

# Coupled-Cluster Calculations of Medium-Mass Nuclei

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UNIVERSITÄT  
DARMSTADT

# From QCD to Nuclear Structure

**Nuclear Structure**

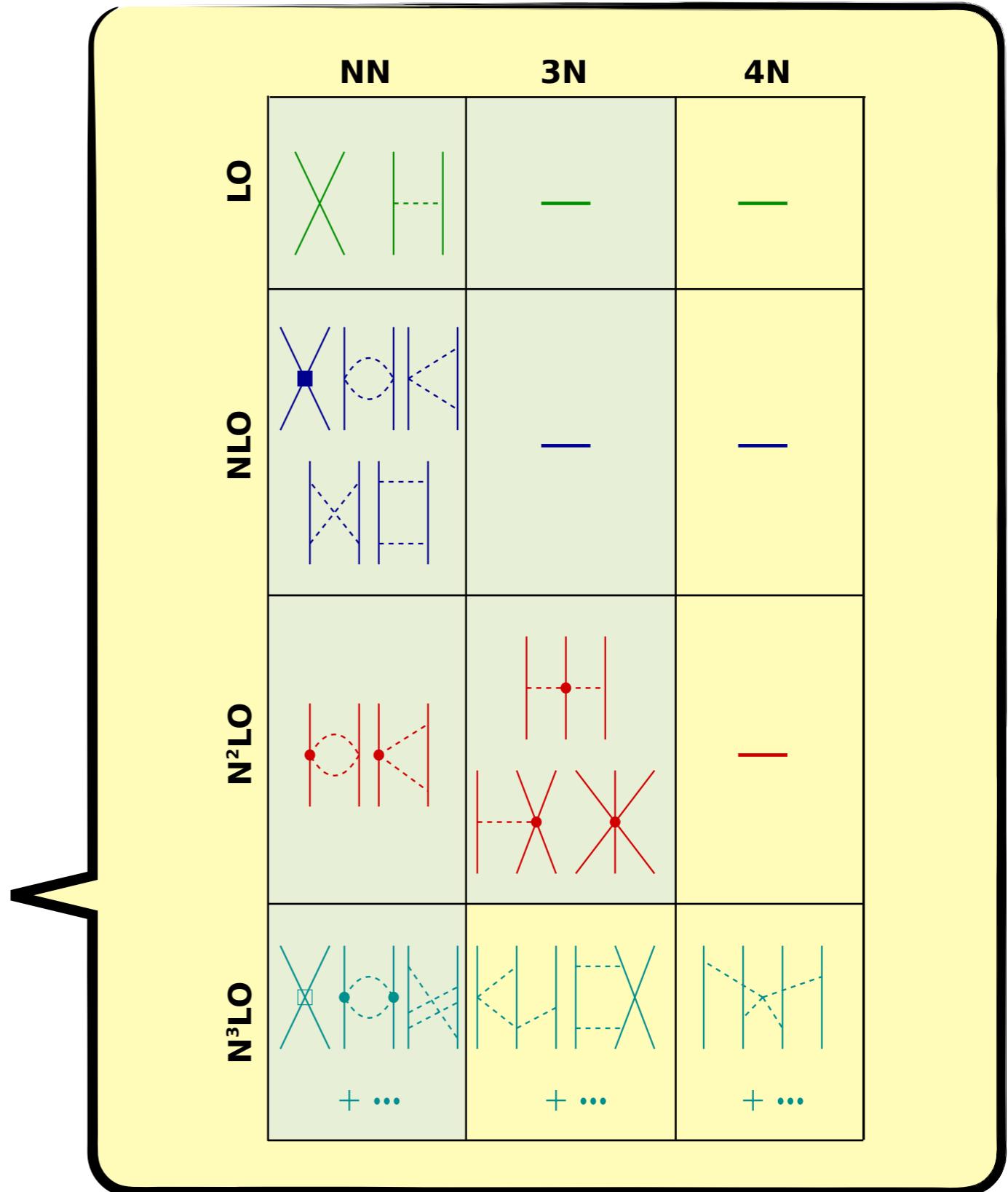
**Low-Energy QCD**

# From QCD to Nuclear Structure

## Nuclear Structure

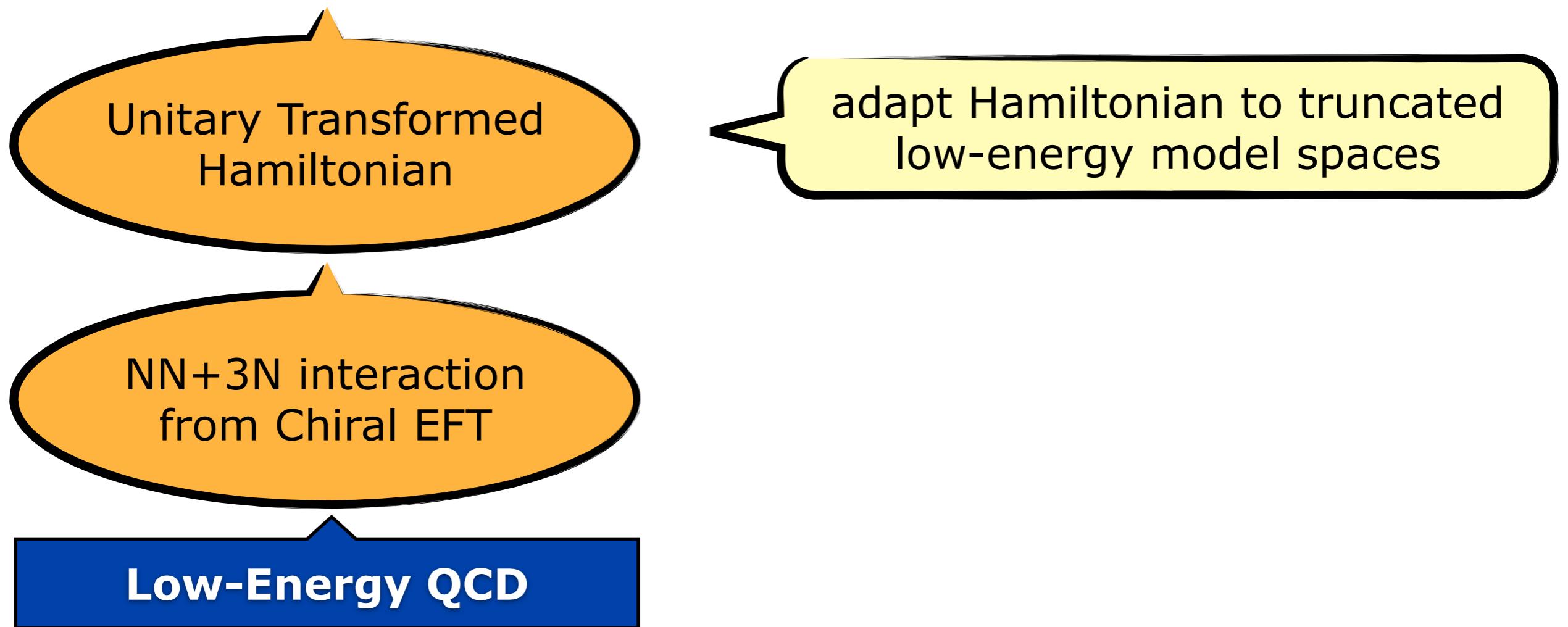
NN+3N interaction  
from Chiral EFT

Low-Energy QCD



# From QCD to Nuclear Structure

## Nuclear Structure



# From QCD to Nuclear Structure

## Nuclear Structure

Exact & Approx. Many-  
Body Methods

Unitary Transformed  
Hamiltonian

NN+3N interaction  
from Chiral EFT

## Low-Energy QCD

- ab initio solution of the manybody problem for light & medium-mass nuclei (NCSM, CC)
- controlled approximations for heavier nuclei (HF & MBPT)
- all rely on restricted model spaces & benefit from unitary transformations

# Uncertainty Summary

# Similarity Renormalization Group

E. Jurgenson et al. --- Phys. Rev. Lett. 103, 082501 (2009)

R. Roth et al. --- Phys. Rev. Lett. 107, 072501 (2011)

# Similarity Renormalization Group

continuous transformation driving  
**Hamiltonian to band-diagonal form**  
with respect to a chosen basis

- **unitary transformation** of Hamiltonian (and other observables)

$$\tilde{H}_\alpha = U_\alpha^\dagger H U_\alpha$$

- **evolution equations** for  $\tilde{H}_\alpha$  and  $U_\alpha$  depending on generator  $\eta_\alpha$

$$\frac{d}{d\alpha} \tilde{H}_\alpha = [\eta_\alpha, \tilde{H}_\alpha] \quad \frac{d}{d\alpha} U_\alpha = -U_\alpha \eta_\alpha$$

- **dynamic generator**: commutator with the operator in whose eigenbasis  $H$  shall be diagonalized

$$\eta_\alpha = (2\mu)^2 [T_{\text{int}}, \tilde{H}_\alpha]$$

# Calculations in A-Body Space

- evolution **induces  $n$ -body contributions**  $\tilde{H}_\alpha^{[n]}$  to Hamiltonian

$$\tilde{H}_\alpha = \tilde{H}_\alpha^{[1]} + \tilde{H}_\alpha^{[2]} + \tilde{H}_\alpha^{[3]} + \tilde{H}_\alpha^{[4]} + \dots$$

- truncation of cluster series inevitable - formally destroys unitarity and invariance of energy eigenvalues (independence of  $\alpha$ )

## Three SRG-Evolved Hamiltonians

- **NN only**: start with NN initial Hamiltonian and keep two-body terms only
- **NN+3N-induced**: start with NN initial Hamiltonian and keep two-and induced three-body terms
- **NN+3N-full**: start with NN+3N initial Hamiltonian and keep two-and three-body terms

# Calculations in A-Body Space

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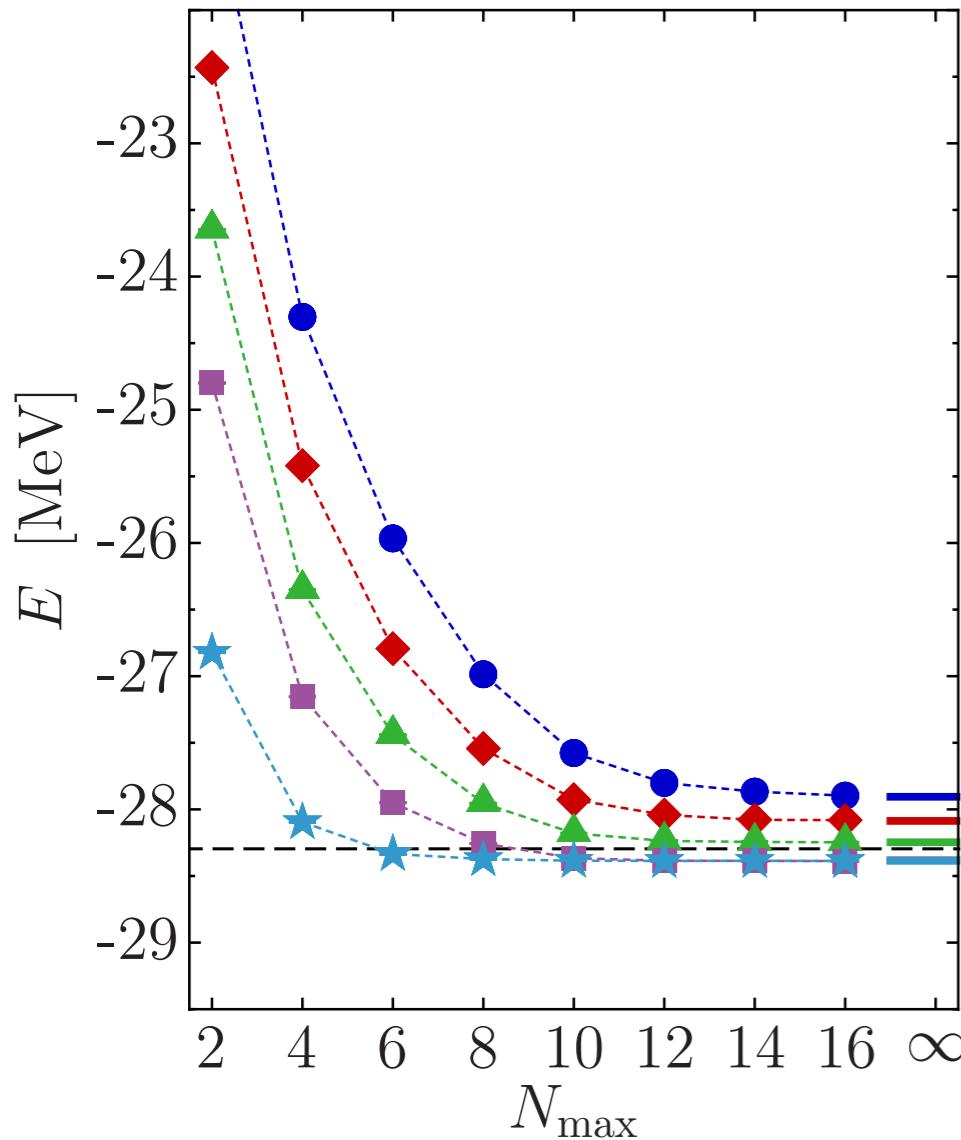
$\alpha$ -variation provides a **diagnostic tool** to assess the invariance of energy eigenvalues (i.e., contributions of omitted many-body interactions)

## Three SRG-Evolved Hamiltonians

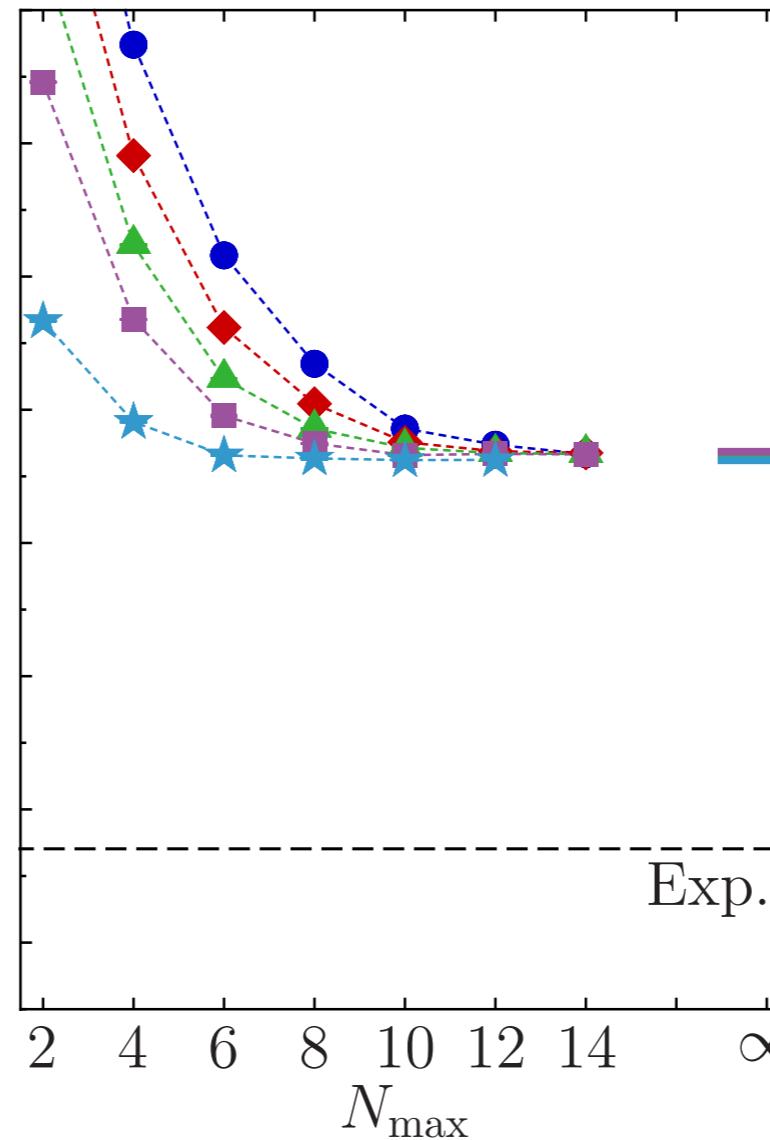
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# $^4\text{He}$ : IT-NCSM Ground-State Energies

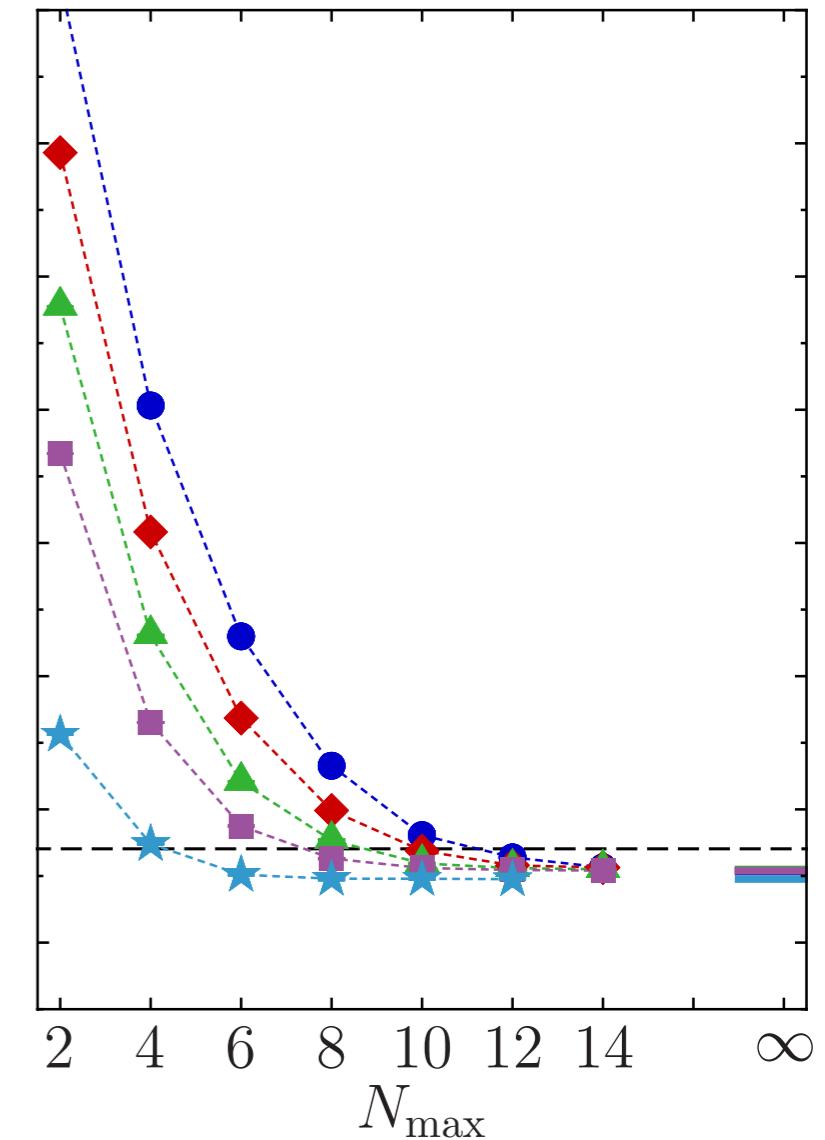
NN-only



NN+3N-induced



NN+3N-full



$\alpha = 0.04 \text{ fm}^4$   
 $\Lambda = 2.24 \text{ fm}^{-1}$



$\alpha = 0.05 \text{ fm}^4$   
 $\Lambda = 2.11 \text{ fm}^{-1}$



$\alpha = 0.0625 \text{ fm}^4$   
 $\Lambda = 2.00 \text{ fm}^{-1}$

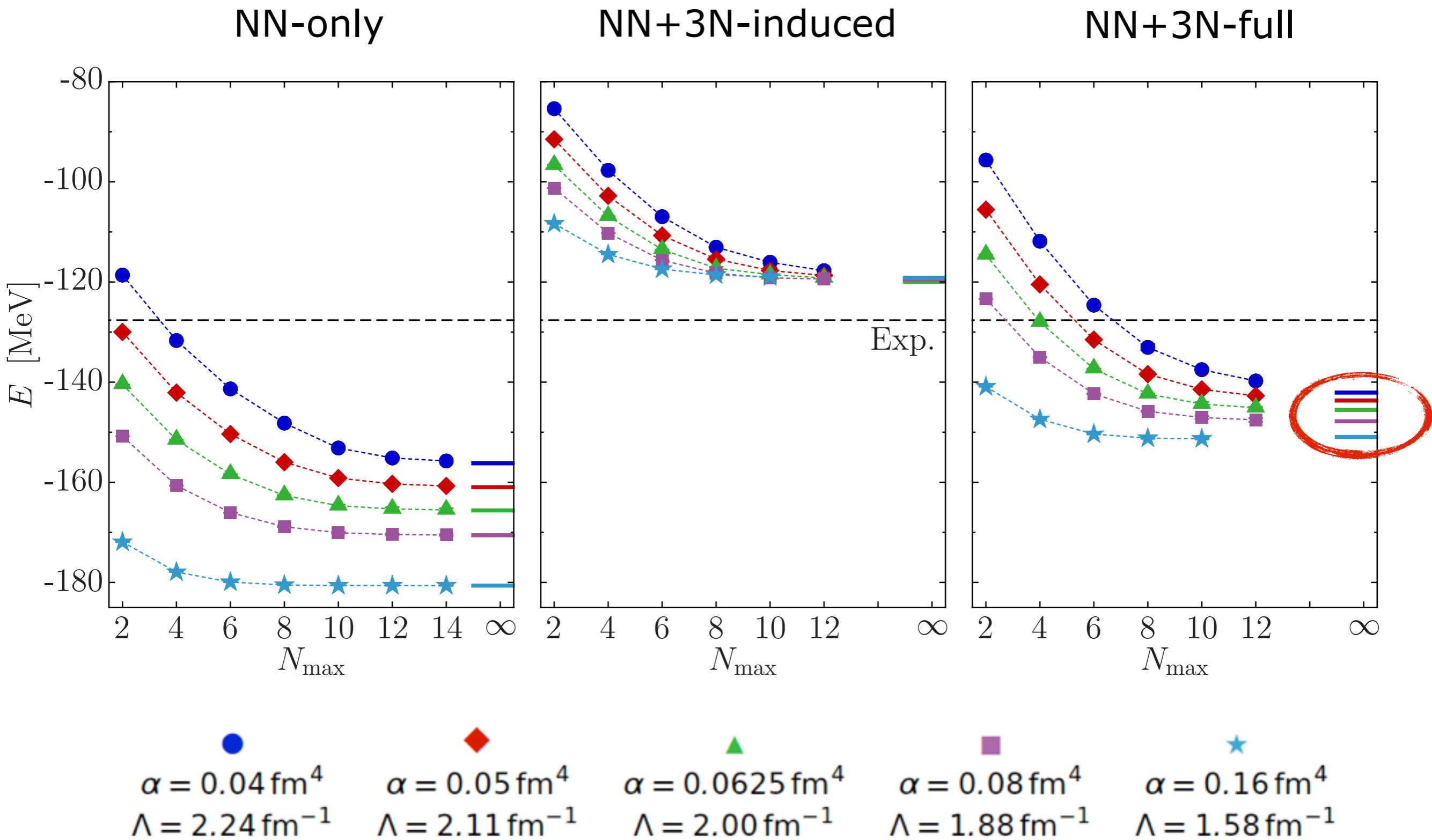


$\alpha = 0.08 \text{ fm}^4$   
 $\Lambda = 1.88 \text{ fm}^{-1}$

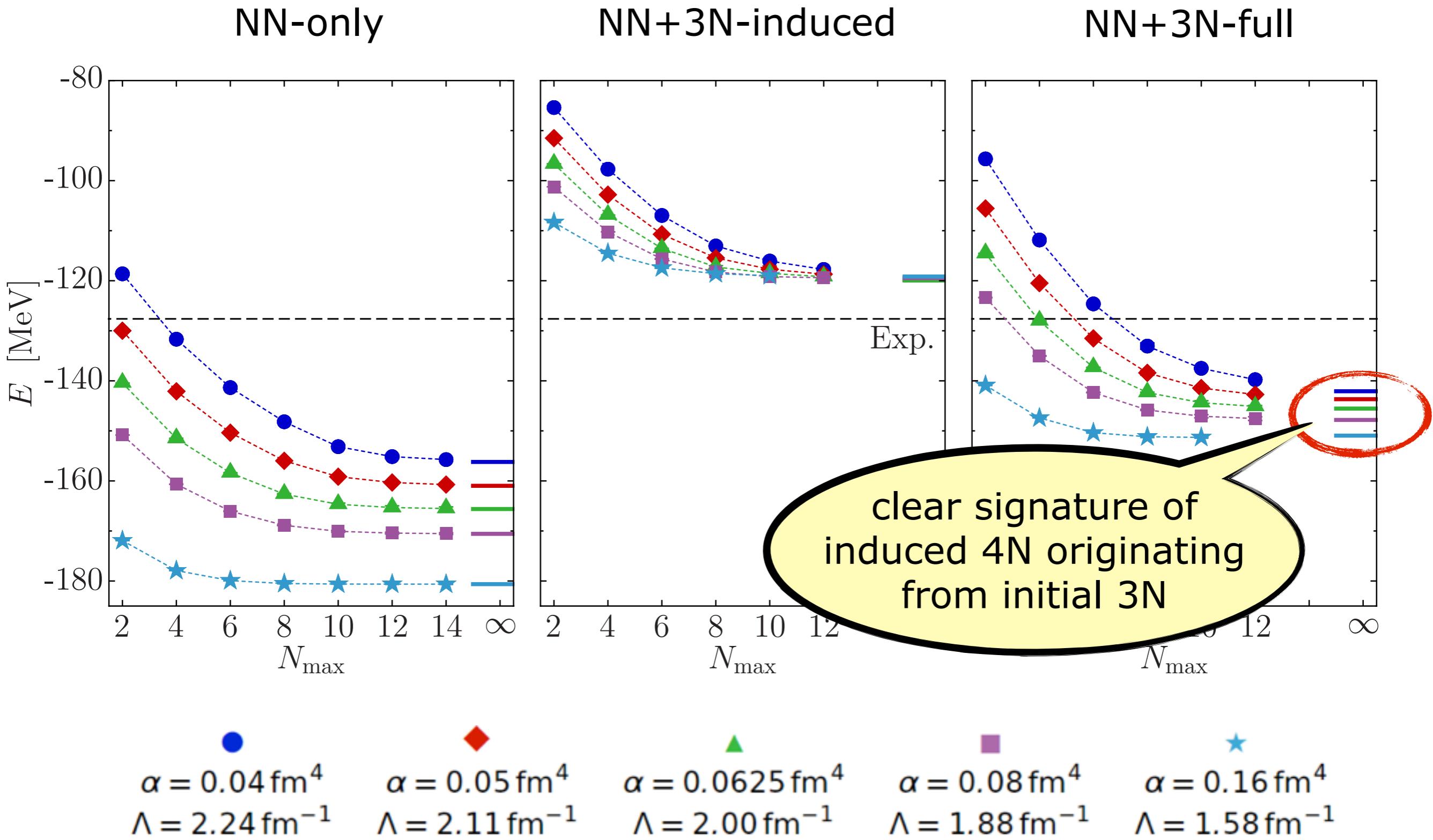


$\alpha = 0.16 \text{ fm}^4$   
 $\Lambda = 1.58 \text{ fm}^{-1}$

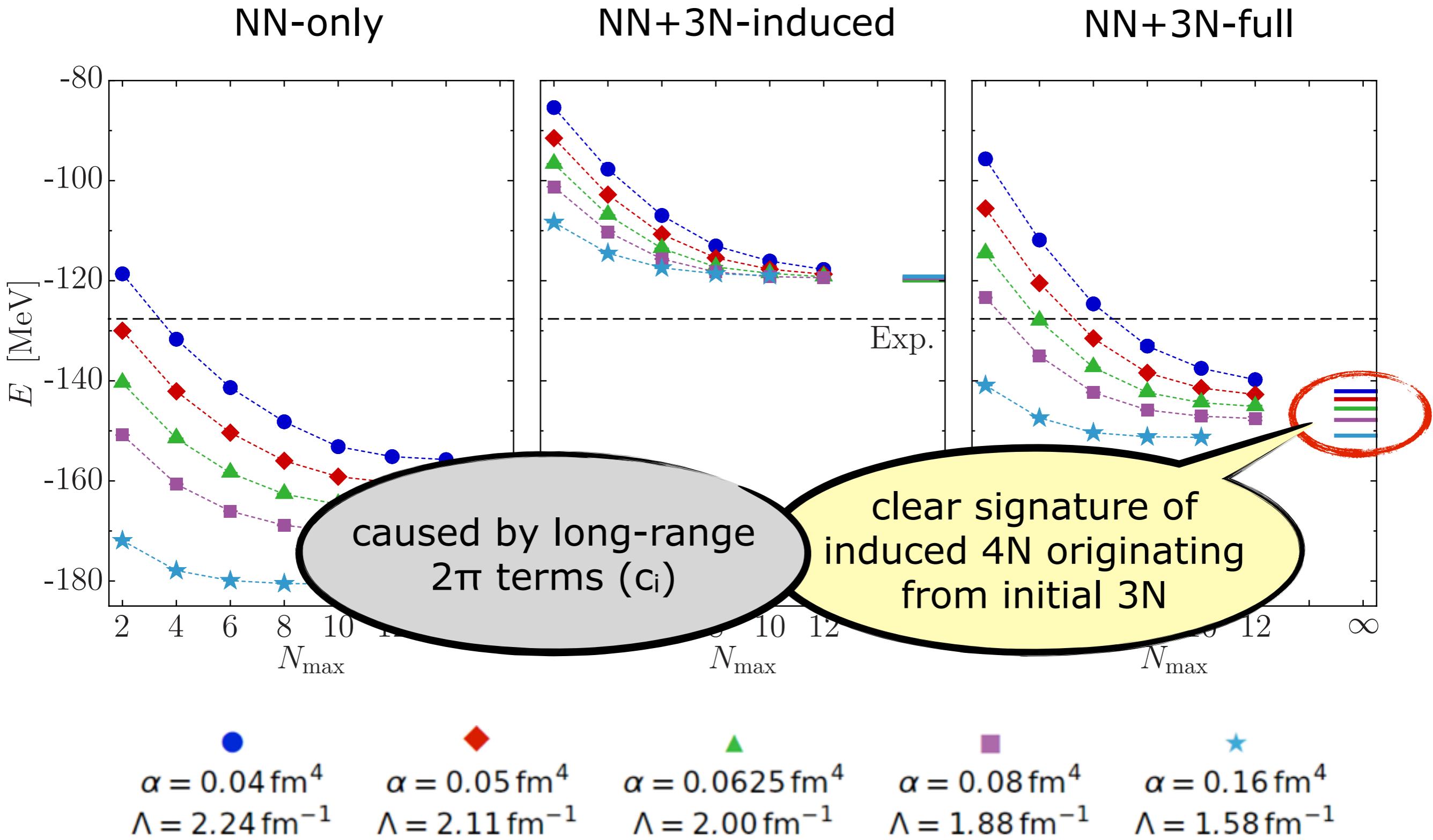
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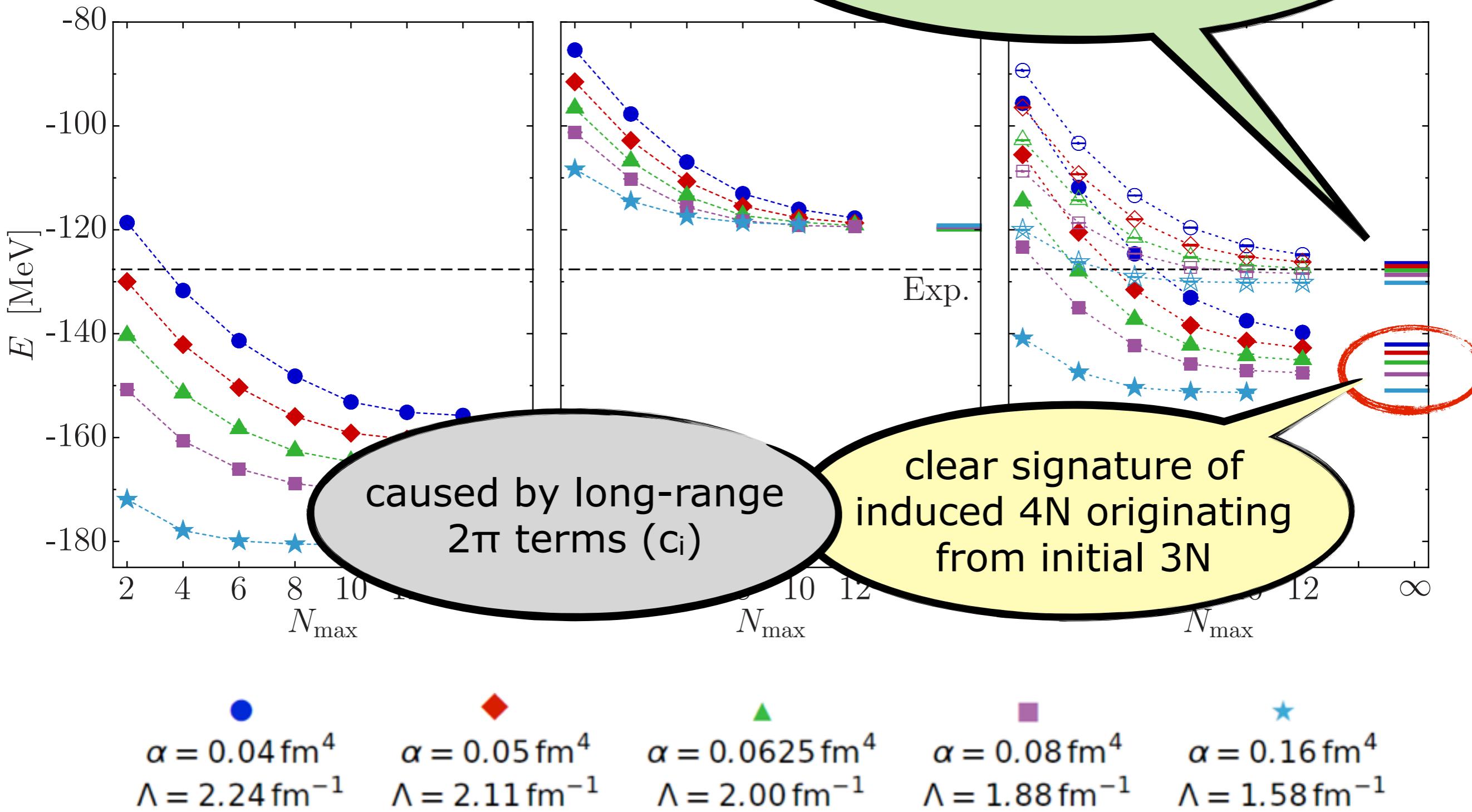


# $^{16}\text{O}$ : IT-NCSM Ground-State

NN-only

NN+3N

3N interaction with 400 MeV cutoff,  $c_E$  fitted to  $^4\text{He}$  ground state

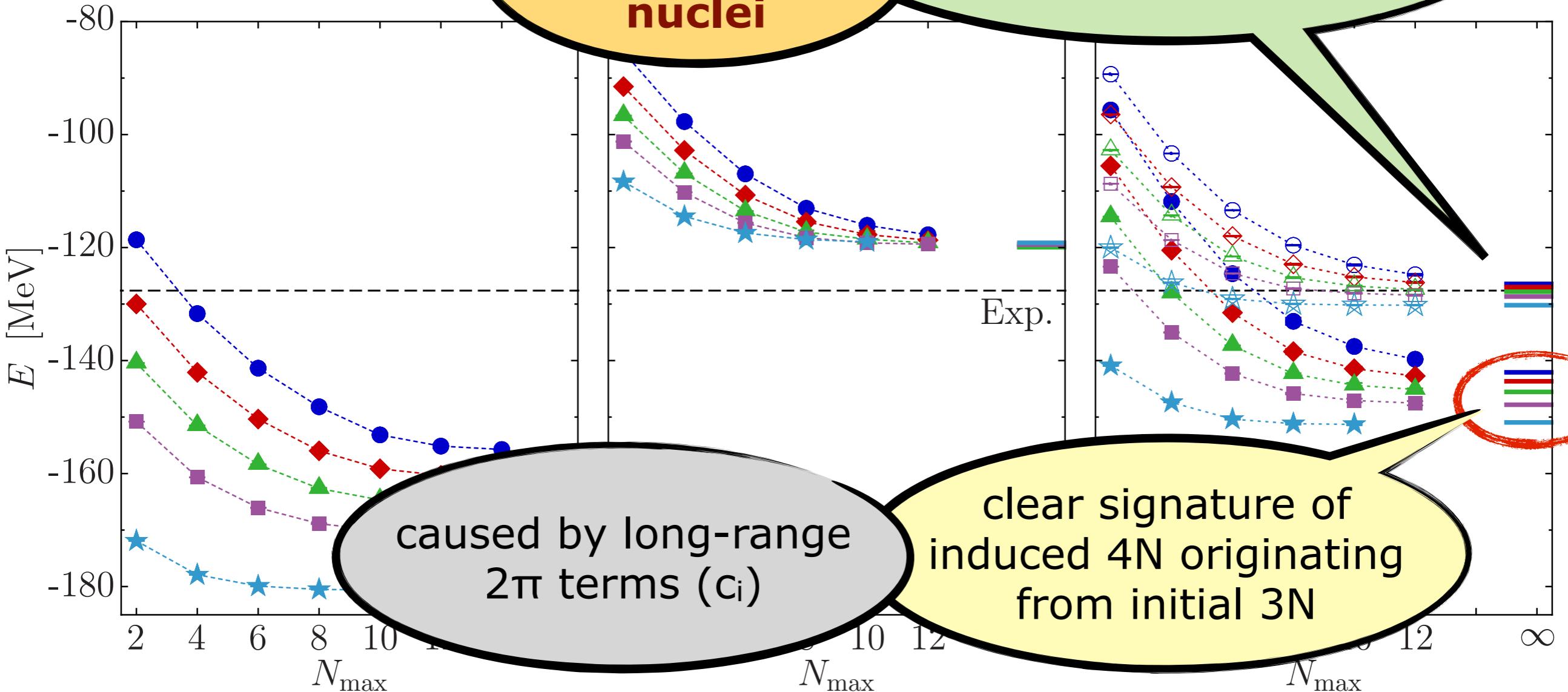


# $^{16}\text{O}$ : IT-NCSM Ground-State

NN-only

choice for  
**medium-mass**  
nuclei

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# Uncertainty Summary

- **Similarity Renormalization Group**

- $\alpha$ -dependence: low-cutoff 3N interaction

# Normal-Ordering Two-Body Approximation

- G. Hagen, T. Papenbrock, D.J. Dean et al. --- Phys. Rev. C 76, 034302 (2007)
- R. Roth, S. Binder, K. Vobig et al. --- Phys. Rev. Lett. 109, 052501(R) (2012)
- S. Binder, J. Langhammer, A. Calci et al. --- Phys. Rev. C 82, 021303 (2013)

# Normal-Ordered 3N Interaction

avoid technical challenge of  
including explicit 3N interactions in  
many-body calculation

- **idea:** write 3N interaction in normal-ordered form with respect to an A-body reference Slater determinant ( $0\hbar\Omega$  state)

$$\begin{aligned}\hat{V}_{3N} &= \sum V_{oooooo}^{3N} \hat{a}_o^\dagger \hat{a}_o^\dagger \hat{a}_o^\dagger \hat{a}_o \hat{a}_o \hat{a}_o \\ &= W^{0B} + \sum W_{oo}^{1B} \hat{a}_o^\dagger \hat{a}_o + \sum W_{oooo}^{2B} \hat{a}_o^\dagger \hat{a}_o^\dagger \hat{a}_o \hat{a}_o \\ &\quad + \sum W_{oooooo}^{3B} \hat{a}_o^\dagger \hat{a}_o^\dagger \hat{a}_o^\dagger \hat{a}_o \hat{a}_o \hat{a}_o\end{aligned}$$

- **Normal-Ordering Two-Body Approximation (NO2B):** discard residual normal-ordered 3B part  $W^{3B}$

# Normal-Ordered 3N Interaction

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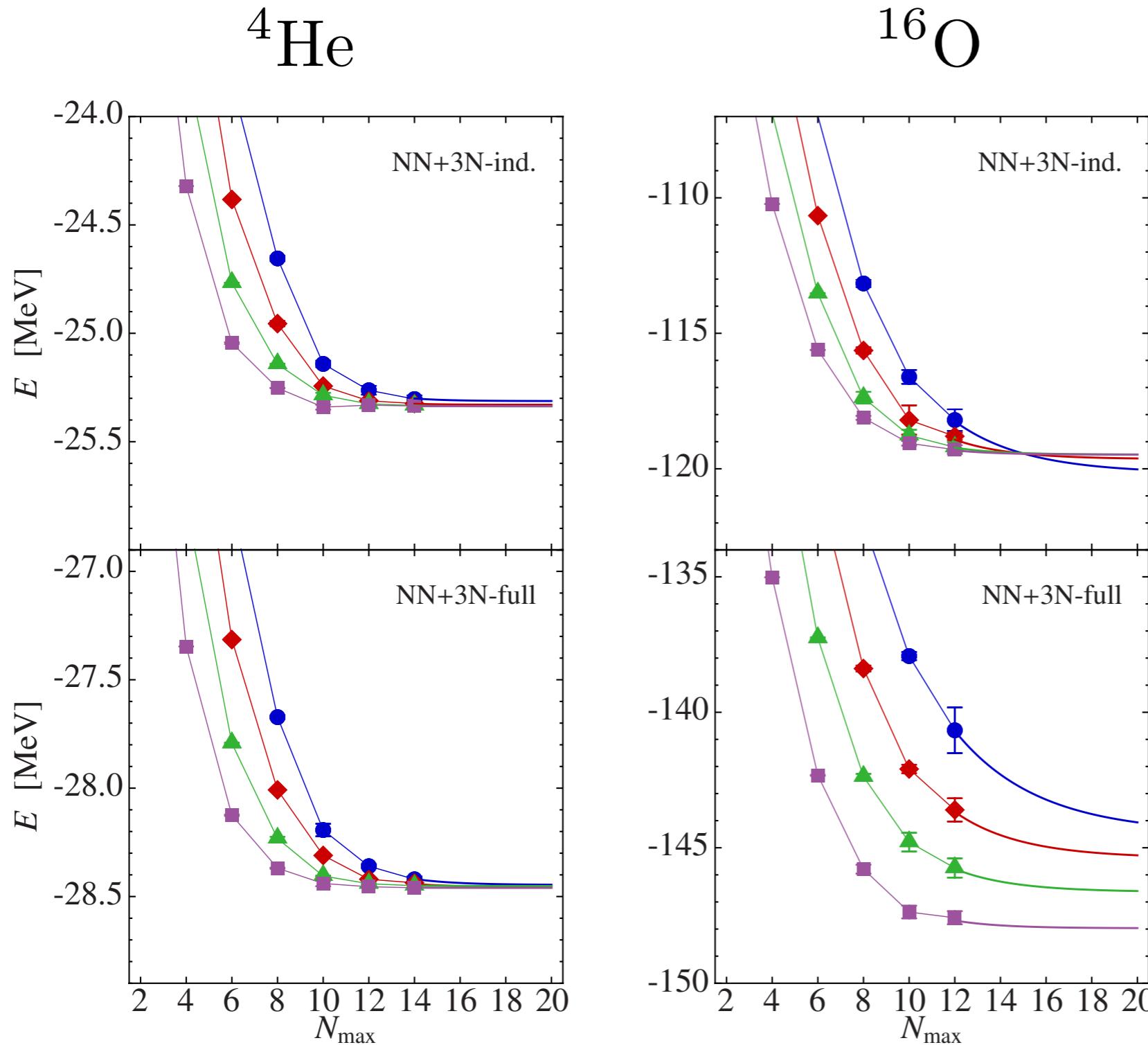
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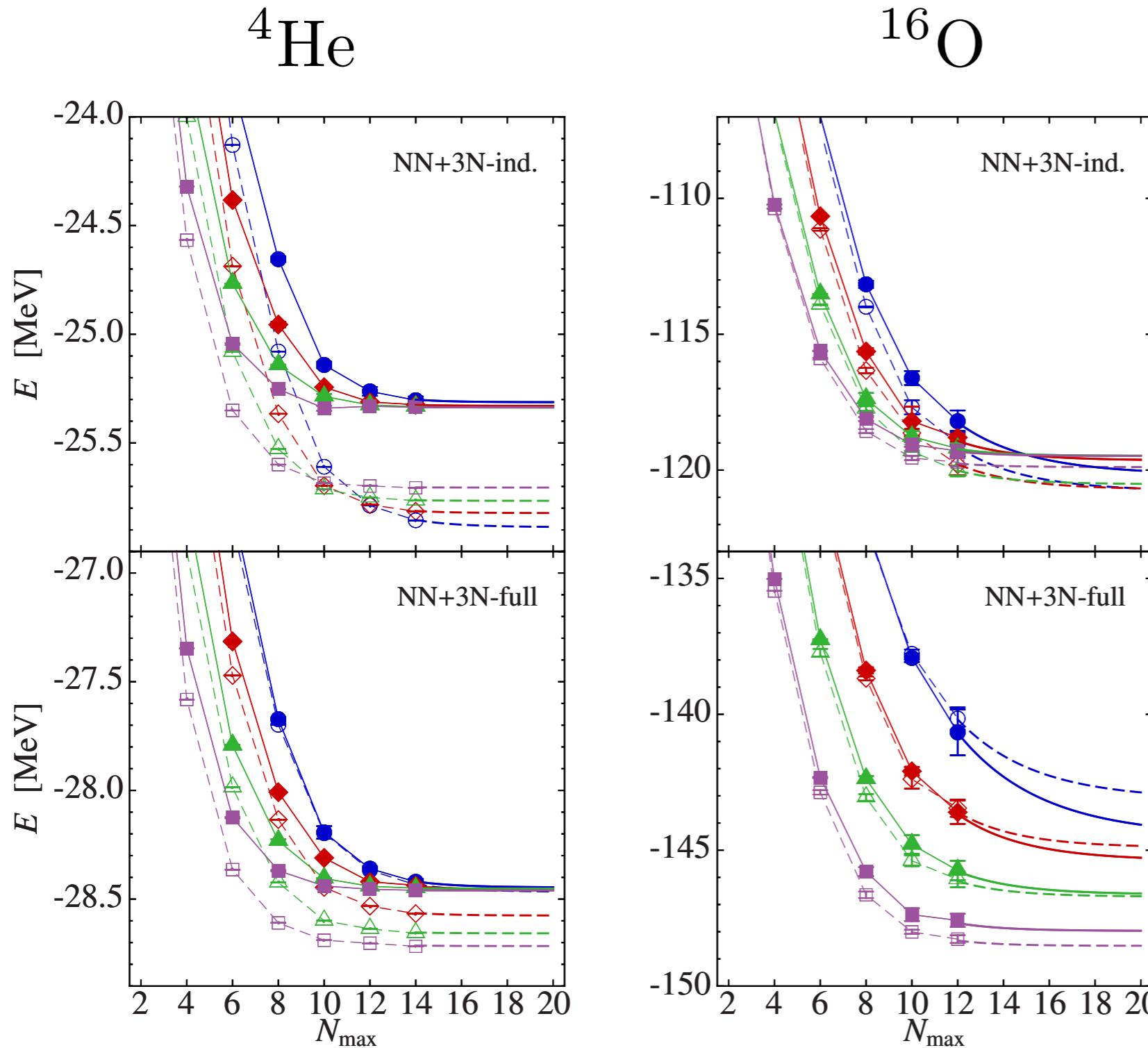
The term  $W_{oooooo}^{3B}$  is crossed out with a red X.

- **Normal-Ordering Two-Body Approximation (NO2B):** discard residual normal-ordered 3B part  $W^{3B}$

# Benchmark of Normal-Ordered 3N



# Benchmark of Normal-Ordered 3N



- compare IT-NCSM results with explicit 3N to normal-ordered 3N truncated at the 2B level (NO2B)
- typical deviations up to 2% for  $^4\text{He}$  and 1% for  $^{16}\text{O}$

$\bullet$  /  $\circ$   $\alpha = 0.04 \text{ fm}^4$   
 $\bullet$  /  $\diamond$   $\alpha = 0.05 \text{ fm}^4$   
 $\triangle$  /  $\triangle$   $\alpha = 0.0625 \text{ fm}^4$   
 $\blacksquare$  /  $\square$   $\alpha = 0.08 \text{ fm}^4$

$$\hbar\Omega = 20 \text{ MeV}$$

# Uncertainty Summary

- **Similarity Renormalization Group**

- $\alpha$ -dependence: low-cutoff 3N interaction

- **Normal-Ordering 2B Approximation**

- error in light nuclei: 1-2%

# Coupled Cluster Method

G. Hagen, T. Papenbrock, D.J. Dean, M. Hjorth-Jensen --- Phys. Rev. C 82, 034330 (2010)

G. Hagen, T. Papenbrock, D.J. Dean et al. --- Phys. Rev. C 76, 034302 (2007)

# Coupled Cluster Approach

- **exponential Ansatz** for wave operator

$$|\Psi\rangle = \hat{\Omega}|\Phi_0\rangle = e^{\hat{T}_1 + \hat{T}_2 + \dots + \hat{T}_A} |\Phi_0\rangle$$

- $\hat{T}_n$  : **nph excitation** (cluster) operators

$$\hat{T}_n = \frac{1}{(n!)^2} \sum_{\substack{ijk\dots \\ abc\dots}} t_{ijk\dots}^{abc\dots} \{ \hat{a}_a^\dagger \hat{a}_b^\dagger \hat{a}_c^\dagger \dots \hat{a}_k \hat{a}_j \hat{a}_i \}$$

- **similarity-transformed** Schroedinger equation

$$\hat{\mathcal{H}}|\Phi_0\rangle = \Delta E|\Phi_0\rangle, \quad \hat{\mathcal{H}} = e^{-\hat{T}} \hat{H}_N e^{\hat{T}}$$

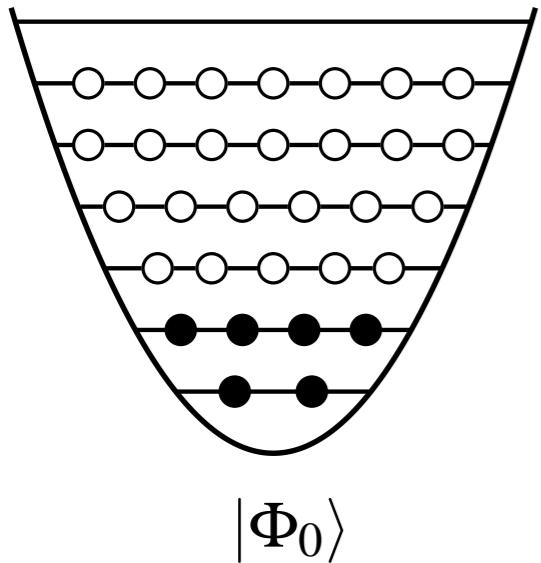
- $\hat{\mathcal{H}}$  : non-Hermitian **effective Hamiltonian**

# CCSD

- **CCSD**: truncate  $\hat{T}$  at the **2p2h** level,  $\hat{T} = \hat{T}_1 + \hat{T}_2$

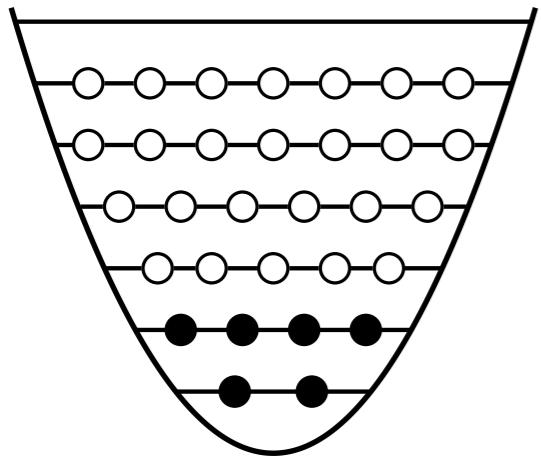
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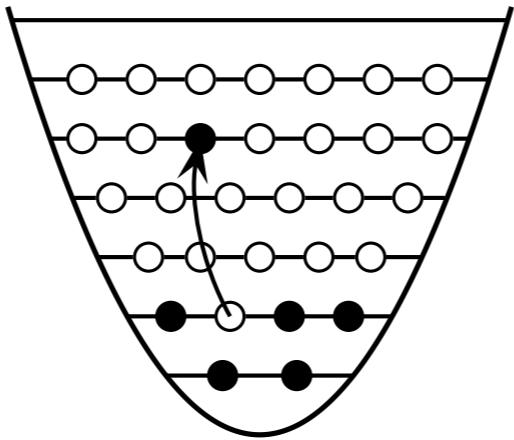


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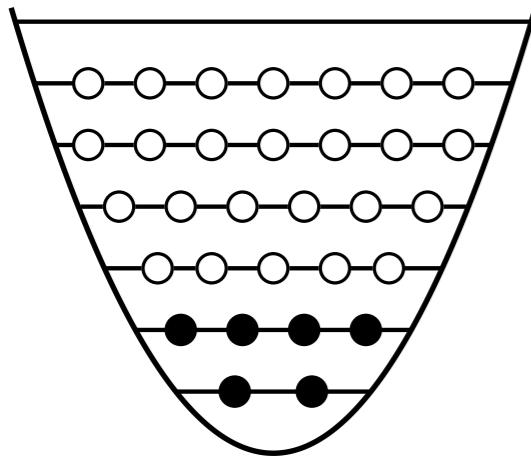
$|\Phi_0\rangle$



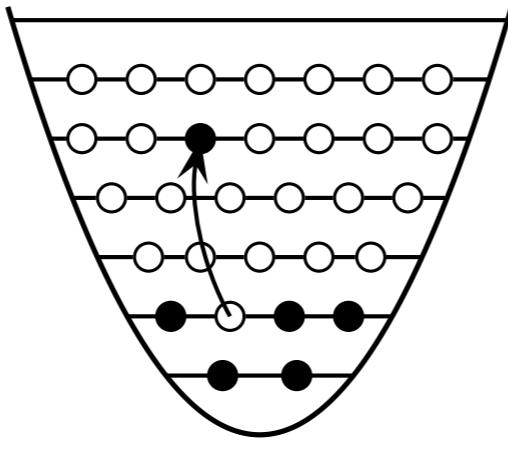
$\hat{T}_1 |\Phi_0\rangle$

# CCSD

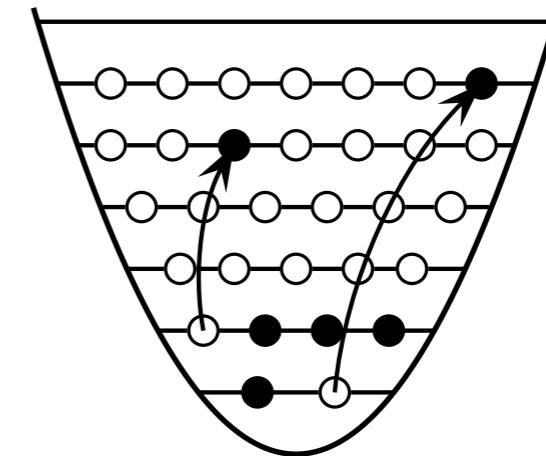
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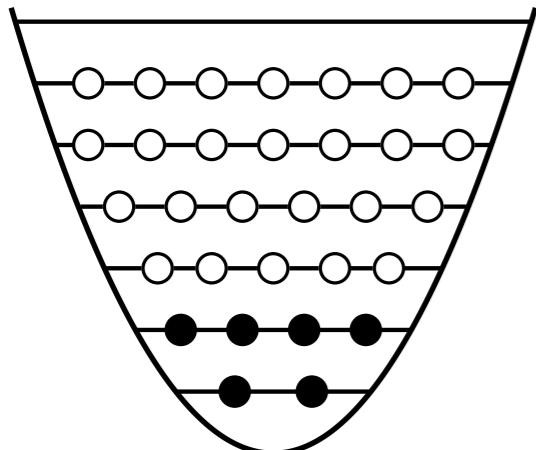
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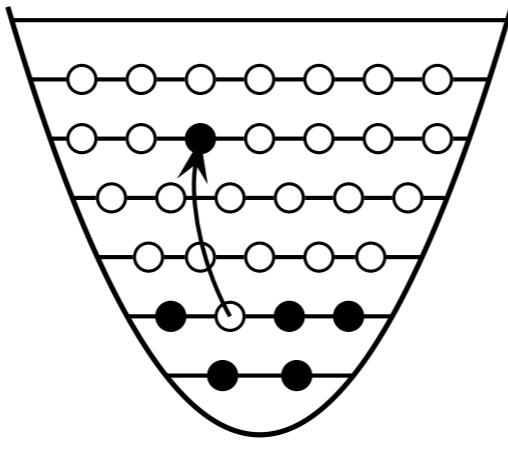
$\hat{T}_2 |\Phi_0\rangle$

# CCSD

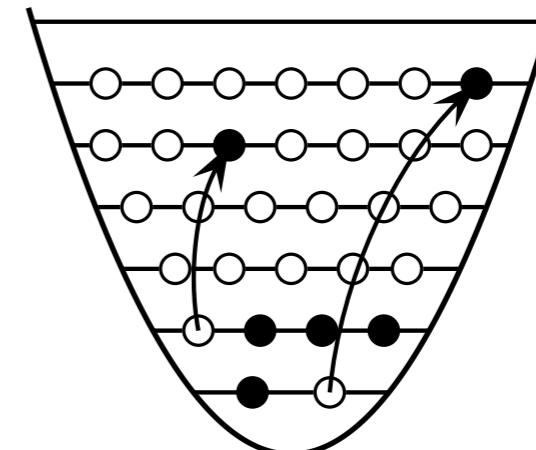
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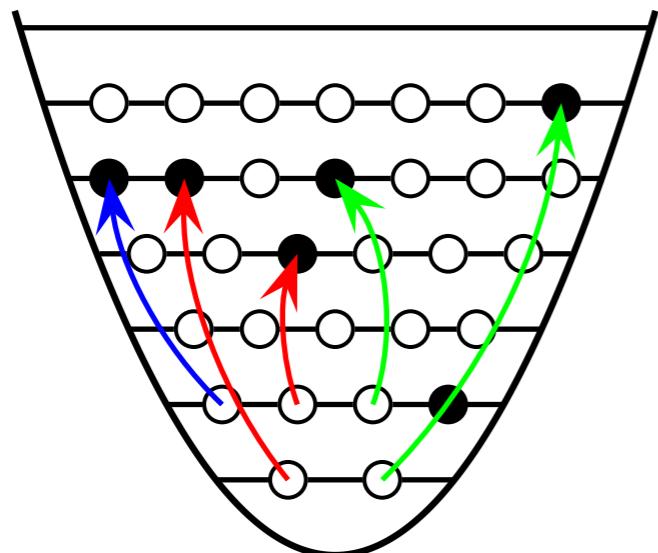
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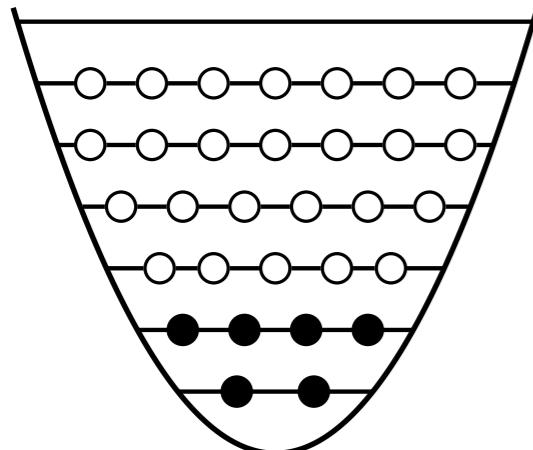
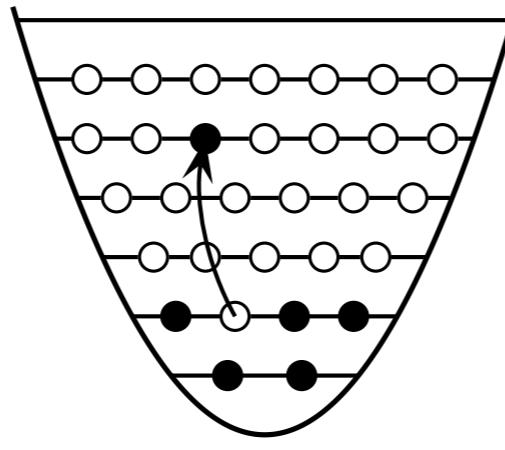
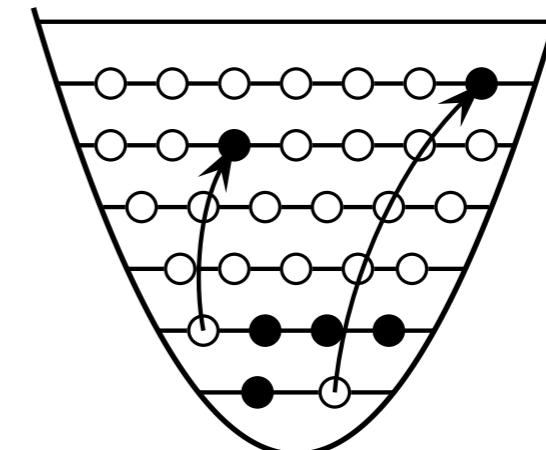
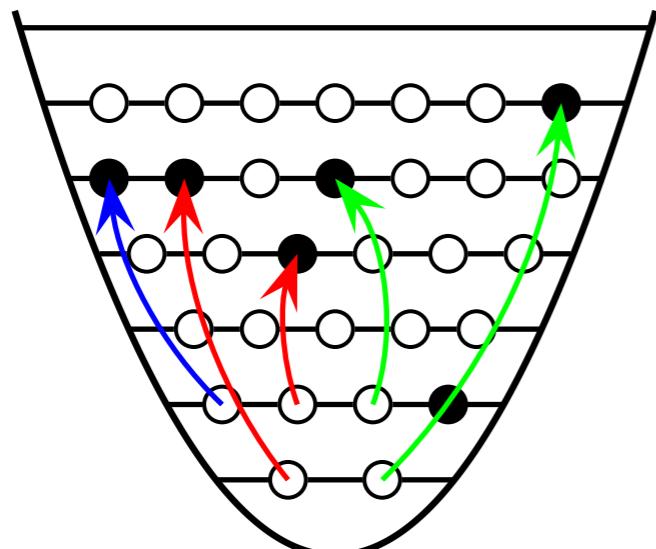
$\hat{T}_2 |\Phi_0\rangle$



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 $|\Phi_0\rangle$ 

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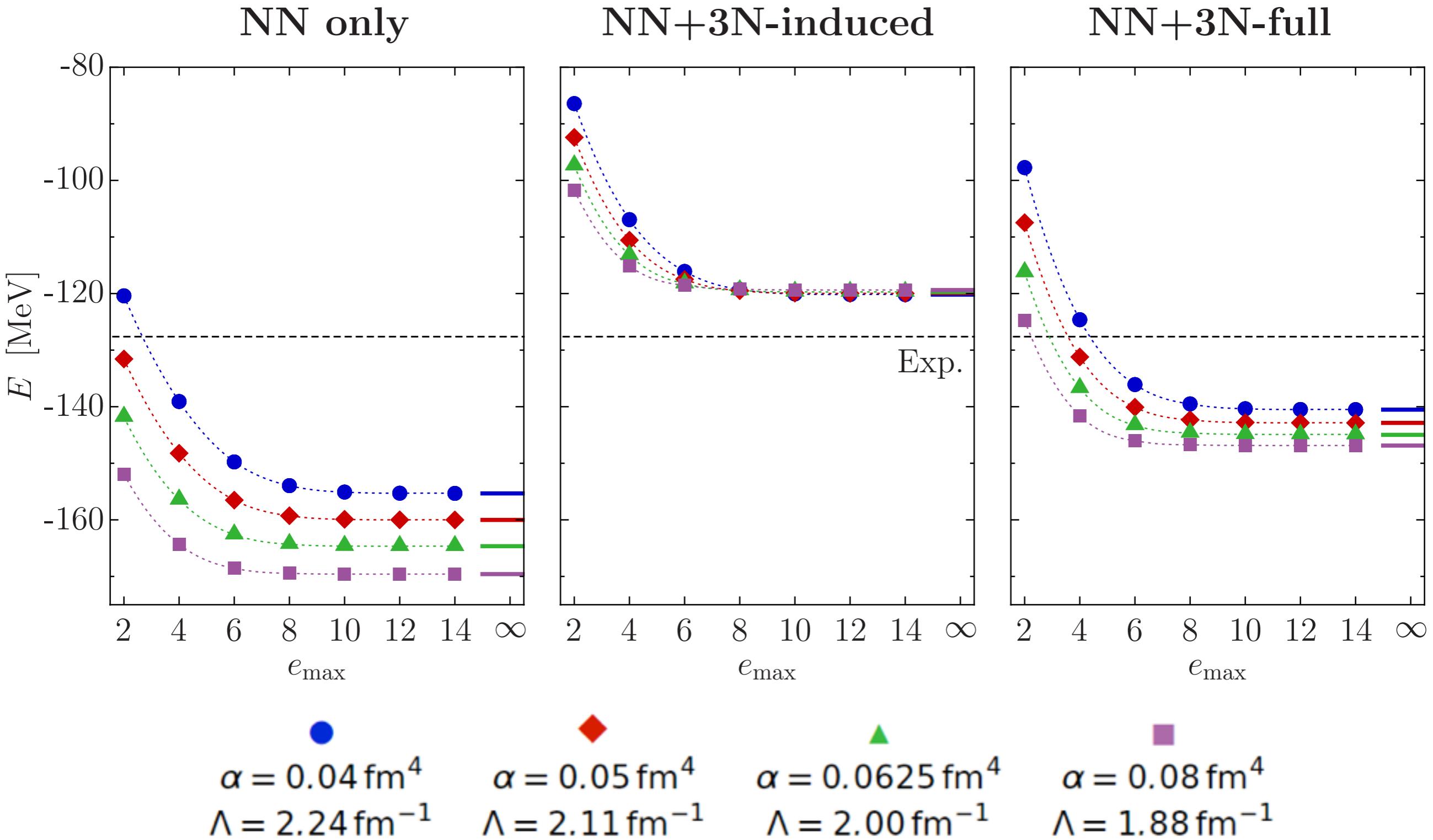
- CCSD equations

$$\Delta E_{\text{CCSD}} = \langle \Phi_0 | \hat{\mathcal{H}} | \Phi_0 \rangle$$

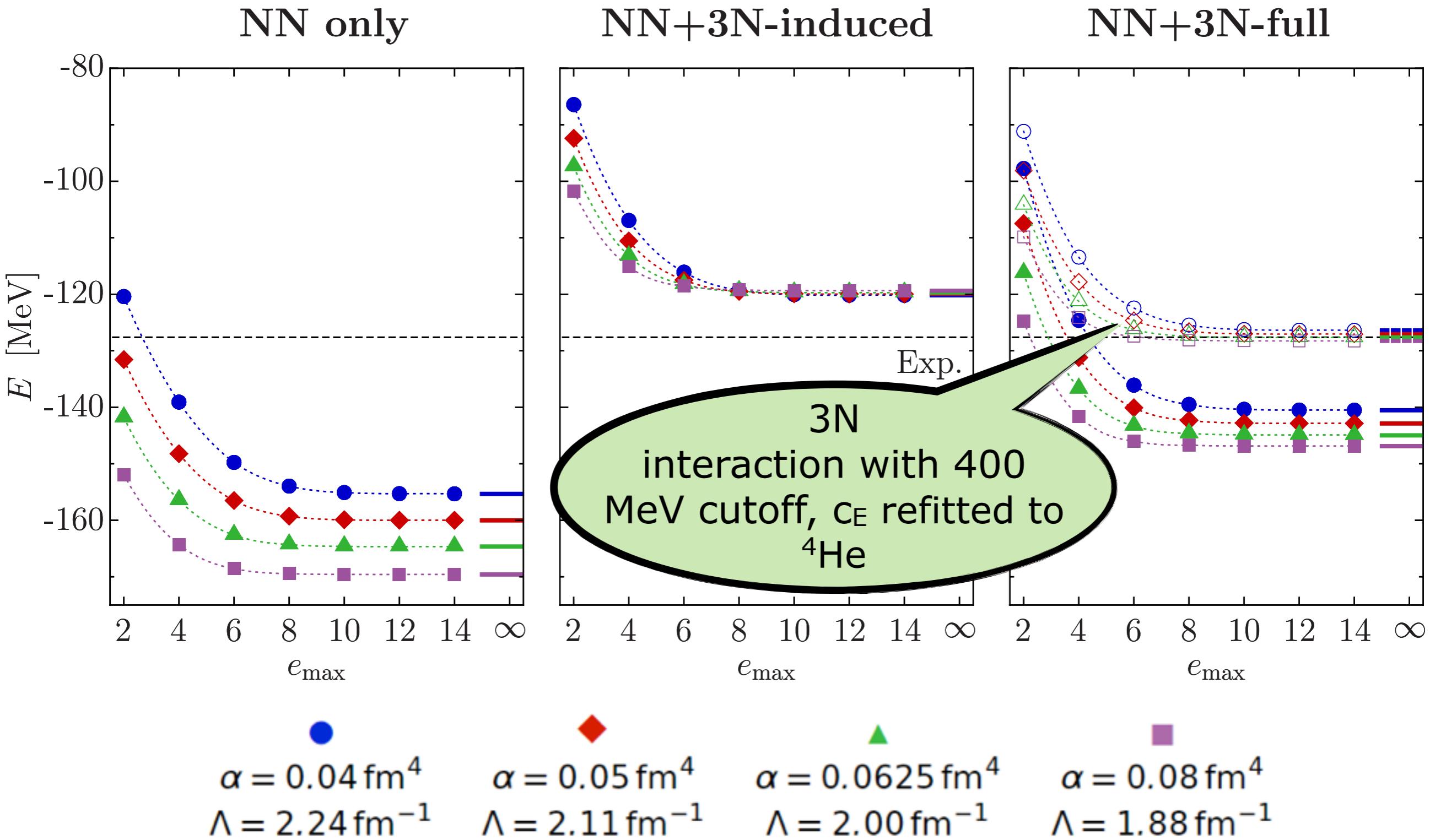
$$0 = \langle \Phi_i^a | \hat{\mathcal{H}} | \Phi_0 \rangle , \quad \forall a, i$$

$$0 = \langle \Phi_{ij}^{ab} | \hat{\mathcal{H}} | \Phi_0 \rangle , \quad \forall a, b, i, j$$

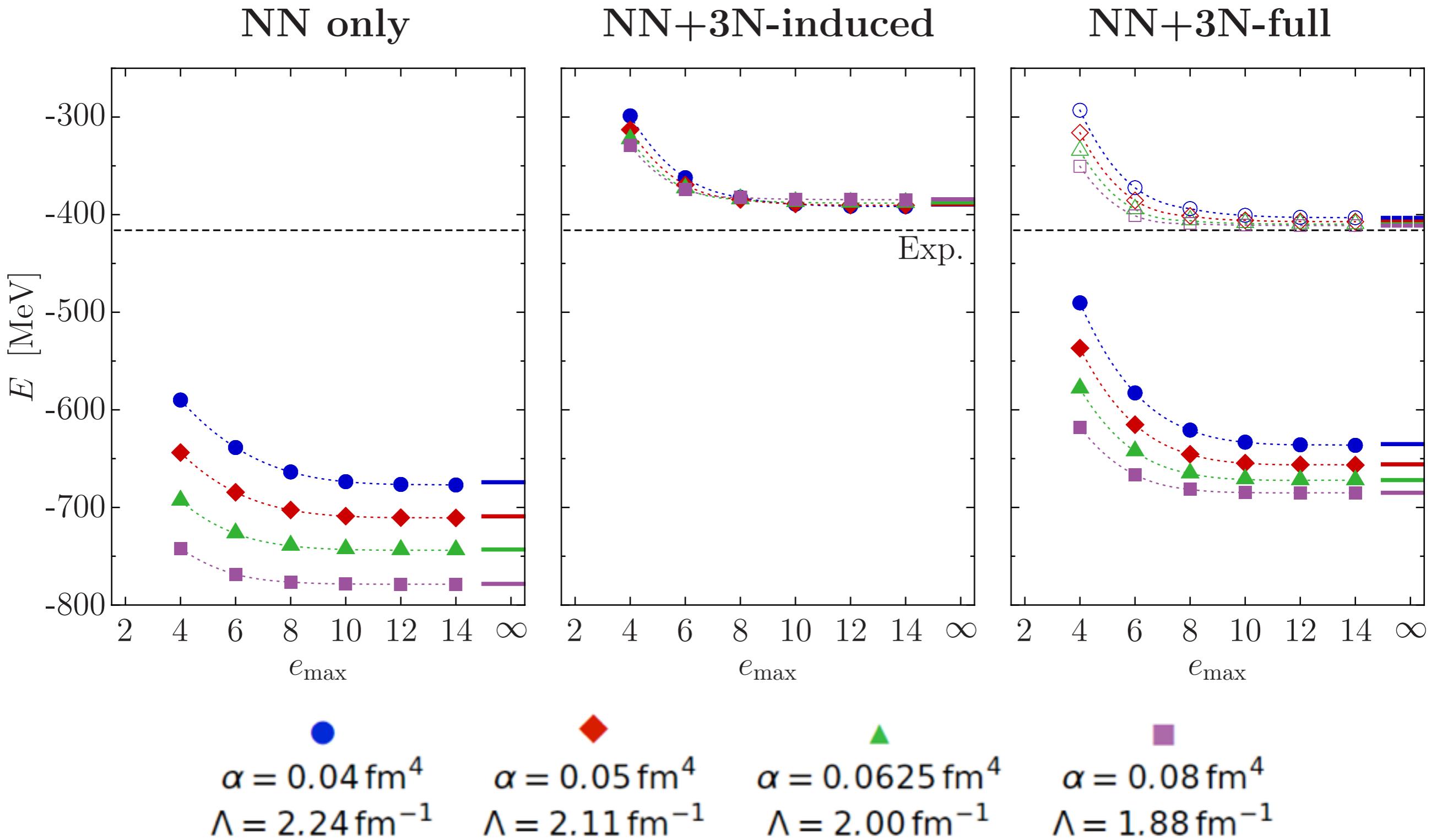
# $^{16}\text{O}$ : CCSD with 3N<sub>NO2B</sub>



# $^{16}\text{O}$ : CCSD with 3N<sub>NO2B</sub>



# $^{48}\text{Ca}$ : CCSD with 3N<sub>NO2B</sub>



# $\Lambda$ CCSD(T)

A.G. Taube, R. J. Bartlett, The Journal of Chemical Physics 128, 044110 (2008)

A.G. Taube, R. J. Bartlett, The Journal of Chemical Physics 128, 044111 (2008)

G. Hagen, T. Papenbrock, D.J. Dean, M. Hjorth-Jensen --- Phys. Rev. C 82, 034330 (2010)

# $\Lambda$ CCSD(T) - Improving upon CCSD

- **CCSDT**, i.e.,  $\hat{T} = \hat{T}_1 + \hat{T}_2 + \hat{T}_3$ , **expensive**
- solution of the Coupled-Cluster  $\Lambda$  equations give **a posteriori fourth-order correction** to CC energy functional

$$\mathcal{E} = \langle \Phi_0 | (1 + \hat{\Lambda}) \hat{\mathcal{H}} | \Phi_0 \rangle_C$$

due to **triple excitations** (non-iterative)

$$\Delta E_{\Lambda\text{CCSD(T)}} = \frac{1}{(3!)^2} \sum_{\substack{abc \\ ijk}} \tilde{\lambda}_{abc}^{ijk} \frac{1}{\epsilon_{ijk}^{abc}} \tilde{t}_{ijk}^{abc}$$

- **however:** only correction to energy, not **wavefunction**

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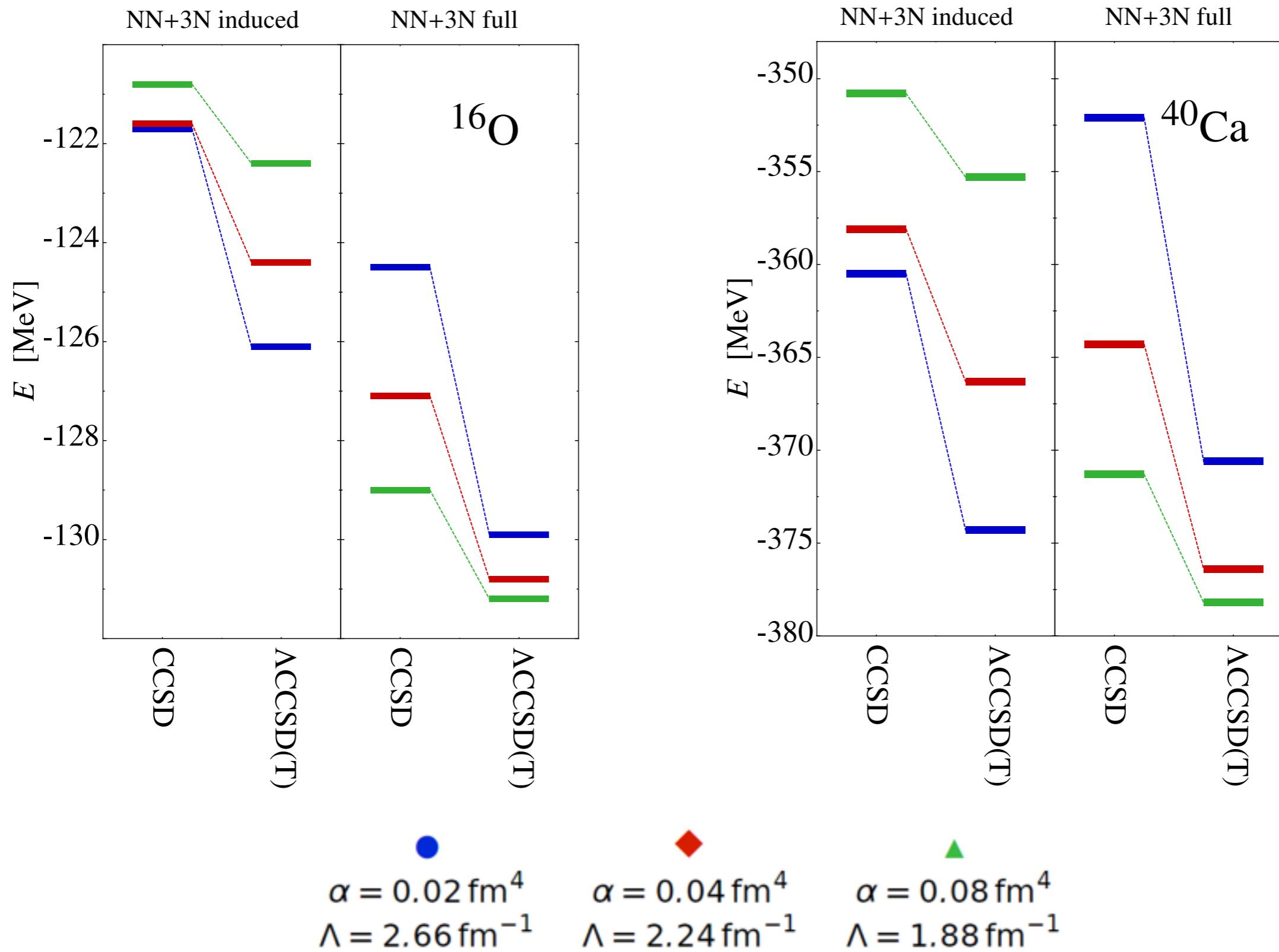
due to **triple excitations** (non-iterative)

indicator of  
**convergence** of cluster  
expansion

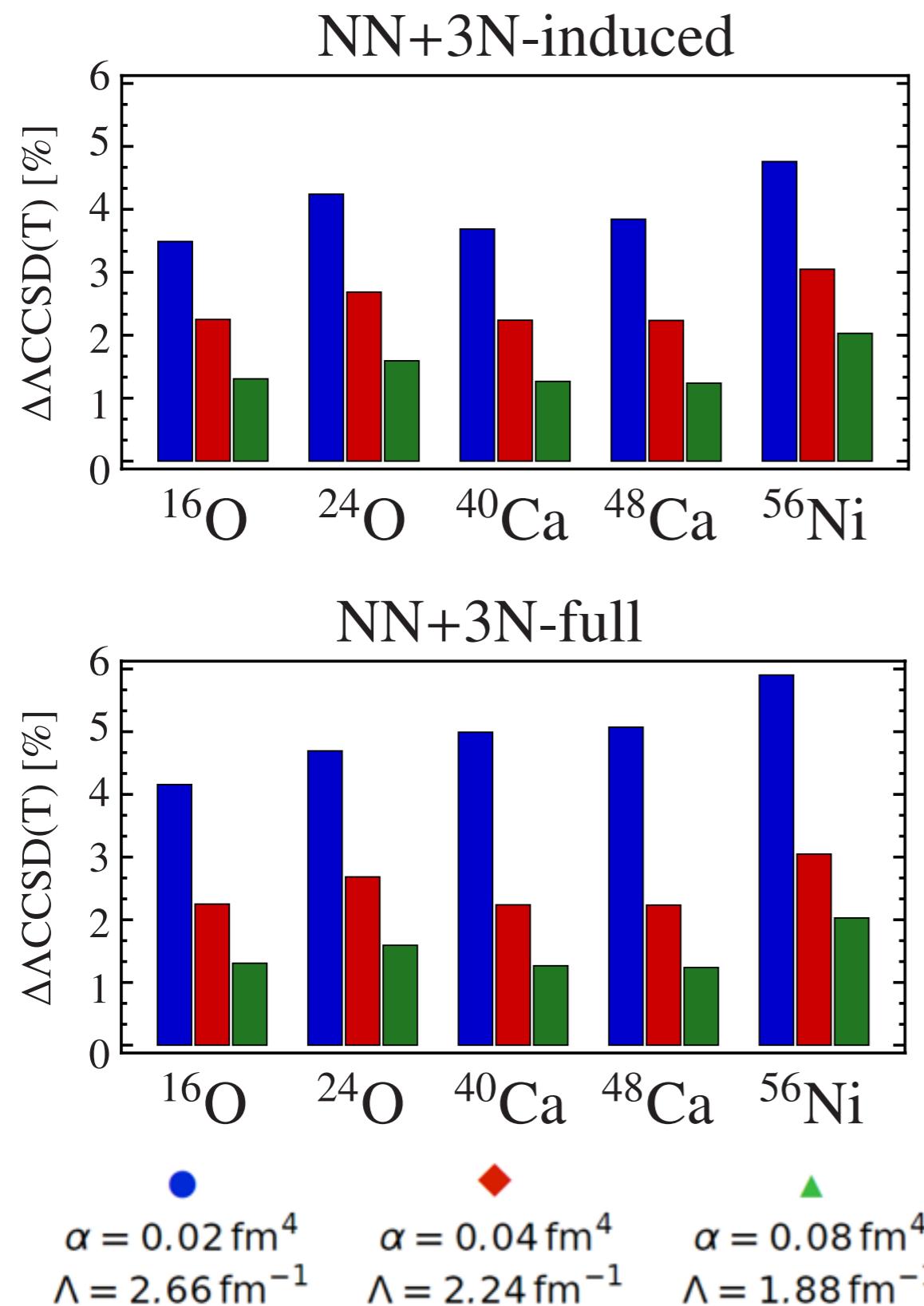
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- **however:** only correction to energy, not **wavefunction**

# CCSD<sub>NO2B</sub> vs. ΛCCSD(T)<sub>NO2B</sub>



# $\text{CCSD}_{\text{NO2B}}$ vs. $\Lambda\text{CCSD}(\text{T})_{\text{NO2B}}$



change in  
g.s. energy

- inclusion of **triples excitations mandatory** (up to 6% more binding for heavier nuclei)
  - cluster truncation works better for **softer interactions**
  - cluster truncation is source of **flow-parameter dependence**
  - $\Lambda\text{CCSD}(\text{T})$  is correction for **energy**, not **wavefunction**
- ⇒ **hard interactions:**  
**CCSD** wavefunction sufficient?

# Uncertainty Summary

## ● Similarity Renormalization Group

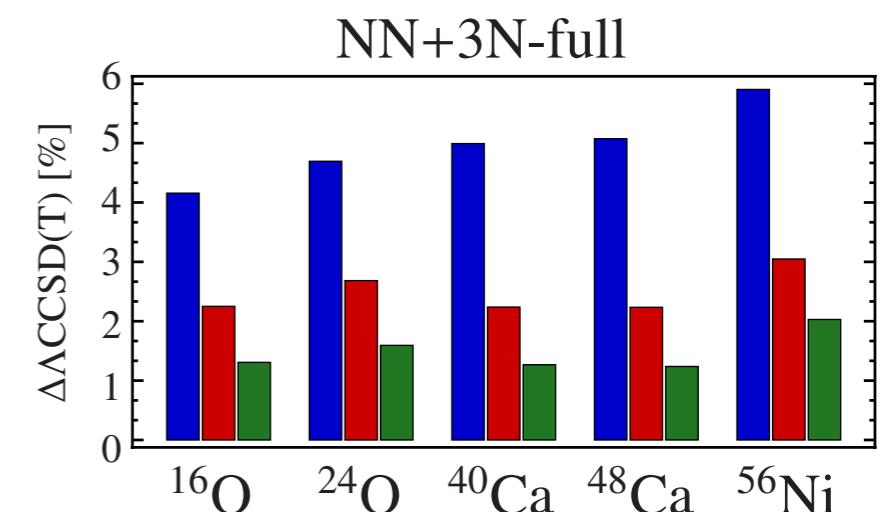
- $\alpha$ -dependence: low-cutoff 3N interaction

## ● Normal-Ordering 2B Approximation

- error in light nuclei: 1-2%

## ● Cluster Truncation

- up to 6% contributions from  $\Lambda$ CCSD(T)
- soft interactions: only 1-2%
- no strong increase with mass number

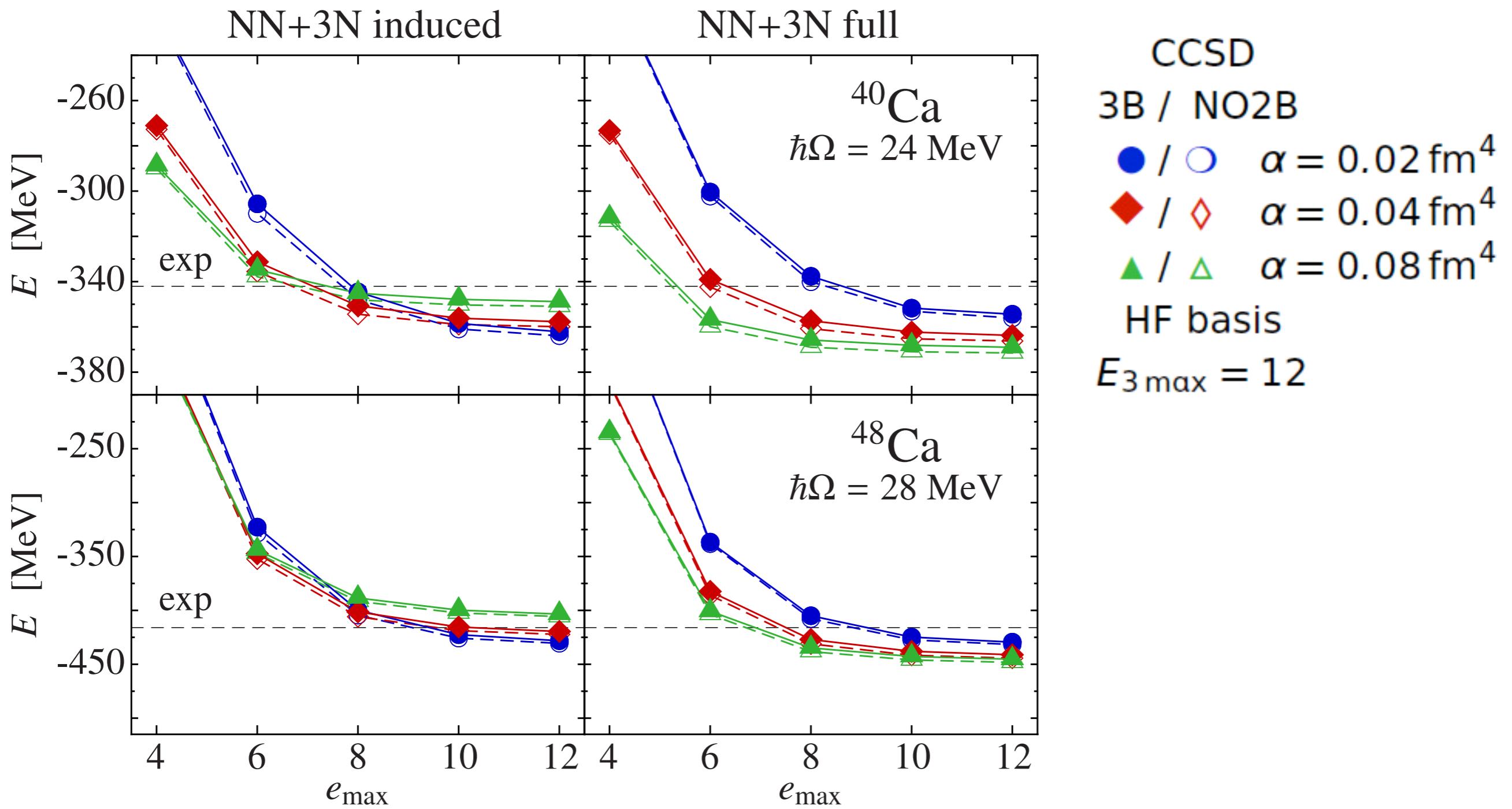


# Coupled-Cluster with Explicit 3N Interactions

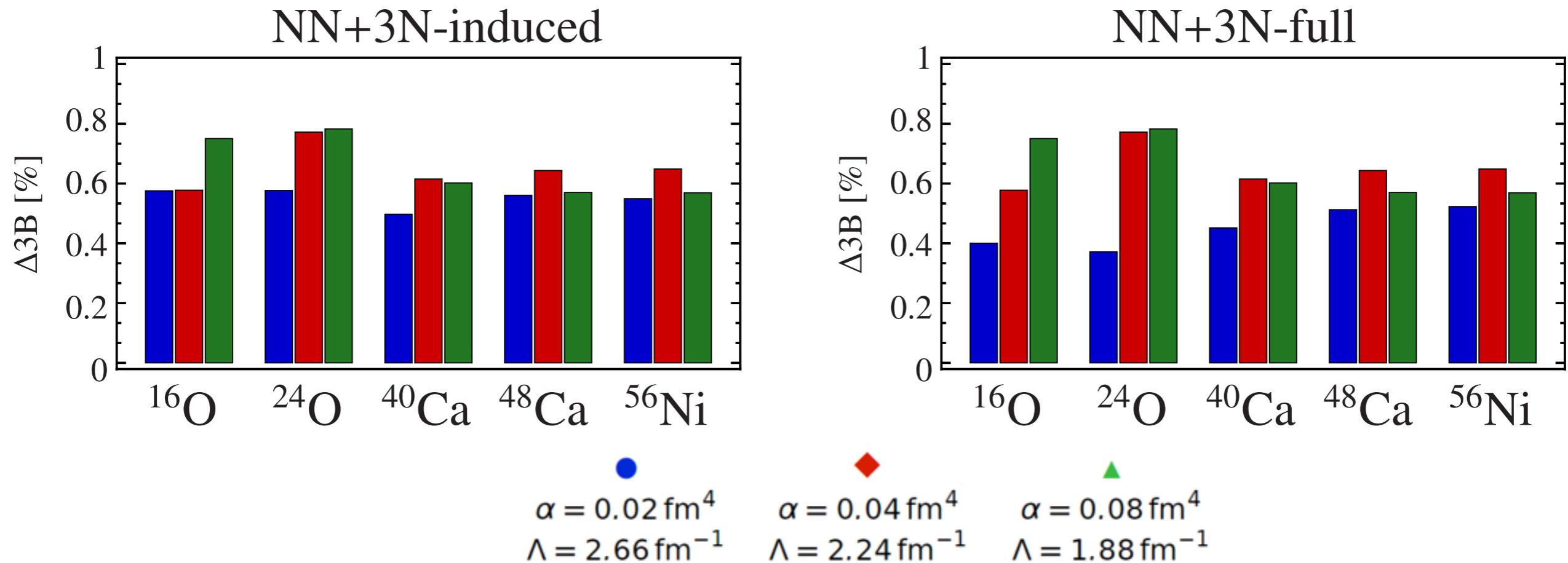
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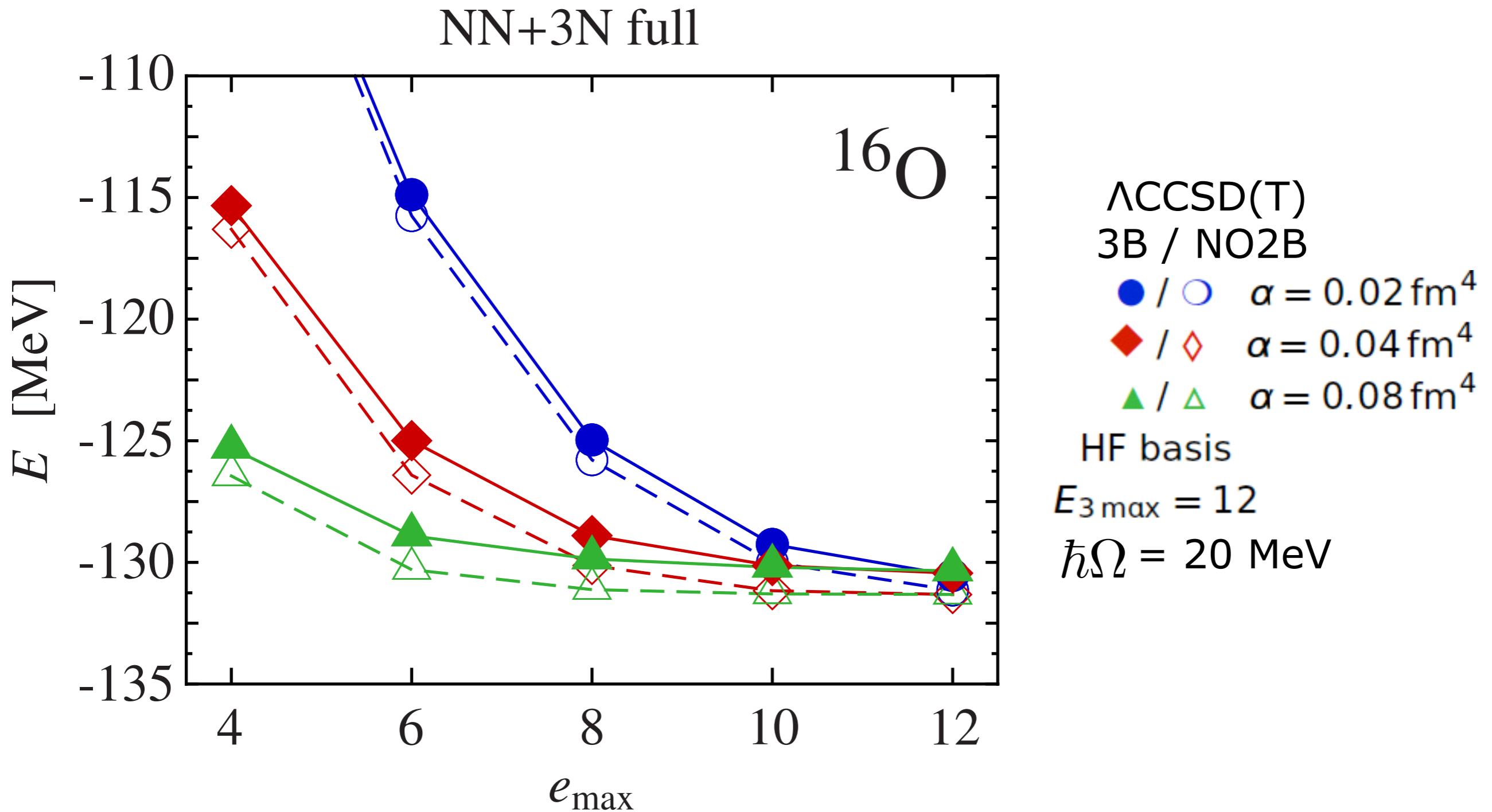


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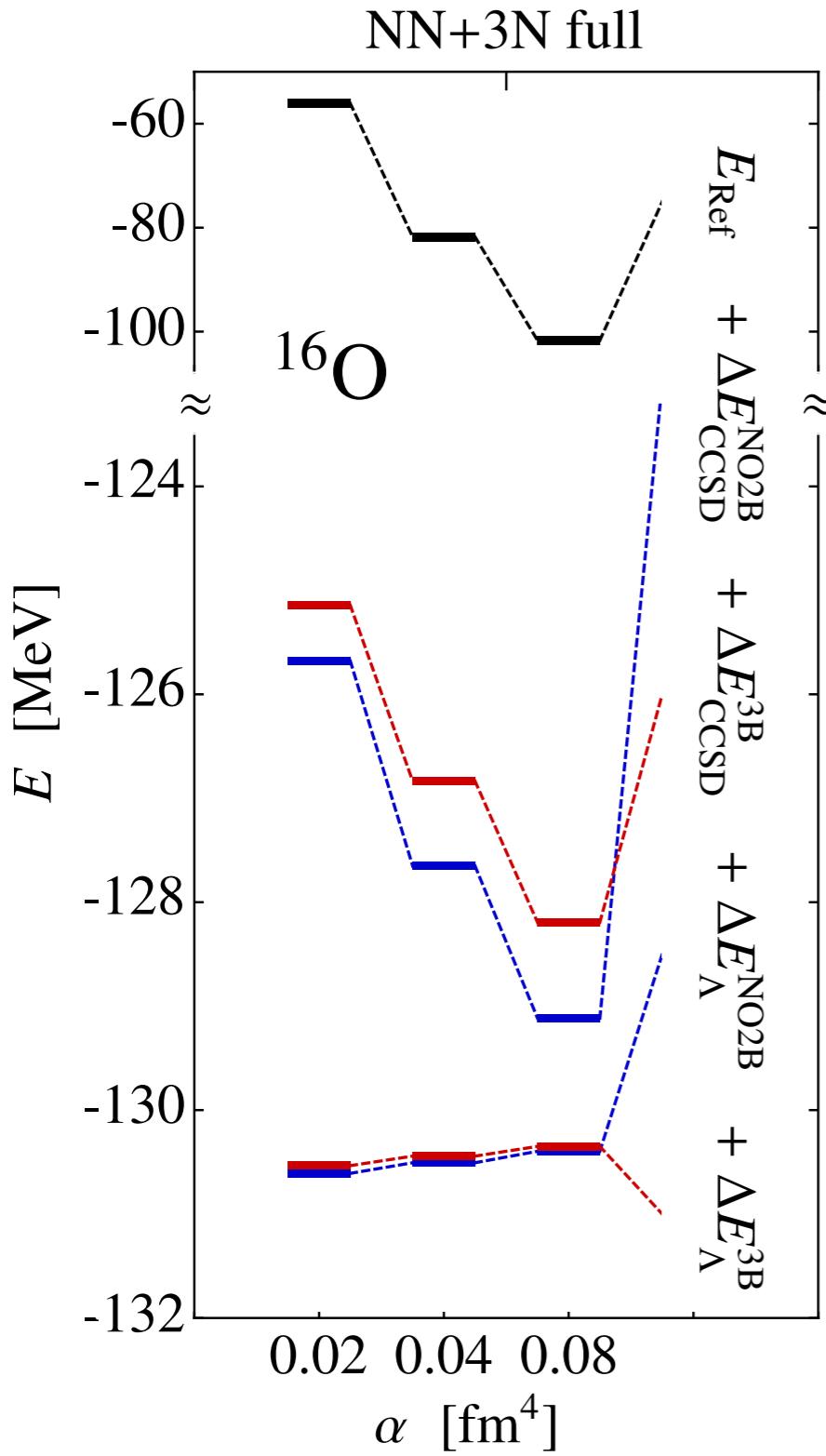


- **excellent agreement** between NO2B and explicit 3N (deviation  $< 1\%$  for all nuclei considered)
- quality of NO2B **independent** of  $e_{\max}$ ,  $\hbar\Omega$ ,  $\alpha$
- **efficient and accurate** way to include 3N interactions

# $\Lambda$ CCSD(T) with Explicit 3N Interaction

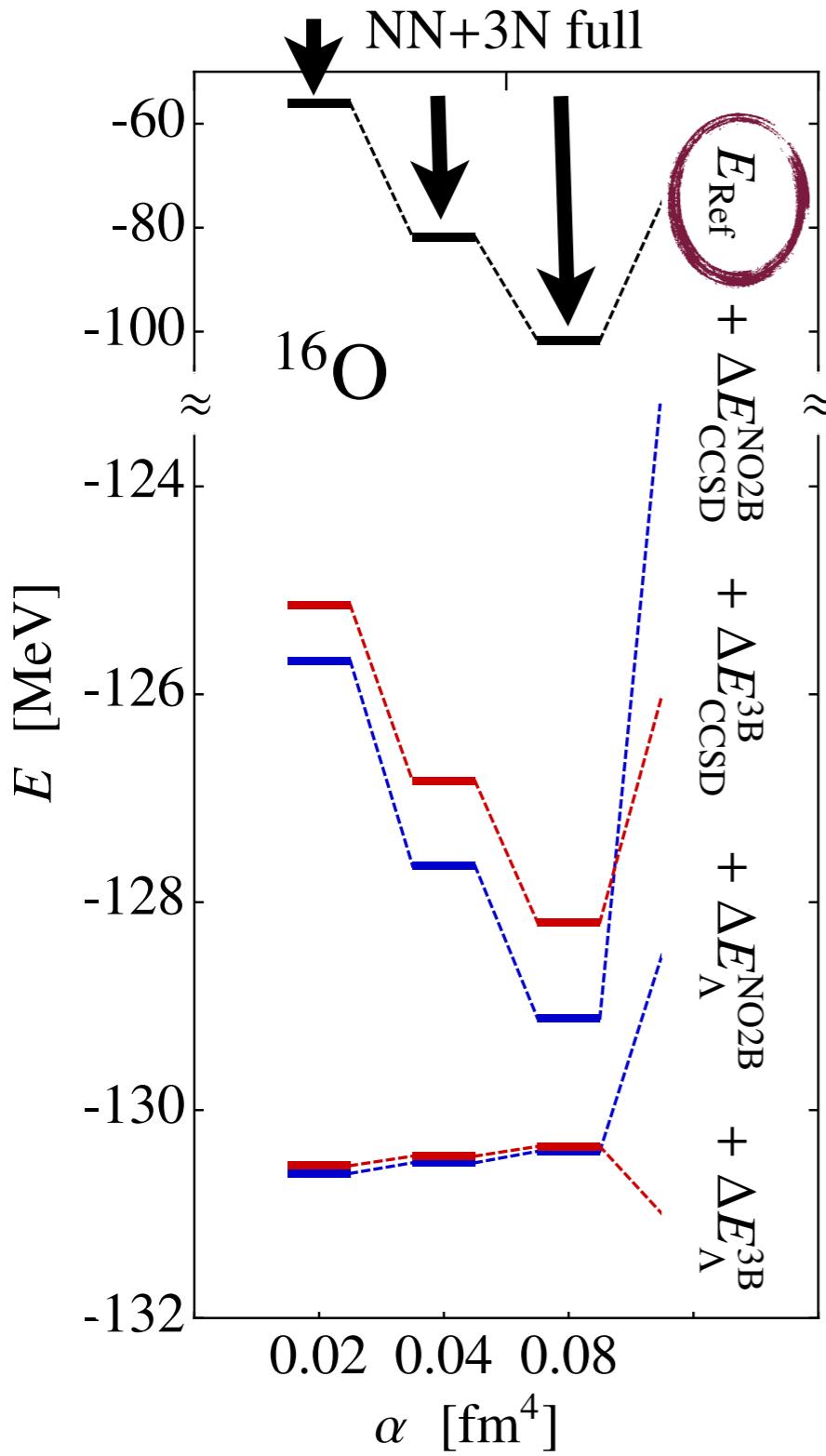


# $\Lambda$ CCSD(T) with Explicit 3N Interaction



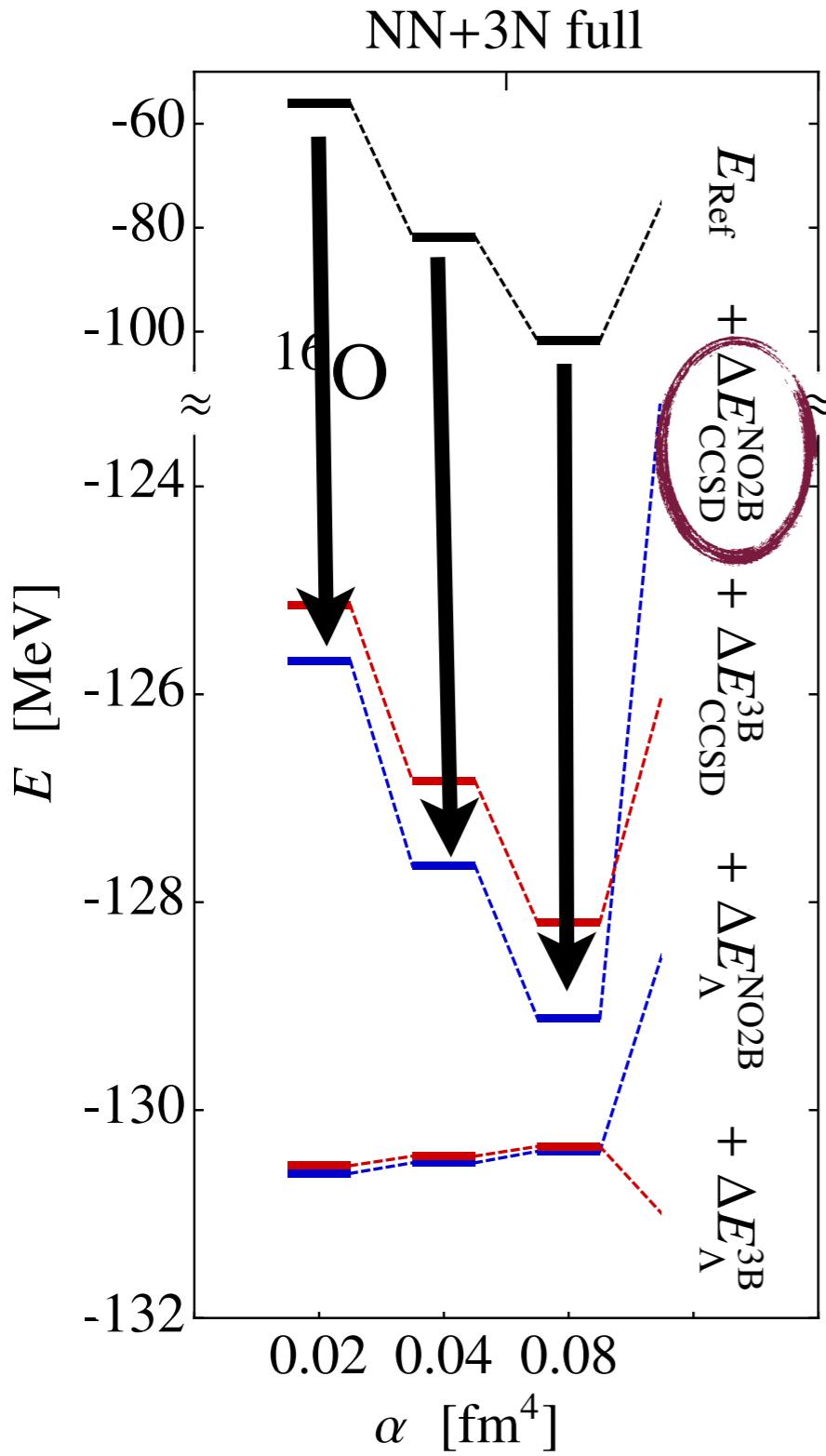
- NO2B shows **excellent agreement** also for  $\Lambda$ CCSD(T)
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# $\Lambda$ CCSD(T) with Explicit 3N Interaction



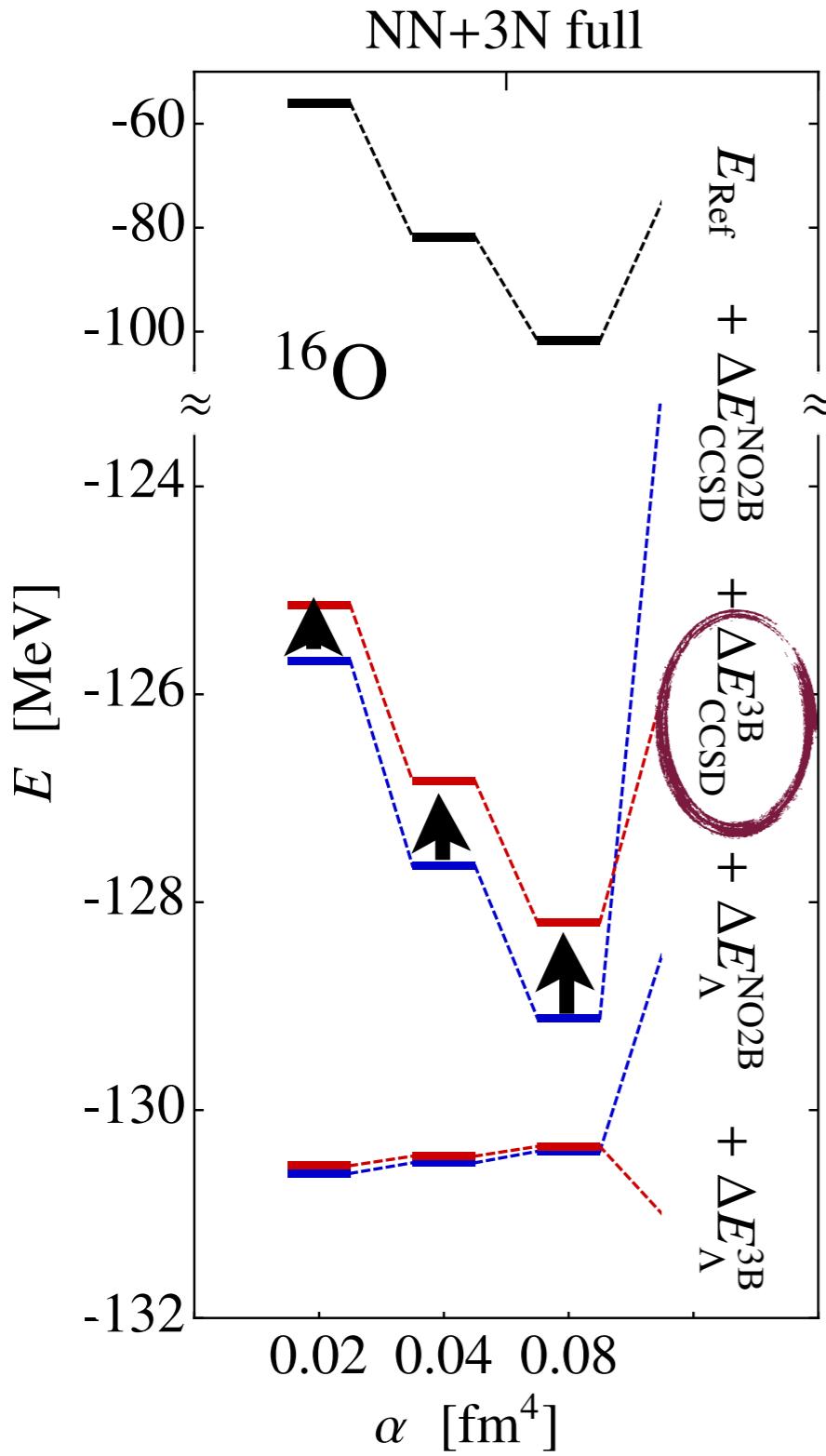
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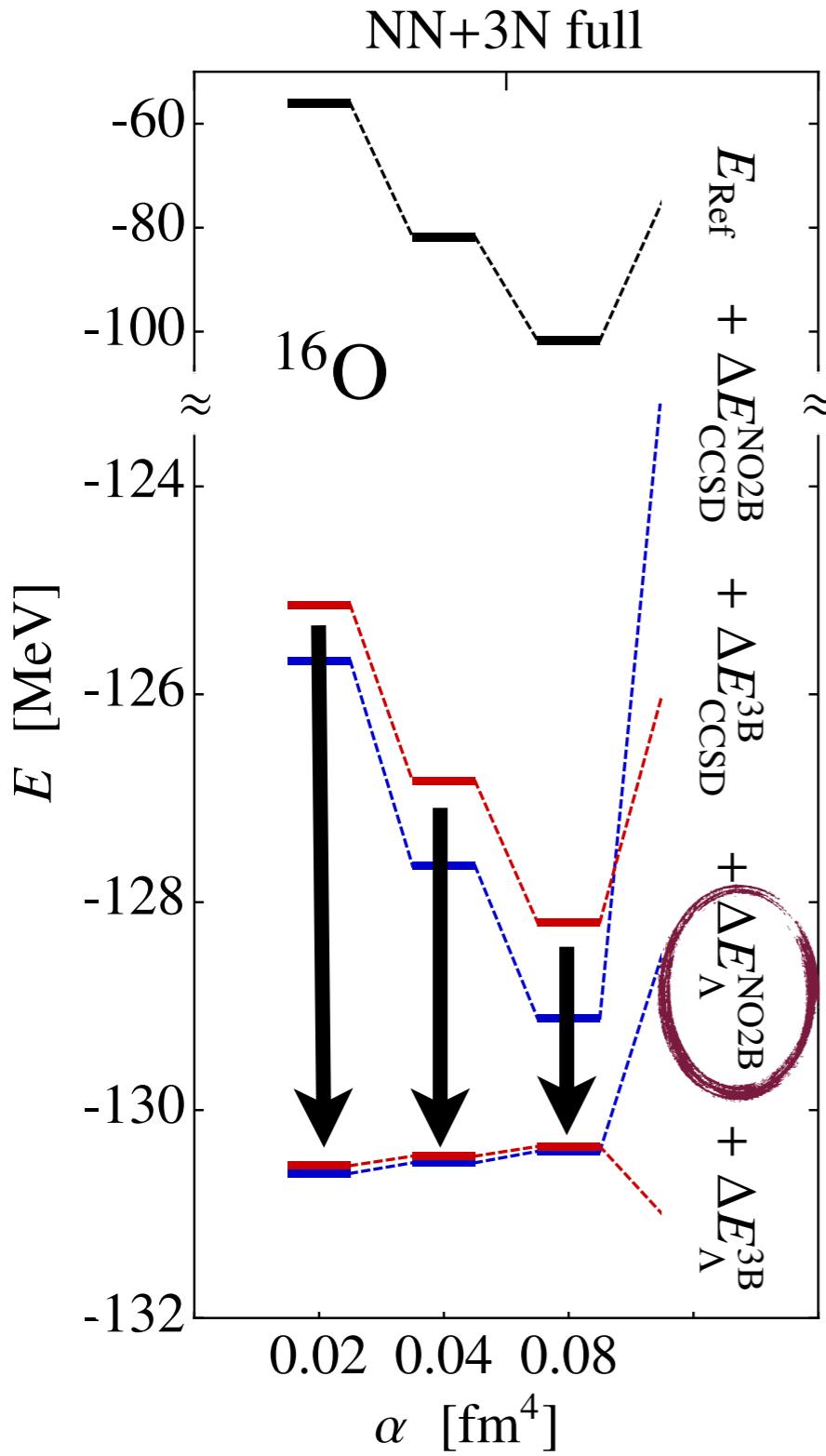
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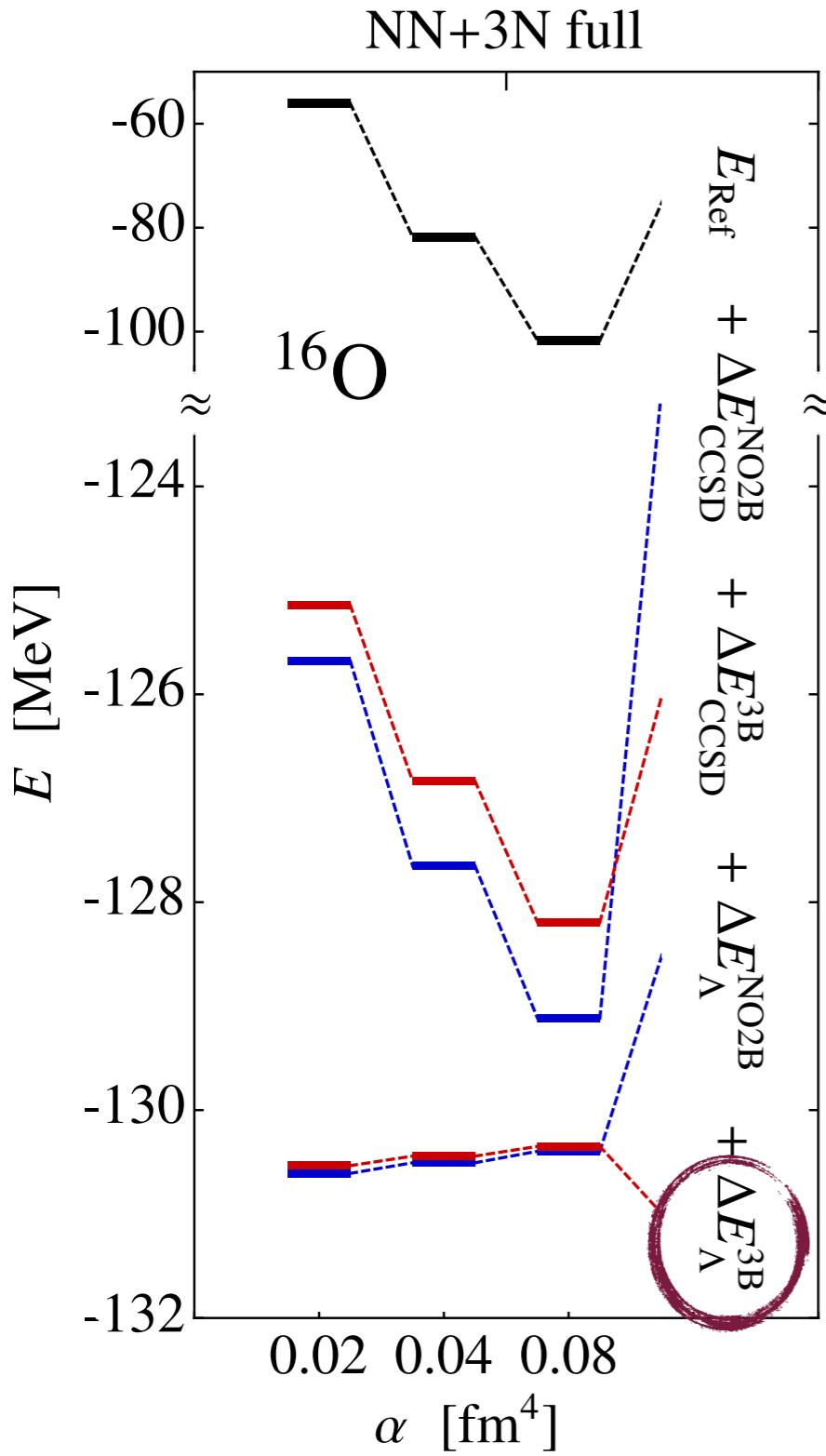
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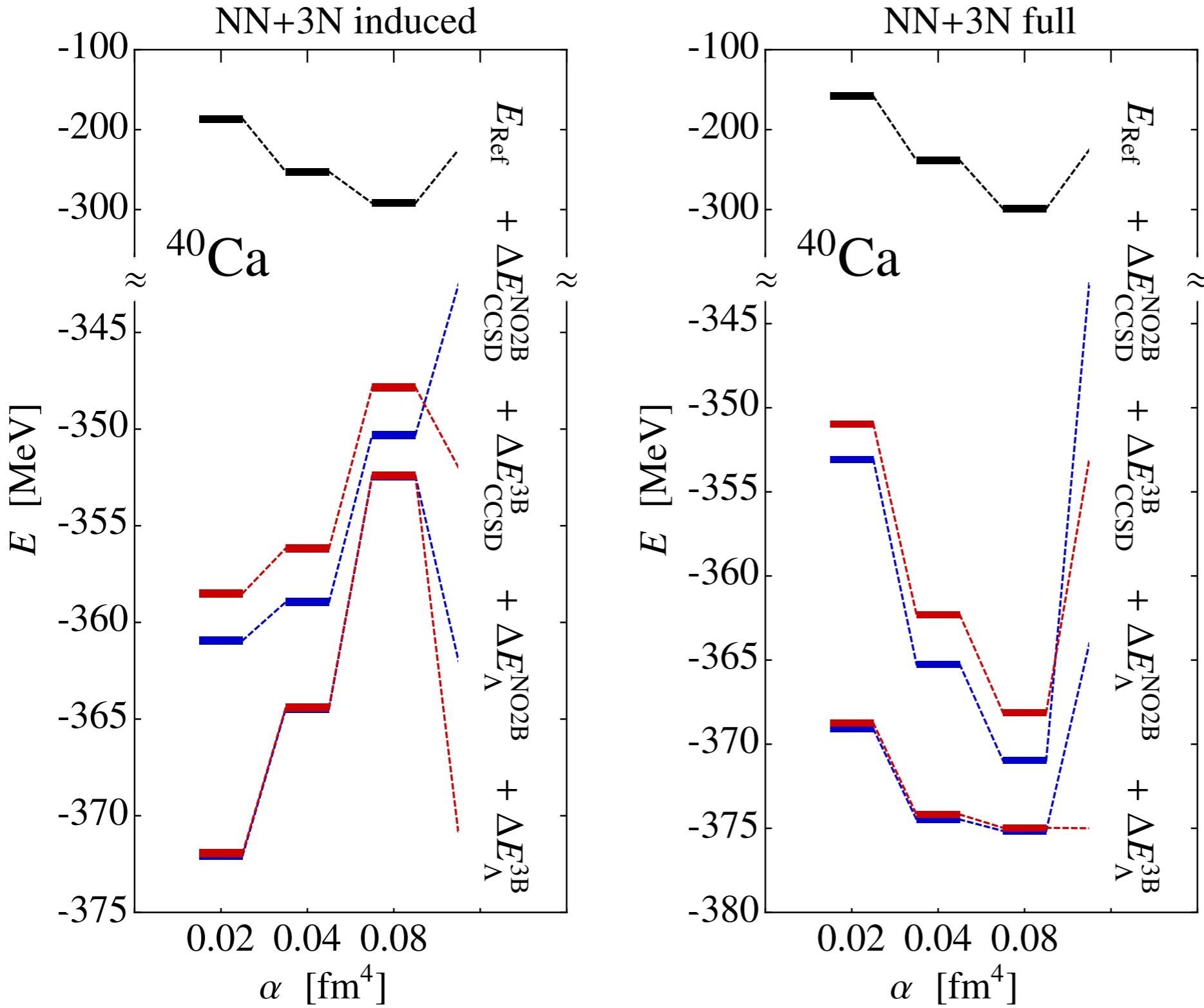
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# $\Lambda$ CCSD(T) with Explicit 3N Interaction



$\Lambda$ CCSD(T)3B  
 HF basis  
 $e_{\max} = 10$   
 $E_{3\max} = 12$   
 $\hbar\Omega = 24 \text{ MeV}$

# Uncertainty Summary

## ● Similarity Renormalization Group

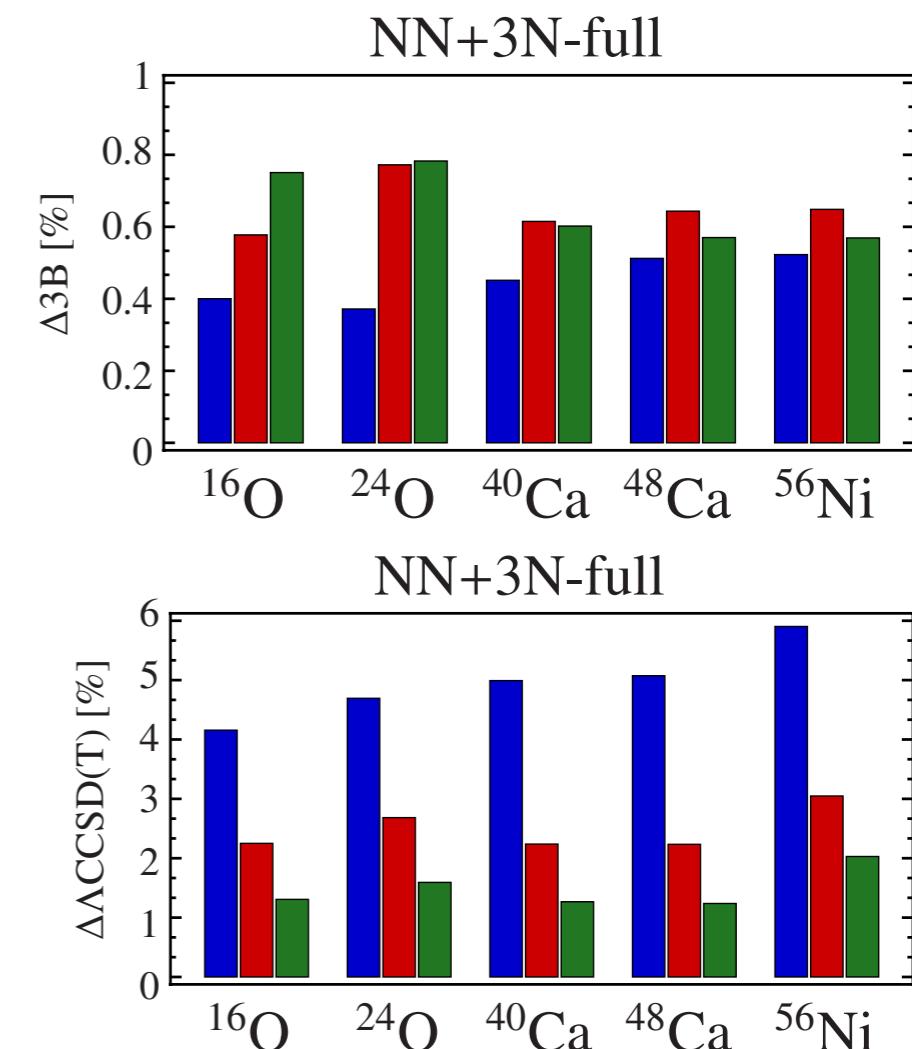
- $\alpha$ -dependence: low-cutoff 3N interaction

## ● Normal-Ordering 2B Approximation

- error in light nuclei: 1-2%,
- error in medium-mass nuclei: <1%
- error independent of mass number etc.
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## ● Cluster Truncation

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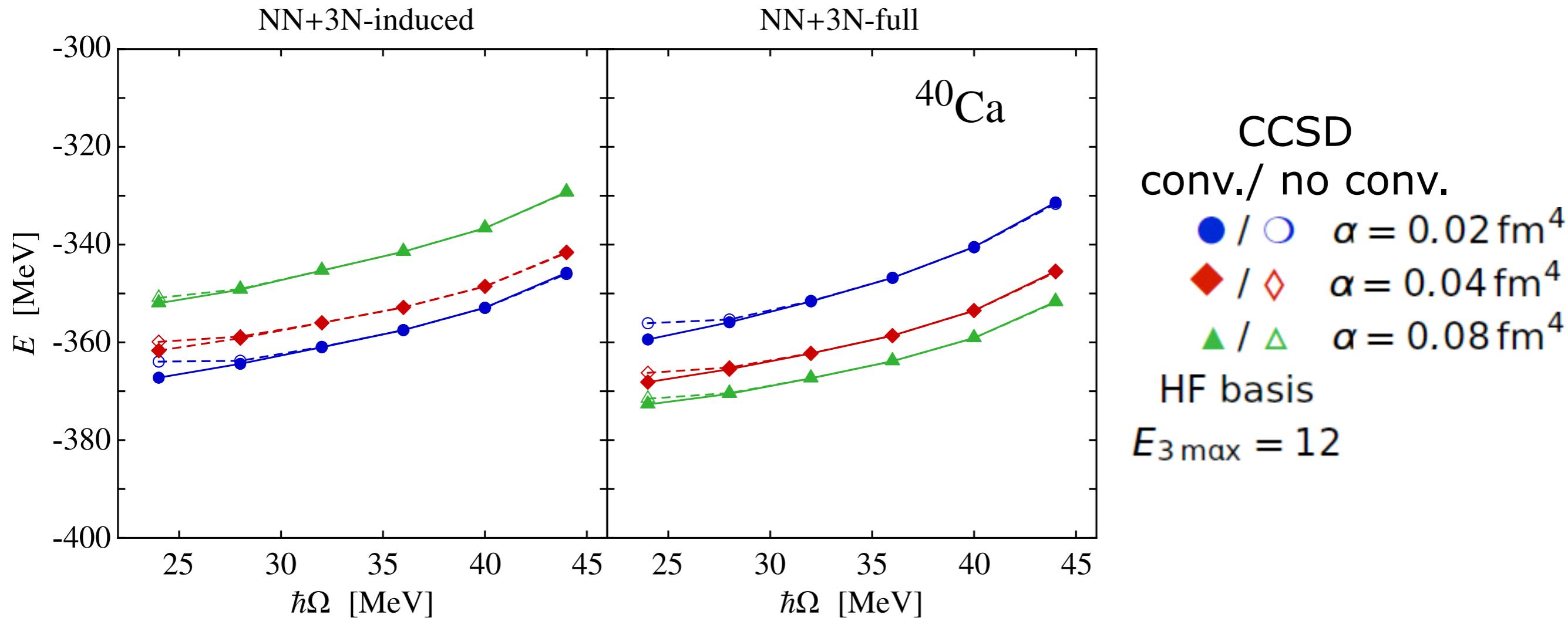


# Frequency Conversion

R. Roth, A. Calci, J. Langhammer, S. Binder --- in prep.

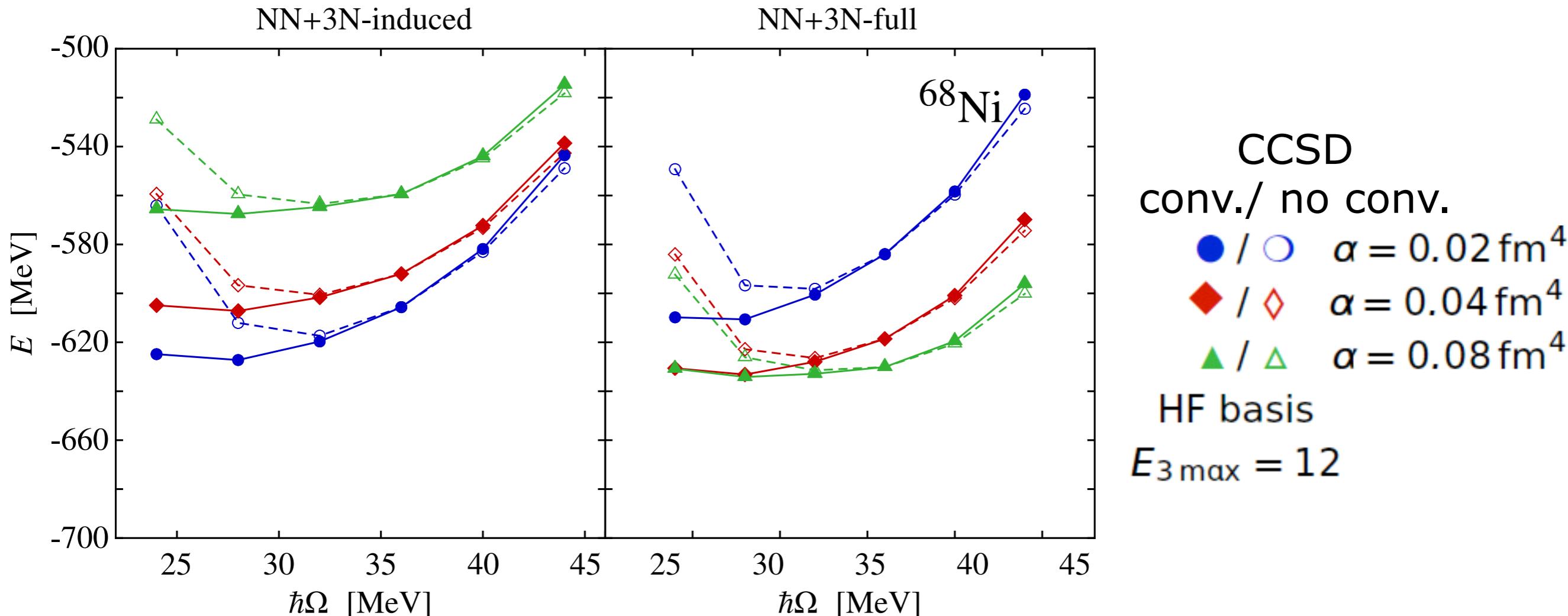
# Frequency Conversion

- current SRG model spaces **not appropriate** for small frequencies  
⇒ **frequency conversion** (see talk by **Angelo Calci**)

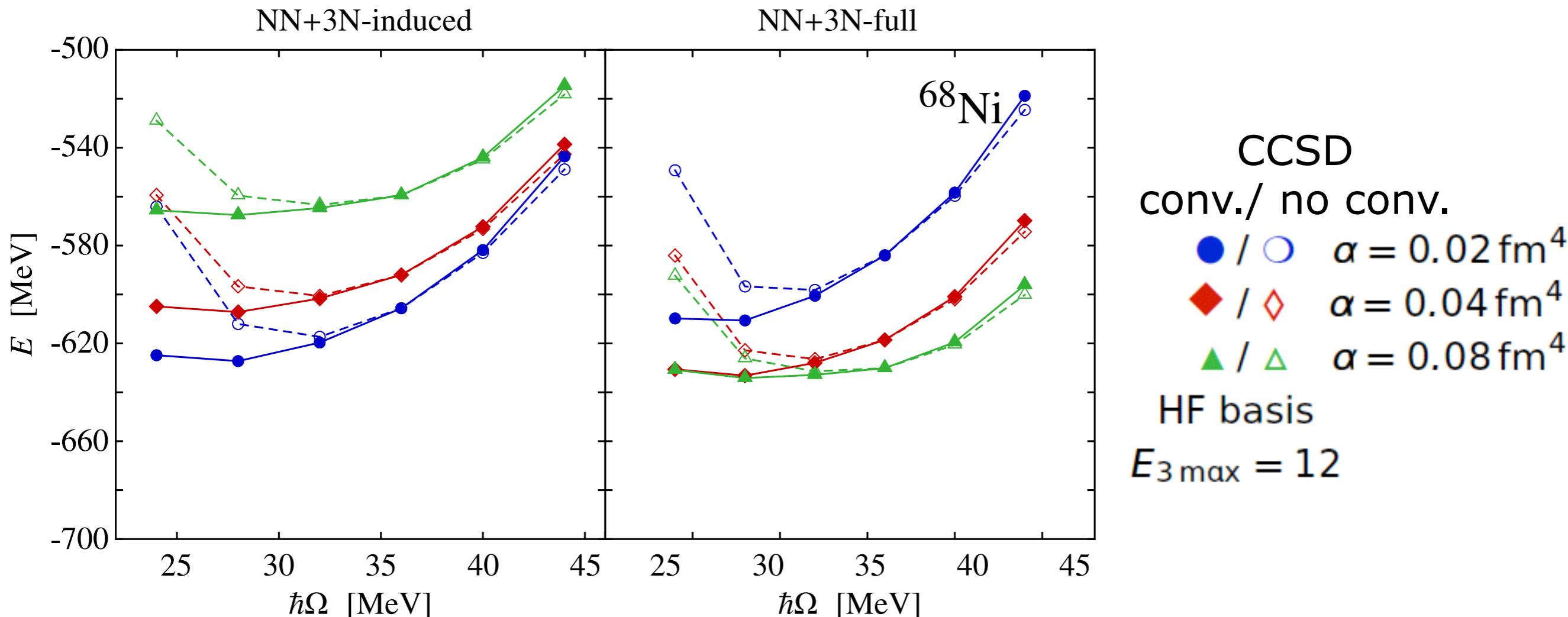


- $\hbar\Omega = 36 \text{ MeV}$  used for conversion

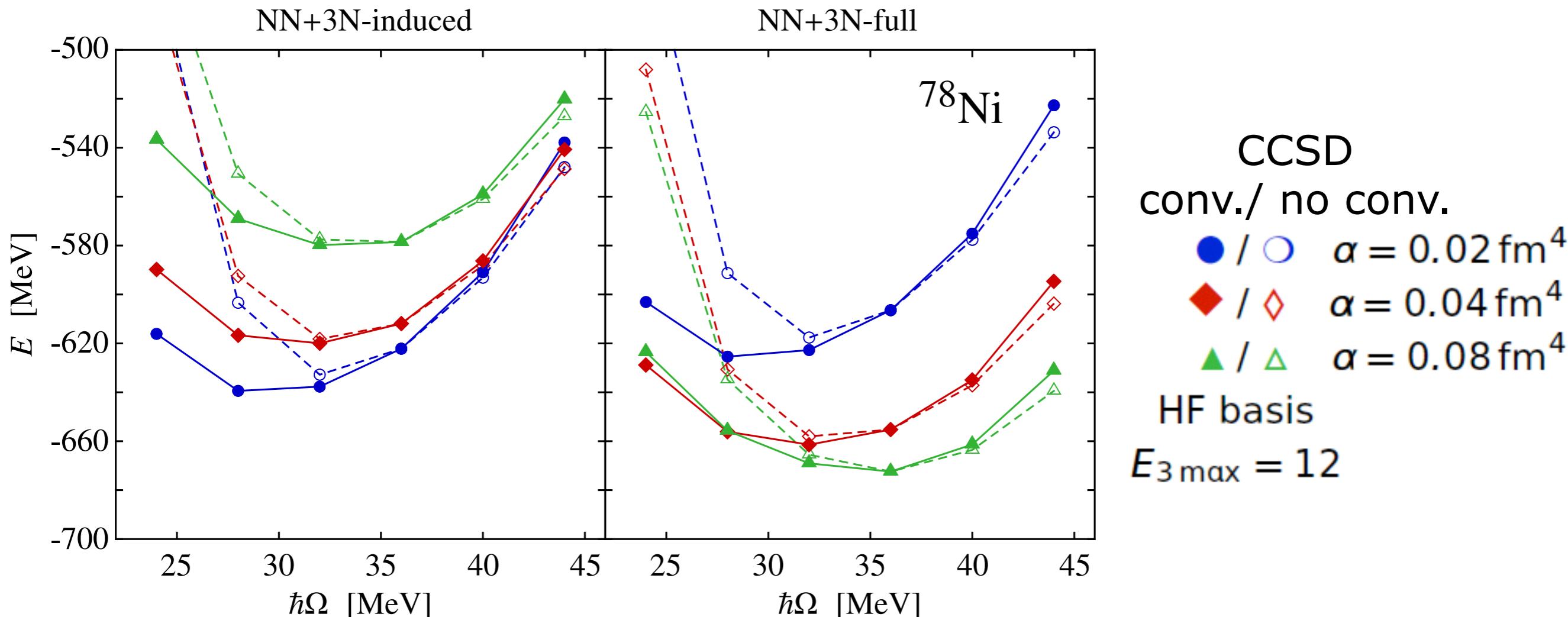
# Frequency Conversion



# Frequency Conversion



# Frequency Conversion



- without conversion: **energy minimum** artificially shifted towards larger frequencies
- **frequency conversion** mandatory for heavier nuclei

# Uncertainty Summary

## ● Similarity Renormalization Group

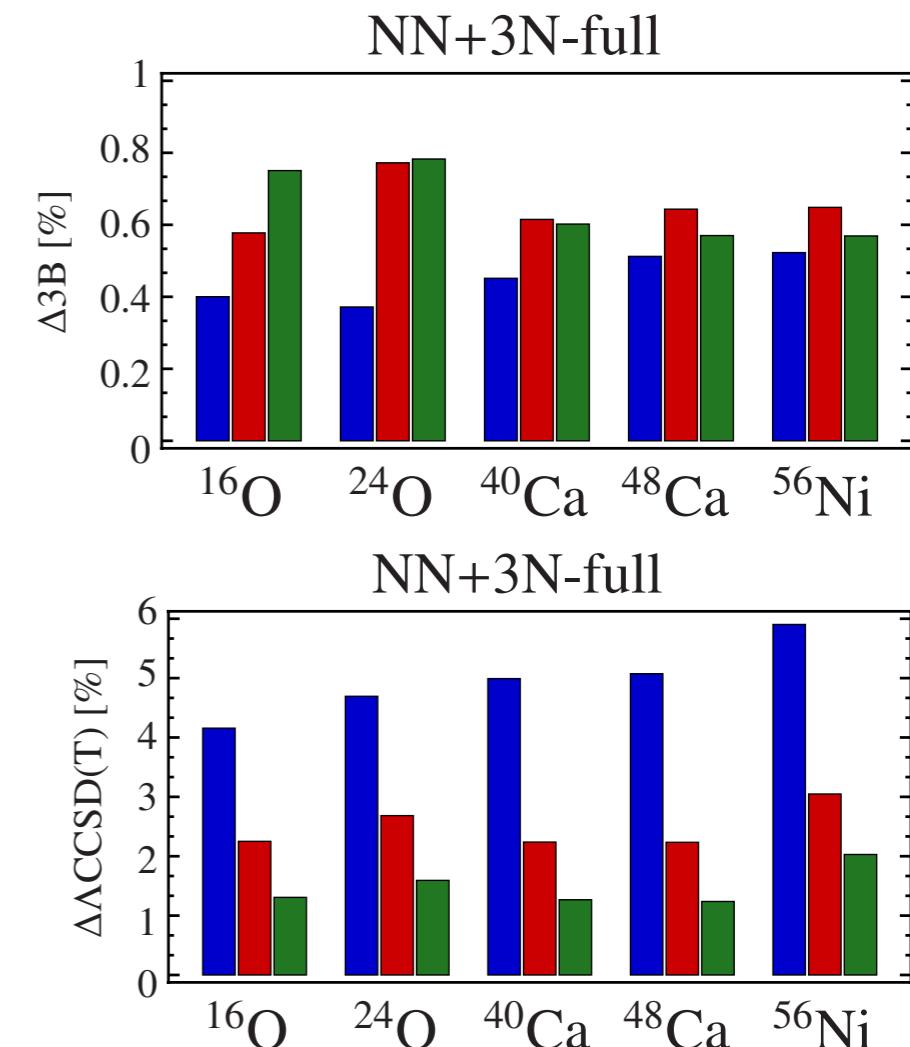
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# E3Max Truncation

# $E_{3\max}$ Truncation

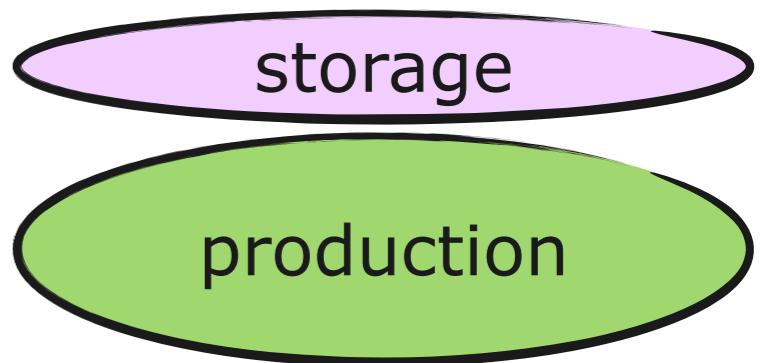
- full  $\hat{W}_{3B}$  matrix **too large** to handle
- **$E_{3\max}$  truncation:** use  $\hat{W}_{3B}$  matrix elements  $\langle pqr|\hat{W}_{3B}|stu\rangle$  with

$$e_p + e_q + e_r \leq E_{3\max} \vee e_s + e_t + e_u \leq E_{3\max}$$

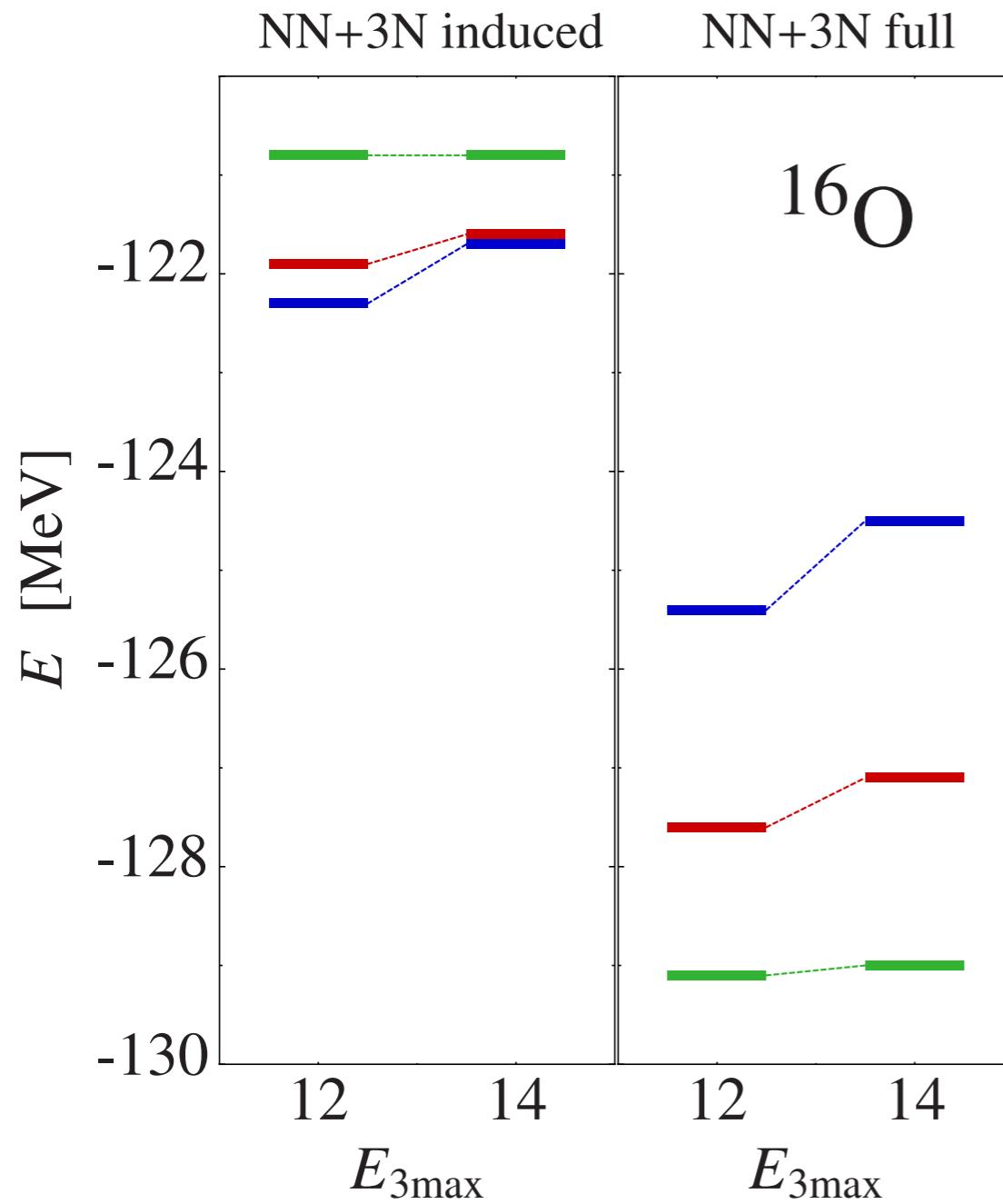
$$e_p = 2n_p + l_p$$

- **current limits:**

$$E_{3\max} \leq \begin{cases} 14 & : \text{CC,} \\ 16 & : \text{NCSM,} \\ 20 & : \text{CC,NCSM} \end{cases} \quad \begin{array}{l} \text{explicit } 3N \\ \text{explicit } 3N \\ \text{NO2B} \end{array}$$

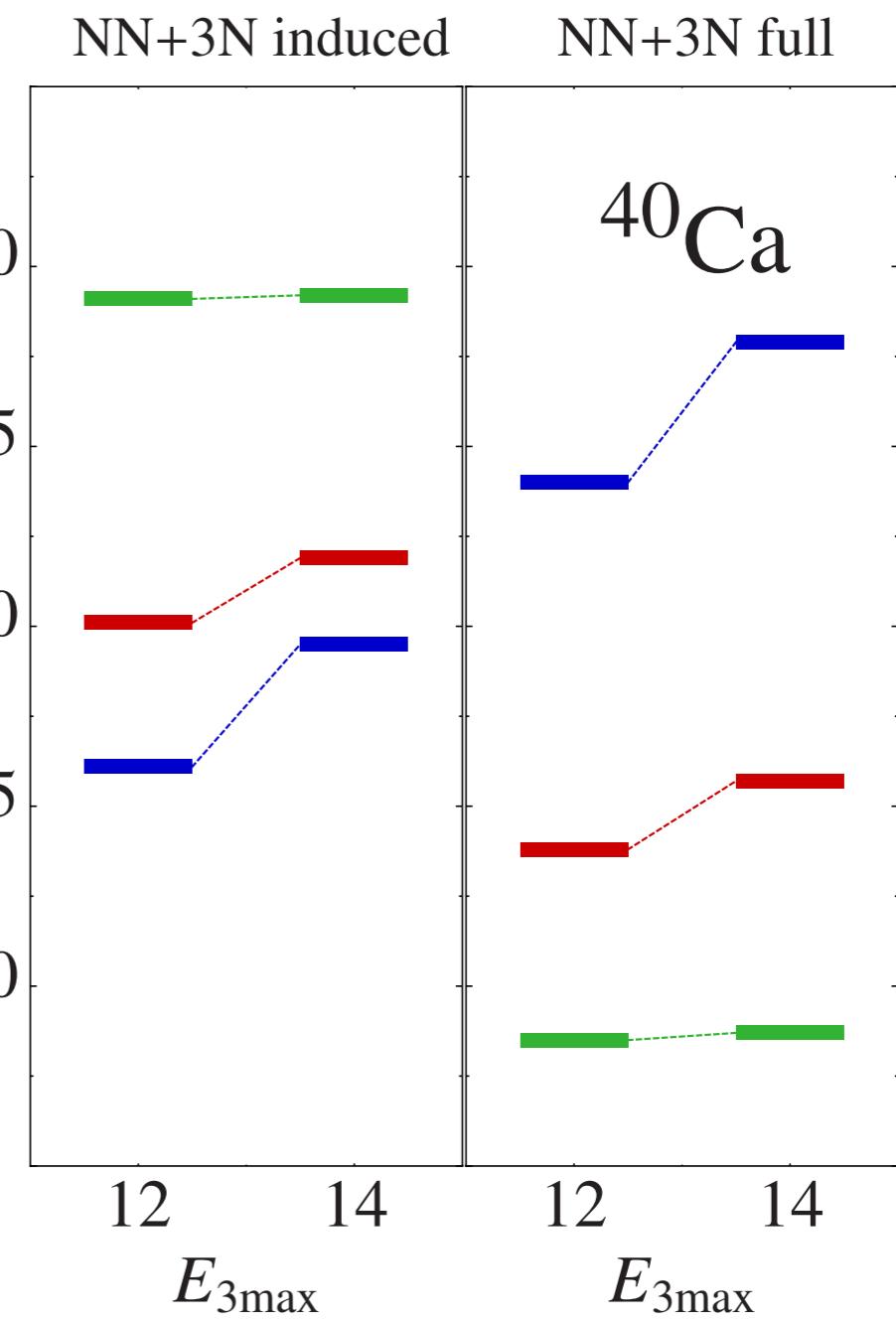


# $E_{3\text{max}}$ Dependence (CCSD<sub>NO2B</sub>)



$\alpha = 0.02 \text{ fm}^4$   
 $\Lambda = 2.66 \text{ fm}^{-1}$

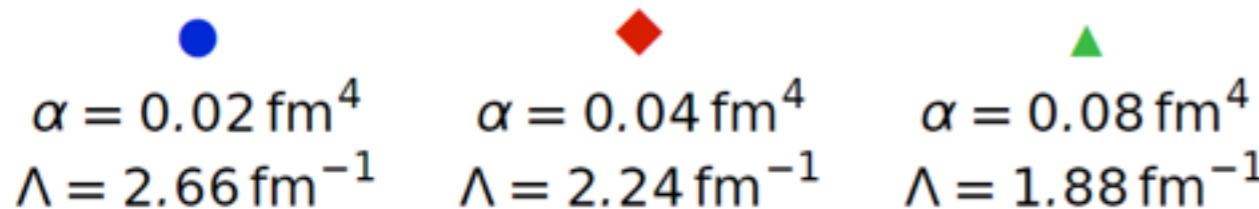
$\alpha = 0.04 \text{ fm}^4$   
 $\Lambda = 2.24 \text{ fm}^{-1}$



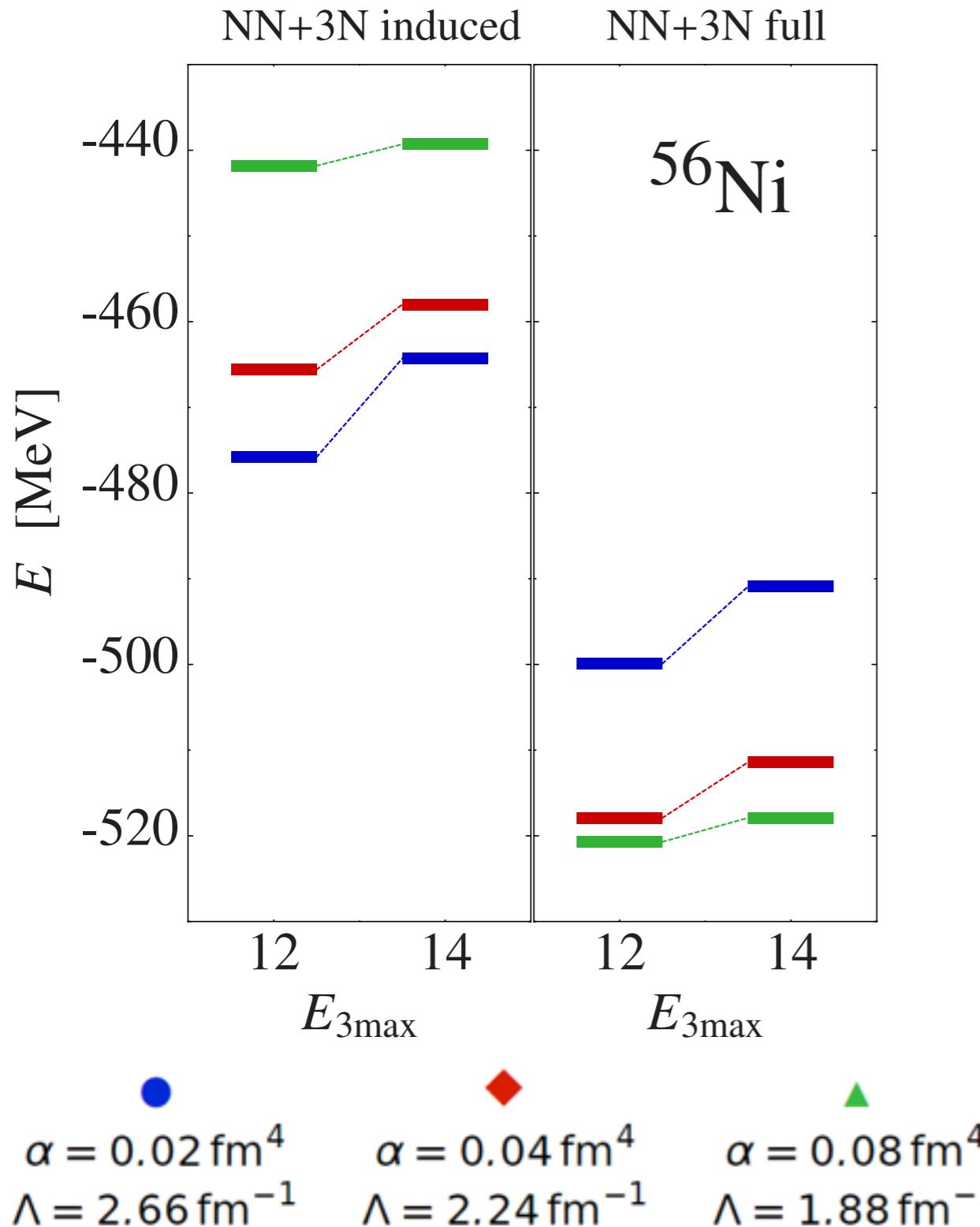
$\alpha = 0.08 \text{ fm}^4$   
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# $E_{3\max}$ Dependence (CCSD<sub>NO2B</sub>)

- $E_{3\max}$  not significant for **soft interactions** up to  $A < 50$
- **harder interactions:** up to 2% change in g.s. energies for  $E_{3\max} 12 \rightarrow 14$
- $\alpha$ -dependence for **NN+3N induced** gets **reduced** for larger  $E_{3\max}$
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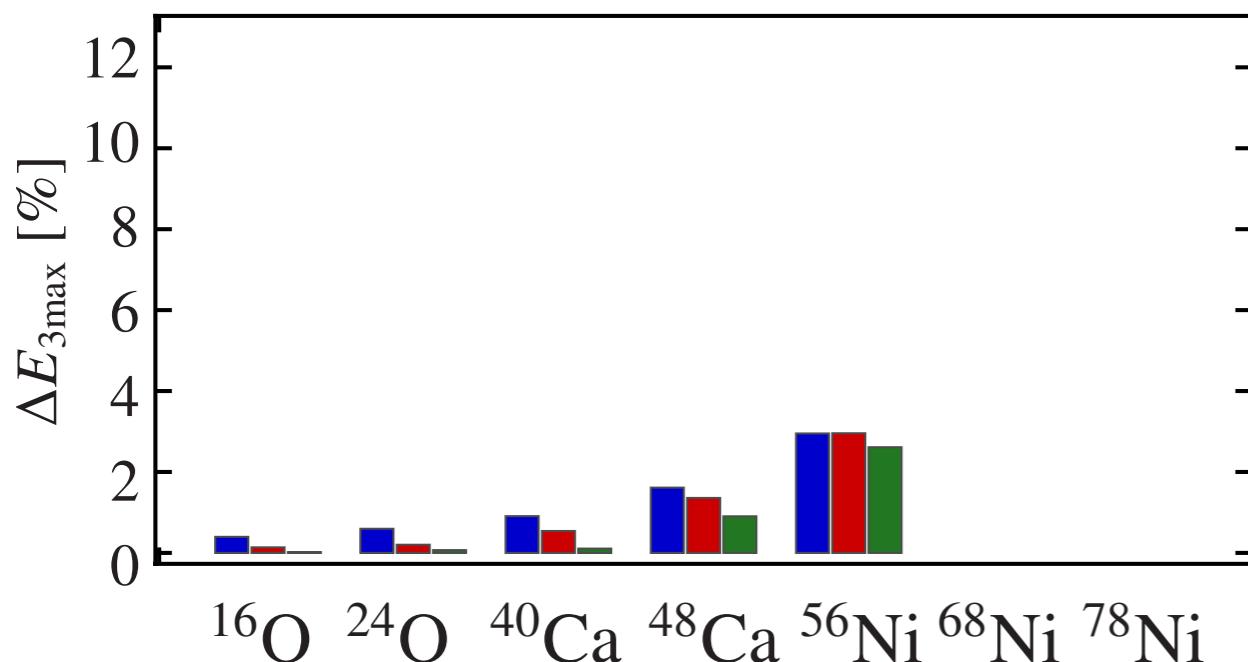


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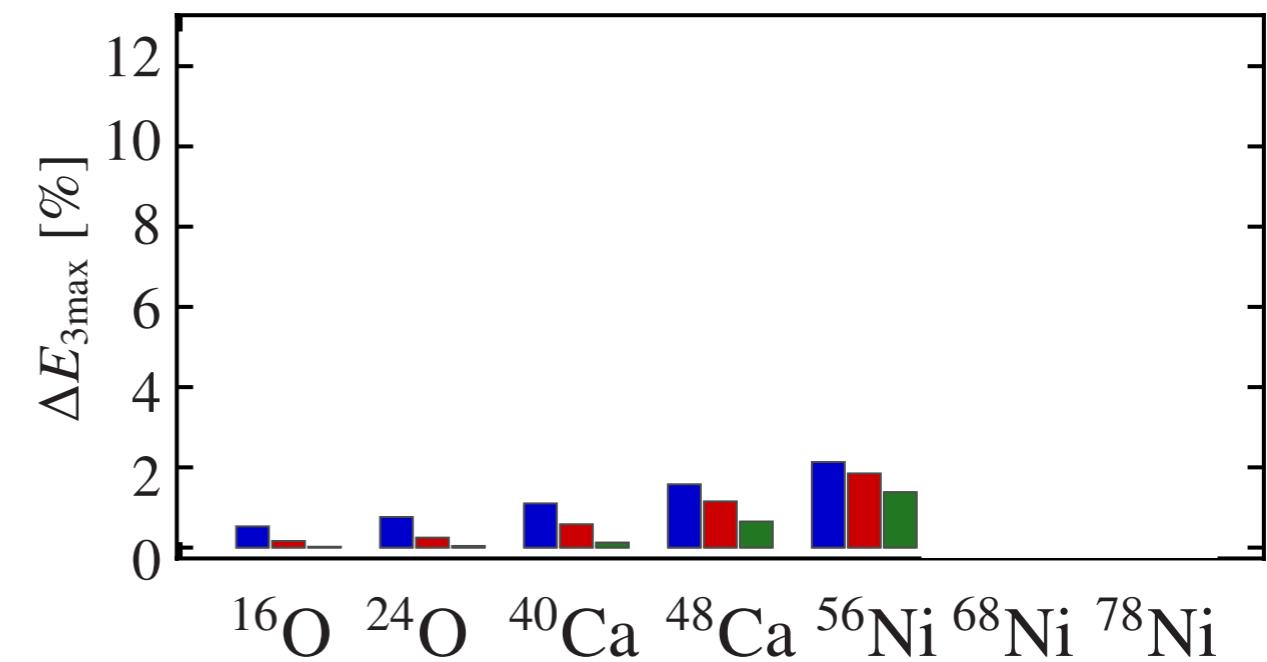
# $E_{3\max}$ Dependence (CCSD<sub>NO2B</sub>)

- $E_{3\text{Max}}=12$  vs.  $E_{3\text{Max}}=14$

NN+3N-induced



NN+3N-full



$\alpha = 0.02 \text{ fm}^4$   
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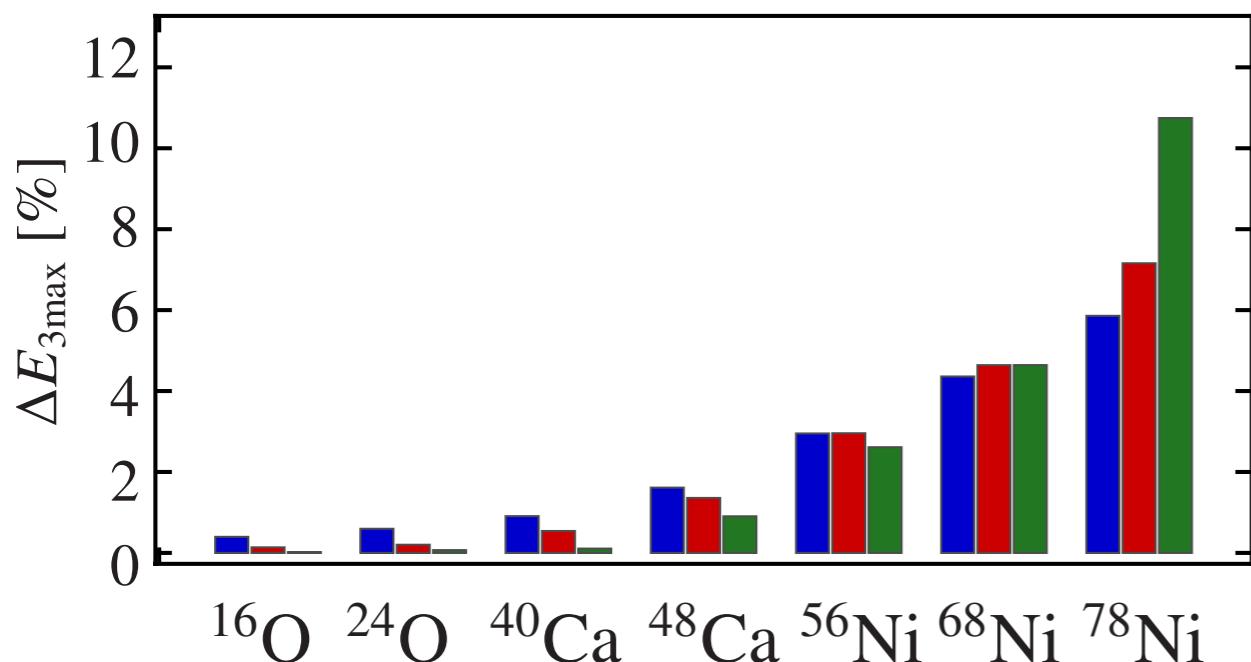
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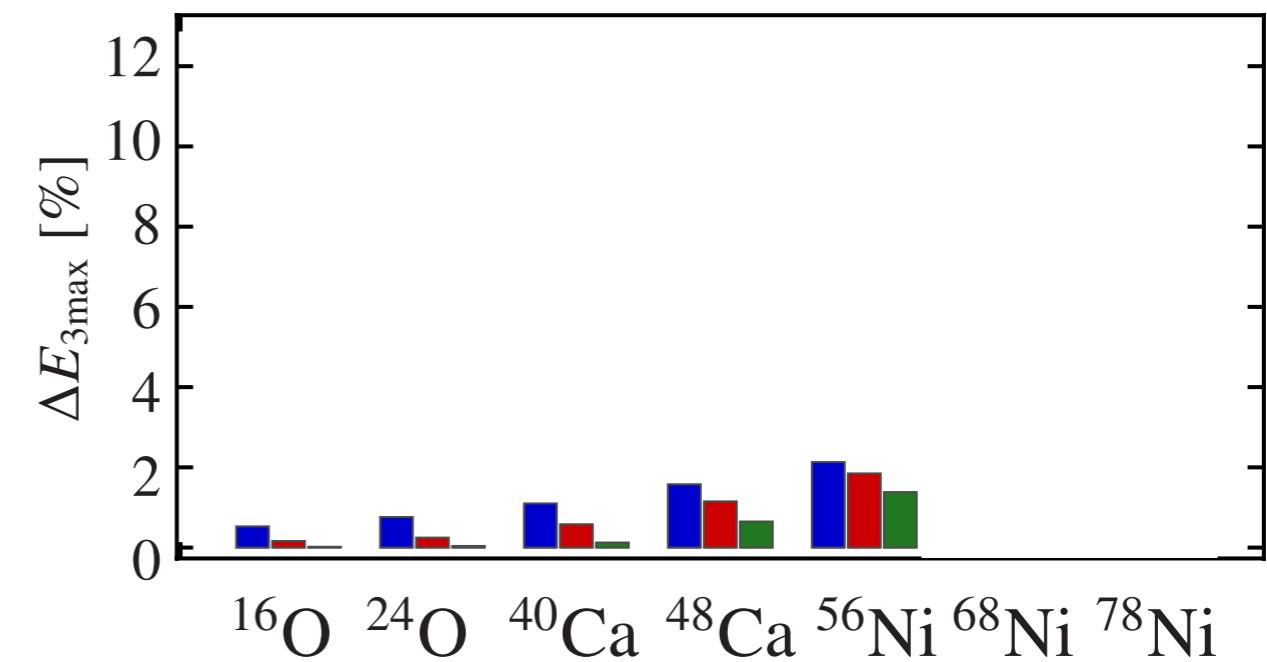
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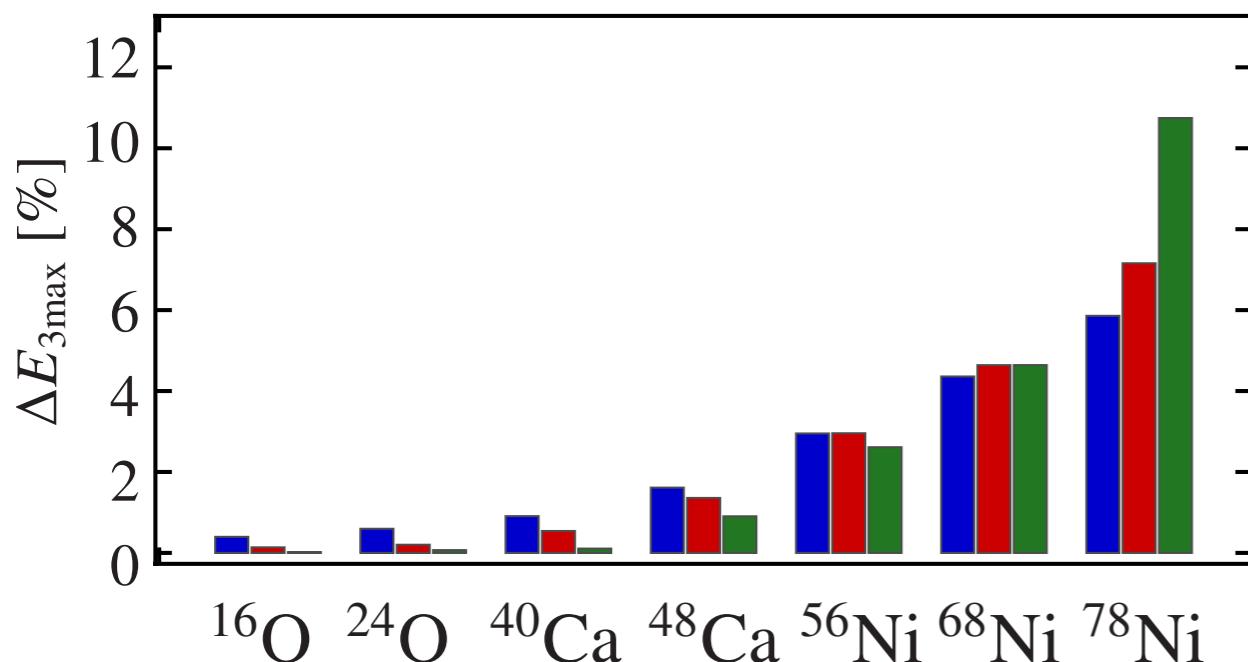
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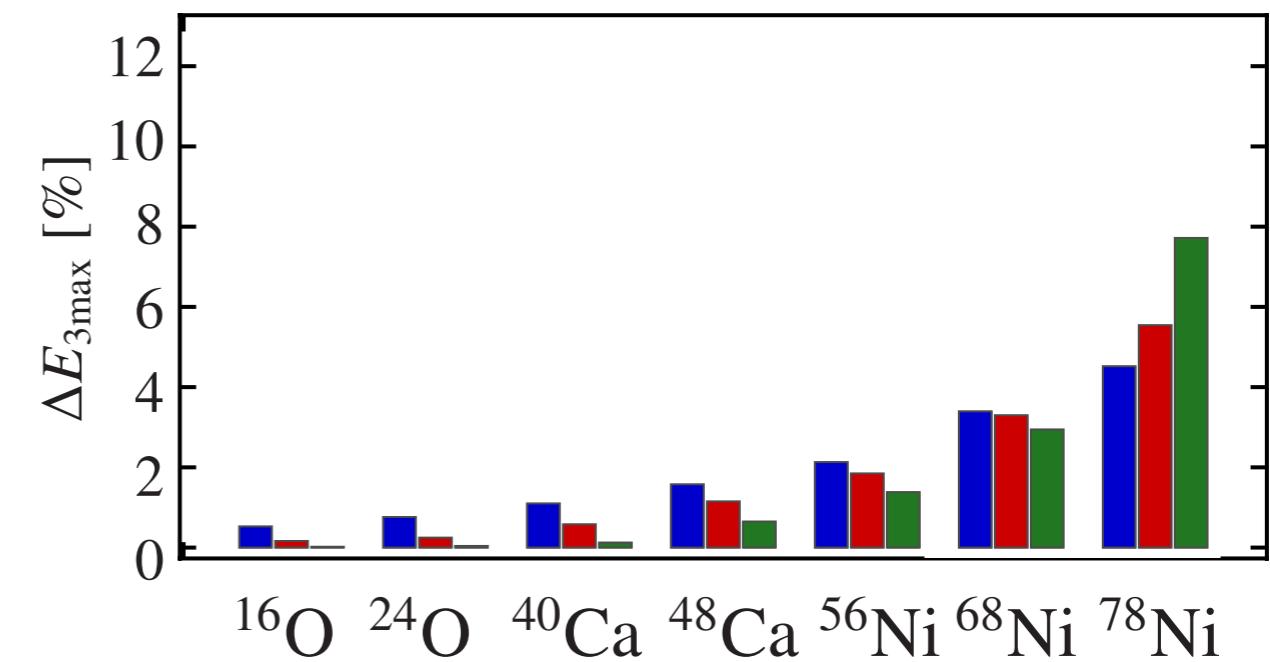
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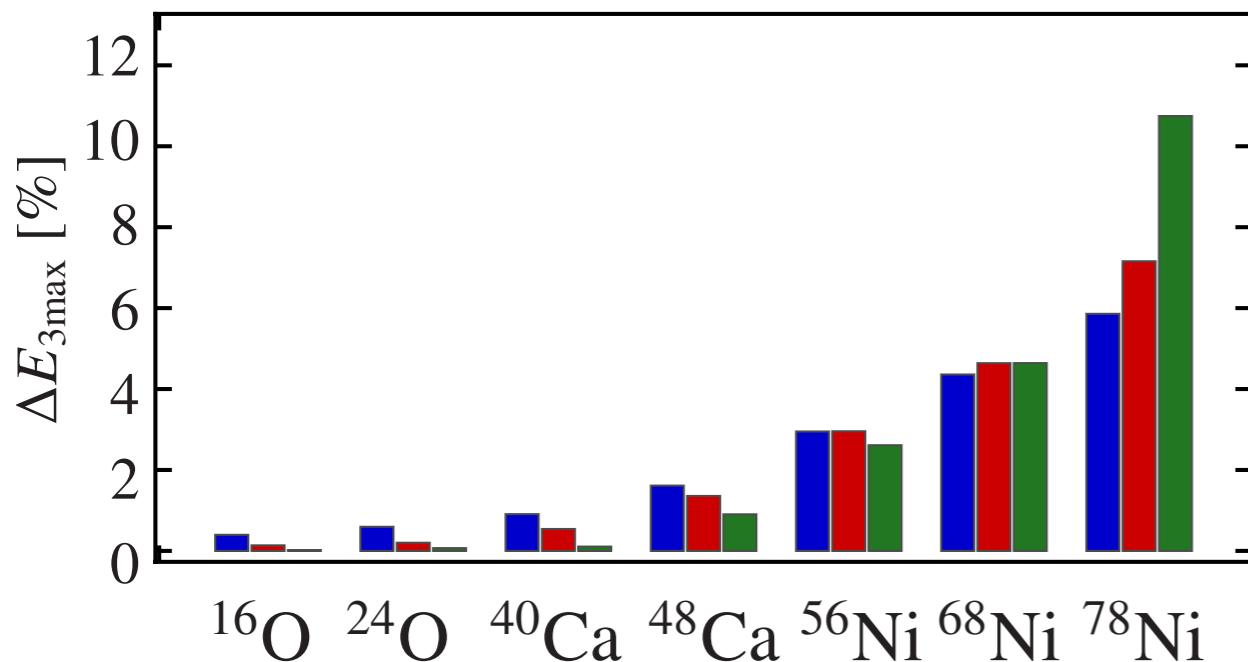
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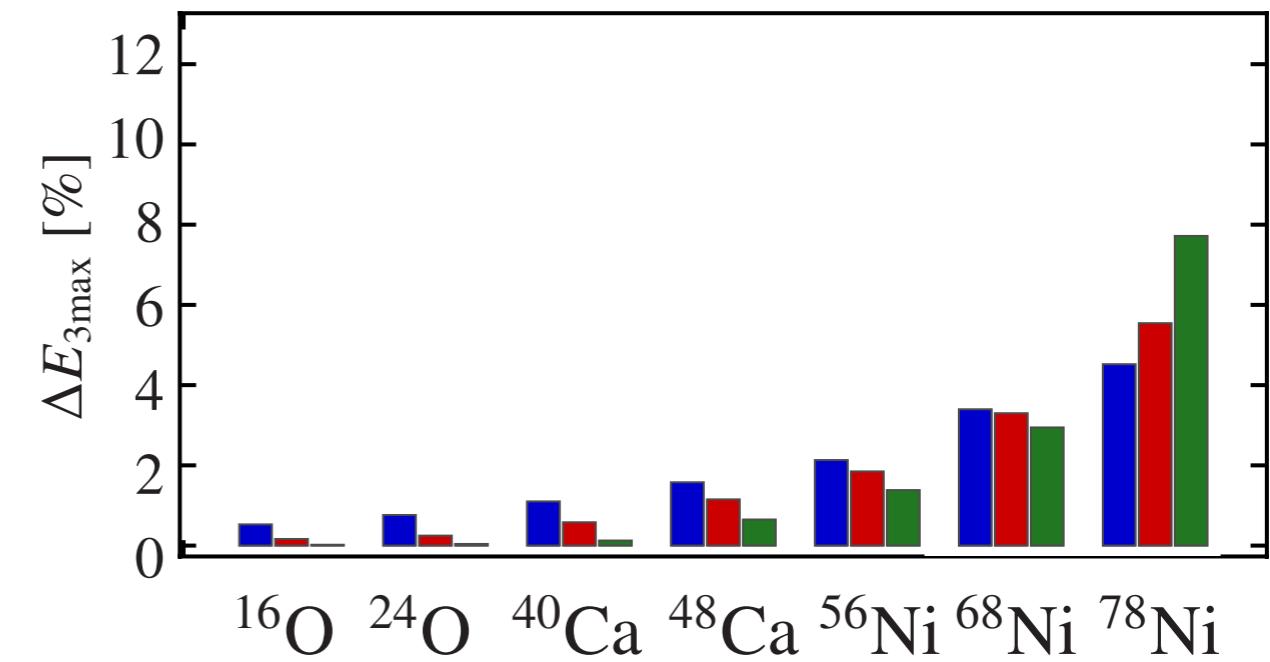
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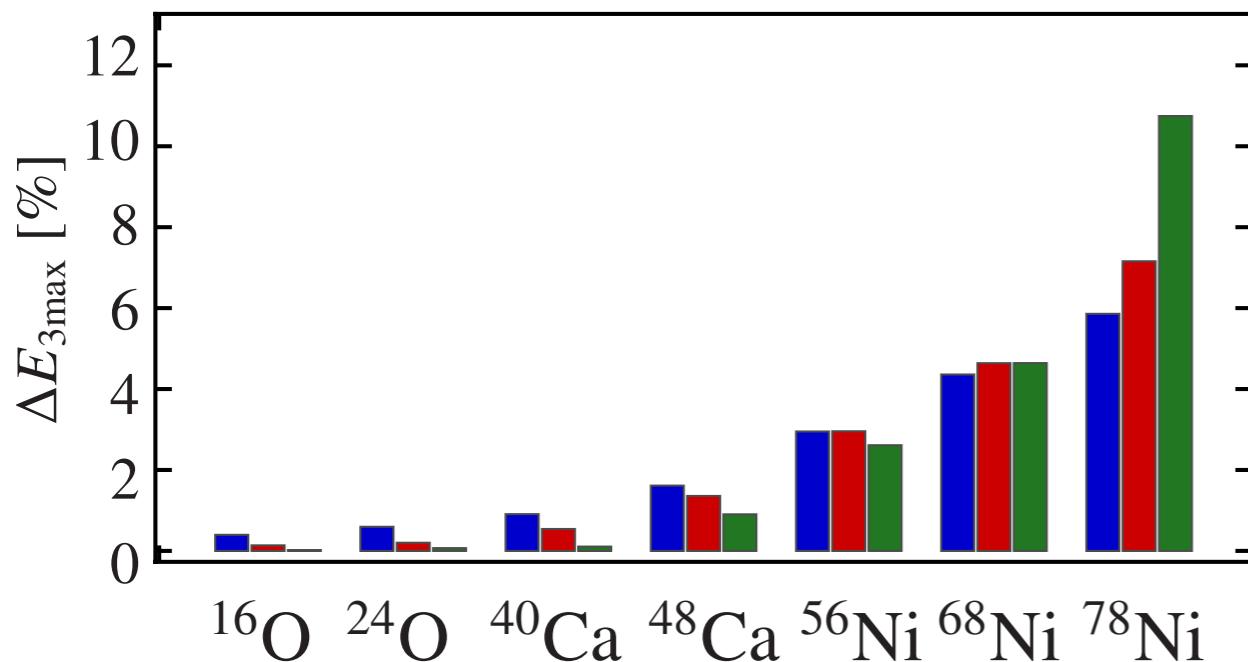
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- Relevance of  $E_{3\text{Max}}$  grows rapidly with **mass number**

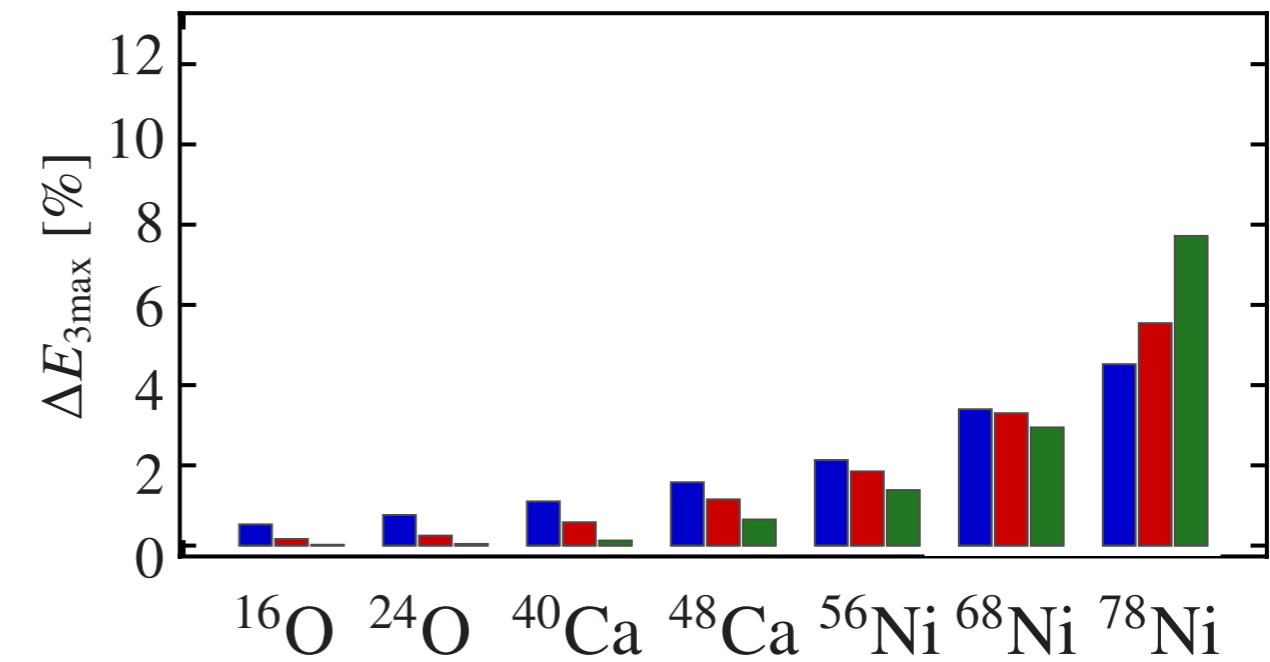
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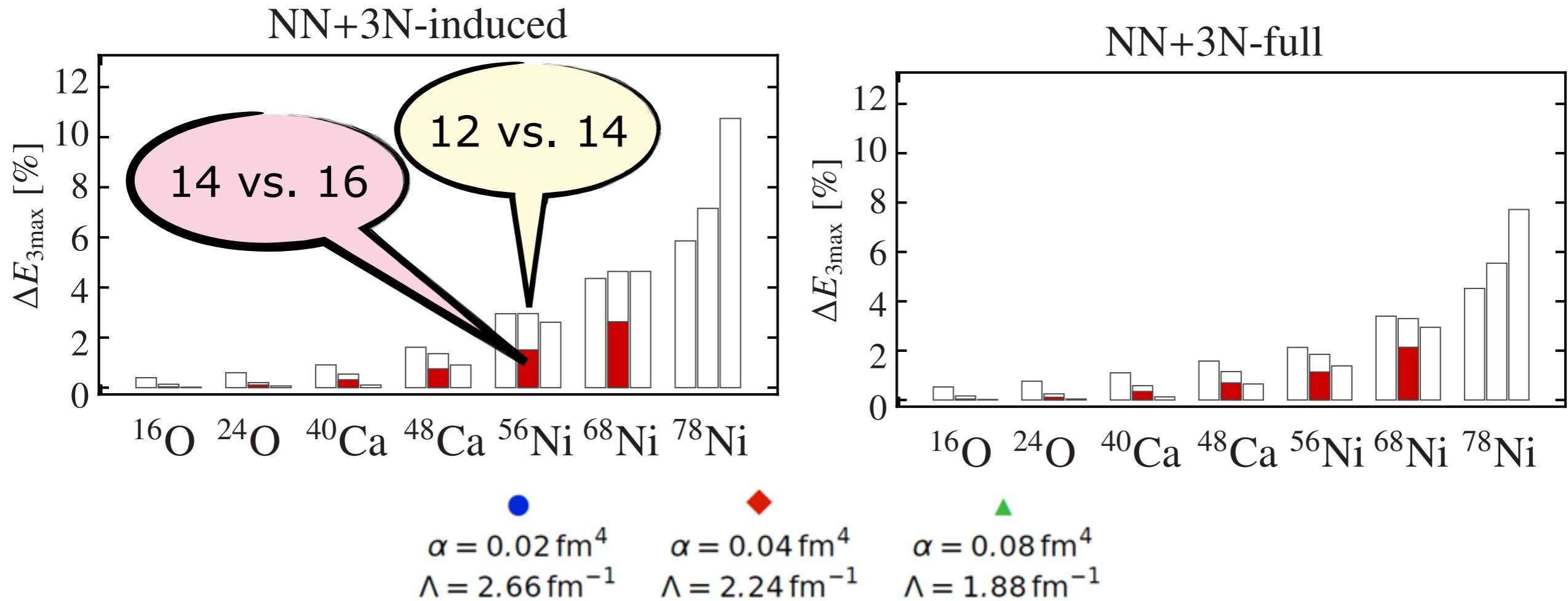
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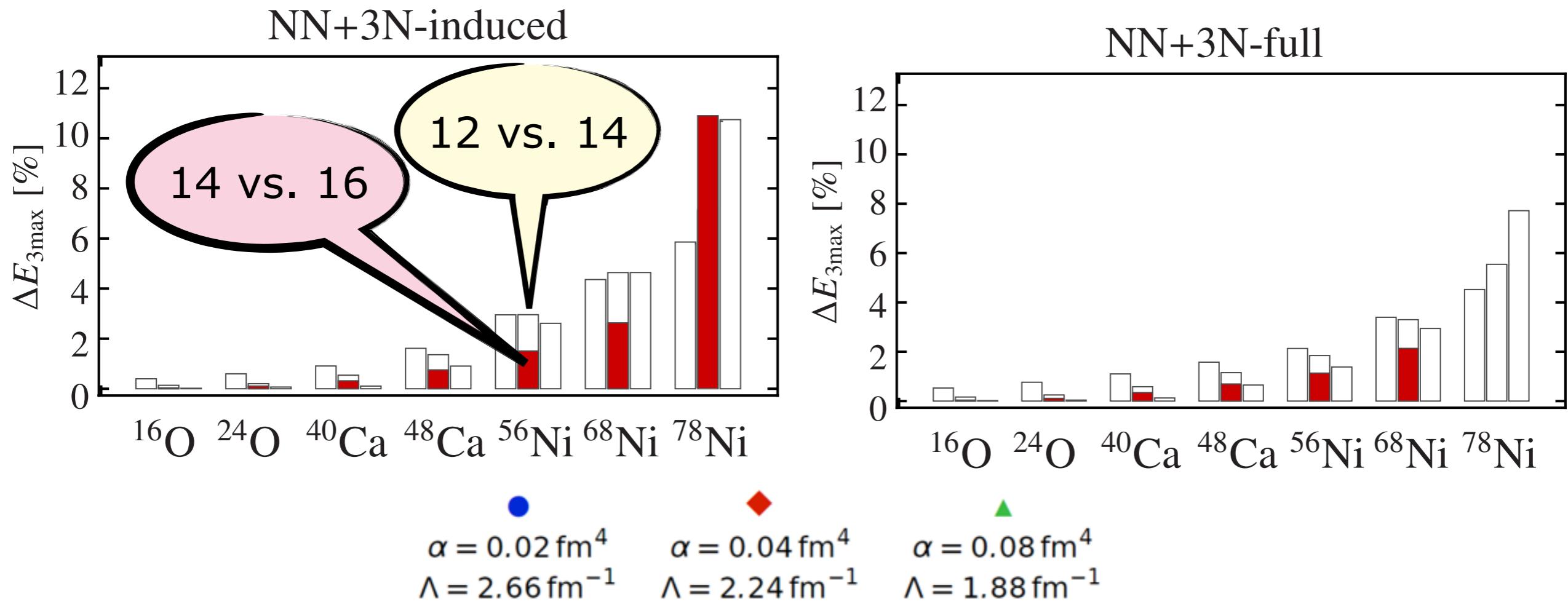
- $E_{3\text{Max}}=14$  vs.  $E_{3\text{Max}}=16$  (HF reference state with explicit  $E_{3\text{Max}}=14$  used)



- $E_{3\text{Max}}=16$  **not sufficient** beyond  $^{56}\text{Ni}$

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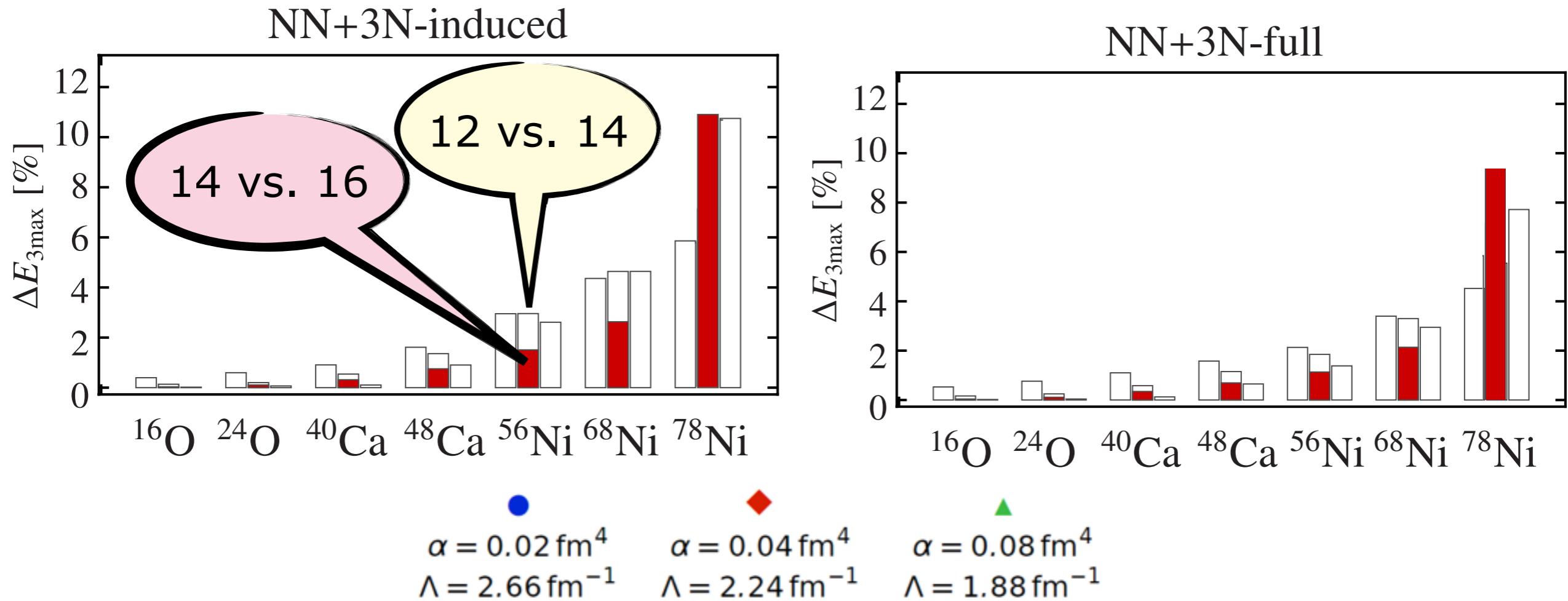
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## ● Similarity Renormalization Group

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- small frequencies: conversion

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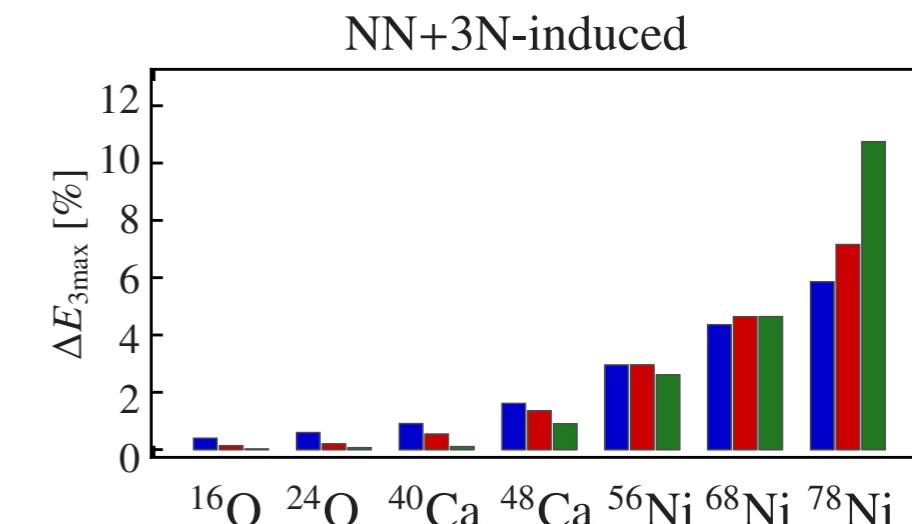
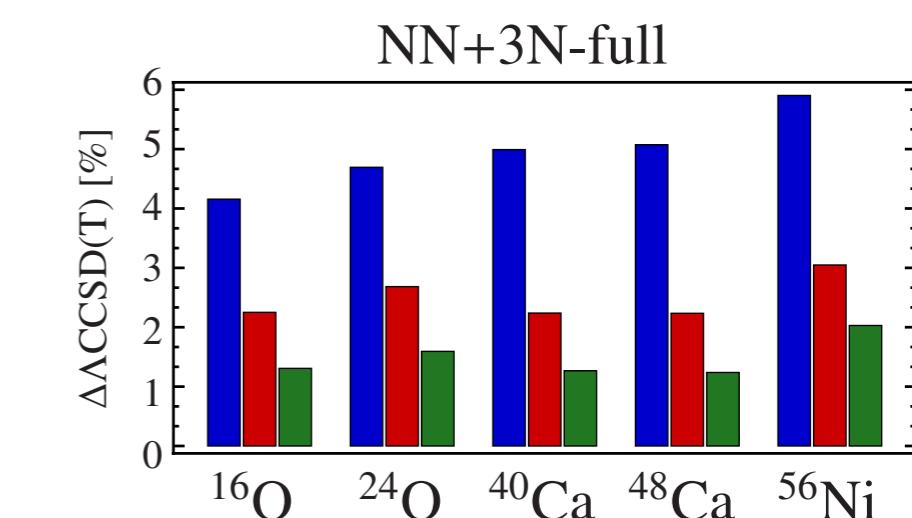
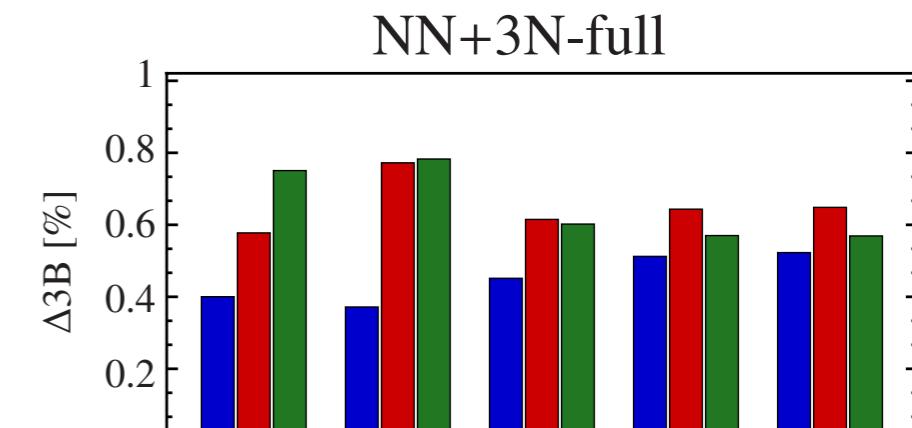
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## ● Cluster Truncation

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- soft interactions: only 1-2%
- no strong increase with mass number

## ● $E_{3\text{Max}}$ Cutoff

- up to  $^{56}\text{Ni}$ : 2-3% effect for  $E_{3\text{Max}}=12-14$
- 0.5% for soft interaction
- rapid increase with mass number
- $E_{3\text{Max}}=16$  not sufficient for  $A>60$



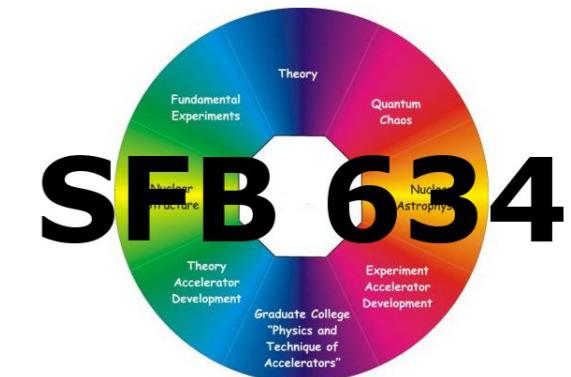
# Conclusions

- **medium-mass nuclei** are accessible with **ab initio methods**
- various **truncations** involved  $\Rightarrow$  **error quantification** necessary
  - many-body methods can provide **accurate solutions** to Schroedinger equation
  - **generation** and **preparation** of the **input** (Hamiltonian) has emerged as the more challenging part:
    - construct interactions from **chiral EFT**
    - soften Hamiltonians through **SRG**
    - high-**E3Max** normal-ordering
    - **frequency conversion**

# Epilogue

## ■ thanks to my group & collaborators

- A. Calci, E. Gebrerufael, P. Isserstedt, H. Krutsch, J. Langhammer, S. Reinhard, R. Roth, S. Schulz, C. Stumpf, A. Tichai, R. Trippel, R. Wirth
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- C. Forssén  
Chalmers University, Sweden
- H. Feldmeier, T. Neff  
GSI Helmholtzzentrum
- P. Papakonstantinou  
IPN Orsay, France



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Computing Time



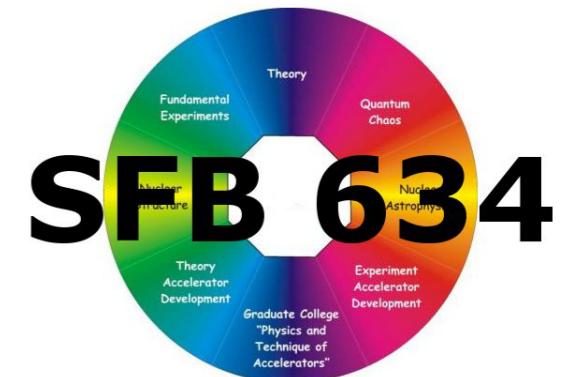
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The LOEWE logo features a red lion rampant and the word "LOEWE" in red.

Exzellente Forschung für  
Hessens Zukunft

The HELMHOLTZ GEMEINSCHAFT logo features a stylized blue and white wave symbol and the text "HELMHOLTZ GEMEINSCHAFT".

The logo for the Bundesministerium für Bildung und Forschung features a red eagle and the text "Bundesministerium für Bildung und Forschung".

# Epilogue

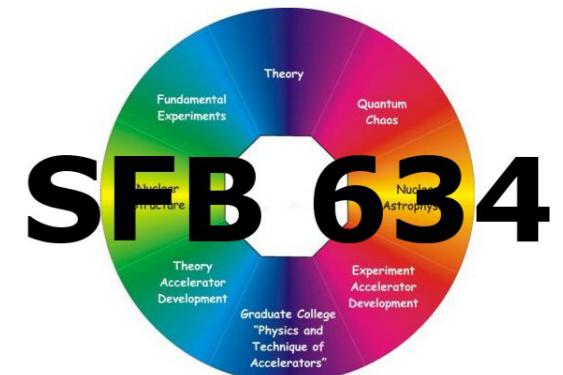
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University of Regensburg

Thanks for  
your attention!

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