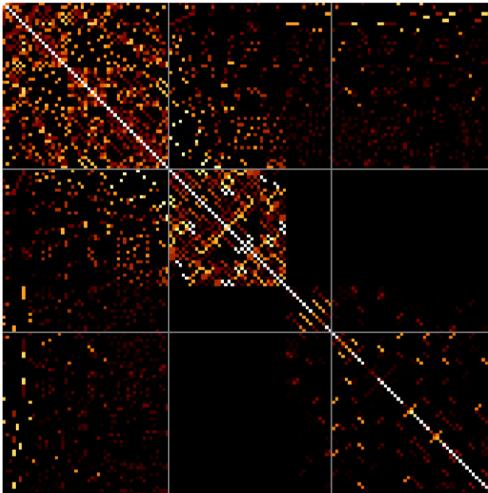


Ab Initio Method: In-Medium No-Core Shell Model

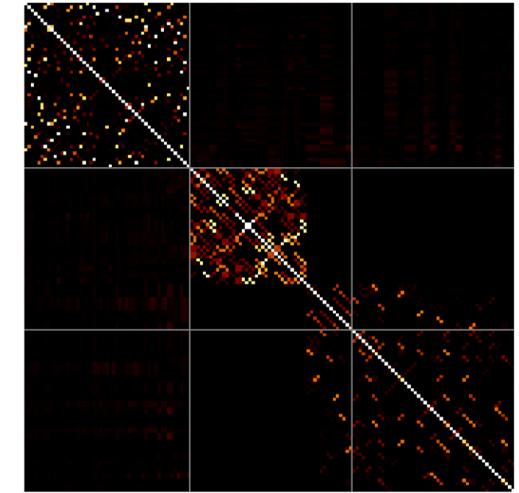
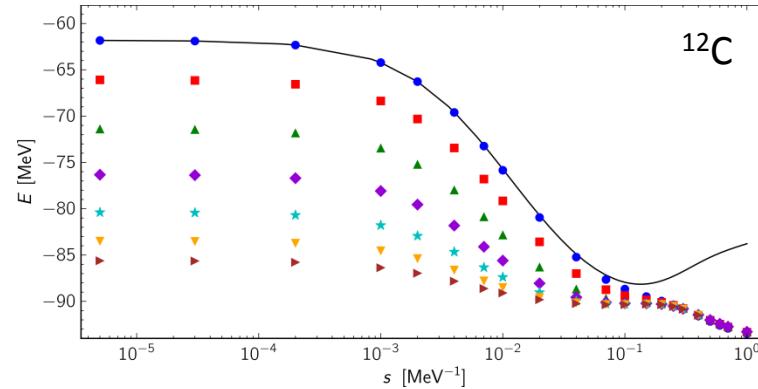
E. Gebrerufael¹ K. Vobig¹ H. Hergert² R. Roth¹

¹ Institut für Kernphysik, TU Darmstadt

² NSCL/FRIB Laboratory and Department of Physics & Astronomy, MSU



accepted on PRL, arXiv:1610.05254



- No-Core Shell Model (NCSM)
- In-Medium Similarity Renormalization Group (IM-SRG)
- In-Medium No-Core Shell Model
- Results
 - Evolution of Ground-State Energy
 - Evolution of Excitation Energies
 - Spectra
- Summary and Outlook

No-Core Shell Model

Basics



TECHNISCHE
UNIVERSITÄT
DARMSTADT

Barrett, Vary, Navratil, ...

... is one of the most powerful
exact *ab initio* methods
for the p- and lower sd-shell

- construct matrix representation of Hamiltonian using **basis of HO/HF Slater determinants** truncated w.r.t. excitation quanta N_{\max}
- solve **large-scale eigenvalue problem** for a few smallest eigenvalues
- range of applicability limited by **factorial growth** of basis with N_{\max} & A
- adaptive **importance-truncation** extends the range of NCSM

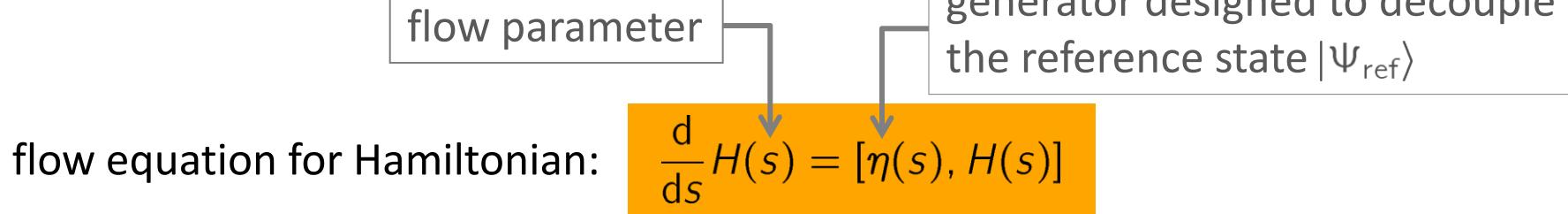
In-Medium Similarity Renormalization Group

Basics



Tsukiyama, Bogner, Schwenk, Hergert,..

... uses flow equation for
normal-ordered Hamiltonian to decouple
the **reference state** from its excitations



In-Medium Similarity Renormalization Group Basics



Tsukiyama, Bogner, Schwenk, Hergert,..

... uses flow equation for
normal-ordered Hamiltonian to decouple
the **reference state** from its excitations

flow parameter

generator designed to decouple
the reference state $|\Psi_{\text{ref}}\rangle$

flow equation for Hamiltonian:

$$\frac{d}{ds} H(s) = [\eta(s), H(s)]$$

H in multi-reference normal-ordered form w.r.t. $|\Psi_{\text{ref}}\rangle$ [Kutzelnigg,Mukherjee]

$$H(0) = E(0) + \sum f_{\circlearrowleft}^{\circlearrowleft}(0) \tilde{a}_{\circlearrowleft}^{\circlearrowleft} + \frac{1}{4} \sum \Gamma_{\circlearrowleft\circlearrowleft}^{\circlearrowleft\circlearrowleft}(0) \tilde{a}_{\circlearrowleft\circlearrowleft}^{\circlearrowleft\circlearrowleft} + \frac{1}{36} \sum W_{\circlearrowleft\circlearrowleft\circlearrowleft}^{\circlearrowleft\circlearrowleft\circlearrowleft}(0) \tilde{a}_{\circlearrowleft\circlearrowleft\circlearrowleft}^{\circlearrowleft\circlearrowleft\circlearrowleft}$$

In-Medium Similarity Renormalization Group Basics



Tsukiyama, Bogner, Schwenk, Hergert,..

... uses flow equation for
normal-ordered Hamiltonian to decouple
the **reference state** from its excitations

flow parameter

generator designed to decouple
the reference state $|\Psi_{\text{ref}}\rangle$

flow equation for Hamiltonian:

$$\frac{d}{ds} H(s) = [\eta(s), H(s)]$$

H in multi-reference normal-ordered form w.r.t. $|\Psi_{\text{ref}}\rangle$ [Kutzelnigg,Mukherjee]

$$H(0) = E(0) + \sum f_{\circlearrowleft}(0) \tilde{a}_{\circlearrowleft} + \frac{1}{4} \sum \Gamma_{\circlearrowleft\circlearrowleft}(0) \tilde{a}_{\circlearrowleft\circlearrowleft} + \cancel{\frac{1}{36} \sum W_{\circlearrowleft\circlearrowleft\circlearrowleft\circlearrowleft}(0) a_{\circlearrowleft\circlearrowleft\circlearrowleft\circlearrowleft}}$$

In-Medium Similarity Renormalization Group Basics



Tsukiyama, Bogner, Schwenk, Hergert,..

... uses flow equation for
normal-ordered Hamiltonian to decouple
the **reference state** from its excitations

flow parameter

generator designed to decouple
the reference state $|\Psi_{\text{ref}}\rangle$

flow equation for Hamiltonian:

$$\frac{d}{ds} H(s) = [\eta(s), H(s)]$$

H in multi-reference normal-ordered form w.r.t. $|\Psi_{\text{ref}}\rangle$ [Kutzelnigg,Mukherjee]

$$H(0) = E(0) + \sum f_{\circlearrowleft}(0) \tilde{a}_{\circlearrowleft} + \frac{1}{4} \sum \Gamma_{\circlearrowleft\circlearrowleft}(0) \tilde{a}_{\circlearrowleft\circlearrowleft} + \cancel{\frac{1}{36} \sum W_{\circlearrowleft\circlearrowleft\circlearrowleft\circlearrowleft}(0) \tilde{a}_{\circlearrowleft\circlearrowleft\circlearrowleft\circlearrowleft}}$$

$$H(s) = E(s) + \sum f_{\circlearrowleft}(s) \tilde{a}_{\circlearrowleft} + \frac{1}{4} \sum \Gamma_{\circlearrowleft\circlearrowleft}(s) \tilde{a}_{\circlearrowleft\circlearrowleft} + \frac{1}{36} \sum W_{\circlearrowleft\circlearrowleft\circlearrowleft\circlearrowleft}(s) \tilde{a}_{\circlearrowleft\circlearrowleft\circlearrowleft\circlearrowleft} + \dots$$

In-Medium Similarity Renormalization Group Basics



Tsukiyama, Bogner, Schwenk, Hergert,..

... uses flow equation for
normal-ordered Hamiltonian to decouple
the **reference state** from its excitations

flow parameter

generator designed to decouple
the reference state $|\Psi_{\text{ref}}\rangle$

flow equation for Hamiltonian:

$$\frac{d}{ds} H(s) = [\eta(s), H(s)]$$

H in multi-reference normal-ordered form w.r.t. $|\Psi_{\text{ref}}\rangle$ [Kutzelnigg,Mukherjee]

$$H(0) = E(0) + \sum f_{\circlearrowleft}(0) \tilde{a}_{\circlearrowleft} + \frac{1}{4} \sum \Gamma_{\circlearrowleft\circlearrowleft}(0) \tilde{a}_{\circlearrowleft\circlearrowleft} + \cancel{\frac{1}{36} \sum W_{\circlearrowleft\circlearrowleft\circlearrowleft\circlearrowleft}(0) \tilde{a}_{\circlearrowleft\circlearrowleft\circlearrowleft\circlearrowleft}}$$

$$H(s) = E(s) + \sum f_{\circlearrowleft}(s) \tilde{a}_{\circlearrowleft} + \frac{1}{4} \sum \Gamma_{\circlearrowleft\circlearrowleft}(s) \tilde{a}_{\circlearrowleft\circlearrowleft} + \cancel{\frac{1}{36} \sum W_{\circlearrowleft\circlearrowleft\circlearrowleft\circlearrowleft}(s) \tilde{a}_{\circlearrowleft\circlearrowleft\circlearrowleft\circlearrowleft}} + \dots$$

In-Medium Similarity Renormalization Group Basics



Tsukiyama, Bogner, Schwenk, Hergert,..

... uses flow equation for
normal-ordered Hamiltonian to decouple
the **reference state** from its excitations

flow parameter

generator designed to decouple
the reference state $|\Psi_{\text{ref}}\rangle$

flow equation for Hamiltonian:

$$\frac{d}{ds} H(s) = [\eta(s), H(s)]$$

H in multi-reference normal-ordered form w.r.t. $|\Psi_{\text{ref}}\rangle$ [Kutzelnigg,Mukherjee]

$$H(0) = E(0) + \sum f_{\circlearrowleft}(0) \tilde{a}_{\circlearrowleft} + \frac{1}{4} \sum \Gamma_{\circlearrowleft\circlearrowleft}(0) \tilde{a}_{\circlearrowleft\circlearrowleft} + \cancel{\frac{1}{36} \sum W_{\circlearrowleft\circlearrowleft\circlearrowleft\circlearrowleft}(0) \tilde{a}_{\circlearrowleft\circlearrowleft\circlearrowleft\circlearrowleft}}$$

$$H(s) = E(s) + \sum f_{\circlearrowleft}(s) \tilde{a}_{\circlearrowleft} + \frac{1}{4} \sum \Gamma_{\circlearrowleft\circlearrowleft}(s) \tilde{a}_{\circlearrowleft\circlearrowleft} + \cancel{\frac{1}{36} \sum W_{\circlearrowleft\circlearrowleft\circlearrowleft\circlearrowleft}(s) \tilde{a}_{\circlearrowleft\circlearrowleft\circlearrowleft\circlearrowleft}} + \dots$$

$$\langle \Psi_{\text{ref}} | H(s) | \Psi_{\text{ref}} \rangle = E(s)$$

In-Medium No-Core Shell Model

Why should we merge...



TECHNISCHE
UNIVERSITÄT
DARMSTADT

IM-SRG

- + easy access to heavy nuclei
- + soft computational scaling with A
- + decoupling in A -body space
- not exact method
- only for ground state
- spectroscopy not straight forward

NCSM

- limited to light nuclei
- factorial growth of model space
- difficult to obtain model-space convergence
- + exact method
- + easy access to excited states
- + spectroscopy for free

In-Medium No-Core Shell Model

Why should we merge...



TECHNISCHE
UNIVERSITÄT
DARMSTADT

IM-NCSM

- + easy access to heavy nuclei
- + soft computational scaling with A
- + decoupling in A -body space

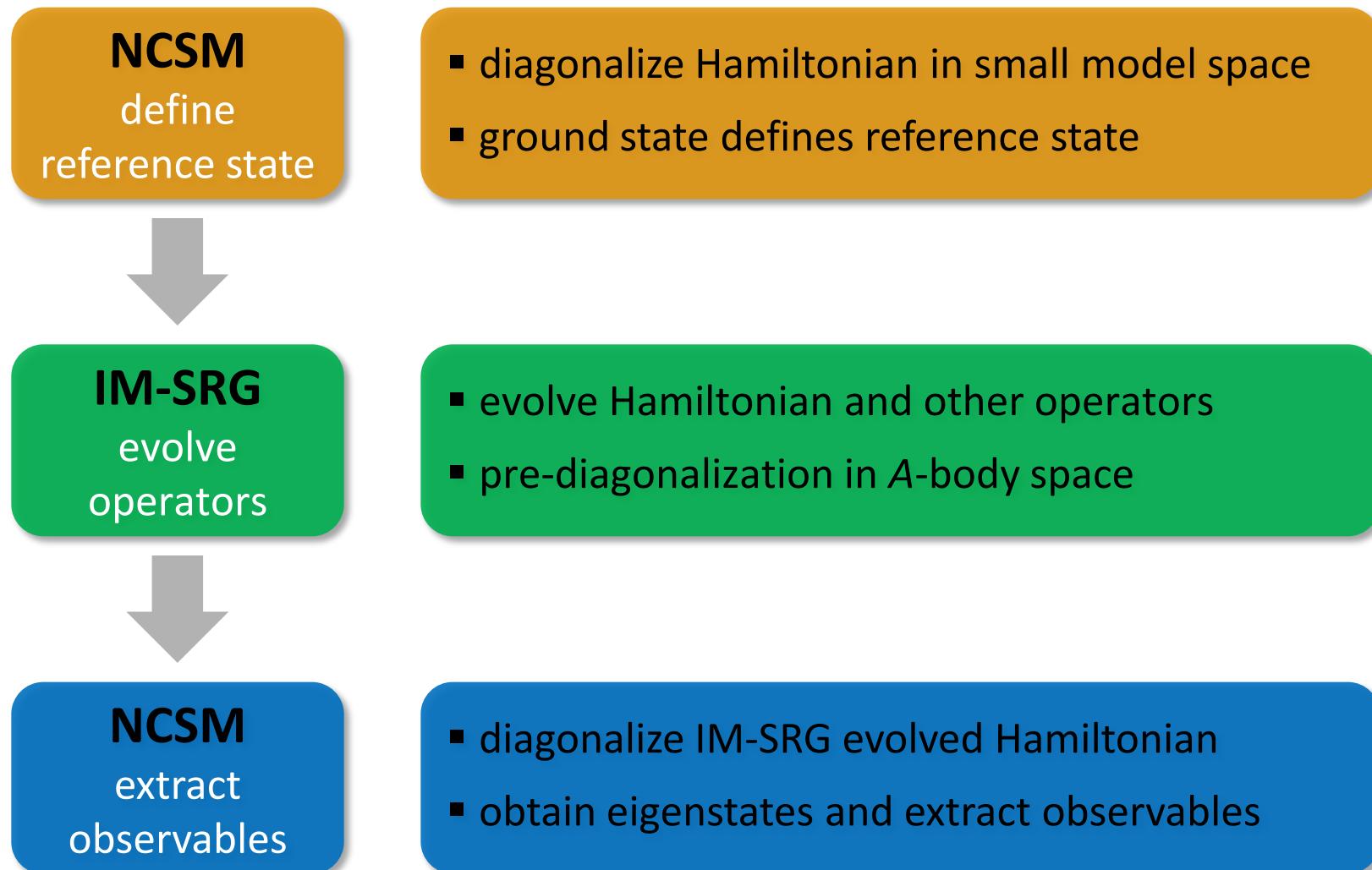
- limited to light nuclei
- factorial growth of model space
- difficult to obtain model-space convergence

- not exact method
- only for ground state
- spectroscopy not straight forward

- + exact method
- + easy access to excited states
- + spectroscopy for free

In-Medium No-Core Shell Model

How should we merge...



In-Medium No-Core Shell Model

IM-NCSM is different from ...



TECHNISCHE
UNIVERSITÄT
DARMSTADT



**IM-NCSM is different from
IM-SRG for valence-space interactions:**

- build on explicit multi-reference formulation
- full no-core approach
- all model-space truncations are converged

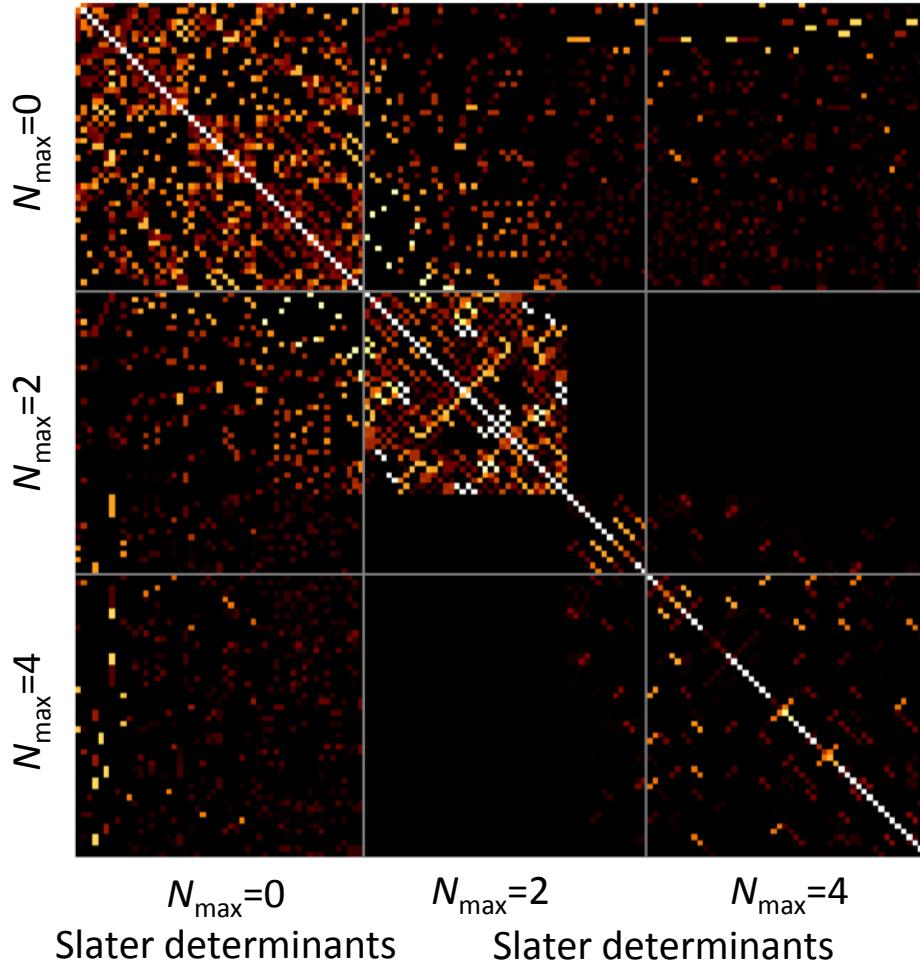
In-Medium No-Core Shell Model

Hamiltonian Matrix in A-Body Basis: ^{12}C



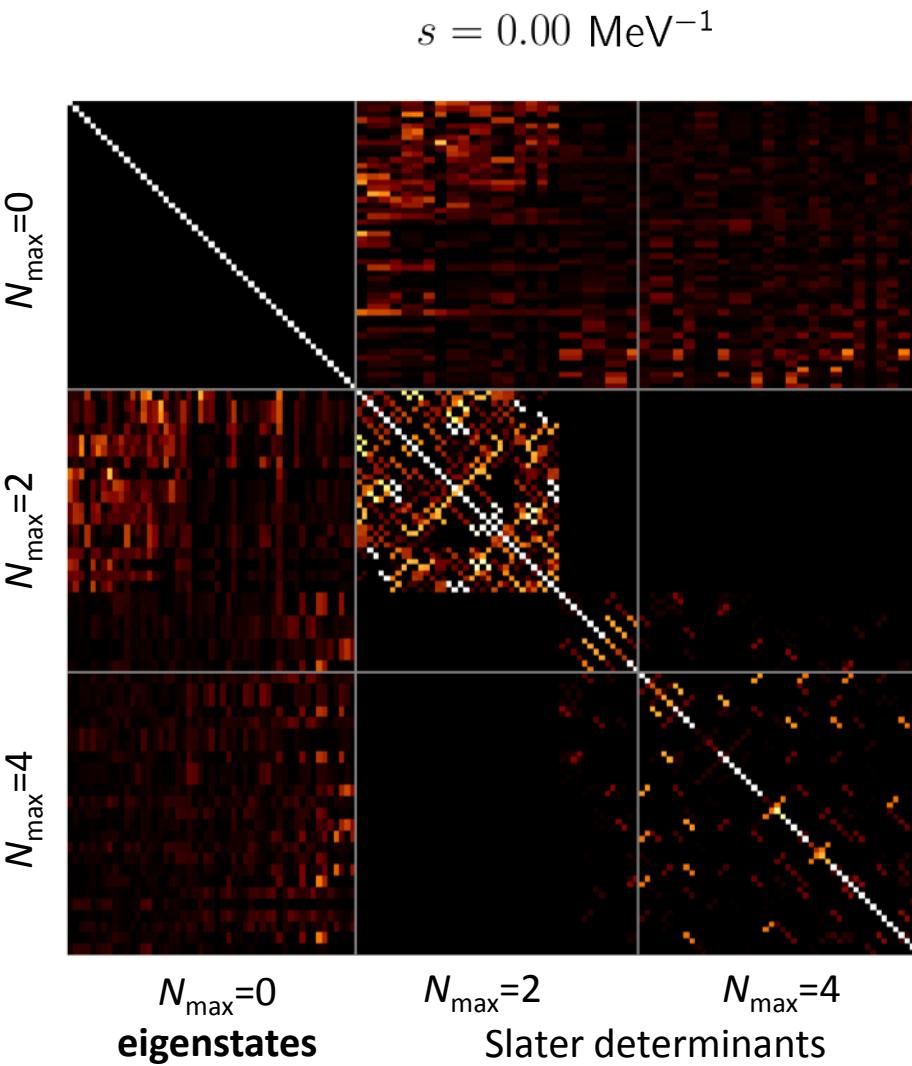
TECHNISCHE
UNIVERSITÄT
DARMSTADT

$$s = 0.00 \text{ MeV}^{-1}$$



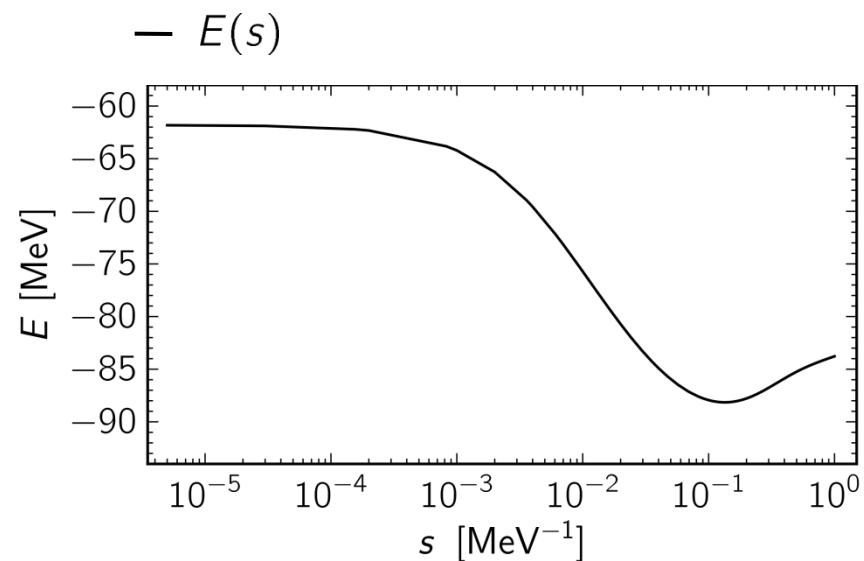
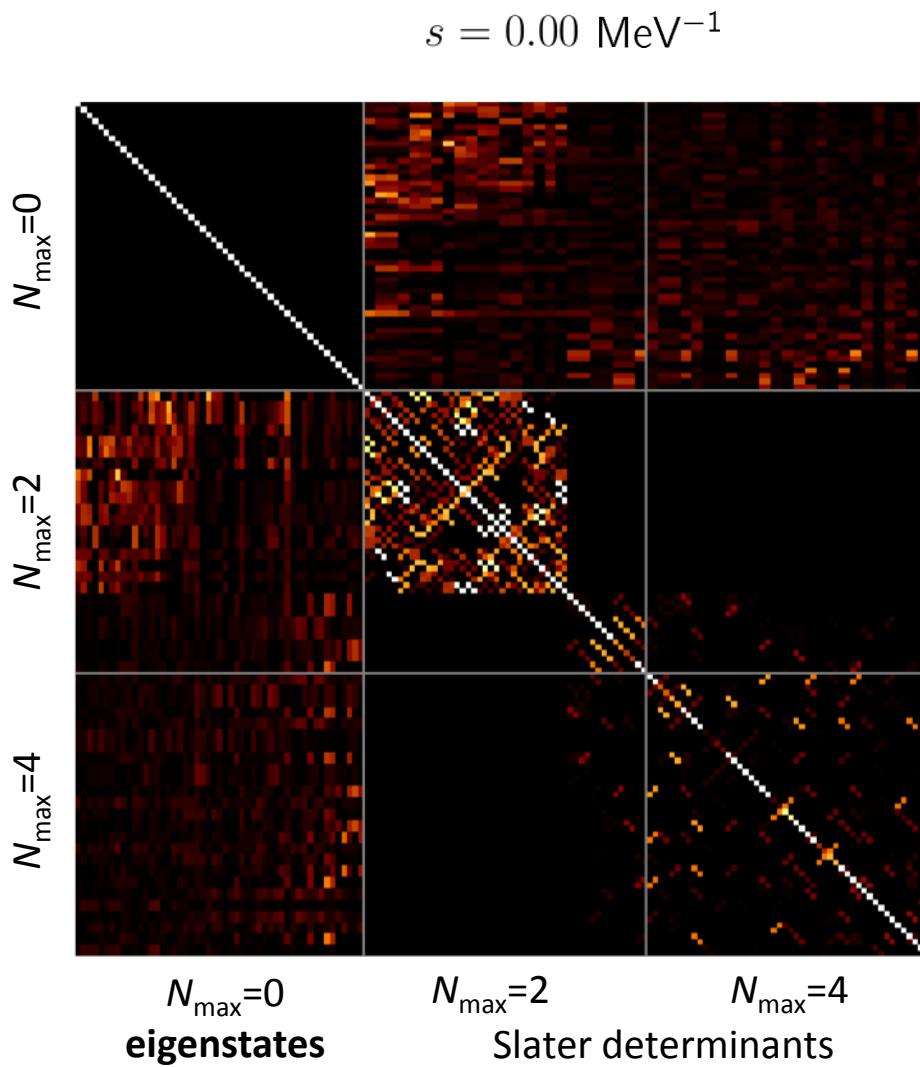
In-Medium No-Core Shell Model

Hamiltonian Matrix in A-Body Basis: ^{12}C



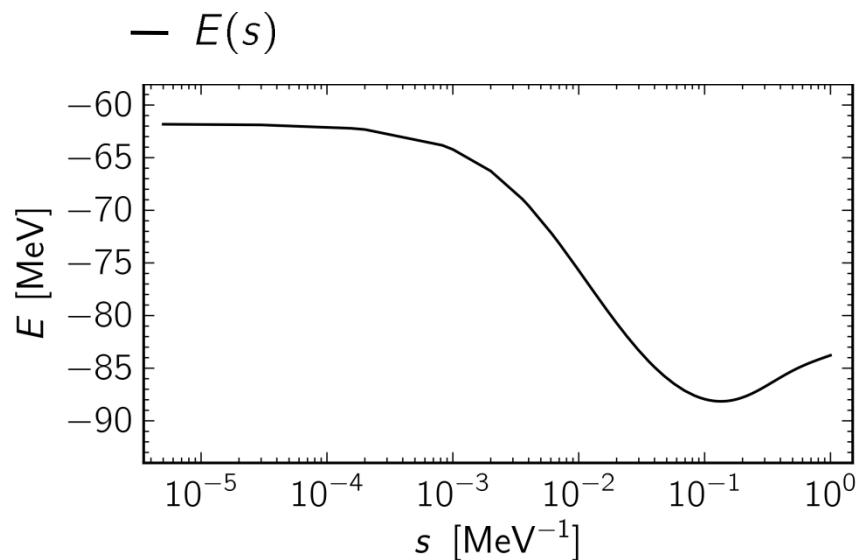
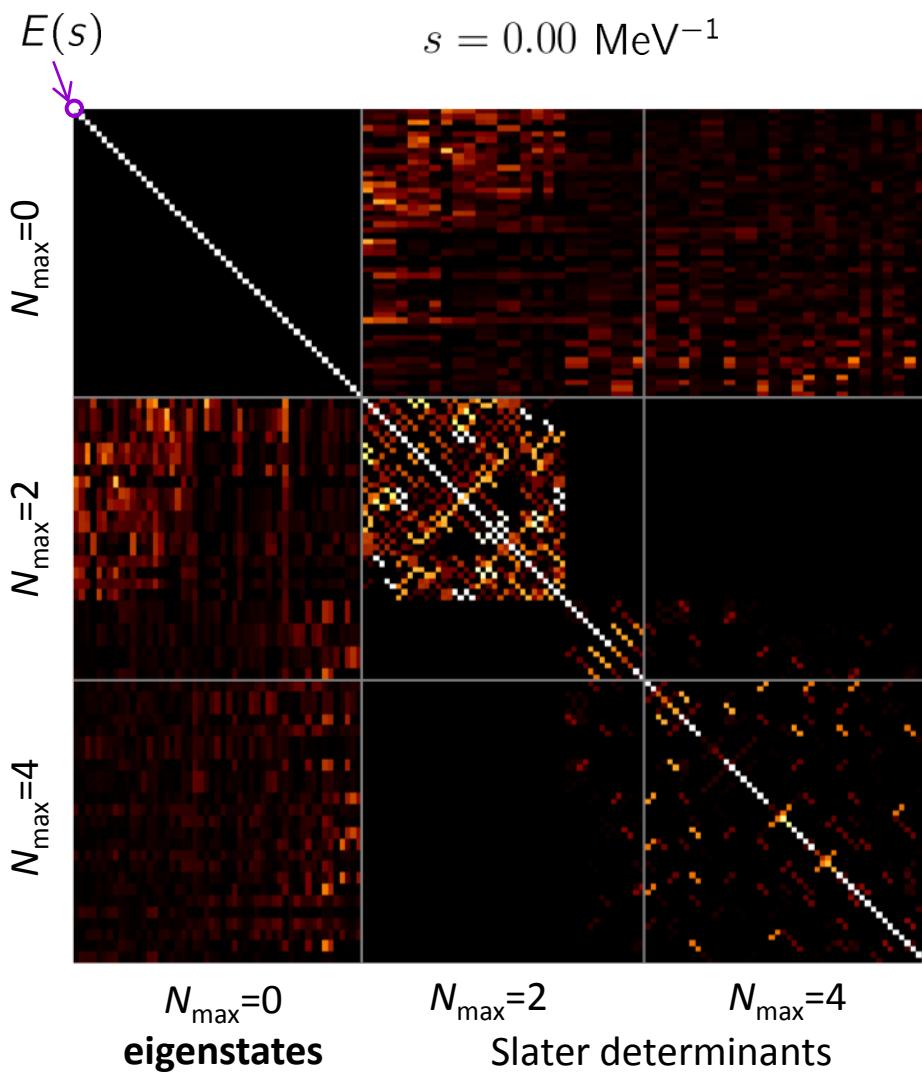
In-Medium No-Core Shell Model

Hamiltonian Matrix in A-Body Basis: ^{12}C



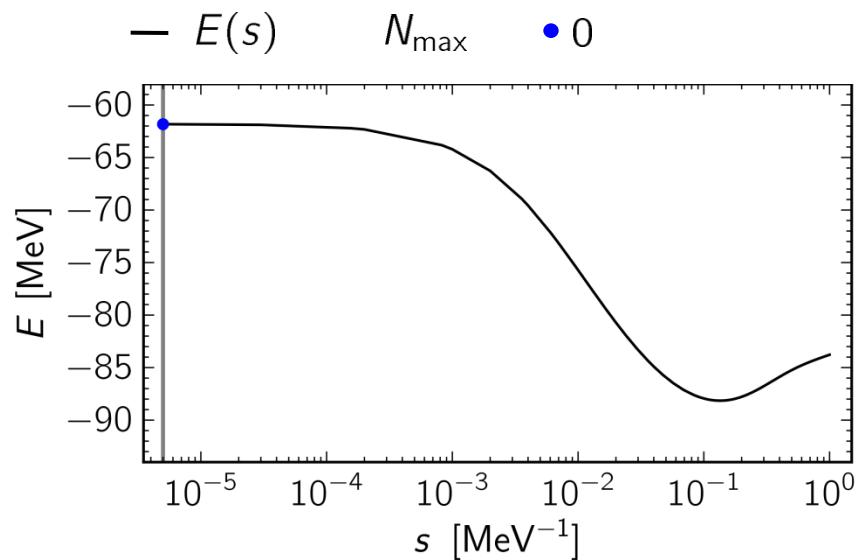
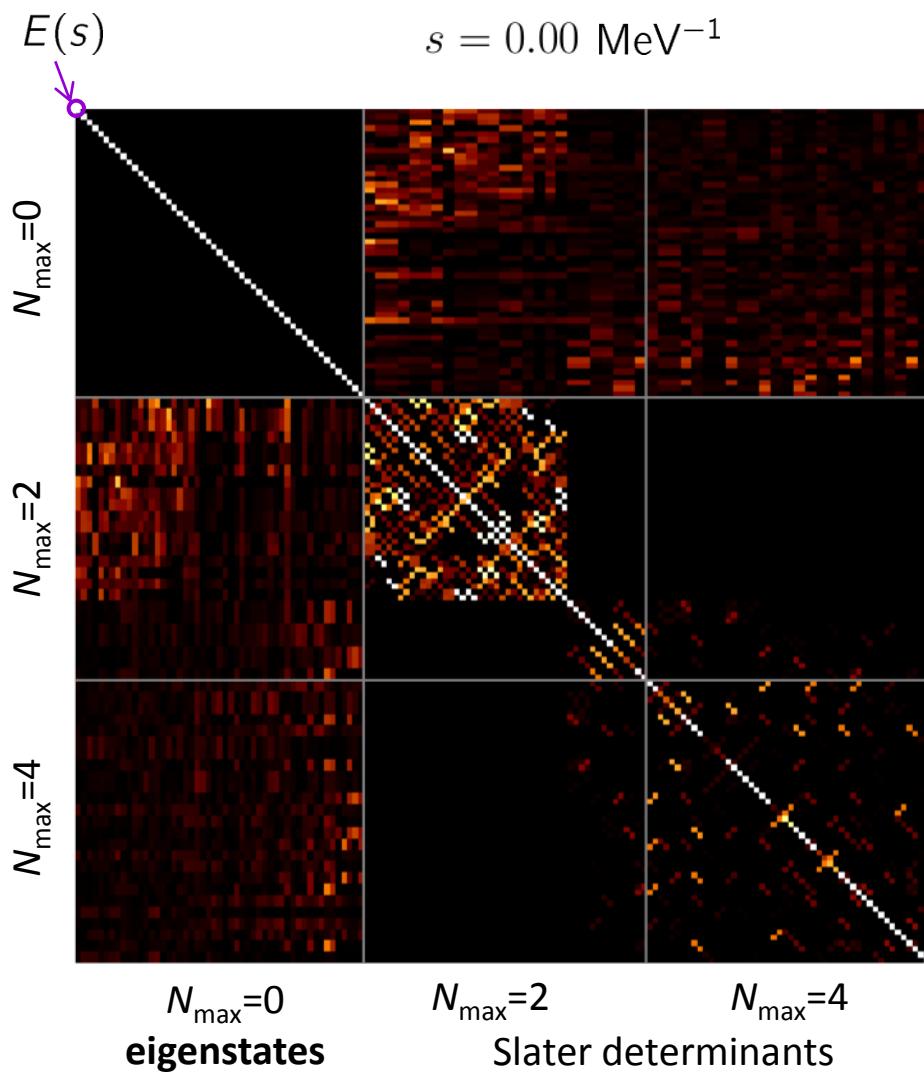
In-Medium No-Core Shell Model

Hamiltonian Matrix in A-Body Basis: ^{12}C



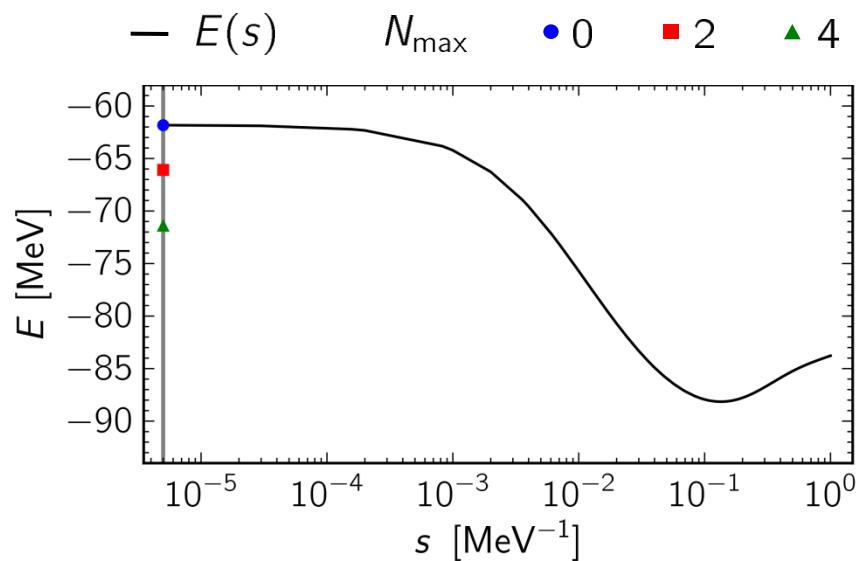
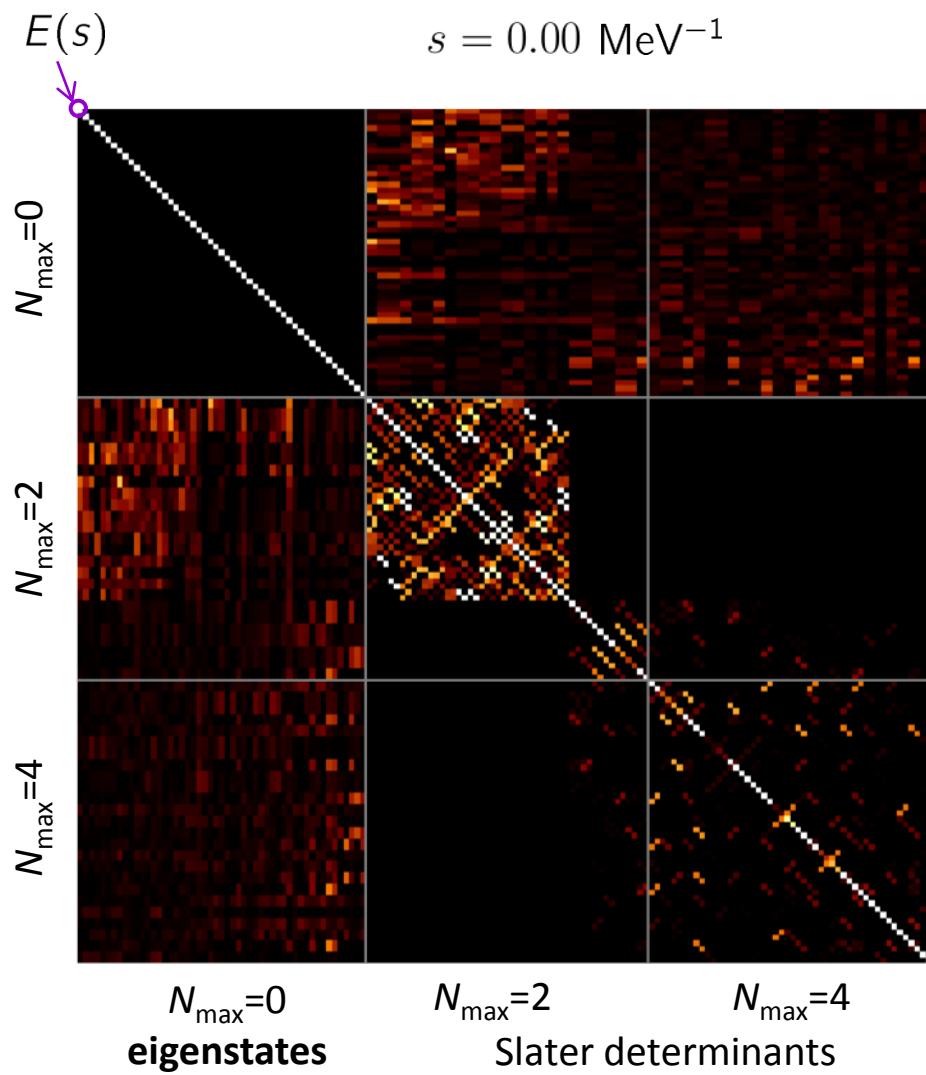
In-Medium No-Core Shell Model

Hamiltonian Matrix in A-Body Basis: ^{12}C



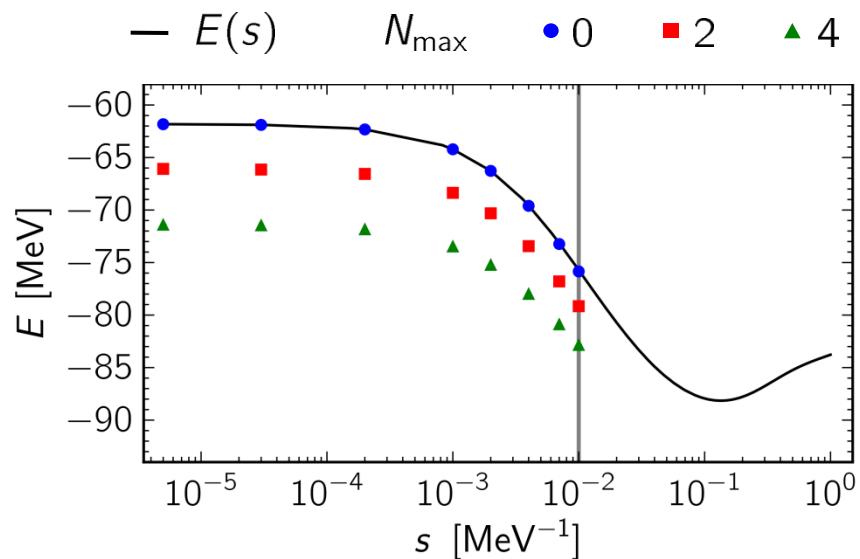
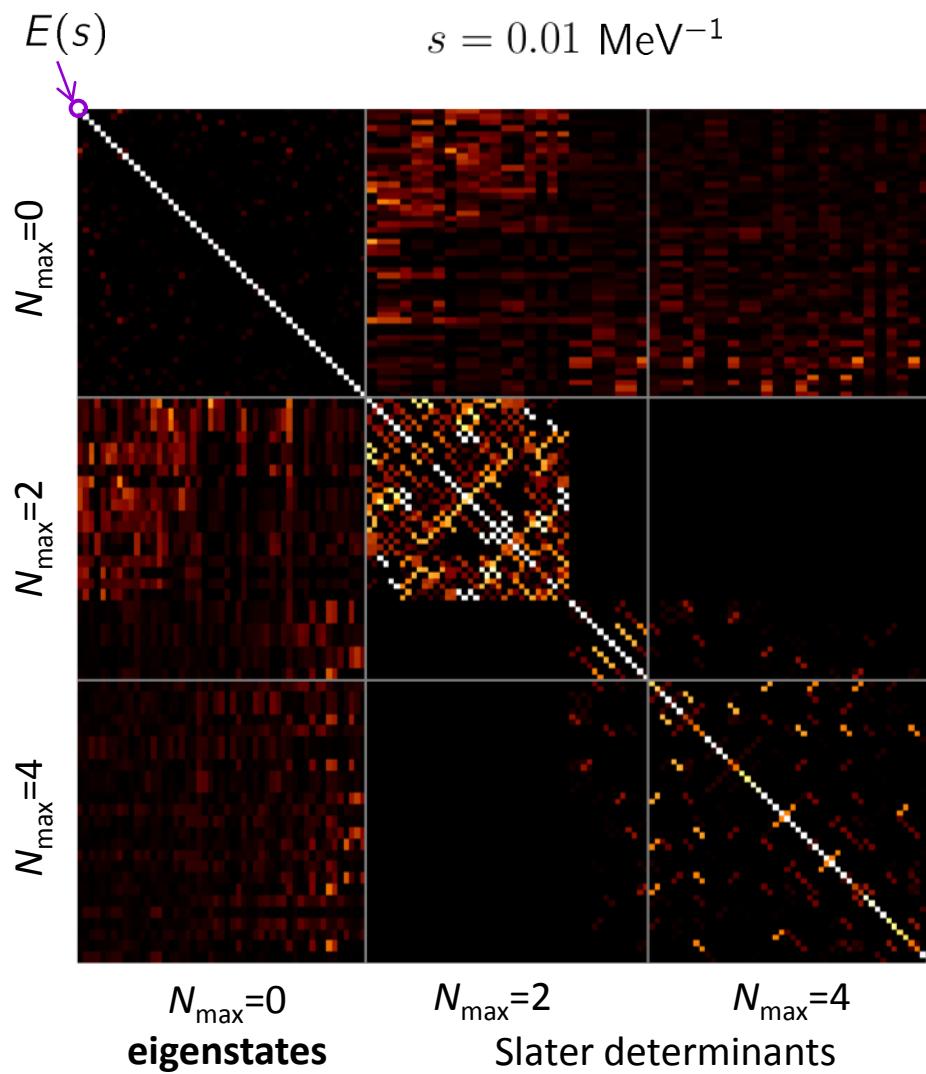
In-Medium No-Core Shell Model

Hamiltonian Matrix in A-Body Basis: ^{12}C



In-Medium No-Core Shell Model

Hamiltonian Matrix in A-Body Basis: ^{12}C

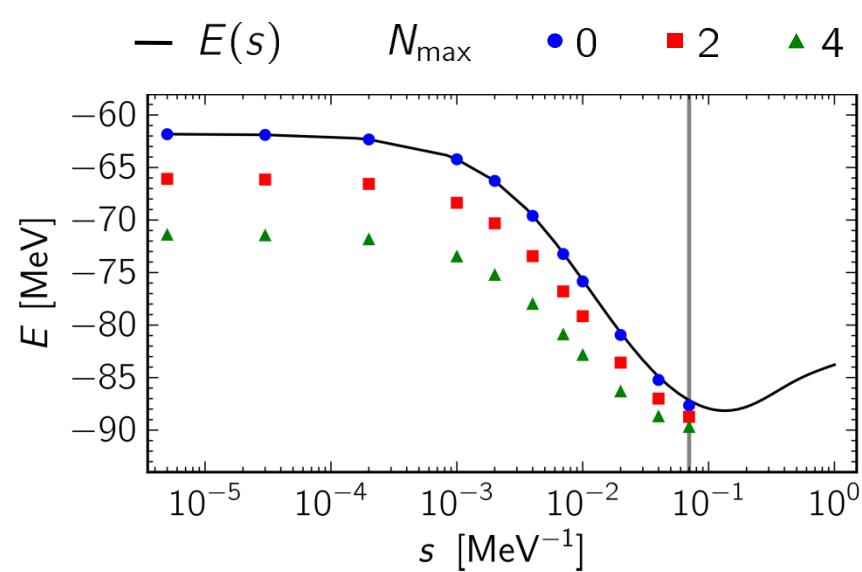
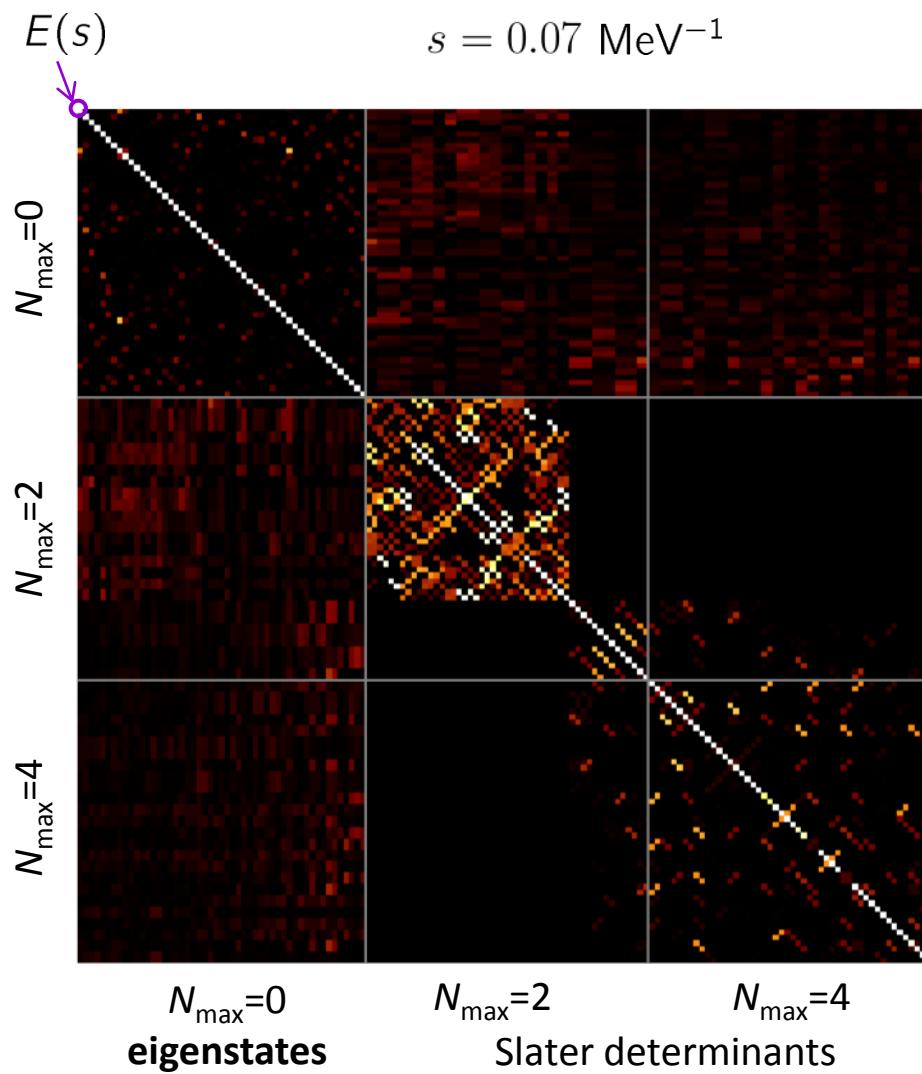


In-Medium No-Core Shell Model

Hamiltonian Matrix in A-Body Basis: ^{12}C



TECHNISCHE
UNIVERSITÄT
DARMSTADT

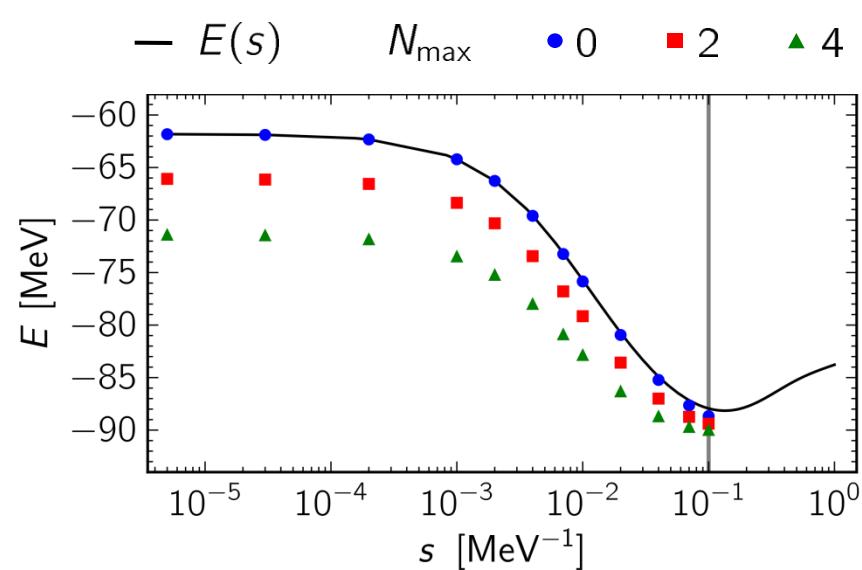
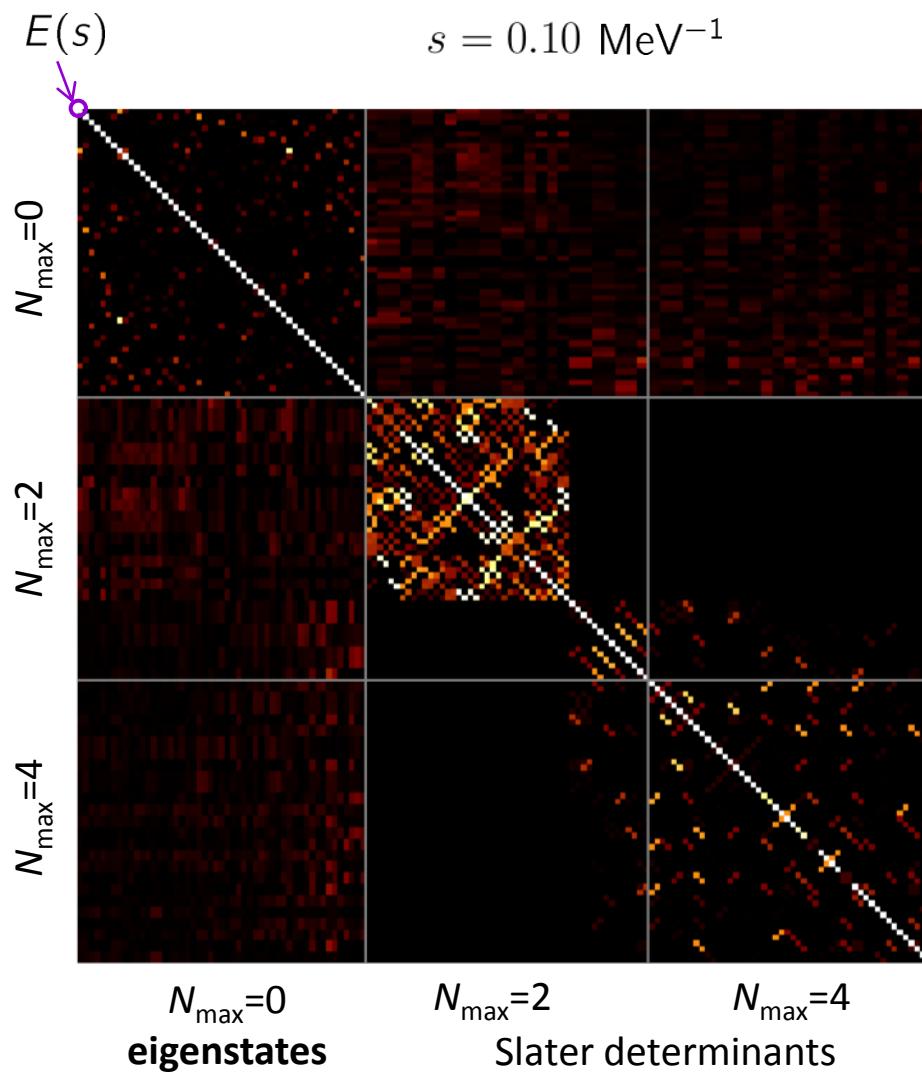


In-Medium No-Core Shell Model

Hamiltonian Matrix in A-Body Basis: ^{12}C



TECHNISCHE
UNIVERSITÄT
DARMSTADT

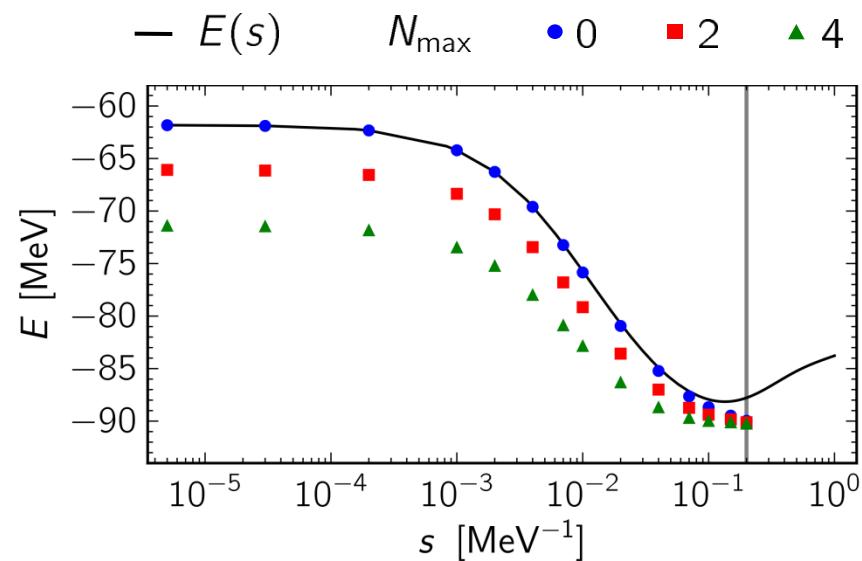
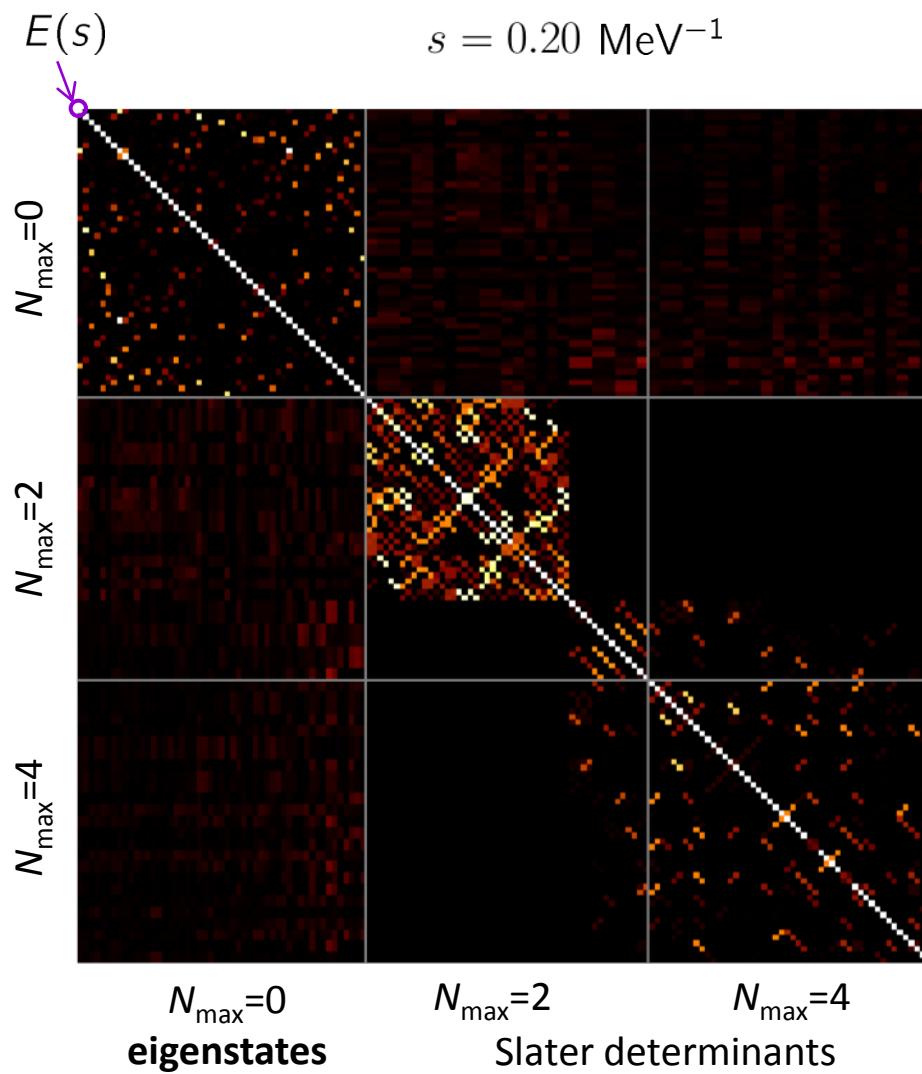


In-Medium No-Core Shell Model

Hamiltonian Matrix in A-Body Basis: ^{12}C



TECHNISCHE
UNIVERSITÄT
DARMSTADT

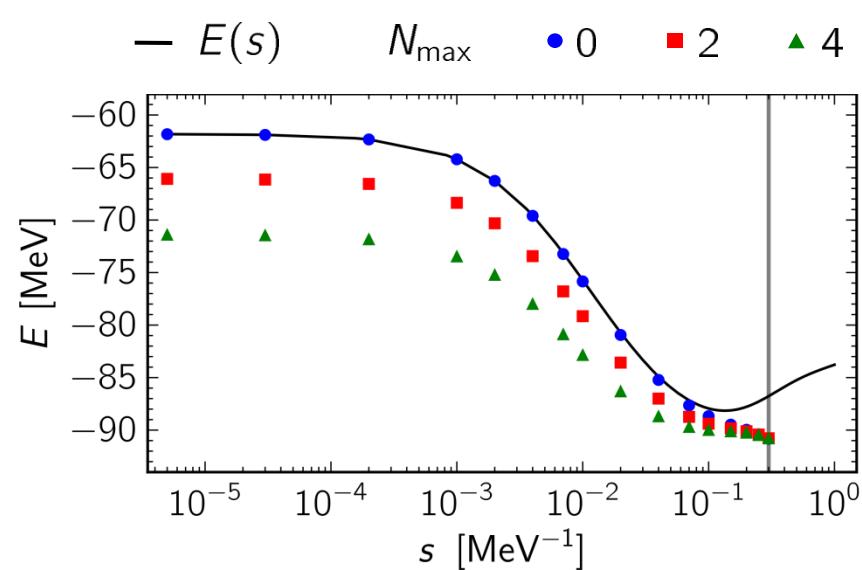
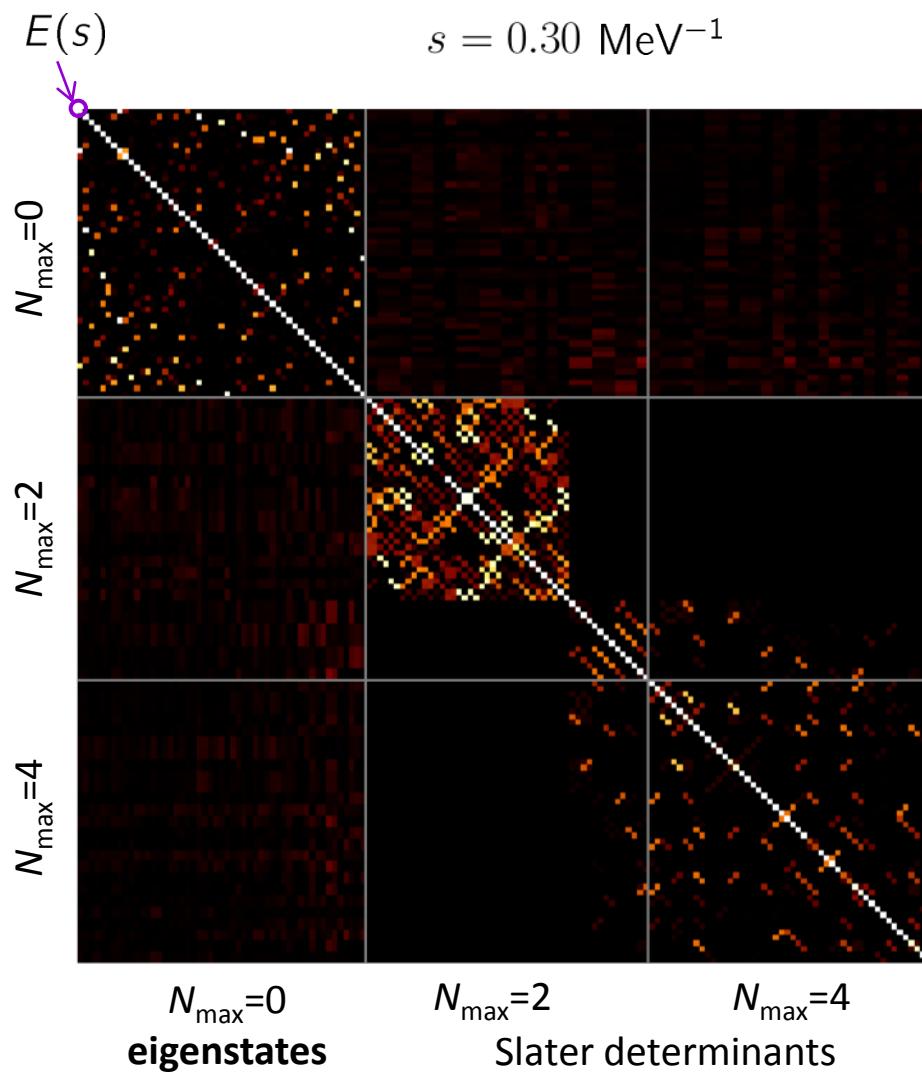


In-Medium No-Core Shell Model

Hamiltonian Matrix in A-Body Basis: ^{12}C

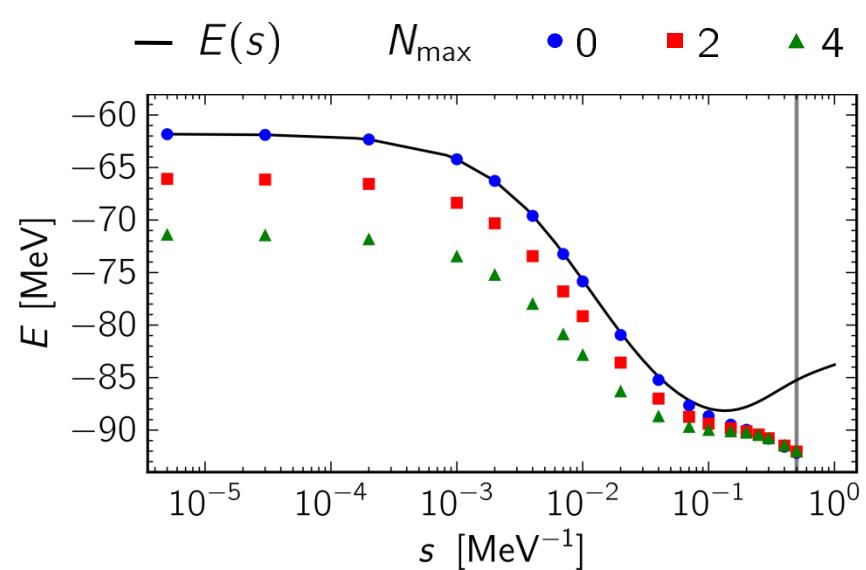
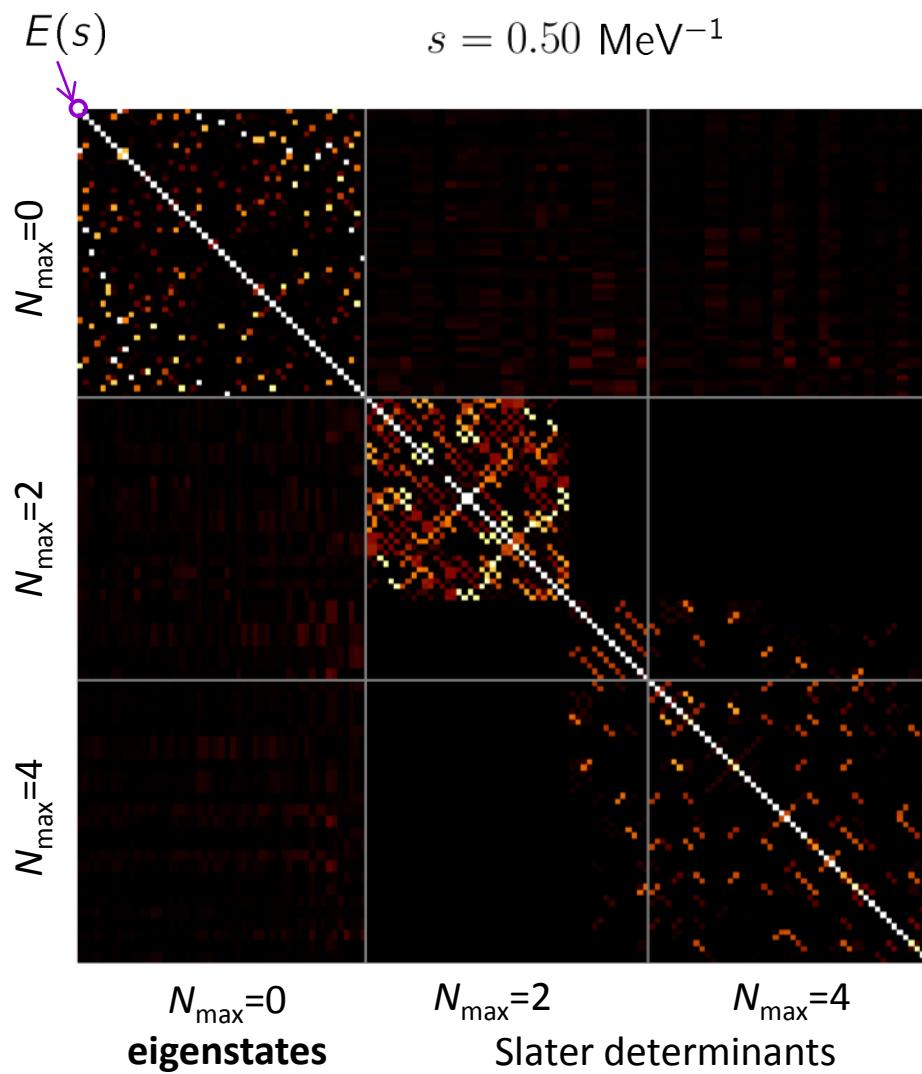


TECHNISCHE
UNIVERSITÄT
DARMSTADT



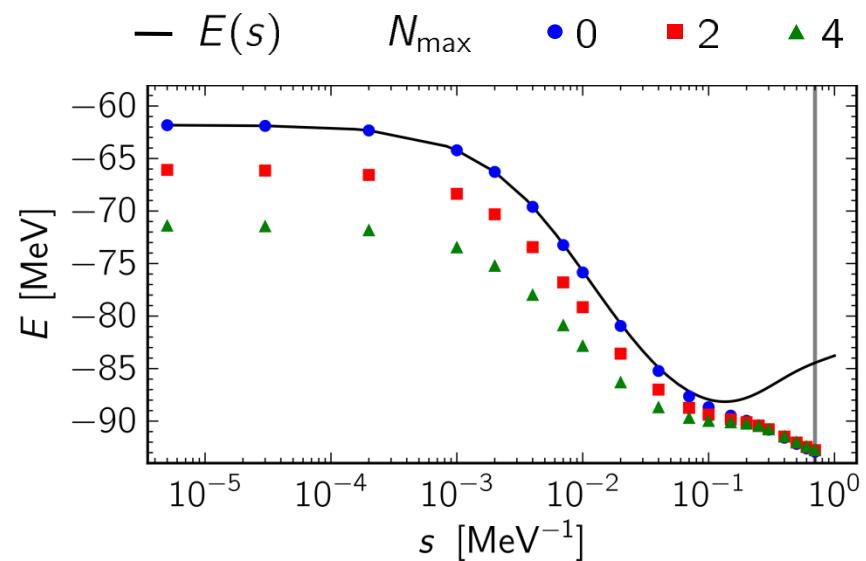
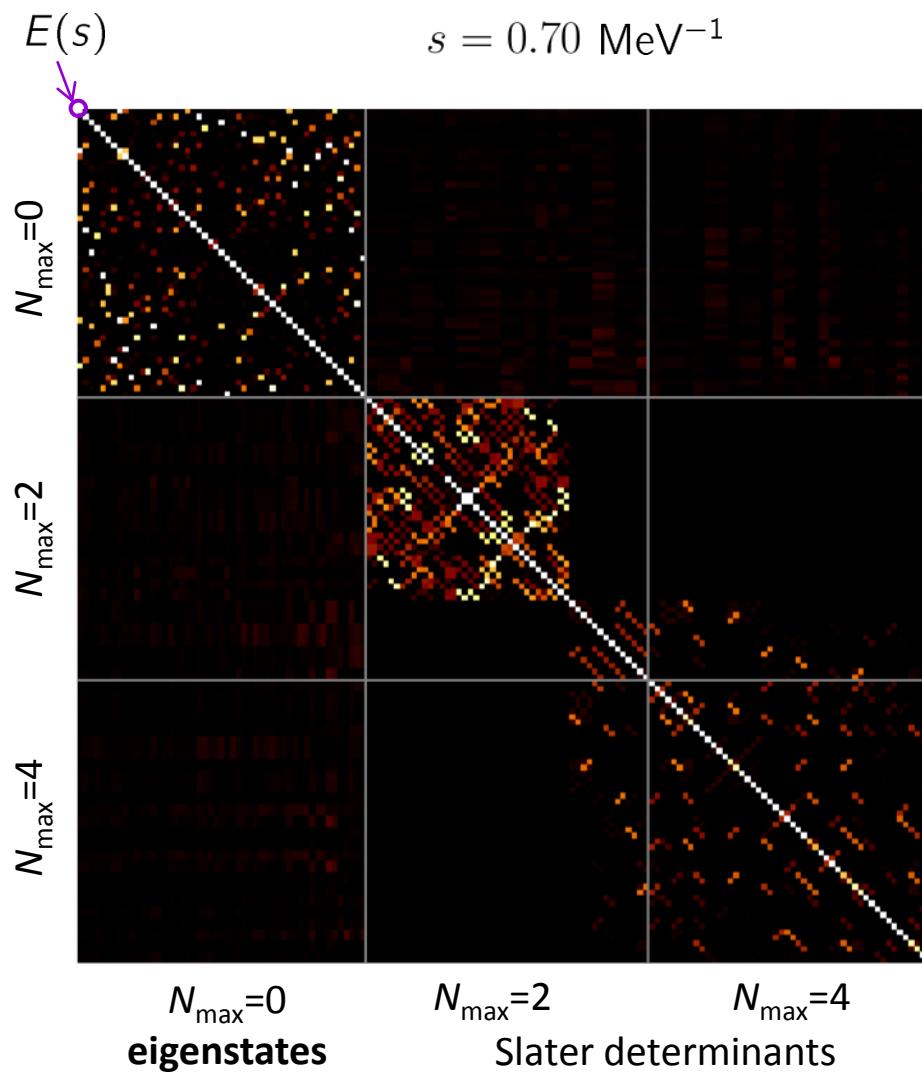
In-Medium No-Core Shell Model

Hamiltonian Matrix in A-Body Basis: ^{12}C



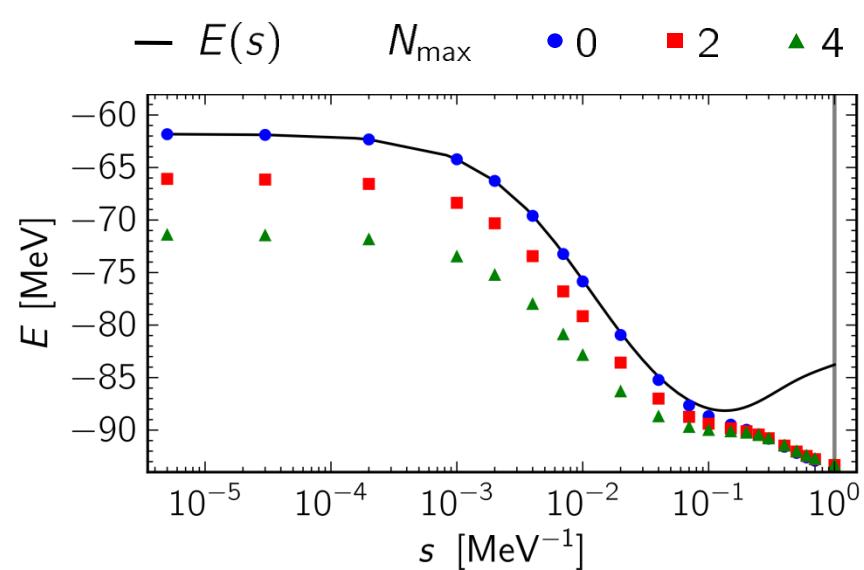
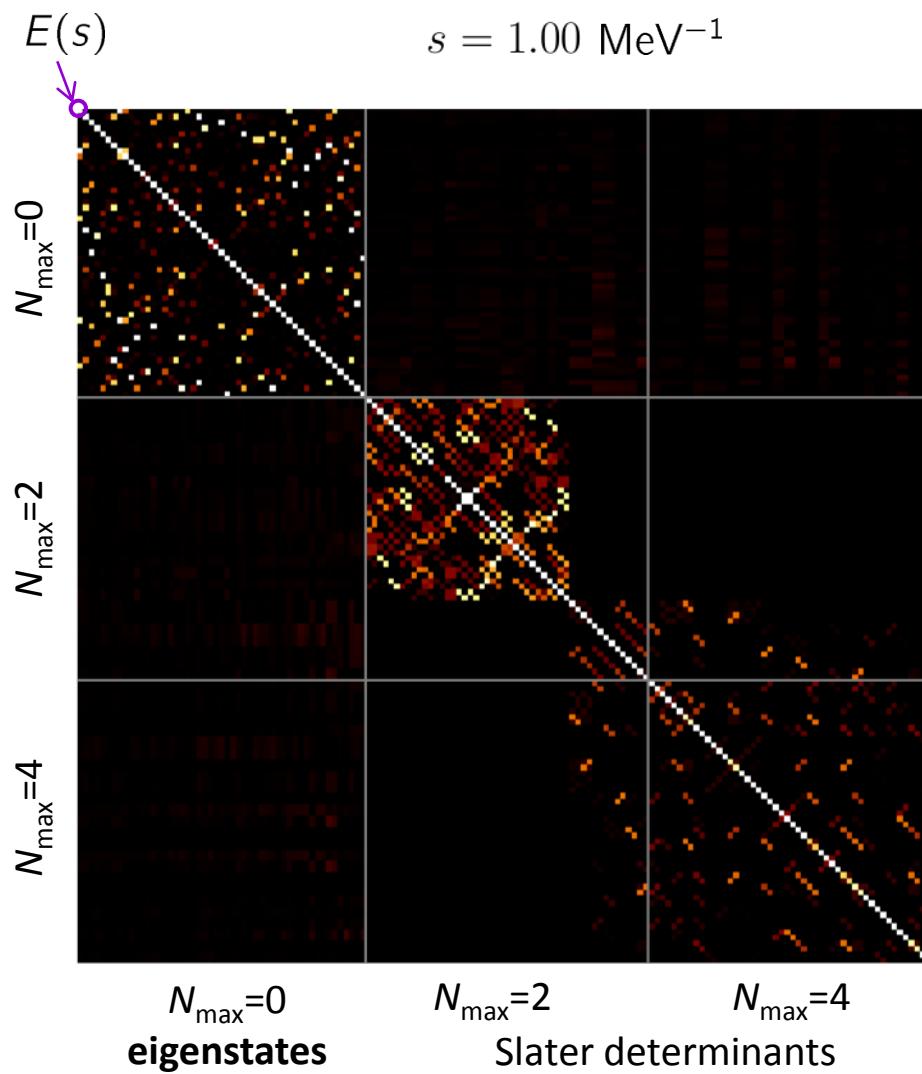
In-Medium No-Core Shell Model

Hamiltonian Matrix in A-Body Basis: ^{12}C



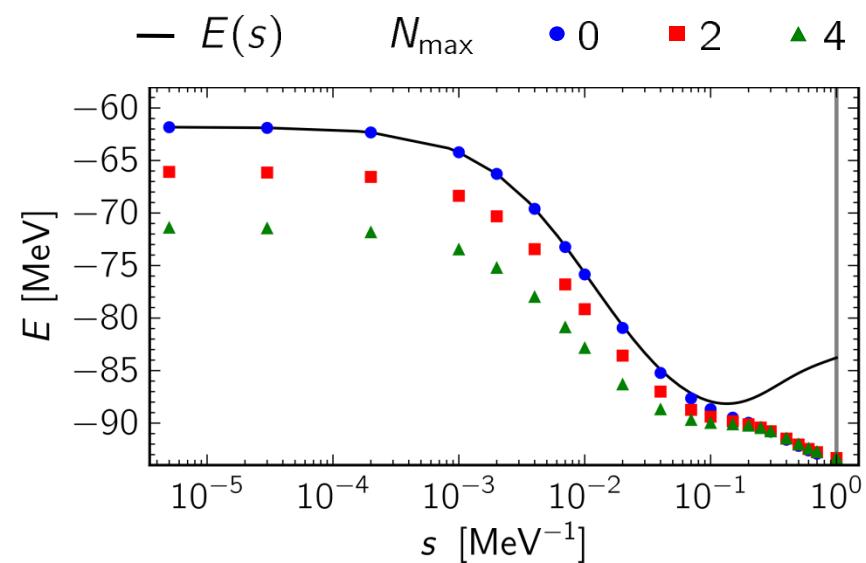
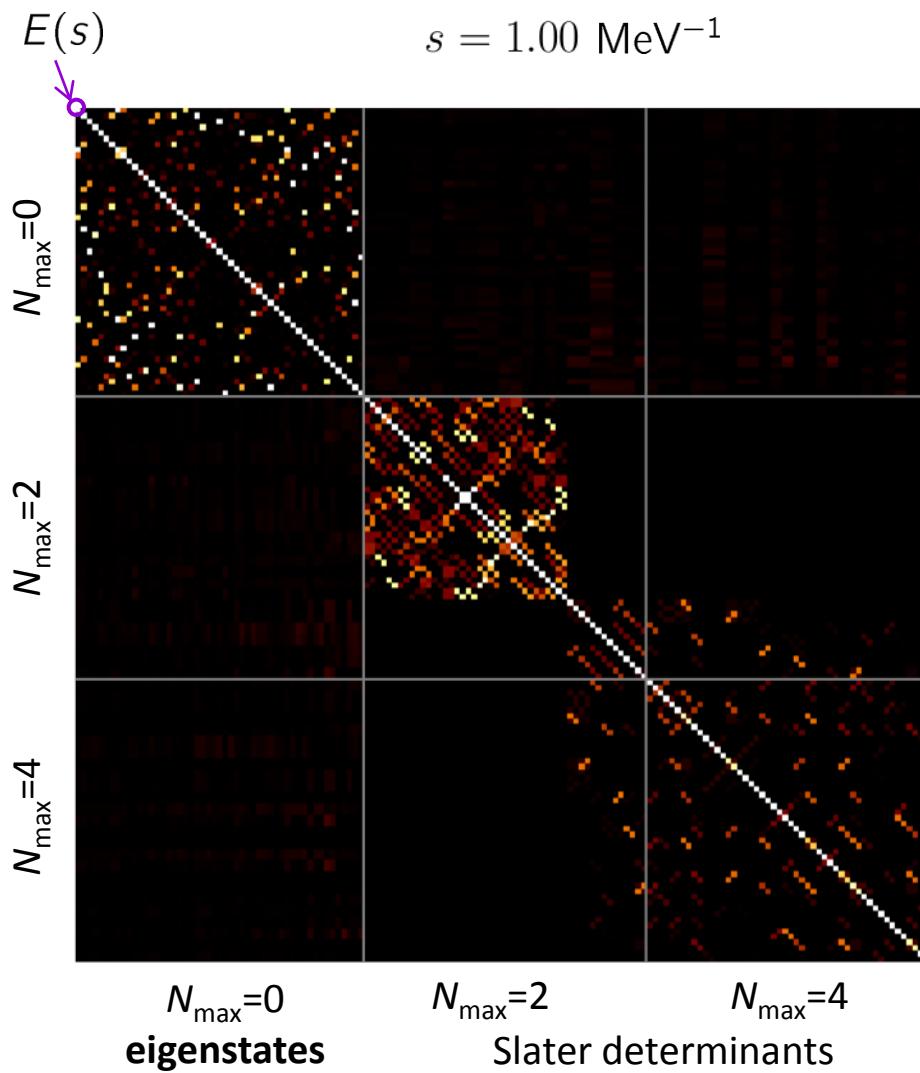
In-Medium No-Core Shell Model

Hamiltonian Matrix in A-Body Basis: ^{12}C



In-Medium No-Core Shell Model

Hamiltonian Matrix in A-Body Basis: ^{12}C



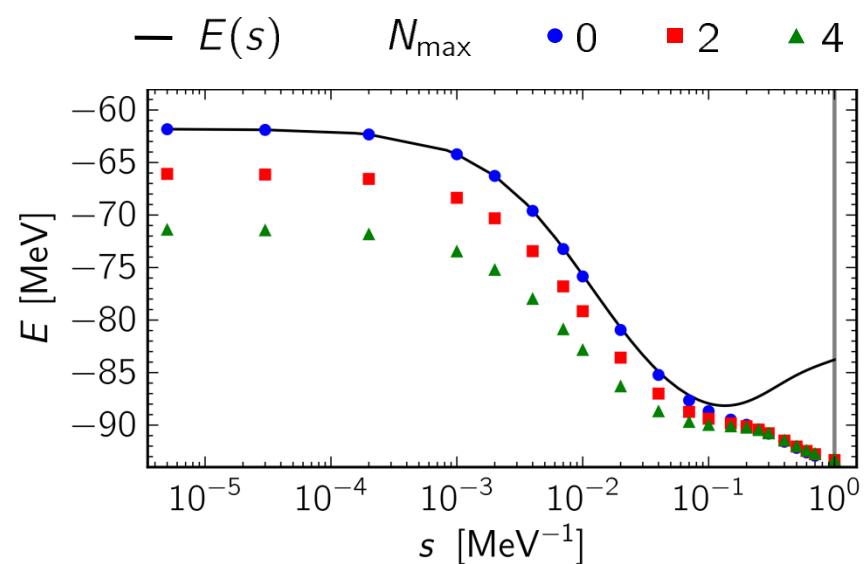
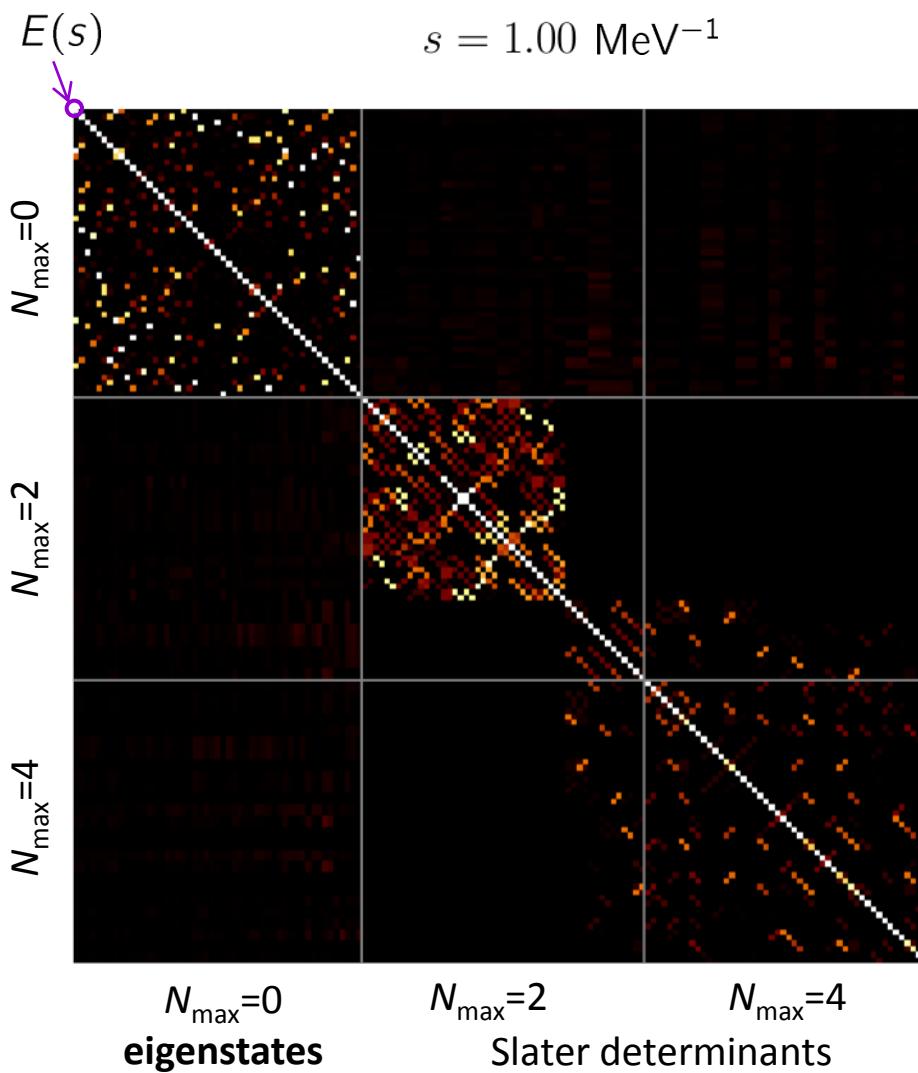
for sufficiently large flow parameter
eigenvalues in $N_{\max}=0, 2$ and 4 equal

In-Medium No-Core Shell Model

Hamiltonian Matrix in A-Body Basis: ^{12}C



TECHNISCHE
UNIVERSITÄT
DARMSTADT



for sufficiently large flow parameter
eigenvalues in $N_{\max}=0, 2$ and 4 equal

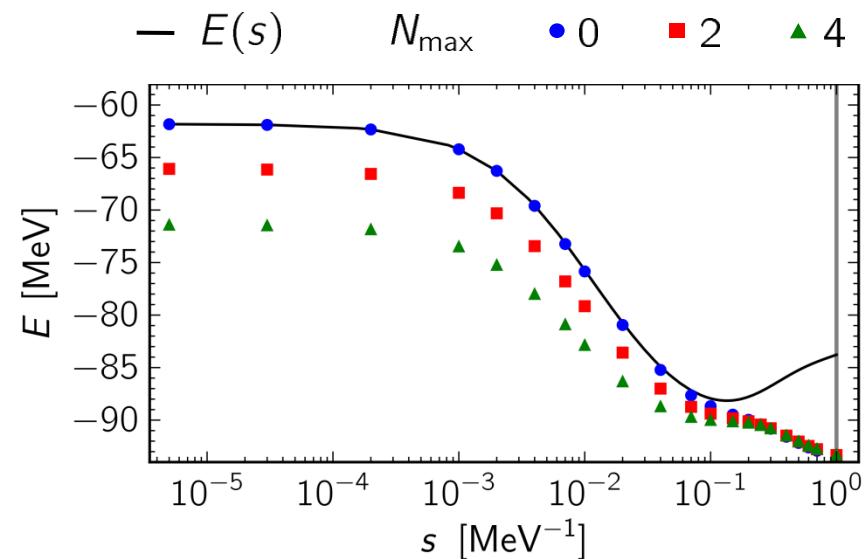
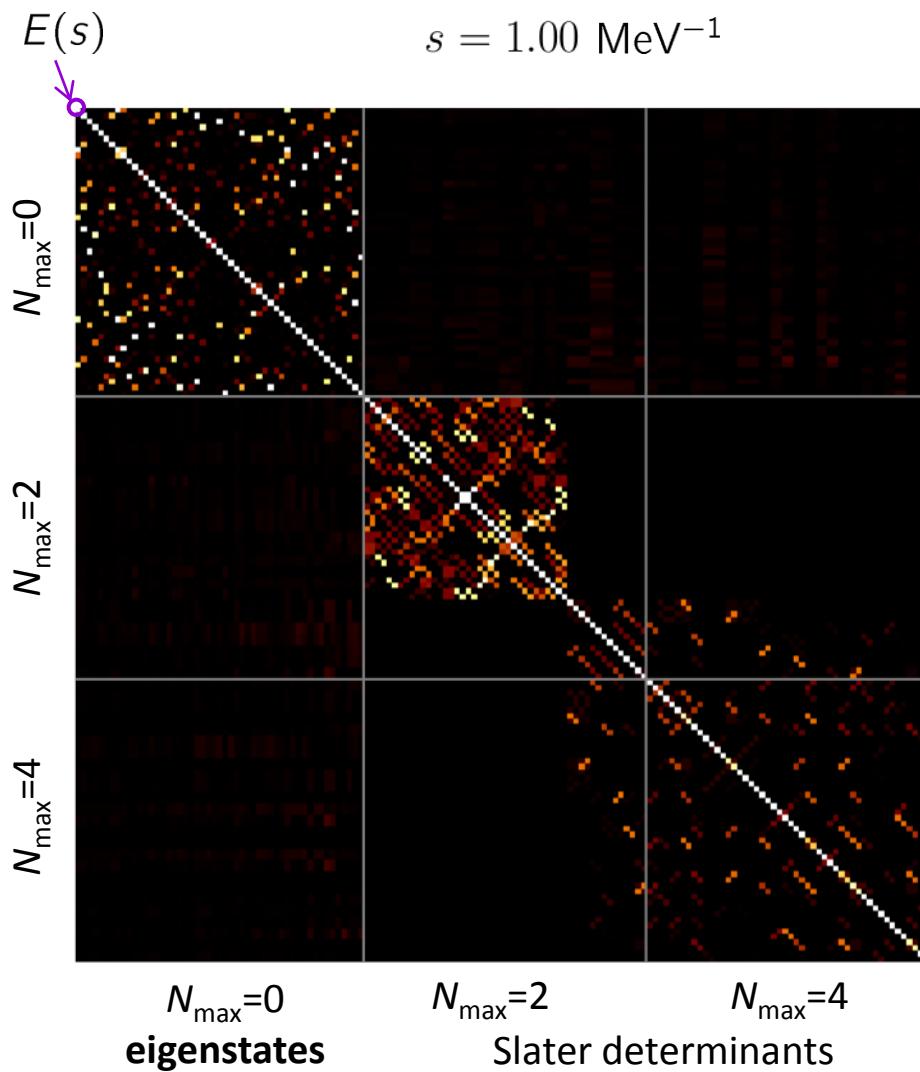
IM-SRG decouples
reference state from
 $N_{\max}=2$ and 4 spaces

In-Medium No-Core Shell Model

Hamiltonian Matrix in A-Body Basis: ^{12}C



TECHNISCHE
UNIVERSITÄT
DARMSTADT



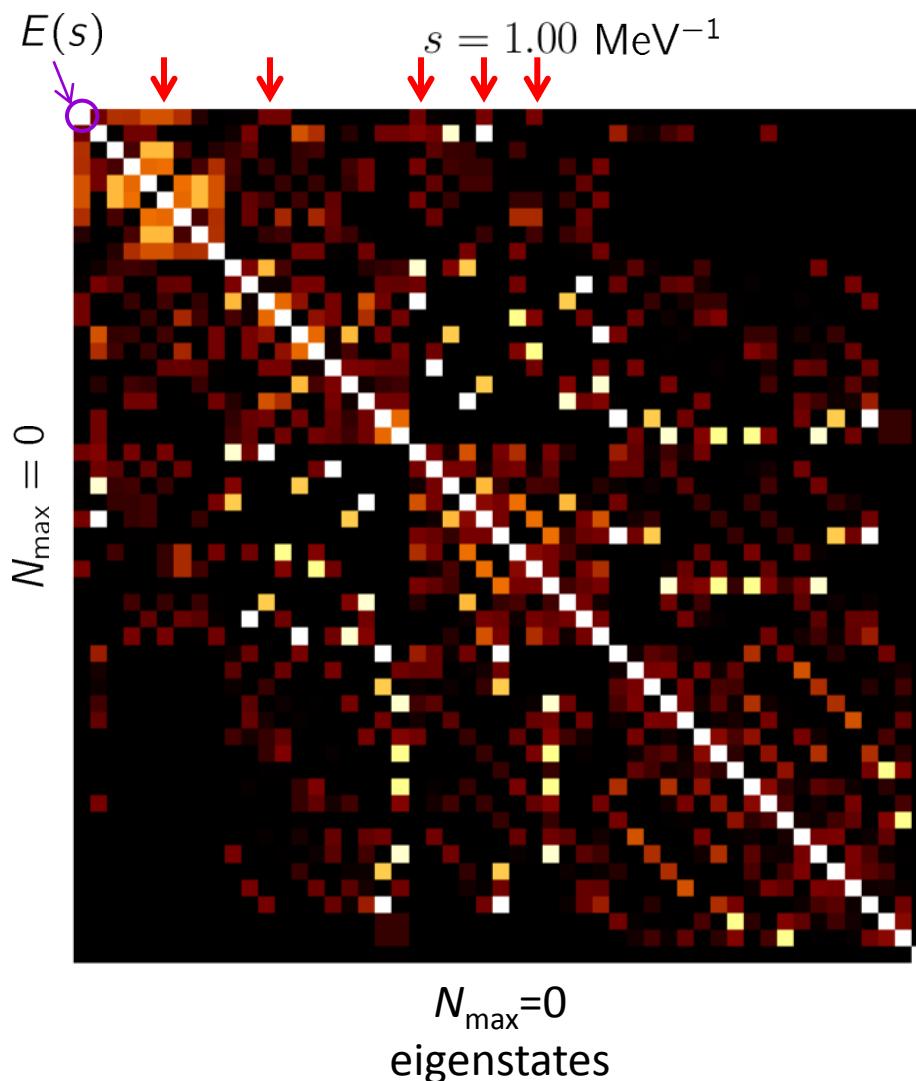
for sufficiently large flow parameter
eigenvalues in $N_{\max}=0, 2$ and 4 equal

IM-SRG decouples
reference state from
 $N_{\max}=2$ and 4 spaces

→ Why do $E(s)$ and $N_{\max}=0$ eigenvalue differ?

In-Medium No-Core Shell Model

Hamilton Matrix in A-Body Basis: ^{12}C



← first basis state = reference state

- $N_{\max}=0$ states couple to reference state $|\Psi_{\text{ref}}\rangle$
- $E(s)$ and $N_{\max}=0$ eigenvalue not identical

diagonalization of evolved Hamiltonian necessary

Results

Evolution of Ground-State Energy



TECHNISCHE
UNIVERSITÄT
DARMSTADT

chiral NN+3N_{NO2B}

$\Lambda_{3N} = 400 \text{ MeV}$

$\alpha = 0.08 \text{ fm}^4$

$\hbar\Omega = 20 \text{ MeV}$

Imag. Time

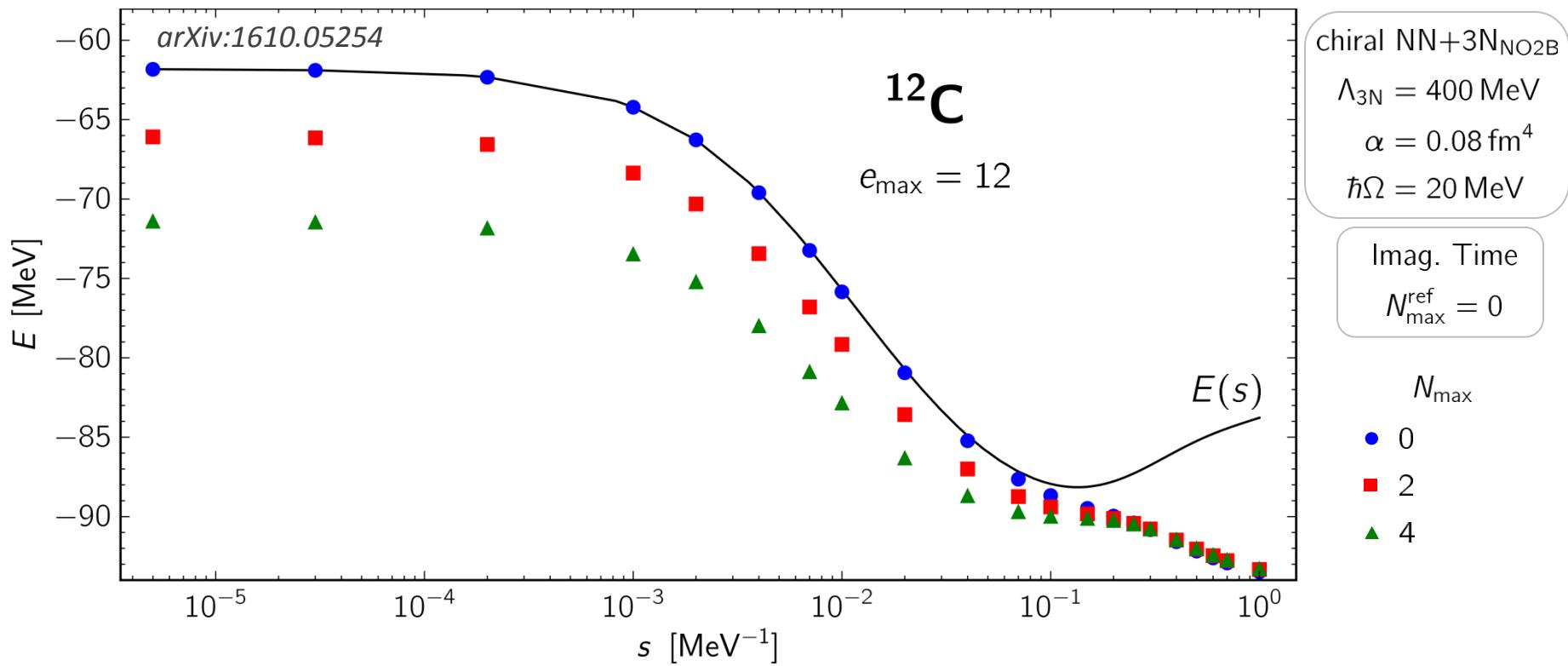
$N_{\max}^{\text{ref}} = 0$

Results

Evolution of Ground-State Energy



TECHNISCHE
UNIVERSITÄT
DARMSTADT

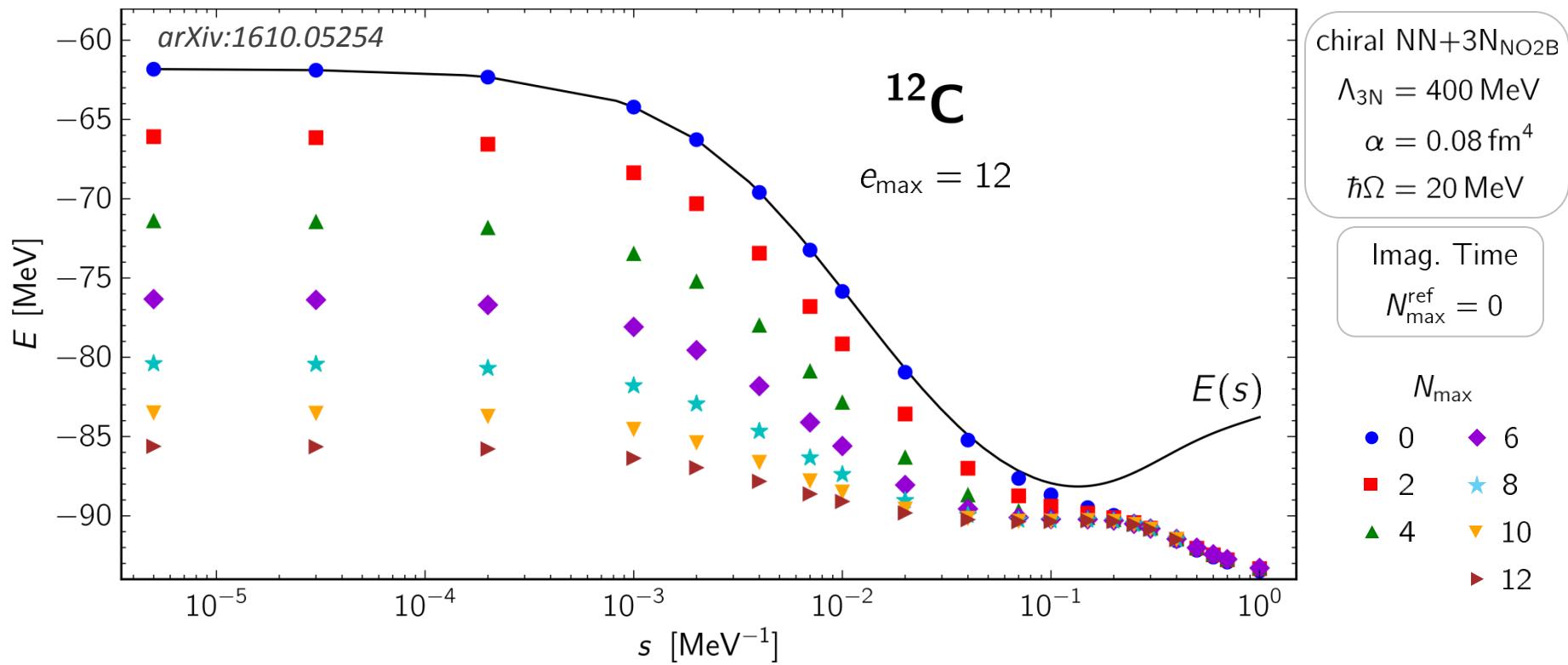


Results

Evolution of Ground-State Energy



TECHNISCHE
UNIVERSITÄT
DARMSTADT



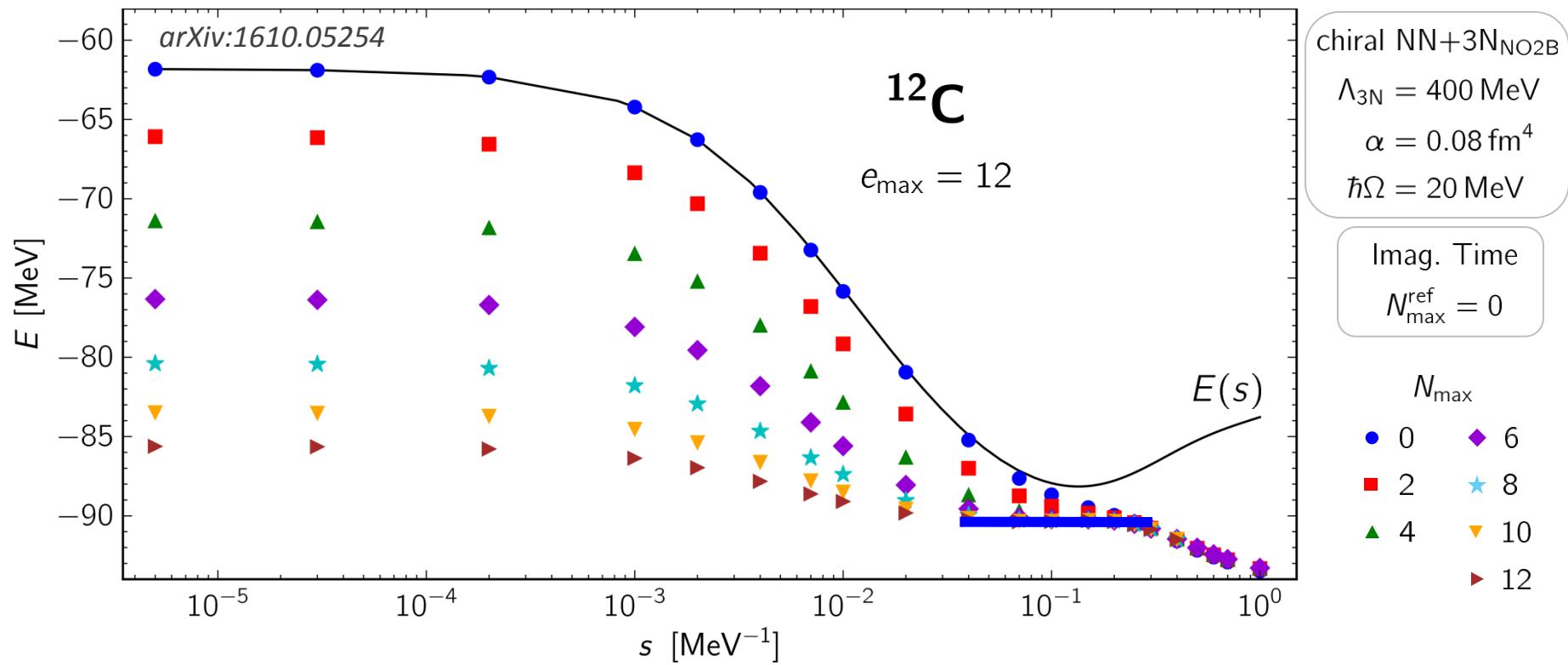
- drastically enhanced model-space convergence for IM-NCSM

Results

Evolution of Ground-State Energy



TECHNISCHE
UNIVERSITÄT
DARMSTADT



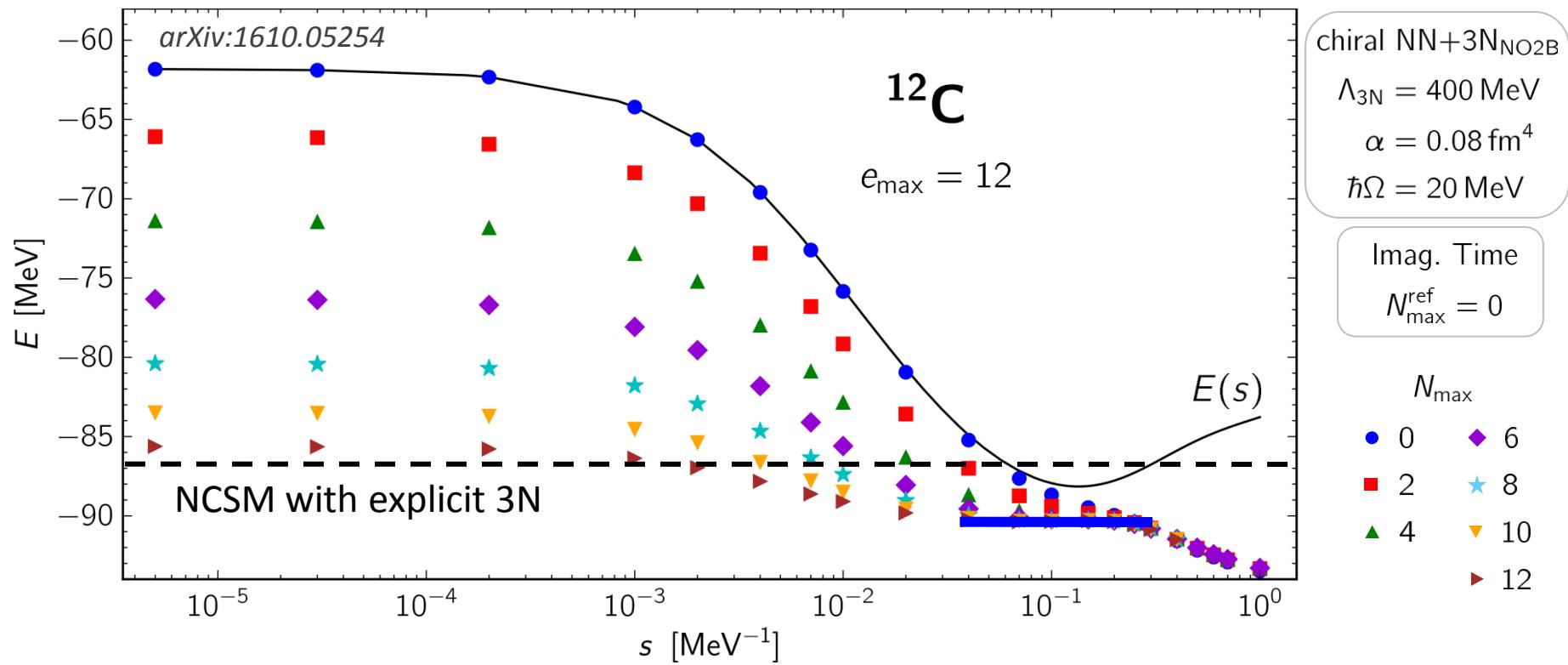
- drastically enhanced model-space convergence for IM-NCSM

Results

Evolution of Ground-State Energy



TECHNISCHE
UNIVERSITÄT
DARMSTADT



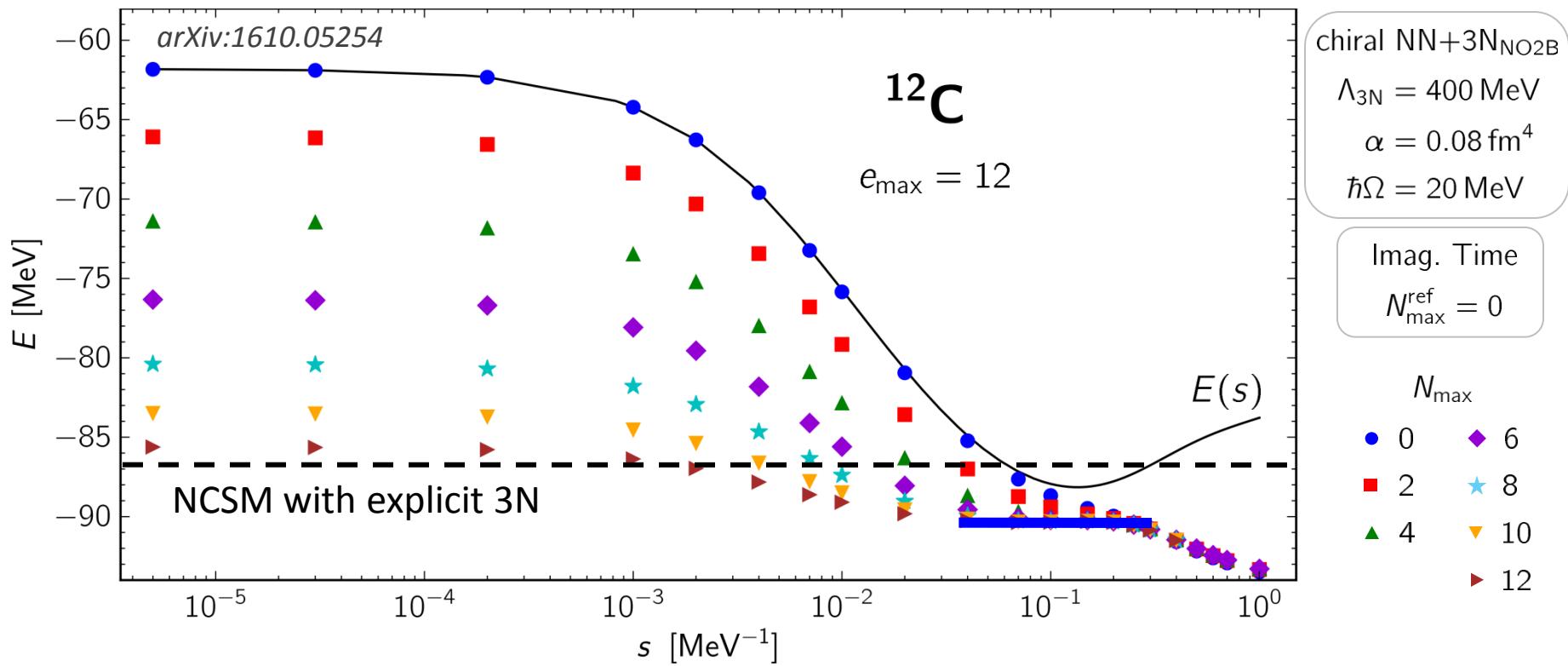
- drastically enhanced model-space convergence for IM-NCSM

Results

Evolution of Ground-State Energy

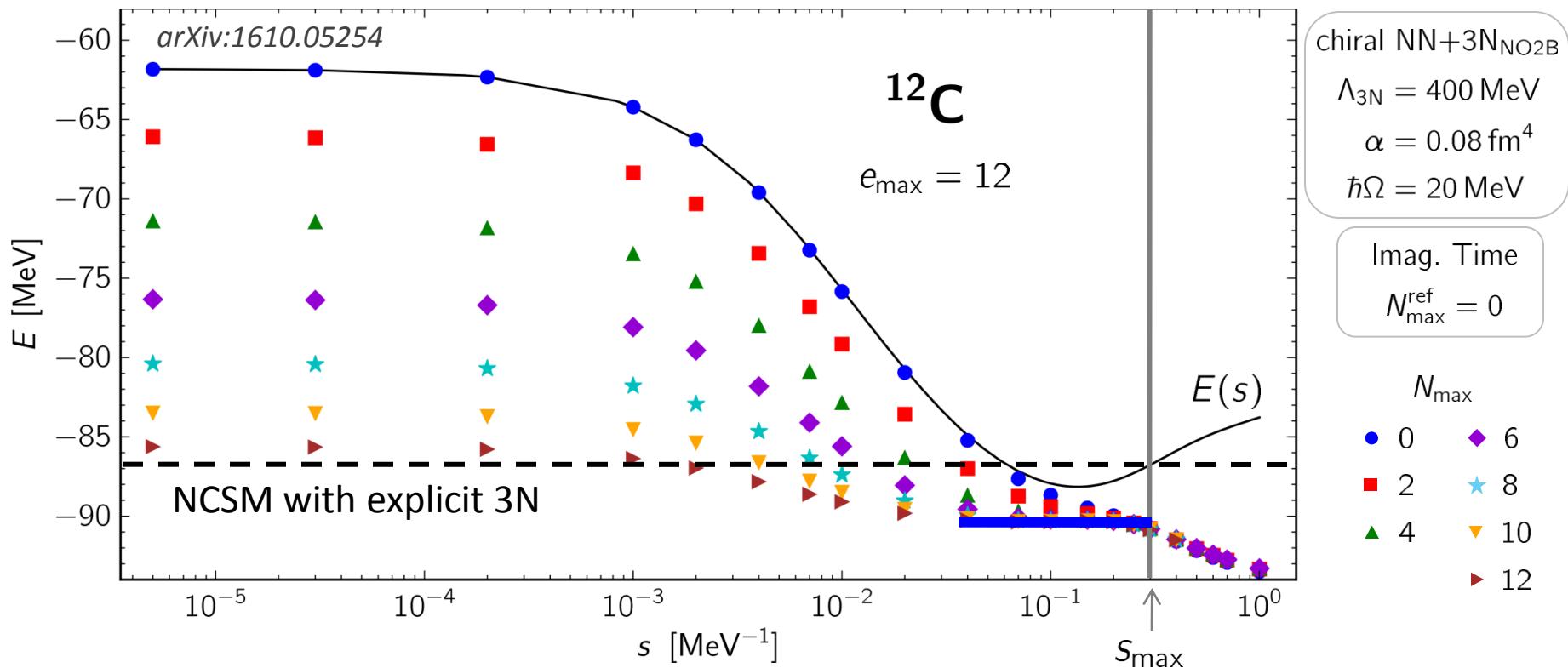


TECHNISCHE
UNIVERSITÄT
DARMSTADT



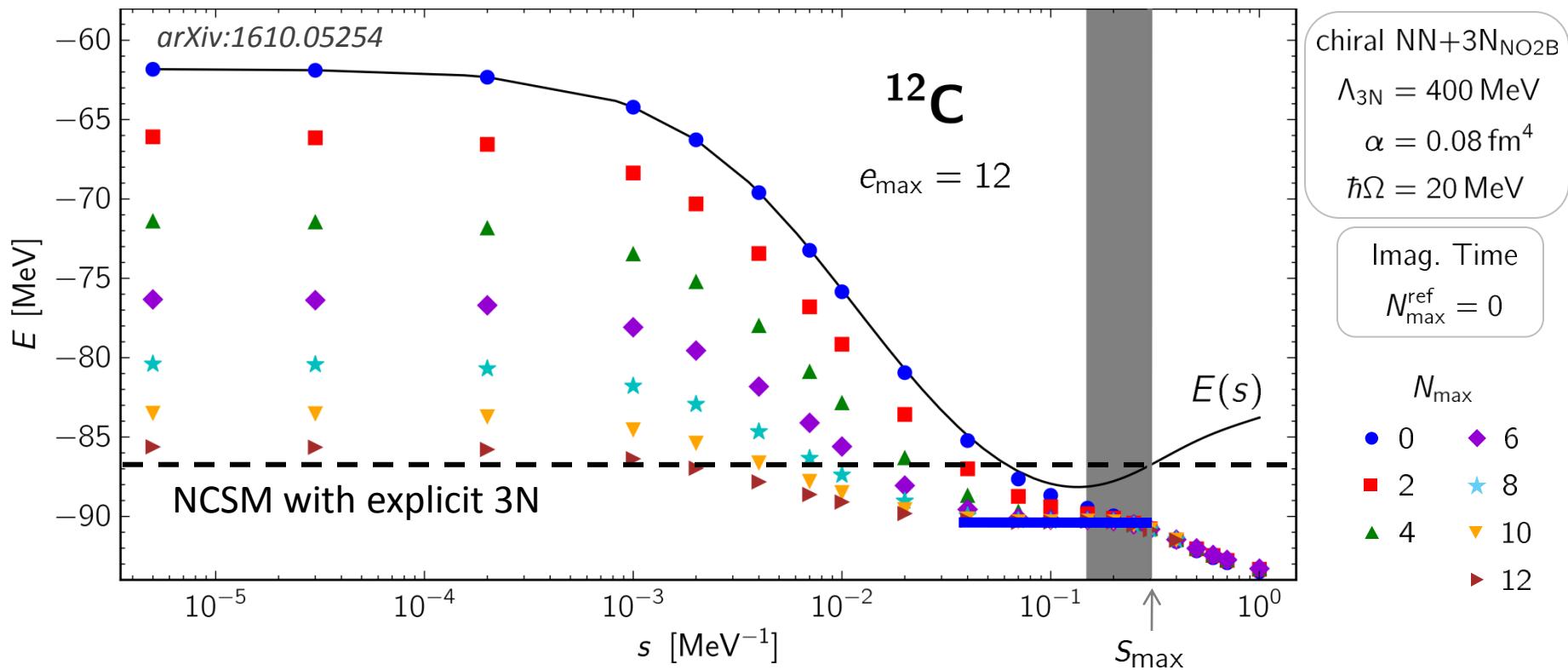
- drastically enhanced model-space convergence for IM-NCSM
- NO2B approximation + induced many-body contribution = 4.0 MeV ($\approx 5\%$)

Evolution of Ground-State Energy



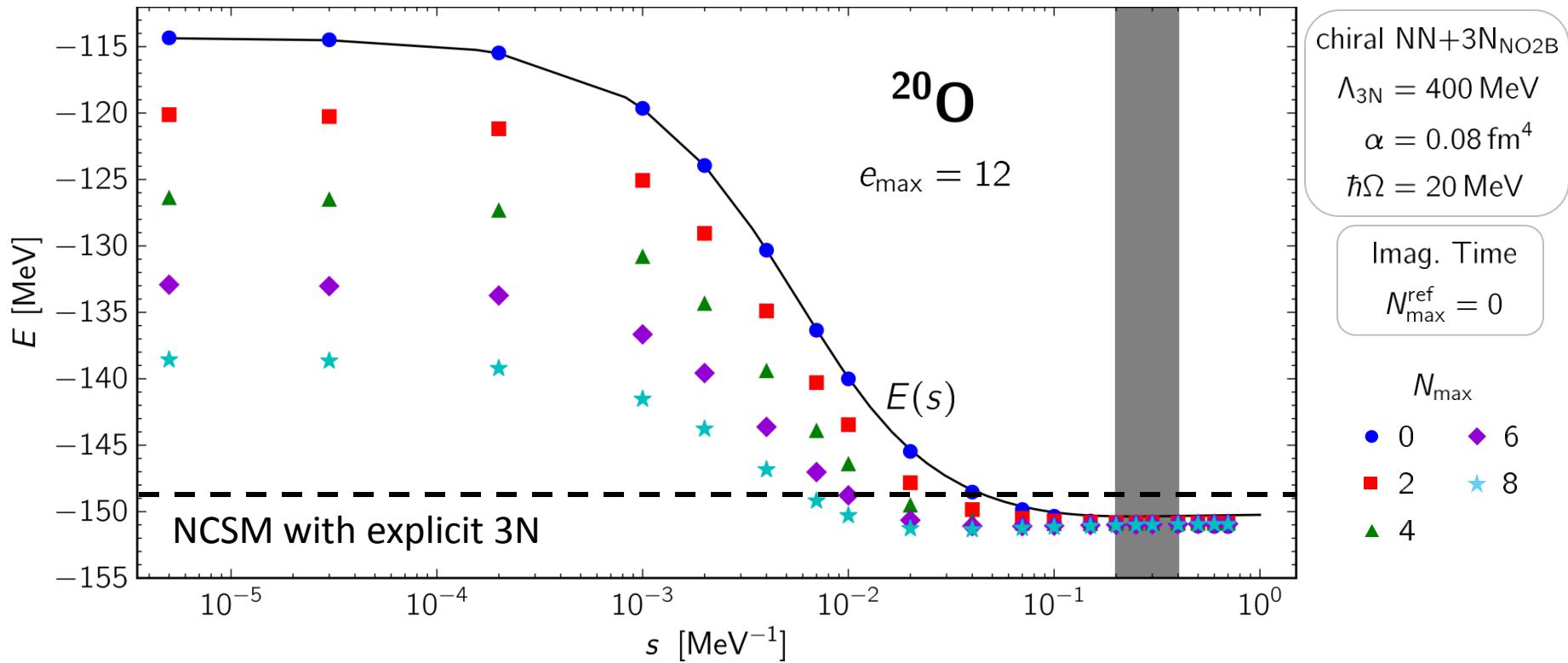
- drastically enhanced model-space convergence for IM-NCSM
- NO2B approximation + induced many-body contribution = 4.0 MeV ($\approx 5\%$)
- for $s > 0.3 \text{ MeV}^{-1}$ induced many-body contribution becomes significant

Evolution of Ground-State Energy



- drastically enhanced model-space convergence for IM-NCSM
- NO2B approximation + induced many-body contribution = 4.0 MeV ($\approx 5\%$)
- for $s > 0.3$ MeV $^{-1}$ induced many-body contribution becomes significant

Evolution of Ground-State Energy



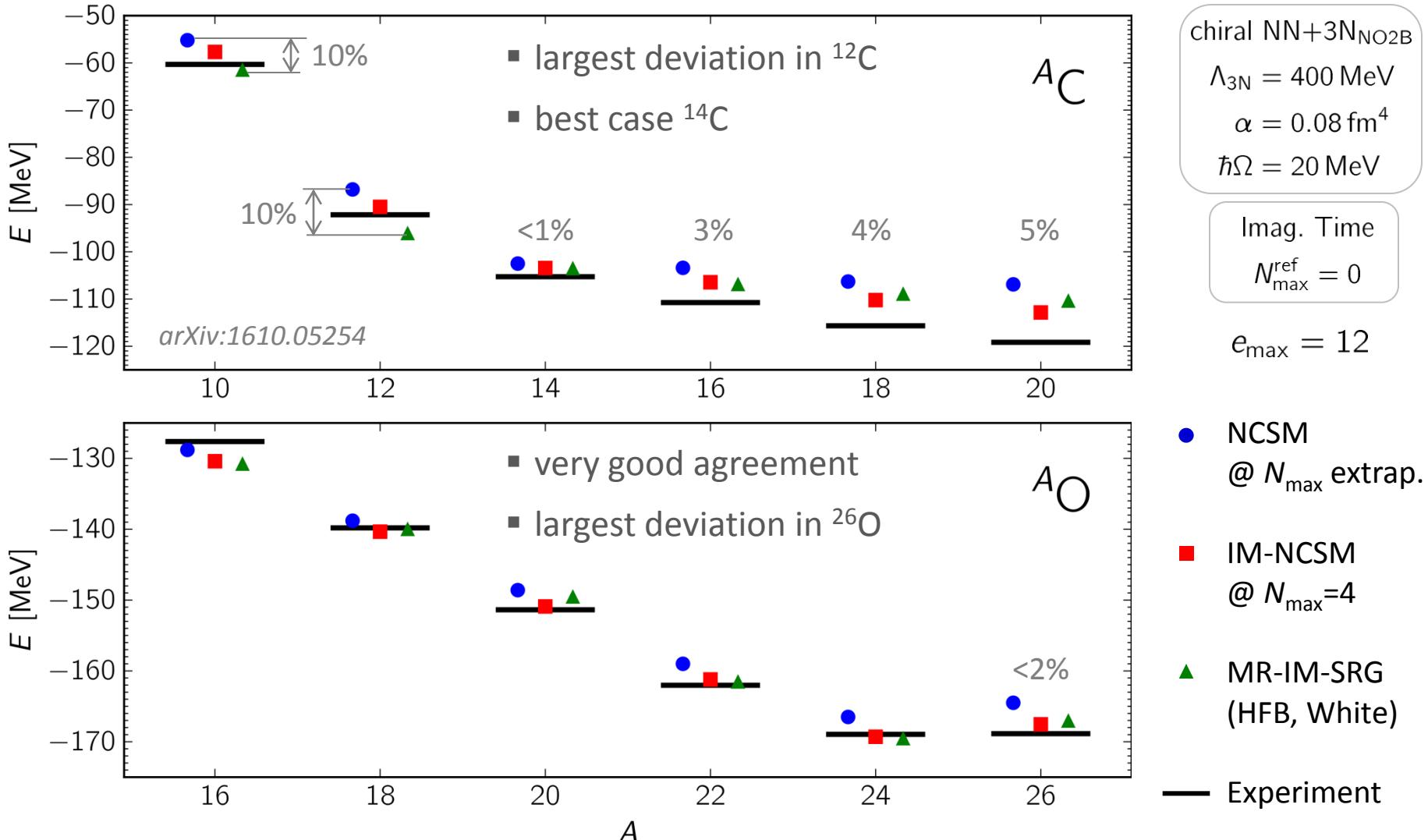
- $E(s)$ more robust than in ^{12}C case
- NO2B approximation + induced many-body contribution = 2.3 MeV (< 2 %)

Results

NCSM vs. IM-NCSM vs. MR-IM-SRG



TECHNISCHE
UNIVERSITÄT
DARMSTADT

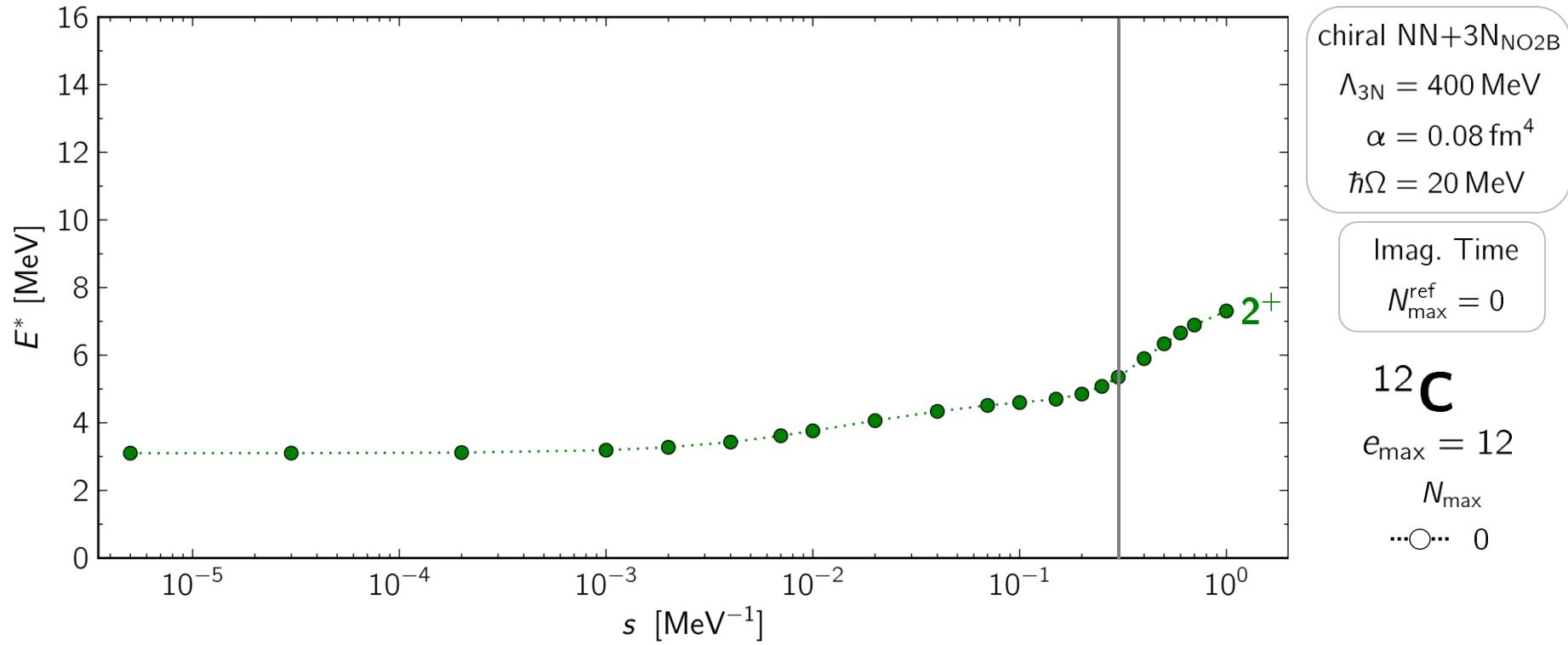


Results

Evolution of Excitation Energies



TECHNISCHE
UNIVERSITÄT
DARMSTADT



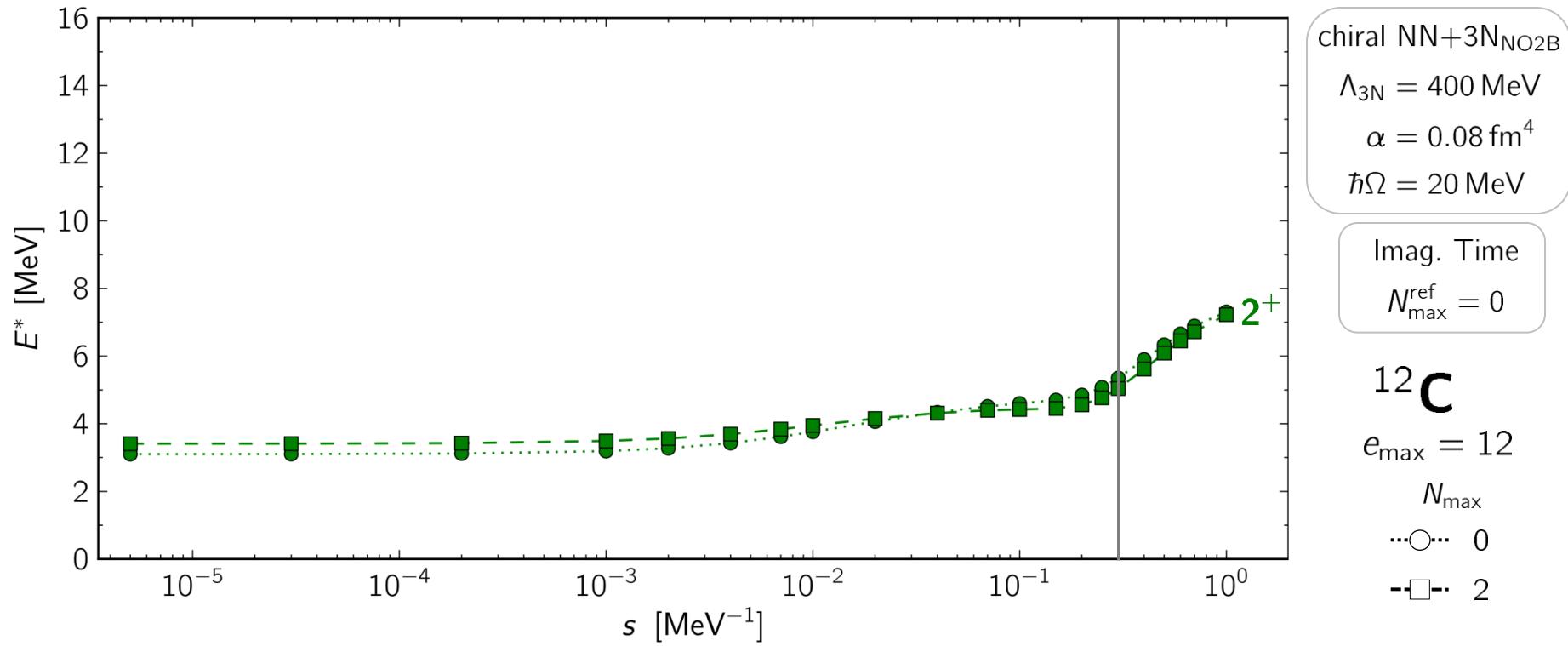
- E^* of 2^+ increases abruptly at the end due to kink in ground-state energy

Results

Evolution of Excitation Energies



TECHNISCHE
UNIVERSITÄT
DARMSTADT



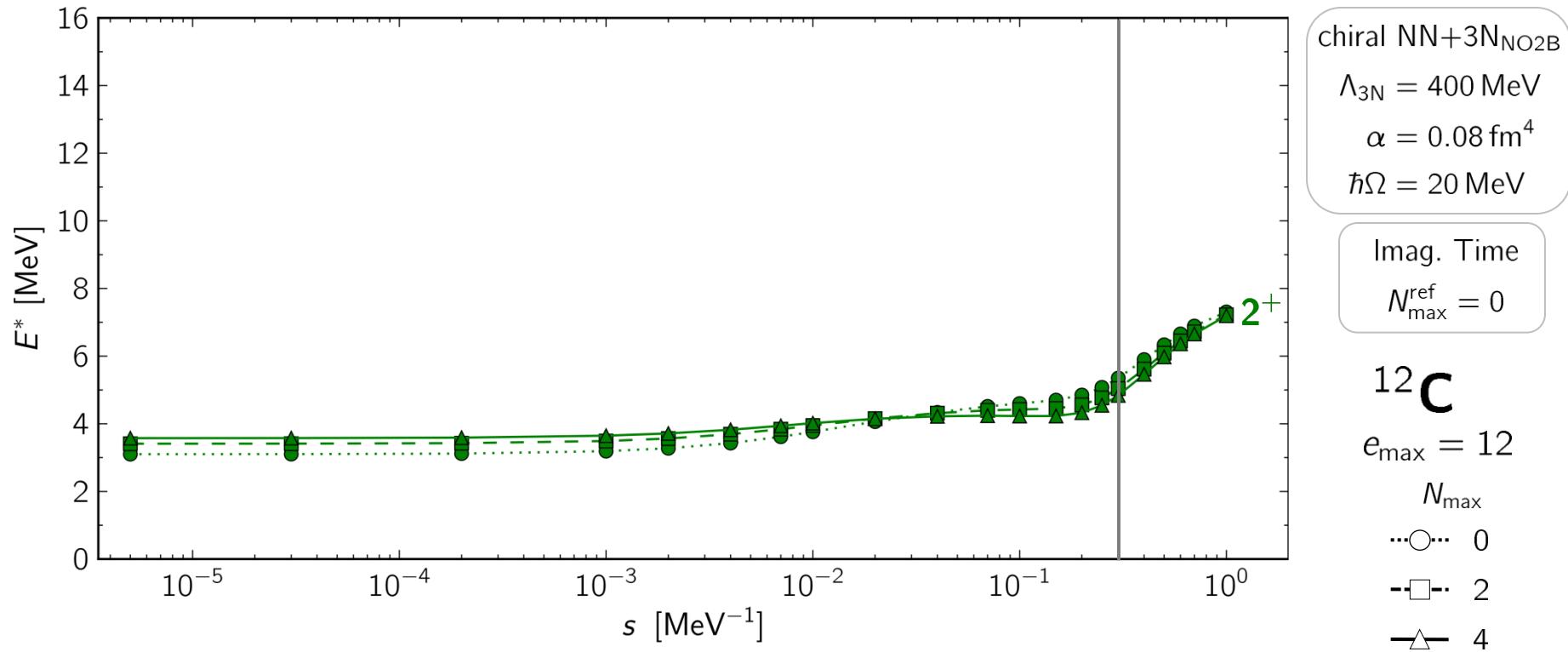
- E^* of 2^+ increases abruptly at the end due to kink in ground-state energy

Results

Evolution of Excitation Energies

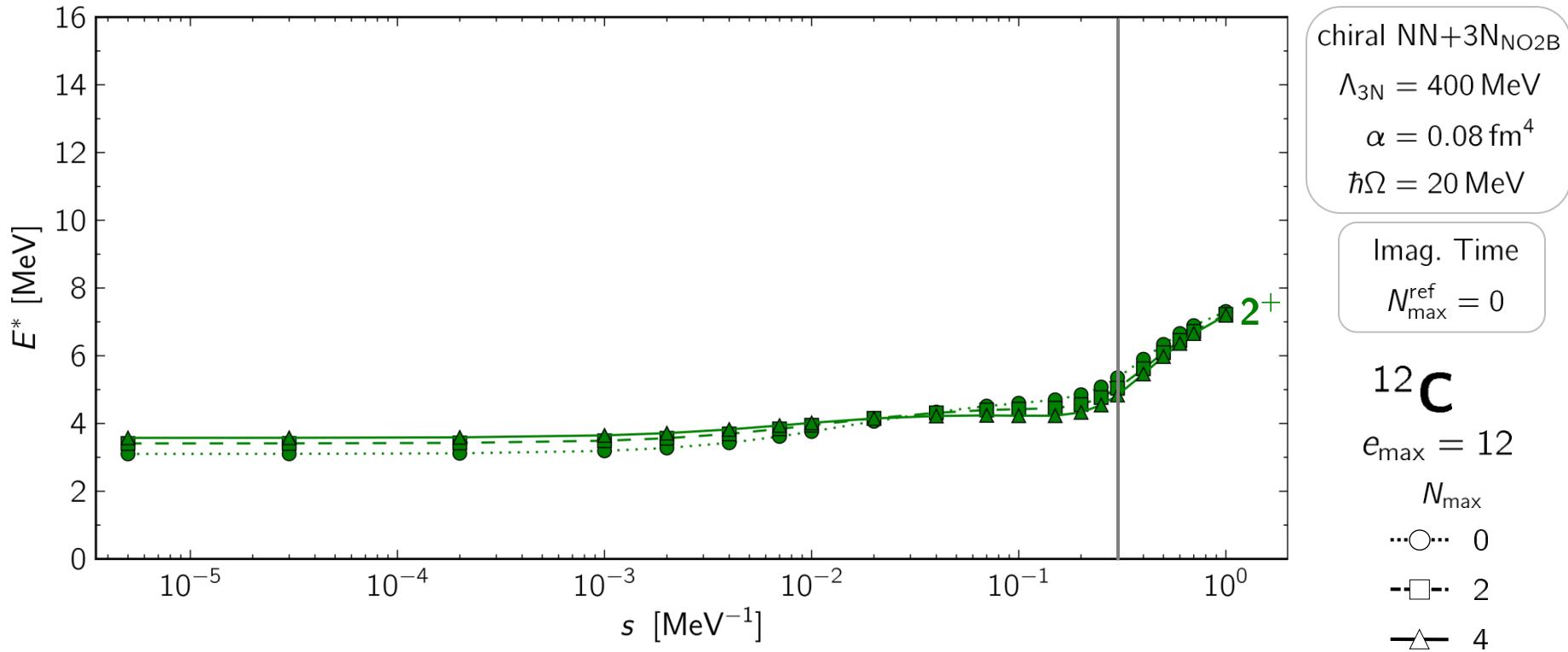


TECHNISCHE
UNIVERSITÄT
DARMSTADT



- E^* of 2^+ increases abruptly at the end due to kink in ground-state energy

Evolution of Excitation Energies



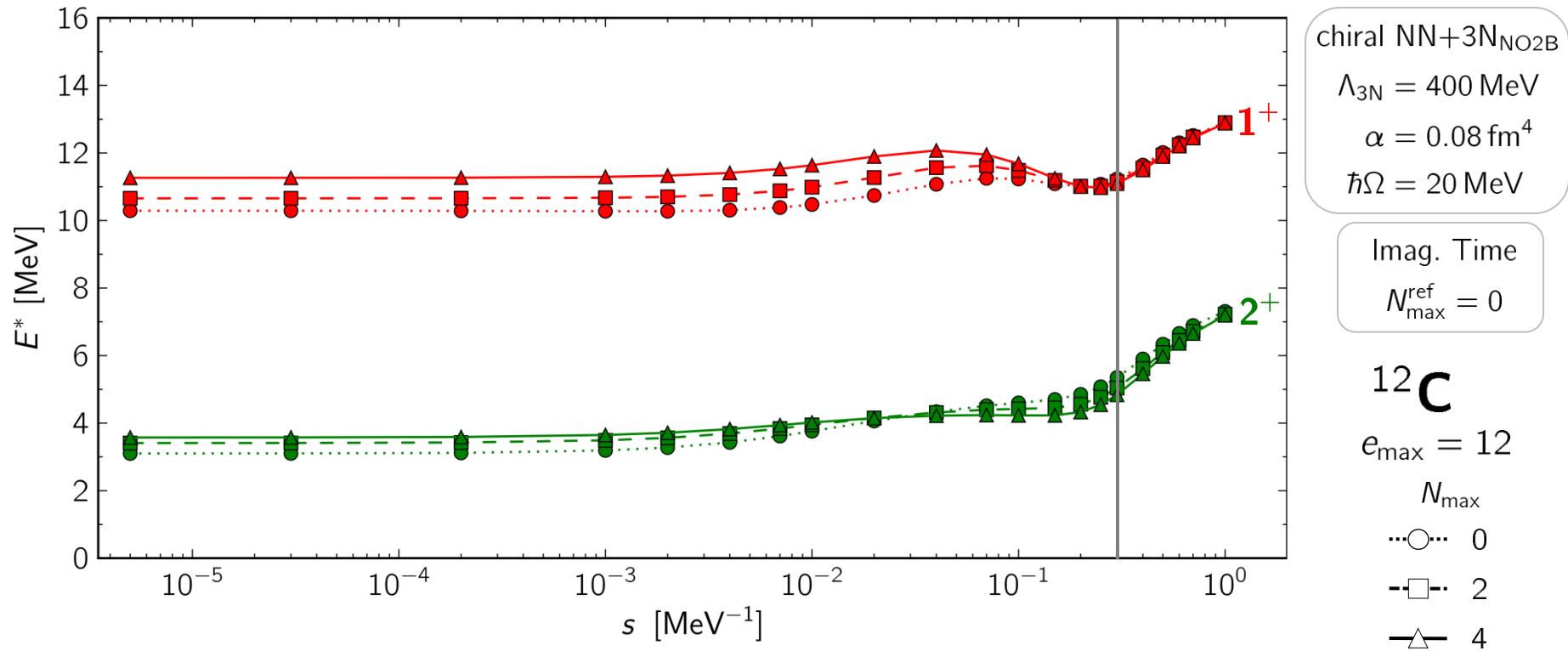
- E^* of 2^+ increases abruptly at the end due to kink in ground-state energy
- N_{\max} convergence **from above in decoupled regime** → variational principle

Results

Evolution of Excitation Energies

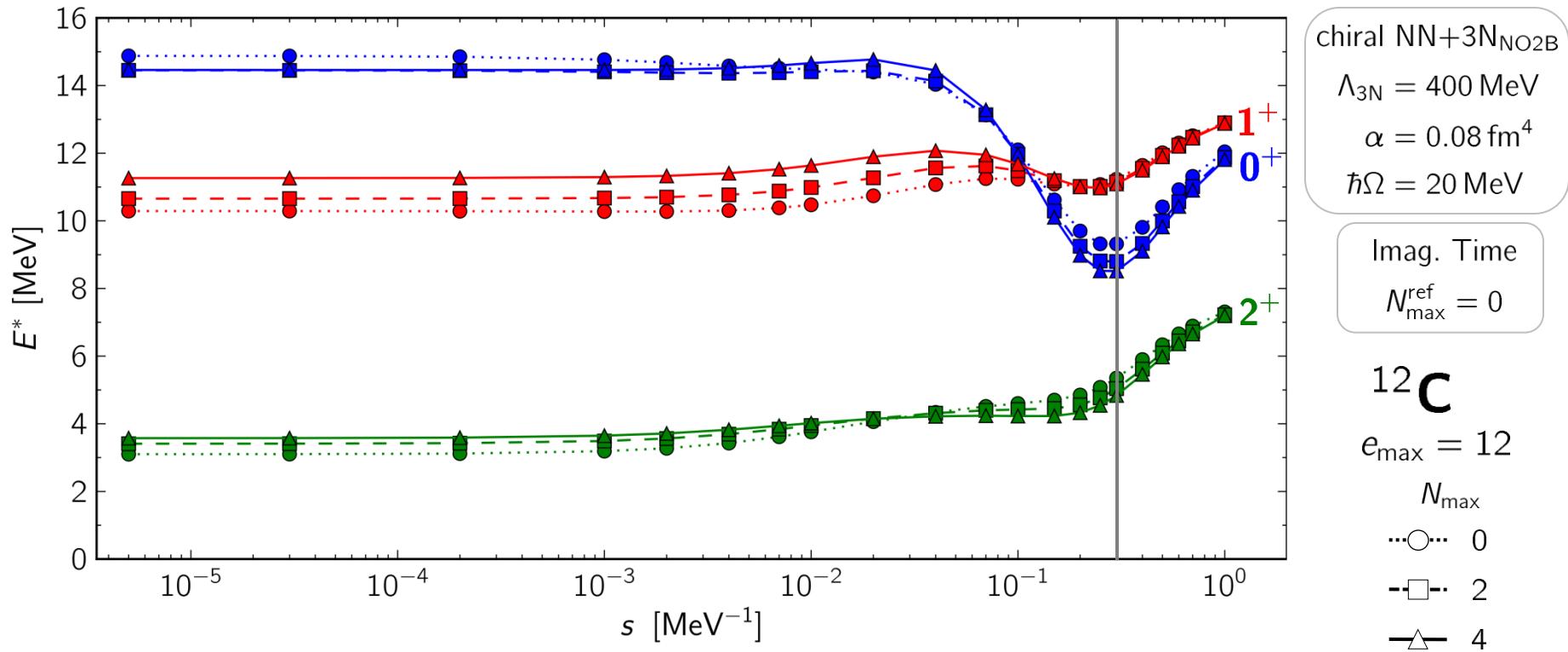


TECHNISCHE
UNIVERSITÄT
DARMSTADT



- E^* of 2^+ increases abruptly at the end due to kink in ground-state energy
- N_{\max} convergence **from above in decoupled regime** → variational principle

Evolution of Excitation Energies



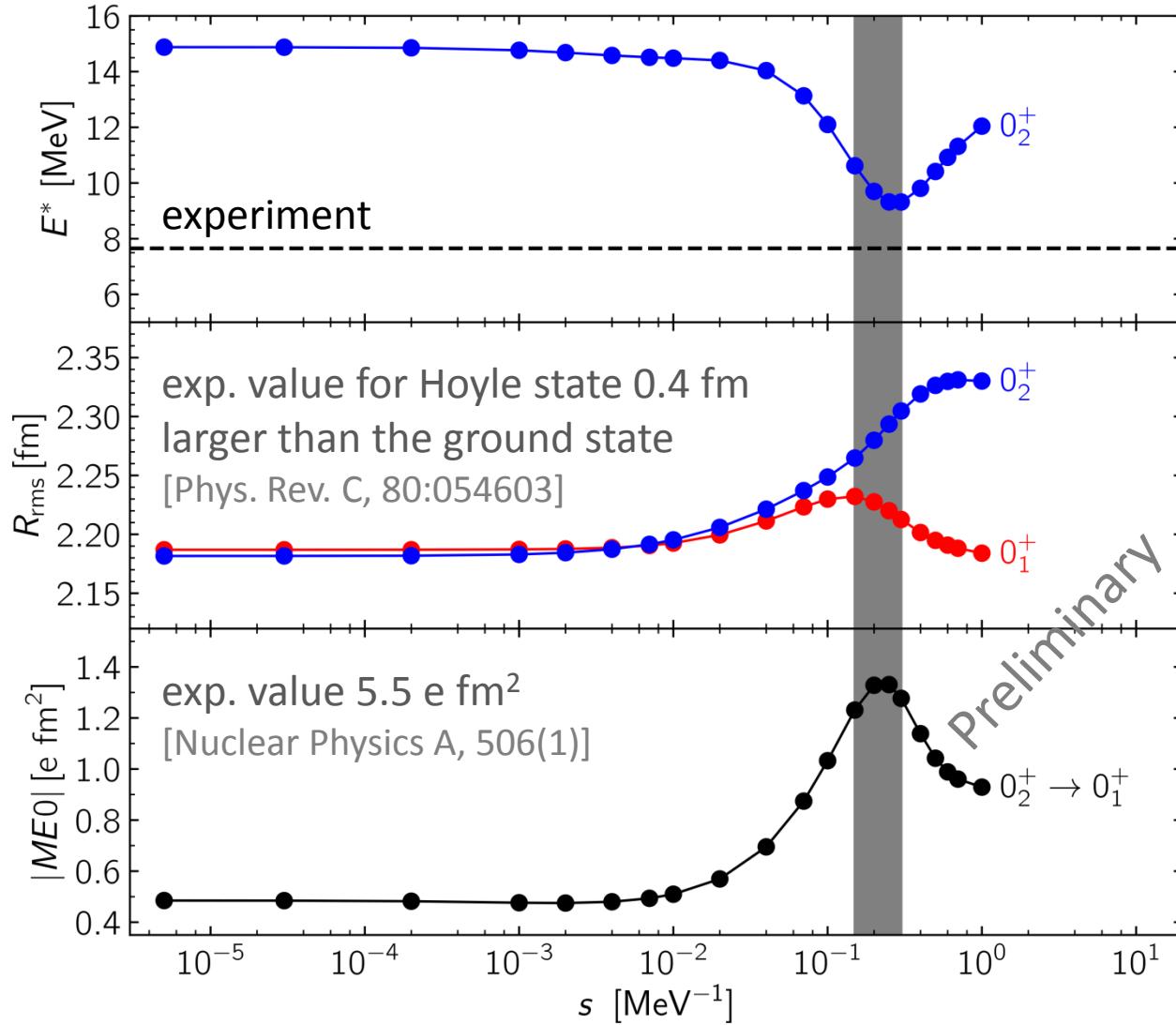
- E^* of 2^+ increases abruptly at the end due to kink in ground-state energy
- N_{\max} convergence **from above in decoupled regime** → variational principle
- first excited 0^+ behaves differently and drops by $\approx 5 \text{ MeV}$ → **Hoyle state?**

Results

Signatures of Hoyle State in ^{12}C



TECHNISCHE
UNIVERSITÄT
DARMSTADT



chiral NN+3N_{NO2B}

$\Lambda_{3\text{N}} = 400 \text{ MeV}$

$\alpha = 0.08 \text{ fm}^4$

$\hbar\Omega = 20 \text{ MeV}$

$N_{\max} = 0$

$e_{\max} = 12$

Imag. Time

$N_{\max}^{\text{ref}} = 0$

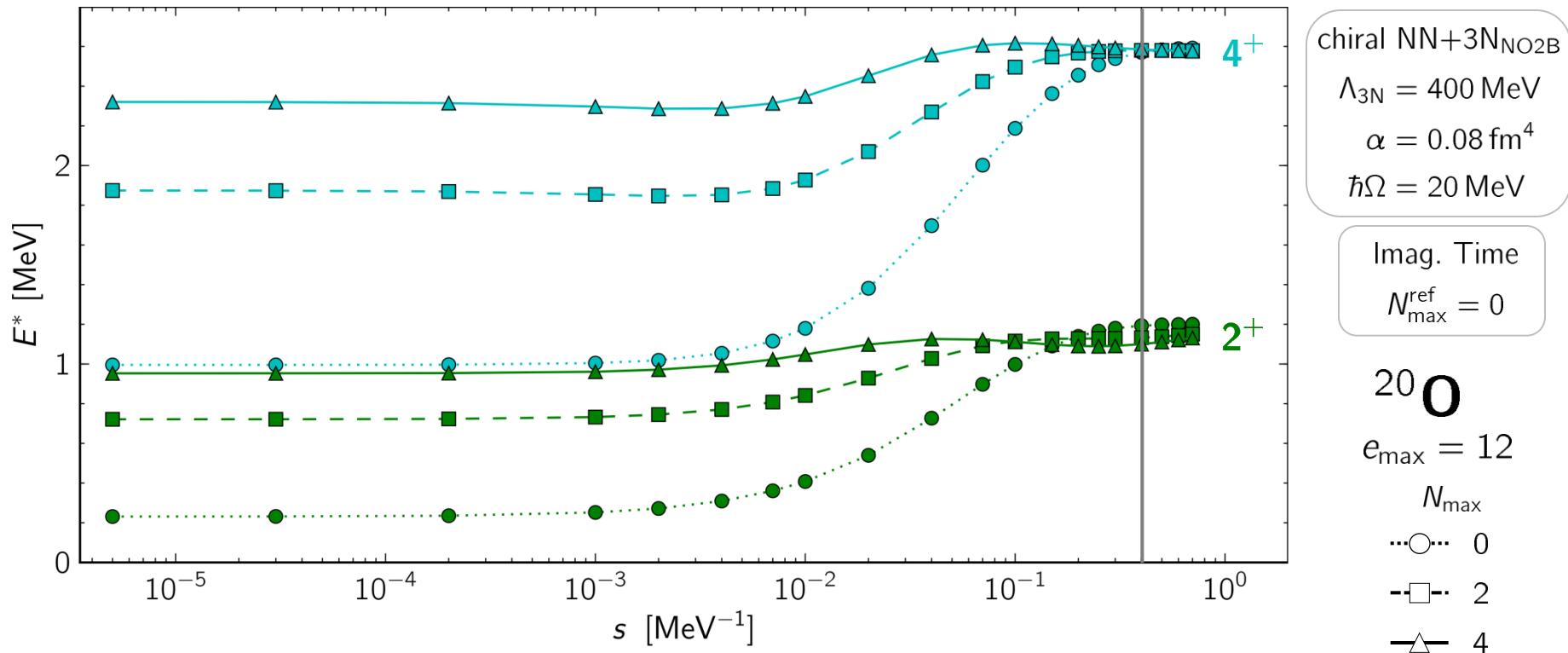
- trends are compatible with Hoyle-state interpretation
- need better control of induced many-body terms for quantitative statements

Results

Evolution of Excitation Energies

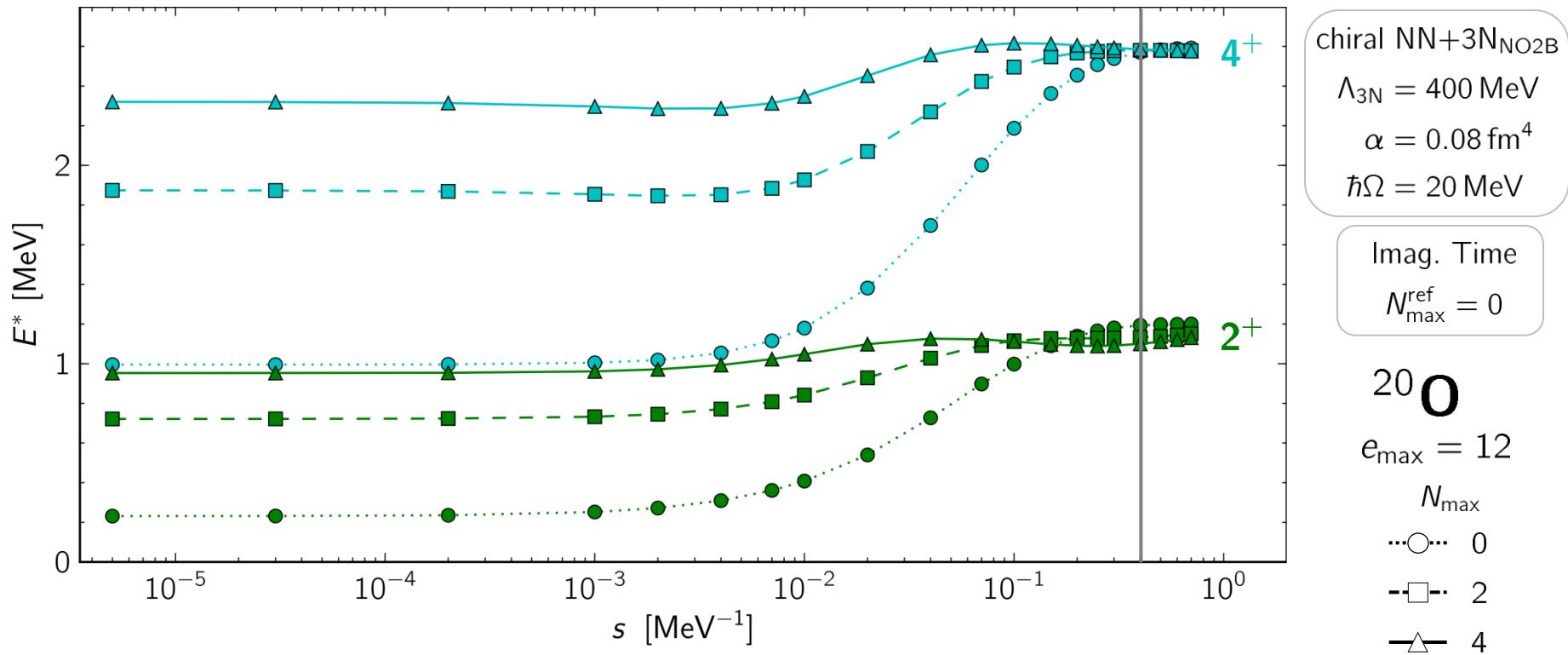


TECHNISCHE
UNIVERSITÄT
DARMSTADT



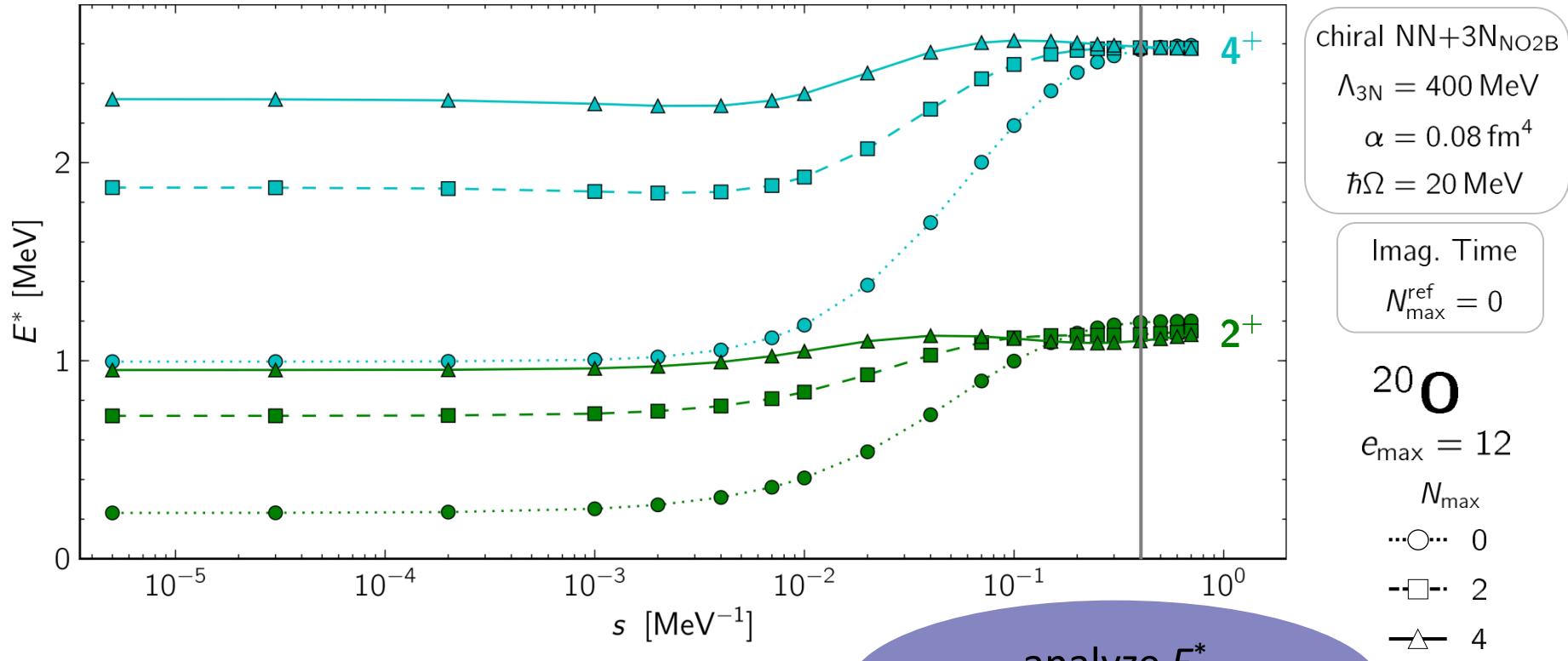
- large dependence on s in $N_{\max}=0$
- dependence on s reduces with increasing N_{\max}

Evolution of Excitation Energies



- large dependence on s in $N_{\max} = 0$
- dependence on s reduces with increasing N_{\max}
- E^* converges **monotonically from above** for evolved Hamiltonian

Evolution of Excitation Energies



- large dependence on s in $N_{\max} = 0$
- dependence on s reduces with increasing N_{\max}
- E^* converges **monotonically from above** for evolved Hamiltonian

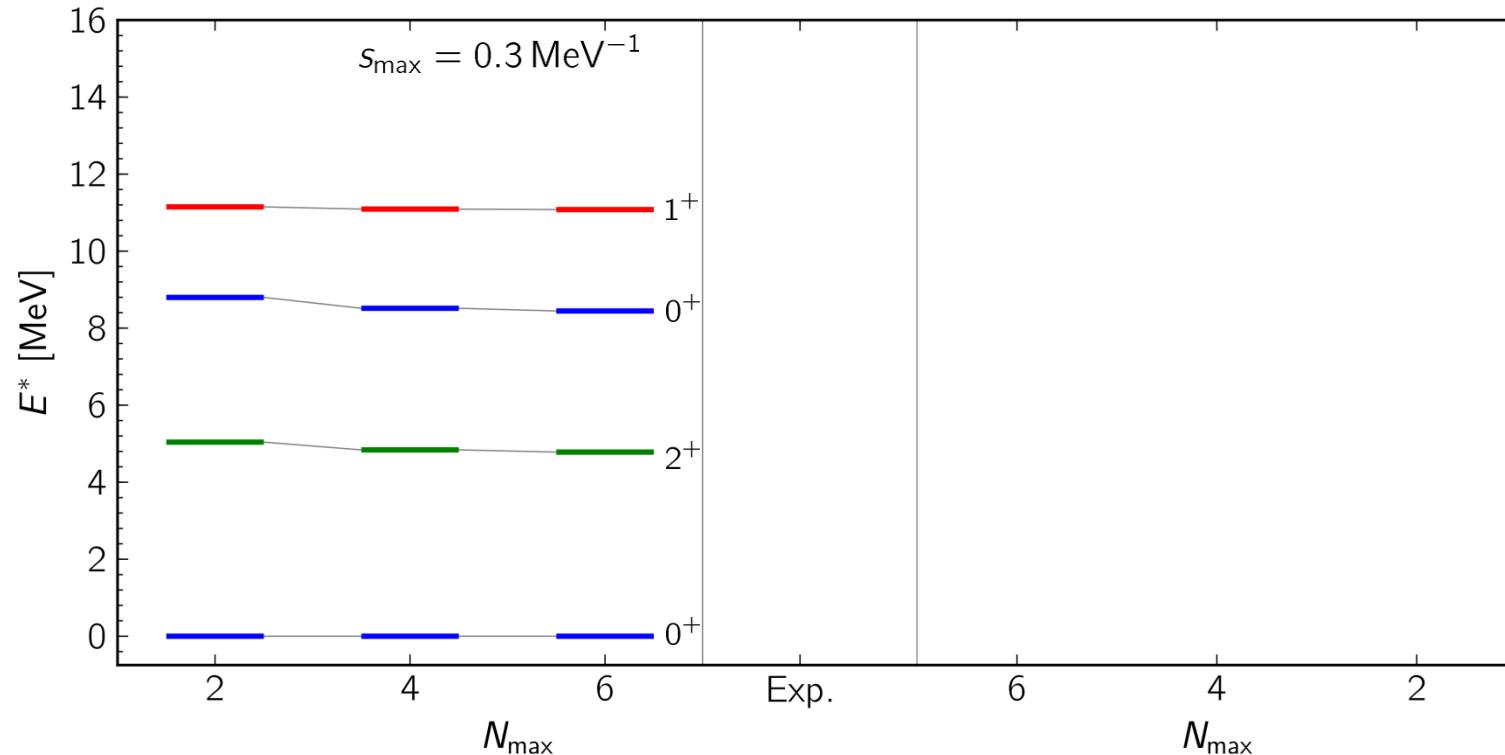
Results

Spectra



TECHNISCHE
UNIVERSITÄT
DARMSTADT

IM-NCSM



chiral NN+3N_{NO2B}

$\Lambda_{3N} = 400 \text{ MeV}$

$\alpha = 0.08 \text{ fm}^4$

$\hbar\Omega = 20 \text{ MeV}$

Imag. Time

$N_{\max}^{\text{ref}} = 0$

^{12}C

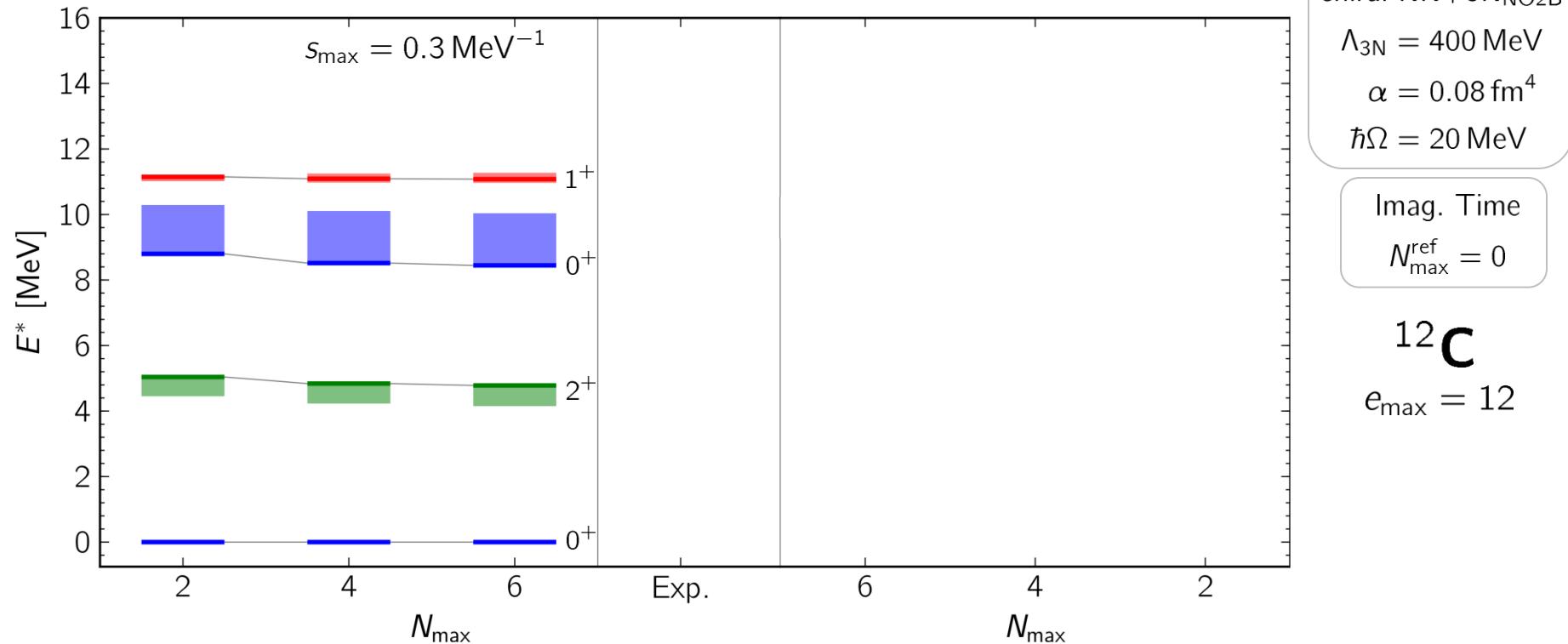
$e_{\max} = 12$

Results

Spectra



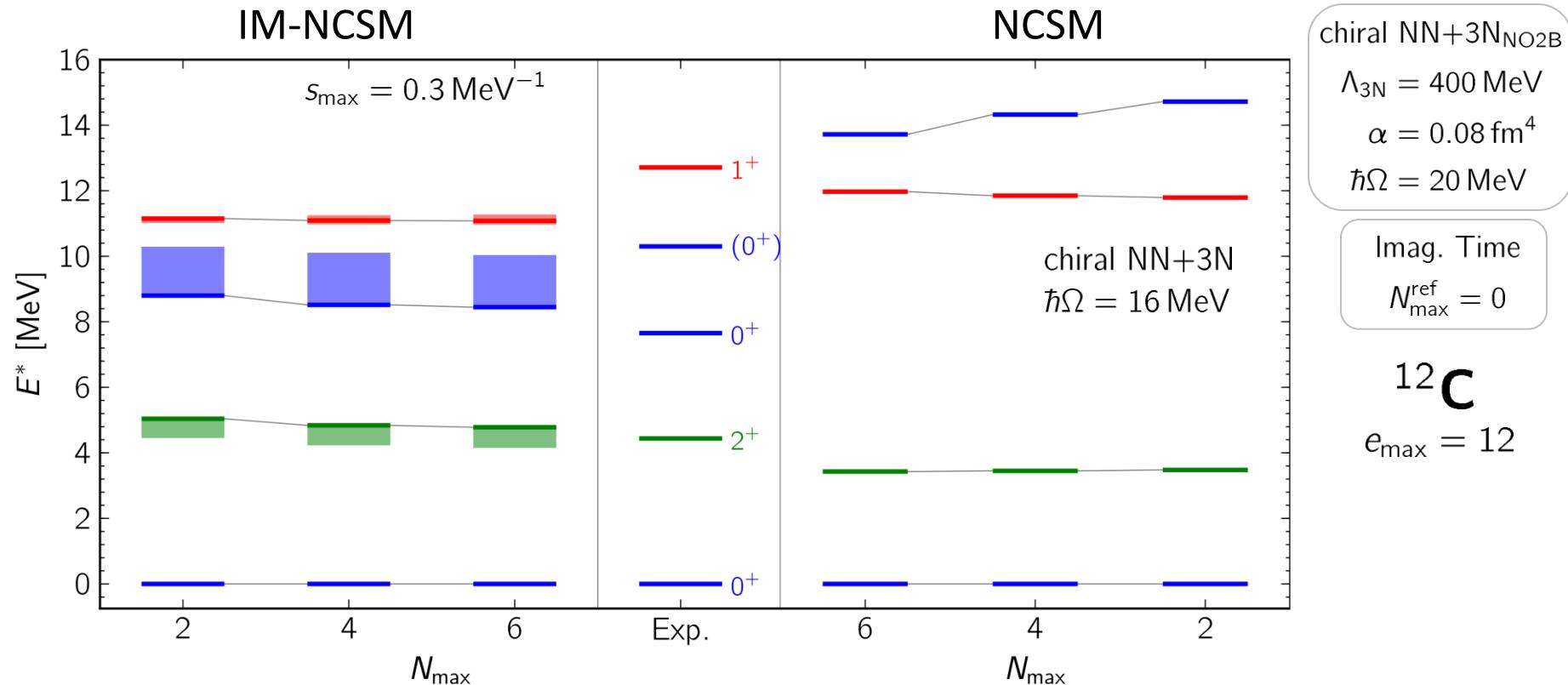
IM-NCSM



- uncertainty band due to flow-parameter variation between $s_{\max}/2$ and s_{\max}

Results

Spectra



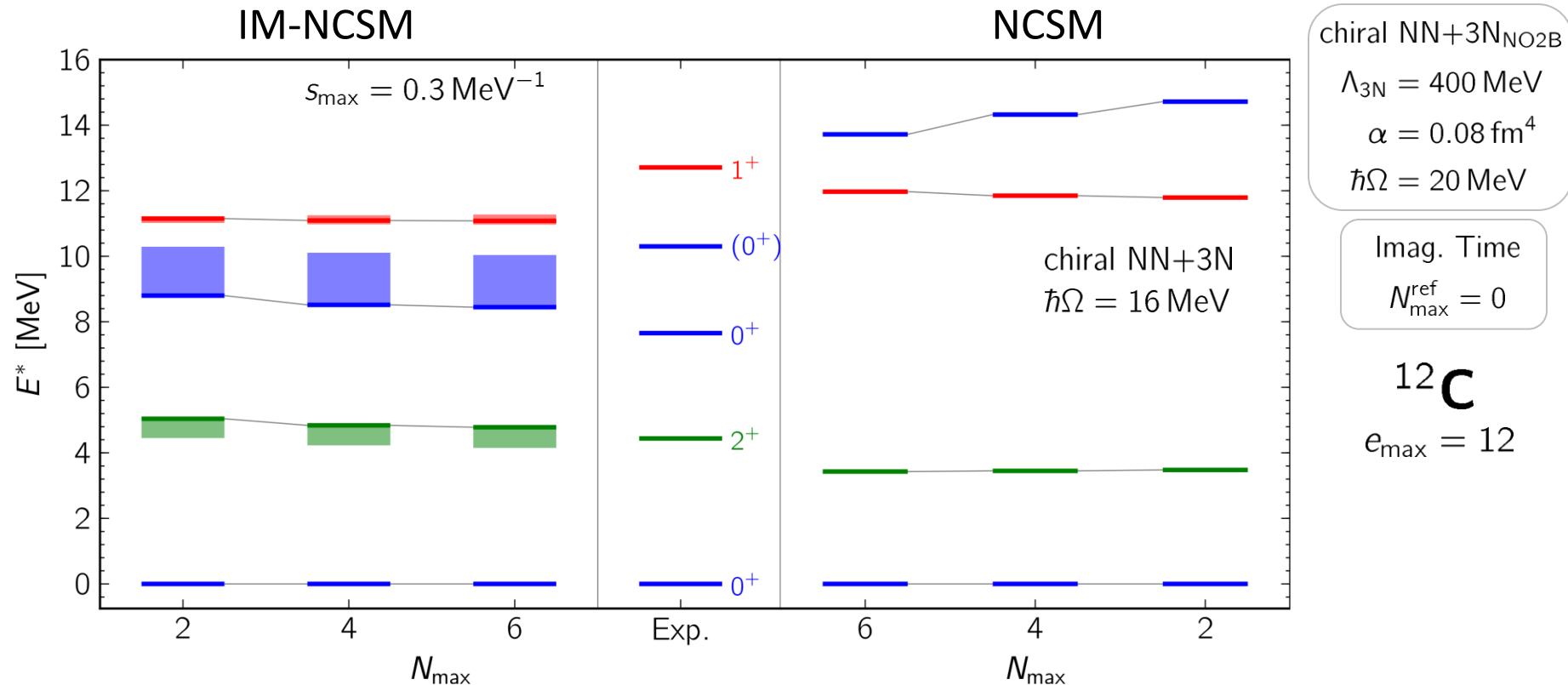
- uncertainty band due to flow-parameter variation between $s_{\max}/2$ and s_{\max}

Results

Spectra



TECHNISCHE
UNIVERSITÄT
DARMSTADT



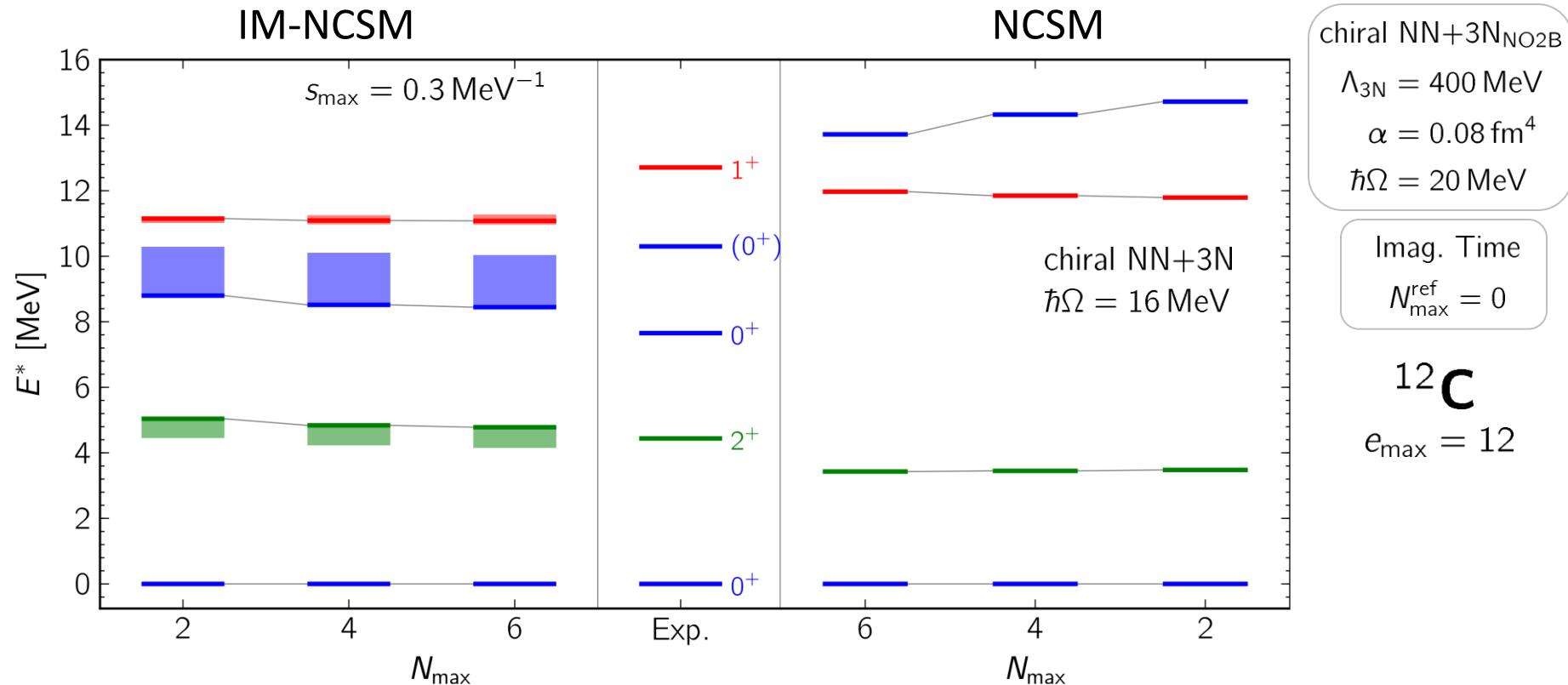
- uncertainty band due to flow-parameter variation between $s_{\max}/2$ and s_{\max}
- 2⁺ and 1⁺ in IM-NCSM and NCSM in good agreement

Results

Spectra



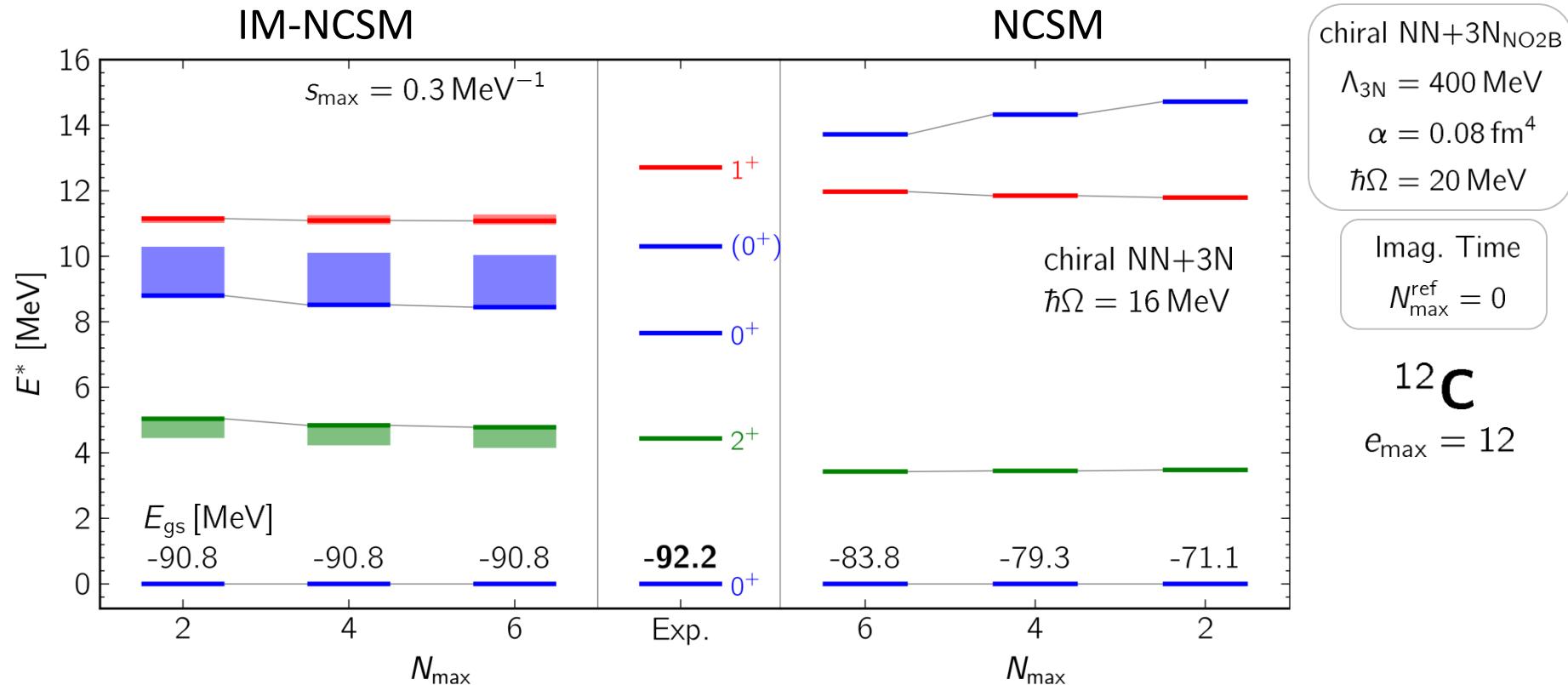
TECHNISCHE
UNIVERSITÄT
DARMSTADT



- uncertainty band due to flow-parameter variation between $s_{\max}/2$ and s_{\max}
- 2⁺ and 1⁺ in IM-NCSM and NCSM in good agreement
- second 0⁺ in NCSM (**Hoyle?**) slow convergence, IM-NCSM closer to experiment

Results

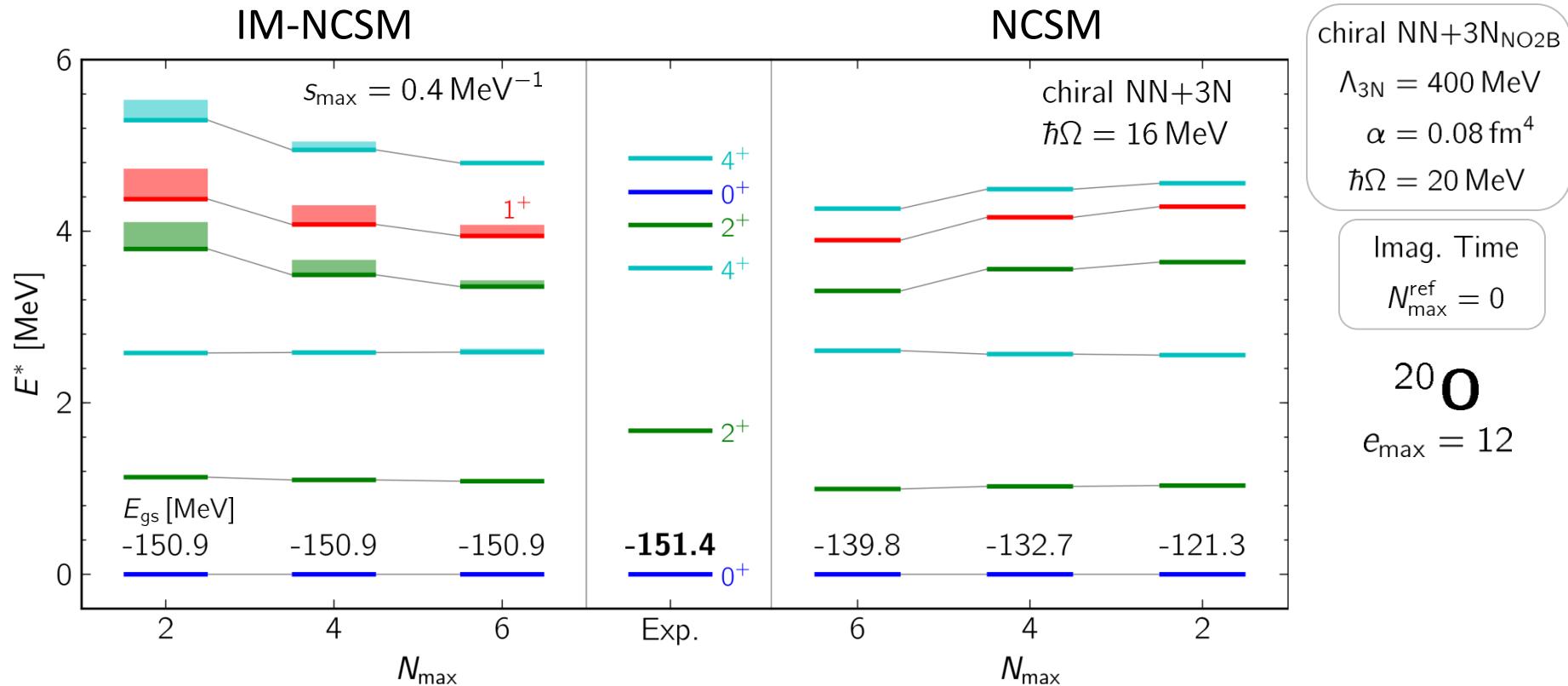
Spectra



- uncertainty band due to flow-parameter variation between $s_{\max}/2$ and s_{\max}
- 2^+ and 1^+ in IM-NCSM and NCSM in good agreement
- second 0^+ in NCSM (**Hoyle?**) slow convergence, IM-NCSM closer to experiment

Results

Spectra



- first 2^+ and 4^+ robust and well converged in IM-NCSM
- higher-lying states show small flow-parameter dependence
- 1^+ not yet observed experimentally → theoretical prediction

- ✓ established a many-body technique **IM-NCSM** = IM-SRG + NCSM
- ✓ IM-SRG decouples **reference state** from higher N_{\max}
- ✓ extremely **enhanced N_{\max} convergence** for subsequent NCSM
- ✓ $N_{\max} \leq 4$ sufficient to extract converged ground-state energies
- ✓ **variational principle** becomes valid for **excitation energies**
since ground-state energy converged
- ✓ preliminary *ab initio* studies regarding Hoyle state in ^{12}C

- more detailed analysis of the Hoyle state in ^{12}C
- study of exotic nuclei: island-of-inversion physics, ...
- evolve vector operator, for instance E1 transition operators
- extend applicability of IM-NCSM to odd nuclei
using particle-attached particle-removed formalism
- ...

Thank You For Your Attention



TECHNISCHE
UNIVERSITÄT
DARMSTADT

Thanks to my group & collaborator

- S. Alexa, T. Hüther, L. Mertes, **R. Roth**, S. Schulz,
H. Spielvogel, C. Stumpf, A. Tichai, **K. Vobig**, R. Wirth

Institut für Kernphysik, TU Darmstadt

- **H. Hergert**

NSCL/FRIB, Michigan State University



Bundesministerium
für Bildung
und Forschung



LOEWE

Exzellente Forschung für
Hessens Zukunft

Deutsche
Forschungsgemeinschaft

DFG

HIC | **FAIR**
for
Helmholtz International Center



HELMHOLTZ
|
GEMEINSCHAFT

JURECA



LOEWE-CSC



LICHTENBERG



COMPUTING TIME