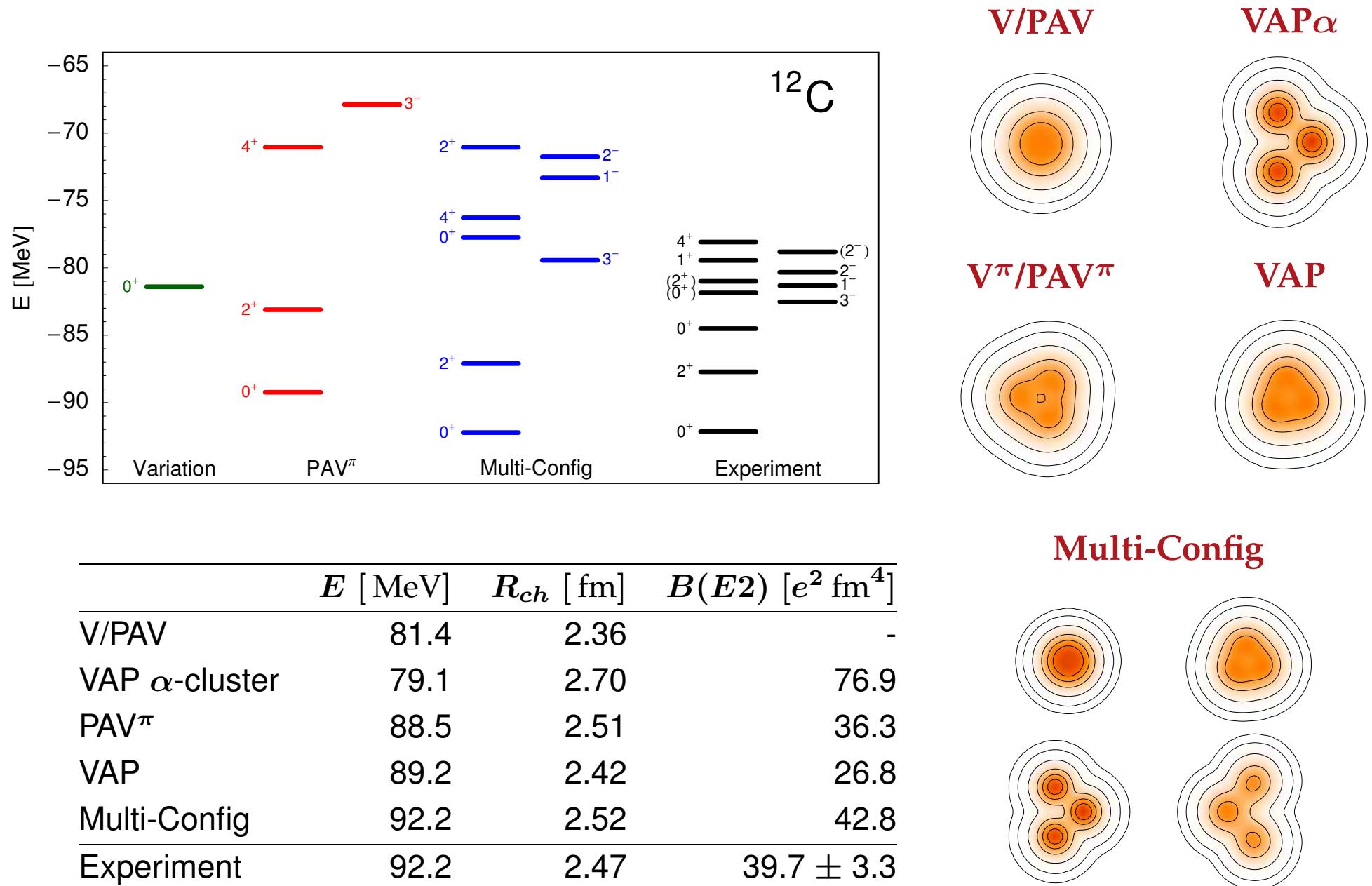


Intrinsic Shapes of ^{12}C

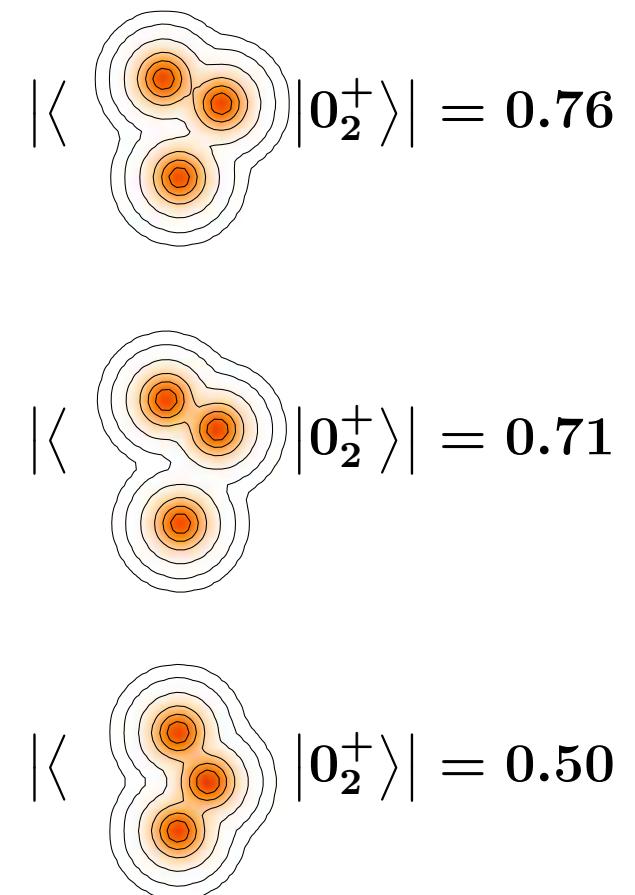
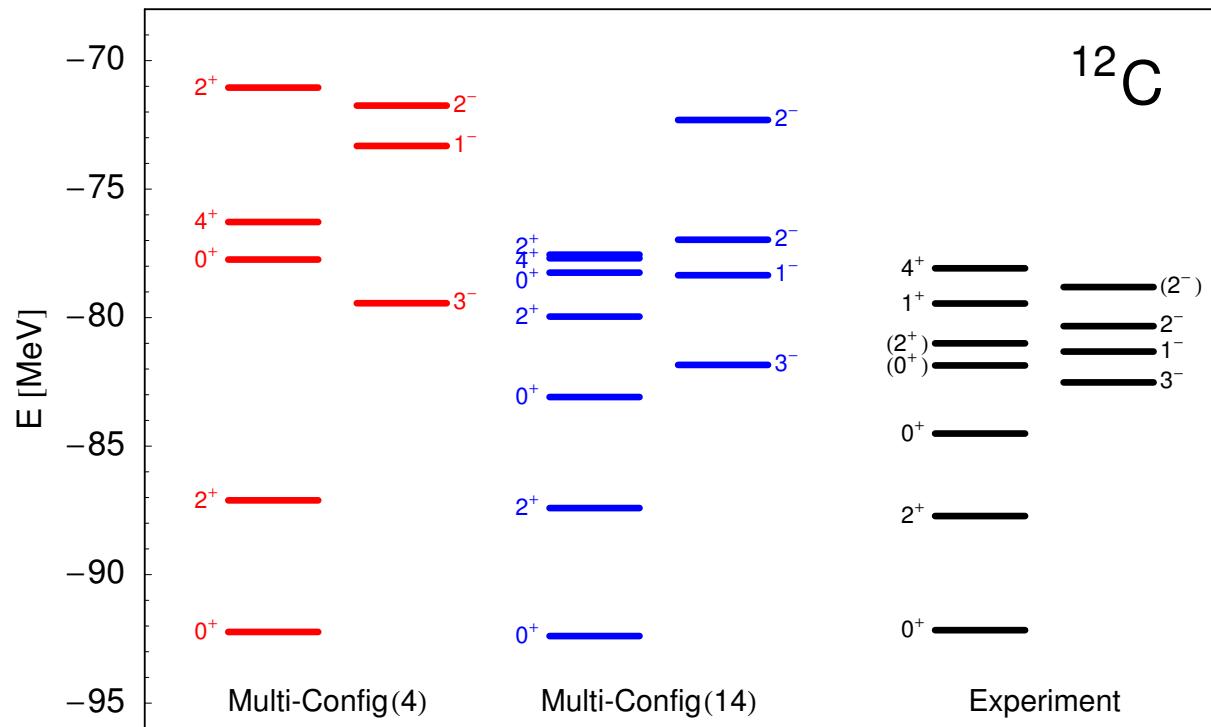


	intrinsic	projected	intrinsic	projected	intrinsic	projected	intrinsic	projected
$\langle \mathbf{H} \rangle$	-81.4	-81.5	-77.0	-88.5	-74.1	-85.5	-57.0	-75.9
$\langle \mathbf{T} \rangle$	212.1	212.1	189.2	186.1	182.8	179.0	213.9	201.4
$\langle \mathbf{V}_{ls} \rangle$	-39.8	-40.2	-12.0	-17.1	-5.8	-8.0	0.0	0.0
$\sqrt{\langle \mathbf{r}^2 \rangle}$	2.22	2.22	2.40	2.37	2.45	2.42	2.44	2.42

Structure of ^{12}C



Structure of ^{12}C — Hoyle State



	Multi-Config	Experiment
E [MeV]	92.4	92.2
R_{ch} [fm]	2.52	2.47
$B(E2, 0_1^+ \rightarrow 2_1^+) [e^2 \text{ fm}^4]$	42.9	39.7 ± 3.3
$M(E0, 0_1^+ \rightarrow 0_2^+) [\text{fm}^2]$	5.67	5.5 ± 0.2

Summary

- **Unitary Correlation Operator Method (UCOM)**
 - short-range central and tensor correlations treated explicitly
 - long-range correlations have to be accounted for by model space
- **Correlated Realistic NN-Potential V_{UCOM}**
 - low-momentum / phase-shift equivalent / operator representation
 - robust starting point for all kinds of many-body calculations

Summary

■ **UCOM + No-Core Shell Model**

- dramatically improved convergence
- tool to assess long-range correlations & higher-order contributions

■ **UCOM + Hartree-Fock**

- closed shell nuclei across the whole nuclear chart
- basis for improved many-body calculations

■ **UCOM + Fermionic Molecular Dynamics**

- clustering and intrinsic deformations in p- and sd-shell
- projection / multi-config provide detailed structure information