

Ab Initio Nuclear Structure with Chiral NN+3N Hamiltonians

Robert Roth



TECHNISCHE
UNIVERSITÄT
DARMSTADT

New Era of Low-Energy Nuclear Physics

Experiment

new facilities and experiments to produce nuclei far-off stability and study a range of observables

Quantum Chromodynamics

chiral effective field theory and lattice simulations access low-energy QCD and nuclear interactions

Nuclear Many-Body Theory

novel theoretical and computational methods allow for ab initio description of many more nuclei

Ab Initio Nuclear Structure

Nuclear Structure Observables

Nuclear Lattice Simulations

chiral EFT on lattice

Exact Ab-Initio Solutions

few-body et al.

Exact Ab-Initio Solutions

few-body, no-core shell model, etc.

Approx. Many-Body Methods

controlled & improvable schemes

Energy-Density Functional Theory

guided by chiral EFT

Similarity Transformations

physics-conserving transform. of observables

Chiral Interactions

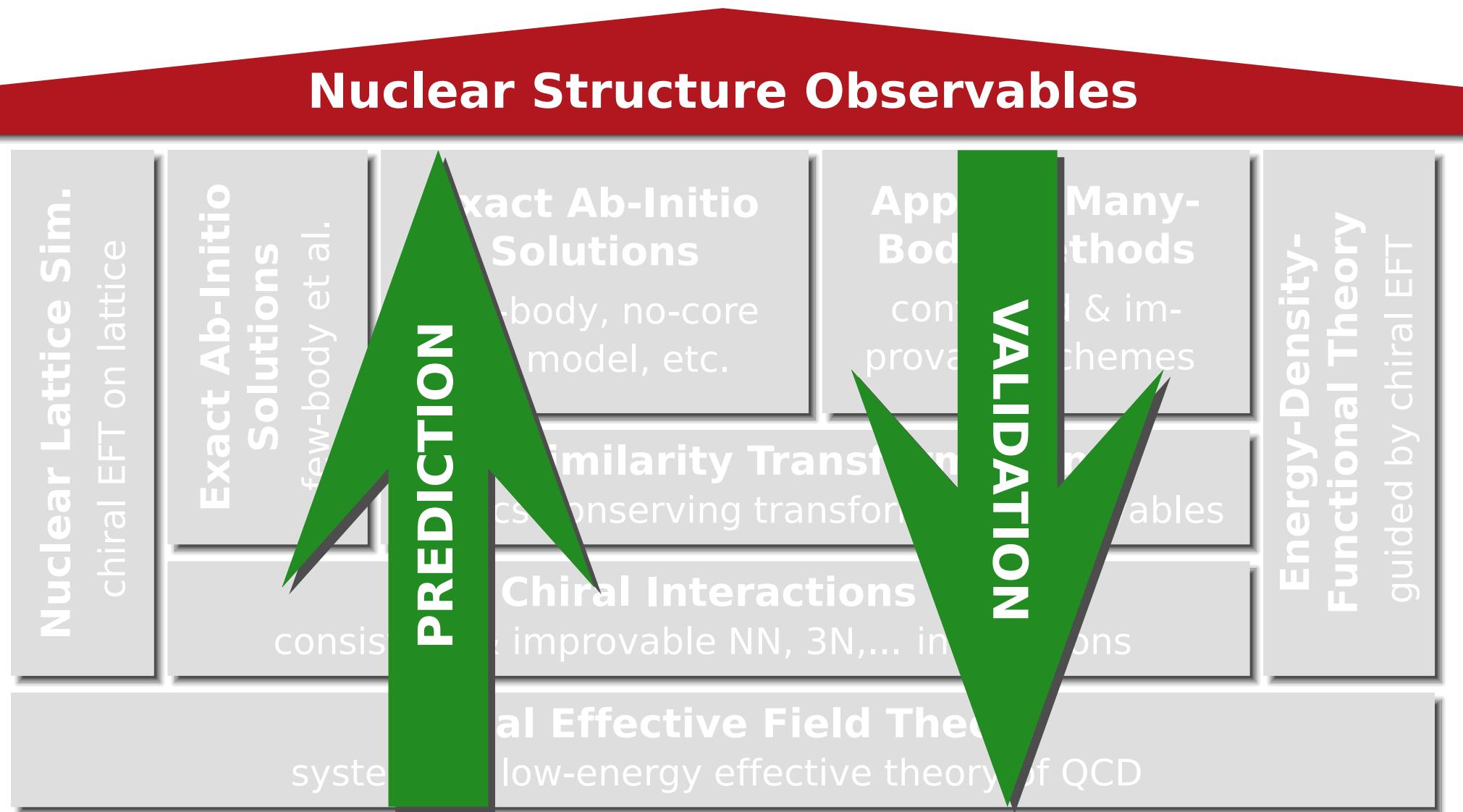
consistent & improvable NN, 3N,... interactions

Chiral Effective Field Theory

systematic low-energy effective theory of QCD

Low-Energy Quantum Chromodynamics

Ab Initio Nuclear Structure



Ab Initio Nuclear Structure

Nuclear Structure Observables

Nuclear Lattice Sim.

chiral EFT on lattice

Exact Ab-Initio Solutions

few-body et al.

Exact Ab-Initio Solutions

few-body, no-core shell model, etc.

Approx. Many-Body Methods

controlled & improvable schemes

Energy-Density-Functional Theory

guided by chiral EFT

Similarity Transformations

physics-conserving transform. of observables

Chiral Interactions

consistent & improvable NN, 3N,... interactions

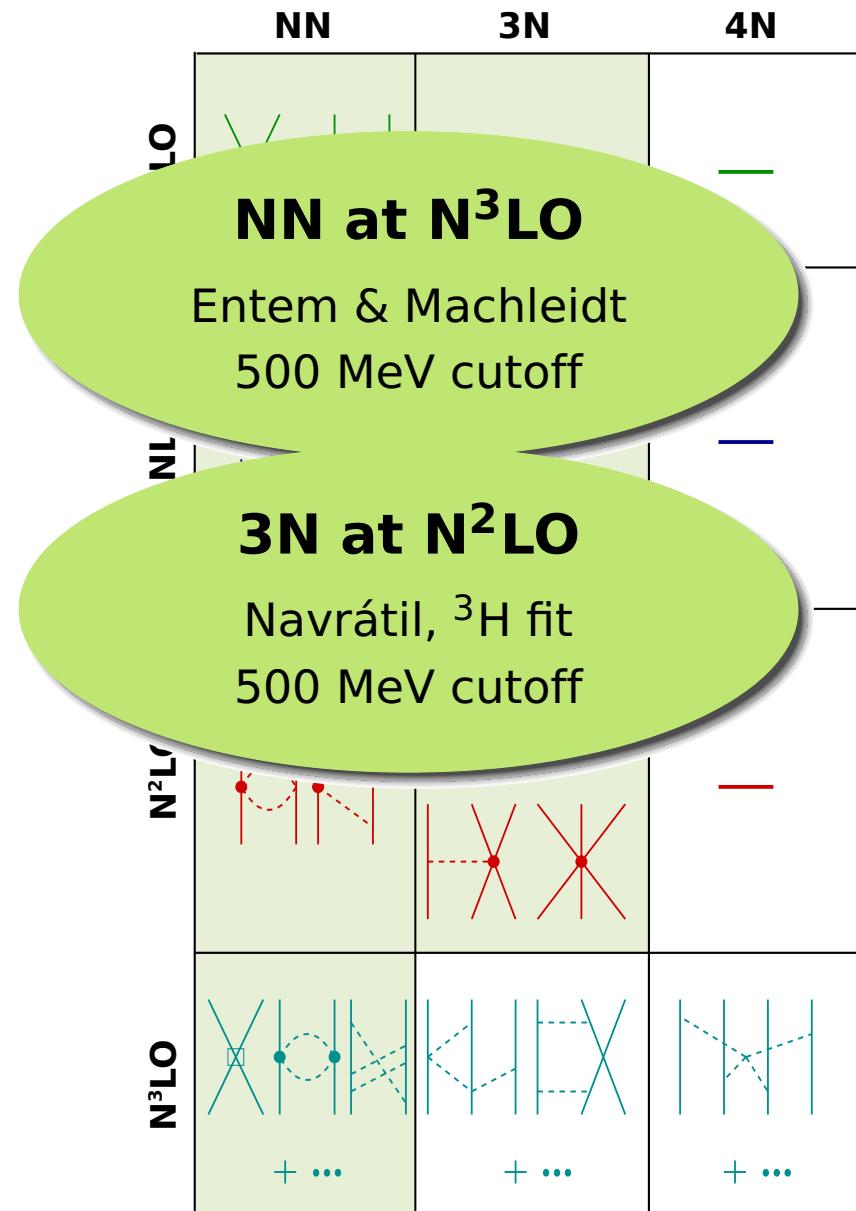
Chiral Effective Field Theory

systematic low-energy effective theory of QCD

Low-Energy Quantum Chromodynamics

Nuclear Interactions from Chiral EFT

- low-energy **effective field theory** for relevant degrees of freedom (π, N) based on symmetries of QCD
- long-range **pion dynamics** explicitly
- short-range physics absorbed in **contact terms**, low-energy constants fitted to experiment ($NN, \pi N, \dots$)
- hierarchy of **consistent NN, 3N, ... interactions** (plus currents)
- many **ongoing developments**
 - 3N interaction at N^3LO
 - explicit inclusion of Δ -resonance
 - formal issues: power counting, renormalization, cutoff choice,...



Ab Initio Nuclear Structure

Nuclear Structure Observables

Nuclear Lattice Sim.

chiral EFT on lattice

Exact Ab-Initio Solutions

few-body et al.

Exact Ab-Initio Solutions

few-body, no-core shell model, etc.

Approx. Many-Body Methods

controlled & improvable schemes

Energy-Density-Functional Theory

guided by chiral EFT

Similarity Transformations

physics-conserving transform. of observables

Chiral Interactions

consistent & improvable NN, 3N,... interactions

Chiral Effective Field Theory

systematic low-energy effective theory of QCD

Low-Energy Quantum Chromodynamics

Similarity Renormalization Group

continuous transformation driving
Hamiltonian to band-diagonal form
with respect to a chosen basis

- **unitary transformation** of Hamiltonian:

$$\tilde{H}_\alpha = U_\alpha^\dagger H U_\alpha$$

simplicity and flexibility
are great advantages of
the SRG approach

- **evolution equations** for \tilde{H}_α and U_α :

$$\frac{d}{d\alpha} \tilde{H}_\alpha = [\eta_\alpha, \tilde{H}_\alpha]$$

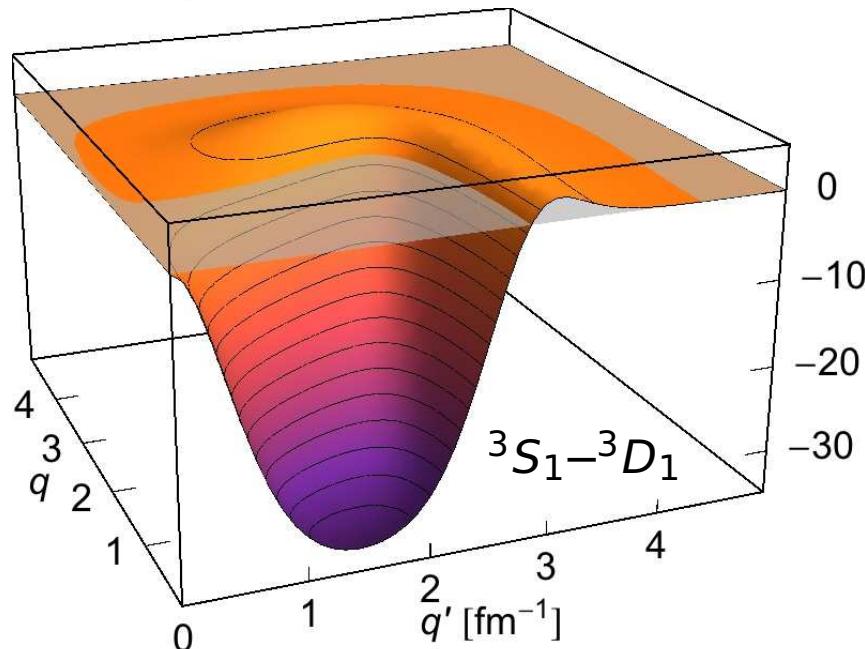
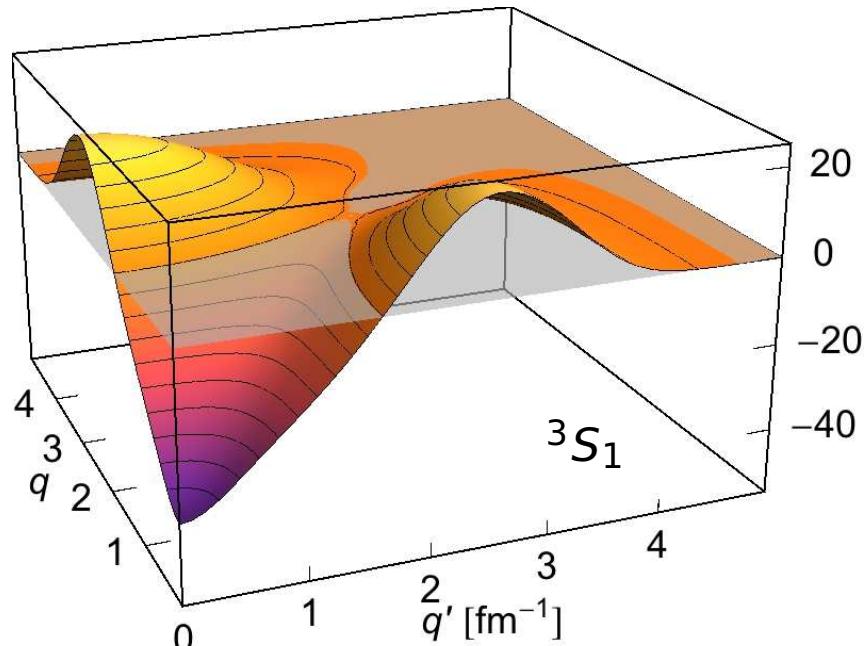
solve SRG evolution
equations using two- &
three-body matrix
representation

- **dynamic generator**: commutator with the operator in whose eigenbasis H shall be diagonalized

$$\eta_\alpha = (2\mu)^2 [T_{\text{int}}, \tilde{H}_\alpha]$$

SRG Evolution in Two-Body Space

momentum-space matrix elements

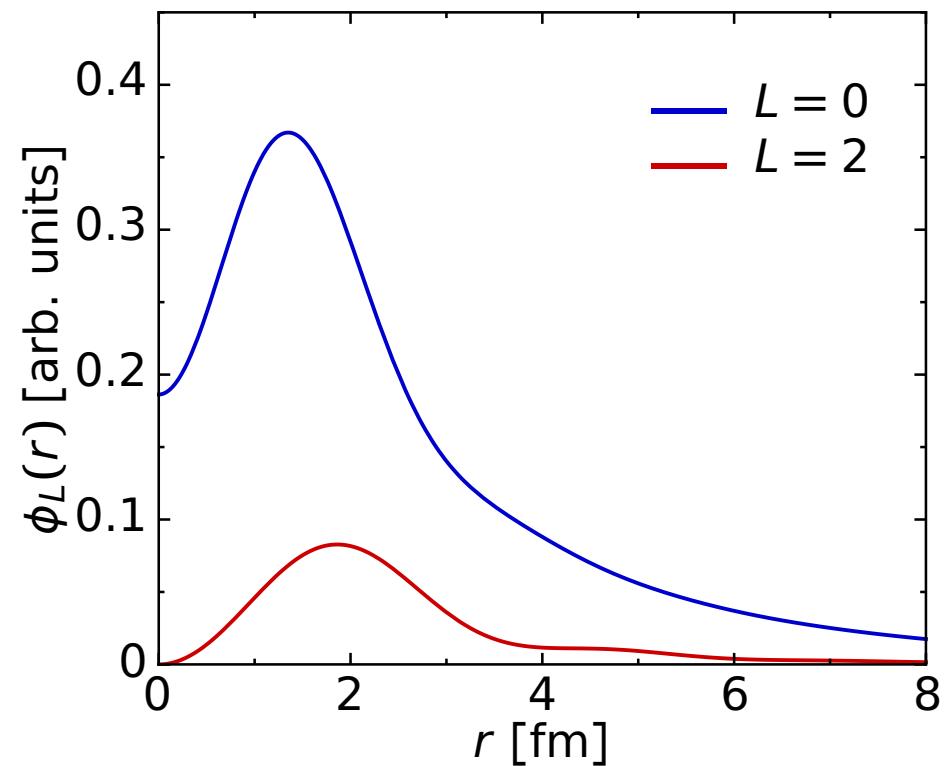


$$\alpha = 0.000 \text{ fm}^4$$

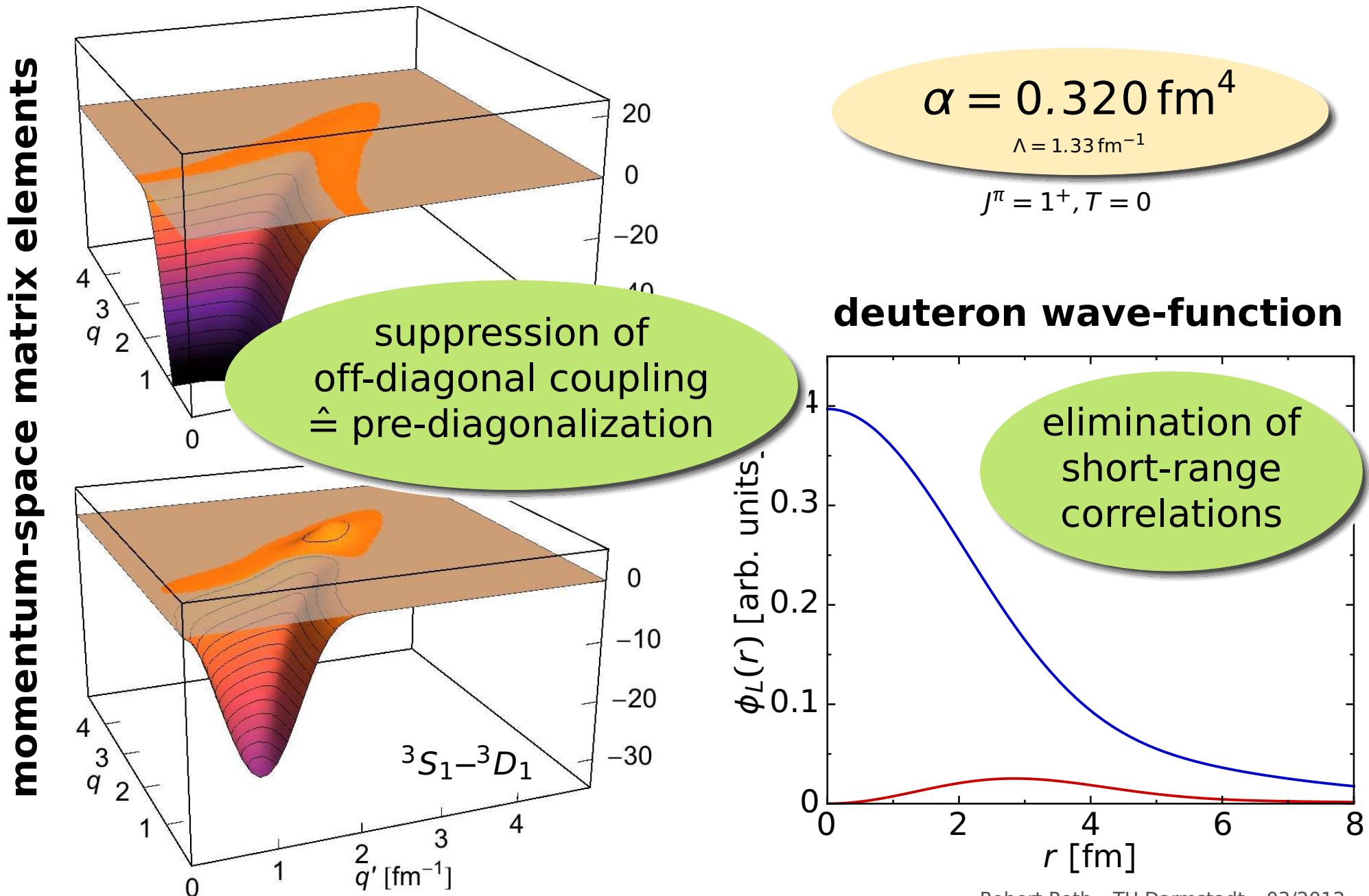
$$\Lambda = \infty \text{ fm}^{-1}$$

$$J^\pi = 1^+, T = 0$$

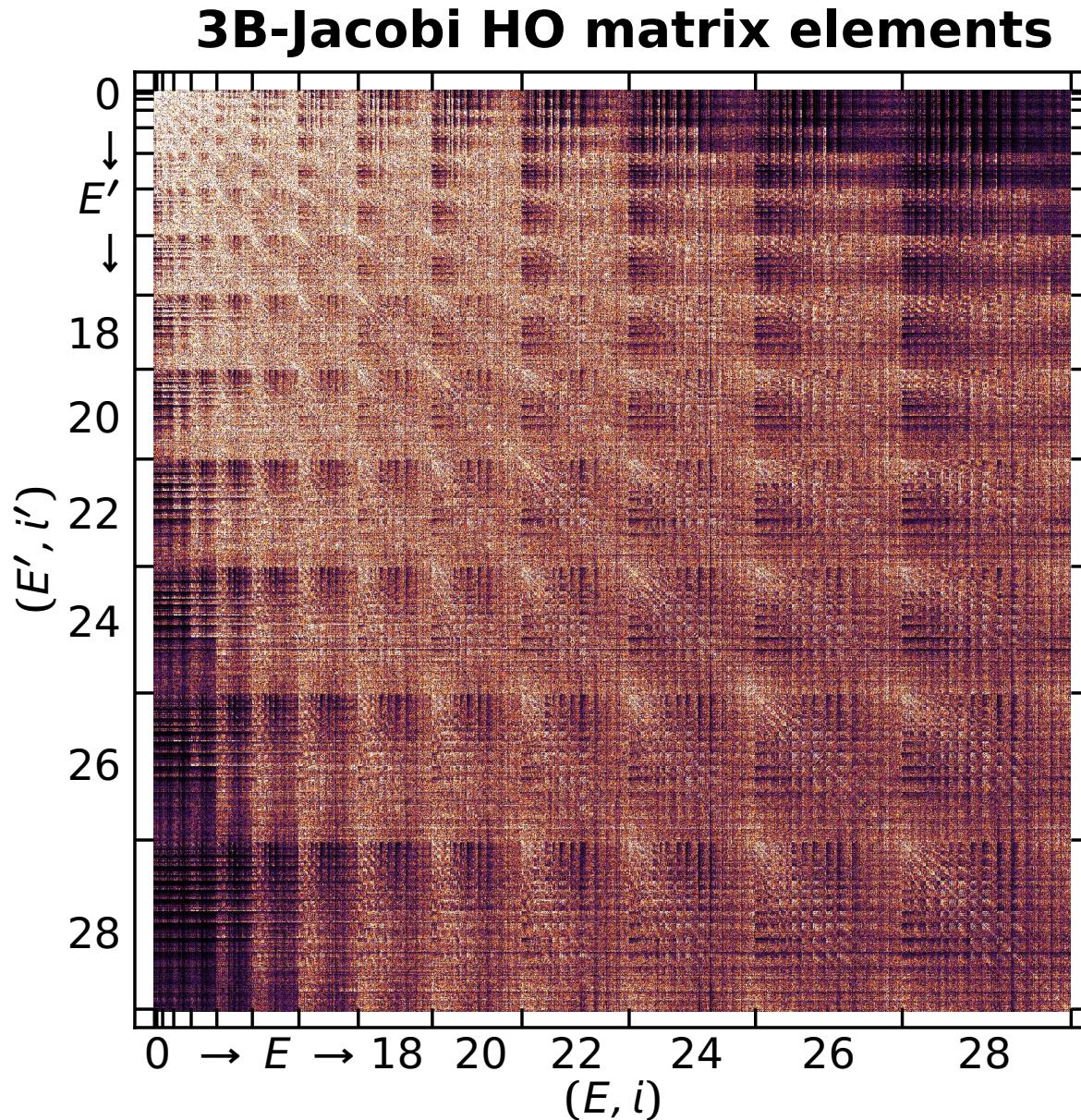
deuteron wave-function



SRG Evolution in Two-Body Space

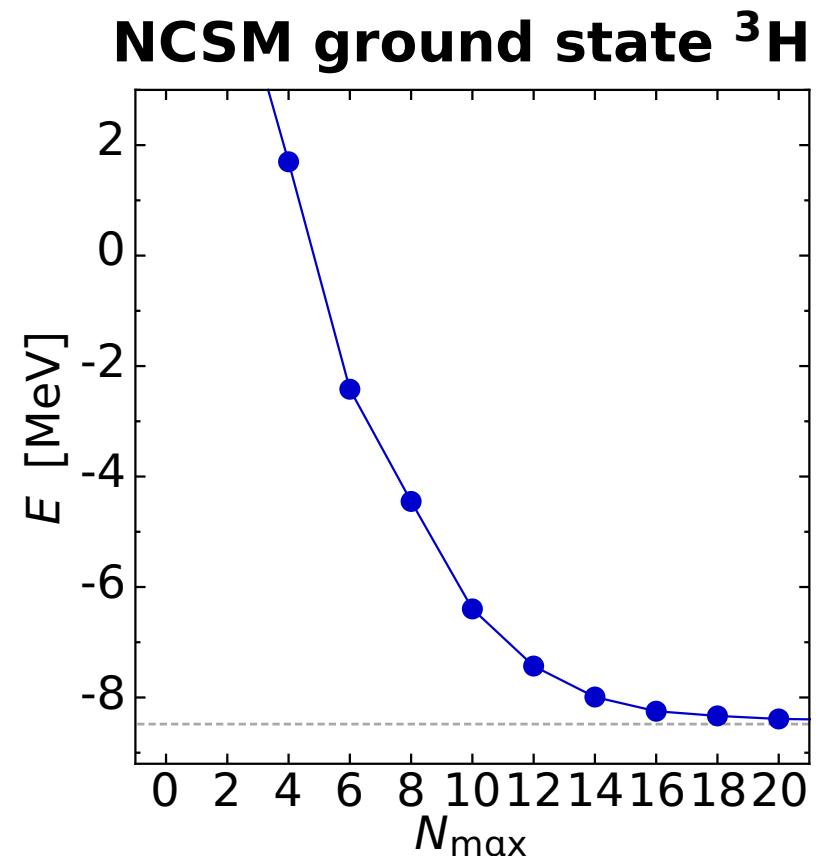


SRG Evolution in Three-Body Space



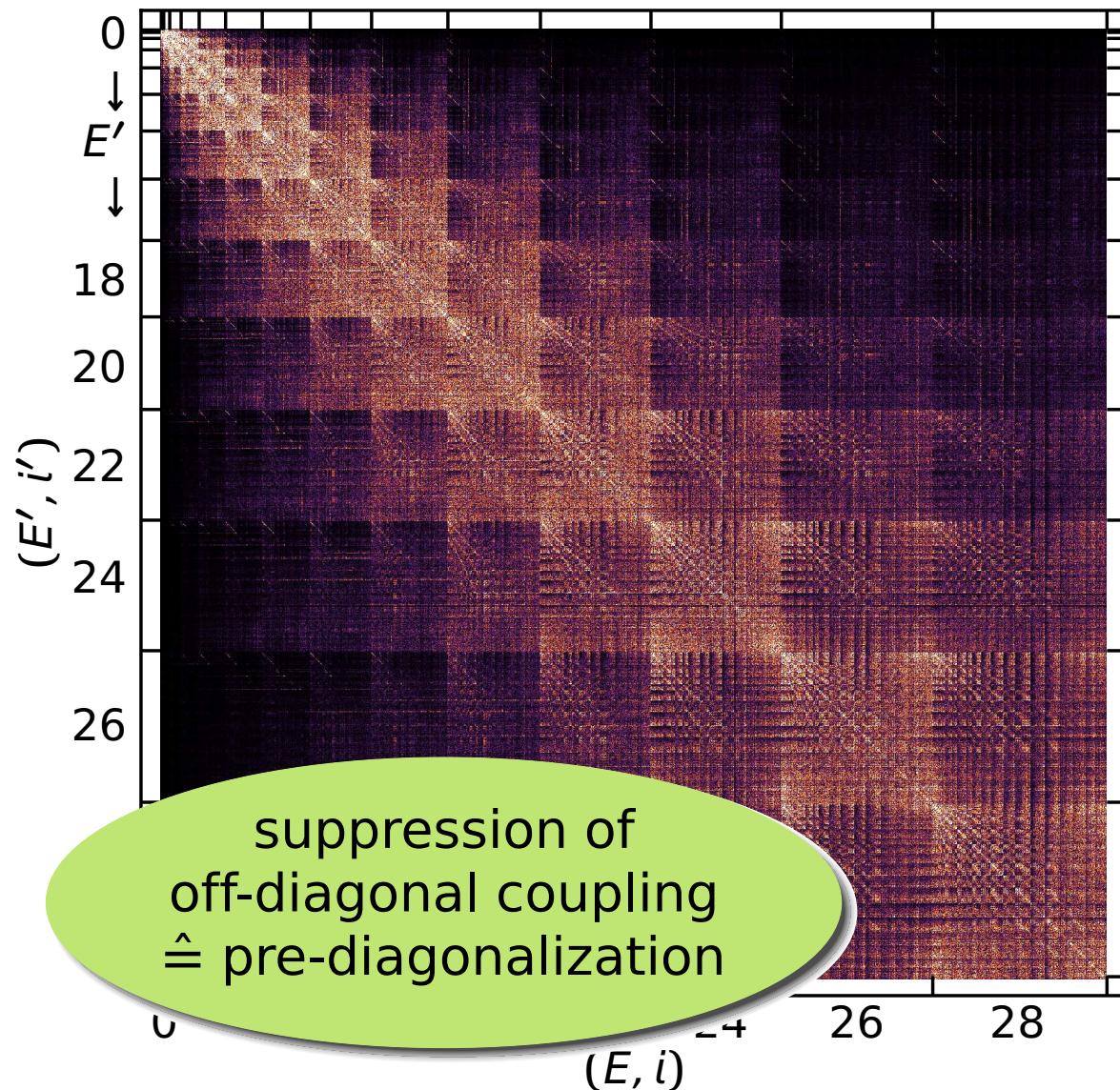
$\alpha = 0.000 \text{ fm}^4$
 $\Lambda = \infty \text{ fm}^{-1}$

$$J^\pi = \frac{1}{2}^+, T = \frac{1}{2}, \hbar\Omega = 28 \text{ MeV}$$



SRG Evolution in Three-Body Space

3B-Jacobi HO matrix elements

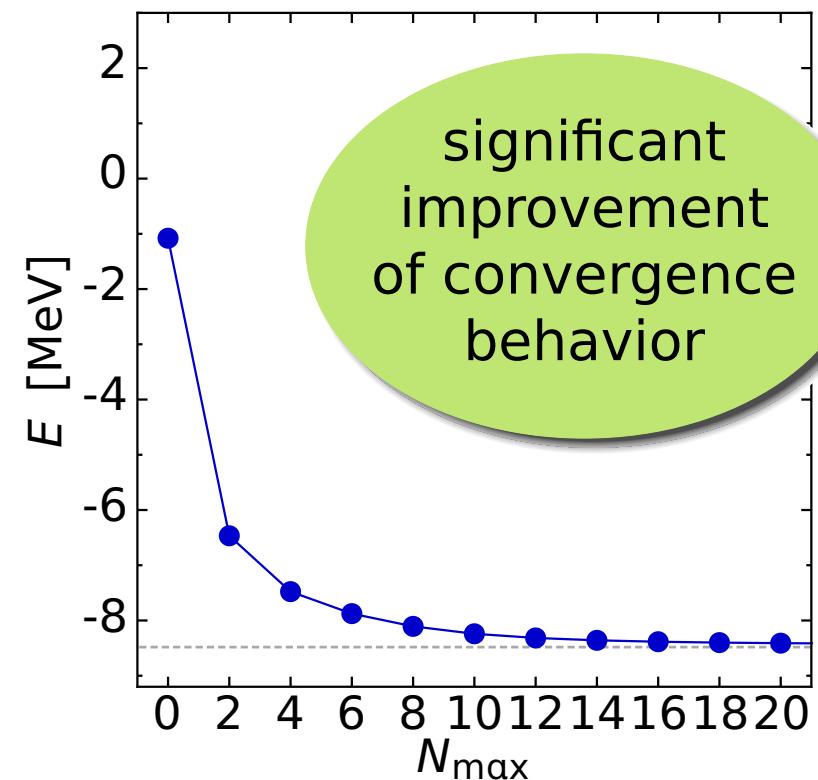


$$\alpha = 0.320 \text{ fm}^4$$

$$\Lambda = 1.33 \text{ fm}^{-1}$$

$$J^\pi = \frac{1}{2}^+, T = \frac{1}{2}, \hbar\Omega = 28 \text{ MeV}$$

NCSM ground state ${}^3\text{H}$



Calculations in A-Body Space

- evolution **induces n -body contributions** $\tilde{H}_\alpha^{[n]}$ to Hamiltonian

$$\tilde{H}_\alpha = \tilde{H}_\alpha^{[1]} + \tilde{H}_\alpha^{[2]} + \tilde{H}_\alpha^{[3]} + \tilde{H}_\alpha^{[4]} + \dots$$

- truncation of cluster series inevitable — formally destroys unitarity and invariance of energy eigenvalues (independence of α)

Three SRG-Evolved Hamiltonians

- **NN only**: start with NN initial Hamiltonian and keep two-body terms only
- **NN+3N-induced**: start with NN initial Hamiltonian and keep two- and induced three-body terms
- **NN+3N-full**: start with NN+3N initial Hamiltonian and all three-body terms

α -variation provides a **diagnostic tool** to assess the contributions of omitted many-body interactions

Ab Initio Nuclear Structure

Nuclear Structure Observables

Nuclear Lattice Sim.

chiral EFT on lattice

Exact Ab-Initio Solutions

few-body et al.

Exact Ab-Initio Solutions

few-body, no-core shell model, etc.

Approx. Many-Body Methods

controlled & improvable schemes

Energy-Density-Functional Theory

guided by chiral EFT

Similarity Transformations

physics-conserving transform. of observables

Chiral Interactions

consistent & improvable NN, 3N,... interactions

Chiral Effective Field Theory

systematic low-energy effective theory of QCD

Low-Energy Quantum Chromodynamics

Importance Truncated NCSM

NCSM is one of the most powerful and universal exact ab-initio methods

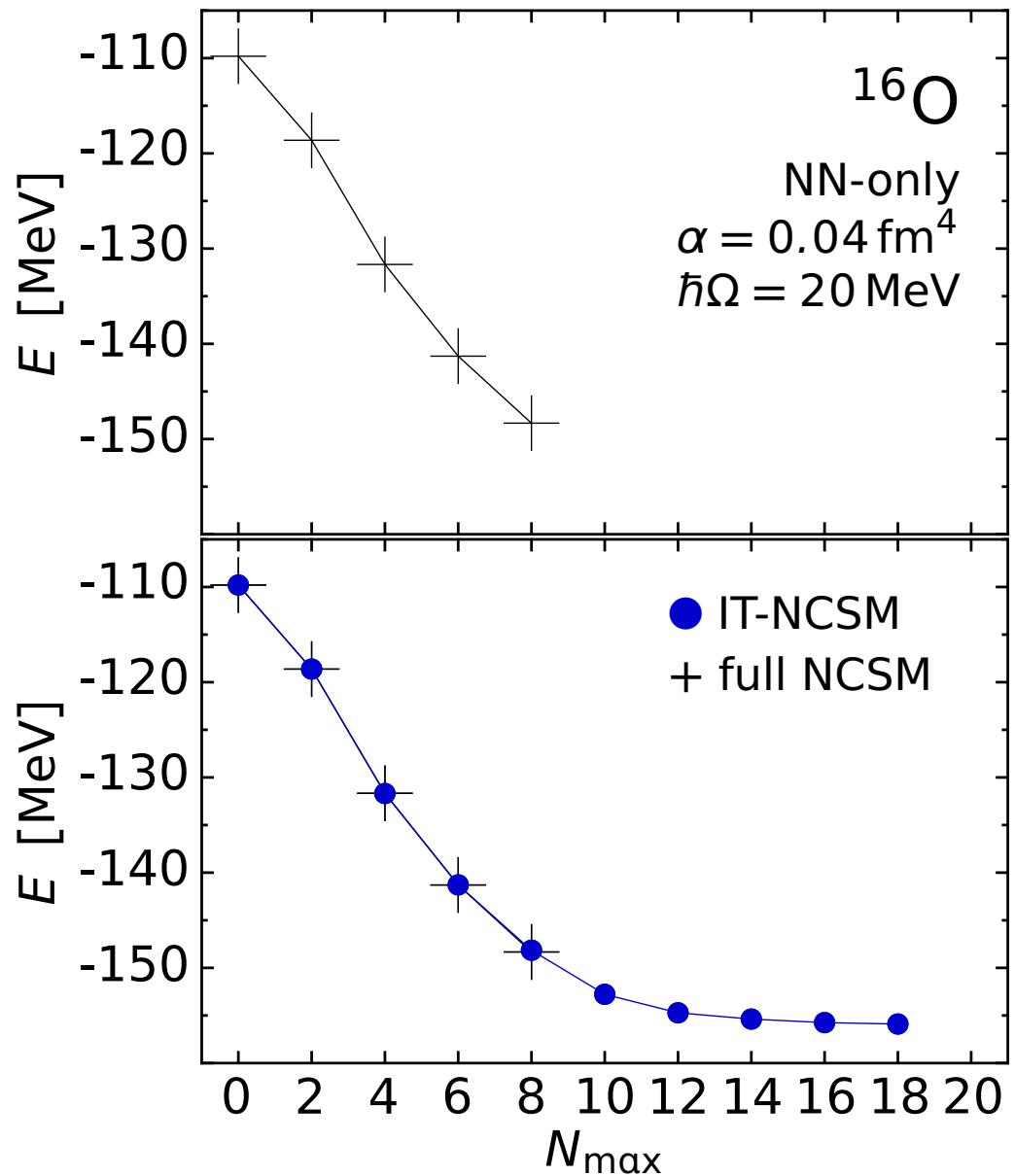
- construct matrix representation of Hamiltonian using a **basis of HO Slater determinants** truncated w.r.t. HO excitation energy $N_{\max}\hbar\Omega$
- solve **large-scale eigenvalue problem** for a few extremal eigenvalues
- **all relevant observables** can be computed from the eigenstates
- range of applicability limited by **factorial growth** of basis with N_{\max} & A
- adaptive **importance truncation** extends the range of NCSM by reducing the model space to physically relevant states
- we have developed a **parallelized IT-NCSM/NCSM code** capable of handling 3N matrix elements up to $E_{3\max} = 16$

Importance Truncated NCSM

- converged NCSM calculations essentially restricted to lower/mid p-shell
- full $10\hbar\Omega$ calculation for ^{16}O getting very difficult (basis dimension $> 10^{10}$)

Importance Truncation

reduce model space to the relevant basis states using an **a priori importance measure** derived from MBPT



Ab Initio Nuclear Structure

Nuclear Structure Observables

Nuclear Lattice Sim.

chiral EFT on lattice

Exact Ab-Initio Solutions

few-body et al.

Exact Ab-Initio Solutions

few-body, no-core shell model, etc.

Approx. Many-Body Methods

controlled & improvable schemes

Energy-Density-Functional Theory

guided by chiral EFT

Similarity Transformations

physics-conserving transform. of observables

Chiral Interactions

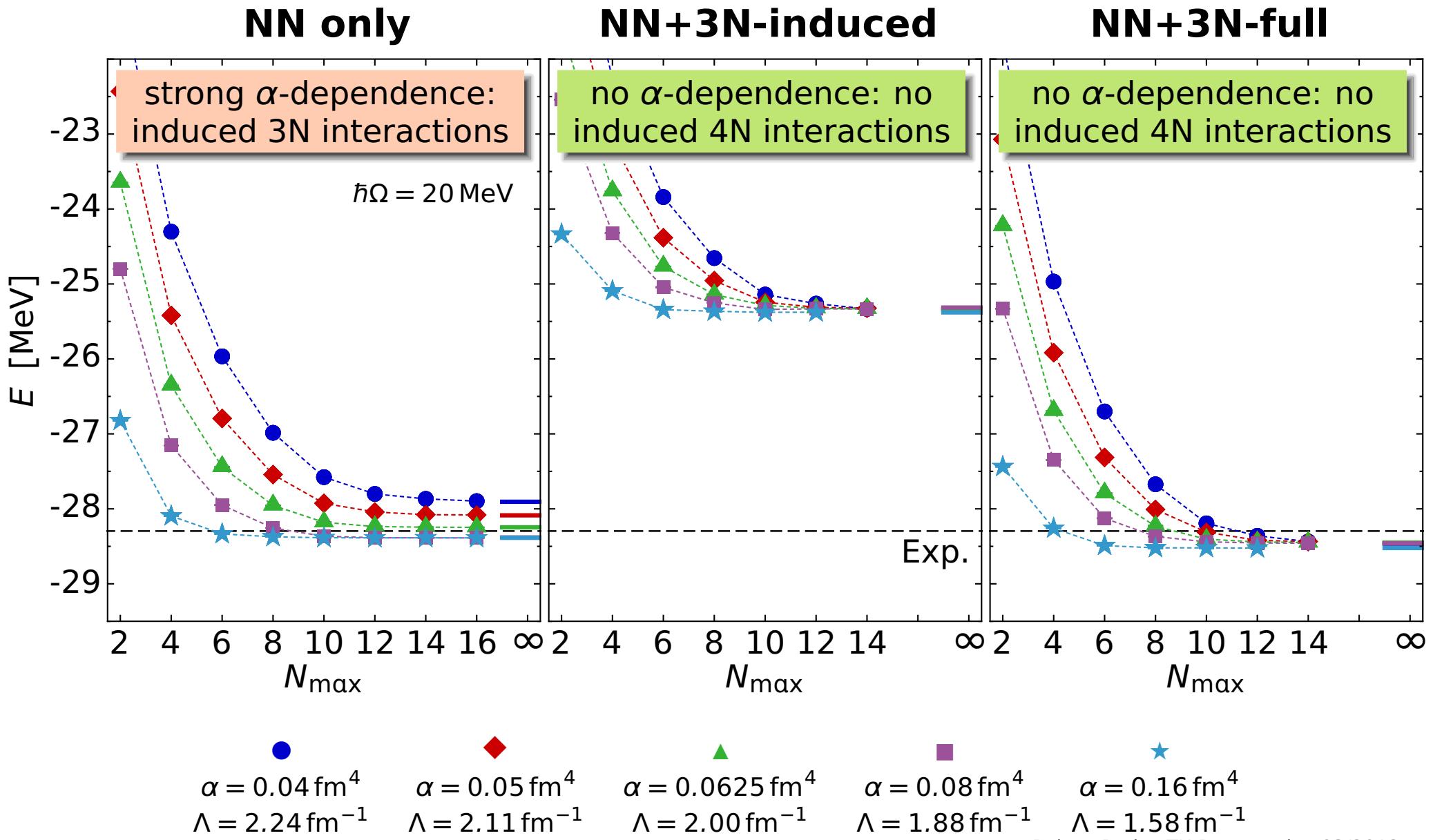
consistent & improvable NN, 3N,... interactions

Chiral Effective Field Theory

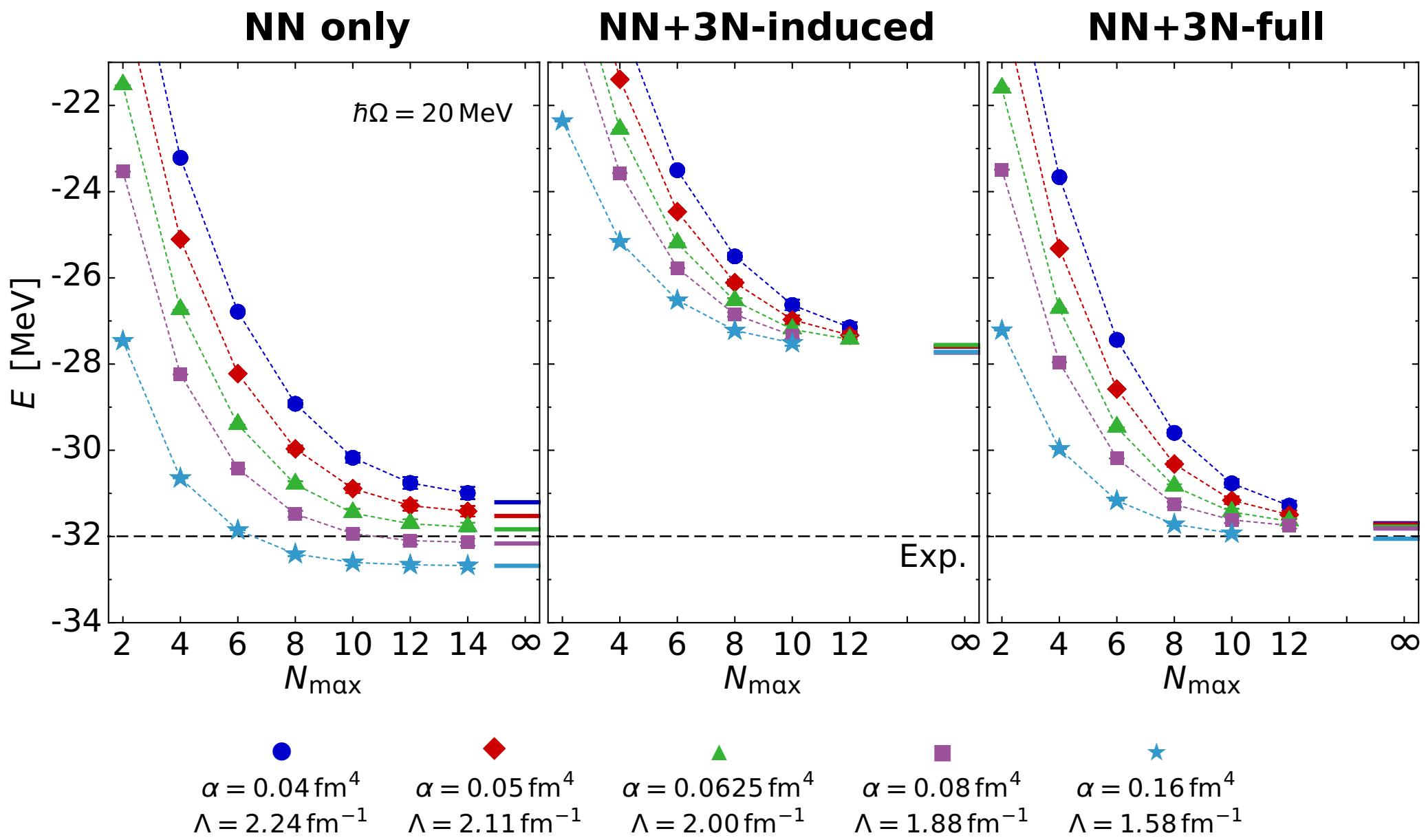
systematic low-energy effective theory of QCD

Low-Energy Quantum Chromodynamics

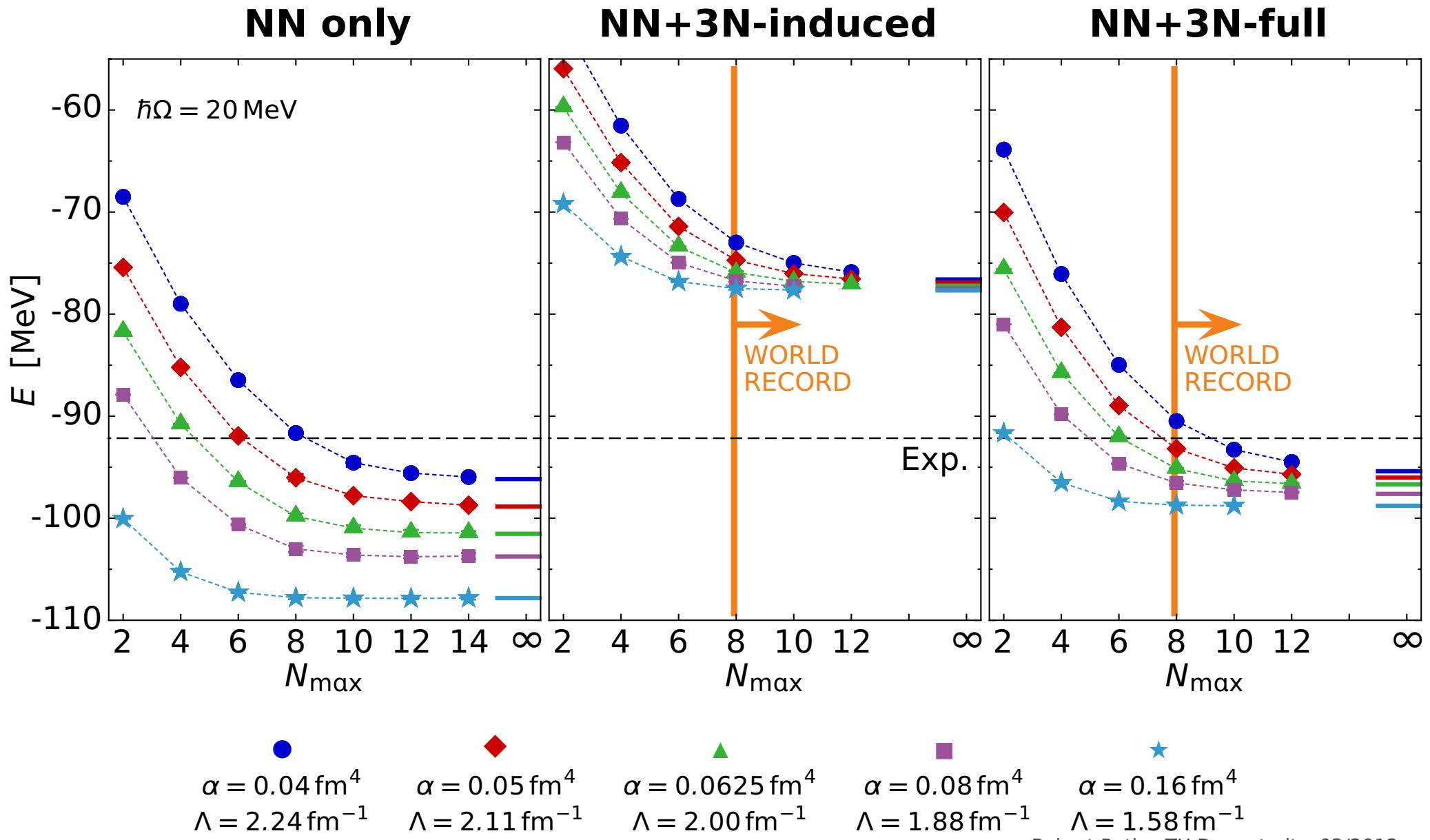
^4He : Ground-State Energies



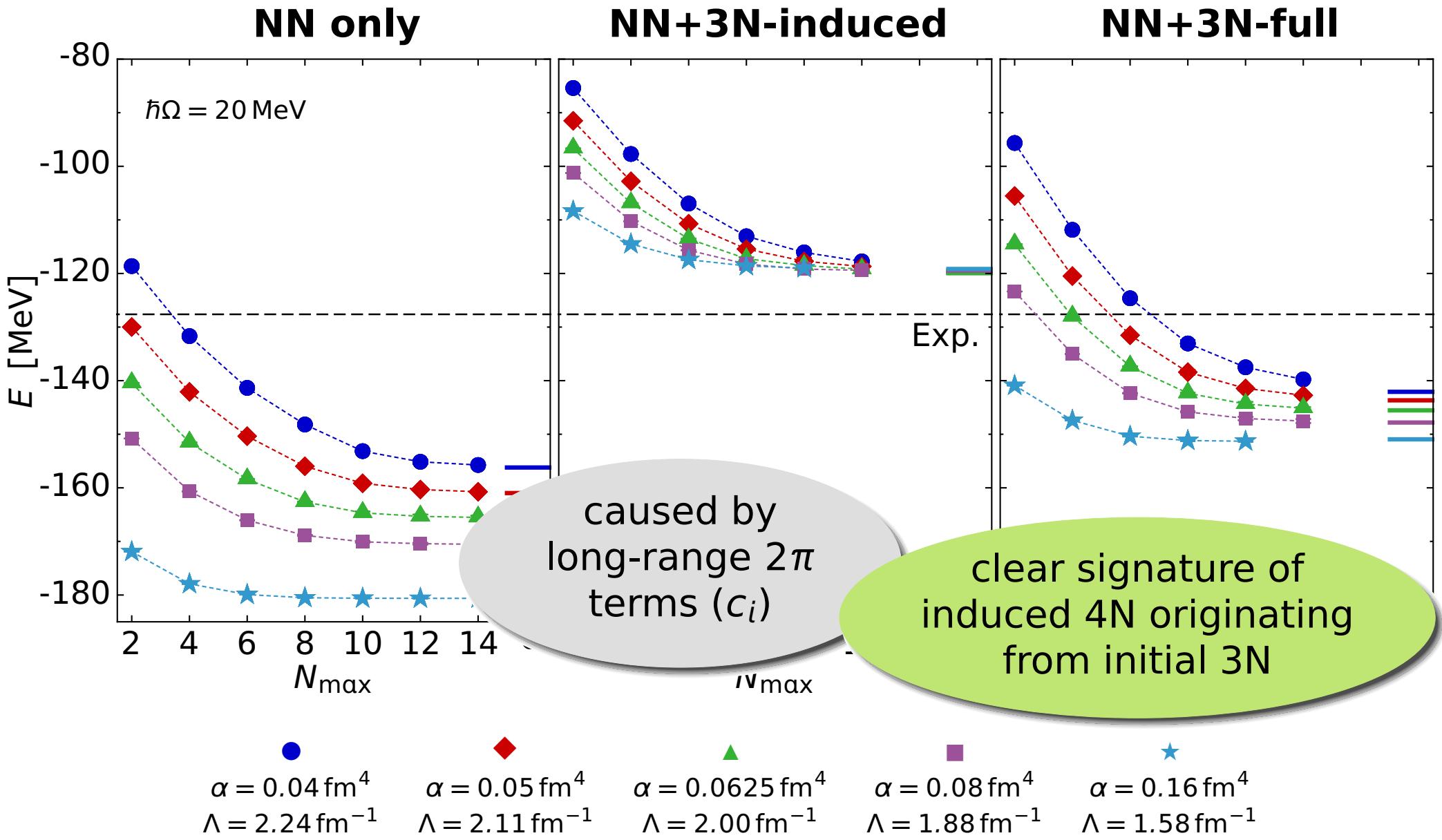
^6Li : Ground-State Energies



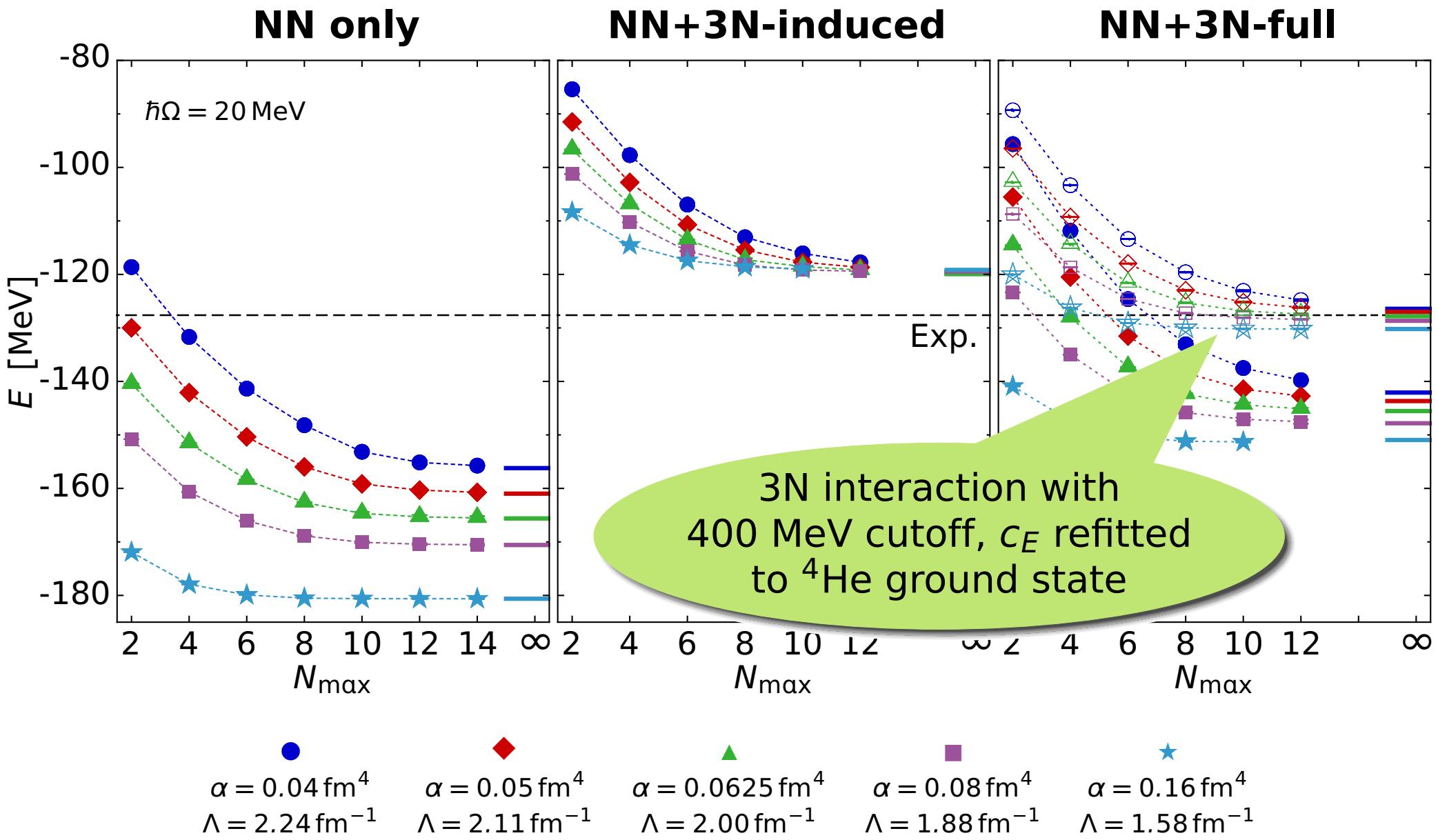
^{12}C : Ground-State Energies



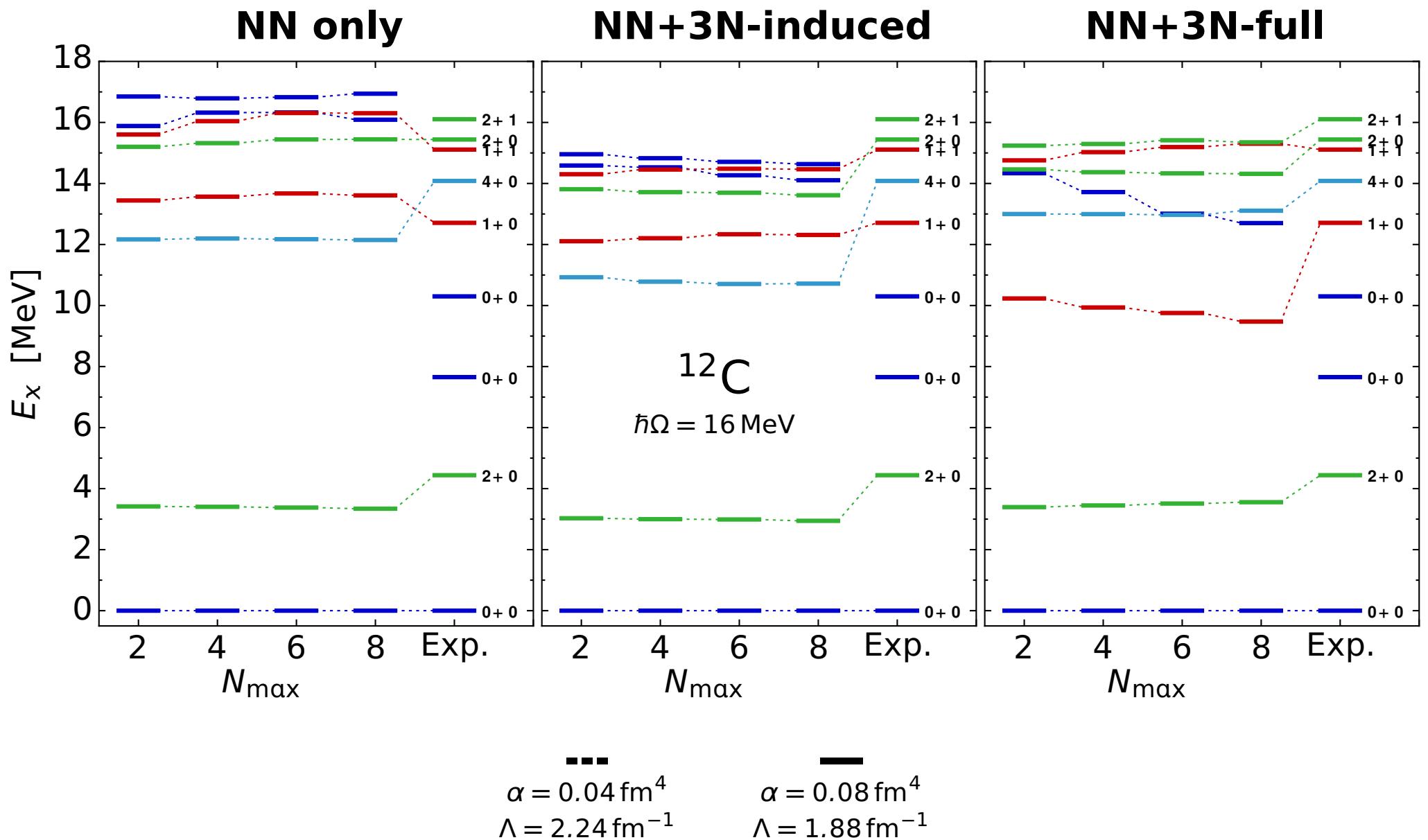
^{16}O : Ground-State Energies



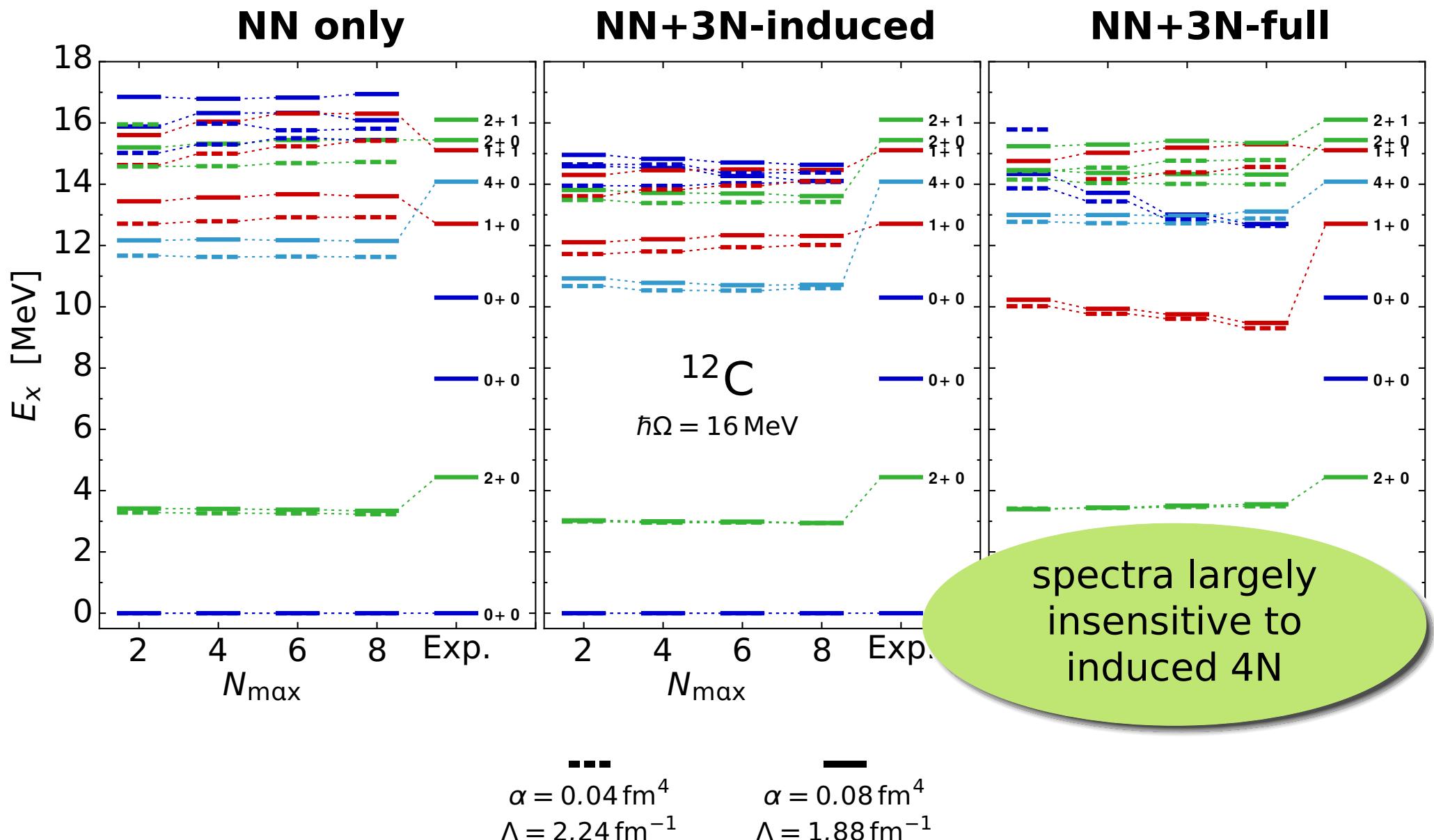
^{16}O : Ground-State Energies



Spectroscopy of ^{12}C



Spectroscopy of ^{12}C

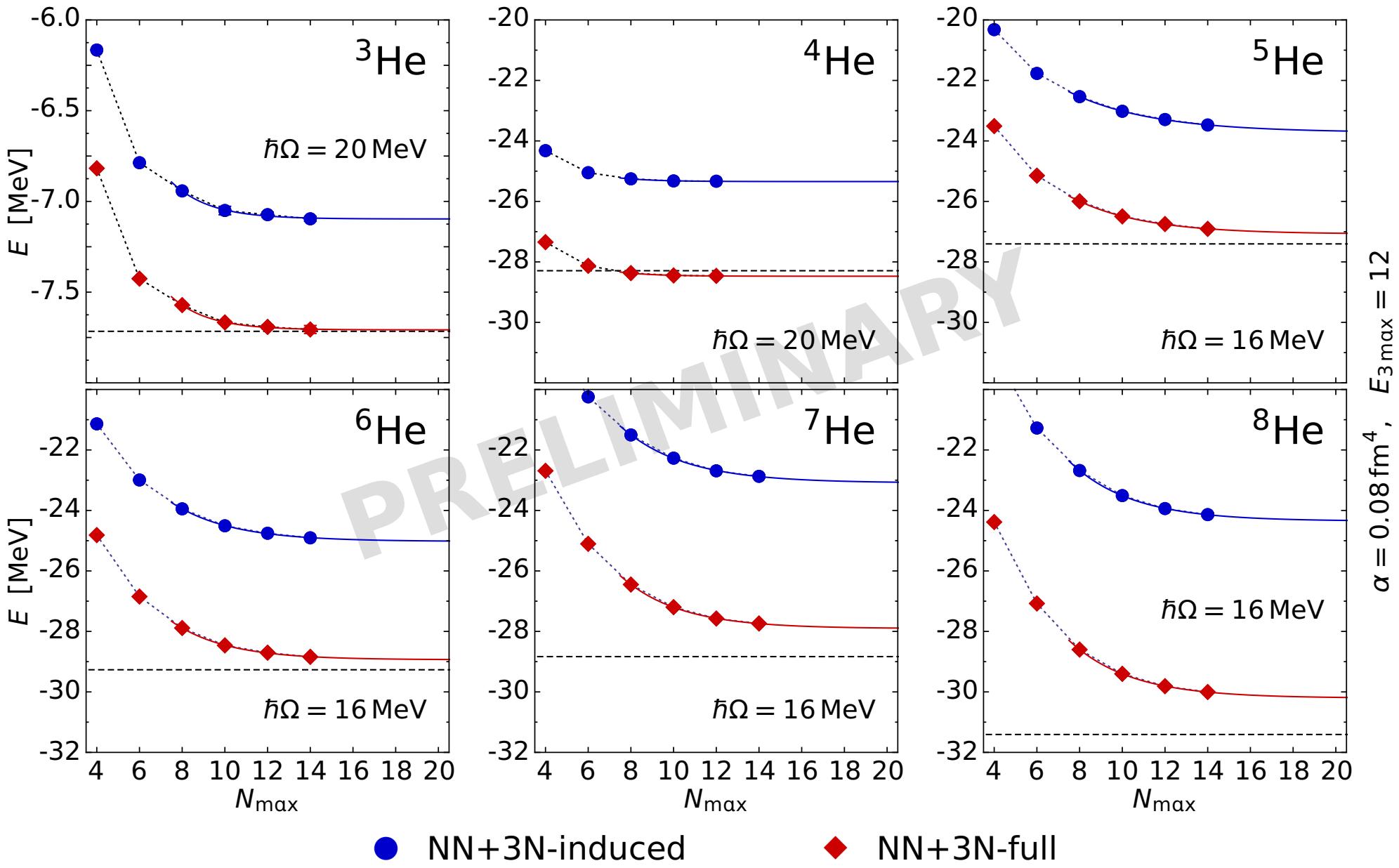


The Bottom Line...

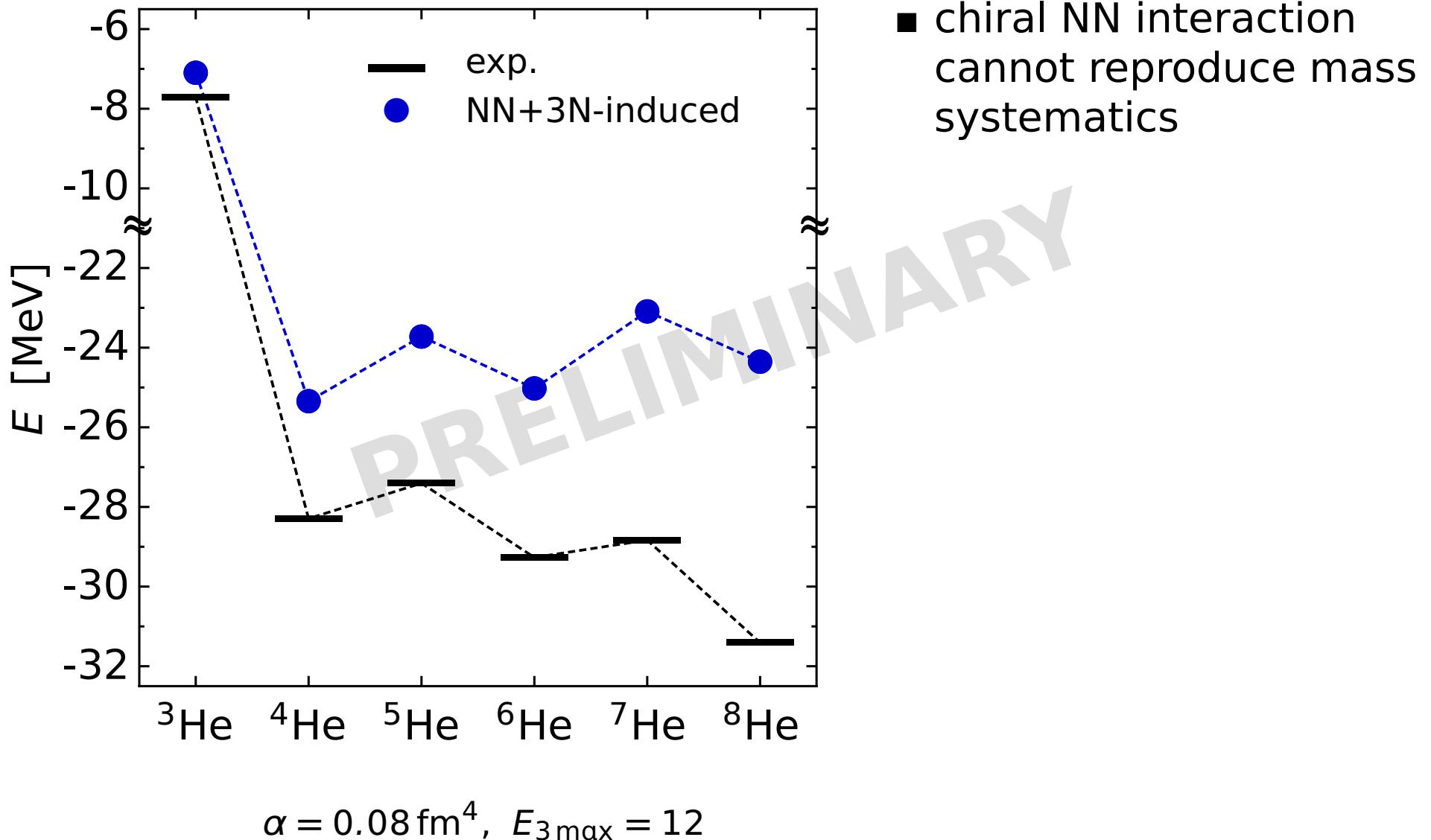
- beyond the lightest nuclei, **SRG-induced 4N contributions** affect the absolute energies (but not the excitation energies)
- with the inclusion of the leading 3N interaction we already obtain a **good description** of spectra (and ground states)
- **breakthrough** in computation, transformation and management of 3N matrix-elements

- **next-generation SRG**: can we find new SRG-generators that do not induce as much 4N but still give good convergence?
- **next-generation chiral 3N**: how will N3LO or Δ -full chiral 3N interactions affect the picture?
- **applications**: which experiment-related applications are in reach with the present framework?

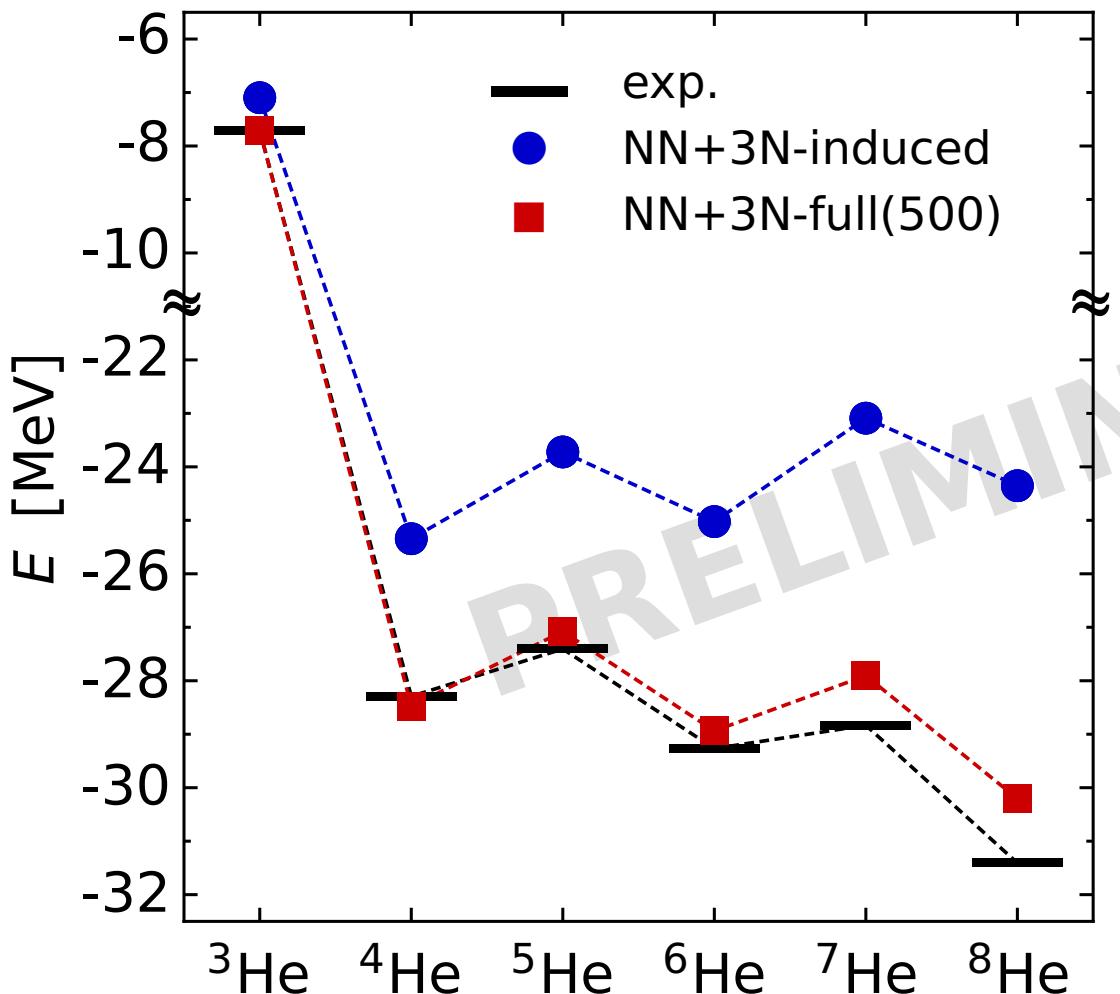
Outlook: Ground-States of Helium Isotopes



Outlook: Ground-States of Helium Isotopes



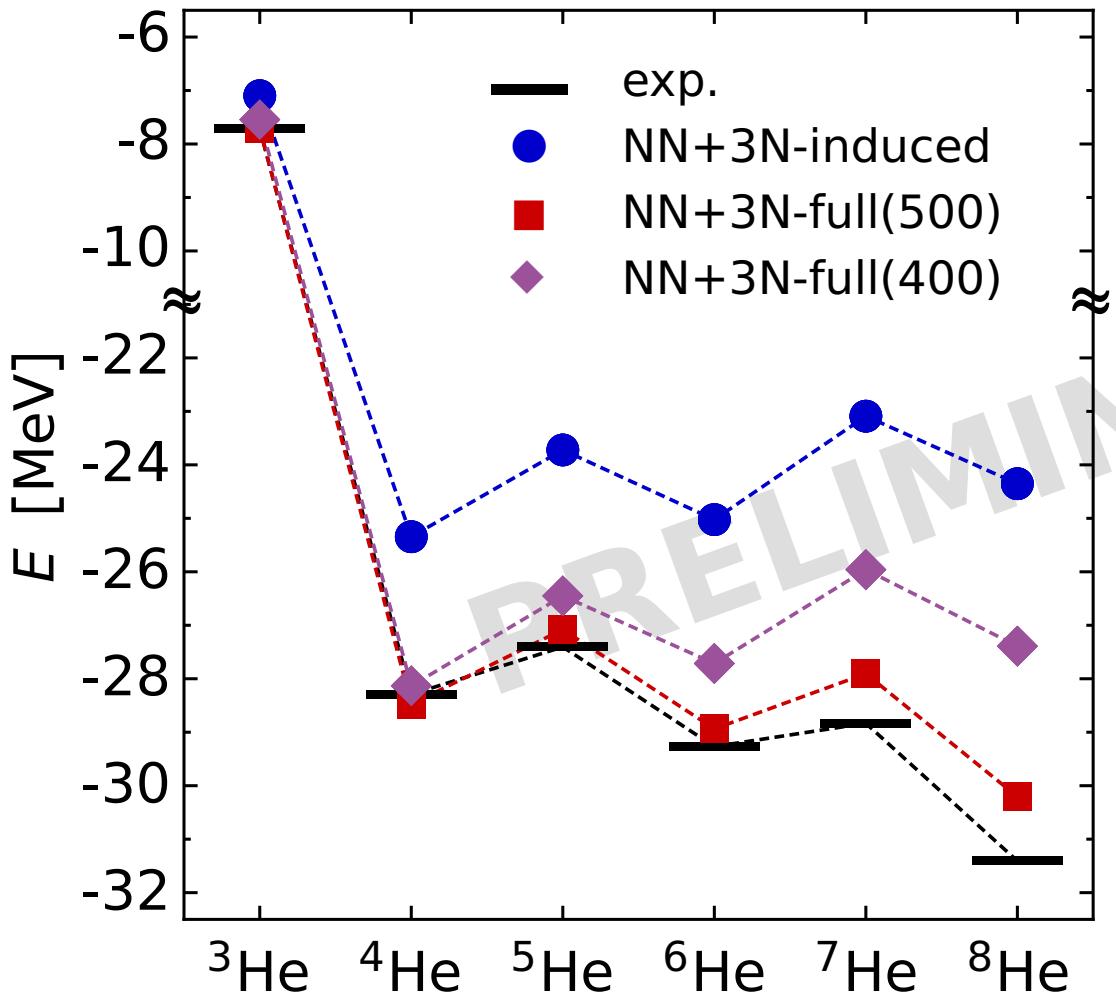
Outlook: Ground-States of Helium Isotopes



- chiral NN interaction cannot reproduce mass systematics
- inclusion of chiral 3N gives a **very good systematic agreement**

$$\alpha = 0.08 \text{ fm}^4, E_{3\max} = 12$$

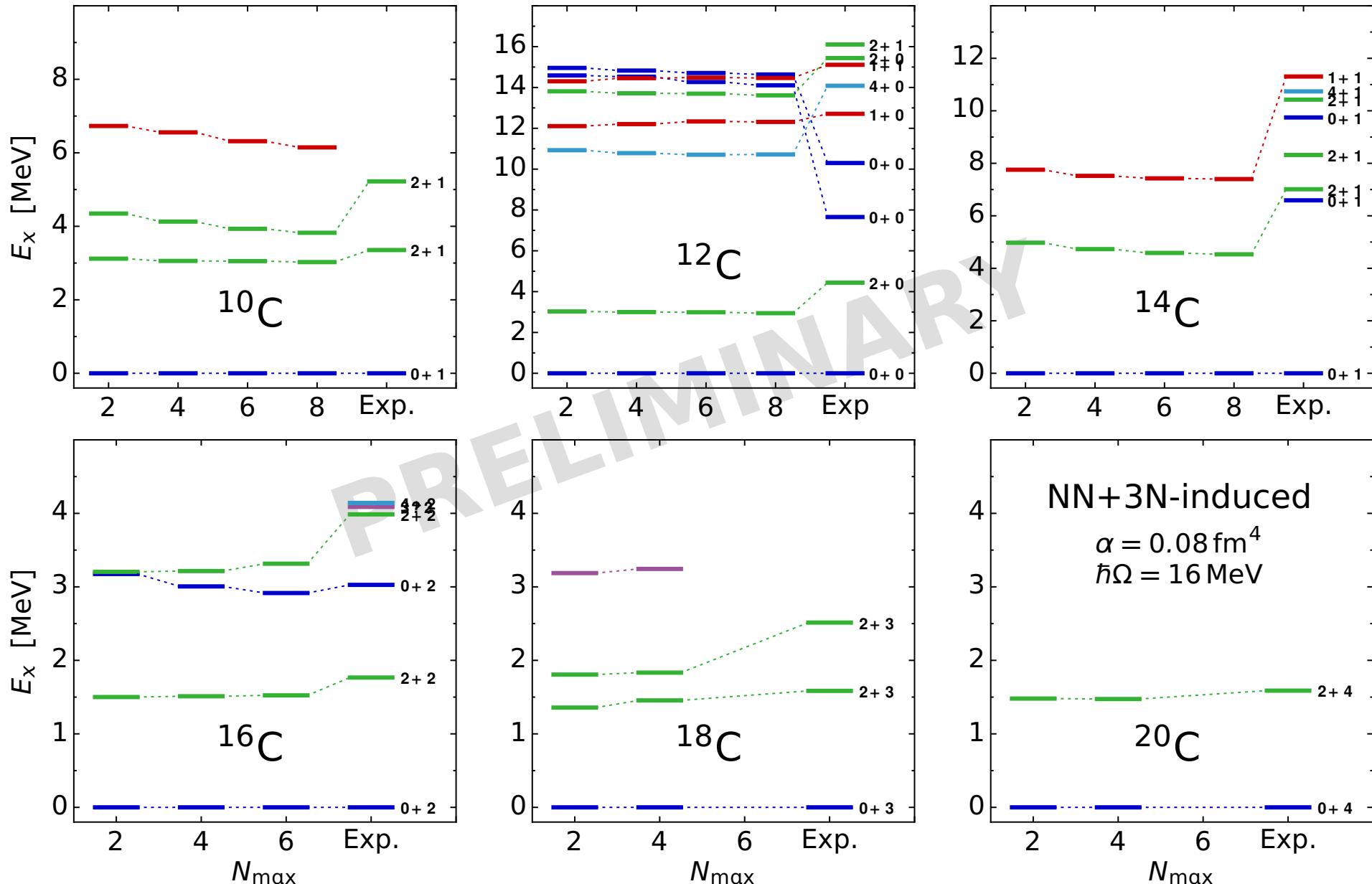
Outlook: Ground-States of Helium Isotopes



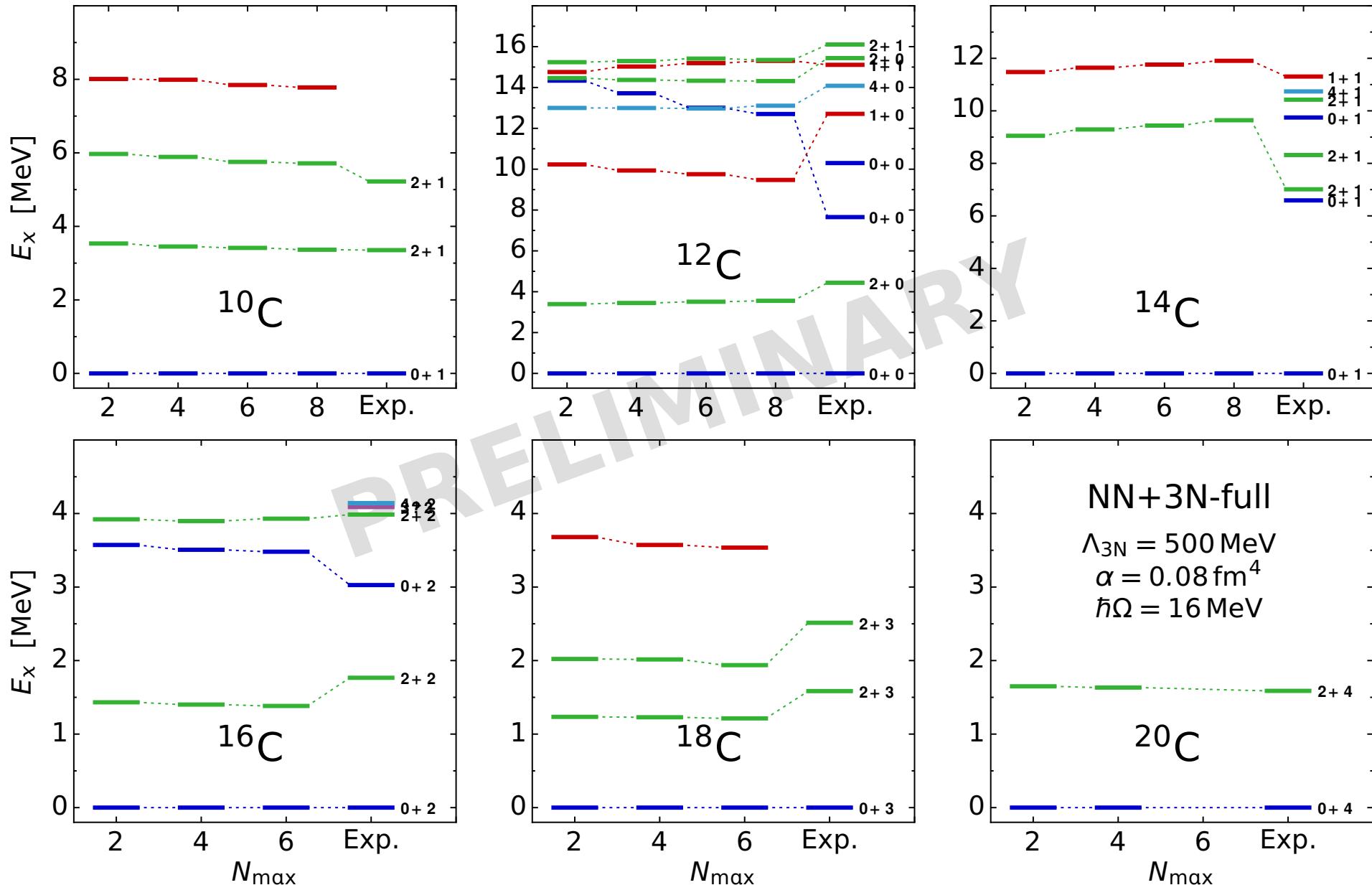
$$\alpha = 0.08 \text{ fm}^4, E_{3\max} = 12$$

- chiral NN interaction cannot reproduce mass systematics
- inclusion of chiral 3N gives a **very good systematic agreement**
- sensitive to details of the initial 3N interaction, e.g. the cutoff
- next: **consistent coupling to continuum** within NCSMC

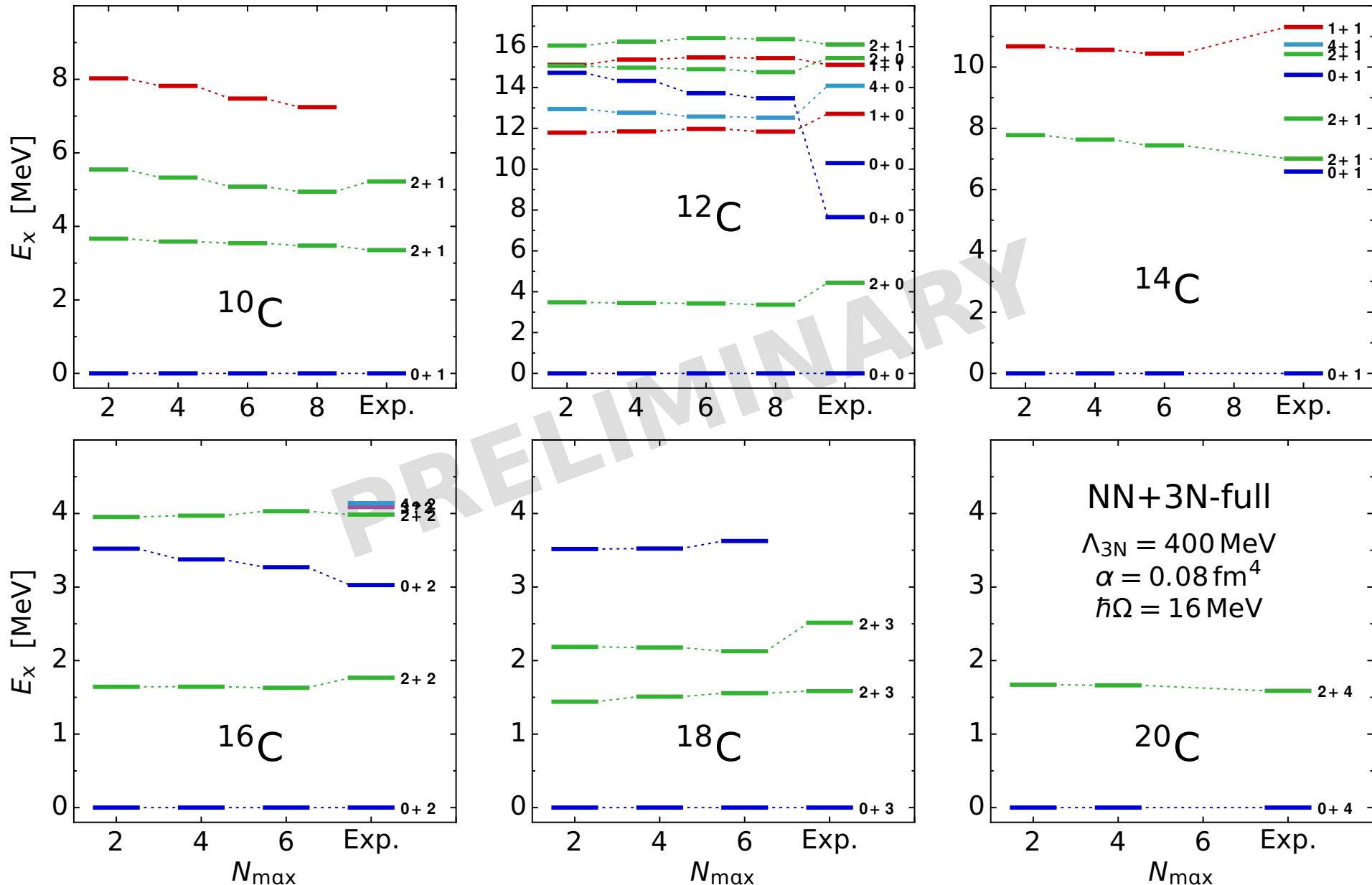
Outlook: Carbon Isotopic Chain



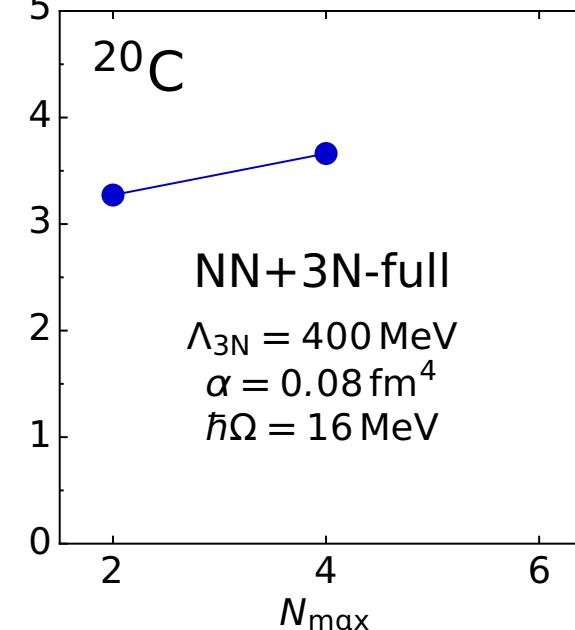
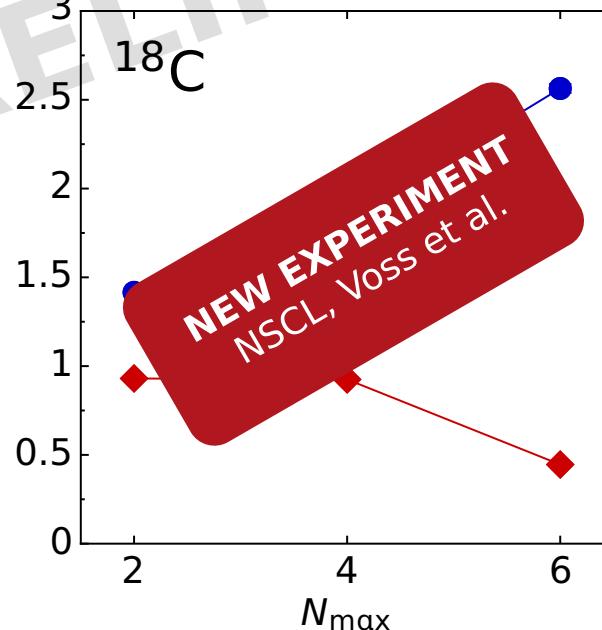
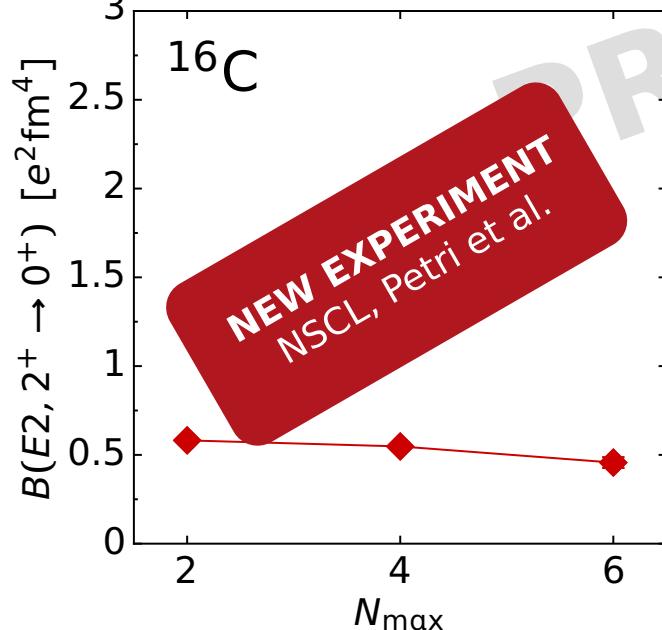
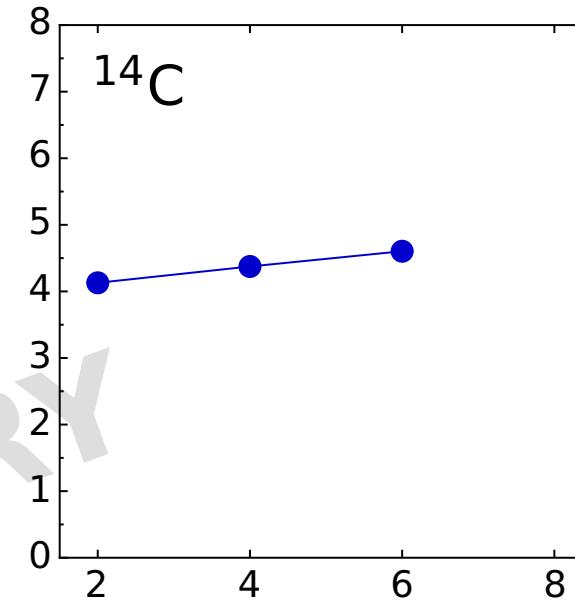
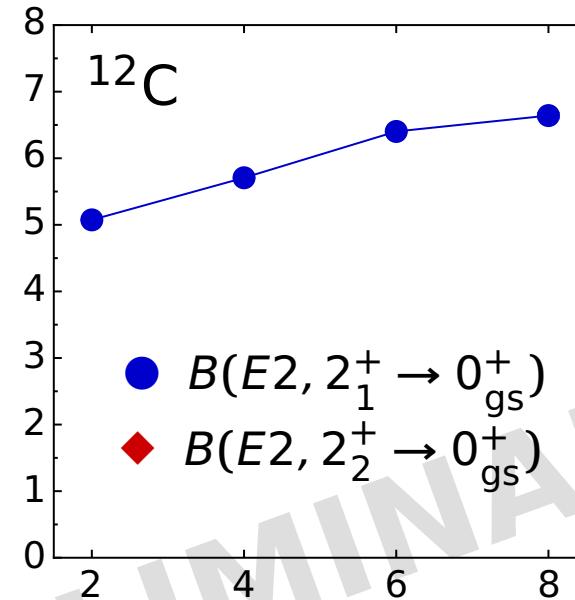
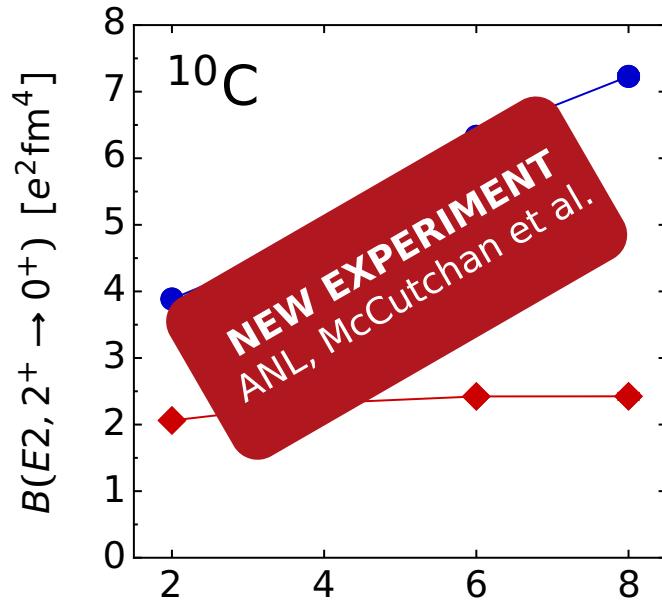
Outlook: Carbon Isotopic Chain



Outlook: Carbon Isotopic Chain



Outlook: Carbon Isotopic Chain



Ab Initio Nuclear Structure

Nuclear Structure Observables

Nuclear Lattice Sim.

chiral EFT on lattice

Exact Ab-Initio Solutions

few-body et al.

Exact Ab-Initio Solutions

few-body, no-core shell model, etc.

Approx. Many-Body Methods

controlled & improvable schemes

Energy-Density-Functional Theory

guided by chiral EFT

Similarity Transformations

physics-conserving transform. of observables

Chiral Interactions

consistent & improvable NN, 3N,... interactions

Chiral Effective Field Theory

systematic low-energy effective theory of QCD

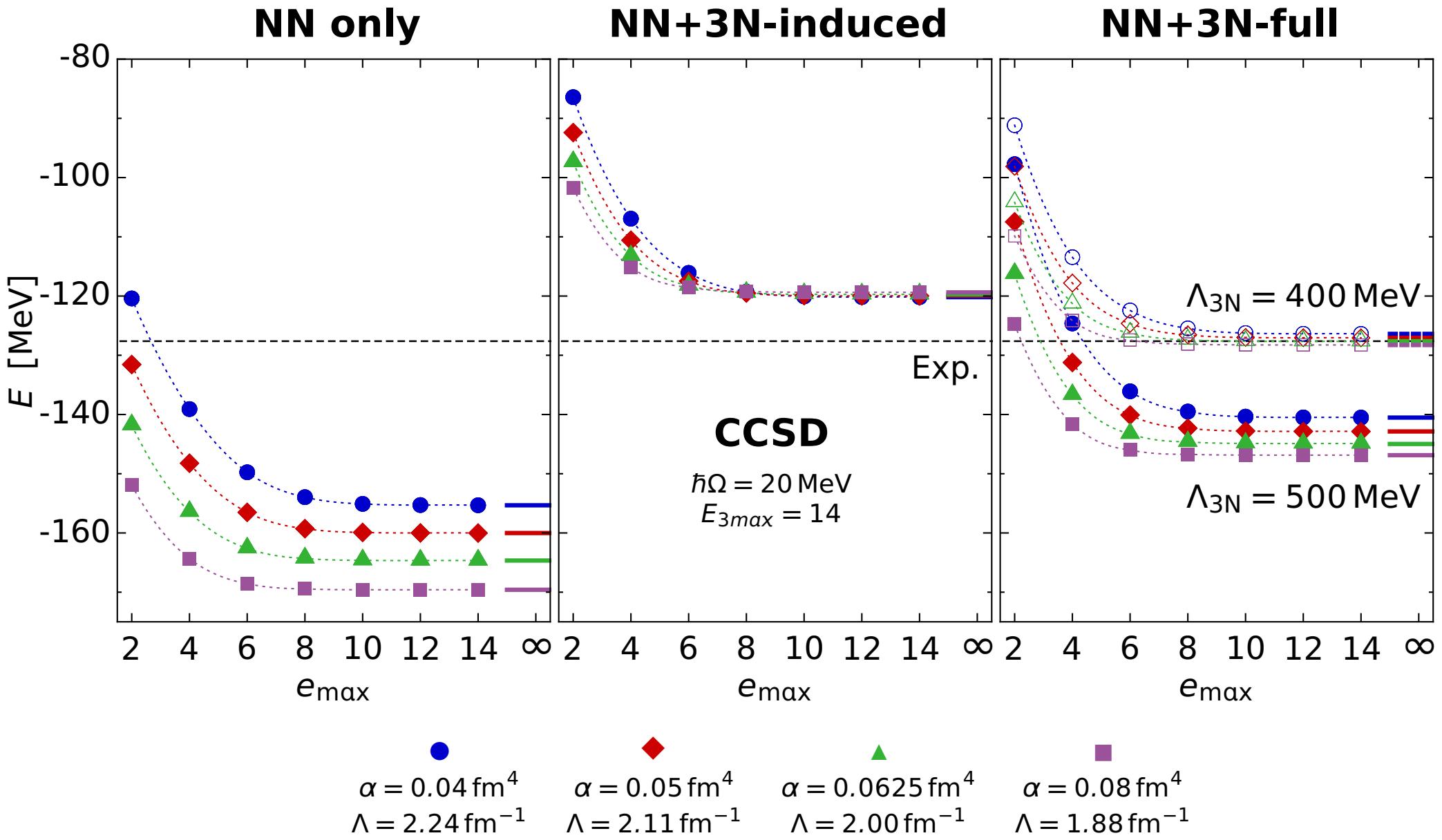
Low-Energy Quantum Chromodynamics

Heavy Nuclei with 3N Interactions

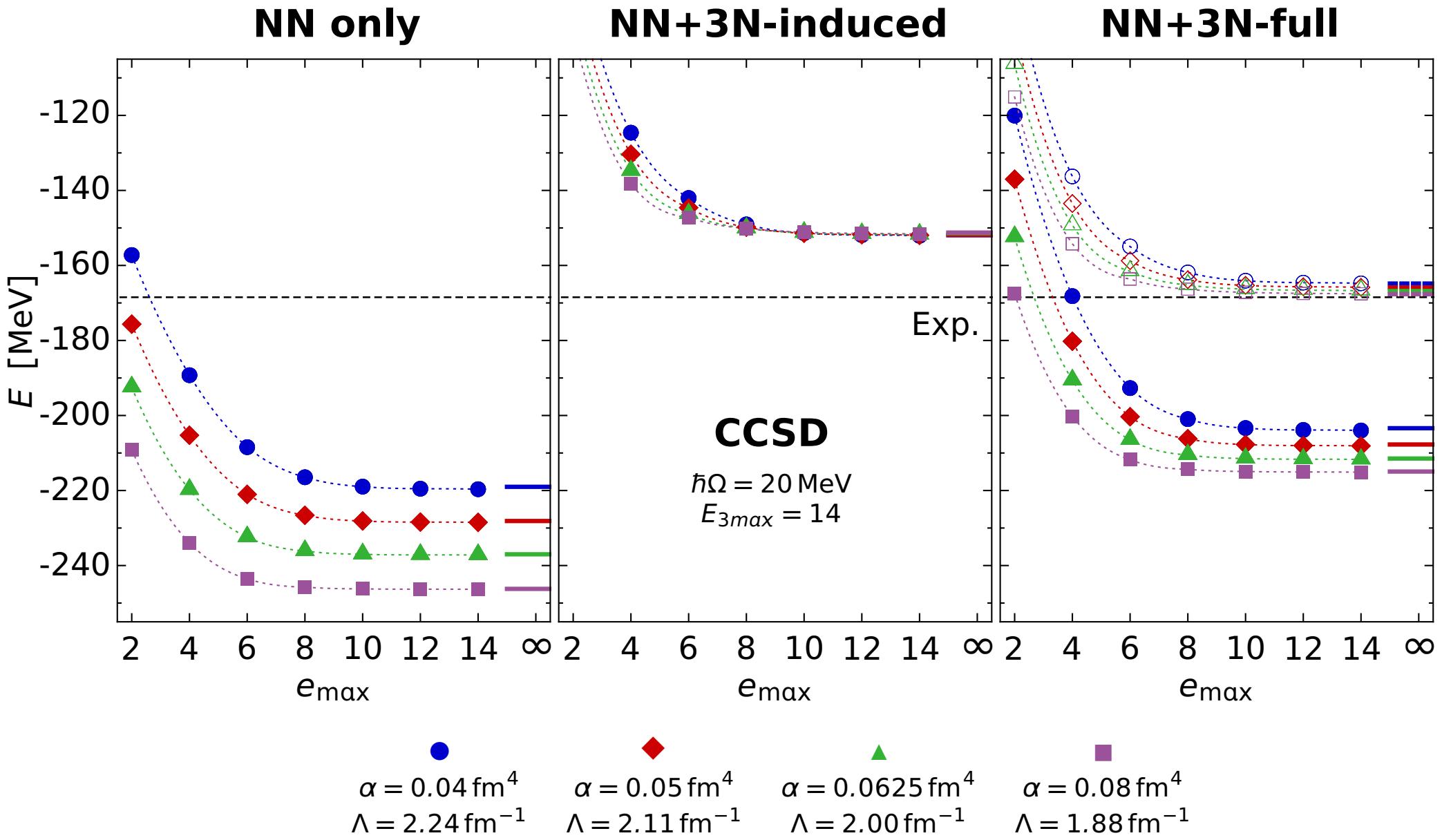
‘ab initio’ calculations for heavier nuclei require alternative many-body tools and approximate treatment of 3N interactions

- **coupled-cluster method** for ground states of closed-shell nuclei
 - exponential ansatz for many-body states using singles and doubles excitations (CCSD)
- **normal-ordering approximation** of the 3N interaction truncated at the two-body level
 - summation over reference state converts part of 3N interaction to zero-, one- and two-body terms
- both approximations are controlled and systematically improvable

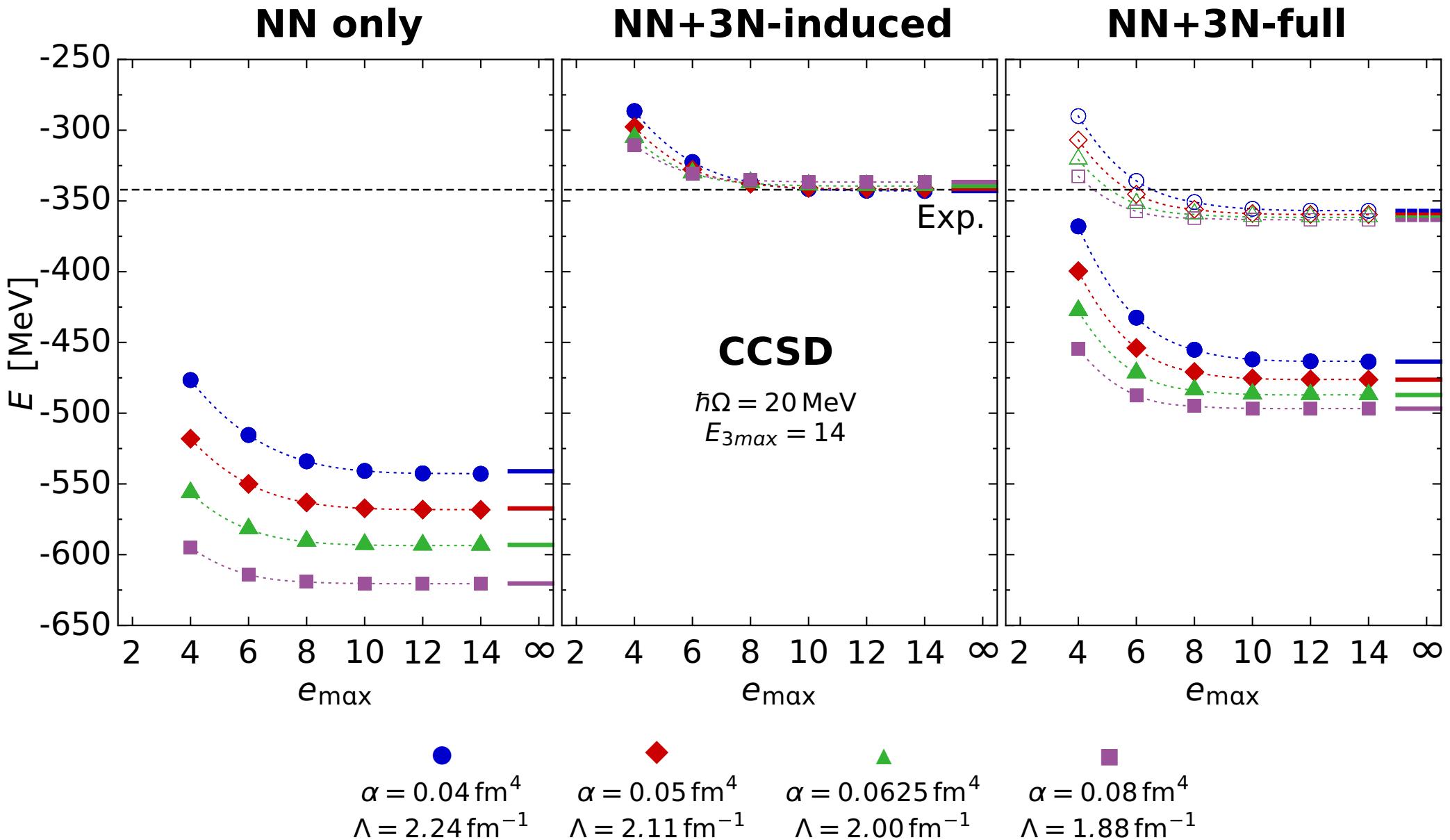
^{16}O : Coupled-Cluster with 3N_{NO2B}



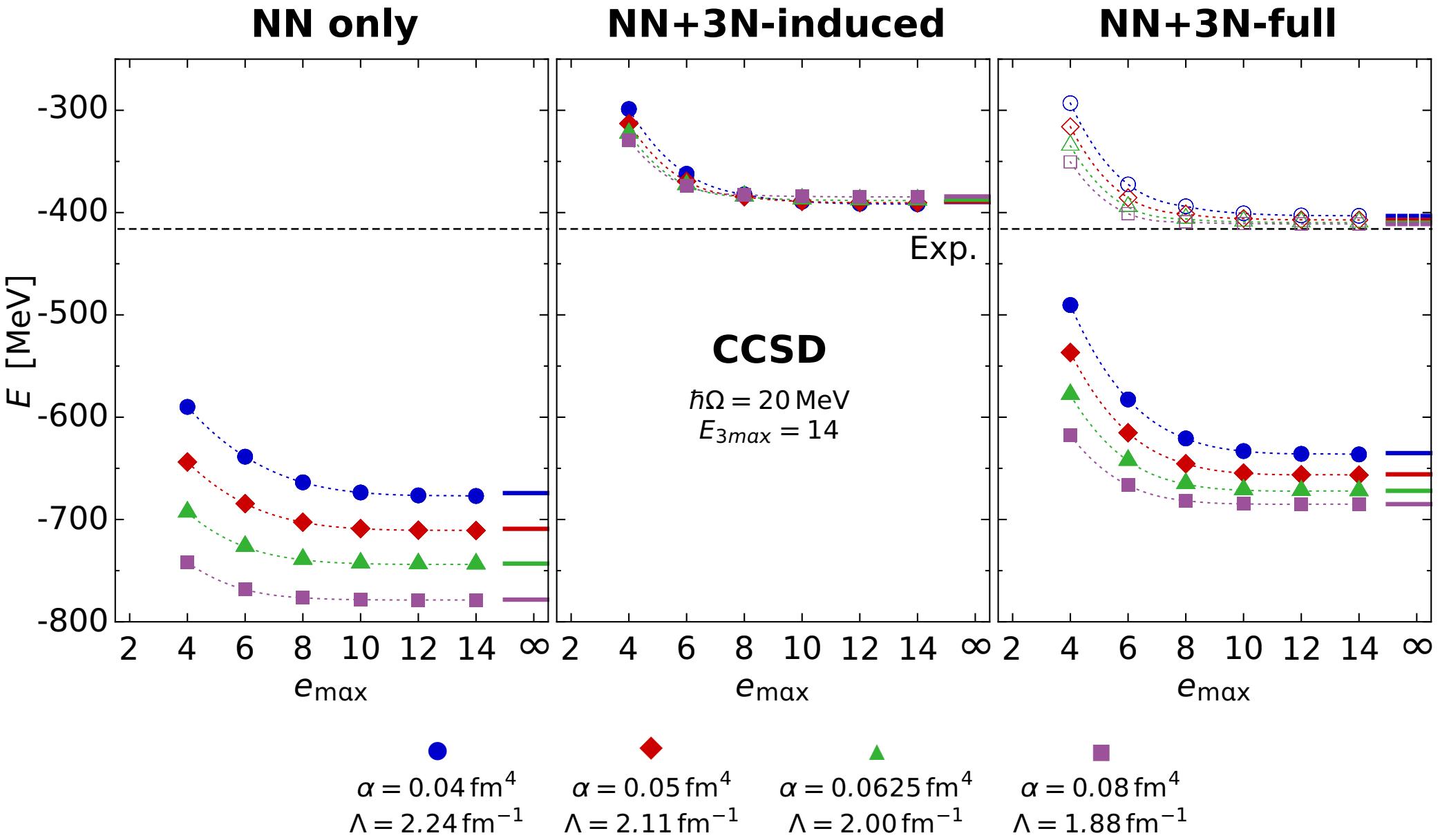
^{24}O : Coupled-Cluster with 3N_{NO2B}



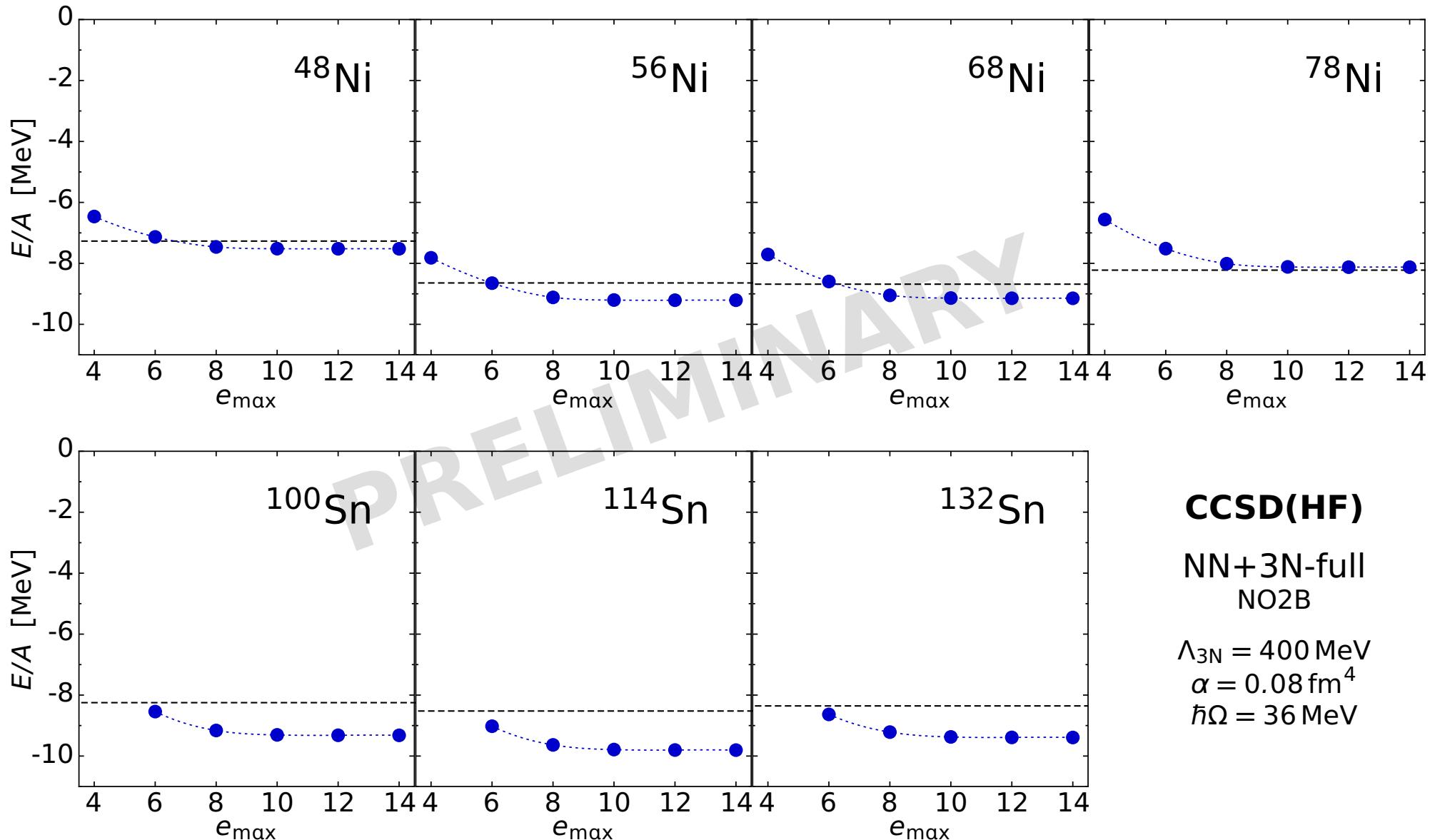
^{40}Ca : Coupled-Cluster with 3N_{NO2B}



^{48}Ca : Coupled-Cluster with 3N_{NO2B}



Outlook: Chiral 3N for Heavy Nuclei



Conclusions

Conclusions

- new era of **ab-initio nuclear structure and reaction theory** connected to QCD via chiral EFT
 - chiral EFT as universal starting point... some issues remain
- consistent **inclusion of 3N interactions** in similarity transformations & many-body calculations
 - breakthrough in computation & handling of 3N matrix elements
- **innovations in many-body theory**: extended reach of exact methods & improved control over approximations
 - versatile toolbox for different observables & mass ranges
- many **exciting applications** ahead...

Epilogue

■ thanks to my group & my collaborators

- **S. Binder, A. Calci, B. Erler, E. Gebrerufael, A. Günther, H. Krutsch, J. Langhammer, S. Reinhardt, C. Stumpf, R. Trippel, K. Vobig, R. Wirth**

Institut für Kernphysik, TU Darmstadt

- **P. Navrátil**

TRIUMF Vancouver, Canada

- J. Vary, P. Maris

Iowa State University, USA

- S. Quaglioni

LLNL Livermore, USA

- P. Piecuch

Michigan State University, USA

- H. Hergert

Ohio State University, USA

- P. Papakonstantinou

IPN Orsay, F

- C. Forssén

Chalmers University, Sweden

- H. Feldmeier, T. Neff

GSI Helmholtzzentrum



Deutsche
Forschungsgemeinschaft

DFG

HIC|FAIR
Helmholtz International Center

LOEWE – Landes-Offensive
zur Entwicklung Wissenschaftlich-
ökonomischer Exzellenz

HELMHOLTZ
| GEMEINSCHAFT



Bundesministerium
für Bildung
und Forschung

