

# Similarity Renormalization Group for Chiral NN+3N Interactions: Physics & Technology

Angelo Calci  
Institut für Kernphysik



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

# Road Map

## Nuclear Structure & Reaction Observables

### Importance Truncated NCSM

ab initio studies in  
the p- & sd-shell

### Applications to Nuclear Spectra

spectroscopy and  
sensitivity on 3N

### Coupled Cluster Approach

systematic extension  
to heavy nuclei

...

### Similarity Renormalization Group

pre-diagonalization of Hamiltonian by unitary transformation  
computational technology for 3N matrix elements

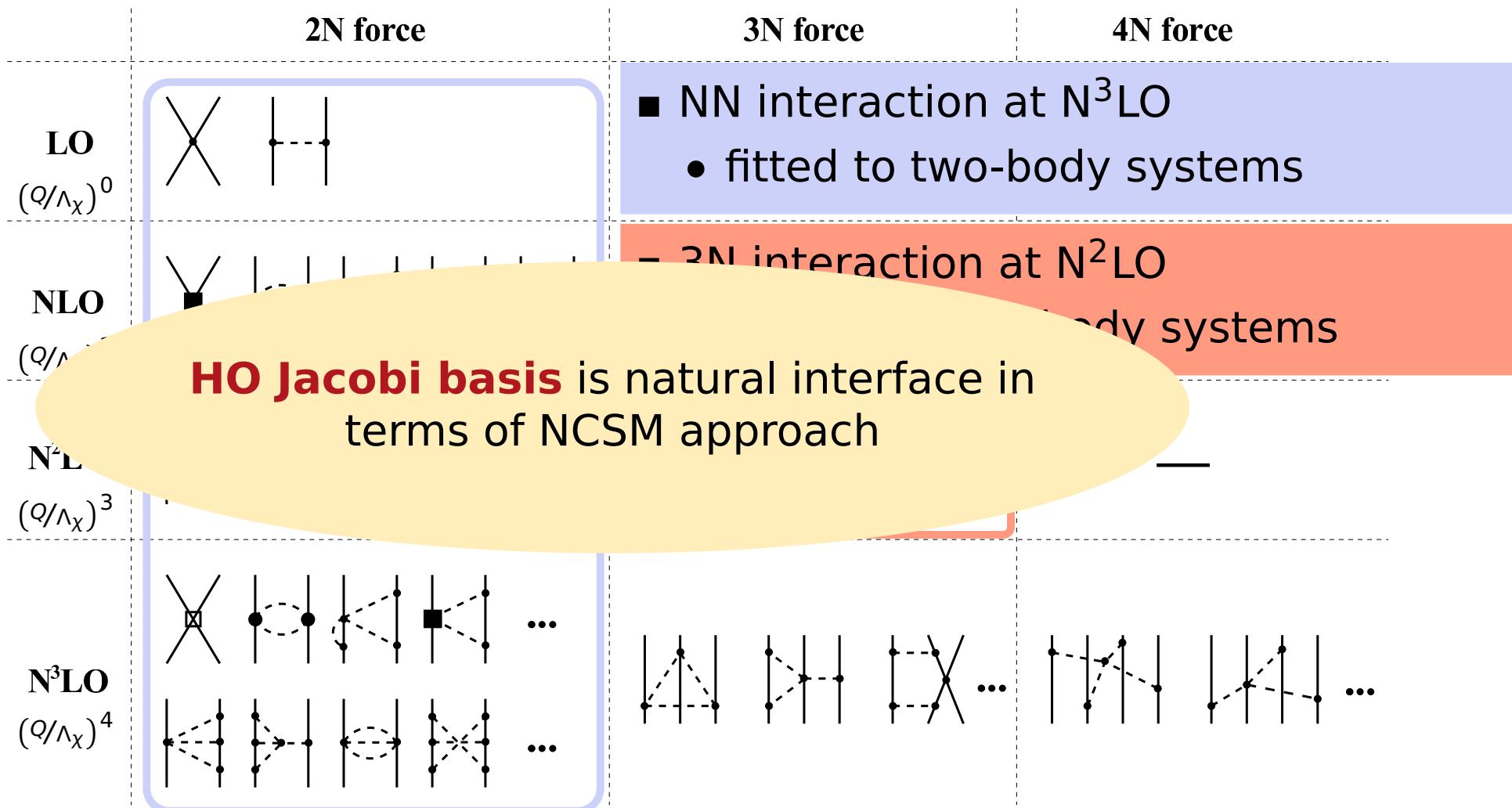
### Chiral Effective Field Theory

systematic low-energy effective theory of QCD  
consistent & improvable NN, 3N,... interactions

### Low-Energy Quantum Chromodynamics

# Chiral Effective Field Theory

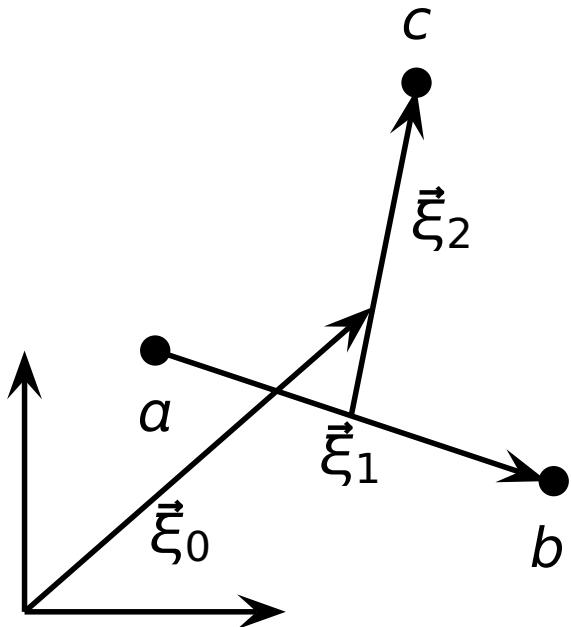
- using **pions** and **nucleons** as degrees of freedom



- provides **NN** and **3N interactions** in a **consistent** manner

# Jacobi Coordinates

- “**relative coordinates**” for 3-body system



$$\vec{\xi}_0 = \sqrt{\frac{1}{3}} [\vec{r}_a + \vec{r}_b + \vec{r}_c]$$

$$\vec{\xi}_1 = \sqrt{\frac{1}{2}} [\vec{r}_a - \vec{r}_b]$$

$$\vec{\xi}_2 = \sqrt{\frac{2}{3}} \left[ \frac{1}{2}(\vec{r}_a + \vec{r}_b) - \vec{r}_c \right]$$

- allow for separation in center-of-mass and relative part
- nuclei characterized by relative motion

concentrate on **relative part**

# HO Jacobi Basis

## ■ 2-body basis

- antisymmetric:

$$|EijM_JTM_T\rangle = |(NL, S)JM_J, TM_T\rangle$$

## ■ 3-body basis

- antisymmetric under  $1 \leftrightarrow 2$ :

$$|\alpha\rangle = |[(n_{12}l_{12}, s_{12})j_{12}, (n_3l_3, s_3)j_3]JM_J, (t_{12}, t_3)TM_T\rangle$$

- antisymmetric:

$$|EijM_JTM_T\rangle = \sum_{\alpha} c_{\alpha,i} |\alpha\rangle$$

- interaction is  $M_J$ -independent
- average over  $M_T$  for 3B matrix elements

# Antisymmetrization of 3B Jacobi Basis

$$|EjJM_JTM_T\rangle = \sum_{\alpha} c_{\alpha,i} |\alpha\rangle$$

- **diagonalize antisymmetrizer** in  $\alpha$ -basis (P. Navrátil)
  - for each  $EJT$ -block separately
- use coefficients  $c_{\alpha,i}$  to eigenvalue 1
  - **coefficients of fractional parentage** (CFPs)

$$\langle \alpha | \mathcal{A} | \alpha' \rangle = \begin{pmatrix} EJT & & & \\ & E'J'T' & & \\ & & E''J''T'' & \\ & & & \ddots \end{pmatrix}$$

# Similarity Renormalization Group

Bogner, Furnstahl, Perry — Phys. Rev. C 75 061001(R) (2007)

Jurgenson, Navrátil, Furnstahl — Phys. Rev. Lett. 103, 082501 (2009)

Roth, Neff, Feldmeier — Prog. Part. Nucl. Phys. 65, 50 (2010)

Roth, Langhammer, AC et al. — Phys. Rev. Lett. 107, 072501 (2011)

# Similarity Renormalization Group (SRG)

**accelerate** convergence by **pre-diagonalizing** the Hamiltonian  
with respect to the many-body basis

- continuous **unitary transformation** of the Hamiltonian

$$\tilde{H}_\alpha = U_\alpha^\dagger H U_\alpha$$

- leads to **evolution equation**

$$\frac{d}{d\alpha} \tilde{H}_\alpha = [\eta_\alpha, \tilde{H}_\alpha] \quad \text{with} \quad \eta_\alpha = -U_\alpha^\dagger \frac{dU_\alpha}{d\alpha} = -\eta_\alpha^\dagger$$

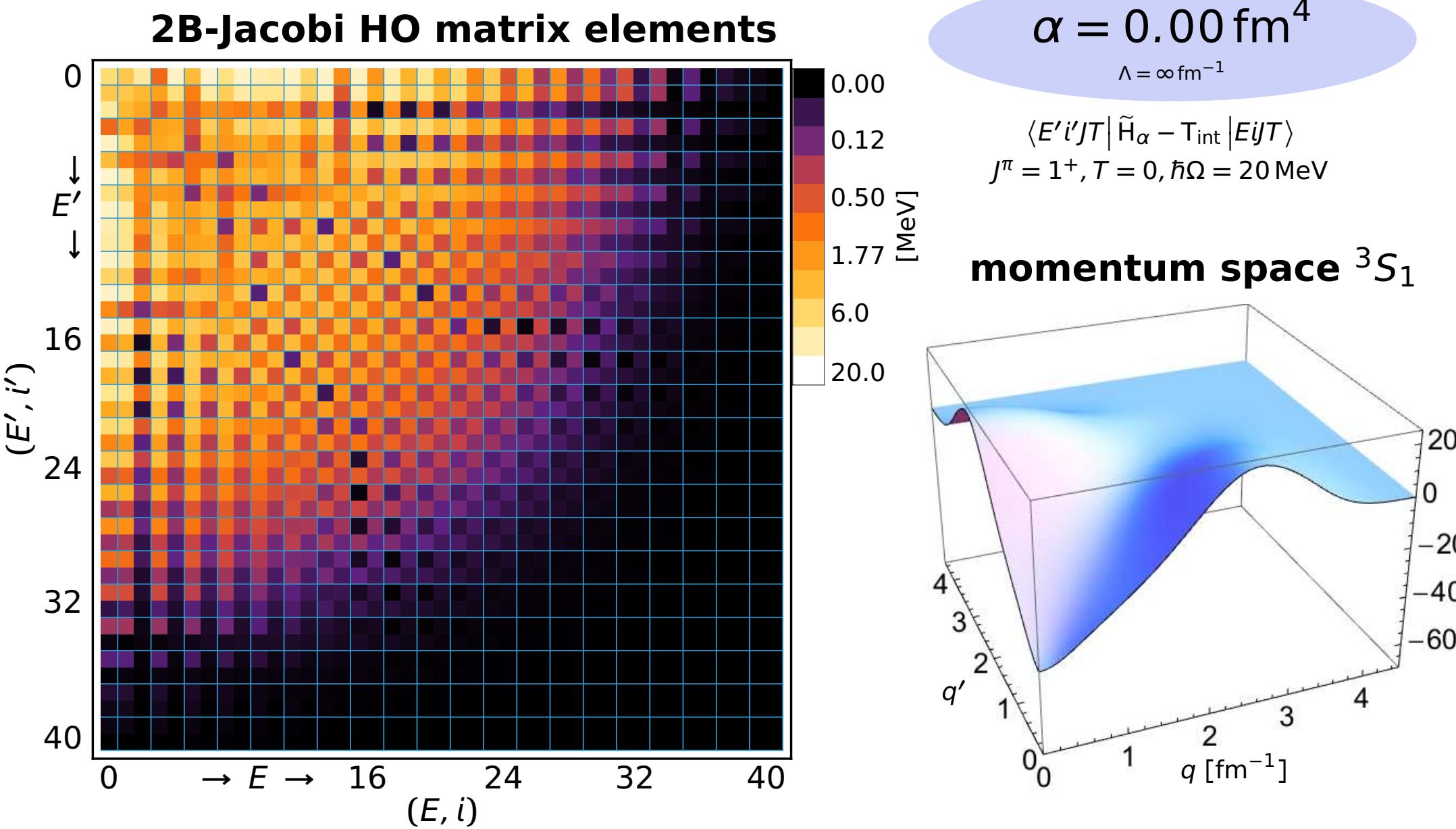
initial value problem with  $\tilde{H}_{\alpha=0} = H$

- choose **dynamic generator**

$$\eta_\alpha = (2\mu)^2 [T_{\text{int}}, \tilde{H}_\alpha]$$

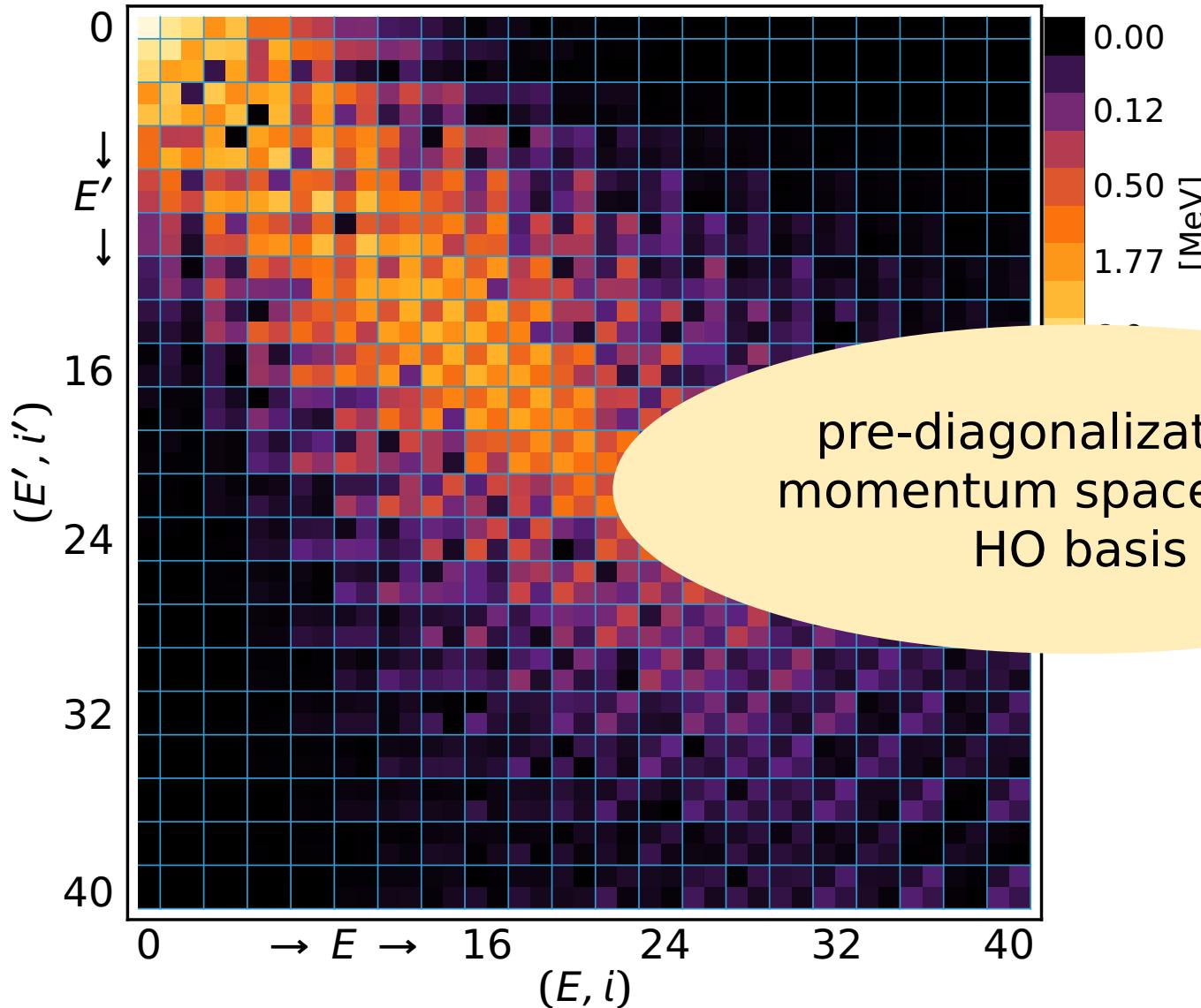
advantages of SRG:  
**simplicity** and **flexibility**

# SRG Evolution in Two-Body Space



# SRG Evolution in Two-Body Space

**2B-Jacobi HO matrix elements**

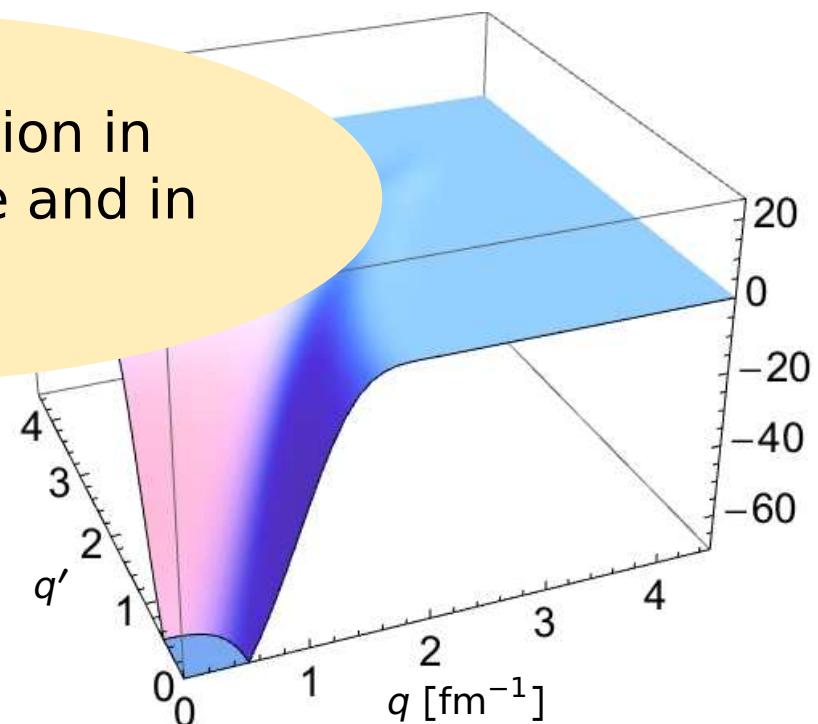


$$\alpha = 0.32 \text{ fm}^4$$

$$\Lambda = 1.33 \text{ fm}^{-1}$$

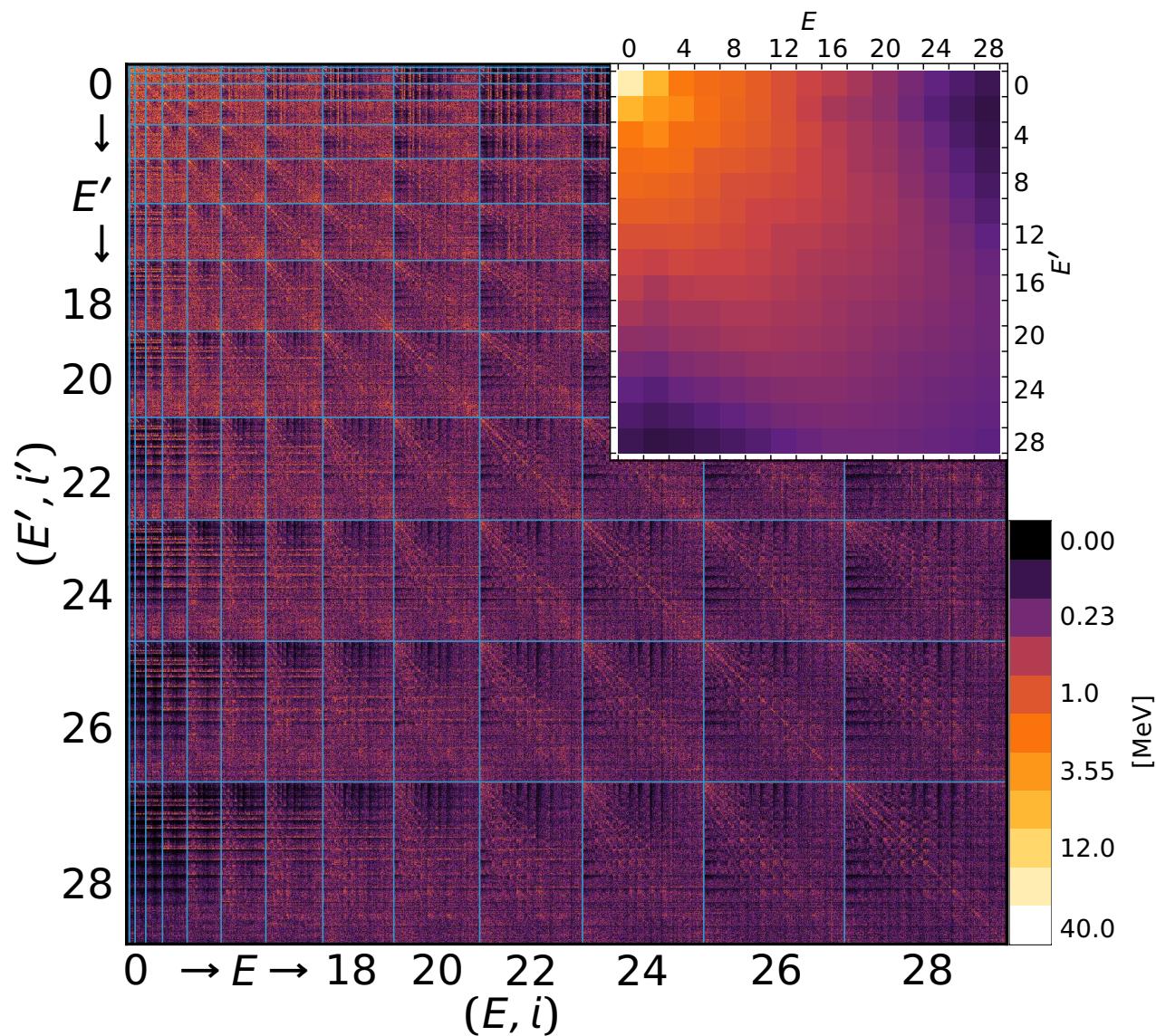
$$\langle E' i' J T | \tilde{H}_\alpha - T_{\text{int}} | E i J T \rangle$$
$$J^\pi = 1^+, T = 0, \hbar\Omega = 20 \text{ MeV}$$

**momentum space  ${}^3S_1$**



# SRG Evolution in Three-Body Space

## 3B-Jacobi HO matrix elements

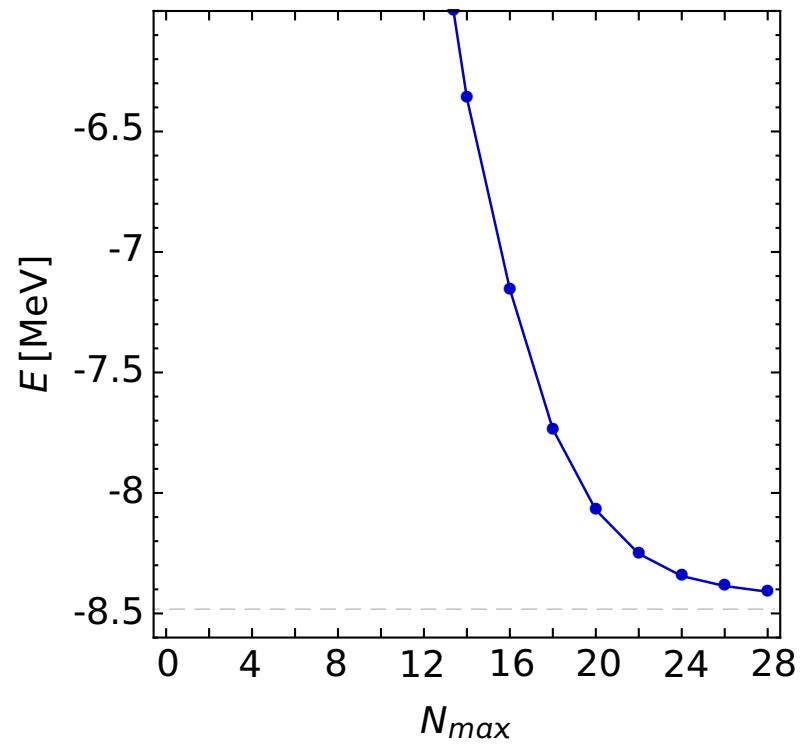


$$\alpha = 0.00 \text{ fm}^4$$

$$\Lambda = \infty \text{ fm}^{-1}$$

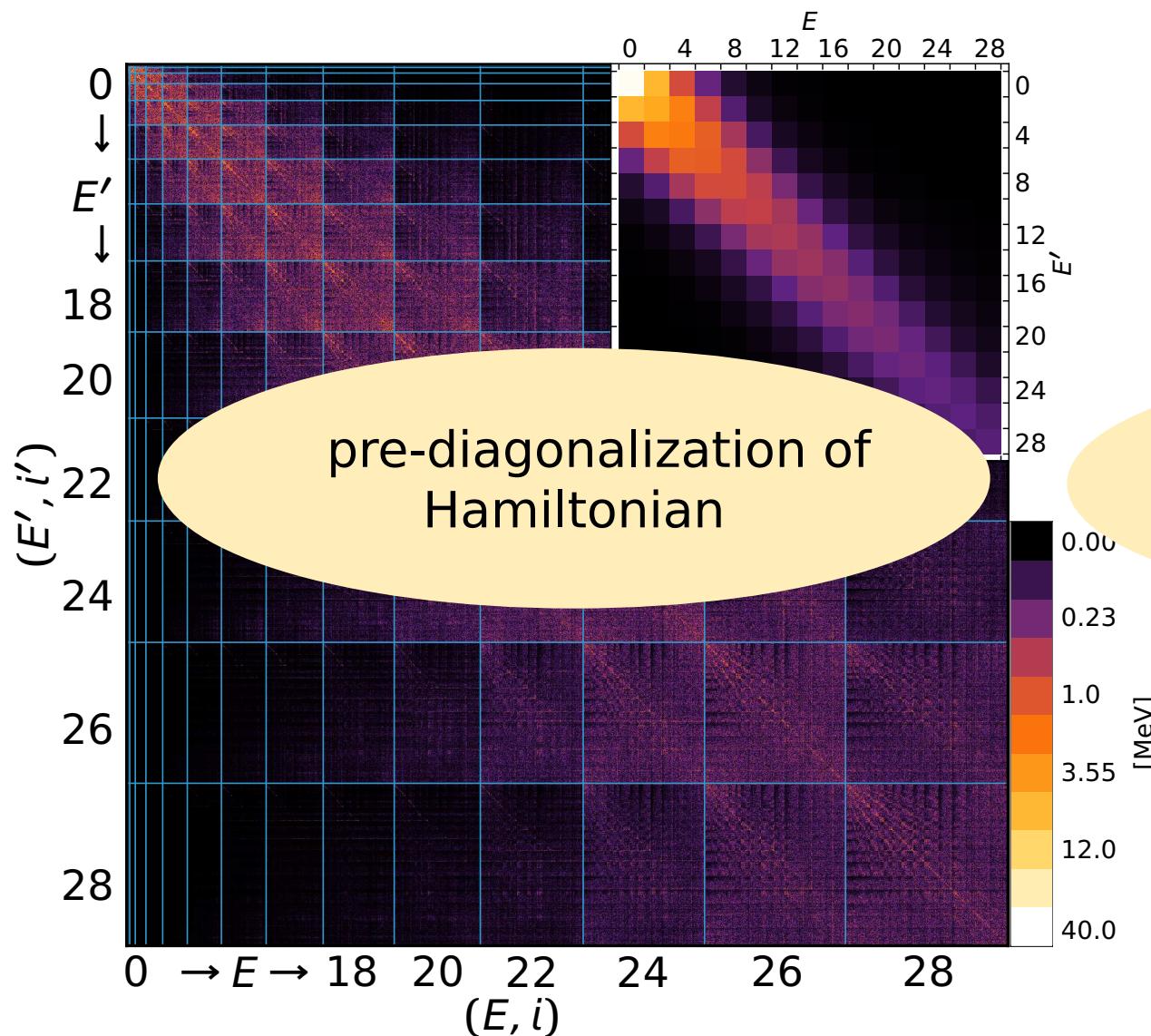
$$\langle E' i' J T | \tilde{H}_\alpha - T_{\text{int}} | E i J T \rangle$$
$$J^\pi = \frac{1}{2}^+, T = \frac{1}{2}, \hbar\Omega = 20 \text{ MeV}$$

## NCSM ground state ${}^3\text{H}$



# SRG Evolution in Three-Body Space

## 3B-Jacobi HO matrix elements



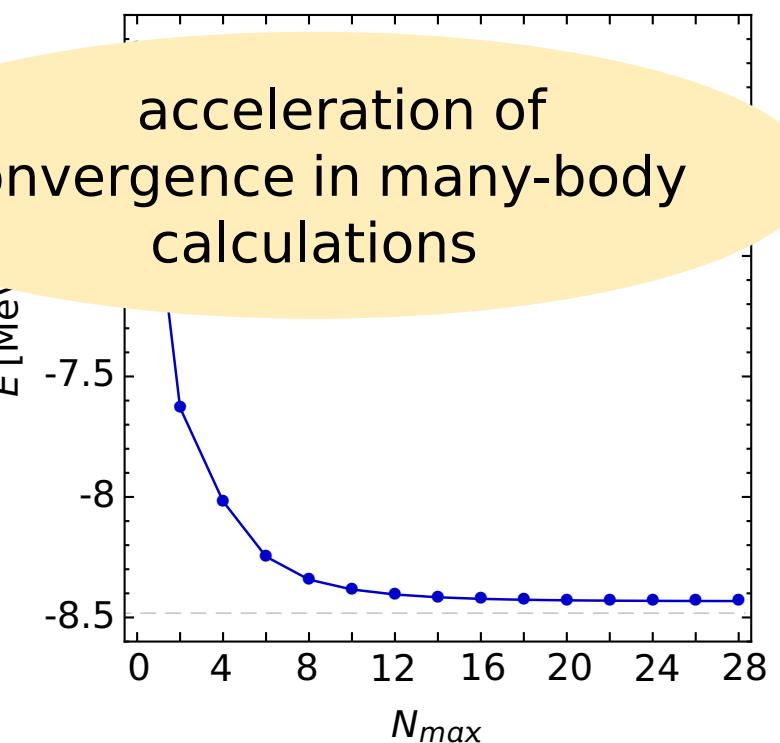
$$\alpha = 0.32 \text{ fm}^4$$

$$\Lambda = 1.33 \text{ fm}^{-1}$$

$$\langle E' i' J T | \tilde{H}_\alpha - T_{\text{int}} | E i J T \rangle$$
$$J^\pi = \frac{1}{2}^+, T = \frac{1}{2}, \hbar\Omega = 20 \text{ MeV}$$

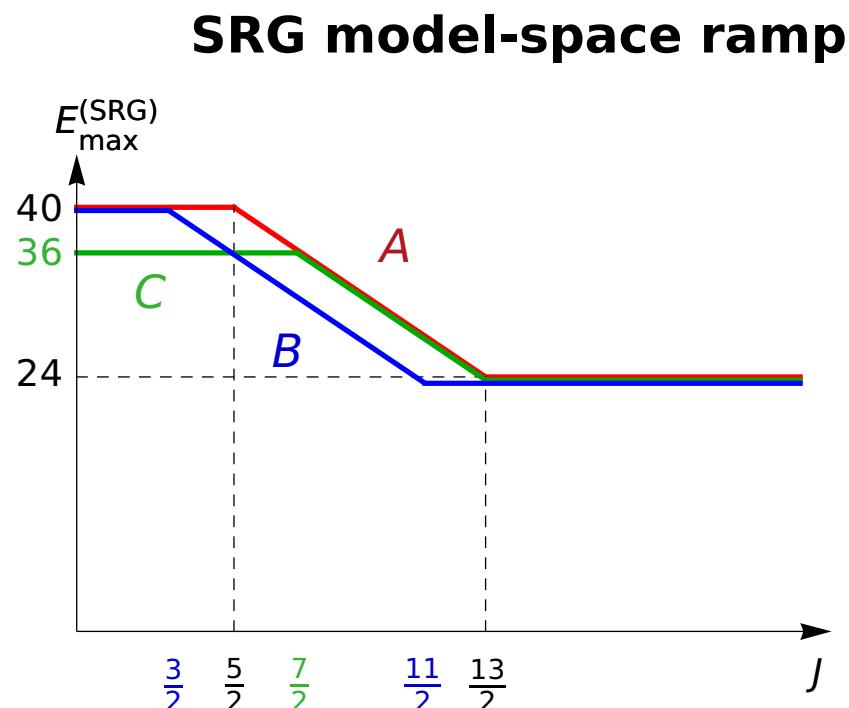
## NCSM ground state ${}^3\text{H}$

acceleration of convergence in many-body calculations



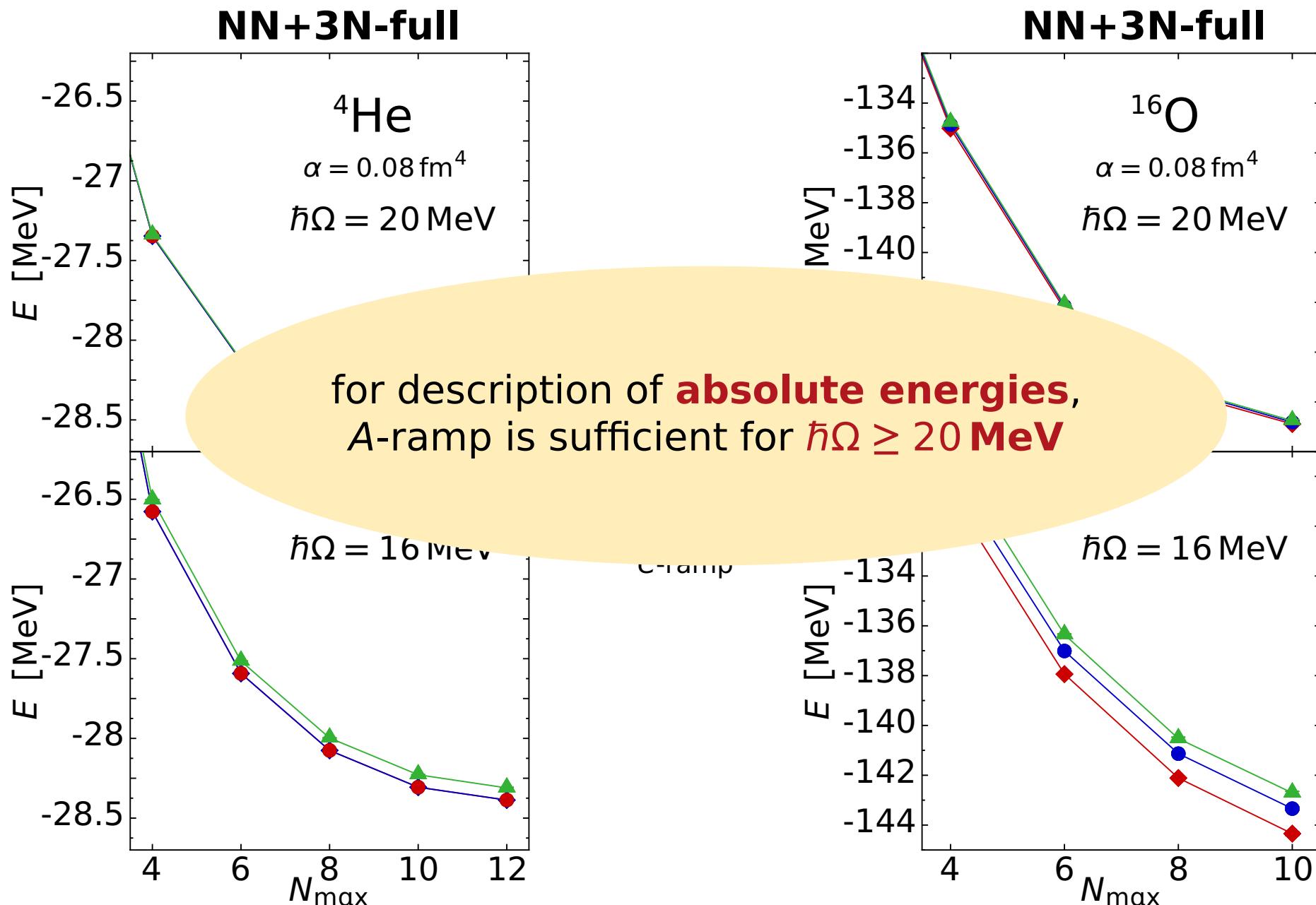
# SRG Model Space

- model space in 3-body HO Jacobi basis defined by  $E_{\max}^{(\text{SRG})}$
- large angular momenta less important for low-energy properties
  - $J$ -dependent model space truncation  $E_{\max}^{(\text{SRG})}(J)$

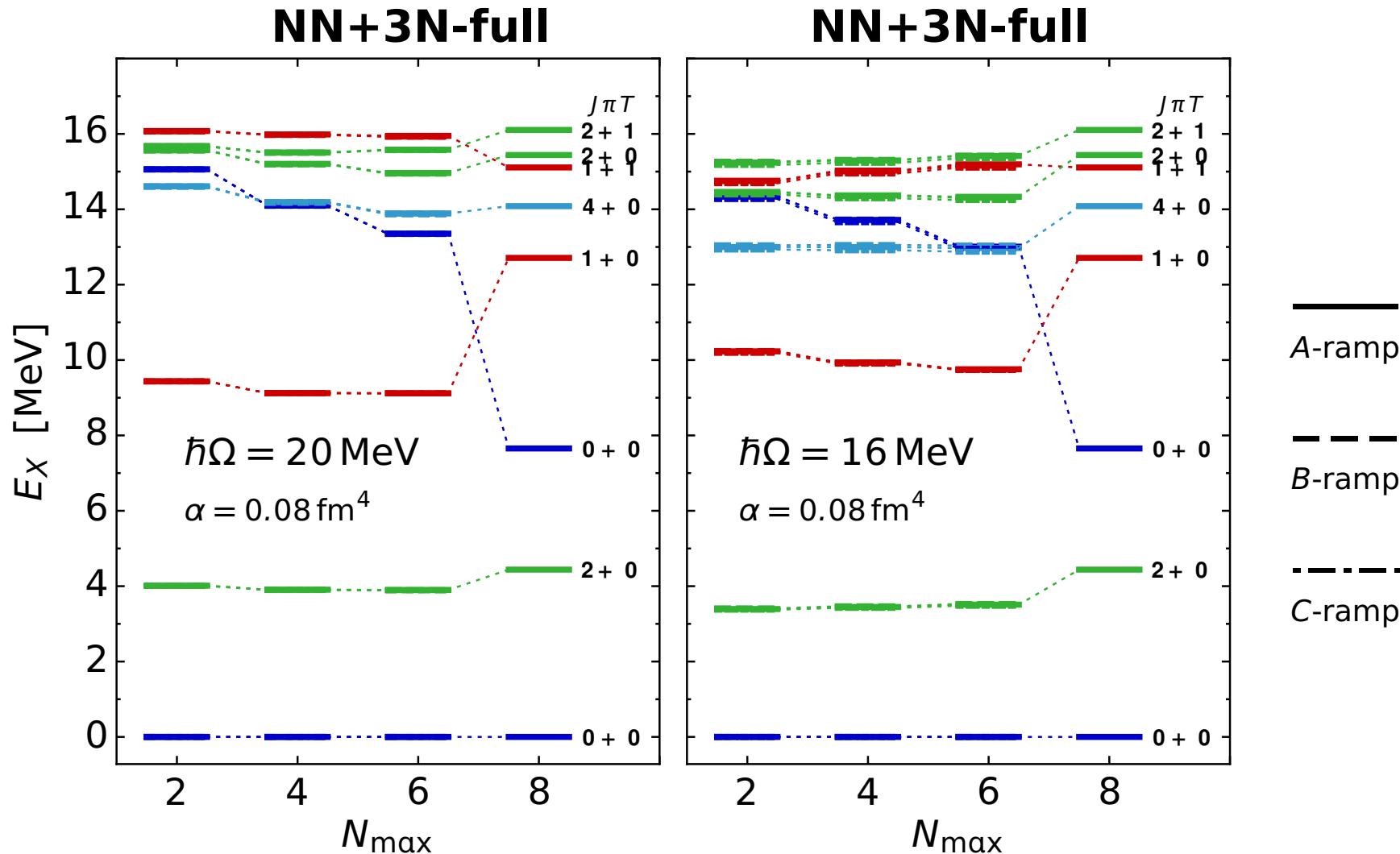


- use **A**-ramp as standard
- **investigate** sufficiency of **SRG model space**
  - use **B**- and **C**-ramp for comparison

# SRG Model Space: $^4\text{He}$ & $^{16}\text{O}$ Ground-State



# SRG Model Space: $^{12}\text{C}$ Spectrum



- excitation energies **independent** of SRG model space

# SRG Evolution in A-Body Space

- SRG induces **irreducible** many-body **contributions**

$$U_\alpha^\dagger H U_\alpha = \tilde{H}_\alpha^{[2]} + \tilde{H}_\alpha^{[3]} + \dots + \tilde{H}_\alpha^{[A]}$$

- restricted to a SRG evolution in 2B or 3B space
- formal **violation of unitarity**

## SRG-evolved Hamiltonians

- **NN only**: start with NN initial Hamiltonian and evolve in two-body space
- **NN** application in talks by R. Roth, J. Langhammer and S. Binder three-body space and evolve in
- **NN+3N-full**: start with NN+3N initial Hamiltonian and evolve in three-body space

$\alpha$ -variation provides a **diagnostic tool** to assess the contributions of omitted many-body interactions

# SRG Evolution in Three-Body Space

## cluster decomposition

decompose Hamiltonian in irreducible two- and three-body parts for use in A-body space

- ➊ **evolve** initial NN(+3N) Hamiltonian in **three-body** space  
two- and three-body part in three-body space

- ➋ **evolve** initial NN Hamiltonian in **two-body** space

two-body part in two-body space

- ➌ **embed** evolved NN Hamiltonian in **three-body** space  
two-body part in three-body space

- ➍ **subtract** ➌ from ➊ in **three-body** space  
three-body part in three-body space

# Transformation to $\mathcal{JT}$ -Coupled Scheme

Roth, Langhammer, AC et al. — in preparation

# From Jacobi to $\mathcal{J}T$ -Coupled Scheme

**effective interaction in 3B-Jacobi basis**

## first problem

many-body calculations ( $A > 6$ ) in Jacobi coordinates not feasible  
→ advantageous to use ***m*-scheme**

## second problem

*m*-scheme matrix elements become intractable for  $N_{\max} > 8$  (p-shell)

**transformation from Jacobi into  $\mathcal{J}T$ -coupled scheme**

**key to efficient NCSM calculations up to  $N_{\max} = 14$  for p-shell nuclei**

decoupling on the fly

**ab-initio many-body calculation**

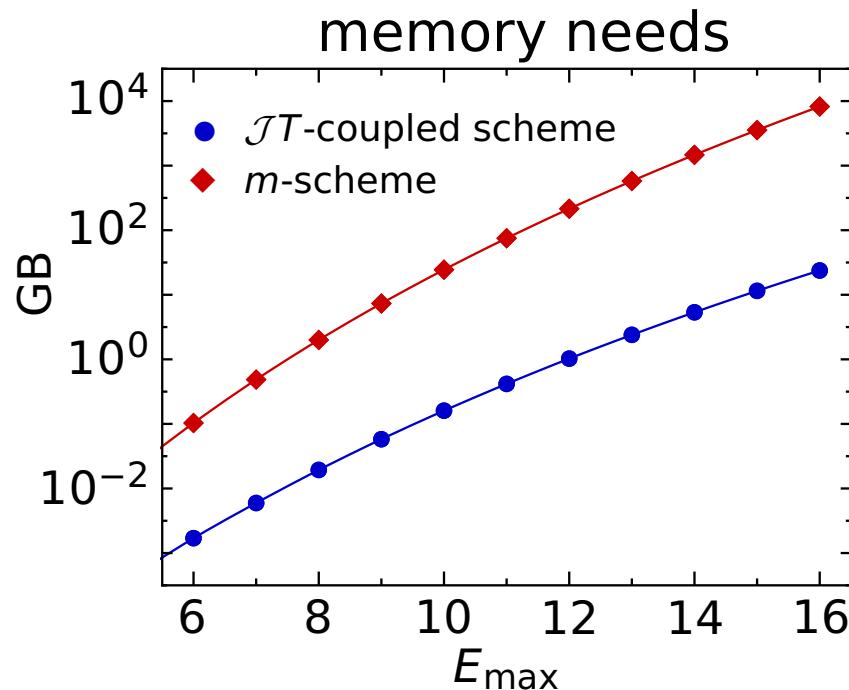
# $\mathcal{JT}$ -Coupled Scheme vs. $m$ -Scheme

## ■ $m$ -scheme

$$|(n_a l_a, s_a) j_a m_a, (n_b l_b, s_b) j_b m_b, (n_c l_c, s_c) j_c m_c; t_a m_{t_a}, t_b m_{t_b}, t_c m_{t_c}\rangle_a$$

## ■ $\mathcal{JT}$ -coupled scheme

$$|\{(n_a l_a, s_a) j_a, (n_b l_b, s_b) j_b\}_{jab}, (n_c l_c, s_c) j_c\} \mathcal{JM}; [(t_a, t_b) t_{ab}, t_c] TM_T\rangle_a$$



- explicit consideration of interaction properties in  $\mathcal{JT}$ -coupled scheme
  - Hamiltonian connects only **equal  $\mathcal{J}$  and  $T$**
  - **memory needs decreases** by two orders of magnitude

# $\mathcal{JT}$ -Coupled Matrix Elements

$$\begin{aligned}
& {}_a \langle [(j_a, j_b) J_{ab}, j_c] \mathcal{J}, [(t_a, t_b) t_{ab}, t_c] T | H | [(j'_a, j'_b) J'_{ab}, j'_c] \mathcal{J}, [(t_a, t_b) t'_{ab}, t_c] T \rangle_a \\
&= 3! \sum_{l_{cm}} \sum_{\alpha} \tilde{T} \left( \begin{array}{cccccc} a & b & c & J_{ab} & J & \mathcal{J} \\ n_{cm} & l_{cm} & n_{12} & l_{12} & n_3 & l_3 \\ s_{ab} & j_{12} & j_3 & & & \end{array} \right) \\
&\quad \times \sum_{\alpha'} \tilde{T} \left( \begin{array}{cccccc} a' & b' & c' & J'_{ab} & J & \mathcal{J} \\ n_{cm} & l_{cm} & n'_{12} & l'_{12} & n'_3 & l'_3 \\ s'_{ab} & j'_{12} & j'_3 & & & \end{array} \right) \\
&\quad \times \sum_{i, i'} c_{\alpha, i} c_{\alpha', i'} \langle EijT | H | E'i'JT \rangle
\end{aligned}$$

# $\tilde{T}$ Coefficients...

$$\begin{aligned}
& \tilde{T} \begin{pmatrix} a & b & c & J_{ab} & J & \mathcal{J} \\ n_{cm} & l_{cm} & n_{12} & l_{12} & n_3 & l_3 \\ s_{ab} & j_{12} & j_3 & & & \end{pmatrix} = \{ \langle n_{cm} l_{cm} | \otimes \langle \alpha | \}^{\mathcal{J}} | [(ab) J_{ab} t_{ab}, c] \mathcal{J} T \rangle \\
& = \sum_{L_{ab}} \sum_{\mathcal{L}_{12}} \sum_{\mathcal{L}} \sum_{S_3} \sum_{\Lambda} \delta_{2n_a + l_a + 2n_b + l_b + 2n_c + l_c, 2n_{cm} + l_{cm} + 2n_3 + l_3 + 2n_{12} + l_{12}} \\
& \times \langle \langle \mathcal{N}_{12} \mathcal{L}_{12}, n_{12} l_{12}; L_{ab} | n_b l_b, n_a l_a \rangle \rangle_1 \langle \langle n_{cm} l_{cm}, n_3 l_3; \Lambda | \mathcal{N}_{12} \mathcal{L}_{12}, n_c l_c \rangle \rangle_2 \\
& \times \begin{Bmatrix} l_a & l_b & L_{ab} \\ S_a & S_b & S_{ab} \\ j_a & j_b & J_{ab} \end{Bmatrix} \begin{Bmatrix} L_{ab} & l_c & \mathcal{L} \\ S_{ab} & S_c & S_3 \\ J_{ab} & j_c & \mathcal{J} \end{Bmatrix} \begin{Bmatrix} l_{12} & l_3 & L_3 \\ S_{ab} & S_c & S_3 \\ j_{12} & j_3 & J \end{Bmatrix} \\
& \times \begin{Bmatrix} l_c & \mathcal{L}_{12} & \Lambda \\ l_{12} & \mathcal{L} & L_{ab} \end{Bmatrix} \begin{Bmatrix} l_{cm} & l_3 & \Lambda \\ l_{12} & \mathcal{L} & L_3 \end{Bmatrix} \begin{Bmatrix} l_{cm} & L_3 & \mathcal{L} \\ S_3 & \mathcal{J} & J \end{Bmatrix} \\
& \times \hat{j}_a \hat{j}_b \hat{j}_c \hat{J}_{ab} \hat{j}_{12} \hat{j}_3 \hat{s}_{ab} \hat{J} \hat{S}_3^2 \mathcal{L}^2 \hat{\Lambda}^2 \hat{L}_3^2 \hat{L}_{ab}^2 (-1)^{l_c + \Lambda + L_{ab} + \mathcal{L} + S_3 + l_{12} + \mathcal{J}}
\end{aligned}$$

# Storage Scheme

## ■ $\mathcal{J}T$ -coupled matrix element

$${}_a\langle \{[(n_a l_a, s_a) j_a, (n_b l_b, s_b) j_b] j_{ab}, (n_c l_c, s_c) j_c\} \mathcal{J}; [(t_a, t_b) t_{ab}, t_c] T | H \\ | \{[(n'_a l'_a, s'_a) j'_a, (n'_b l'_b, s'_b) j'_b] j'_{ab}, (n'_c l'_c, s'_c) j'_c\} \mathcal{J}; [(t'_a, t'_b) t'_{ab}, t'_c] T \rangle_a$$

- bra and ket have same **parity**
- **antisymmetric** states: permutations are linear dependent
- Hamiltonian is **hermitian**: save upper triangular matrix
- use protons and neutrons: single-particle quantum numbers of (iso)-spin are  $1/2$

# Storage Scheme

- $\mathcal{J}T$ -coupled matrix element

$${}_a\langle \{(n_a l_a)j_a, (n_b l_b)j_b]j_{ab}, (n_c l_c)j_c\} \mathcal{J}; [t_{ab}]T | H | \{(n'_a l'_a)j'_a, (n'_b l'_b)j'_b]j'_{ab}, (n'_c l'_c)j'_c\} \mathcal{J}; [t'_{ab}]T \rangle_a$$

- introduce **collective** single-particle **index**  $n\bar{l}\bar{j}$

- energetic ordering of  $n$ ,  $l$  and  $j$

$n\bar{l}\bar{j}$	1	2	3	4	...	$n\bar{l}\bar{j}_{\max}$
$n$	0	0	1	0	...	0
$l$	1	1	0	2	...	$l_{\max}$
$j$	1	3	1	3	...	$j_{\max}$

# Storage Scheme

## ■ $\mathcal{J}T$ -coupled matrix element

$$_a\langle \{[nlj_a, nlj_b]j_{ab}, nlj_c\} \mathcal{J}; [t_{ab}]T | H | \{[nlj'_a, nlj'_b]j'_{ab}, nlj'_c\} \mathcal{J}; [t'_{ab}]T \rangle_a$$

## ■ loop over $nlj$ indexes

```
for(nlja = 0, nlja ≤ nljmax, nlja++)
```

```
    for(nljb = 0, nljb ≤ nlja, nljb++)
```

```
        for(nljc = 0, nljc ≤ nljb, nljc++)
```

```
            for(nlj'a = 0, nlj'a ≤ nlja, nlj'a++)
```

```
                for(nlj'b = 0, nlj'b ≤ nlj'b,max, nlj'b++)
```

```
                    for(nlj'c = 0, nlj'c ≤ nlj'c,max, nlj'c++)
```

exploit symmetries of  
the Hamiltonian

**inner loop** contains all relevant quantum  
numbers for decoupling to  $m$ -scheme

# Storage Scheme

## ■ $\mathcal{J}T$ -coupled matrix element

$${}_a\langle \{ [n\mathbf{j}_a, n\mathbf{j}_b]j_{ab}, n\mathbf{j}_c \} \mathcal{J}; [t_{ab}]T | H | \{ [n\mathbf{j}'_a, n\mathbf{j}'_b]j'_{ab}, n\mathbf{j}'_c \} \mathcal{J}; [t'_{ab}]T \rangle_a$$

## ■ inner loop

```
for(jab = |j1 - j2|, jab < i1 + i2 - i3 - 1)
```

```
for(j'a,
```

storage scheme **already in use**  
by other groups  
(P. Navrátil and J. Vary)

```
for(tab = 0, tab ≤ 1, tab ++)
```

```
for(t'ab = 0, t'ab ≤ 1, t'ab ++)
```

```
for(T = 1/2, T ≤ MIN[tab + 1/2, t'ab + 1/2], t'ab ++)
```

$t_{ab} + j'_3]$ ,  $\mathcal{J}++$ )

details will be  
published soon

write matrix element to file  
**quantum numbers specified by loop order**

# Conclusions

# Conclusions

- **consistent SRG** evolution in 3B space
  - indispensable for converged NCSM calculations
- efficient transformation for Jacobi to  $\mathcal{JT}$ -coupled scheme
  - key for application to  **$N_{max} > 8$  calculations** (p-shell)
  - developed **optimized storage scheme**
- applications ahead (IT-NCSM, CC, ...)
- machinery ready to use **3N @ N3LO** in momentum Jacobi basis

# Epilogue

## ■ thanks to my group & my collaborators

- **S. Binder**, B. Erler, E. Gebrerufael, A. Günther, H. Krutsch, **J. Langhammer**, S. Reinhardt, **R. Roth**, C. Stumpf, R. Trippel, K. Vobig, R. Wirth

Institut für Kernphysik, TU Darmstadt

- **P. Navrátil**

TRIUMF Vancouver, Canada

- J. Vary, P. Maris

Iowa State University, USA

- S. Quaglioni

LLNL Livermore, USA

- P. Piecuch

Michigan State University, USA

- H. Hergert

Ohio State University, USA

- P. Papakonstantinou

IPN Orsay, F

- C. Forssén

Chalmers University, Sweden

- H. Feldmeier, T. Neff

GSI Helmholtzzentrum



Deutsche  
Forschungsgemeinschaft

**DFG**



**LOEWE** – Landes-Offensive  
zur Entwicklung Wissenschaftlich-  
ökonomischer Exzellenz



Bundesministerium  
für Bildung  
und Forschung

